

1                   **On the accuracy of reconstructing plasma sheet**  
2                   **electron fluxes from temperature and density models**

3                   **S. Dubyagin<sup>1</sup>, N. Ganushkina<sup>1,2</sup>, and M. Liemohn<sup>2</sup>**

4                                   <sup>1</sup>Finnish Meteorological Institute, Helsinki, Finland

5                                   <sup>2</sup>Climate and Space Science and Engineering Department, University of Michigan, Ann Arbor, MI, USA

6                   **Key Points:**

- 7                   • Usage of a standard distribution function, like a single Maxwellian, to reconstruct  
8                   plasma sheet electron fluxes is only good below 10 keV  
9                   • A Kappa, and in some cases two population distributions, give a better fit, with  
10                  the kappa parameter strongly depending on location  
11                  • The error with observed fluxes can be large, implying that a more advanced model  
12                  is needed, based on flux instead of distribution moments

This is the author manuscript accepted for publication and has undergone full peer review but has not been through the copyediting, typesetting, pagination and proofreading process, which may lead to differences between this version and the [Version of Record](#). Please cite this article as doi: [10.1029/2019SW002285](https://doi.org/10.1029/2019SW002285)

---

Corresponding author: Stepan Dubyagin, [stepan.dubyagin@fmi.fi](mailto:stepan.dubyagin@fmi.fi)

## Abstract

The particle simulations of the inner magnetosphere require time-dependent boundary condition for the particle flux set in the transition region between dipolar and tail-like configurations. Usually, the flux is reconstructed from particle density and temperature predicted by empirical models or magnetohydrodynamic (MHD) simulations. However, this method requires assumptions about the energy spectra to be made. This uncertainty adds to the inaccuracy of the empirical models or MHD predictions. We use electron flux measurements in the nightside at  $r = 6\text{--}11R_E$  in the 1–300 keV energy range to estimate the potential accuracy of the electron flux reconstruction from the macroscopic plasma parameter models. We use kappa and Maxwellian distribution functions as well as two population approximations to describe the electron spectra. It is found that this method works reasonably well in the thermal energy range (1–10 keV). However, the average difference between measured and predicted fluxes becomes as large as one order of magnitude at energies  $\geq 40$  keV. The optimal value of the kappa parameter is found to be between 3 and 4 but it depends strongly on MLT and radial distance. We conclude that the development of the flux-based models (model of differential flux at several reference energies) instead of density and temperature models can be considered as a promising direction.

## 1 Introduction

The high fluxes of superthermal electrons in the inner magnetosphere may lead to malfunction or even permanent damage of expensive spacecraft on MEO and GEO orbits. The fluxes dramatically increase during the periods of magnetospheric disturbance such as geomagnetic storms and substorms. The prediction of their evolution during such events is a challenging task and various particle simulations of the inner magnetosphere are aimed to solve it (Harel et al., 1981; Fok, Moore, & Spjeldvik, 2001; Toffoletto et al., 2003; Jordanova & Miyoshi, 2005; Chen et al., 2006; Buzulukova et al., 2010; Ganushkina et al., 2013; Jordanova et al., 2014; Fok et al., 2014; Ganushkina et al., 2014). These simulations solve physical equations of the particle statistical mechanics in the inner magnetosphere under different assumptions and at a different level of sophistication. What is common for all these simulations is that their computational domain is confined within the inner magnetosphere and they require the particle fluxes set at the outer boundary of the simulation domain, which is usually placed between geosynchronous orbit and  $r \approx 10R_E$ . It should be noted, that accuracy of the flux boundary condition to a large extent defines the performance of simulation itself, as was recently demonstrated by Yu et al. (2019).

At least for some periods, the fluxes can be observed in real-time by the geostationary or magnetospheric spacecraft and passed as a boundary condition to the simulation. Although this method has been widely used in magnetospheric studies (e.g. Fok, Wolf, et al., 2001; Jordanova, Boonsiriseth, et al., 2003; Jordanova, Kistler, et al., 2003; Ganushkina et al., 2006), it has limited application for forecasting purposes. Even if a few geostationary spacecraft cover a wide MLT sector, non-zero magnetic latitude of a spacecraft results in high L-shells values, and sometimes a spacecraft can be even in the magnetotail lobes (Thomsen et al., 1994). In addition, the short distance between the observing spacecraft and the radiation belt and ring current region leaves too short of a time (a few minutes) for satellite operators to respond to sudden changes in the predicted environmental conditions (not to mention that, in this case, it is impossible to forecast conditions at geosynchronous orbit). In this respect, the empirical models or global magnetohydrodynamic (MHD) simulations driven by the solar wind parameters observed at the L1 Lagrangian point are much more advantageous; they can output estimated plasma parameters at any spatial location at least tens of minutes before the actual disturbance impacts the magnetosphere.

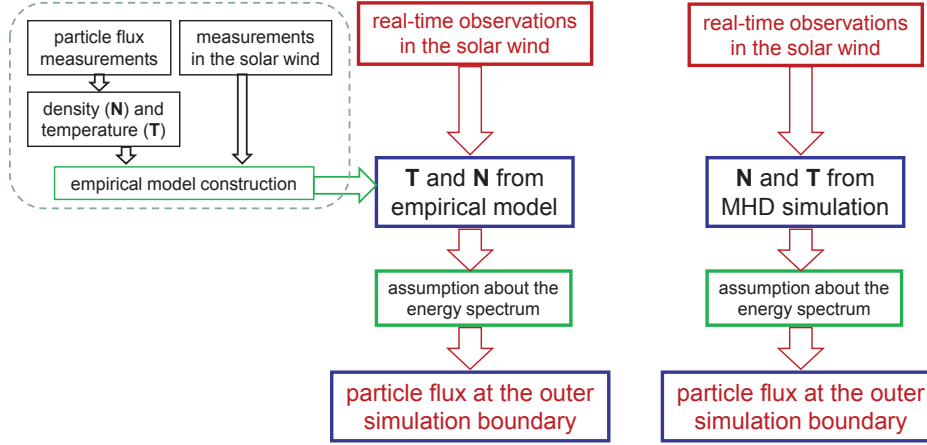
64 The block diagram in Figure 1 shows two widely-used schemes of the flux bound-  
65 ary condition construction for the inner magnetosphere simulation. The solar wind ob-  
66 servations at the L1 point (red block) provide input for an empirical model of the macro-  
67 scopic plasma parameters (left part) or MHD simulation (right part). The empirical model  
68 or MHD simulation outputs the density and temperature values which can be used to  
69 calculate the particle flux under assumptions of certain form of the particle energy spec-  
70 trum. The empirical models are built from the big volume of historical data and repre-  
71 sent averaged dependencies of the macroscopic plasma parameters on solar wind drivers.  
72 The blocks surrounded by the dashed frame in the left part of Figure 1 demonstrate schemat-  
73 ically the process of the empirical model development. It can be seen that the flux data  
74 are used first to compute the temperature and density, and the information about en-  
75 ergy spectrum is being lost at this step. On the other hand, the plasma sheet density  
76 and temperature are easier to model (in comparison to particle flux) because they obey  
77 relatively simple magnetohydrodynamic equations, and hence, their dependencies on so-  
78 lar wind drivers are also simpler. If MHD simulation is used for boundary condition con-  
79 struction, the plasma density and temperature are the only available parameters char-  
80 acterizing properties of the plasma population. Eventually, both boundary condition con-  
81 struction schemes end up with a need to make an assumption about the energy spectrum  
82 to calculate the flux from the density and temperature (green block in Figure 1). How-  
83 ever, making a right assumption is a hard task because the particle spectrum observa-  
84 tions in magnetosphere have revealed that non-Maxwellian distributions of ions and elec-  
85 trons are common in the plasma sheet (e.g. Vasyliunas, 1968; Christon et al., 1989, 1991;  
86 Åsnes et al., 2008; Espinoza et al., 2018), and the populations with different tempera-  
87 tures can be present simultaneously (Wing et al., 2005; Wang et al., 2007; Walsh et al.,  
88 2013). Thus, this assumption introduces inaccuracy into the flux values which is summed  
89 up with the inaccuracy of the temperature and density model predictions. The purpose  
90 of our study is to analyze quantitatively this inaccuracy and to outline the possible strate-  
91 gies for improvements of the boundary condition construction schemes.

92 In this paper, we analyze a large dataset of the electron spectra observations on  
93 board three Time History of Events and Macroscale Interactions during Substorms (THEMIS)  
94 probes in the transition region of the magnetosphere during geomagnetic storms. We in-  
95 tentively concentrate on geomagnetic storm periods because they are the main targets  
96 of inner magnetosphere modeling. The observed differential flux spectra are used to as-  
97 sess the possible errors of the flux estimated from the temperature and density values  
98 for commonly used energy distributions. The electron temperature and density are es-  
99 timated using Dubyagin et al. (2016) model and also calculated from the observed flux  
100 spectra themselves. The paper is organized as follows: in Section 2 we describe the dataset.  
101 In Section 3, we compare the directly measured flux and that estimated from the den-  
102 sity and temperature values. Then, in Section 4 we fit the observed spectra using sin-  
103 gle and two population distributions and analyze the occurrence of these two types of  
104 spectrum. In addition, we investigate statistically the observed spectra and use this in-  
105 formation to discuss the possible strategies to improve electron flux prediction abilities  
106 of the empirical models and MHD simulations in Sections 6. Finally, the conclusions are  
107 made in Section 7.

## 108 2 Data

109 In the present study, the particle detector measurements on board of Time History of  
110 Events and Macroscale Interactions during Substorms (THEMIS) mission (Angelopoulos,  
111 2008) are used to calculate the energy spectra and distribution function moments. We  
112 use the same dataset that was used by Dubyagin et al. (2016) for the empirical model  
113 of the electron temperature and density construction. The detailed data and event se-  
114 lection descriptions can be found in the original paper and we will only briefly summa-  
115 rize them here. We use the data of three THEMIS probes (A, D, E) on the nightside in

### Construction the boundary conditions for particle simulations of the inner magnetosphere



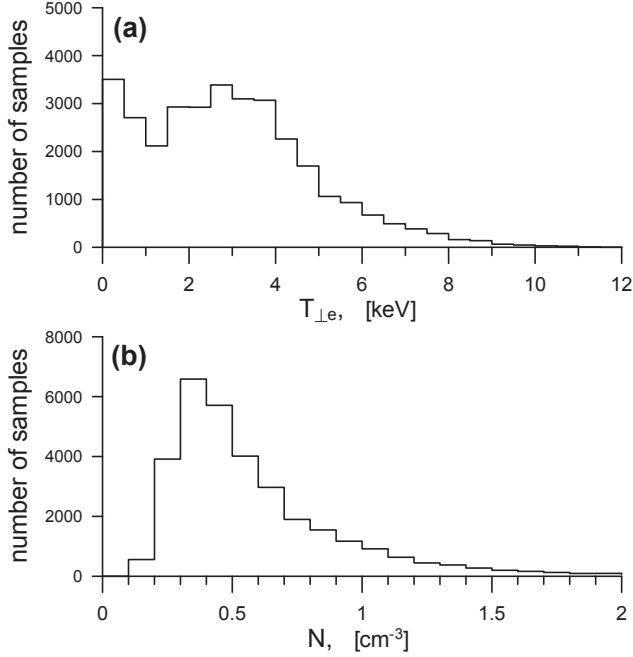
**Figure 1.** The block diagram shows two schemes of the boundary condition construction

116 the radial distance range of  $6\text{--}11R_E$ . These data were collected during all storms with  
 117  $\text{min SYM-H} < -50$  nT that took place in the years 2007–2013. The fluxgate magne-  
 118 tometer measurements (Auster et al., 2008) were used to select the observations in the  
 119 vicinity of the neutral sheet as described in Dubyagin et al. (2016). The density and tem-  
 120 peratures as well as the energy spectra were computed from the combined velocity distri-  
 121 butions measured by Solid State Telescope (SST) (Angelopoulos et al., 2008) and Elec-  
 122 trostatic Analyzer (ESA) (McFadden, Carlson, Larson, Bonnell, et al., 2008; McFadden,  
 123 Carlson, Larson, Ludlam, et al., 2008) covering  $\sim 30$  eV–300 keV energy range at spin  
 124 resolution (3 sec). These data were then averaged over 1.6 minute intervals. The tem-  
 125 perature tensor and density were computed using THEMIS software (<http://themis.ssl.berkeley.edu/software.shtml>)  
 126 and the differential energy flux was averaged over  $45^\circ\text{--}135^\circ$  pitch-angles. The resulting  
 127 dataset comprises 32,008 plasma sheet electron spectra, density and electron perpendic-  
 128 ular temperature complemented by values of the magnetic field and plasma parameters  
 129 in the solar wind. The fewer number of data records in comparison to the Dubyagin et  
 130 al. (2016) dataset is due to the events with absent measurements for some energy chan-  
 131 nels inside one 1.6 minute interval. The plasma moments, which were used in Dubyagin  
 132 et al. (2016), could be computed for such events, but the spectra could not be averaged  
 133 using simple methods for such intervals and were discarded. The SST energy channels  
 134 above  $\sim 100$  keV sometimes reveal flat (or even having positive slope) electron spectra  
 135 which are likely a result of contamination from higher energy particles. In supporting  
 136 information S1, we describe the automatic method to detect and clean such spectra (this  
 137 problem was detected for 18% of data).

138 For an isotropic particle distribution with no bulk velocity present, the tempera-  
 139 ture ( $T$ ) and number density ( $N$ ) can be computed as a numerical integrals of the mea-  
 140 sured energy flux over the particle detector energy range:

$$141 \quad N = 2^{\frac{3}{2}} \pi \sqrt{m} \int \frac{J_E}{E^{\frac{3}{2}}} dE, \quad (1)$$

$$142 \quad P = 4\pi \frac{\sqrt{2}}{3} \sqrt{m} \int \frac{J_E}{\sqrt{E}} dE, \quad (2)$$



**Figure 2.** The histograms of temperature (a) and density (b) computed from THEMIS data.

144

145

$$T = \frac{P}{N}. \quad (3)$$

146

147 where,  $P$  is the plasma pressure,  $J_E$  is the measured differential energy flux aver-  
 148 aged over all directions,  $m$ ,  $E$  are the particle mass and energy, respectively. However,  
 149 particle distributions in the inner magnetosphere are generally anisotropic, therefore the  
 150 generalized forms of Equations 1–3 should be used, where  $T$  and  $P$  are tensors and in-  
 151 tegration in Equations 1, 2 is performed over the whole (3D) velocity space (in spheri-  
 152 cal coordinate system) as described in Paschmann and Daly (1998). On the other hand,  
 153 gyrotropy is a good approximation for the electron distributions in the inner magneto-  
 154 sphere, and the full pressure/temperature tensor can be reduced to the parallel and per-  
 155 pendicular components, which are computed by tensor rotation to the coordinate sys-  
 156 tem based on magnetic field vector direction. This approach is implemented in the THEMIS  
 157 software for the plasma moments computation (the software also removes contamina-  
 158 tions and calibrates the data).

159

160

161

162

163

164

165

166

167

Figure 2 shows the histograms of perpendicular electron temperature and number  
 density computed by the THEMIS software from the flux measurements. It can be seen  
 that the temperature histogram has an additional peak at small  $T_{\perp e}$  values. These mea-  
 surements correspond to the events when additional dense cold population is present.  
 Since the temperature is computed as a ratio of pressure to density (Equation 3), the pres-  
 ence of a dense cold population results in a very low temperature value. At the same time,  
 the temperature of the hot population can be rather high, and the fluxes at the high en-  
 ergies are much higher than expected if only a nominal temperature value is considered  
 and a single distribution function is used for the spectrum approximation.

In addition to the THEMIS plasma moments, we use Dubyagin et al. (2016) empirical model of the electron temperature and density. The model outputs the temperature and density in the equatorial plane as a function of location and time-integrated solar-wind plasma and magnetic field parameters. The precomputed input parameters of the model as well as the script calculating these parameters from OMNI database can be found in supporting information section of Dubyagin et al. (2016) paper. The model was specifically designed to make prediction during geomagnetic storms for  $r = 6-11R_E$  on the nightside and, in spite of its simplicity, shows good performance with correlation coefficient between the real parameters and model predictions C.C.= 0.82 for the density, and C.C.= 0.76 for the temperature predictions.

### 3 Comparison of Measured Particle Flux with That Calculated from Temperature and Density

In order to compute the electron flux from the density and temperature values, we use two energy distribution forms, namely Maxwellian and kappa (e.g. Xiao et al., 2008; Livadiotis, 2015) distributions:

$$f(E)^{maxwell} = N \left( \frac{m}{2\pi T} \right)^{\frac{2}{3}} \exp \left( -\frac{E}{T} \right), \quad (4)$$

$$f(E)^{kappa} = N \left( \frac{m}{\pi(2\kappa - 3)T} \right)^{\frac{2}{3}} \frac{\Gamma(\kappa + 1)}{\Gamma(\kappa - \frac{1}{2})} \left( 1 + \frac{2E}{(2\kappa - 3)T} \right)^{-(\kappa+1)}, \quad (5)$$

where,  $\kappa$  is the kappa parameter,  $\Gamma$  is the gamma function (extension of factorial function), and the temperature is in the energy units (that is, multiplied by Boltzmann constant). Using these distributions, the differential energy flux ( $J_E$ ) can be computed for a given energy,  $N$ ,  $T$ , and  $\kappa$  as:

$$J_E = f(E) \frac{2E^2}{m^2} \quad (6)$$

We start out analysis using this equation to calculate the flux values for  $N$  and  $T_{\perp}$ , which in turn were computed by THEMIS software from the THEMIS flux measurements. That is, we perform spectra - moments - spectra conversion whereby the information about the actual form of the spectra is lost at the first step and then replaced by the assumed distribution functions at the second step. In Figure 3a, we show the scatter plot of differential energy flux measured at  $E = 10$  keV versus that reconstructed from the density and temperature for kappa distribution using Equation 6 ( $\kappa = 4$  was used because it represents an average value in the near-Earth magnetotail (Runov et al., 2015; Espinoza et al., 2018)). Although such comparison may seem strange, since the reconstructed fluxes eventually are calculated from the fluxes they are compared to, it can give us an idea of what is the best result we can expect from an empirical model if its predictive ability is perfect. In other words, the difference of fluxes in Figure 3 stems entirely from the difference between the actual and assumed distribution functions with all additional errors, which could be possibly introduced by the empirical model of the temperature and density, excluded.

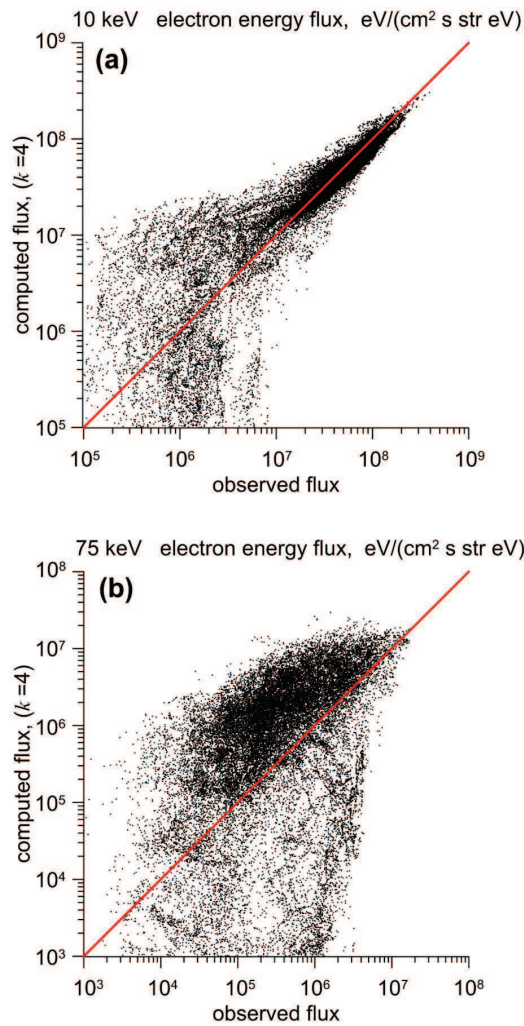
It can be seen that the high flux values in Figure 3a are generally in agreement while significant disagreement is observed for the low fluxes. It can be speculated that the data records with low flux for 10 keV energy correspond to very low electron temperatures and large scatter is due to the incorrect representation of the superthermal tail of the



212 spectra. However, the low fluxes represent only a minor part of the dataset and that is  
 213 confirmed by the high correlation coefficient,  $CC = 0.84$ , computed for  $\log_{10}$  values of  
 214 quantities in Figure 3a (since the fluxes vary over a few orders of magnitude, hereinafter  
 215 we will use common logarithm values of fluxes for all metrics). Figure 3b shows the similar  
 216 scatter plot for  $E = 75$  keV. The agreement is obviously much worse ( $CC = 0.38$ ).

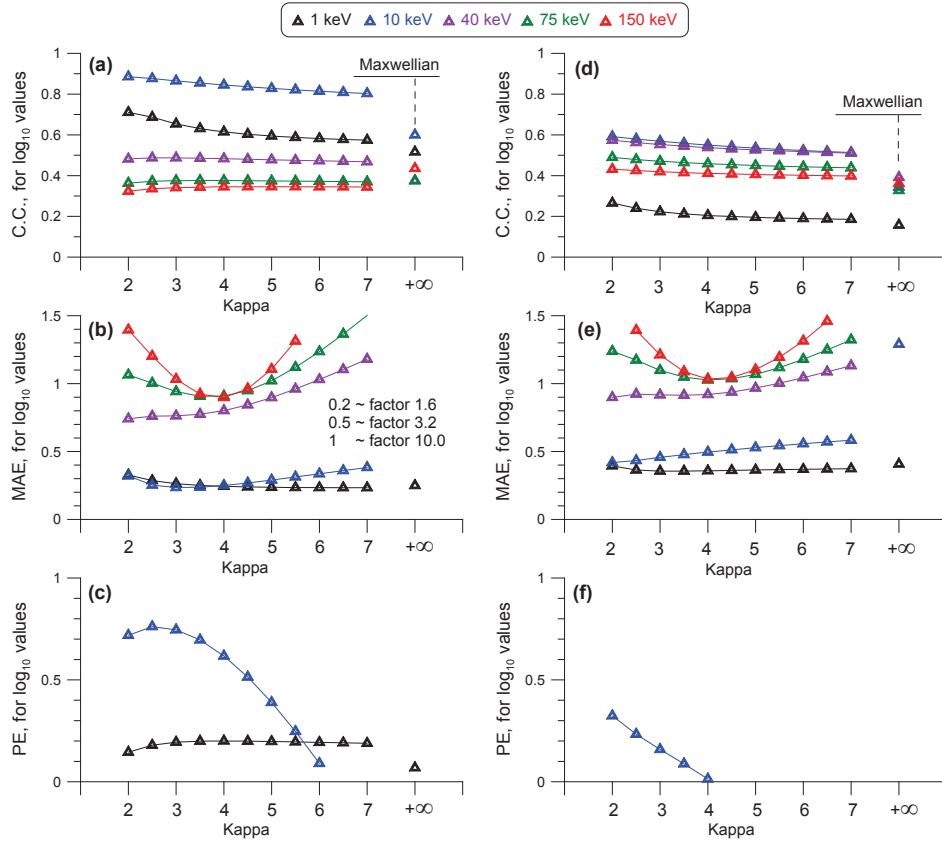
217 We did the same comparison for various energies and  $\kappa$  parameter values and summarize  
 218 the results in Figure 4a–4c. The linear correlation coefficient ( $CC$ , Figure 4a),  
 219 mean absolute error (MAE, Figure 4b), and prediction efficiency (PE, Figure 4c) are computed  
 220 for  $\log_{10}$  values of measured and reconstructed flux. The horizontal axis  
 221 shows the  $\kappa$  parameter which was used for the flux reconstruction. Colors correspond  
 222 to the energies (see legend at the top of the figure). The infinite value of  $\kappa$  corresponds  
 223 to a Maxwellian distribution. The prediction efficiency (PE) is computed as  $PE = 1 -$   
 224  $(\text{rms}/\sigma)^2$ , where rms is a root mean square deviation between the measured and recon-  
 225 structed flux, and  $\sigma$  is a standard deviation of the measured flux. To ease an interpre-  
 226 tation of the MAE values in Figure 4b, which are computed for  $\log_{10}$  values of the fluxes,  
 227 we convert them to the corresponding factors (or ratio of the measured and reconstructed  
 228 fluxes) in the legend. It can be seen that the correlation is relatively high for the thermal  
 229 and slightly superthermal energies (1–10 keV, black and blue symbols) but it becomes  
 230 lower than  $CC = 0.5$  for  $E \geq 40$  keV. The MAE values demonstrate an even more  
 231 dramatic decrease in the accuracy with the energy increase; the average difference  
 232 between the measured and reconstructed flux is around a factor of 1.5 for  $E \leq 10$  keV  
 233 but it grows to a factor of 10 for  $E \geq 40$  keV. Finally, the prediction efficiency is positive  
 234 only for 1 keV and 10 keV energies, demonstrating that even the average values of  
 235 the fluxes are better estimates than those reconstructed from density and temperature  
 236 for the energies  $E \geq 40$  keV. The correlation coefficients in Figure 4a reveal little dependence  
 237 on  $\kappa$  parameter while the MAE values reveal a clear minimum at  $\kappa = 3$ –4,  
 238 especially for the superthermal energies. Maxwellian distribution shows the worst results  
 239 (in terms of MAE and PE values) over the entire energy range. It should be mentioned,  
 240 that the flux estimation for the Maxwellian distribution for high energies (75, 150 keV)  
 241 sometimes (for the low temperature values) led to floating underflow error. The metrics  
 242 could not be calculated for such events and hence they were excluded. For this reason,  
 243 the metrics for the Maxwellian distribution are actually calculated for the smaller dataset  
 244 in comparison to those for the kappa distribution. It can explain a somewhat higher value  
 245 of the correlation of the 75 and 150 keV flux for Maxwellian in Figure 4. On the other  
 246 hand, the MAE values for the Maxwellian distribution for the energies greater than 10 keV  
 247 are beyond the vertical axis limits, indicating very poor quality of the Maxwellian ap-  
 248 proximation for superthermal energies.

249 For comparison, in Figures 4d–4f we plot the same metrics but computed using  $T$   
 250 and  $N$  predicted by the Dubyagin et al. (2016) model. It should be noted that although  
 251 the model was built from the same dataset of the THEMIS  $T$  and  $N$  values which were  
 252 used for flux reconstruction in Figures 3, 4a–c, it replaces the true values of  $T$  and  $N$   
 253 with their approximations and this introduces additional error into the flux recon-  
 254 struction. At the same time, these metrics represent the realistic quality of the flux recon-  
 255 struction from this kind of empirical models. It is a bit surprising, but the model esti-  
 256 mates give even higher correlation for the energies greater or equal 40 keV. However, the  
 257 results for the thermal energies are much worse. As expected, the correlation coefficients  
 258 are lower than 0.75 and 0.82 obtained in Dubyagin et al. (2016) for the predictions of  
 259 temperature and density themselves. At the same time, MAE values are significantly higher  
 260 than those in Figure 4b, demonstrating the decrease of the flux reconstruction accuracy  
 261 when the real model of temperature and density is used. Finally, the prediction efficiency  
 262 is positive only for 10 keV energy.



**Figure 3.** The scatter plot of the measured differential energy flux versus that reconstructed from density and temperature for the kappa distribution ( $\kappa = 4$ ). Panels (a) and (b) correspond to 10 keV and 75 keV energies, respectively.





**Figure 4.** The correlation coefficient (C.C.), mean absolute error (MAE), and prediction efficiency (PE) computed for  $\log_{10}$  values of measured and reconstructed energy flux. The density and temperature from the THEMIS dataset were used for reconstruction in (a–c) while the Dubyagin et al. (2016) empirical model was used in (d–f).

#### 4 Occurrence of Single- and Two-Population Distributions

In a collisionless space plasma, the particle energy spectra can be rather complex. In such a case, the energy distribution can not be described using only two parameters: number density and temperature. In this section, we tried to improve the description of the spectra using more complex distributions. Each observed spectrum was fitted by single and two population distribution functions. Since the Maxwellian distribution showed worst results (especially for high energy part of the spectra, see Figure 4), the single population fit was done for the kappa distribution. For the two population fit, we use the sum of Maxwellian and Kappa distributions.

$$f(E) = N_m \cdot f(E, T_m)^{maxwell} + N_k \cdot f(E, T_k, \kappa)^{kappa}, \quad (7)$$

where,  $m$  and  $k$  subscripts correspond to Maxwellian and kappa distributions, respectively. We do not use double kappa distribution fit because preliminary tests showed that the parameter search often diverged for such approximation. Wang et al. (2007) mentioned having similar problem with a double kappa distribution fit for spectra averaged over a much longer interval than 1.6 minute used in our study. That is, their spectra were more smooth and yet the fitting routine often could not converge.

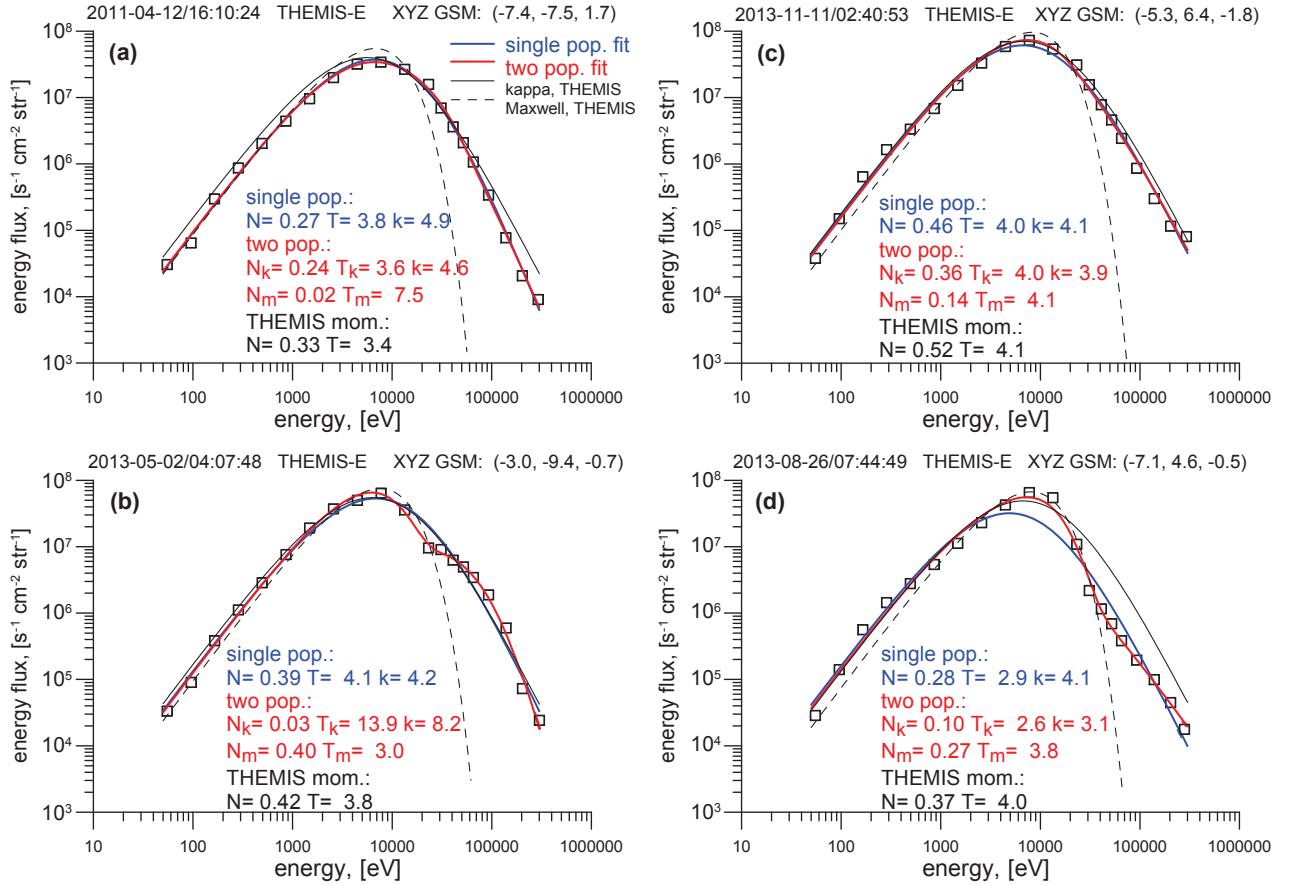
The free parameters of the approximations were determined minimizing the root mean square deviation (rms) computed for the logarithmic values of the flux

$$Err = \sqrt{\frac{1}{n} \sum_{i=1}^n \left( \log_{10} J_i^{obs} / J_i^{fit} \right)^2}, \quad (8)$$

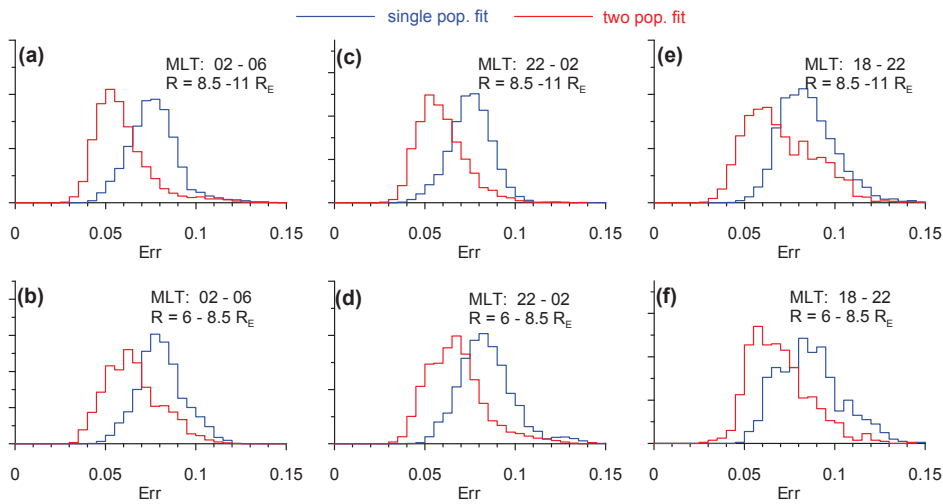
where the summation is performed over the points of the spectra. The nonlinear parameters of the fit were determined using the downhill simplex algorithm (Nelder & Mead, 1965). Figure 5 shows examples of measured spectra and its approximations. The black symbols correspond to the THEMIS measurements. The blue and red curves show the single and two population fits, respectively. We also plotted the spectra for  $N$  and  $T$  values from the THEMIS dataset: the solid and dashed thin black curves correspond to kappa ( $\kappa = 4$ ) and Maxwellian distributions. The parameters determined from the fits and those computed from the THEMIS measurements are given in the legend. It can be seen that Maxwellian spectra plotted for measured  $N$  and  $T$  (dashed curve) is totally unable to describe the high energy part of the spectrum. The kappa distribution for the measured  $N$  and  $T$  (solid black curve) works better especially in Figure 5c. The single population kappa fit works as well as the two population fit in Figures 5a and 5c (note very low value for Maxwellian density,  $N_m = 0.02 \text{cm}^{-3}$ , in Figure 5a and close temperature of two populations,  $T_k = 4 \text{keV}$  and  $T_m = 4.1 \text{keV}$ , in Figure 5c). On the contrary, the two population fit results in approximately two times smaller error than that for the single population fit in Figures 5b and 5d (fit errors are not shown). Note that  $T_k > T_m$  in Figure 5b and  $T_k < T_m$  in Figure 5d.

Figure 6 shows the histograms of the errors for the single and two population approximations for six spatial bins (3 bins in MLT and 2 bins in radial distance; the bin limits are shown in the legend). It can be seen that the histogram for the two population approximation (red) peaks at almost two times lower error values than the histogram for the single population. To convert the logarithmic error to easier-to-interpret factor values, the  $factor = 10^{Err}$  equation can be used. Thus, 0.05 and 0.1 logarithmic errors correspond to the average factors of 1.12 and 1.25, respectively. Note that since the logarithm in Equation 8 is squared, this estimate cannot distinguish between the multiplier and divisor and the factor values greater than one do not imply flux underestimation.

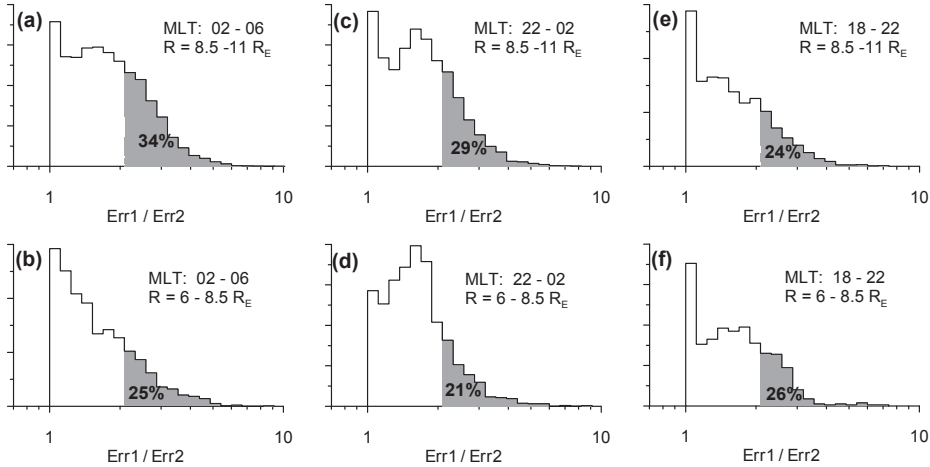
To inspect the occurrence of the single and two population spectra, we calculated the ratio of errors of the single and two population fits. Figure 7 shows the histograms



**Figure 5.** The measured spectra (black symbols) and approximations. Approximation parameters are given in the text box ( $N$  is in  $\text{cm}^{-3}$  and  $T$  is in keV)



**Figure 6.** The histograms of the approximation errors for the single-population (blue) and two-population (red) fits shown for different MLT-R bins. The errors were calculated as RMS of the common logarithm values of the observed spectrum and its approximation.



**Figure 7.** The histograms of the single- to two-population fit error ratio for different MLT-R bins. The errors were calculated as RMS of the common logarithm values of the observed spectrum and its approximation. The percentage of points with the ratio greater than two is shown.

312 of the error ratio for the same spatial bins as in Figure 6.  $Err1$  and  $Err2$  refer to the  
 313 errors of the single and two population approximations, respectively. Every histogram  
 314 has a peak at  $Err1/Err2 \approx 1$  corresponding to the events when two population fit has  
 315 not resulted in any improvements in comparison to the single population fit. These peaks  
 316 are especially evident in the dusk side bins (Figures 7e, 7f) and the least pronounced for  
 317 the inner/midnight bin (Figure 7d). The shaded area (and percentage) in the histograms  
 318 corresponds to events with  $Err1/Err2 > 2$ , that is, when addition of the second pop-  
 319 ulation to the approximation reduces the error by factor 2 or more. The percentage of  
 320 these events varies between 21% and 34%, being largest in dawn side outer bin (Figure 7a).  
 321 We also analyzed an order relation between  $T_m$  and  $T_k$  for two population fits. It was  
 322 found that for the events with  $Err1/Err2 > 2$ , the temperature of Maxwellian compo-  
 323 nent is higher than that of kappa component for 72% of events. Finally, we investi-  
 324 gated how the occurrence of two population spectra depends on geomagnetic activity.  
 325 We selected the subsets corresponding to the quiet periods, recovery, and main phases  
 326 of the storms. The recovery and main phases were defined using criteria  $dSYM-H/dt >$   
 327  $0$  and  $dSYM-H/dt < -0.1$  nT/minute, respectively, where the SYM-H derivative was  
 328 calculated for the smoothed SYM-H series as described in Dubyagin et al. (2016). The  
 329 quiet periods were selected using criteria  $SYM-H > -10$  nT and  $|dSYM-H/dt| < 0.05$  nT/minute.  
 330 We plotted the histograms of error ratio separately for these subsets (not shown) but found  
 331 no big difference; the percentages of events with  $Err1/Err2 > 2$  were 24%, 30%, and  
 332 28% for the quiet periods, main, and recovery phases, respectively. It can be seen that  
 333 the percentage variability among these subsets is comparable to that for different MLT-  
 334 R bins in Figure 7.

## 335 5 Kappa Parameter Values Inferred From the Observed Spectra

336 In this section, we analyze the statistical distribution of the kappa parameter obtained  
 337 from fitting the spectra as described in Section 4. However, the accuracy of the  
 338 kappa parameter estimation using this method can be reduced by possible poor inter-  
 339 calibration of the SST and ESA detectors. For this reason, besides fitting a full observed  
 340 spectrum with the kappa distribution, we tried alternative method to determine the  $\kappa$   
 341 parameter from the data of the SST detector alone. Since, the energy flux for  $\kappa$  distri-

342 bution at energies  $E \gg T$  can be approximated as  $J_E \sim E^{-\kappa+1}$  (see Equations 5 and  
 343 6), the  $\kappa$  parameter can be estimated from the slope of the spectra at high energies in  
 344 double log scale (e.g. Åsnes et al., 2008; Gabrielse et al., 2014). We fitted the spectra  
 345 by linear regression in double log scale for the energies greater than 40 keV and the re-  
 346 sult is shown in Figure 8a. Although the histogram peaks at  $\kappa = 3$ –3.5, there are sig-  
 347 nificant number of spectra producing very low kappa values ( $\kappa < 2$ ; Equation 5 is not  
 348 valid for  $\kappa \leq 1.5$ ). We do expect that this method can underestimate  $\kappa$  for the distri-  
 349 butions with high temperatures. Numerical tests of the method with synthetic kappa spec-  
 350 tra showed that the accuracy of kappa determination, apart from the temperature, de-  
 351 pends also on the kappa itself (the method is less accurate for large kappa values). For  
 352 example, for a distribution with  $\kappa = 3$  and  $T = 10$  keV the kappa is underestimated  
 353 by 0.5, but for a distribution with  $\kappa = 5$  the temperature should be less than 3 keV to  
 354 keep the error within the same bounds. Although the histogram in Figure 2a shows that  
 355 for majority of the spectra the electron temperature is well below 8 keV (that is,  $\ll 40$  keV),  
 356 it should be remembered that those temperatures can be underestimated due to the pres-  
 357 ence of the cold dense plasma as it was discussed in Section 2.

358 Figure 8b shows the kappa values estimated using a single population fit. It can  
 359 be seen that the histogram has a peak at higher  $\kappa$  values in comparison to that obtained  
 360 using the linear fit. Note, again, the significant fraction of events in the  $\kappa < 2$  bin. To  
 361 take into account spectra which can not be described by a single population distribu-  
 362 tion, we created a composite dataset. For those events with  $Err1$  to  $Err2$  ratio less than  
 363 2 we took kappa values obtained from the single population fit, otherwise, we took kappas  
 364 from the two population fits. The result is shown in Figure 8c. It can be seen that  
 365 the histogram is wider in comparison to those above. The number of datapoints to the  
 366 left from the histogram peak increased significantly but the fraction of events in the  $\kappa < 2$   
 367 bin is almost the same as in Figure 8b. In Figure 8d, we separately analyze the events  
 368 when the two population fit showed two times better accuracy than the single popula-  
 369 tion fit. The histogram has a sharp peak at  $\kappa = 2.5$ –3. It is at significantly lower kappa  
 370 values than that in Figures 8b and 8c. To further investigate the two population fits, we  
 371 divided the obtained  $\kappa$  dataset according to  $T_m$  and  $T_k$  order relation. Both subsets are  
 372 shown in Figure 8e. It can be seen that the spectra with  $T_m > T_k$  usually give lower  
 373 kappa values and such events comprise more than two-third of all two population fits hav-  
 374 ing  $Err1/Err2 > 2$ .

375 Finally, we investigated the dependence of the  $\kappa$  parameter of the geomagnetic dis-  
 376 turbance level and spatial location for three data sets: linear fit, single population fit,  
 377 and the composite dataset. Figure 9 shows the kappa parameter versus MLT for two ranges  
 378 of radial distance shown by red ( $r = 6$ – $8.5R_E$ ) and black ( $r = 8.5$ – $11R_E$ ). The me-  
 379 dian values are shown by the symbols and two percentiles (15% and 85%) are shown by  
 380 lines. All three datasets (Figures 9a–9b) demonstrate clear dependence of kappa values  
 381 on MLT in the near Earth region (red color), especially in the dusk-midnight sector. The  
 382 kappa values outside  $r = 8.5R_E$  (black color) reveal less pronounced, if any, MLT de-  
 383 pendence. The linear regression fit to the median values gives azimuthal gradient val-  
 384 ues of  $\Delta\kappa \approx 0.5$ – $0.6$  per 6 hours of MLT for  $r < 8.5R_E$  and  $\Delta\kappa \approx 0.0$ – $0.3$  per 6 hours  
 385 of MLT for  $r > 8.5R_E$ . To investigate the radial dependence we also plotted the kappa  
 386 parameter versus radial distance for three MLT sectors (shown in supporting informa-  
 387 tion S2). The median values revealed almost linear dependence on radial distance with  
 388 radial gradient varying from 0.33–0.53 per  $1R_E$  in the dusk MLT bin to 0.21–0.36 per  
 389  $1R_E$  in the midnight and dawnside bins.

390 We also plotted  $\kappa$  versus SYM-H separately for quiet periods, recovery, and main  
 391 phases of the storms (see supporting information S3). Surprisingly, we found no clear  
 392 dependence on SYM-H though the mean and median kappa values were somewhat lower  
 393 for the recovery phase ( $\sim 0.2$ – $0.4$  difference in  $\kappa$  values depending on chosen dataset).  
 394 However, it might be an effect of interference from the stronger MLT and radial depen-

395 dences due to inhomogeneity of the distribution of the observation points for the main  
 396 and recovery phases (see supporting information S4).

## 397 6 Discussion

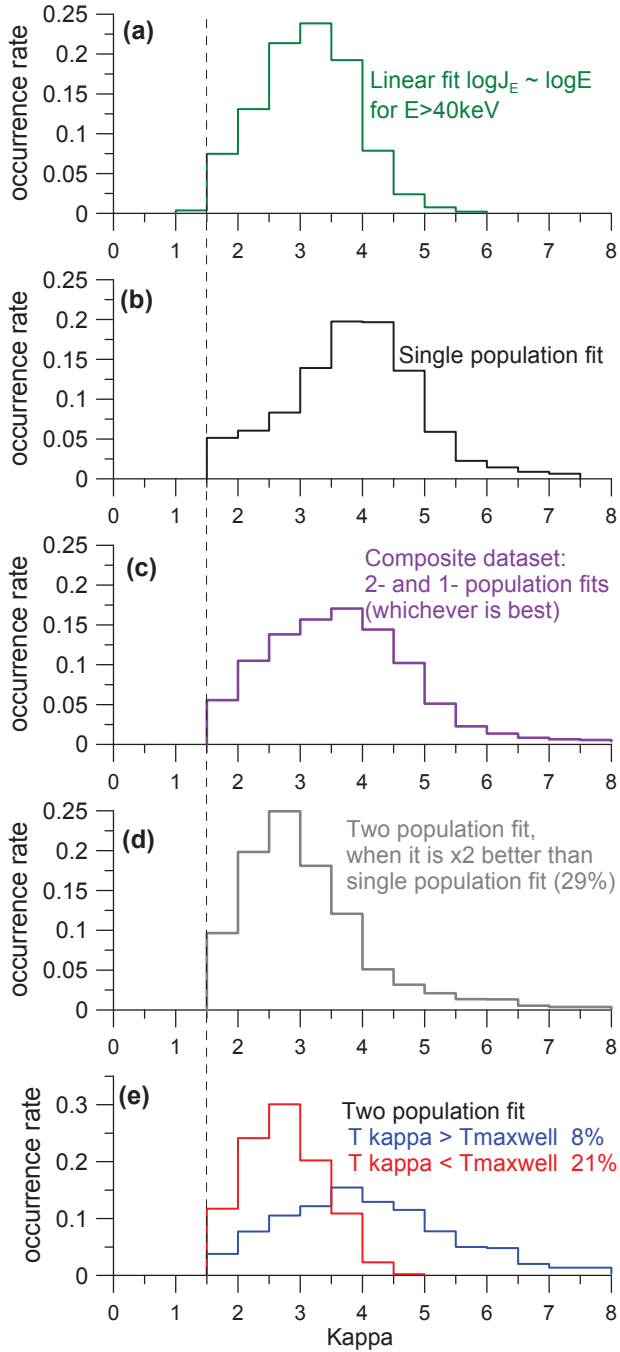
398 The goal of this study is to test the existing schemes of the outer boundary con-  
 399 dition construction for the inner magnetosphere particle simulations, particularly, par-  
 400 ticle flux reconstruction from the temperature and density models. We have quantita-  
 401 tively tested the accuracy of the electron flux reconstruction/prediction from the den-  
 402 sity and temperature models using standard Maxwell or kappa distributions. It turned  
 403 out that the variety of the observed spectra can not be described with acceptable accu-  
 404 racy by this simple method using a single population distribution. It was shown in Sec-  
 405 tion 3 that even the average flux values provide better predictions than the fluxes recon-  
 406 structed from the density and temperature values for the energies greater than 40 keV.  
 407 This reflects the fact that the electron bulk properties are dominated by  $< 40$  keV elec-  
 408 trons. Therefore, any plasma sheet model based only on bulk properties is inherently in-  
 409 sensitive to  $\geq 40$  keV electrons and therefore cannot be expected to predict them ac-  
 410 curately in general. Further, we will discuss two possible ways how the improvement in  
 411 this aspect can be achieved.

412 The first way is to develop an empirical model of the particle fluxes instead of the  
 413 density and temperature models. This approach has already been implemented in its sim-  
 414 plest form. For example, Chen et al. (2006) used Korth et al. (1999) flux model that rep-  
 415 represents MLT dependent averaged spectra observed by LANL spacecraft at the geosta-  
 416 tionary orbit binned according to Kp level (energy range:  $\sim 0.1$ –30 keV). Denton et al.  
 417 (2016) presented a statistical model (it outputs mean, median and percentiles) of the ion  
 418 and electron fluxes at GEO (for 40 energies in the 1 eV–40 keV range) binned accord-  
 419 ing to MLT and solar wind electric field. Recently, Sillanpää et al. (2017) presented a  
 420 model of the electron flux at the geostationary orbit for three energy channels (40, 75,  
 421 159 keV) as a function of the solar wind parameters which also can be used as a bound-  
 422 ary condition (for corresponding energy range).

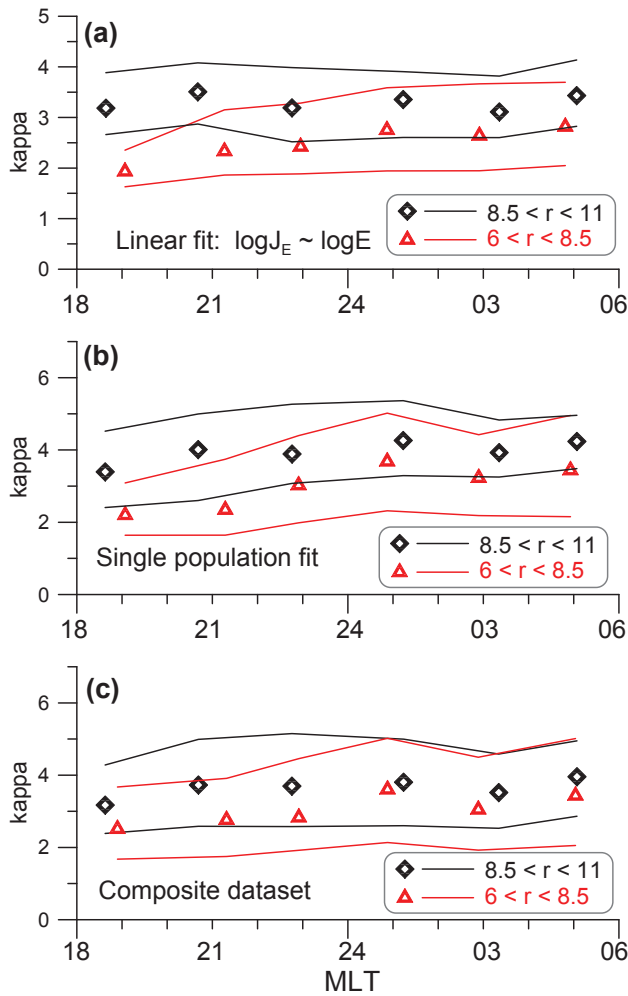
423 Trying to evaluate the possible gain in accuracy provided by a flux-based model  
 424 in comparison to density and temperature models, we used simple multiple linear regres-  
 425 sion to describe the electron energy flux dependence on the external drivers. In this ex-  
 426 periment, we use the input parameters of the Dubyagin et al. (2016) density and tem-  
 427 perature models as external driving parameters (time averaged solar wind magnetic field  
 428 and plasma parameters; five explanatory variables in total). The regressions were made  
 429 for five energy channels. These regressions were used as a model of the electron energy  
 430 flux and their predictions (along with observations) were used to calculate the same qual-  
 431 ity metrics as we did in Section 3. The obtained correlation coefficients, MAE, and PE  
 432 values are presented in Figure 10. It can be seen, that all metrics are prominently bet-  
 433 ter than those in Figures 4d–4f. The main improvement is achieved for the superther-  
 434 mal energies. It should be noted, that we have not searched for the optimal driving pa-  
 435 rameters, nor have we checked if the dependencies were linear, and the dependence on  
 436 spatial coordinates has not been included either. If these measures were taken, the re-  
 437 sulting metrics certainly would be much better.

438 Another way to enhance the flux boundary condition is to introduce additional pa-  
 439 rameters to the modeled energy distribution function. Figure 4 clearly demonstrates that  
 440 the fixed values of the kappa parameter can not provide acceptable accuracy of flux es-  
 441 timations at superthermal energies. On the other hand, we found that the kappa param-  
 442 eter shows strong dependence on MLT and radial distance with spectra being harder ( $\kappa$   
 443 being lower) in the dusk sector and closer to the earth (see Section 5). The same depen-  
 444 dences can be traced in two dimensional equatorial plots of the kappa parameter in Espinoza  
 445 et al. (2018). Although the authors also use THEMIS data, a validity of the results can

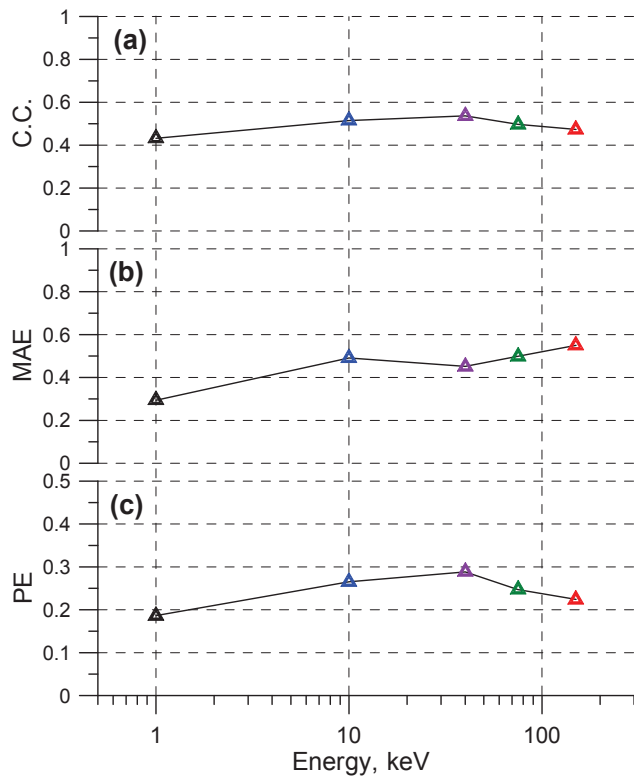




**Figure 8.** Histograms of the  $\kappa$  parameter obtained using different fits of the observed spectra.



**Figure 9.**  $\kappa$  parameter versus MLT; median, 15%, and 85% percentiles are shown for two radial distance ranges:  $r = 6-8.5R_E$  (red) and  $r = 8.5-11R_E$  (black). The results are obtained using linear spectrum fit (a) and single population fit (b), and composite dataset (c).



**Figure 10.** The quality metrics of the electron flux prediction versus energy. The flux predictions are obtained using the multiple linear regression with the input parameters of the (Dubyagin et al., 2016) model used as explanatory variables.

446 be independently confirmed by general agreement of the averaged kappa values with that  
 447 obtained by Christon et al. (1991) using ISEE 1 data at  $r > 12R_E$ . This spatial de-  
 448 pendence of  $\kappa$  parameter can be modeled empirically in addition to the density and tem-  
 449 perature.

450 Finally, an empirical model of the additional second population can be added. Pre-  
 451 vious studies showed that the multiple plasma populations are not uncommon for the  
 452 mid-tail plasma sheet (Fujimoto et al., 1998; Hasegawa et al., 2004; Wing et al., 2005;  
 453 Wang et al., 2007, 2012; Walsh et al., 2013; Liemohn & Welling, 2016) where a cold com-  
 454 ponent likely penetrates from the low latitude boundary layer and is mixed with the more  
 455 energetic plasma sheet population. It was however surprising to discover that the elec-  
 456 tron spectra at the boundary of the inner magnetosphere reveal two-population features  
 457 for  $\sim 1/5$ – $1/3$  of events (depending on the location and disturbance level, see Section 4).  
 458 It can be speculated that for some fraction of these events, two population-like appear-  
 459 ance of the spectra is a result of poor intercalibration between the ESA and SST detec-  
 460 tors due to the large energy gap between the ESA and SST energy ranges. For exam-  
 461 ple, if the SST fluxes were underestimated, it would result in local steep decrease of the  
 462 spectra with increasing energy in the vicinity of  $\sim 30$  keV and harder spectra at higher  
 463 energies. In this case, this artificial localized steepening of the spectrum can be described  
 464 by an additional Maxwellian distribution. However, the similar features of the electron  
 465 spectra were observed by Christon et al. (1991) on ISEE 1, where the energy ranges of  
 466 the low and high energy detectors overlapped, and the intercalibration was not an issue.  
 467 One more question that should be addressed before implementing a two population em-  
 468 pirical model is the conditions when a second population should be switched on. Our  
 469 results indicate that the single and two population distributions both can be observed  
 470 on the nightside between 6 and  $11R_E$  with comparable probability. At the same time  
 471 we could not discriminate these two groups using location or geomagnetic activity pa-  
 472 rameters and this is the task for future studies. It also should be noted that the crite-  
 473 rion for the two and single population spectra discrimination ( $Err1/Err2 > 2$ ) is some-  
 474 what arbitrary and should be defined in a more substantiated way for future studies.

475 Note, that the majority of the strategies which were outlined above are difficult or  
 476 impossible to apply to the boundary condition constructed using the input from MHD  
 477 simulations (e.g. De Zeeuw et al., 2004; Toffoletto et al., 2004; Buzulukova et al., 2010)  
 478 because density, temperature, and bulk plasma velocity are the only plasma character-  
 479 istics MHD deals with. At the same time, the necessity of improving the boundary con-  
 480 dition driven by MHD simulations was recently demonstrated (Yu et al., 2019). Some  
 481 improvement can be achieved using a combination of the MHD temperature and den-  
 482 sity output and empirical model of the spatial coordinate dependent kappa parameter.  
 483 In addition, the spectral properties of the energy distribution can depend on the tem-  
 484 perature and density themselves. We do find significant correlation ( $CC=0.47$ ) between  
 485 the kappa parameter and temperature. On the other hand, the MHD simulations have  
 486 an advantage which the empirical models of the plasma parameters do not have: their  
 487 plasma parameters are self consistent with the magnetic field. Gabrielse et al. (2014);  
 488 Runov et al. (2015) found that the electrons intruding into the inner magnetosphere with  
 489 dipolarized flux bundles have softer spectra (higher  $\kappa$  values) than the background pop-  
 490 ulation, that is, the spectral properties of the electrons depend on the local magnetic and  
 491 electric fields. This dependence can be used to correct the fluxes reconstructed from the  
 492 MHD density and temperature using MHD magnetic and electric fields. Even if the MHD  
 493 simulation can not accurately predict the timing of the dipolarization events during the  
 494 substorms, the self consistent input of the particle content to the inner magnetosphere  
 495 can potentially result in more realistic fluxes there, at least in a statistical sense.

496 The results of our study are also worth discussing in the context of the surface charg-  
 497 ing analysis. It has been established that the high flux of electrons with energies  $E \geq$   
 498 10 keV is the main factor leading to the hazardous level of surface charging (e.g Thom-

sen et al., 2013; Ferguson et al., 2015; Matéo-Vélez et al., 2018). Some engineering systems of the surface charging modeling use the density and temperature of the plasma environment as an input. Figure 4 demonstrated that the kappa distribution represents the flux at 10 keV energy reasonably well for the THEMIS storm-time dataset. However, the errors of flux estimations made with the Maxwell distribution are on average beyond one order of magnitude difference. To further investigate the difference of the Maxwell and kappa flux estimates, we performed numerical test calculating the integrated number flux of 10–50 keV electrons for the kappa and Maxwell distributions for different electron temperatures and kappa parameters. It turned out that the difference between the kappa and Maxwellian flux is negligible for  $T_e > 3$  keV but it grows fast with the temperature decreasing and reached 1, 2 orders of magnitude at  $T_e = 1$  keV for  $\kappa = 10$  and  $\kappa = 2$ , respectively. On the other hand, the surface charging events are usually associated with high electron temperature values, for which we expect that the electron flux is reproduced with reasonable accuracy even for the Maxwellian distribution.

## 7 Conclusion

We conducted an examination of the accuracy of representing plasma sheet electron fluxes from empirical models based on moment values, and also from the moments of the local flux spectra themselves. By analyzing 7 years of data from the THEMIS mission spacecraft on the nightside between  $r = 6$  and  $r = 11R_E$ , combining the fluxes measured by the ESA and SST instruments, we found the following:

1. The electron flux can be accurately (within a factor 2) estimated from the number density and temperature only at thermal and slightly superthermal energies. For higher energies ( $E > 10$  keV), the error grows fast and the average error is greater than an order of magnitude for  $E > 40$  keV.
2. The optimal fixed  $\kappa$  parameter for the electron flux estimation is  $\kappa = 3-4$  but it reveals strong dependence on MLT and the radial distance.
3. The electron spectra reveal two-population features for  $\sim 1/5-1/3$  of all observations depending on location and geomagnetic disturbance level.
4. A promising way to improve the flux estimation accuracy is development of “flux-based” model, that is, the model of the differential particle flux for selected reference energies; the continuous spectrum can be obtained using interpolation.

## Acknowledgments

The work leading to these results has been carried out in the Finnish Centre of Excellence in Research of Sustainable Space (Academy of Finland grant numbers 312351, 312390), which we gratefully acknowledge. Work in the USA was supported by NASA (grant numbers 80NSSC17K0015, NNX17AB87G, and NNX17AI48G) and by the National Science Foundation under grant agreement NSF 1663770. The THEMIS data were downloaded from <http://themis.ssl.berkeley.edu/index.shtml>. The geomagnetic indices were downloaded from World Data Center for Geomagnetism, Kyoto webpage <http://wdc.kugi.kyoto-u.ac.jp/>. The solar wind parameters from OMNI database were downloaded via CDAWeb page <https://cdaweb.sci.gsfc.nasa.gov/index.html/>.

## References

- Angelopoulos, V. (2008, Apr 22). The THEMIS mission. *Space Science Reviews*, 141(1), 5. doi: 10.1007/s11214-008-9336-1
- Angelopoulos, V., Sibeck, D., Carlson, C. W., McFadden, J. P., Larson, D., Lin, R. P., ... Sigwarth, J. (2008, Dec 01). First results from the THEMIS mission. *Space Science Reviews*, 141(1), 453–476. doi: 10.1007/s11214-008-9378-4

- 546 Auster, H. U., Glassmeier, K. H., Magnes, W., Aydogar, O., Baumjohann, W.,  
 547 Constantinescu, D., ... Wiedemann, M. (2008, Dec 01). The THEMIS  
 548 fluxgate magnetometer. *Space Science Reviews*, *141*(1), 235–264. doi:  
 549 10.1007/s11214-008-9365-9
- 550 Åsnes, A., Friedel, R. W. H., Lavraud, B., Reeves, G. D., Taylor, M. G. G. T., &  
 551 Daly, P. (2008). Statistical properties of tail plasma sheet electrons above  
 552 40 keV. *Journal of Geophysical Research: Space Physics*, *113*(A3). Retrieved  
 553 from [https://agupubs.onlinelibrary.wiley.com/doi/abs/10.1029/](https://agupubs.onlinelibrary.wiley.com/doi/abs/10.1029/2007JA012502)  
 554 [2007JA012502](https://agupubs.onlinelibrary.wiley.com/doi/abs/10.1029/2007JA012502) doi: 10.1029/2007JA012502
- 555 Buzulukova, N., Fok, M.-C., Pulkkinen, A., Kuznetsova, M., Moore, T. E., Glocer,  
 556 A., ... Rastätter, L. (2010). Dynamics of ring current and electric fields in  
 557 the inner magnetosphere during disturbed periods: CRCM-BATS-R-US cou-  
 558 pled model. *Journal of Geophysical Research: Space Physics*, *115*(A5). doi:  
 559 10.1029/2009JA014621
- 560 Chen, M. W., Liu, S., Schulz, M., Roeder, J. L., & Lyons, L. R. (2006). Mag-  
 561 netically self-consistent ring current simulations during the 19 October 1998  
 562 storm. *Journal of Geophysical Research: Space Physics*, *111*(A11). doi:  
 563 10.1029/2006JA011620
- 564 Christon, S. P., Williams, D. J., Mitchell, D. G., Huang, C. Y., & Frank, L. A.  
 565 (1989). Spectral characteristics of plasma sheet ion and electron populations  
 566 during undisturbed geomagnetic conditions. *Journal of Geophysical Research:*  
 567 *Space Physics*, *94*(A10), 13409-13424. doi: 10.1029/JA094iA10p13409
- 568 Christon, S. P., Williams, D. J., Mitchell, D. G., Huang, C. Y., & Frank, L. A.  
 569 (1991). Spectral characteristics of plasma sheet ion and electron populations  
 570 during disturbed geomagnetic conditions. *Journal of Geophysical Research:*  
 571 *Space Physics*, *96*(A1), 1-22. doi: 10.1029/90JA01633
- 572 Denton, M. H., Henderson, M. G., Jordanova, V. K., Thomsen, M. F., Borovsky,  
 573 J. E., Woodroffe, J., ... Pitchford, D. (2016). An improved empirical model  
 574 of electron and ion fluxes at geosynchronous orbit based on upstream solar  
 575 wind conditions. *Space Weather*, *14*(7), 511-523. Retrieved from [https://](https://agupubs.onlinelibrary.wiley.com/doi/abs/10.1002/2016SW001409)  
 576 [agupubs.onlinelibrary.wiley.com/doi/abs/10.1002/2016SW001409](https://agupubs.onlinelibrary.wiley.com/doi/abs/10.1002/2016SW001409) doi:  
 577 10.1002/2016SW001409
- 578 De Zeeuw, D. L., Sazykin, S., Wolf, R. A., Gombosi, T. I., Ridley, A. J., & Tóth, G.  
 579 (2004). Coupling of a global MHD code and an inner magnetospheric model:  
 580 Initial results. *Journal of Geophysical Research: Space Physics*, *109*(A12). doi:  
 581 10.1029/2003JA010366
- 582 Dubyagin, S., Ganushkina, N. Y., Sillanpää, I., & Runov, A. (2016). Solar wind-  
 583 driven variations of electron plasma sheet densities and temperatures beyond  
 584 geostationary orbit during storm times. *Journal of Geophysical Research:*  
 585 *Space Physics*, *121*(9), 8343-8360. doi: 10.1002/2016JA022947
- 586 Espinoza, C. M., Stepanova, M., Moya, P. S., Antonova, E. E., & Valdivia, J. A.  
 587 (2018). Ion and electron  $\kappa$  distribution functions along the plasma sheet.  
 588 *Geophysical Research Letters*, *45*(13), 6362-6370. doi: 10.1029/2018GL078631
- 589 Ferguson, D., Hilmer, R., & Davis, V. (2015, 03). Best Geosynchronous Earth Orbit  
 590 daytime spacecraft charging index. *Journal of Spacecraft and Rockets*, *52*, 526-  
 591 543. doi: 10.2514/1.A32959
- 592 Fok, M.-C., Buzulukova, N. Y., Chen, S.-H., Glocer, A., Nagai, T., Valek, P., &  
 593 Perez, J. D. (2014). The comprehensive inner magnetosphere-ionosphere  
 594 model. *Journal of Geophysical Research: Space Physics*, *119*(9), 7522-7540.  
 595 doi: 10.1002/2014JA020239
- 596 Fok, M.-C., Moore, T. E., & Spjeldvik, W. N. (2001). Rapid enhancement of ra-  
 597 diation belt electron fluxes due to substorm dipolarization of the geomagnetic  
 598 field. *Journal of Geophysical Research: Space Physics*, *106*(A3), 3873-3881.  
 599 doi: 10.1029/2000JA000150
- 600 Fok, M.-C., Wolf, R. A., Spiro, R. W., & Moore, T. E. (2001). Comprehensive



- 601 computational model of Earth's ring current. *Journal of Geophysical Research:*  
 602 *Space Physics*, 106(A5), 8417-8424. doi: 10.1029/2000JA000235
- 603 Fujimoto, M., Terasawa, T., Mukai, T., Saito, Y., Yamamoto, T., & Kokubun, S.  
 604 (1998). Plasma entry from the flanks of the near-Earth magnetotail: Geotail  
 605 observations. *Journal of Geophysical Research: Space Physics*, 103(A3), 4391-  
 606 4408. Retrieved from [https://agupubs.onlinelibrary.wiley.com/doi/abs/](https://agupubs.onlinelibrary.wiley.com/doi/abs/10.1029/97JA03340)  
 607 [10.1029/97JA03340](https://agupubs.onlinelibrary.wiley.com/doi/abs/10.1029/97JA03340) doi: 10.1029/97JA03340
- 608 Gabrielse, C., Angelopoulos, V., Runov, A., & Turner, D. L. (2014). Statistical  
 609 characteristics of particle injections throughout the equatorial magnetotail.  
 610 *Journal of Geophysical Research: Space Physics*, 119(4), 2512-2535. Retrieved  
 611 from [https://agupubs.onlinelibrary.wiley.com/doi/abs/10.1002/](https://agupubs.onlinelibrary.wiley.com/doi/abs/10.1002/2013JA019638)  
 612 [2013JA019638](https://agupubs.onlinelibrary.wiley.com/doi/abs/10.1002/2013JA019638) doi: 10.1002/2013JA019638
- 613 Ganushkina, N. Y., Amariutei, O. A., Shprits, Y. Y., & Liemohn, M. W. (2013).  
 614 Transport of the plasma sheet electrons to the geostationary distances.  
 615 *Journal of Geophysical Research: Space Physics*, 118(1), 82-98. doi:  
 616 [10.1029/2012JA017923](https://doi.org/10.1029/2012JA017923)
- 617 Ganushkina, N. Y., Liemohn, M. W., Amariutei, O. A., & Pitchford, D. (2014).  
 618 Low-energy electrons (5–50 keV) in the inner magnetosphere. *Journal*  
 619 *of Geophysical Research: Space Physics*, 119(1), 246-259. doi: 10.1002/  
 620 [2013JA019304](https://doi.org/10.1002/2013JA019304)
- 621 Ganushkina, N. Y., Pulkkinen, T. I., Milillo, A., & Liemohn, M. (2006). Evolu-  
 622 tion of the proton ring current energy distribution during 2125 April 2001  
 623 storm. *Journal of Geophysical Research: Space Physics*, 111(A11). doi:  
 624 [10.1029/2006JA011609](https://doi.org/10.1029/2006JA011609)
- 625 Harel, M., Wolf, R. A., Reiff, P. H., Spiro, R. W., Burke, W. J., Rich, F. J., &  
 626 Smiddy, M. (1981). Quantitative simulation of a magnetospheric substorm 1.  
 627 Model logic and overview. *Journal of Geophysical Research: Space Physics*,  
 628 86(A4), 2217-2241. doi: 10.1029/JA086iA04p02217
- 629 Hasegawa, H., Fujimoto, M., Saito, Y., & Mukai, T. (2004). Dense and stagnant ions  
 630 in the low-latitude boundary region under northward interplanetary magnetic  
 631 field. *Geophysical Research Letters*, 31(6). doi: 10.1029/2003GL019120
- 632 Jordanova, V. K., Boonsirisetth, A., Thorne, R. M., & Dotan, Y. (2003). Ring  
 633 current asymmetry from global simulations using a high-resolution electric  
 634 field model. *Journal of Geophysical Research: Space Physics*, 108(A12). doi:  
 635 [10.1029/2003JA009993](https://doi.org/10.1029/2003JA009993)
- 636 Jordanova, V. K., Kistler, L. M., Thomsen, M. F., & Mouikis, C. G. (2003). Ef-  
 637 fects of plasma sheet variability on the fast initial ring current decay. *Geophys-*  
 638 *ical Research Letters*, 30(6). doi: 10.1029/2002GL016576
- 639 Jordanova, V. K., & Miyoshi, Y. (2005). Relativistic model of ring current and  
 640 radiation belt ions and electrons: Initial results. *Geophysical Research Letters*,  
 641 32(14). doi: 10.1029/2005GL023020
- 642 Jordanova, V. K., Yu, Y., Niehof, J. T., Skoug, R. M., Reeves, G. D., Kletzing,  
 643 C. A., ... Spence, H. E. (2014). Simulations of inner magnetosphere dy-  
 644 namics with an expanded RAM-SCB model and comparisons with Van Allen  
 645 Probes observations. *Geophysical Research Letters*, 41(8), 2687-2694. doi:  
 646 [10.1002/2014GL059533](https://doi.org/10.1002/2014GL059533)
- 647 Korth, H., Thomsen, M. F., Borovsky, J. E., & McComas, D. J. (1999). Plasma  
 648 sheet access to geosynchronous orbit. *Journal of Geophysical Research: Space*  
 649 *Physics*, 104(A11), 25047-25061. doi: 10.1029/1999JA900292
- 650 Liemohn, M. W., & Welling, D. T. (2016). Ionospheric and solar wind contribu-  
 651 tions to magnetospheric ion density and temperature throughout the mag-  
 652 netotail. In *Magnetosphere-ionosphere coupling in the solar system* (p. 101-  
 653 114). American Geophysical Union (AGU). Retrieved from [https://](https://agupubs.onlinelibrary.wiley.com/doi/abs/10.1002/9781119066880.ch8)  
 654 [agupubs.onlinelibrary.wiley.com/doi/abs/10.1002/9781119066880.ch8](https://agupubs.onlinelibrary.wiley.com/doi/abs/10.1002/9781119066880.ch8)  
 655 doi: 10.1002/9781119066880.ch8

- 556 Livadiotis, G. (2015). Introduction to special section on origins and properties of  
 557 kappa distributions: Statistical background and properties of kappa distri-  
 558 butions in space plasmas. *Journal of Geophysical Research: Space Physics*,  
 559 *120*(3), 1607-1619. doi: 10.1002/2014JA020825
- 560 Matéo-Vélez, J.-C., Sicard, A., Payan, D., Ganushkina, N., Meredith, N. P., &  
 561 Sillanpää, I. (2018). Spacecraft surface charging induced by severe environ-  
 562 ments at geosynchronous orbit. *Space Weather*, *16*(1), 89-106. Retrieved  
 563 from [https://agupubs.onlinelibrary.wiley.com/doi/abs/10.1002/](https://agupubs.onlinelibrary.wiley.com/doi/abs/10.1002/2017SW001689)  
 564 [2017SW001689](https://agupubs.onlinelibrary.wiley.com/doi/abs/10.1002/2017SW001689) doi: 10.1002/2017SW001689
- 565 McFadden, J. P., Carlson, C. W., Larson, D., Bonnell, J., Mozer, F., Angelopou-  
 566 los, V., ... Auster, U. (2008, Dec 01). THEMIS ESA first science results  
 567 and performance issues. *Space Science Reviews*, *141*(1), 477-508. doi:  
 568 10.1007/s11214-008-9433-1
- 569 McFadden, J. P., Carlson, C. W., Larson, D., Ludlam, M., Abiad, R., Elliott, B.,  
 570 ... Angelopoulos, V. (2008, Dec 01). The THEMIS ESA plasma instru-  
 571 ment and in-flight calibration. *Space Science Reviews*, *141*(1), 277-302. doi:  
 572 10.1007/s11214-008-9440-2
- 573 Nelder, J. A., & Mead, R. (1965). A simplex method for function minimization. *The*  
 574 *Computer Journal*, *7*(4), 308-313. doi: 10.1093/comjnl/7.4.308
- 575 Paschmann, G., & Daly, P. (1998). *Analysis methods for multi-spacecraft data*. Inter-  
 576 national Space Science Institute.
- 577 Runov, A., Angelopoulos, V., Gabrielse, C., Liu, J., Turner, D. L., & Zhou, X.-Z.  
 578 (2015). Average thermodynamic and spectral properties of plasma in and  
 579 around dipolarizing flux bundles. *Journal of Geophysical Research: Space*  
 580 *Physics*, *120*(6), 4369-4383. doi: 10.1002/2015JA021166
- 581 Sillanpää, I., Ganushkina, N. Y., Dubyagin, S., & Rodriguez, J. V. (2017). Elec-  
 582 tron fluxes at geostationary orbit from GOES MAGED data. *Space Weather*,  
 583 *15*(12), 1602-1614. doi: 10.1002/2017SW001698
- 584 Thomsen, M. F., Bame, S. J., McComas, D. J., Moldwin, M. B., & Moore, K. R.  
 585 (1994). The magnetospheric lobe at geosynchronous orbit. *Journal of Geophys-  
 586 ical Research: Space Physics*, *99*(A9), 17283-17293. doi: 10.1029/94JA00423
- 587 Thomsen, M. F., Henderson, M. G., & Jordanova, V. K. (2013). Statistical prop-  
 588 erties of the surface-charging environment at geosynchronous orbit. *Space*  
 589 *Weather*, *11*(5), 237-244. Retrieved from [https://agupubs.onlinelibrary](https://agupubs.onlinelibrary.wiley.com/doi/abs/10.1002/swe.20049)  
 590 [.wiley.com/doi/abs/10.1002/swe.20049](https://agupubs.onlinelibrary.wiley.com/doi/abs/10.1002/swe.20049) doi: 10.1002/swe.20049
- 591 Toffoletto, F., Sazykin, S., Spiro, R., & Wolf, R. (2003). Inner magnetospheric mod-  
 592 eling with the rice convection model. *Space Science Reviews*, *107*(1), 175196.  
 593 doi: 10.1023/A:1025532008047
- 594 Toffoletto, F., Sazykin, S., Spiro, R., Wolf, R., & Lyon, J. (2004). RCM meets  
 595 LFM: initial results of one-way coupling. *Journal of Atmospheric and Solar-  
 596 Terrestrial Physics*, *66*(15), 1361 - 1370. (Towards an Integrated Model of the  
 597 Space Weather System) doi: <https://doi.org/10.1016/j.jastp.2004.03.022>
- 598 Vasyliunas, V. M. (1968). A survey of low-energy electrons in the evening sector of  
 599 the magnetosphere with OGO 1 and OGO 3. *Journal of Geophysical Research*,  
 700 *73*(9), 2839-2884. doi: 10.1029/JA073i009p02839
- 701 Walsh, A. P., Fazakerley, A. N., Forsyth, C., Owen, C. J., Taylor, M. G. G. T., &  
 702 Rae, I. J. (2013). Sources of electron pitch angle anisotropy in the magnetotail  
 703 plasma sheet. *Journal of Geophysical Research: Space Physics*, *118*(10), 6042-  
 704 6054. Retrieved from [https://agupubs.onlinelibrary.wiley.com/doi/abs/](https://agupubs.onlinelibrary.wiley.com/doi/abs/10.1002/jgra.50553)  
 705 [10.1002/jgra.50553](https://agupubs.onlinelibrary.wiley.com/doi/abs/10.1002/jgra.50553) doi: 10.1002/jgra.50553
- 706 Wang, C.-P., Gkioulidou, M., Lyons, L. R., & Angelopoulos, V. (2012). Spatial dis-  
 707 tributions of the ion to electron temperature ratio in the magnetosheath and  
 708 plasma sheet. *Journal of Geophysical Research: Space Physics*, *117*(A8). doi:  
 709 10.1029/2012JA017658
- 710 Wang, C.-P., Lyons, L. R., Nagai, T., Weygand, J. M., & McEntire, R. W. (2007).

- 711 Sources, transport, and distributions of plasma sheet ions and electrons and  
712 dependences on interplanetary parameters under northward interplanetary  
713 magnetic field. *Journal of Geophysical Research: Space Physics*, 112(A10).  
714 doi: 10.1029/2007JA012522
- 715 Wing, S., Johnson, J. R., Newell, P. T., & Meng, C.-I. (2005). Dawn-dusk asymme-  
716 tries, ion spectra, and sources in the northward interplanetary magnetic field  
717 plasma sheet. *Journal of Geophysical Research: Space Physics*, 110(A8). doi:  
718 10.1029/2005JA011086
- 719 Xiao, F., Shen, C., Wang, Y., Zheng, H., & Wang, S. (2008). Energetic electron  
720 distributions fitted with a relativistic kappa-type function at geosynchronous  
721 orbit. *Journal of Geophysical Research: Space Physics*, 113(A5). Retrieved  
722 from [https://agupubs.onlinelibrary.wiley.com/doi/abs/10.1029/  
723 2007JA012903](https://agupubs.onlinelibrary.wiley.com/doi/abs/10.1029/2007JA012903) doi: 10.1029/2007JA012903
- 724 Yu, Y., Rastätter, L., Jordanova, V. K., Zheng, Y., Engel, M., Fok, M.-C., &  
725 Kuznetsova, M. M. (2019). Initial results from the GEM challenge on the  
726 spacecraft surface charging environment. *Space Weather*, 17(2), 299-312.  
727 Retrieved from [https://agupubs.onlinelibrary.wiley.com/doi/abs/  
728 10.1029/2018SW002031](https://agupubs.onlinelibrary.wiley.com/doi/abs/10.1029/2018SW002031) doi: 10.1029/2018SW002031

Figure 1.

Author Manuscript

# Construction the boundary conditions for particle simulations of the inner magnetosphere

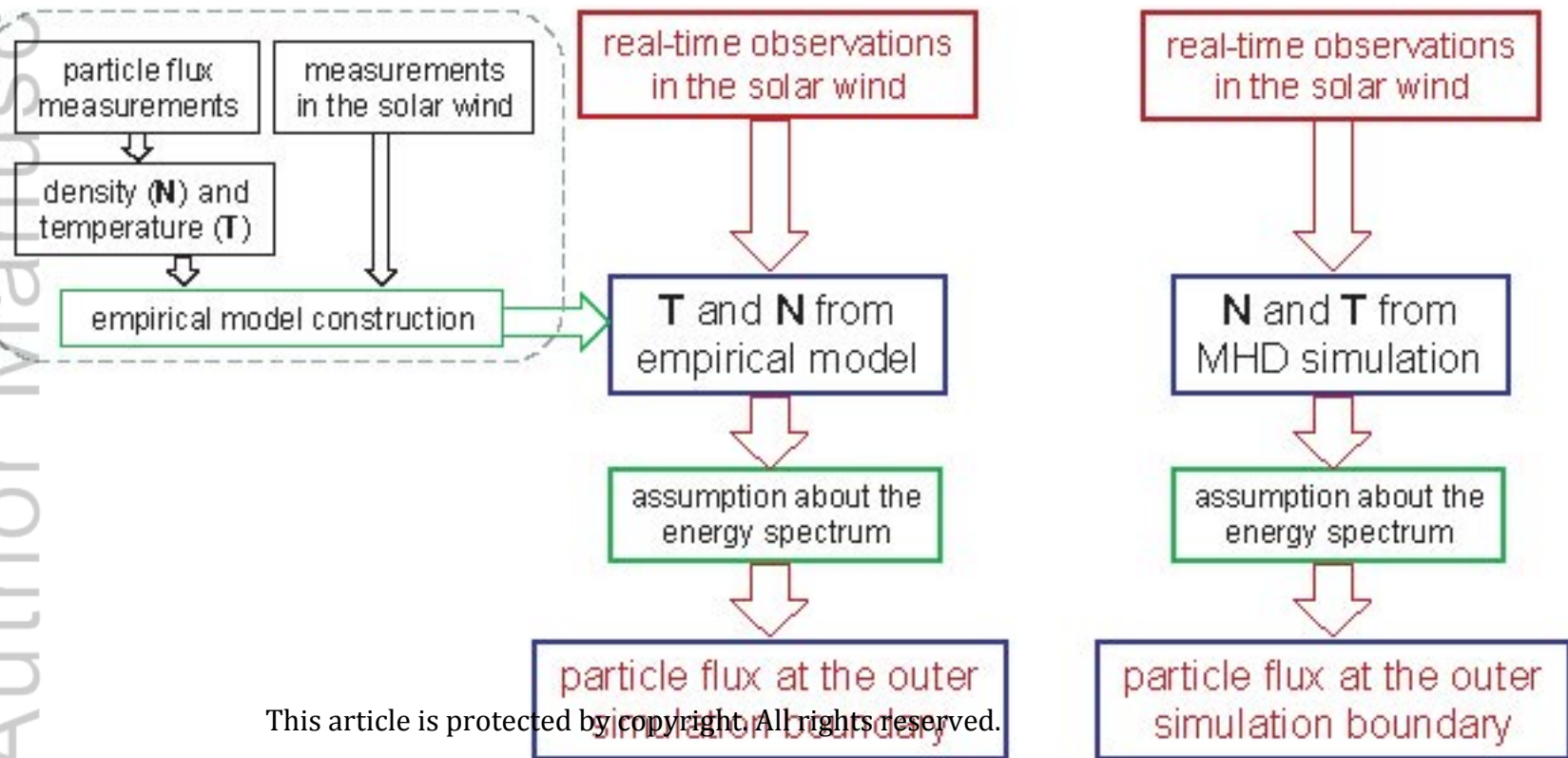


Figure 2.

Author Manuscript



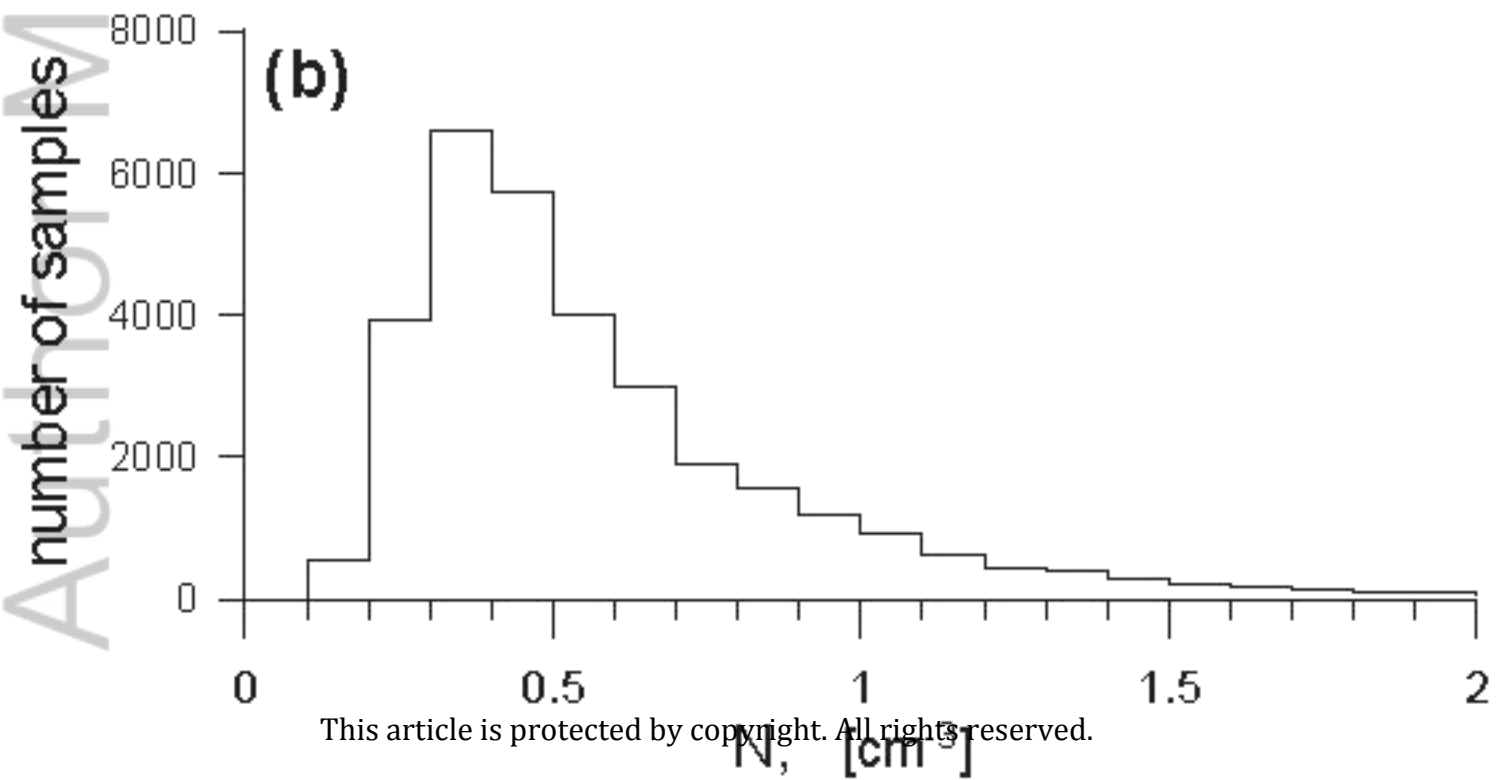
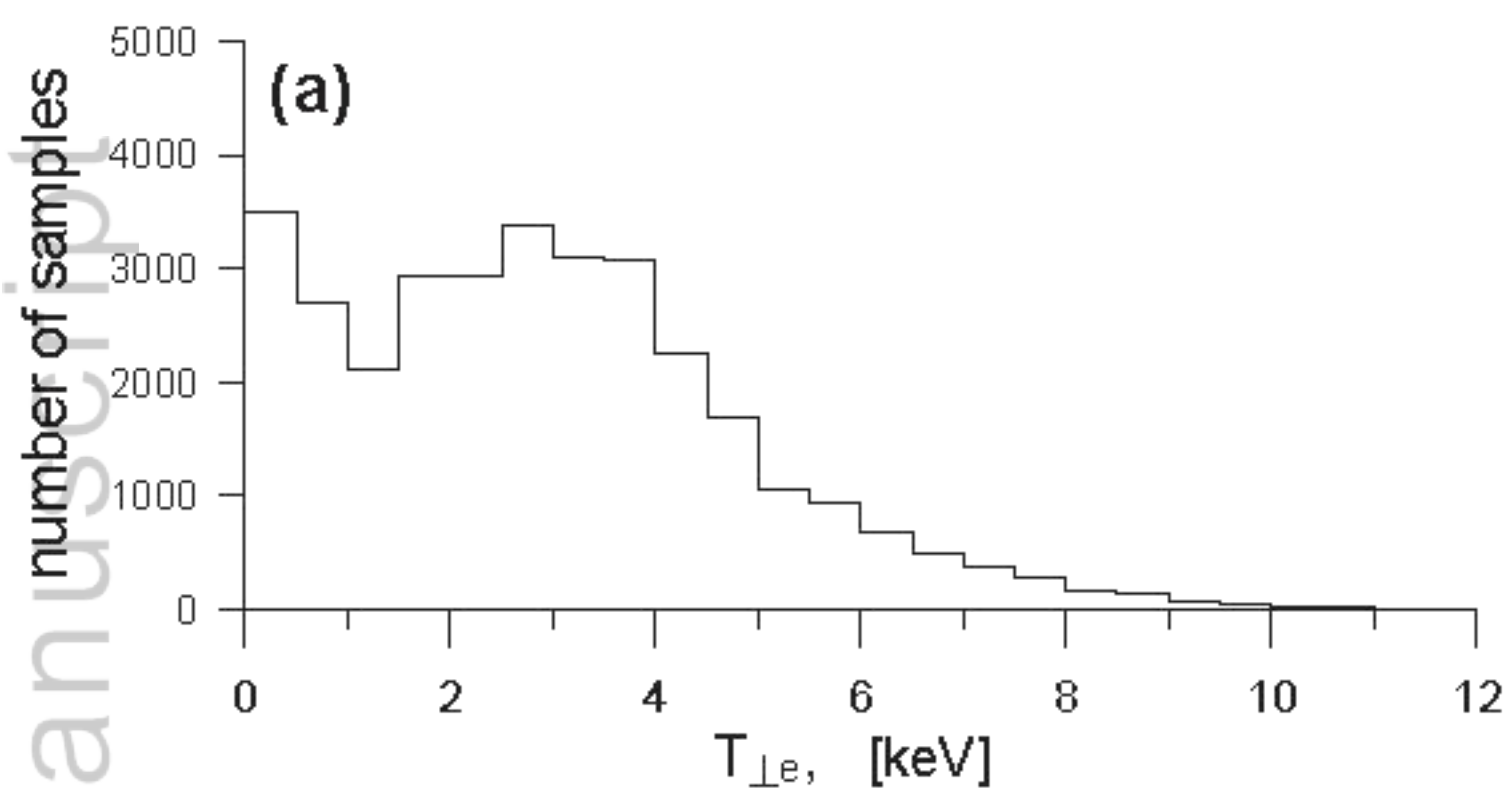


Figure 3.

Author Manuscript

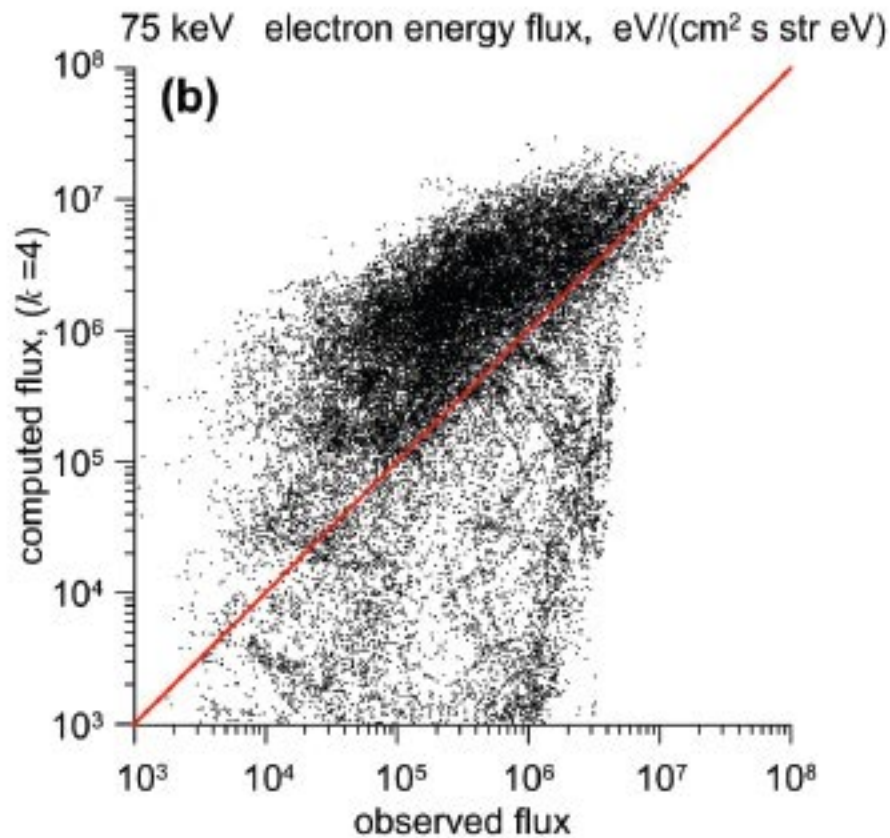
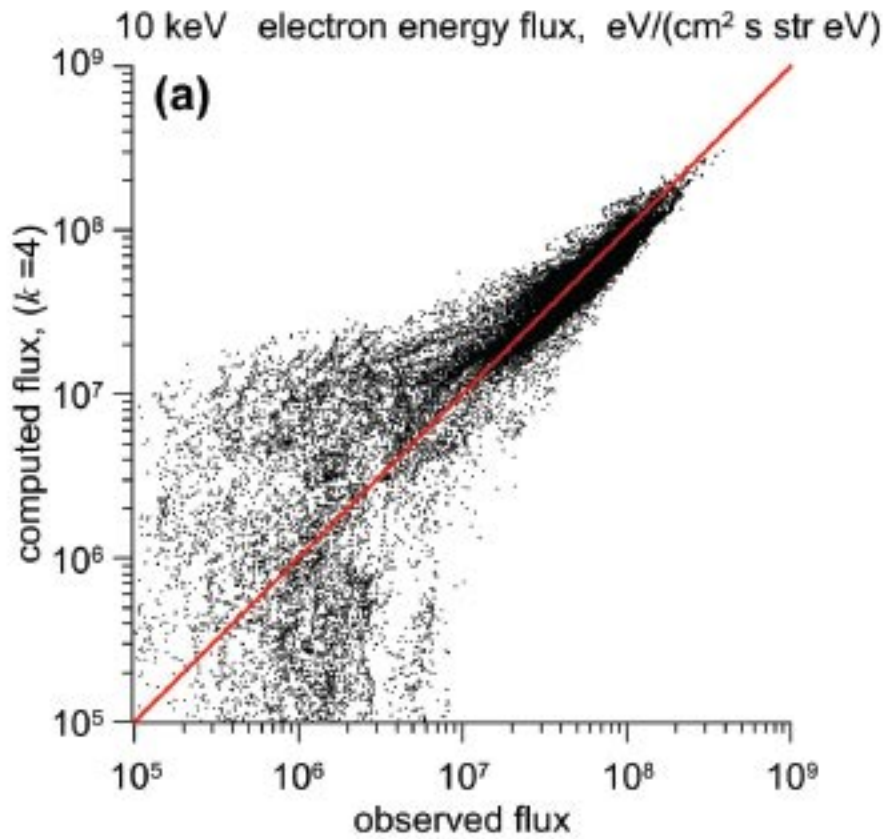


Figure 4.

Author Manuscript

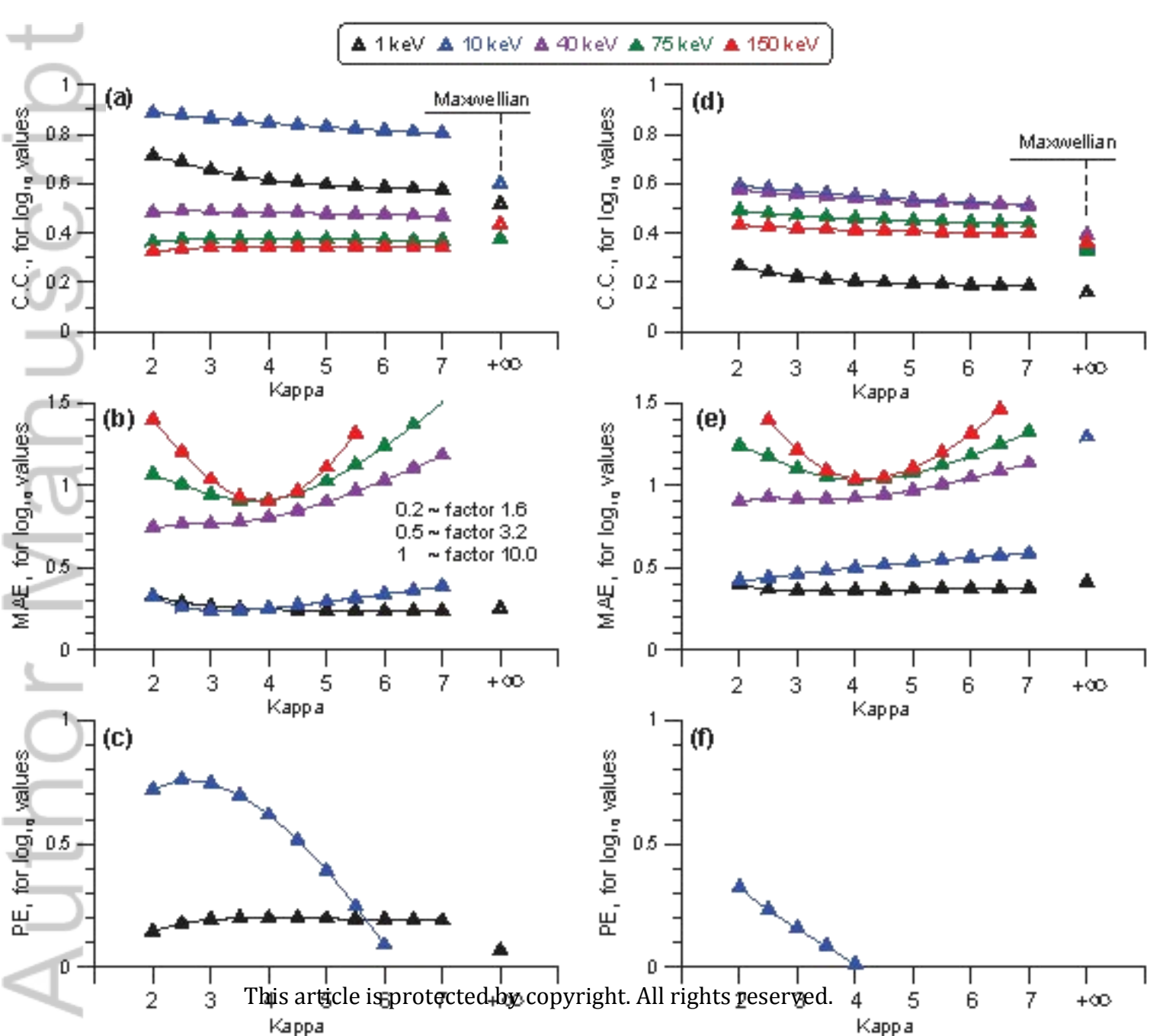


Figure 5.

Author Manuscript

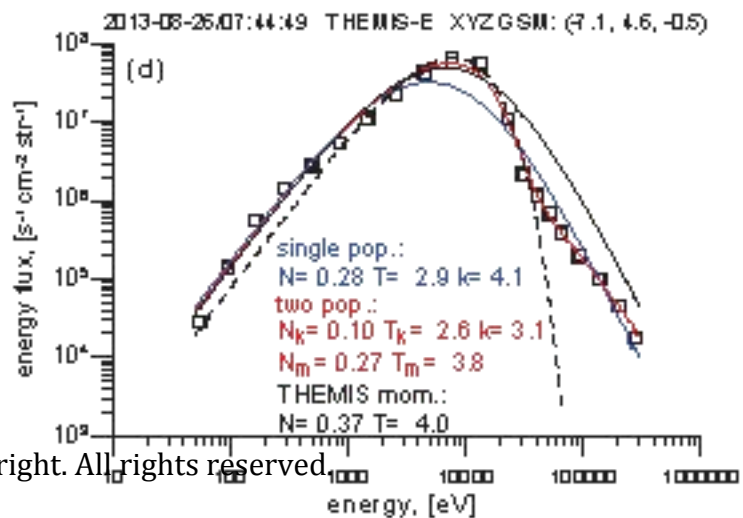
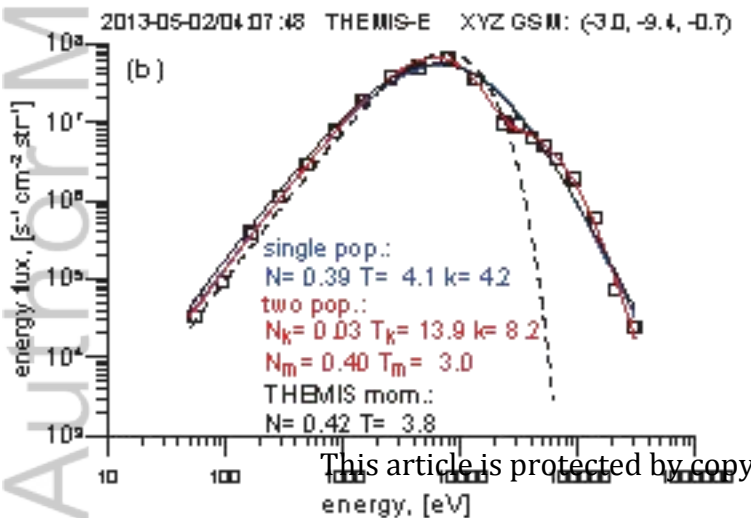
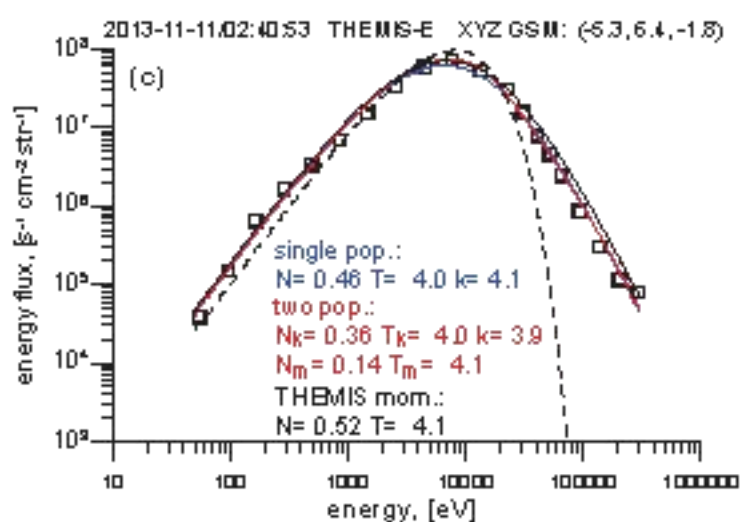
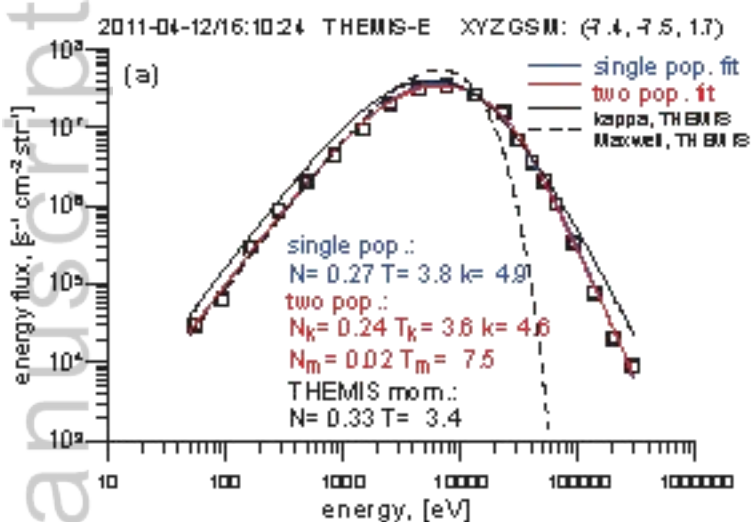




Figure 6.

Author Manuscript

— single pop. fit — two pop. fit

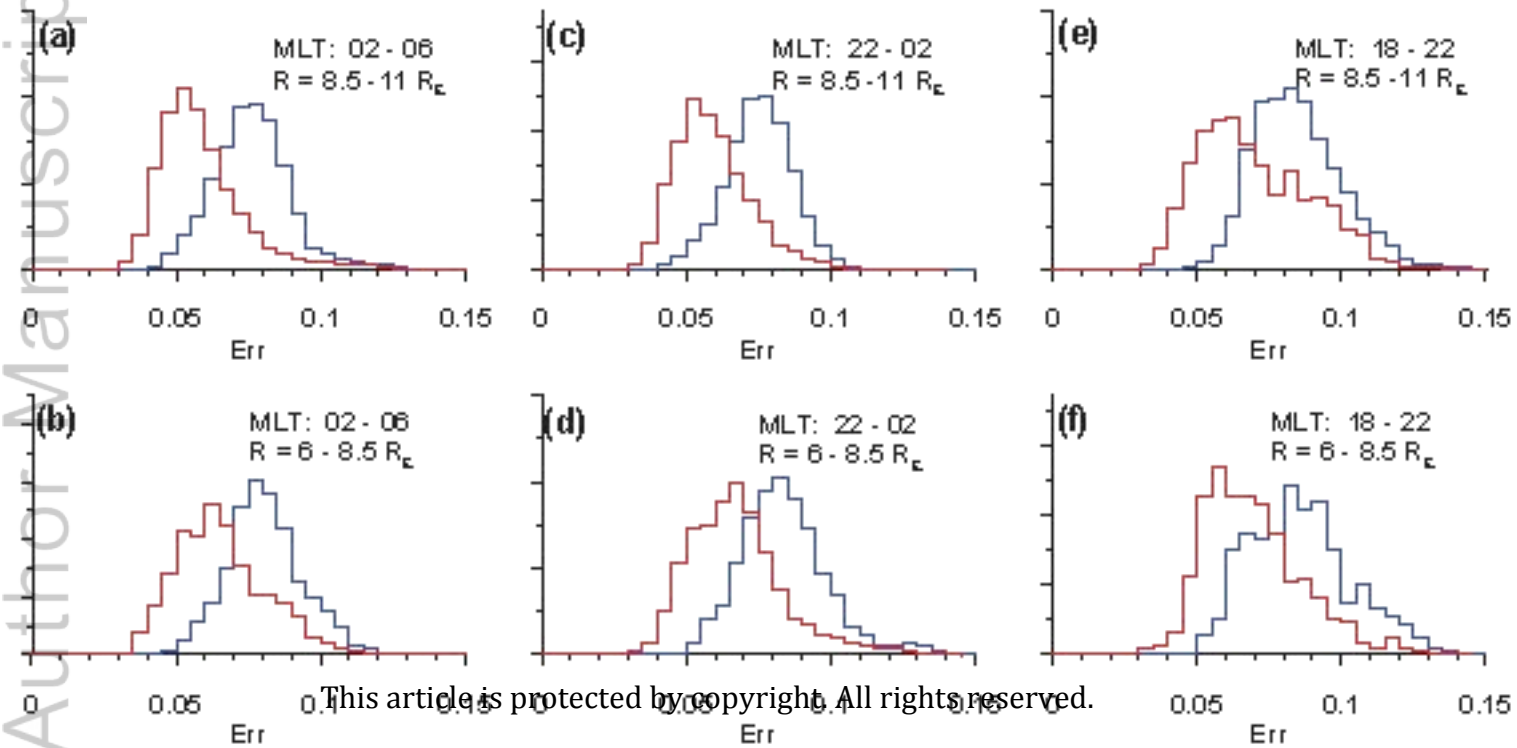


Figure 7.

Author Manuscript

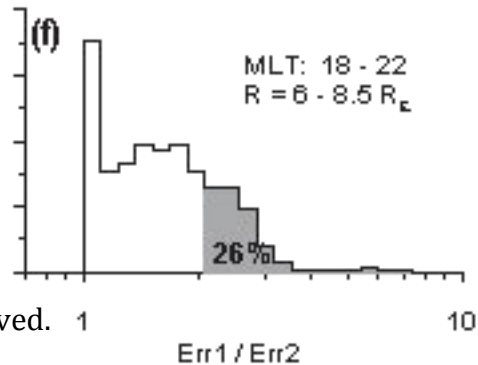
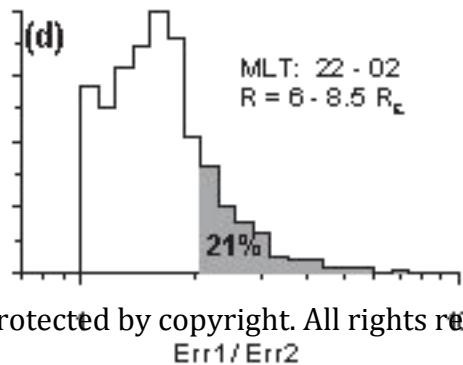
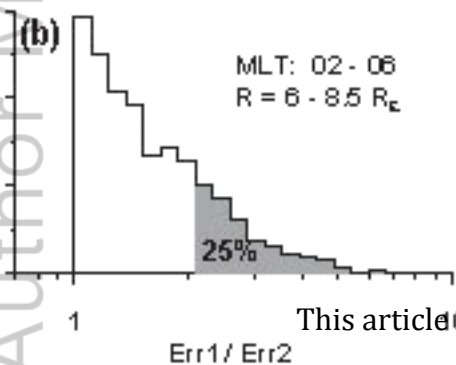
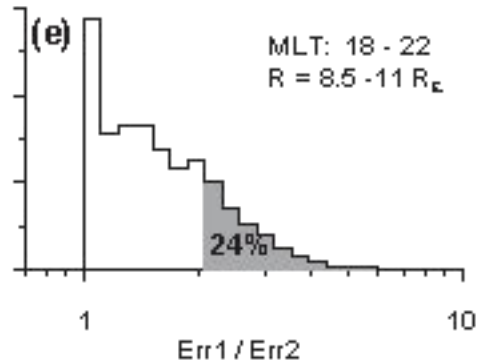
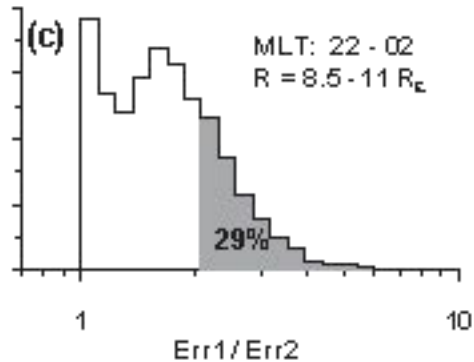
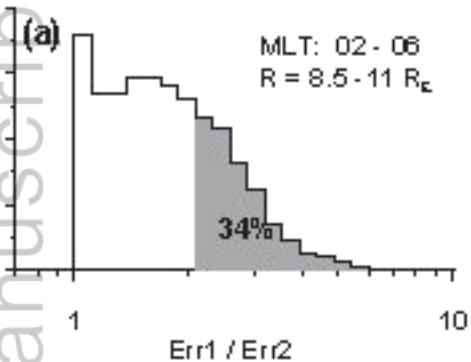


Figure 8.

Author Manuscript

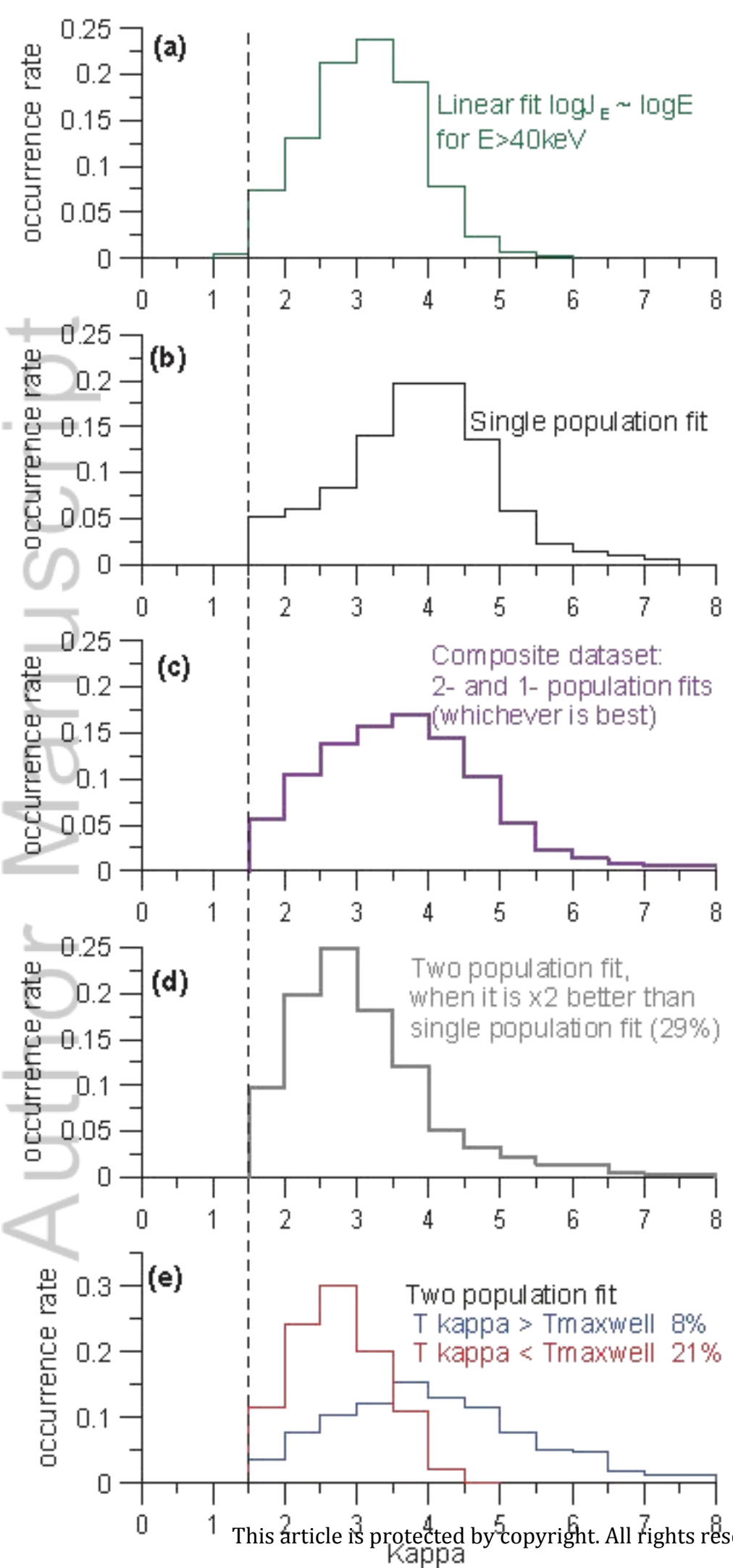


Figure 9.

Author Manuscript



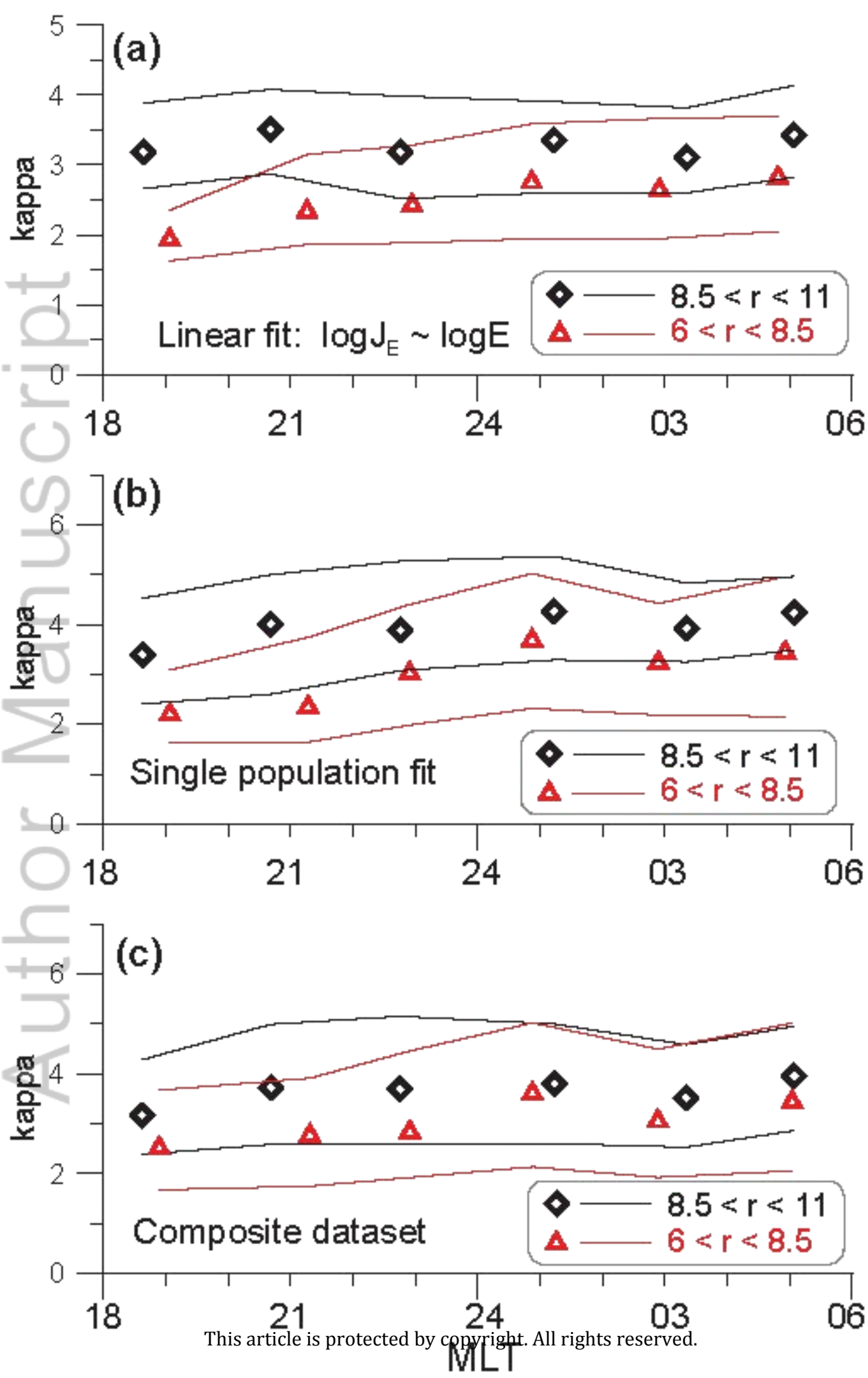
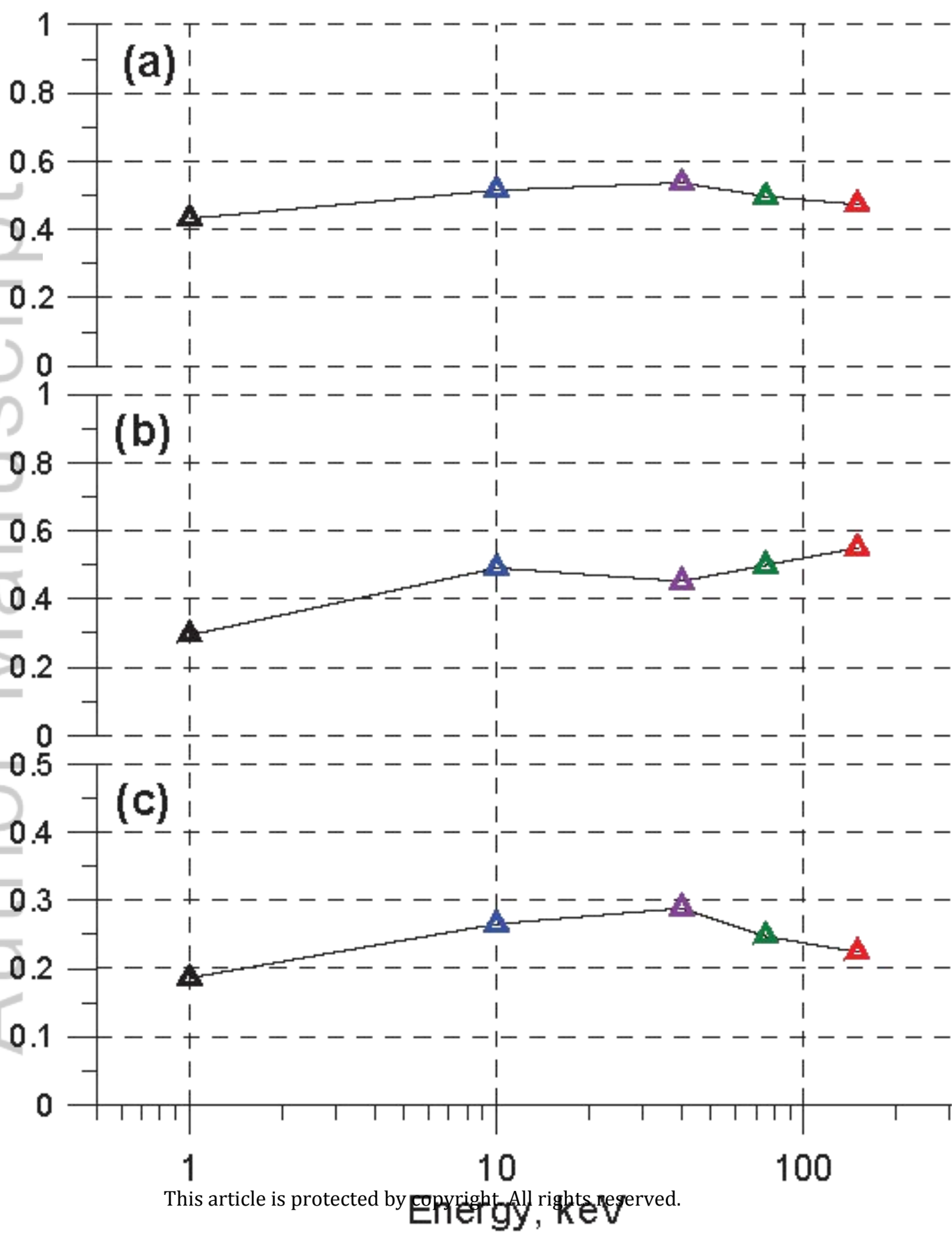
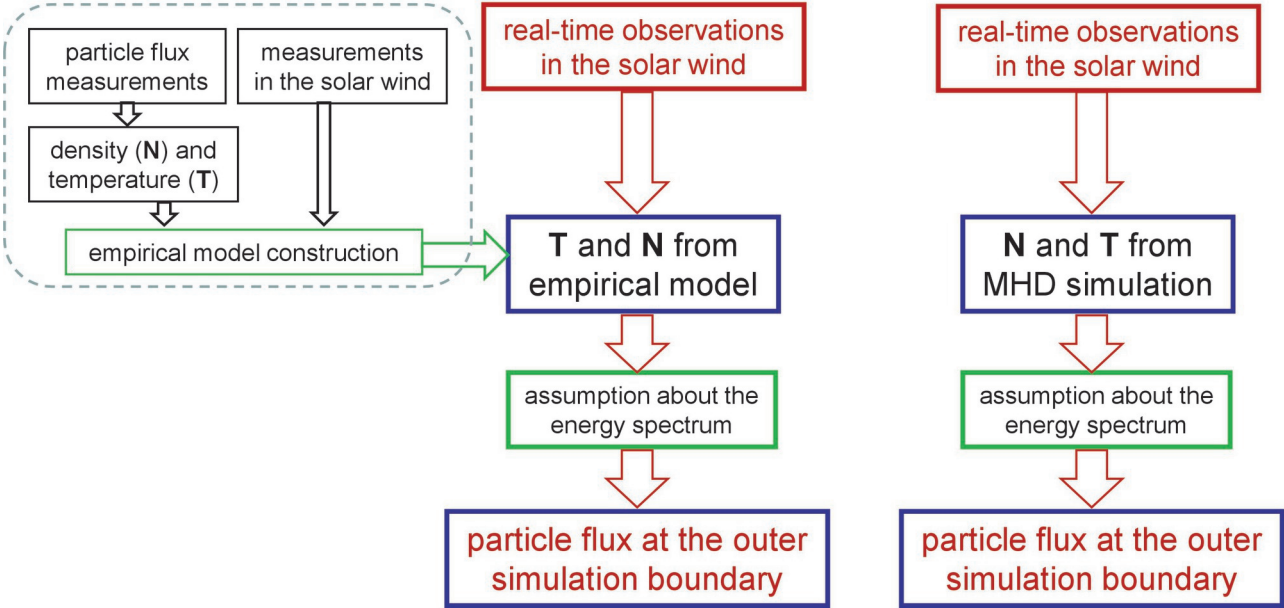


Figure 10.

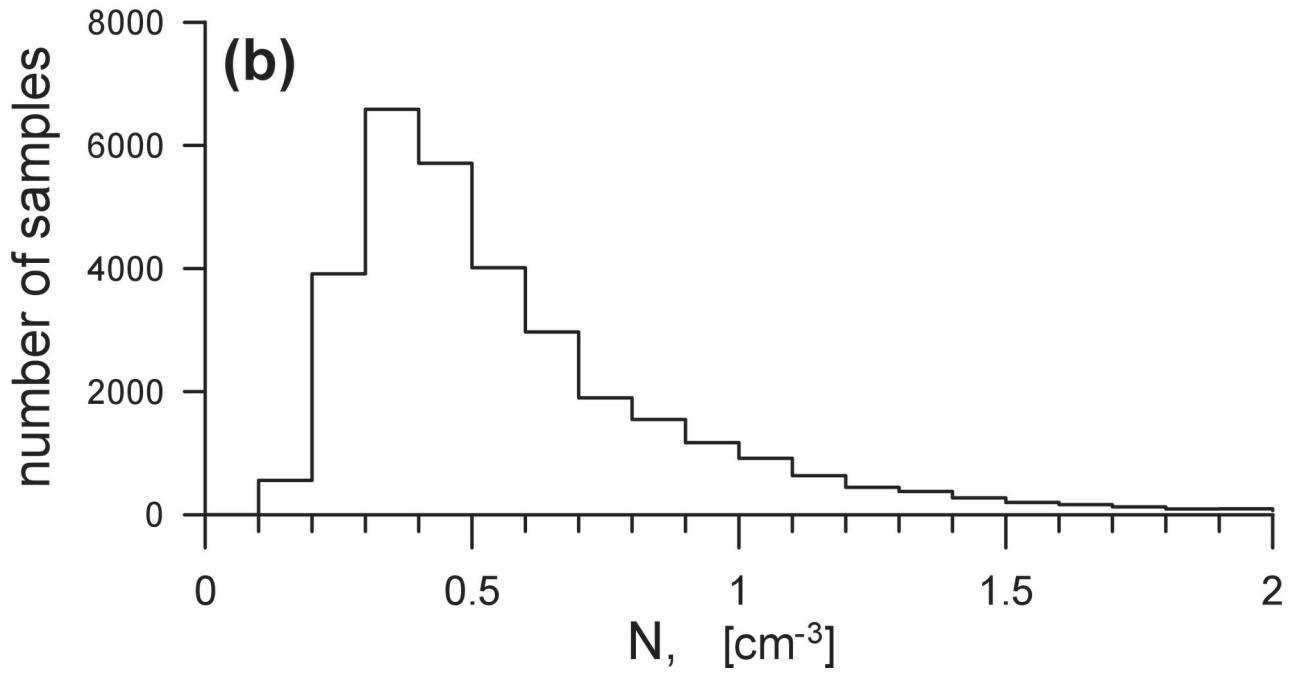
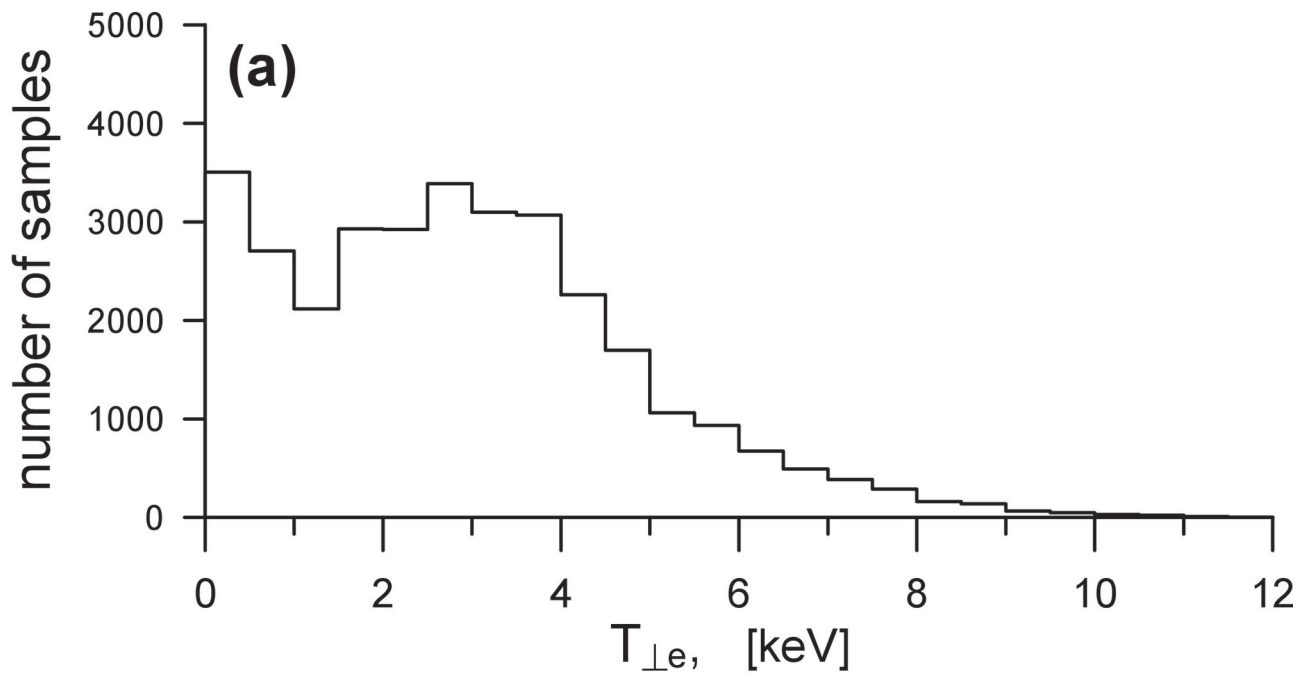
Author Manuscript



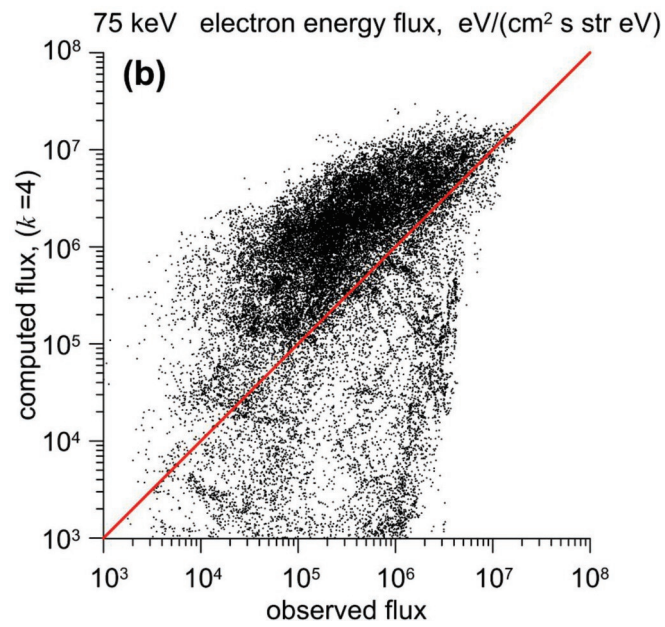
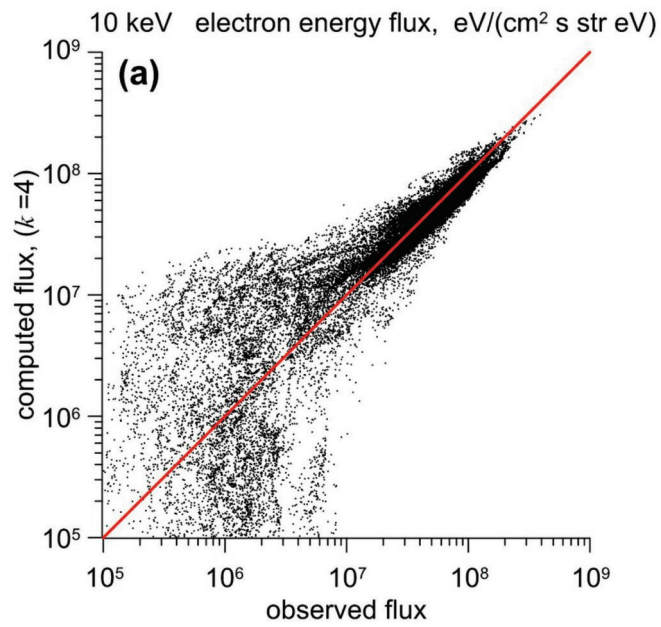
### Construction the boundary conditions for particle simulations of the inner magnetosphere



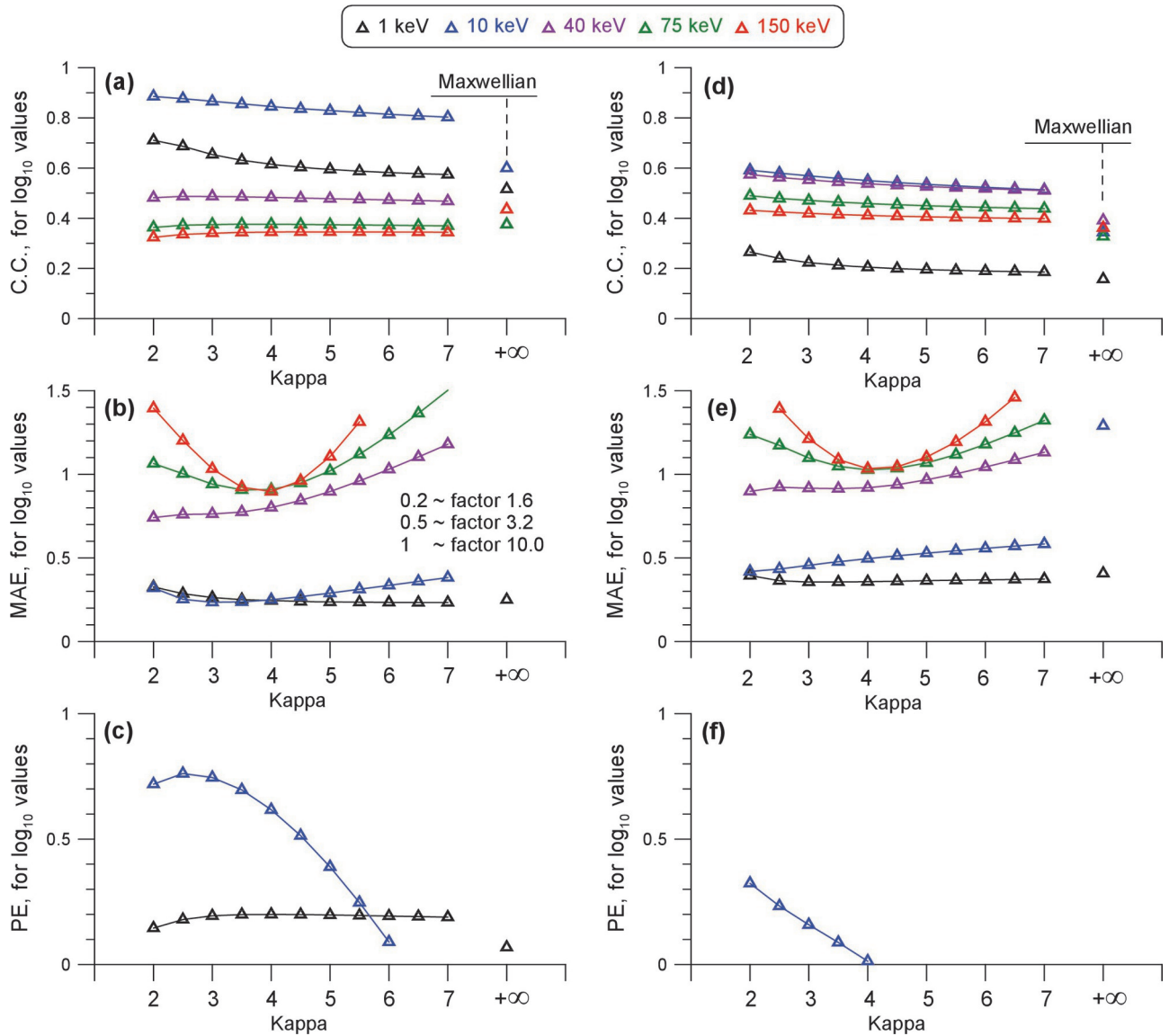
2019sw002285-f01-z-eps



2019sw002285-f02-z-eps

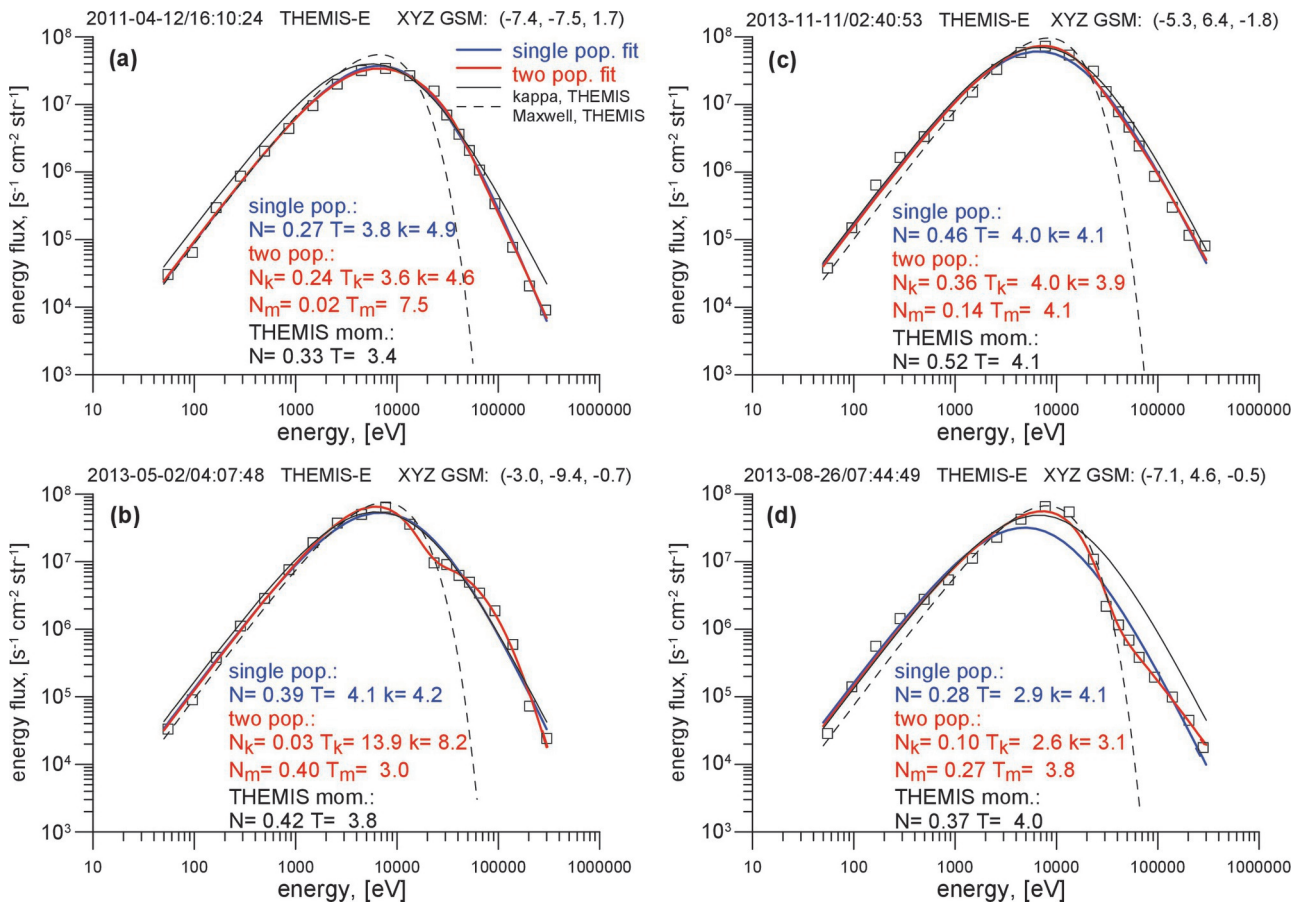


2019sw002285-f03-z-.eps

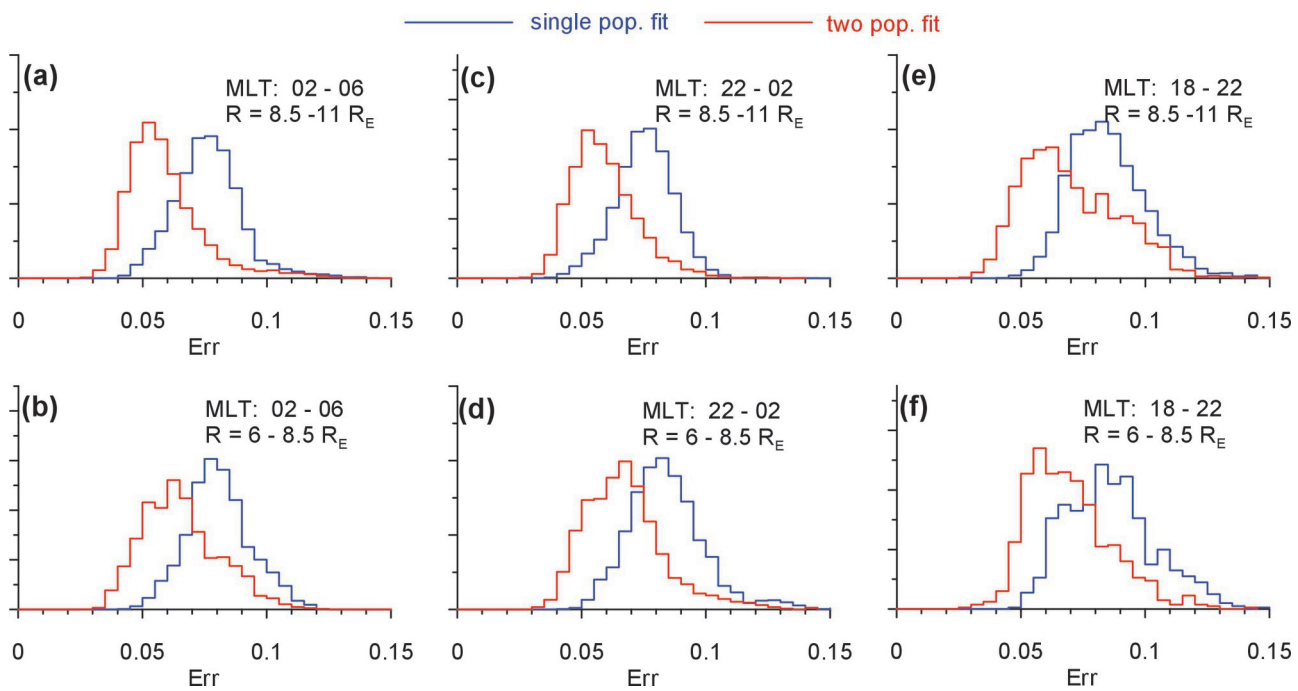


2019sw002285-f04-z-eps

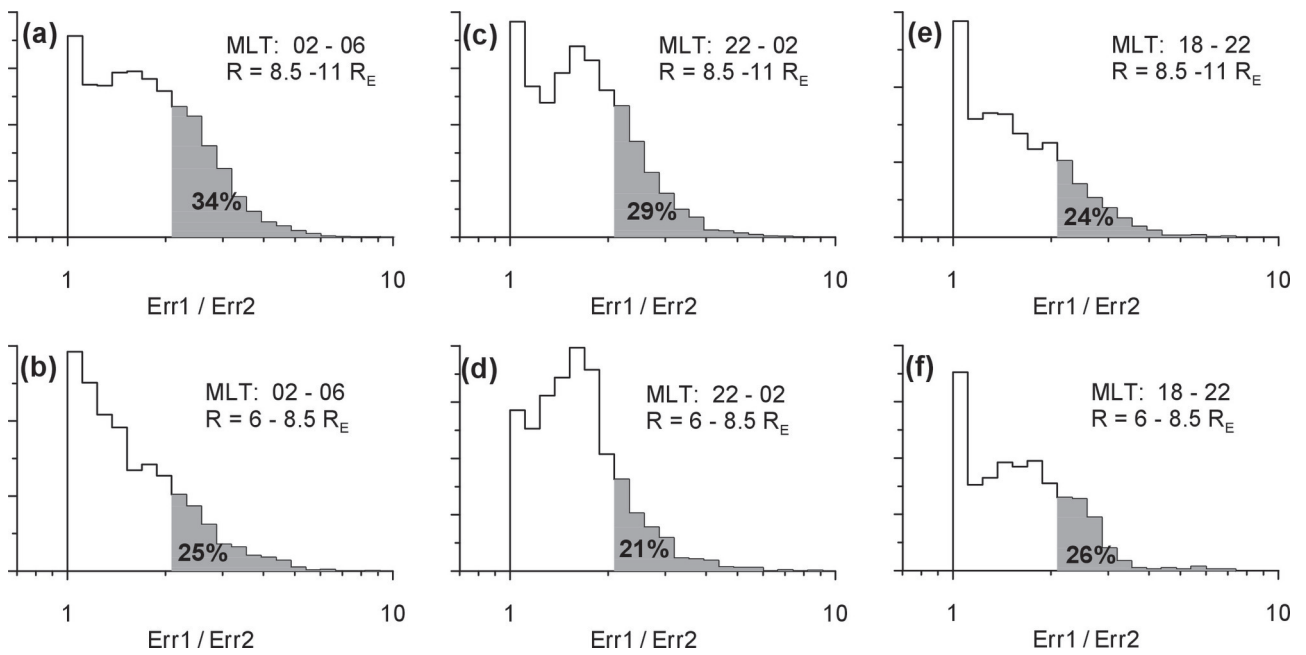




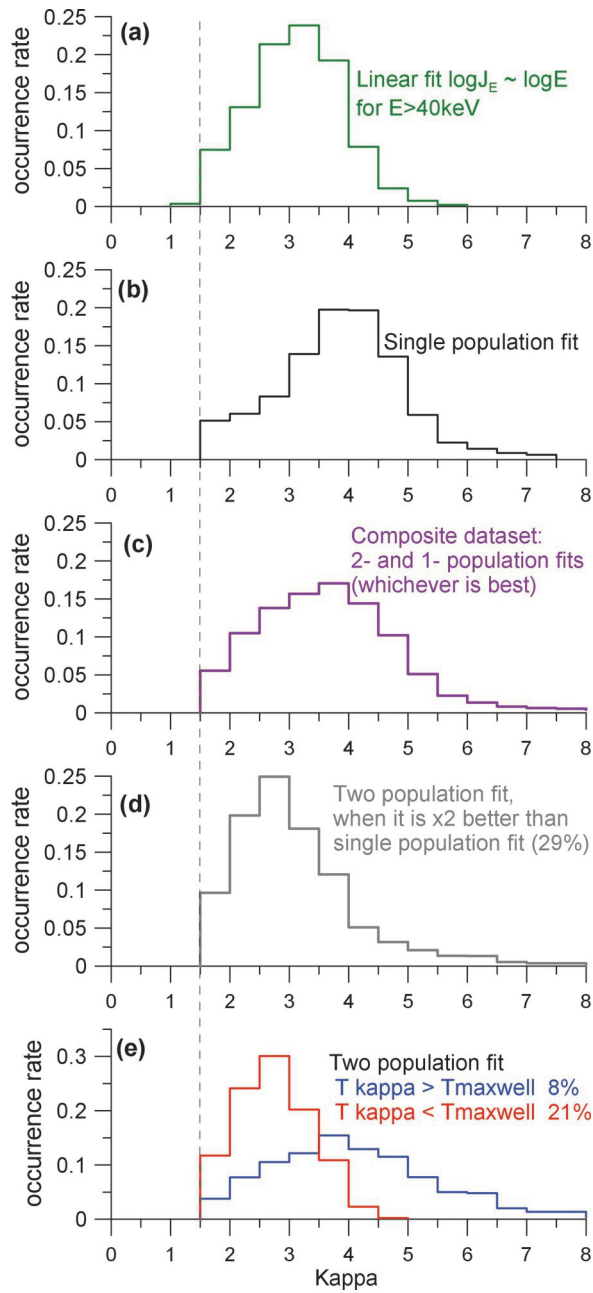
2019sw002285-f05-z.eps



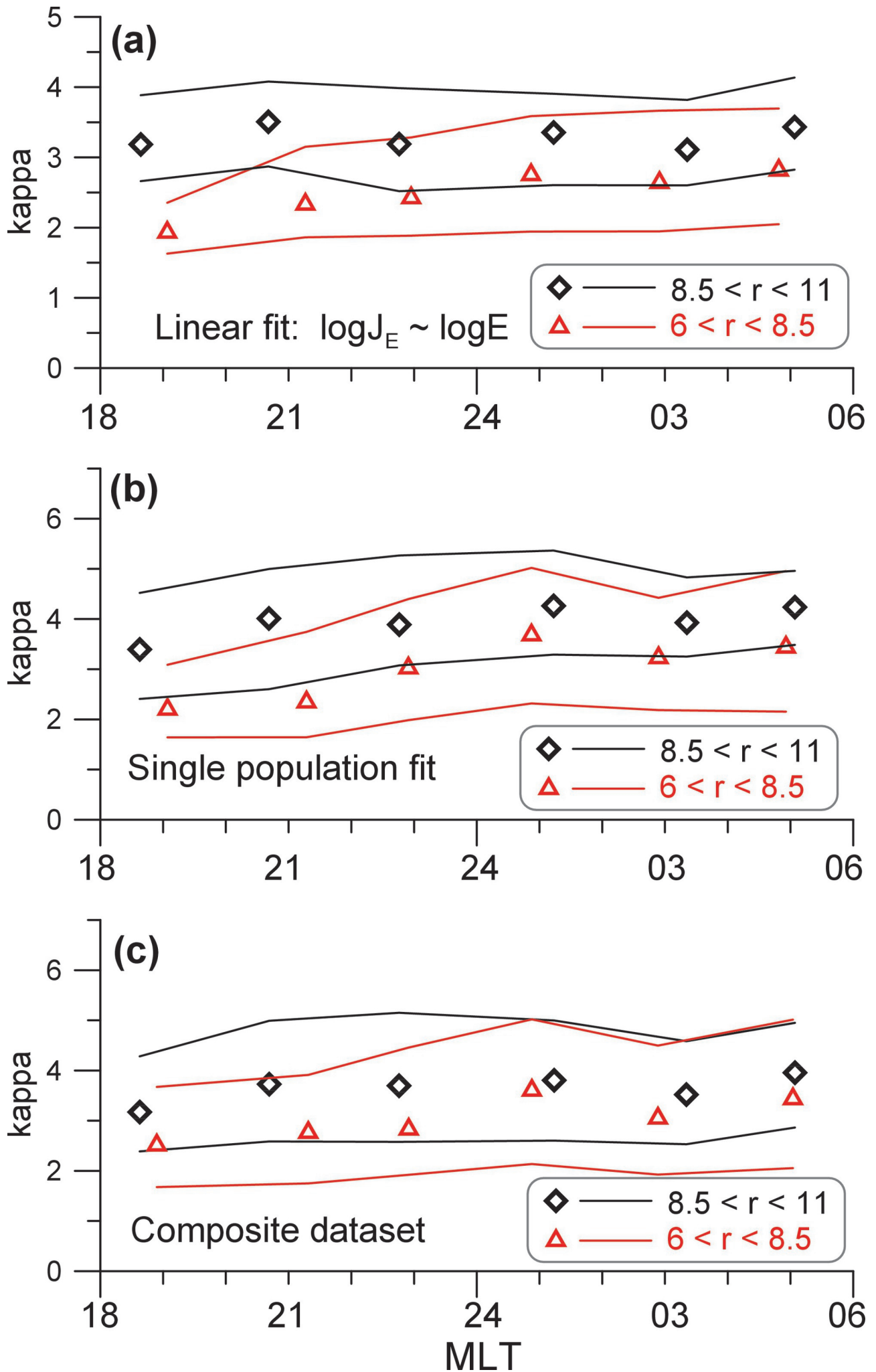
2019sw002285-f06-z-eps

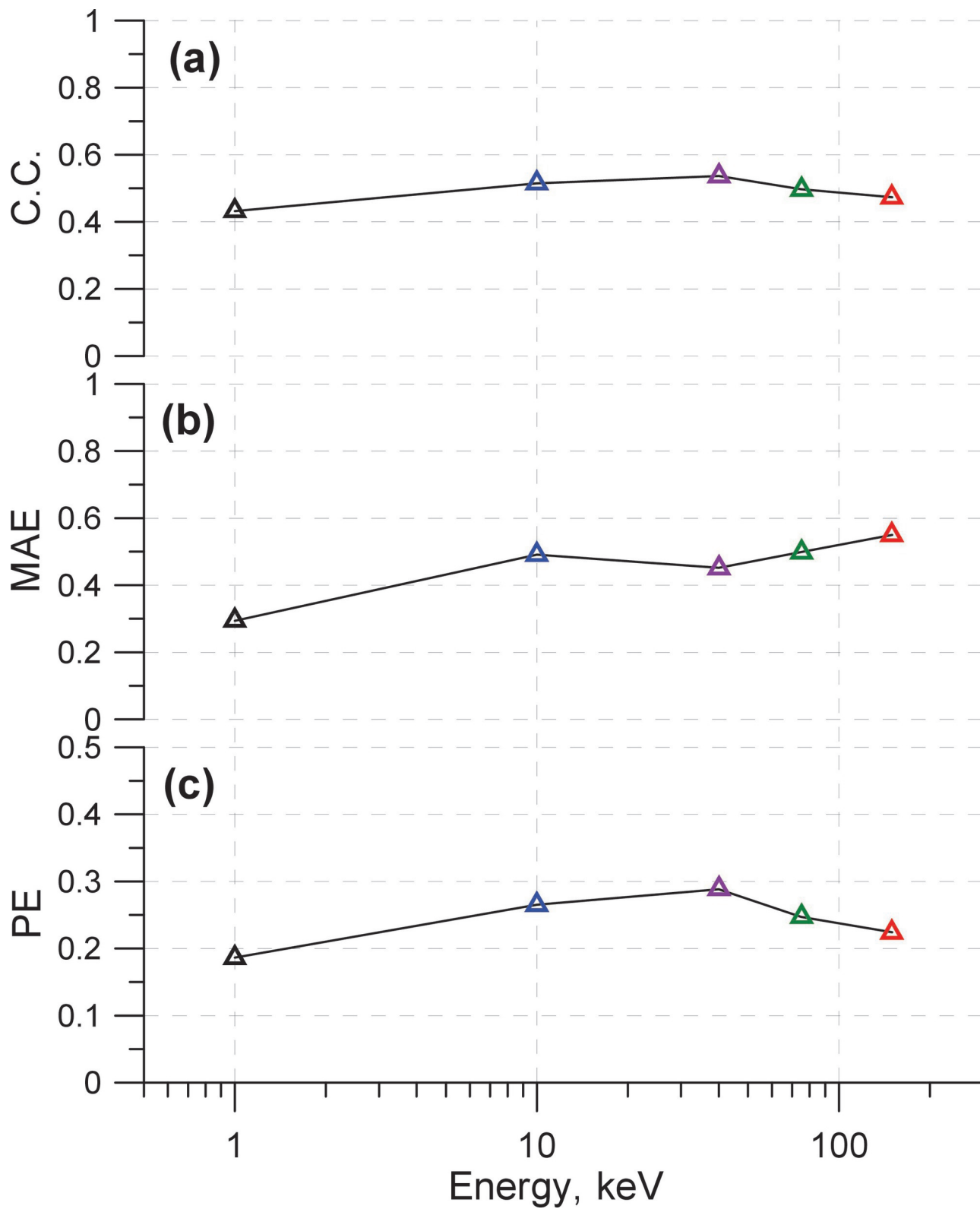


2019sw002285-f07-z-eps



2019sw002285-f08-z-eps





2019sw002285-f10-z-eps