Living Cell-based Regenerative Medicine Technologies for Periodontal Soft Tissue Augmentation

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۰. **2518 Words; 4 Figures; 1 Table; 46 References**

Running Title: Living cells-based technologies for periodontal plastic surgery

One Sentence Summary: Living cellular constructs demonstrate clinical safety and efficacy for use in augmenting keratinized tissue width and root coverage.

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Abstract

The cultivation of human living cells into scaffolding matrices has progressively gained popularity in the field of periodontal wound healing and regeneration. Living constructs based on fibroblasts, keratinocytes alone or in combination have been developed and used as alternatives to autogenous soft tissue grafts in keratinized tissue (KT) augmentation and in root coverage procedures. Their promising advantages include reduced patient morbidity, unlimited graft availability, and comparable esthetics. This manuscript reviews soft tissue augmentation and root coverage procedures using bioengineered living cellular therapy and highlights their expected clinical, esthetic and patient-related outcomes.

Keywords: tissue engineering, tissue scaffolds, periodontal, soft tissue grafting, gingival recession, regenerative medicine

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Tissue engineered constructs (TECs)

The implantation of living cells in scaffold materials (tissue engineered constructs, TECs) has represented a new line in the field of soft tissue grafting. It has been suggested that one of the main advantages of living cell-based technology is the ability to communicate with the host by modulating cytokine expression ^{1, 2}. Bioengineered living cellular therapy can be classified based on the cell types contained in the carrier matrices. This review aims to present the characteristics and clinical application of cell-based constructs for root coverage and soft tissue augmentation.

Fibroblast-based constructs

Living human dermal replacement graft †† is manufactured through the three-dimensional cultivation of neonatal human fibroblasts on a bioabsorbable polyglactin mesh $3, 4$. The scaffold matrix degrades by hydrolysis and is lost after transplantation, leaving the extracellular matrix component and fibroblasts which secrete growth factors (GFs) and other proteins, including human dermal collagen, fibronectin, glycosaminoglycans and cytokines 3- $⁵$. This process results in a living metabolically active dermal structure that promotes the</sup> colonization of the wound by adjacent cells, angiogenesis and re-epithelialization $3, 5$. The dermal replacement graft acts both as a scaffold, encouraging the attachment and migration of keratinocytes, and as a wound healing agent⁴.

This construct has been extensively used in the treatment of neuropathic diabetic foot ulcers $^{3, 5, 6}$ where it was found to be effective in promoting a faster healing and a higher chance of complete wound closure than conventional treatments (i.e., skin grafting, wound dressings, or local growth factor application), with no differences in the incidence of adverse effects $7-9$. Because of its properties, the dermal replacement graft was introduced in

periodontal plastic surgery for soft tissue augmentation ⁴. More recent studies have addressed the outcomes of autologous gingival fibroblasts seeded in acellular scaffolds, such as collagen matrix (CM)¹⁰, acellular dermal matrix (ADM)¹¹ or hyaluronic acid scaffold¹², in treating GRs or increasing keratinized tissue (KT) width.

Keratinocyte-based constructs

Ex vivo-produced oral mucosal equivalent (EVPOME) is a living cellular construct composed by autogenous keratinocytes, obtained from a punch biopsy then purified and cultivated on ADM $\ddagger \ddagger$ ^{13, 14}. The ADM and the keratinocytes are immersed within a cell culture media with the necessary signaling molecules to push their development along the desired path¹⁵. The entire process for obtaining an EVPOME from a harvesting site from the patient takes less than one month and requires strict current Good Manufacturing Practices (cGMP) ¹⁶ . EVPOME expresses differentiation (filaggrin and cytokeratin 10/13) and proliferation (proliferating cell nuclear antigen and Ki-67) markers, suggesting an early-stage and active keratinization and proliferative process¹³. EVPOME exhibits a monolayer composed by seeded keratinocytes over the ADM in the first 4 days, while a continuous stratified and well-differentiated epidermis on the dermal matrix was observed after 11-18 days¹⁴. Recently, it has been reported that ADM biological and physical characteristics affect the epithelial maturation of the EVPOME $17, 18$. Furthermore, this TEC can modulate the inflammatory response by releasing GFs (including keratinocytes and vascular endothelial growth factors [VEGF]) and promoting early vascular invasion and revascularization $^{19, 20}$. Therefore, EVPOME has been used in the treatment of intraoral mucosal grafting for KT width augmentation ¹⁶ and for mucosal reconstruction after the excision of oral lesions or in situations with deficient keratinized attached gingiva $20, 21$ (Figures 1 and 2).

Khmaladze and coworkers recently proposed a non-invasive method that allows realtime monitoring of the thermal stress, and therefore the viability, of the EVPOME prior to implantation 22 . The same group demonstrated that high levels of interleukin-8 (IL-8), human -defensin I (hBD-I) and tissue inhibitor of metalloproteinase 2 (TIMP-2) were predictors of healthy E VPOME²³. Nevertheless, further clinical studies are needed, as this method appears promising not only for distinguishing stress and non-stressed EVPOME before implantation but also for evaluating post-grafted outcomes $22, 24$.

Fibroblast and Keratinocyte-based combination constructs

Living Cellular Construct (LCC) §§ consists of a 3D bovine collagen matrix seeded with keratinocytes and dermal fibroblasts derived from human neonatal foreskin $^{25, 26}$. LCC was the first allogenic cell-based graft approved by Food and Drug Administration (FDA) and it has been shown to enhance wound healing and likelihood of complete wound closure in chronic wounds, diabetic foot ulcers, and venous leg ulcers $25, 26$. The rationale behind using a construct based on two cell types is that dermal fibroblasts are responsible for the homeostasis of the extracellular matrix, which is crucial for keratinocytes growth and differentiation, while keratinocytes form the external epithelial layer and provide a barrier effect. One of the main advantages of LCC is the paracrine signaling, known as cross-talk, between keratinocytes and fibroblasts that play a key role during the healing of the LCC 26 . Indeed, it has been observed that the expression of cytokines and growth factors modulated by LCC, including bone morphogenetic protein (BMP)s, fibroblast growth factor (FGF)-11, Insulin like growth factor (IGF)-1, platelet derived growth factor (PDGF) and VEGF, differs

from other TECs-based on one cell type only 2^6 , suggesting that both keratinocytes and fibroblasts are required to reproduce a fully-developed epithelium 2^6 .

Clinical outcomes of cell-based tissue engineered constructs in periodontal plastic surgery Preclinical studies were designed to evaluate not only the efficacy and safety of TECs, but also for assessing their interactions with the host tissues via histological and histomorphometric analyses ²⁷⁻²⁹. It was demonstrated that the incorporation of keratinocytes and/or fibroblasts on acellular scaffolds is well tolerated by the host and can enhance blood vessel formation and cells migration by secreting specific growth GFs $^{10, 18, 27-30}$. Similarly, the efficacy in the early phases of healing of autologous cultured and expanded fibroblasts in the treatment of interdental papillary defect has been also described 31 .

Keratinized tissue width augmentation

Pini-Prato and coworkers were pioneers that investigated the use of TECs in periodontal plastic surgery ^{12, 32}. In six patients requiring KT augmentation, autologous human fibroblasts were obtained from the gingivae and cultured on a non-woven matrix of benzyl ester of hyaluronic acid (HA). The graft was adapted and stabilized over the exposed periosteum with sutures. The authors observed a granulation-like tissue during the first 2 weeks, while the graft was no longer detectable after 1 month. After 3 months, the grated site appeared epithelialized with an average KT width gain of 2 ± 0.4 mm¹².

McGuire et al. performed a series of studies aiming at evaluating whether TECs can be considered a safe and a viable alternative to autogenous FGG in KT width augmentation $¹$,</sup> $2, 4$. They designed the first randomized clinical trial (RCT) comparing a TECs containing

human allogenic fibroblasts $\dagger \dagger$ to free gingival graft (FGG)⁴. According to the authors, the use of fibroblasts without keratinocytes did not affect the keratinization of the gingival epithelium, speculating that GFs secreted by the TEC can positively influence the growth of the keratinocyte layer. In line with this observation, biopsies from both groups collected at 6 months showed similar connective tissue covered by keratinized epithelium and that the connective tissue layer of the TEC appeared more organized than FGG one. The dermal replacement graft showed a significant shrinkage that contributed to an inferior KT width gain (2.7 mm on average) than FGG, which exhibited an average of 1-1.2 mm greater KT width⁴ (Figures 3A through 3D).

Later on, the same authors investigated the safety and effectiveness of an LCC containing fibroblasts and keratinocytes §§. While the pilot study provided promising results supporting the ability of LCC to regenerate KT and attached gingiva without the morbidity of an additional surgical site 2 , the multi-center RCT including 96 patients further confirmed and extended the findings from the previous study $¹$. After 6-months, LCC was able to regenerate</sup> at least 2 mm of KT width in 95% of patients, although the overall KT width gain was inferior than that observed following FGG (3.2 \pm 1.1 mm vs 4.6 \pm 1 mm, respectively). This result seems particularly crucial since an ideal alternative graft material should be able to regenerate at least 2 mm of KT while providing comparable or superior patient-reported outcomes $\frac{133}{13}$ (Figure 4).

The authors reported also that, while site grafted with an FGG tended to retain the characteristics of the palatal tissue, sites that received LCC showed statistically significant superior esthetic results, in terms of color match and texture, when compared to adjacent tissues^T. The authors then speculated that the greater esthetic results of LCC was probably

due to the fact that the material acts not as a graft but more as a cell-delivery therapy encouraging the adjacent native cells to migrate into and over it $1, 2$. This stimulation of native cells mediated by the secretion of GFs and cytokines may be responsible for the generation of a site-appropriate tissue $^{1, 2, 34}$. In addition, it was observed an up-regulation of angiogenicrelated biomarkers, such as angiogenin, angiostatin, PDGF-BB, VEGF, FGF-2, Interleukin (IL)-8, Tissue inhibit of metalloproteinase (TIMP)-1, TIMP-2, Granulocyte-macrophage colony-stimulating factor (GM-CSF) and Interferon Gamma-Induced Protein 10 (IP-10), in LCC group compared to FGG at the early stage of wound healing ³⁵. Furthermore, most patients preferred the LCC treatment than $FGG¹$ with no adverse events reported. The authors concluded that LCC may be considered a safe and an effective alternative to the FGG for augmenting attached gingivae, especially when a major is to avoid palatal autogenous tissue and to regenerate a site-appropriate tissue $1, 2$. Similar results in terms of safety and regeneration of a site-appropriate tissue were also demonstrated by Nevins³⁶. The DNA persistence analysis did not reveal the presence of the LCC in the site after 3 to 7 weeks, supporting the hypothesis that the construct acts as a local wound healing agent and not as a graft, guiding the patients' own cells to develop new tissue which matches the surrounding gingiva ³⁶ Another group evaluated the efficacy of a TEC containing human autologous keratinocytes harvested from the palate, which were expanded and then cultured on a ADM $(EVPOME)^{16}$. EVPOME was positioned on a partial-thickness flap and secured to the surrounding gingiva and underlying periosteum with sutures. After 6 months, the treated sites exhibited a mean KT gain of 3 mm, without any significant adverse events during follow-up 16 .

Root coverage procedures

Xenogeneic and human-derived scaffolds failed to provide the same outcomes of autogenous connective tissue graft (CTG) in terms of root coverage $37, 38$. Therefore, researchers have started to investigate the adjunct of living cells (fibroblasts or stem cells) in combination with acellular scaffolds. TECs can be based on patient's autologous cells ^{10, 11, 39, 40}, allogenic cells from newborn foreskin or umbilical cord ⁴¹⁻⁴³. Wilson et al. were among the first to investigate the use of dermal replacement graft †† as a substitute of CTG in root coverage procedure ⁴¹ (Figures 3E through 3F). While dermal replacement graft showed inferior results as compared to FGG when used for KT augmentation 4 , the study showed similar results between the TEC and CTG, in terms of mean root coverage, KT width gain, patient satisfaction and esthetics⁴¹. The authors also highlighted that clinical handling characteristics of dermal replacement graft was more favorable than CTG. It was observed that complete root coverage with the TEC was obtained only when the material was completely covered by the flap and not when it was left partially exposed, suggesting despite the fact that dermal replacement graft is a metabolically active graft with angiogenic activity, it cannot survive over avascular root surface without the double blood supply of the flap 41 .

Later, several clinicians described the use of LCCs with autogenous fibroblasts harvested weeks prior to the surgery $10, 11, 39, 40$. In a case series study, it was obtained a mean root coverage (mRC) of 79.1% and a KT width gain of 1.1 mm using CAF + cultured gingival dermal substitute composed of autologous fibroblast harvested from the retromolar region of the mandible and seeded in a two layered matrix of hyaluronic acid sponge and atelo-collagen gel 39 . When the TEC was compared to the acellular scaffold itself $10, 11$, a study did not find any significant differences in terms of mRC and KT width gain between ADM and ADM seeded with autologous fibroblasts 11 , while another group reported a mRC of 69.6% and 38.3% for autologous fibroblast seeded on a collagen matrix and collagen

matrix alone, respectively 10 . The reason for these contrasting results is open to speculations. It may be reasonable to assume that case selection (type of GRs), region of harvesting, cells culture and scaffold, and patient behavior may have contributed to these conflicting outcomes.

Milinkovic et al. obtained a similar mRC (89.9% vs 91.3%) and root coverage esthetic score (8.67 vs 8.61) between CTG and TEC based on cultured autogenous fibroblast on a collagen matrix, respectively. However, CTG achieved more KT width gain than the TEC $(2.26 \text{ mm} \text{ vs } 1.74 \text{ mm})^{40}$.

It has been reported that bone marrow-derived mesenchymal stem cells (MSCs) have the property of enhancing periodontal regeneration by differentiating into fibroblasts, cementoblasts and osteoblasts 44, 45. In particular, MSCs can be isolated from umbilical cord tissues, stored frozen and then thawed to provide stem cells. MSCs derived from umbilical cord possesses a high frequency of colony-forming unit-fibroblast (CFU-F)-deriving cells that contribute to promote bone formation ⁴⁶. The clinical application of MSCs for the treatment of GRs was investigated in a RCT in which MSCs were cultivated them on a polylactide/polyglycolide (PLA/PGA) scaffold⁴². Compared to CTG, that served as a control, the TEC achieved slightly lower mRC, however a greater CAL gain was observed in sites that received the MSCs + PLA/PGA. The authors speculated that MSCs may have induced a healing with periodontal regeneration rather than repair in the GR defects ⁴². In a more recent trial, the same group compared $CAF + PLA/PGA$ scaffold (controls) versus $CAF + MSCs$ cultured on a PLA/PGA scaffold (test), showing statistically superior mRC in controls and, thus, suggesting a positive role of MSCs on root coverage outcomes 43 . Table 1 summarizes the clinical studies that investigated the use of TEC.

At this moment, TECs have not yet been applied to implant dehiscence defect soft tissue coverage.

Evidence supports the safety and efficacy of living cellular constructs for use in augmenting keratinized tissues. Improved esthetics, lower morbidity and higher patient preference are among their main advantages as compared to autogenous grafts. Although living cellular constructs may be considered the biomaterial of choice when treating generalized mucosal defects or when the primary aim is to reduce patient morbidity, autogenous soft tissue grafts provide superior clinical outcomes in keratinized tissue width augmentation and root coverage.

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Acknowledgements and Conflict of Interest Statement

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Footnotes

- †† Dermagraft, Advanced Tissue Sciences, Inc., La Jolla, CA, USA
- ‡‡ AlloDerm, LifeCell, Branchburg, NJ, USA
- §§ Gintuit, Organogenesis, Inc., Canton, MA, USA

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Tables

Table 1. Characteristics and outcomes of clinical studies evaluating the safety and efficacy of tissue engineered constructs in keratinized tissue width augmentation and root coverage.

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Legend. EVPOME: Ex vivo produced oral mucosal equivalent; KTW: Keratinized tissue width; ADM: Acellular Dermal Matrix; RCT: Randomized Control Clinical Trial; α-MEM: α- minimal essential medium; FBS: fetal bovine serum; F: Fibroblasts; CTG: Connective tissue graft; mRC: mean root coverage; DMEM: Dulbecco modified Eagle medium; CM: Collagen matrix; NA: Not available; HF-DDS: Human fibroblasts derived dermal substitute; FGG: Free gingival graft; BCT: Bilayered cell therapy; LCC: Living cellular construct; AGW: Attached gingiva width; AFCC: Autologous fibroblast cell culture; CGG: Cultured gingival graft; CGDS: Cultured gingival dermal substitute; LCT: bilayered live cell therapy; LCS: Living cellular sheet; PLA/PGA: polylactic acid/polyglycolic acid

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This is the $a^{\mu\nu}$ manuscript accepted for publication and has undergone full peer review but has not been the copyediting, typesetting, pagination and proofreading process, which may lead to differences between this version and the [Version of Record.](https://doi.org/10.1002/JPER.19-0353) Please cite this article as doi: [10.1002/JPER.19-0353.](https://doi.org/10.1002/JPER.19-0353)

Figure Legend

Figure 1. Soft tissue augmentation using EVPOME. **A)** Schematic drawing illustrating the composition of the EVPOME where oral keratinocytes are seeded within a cell culture media with the necessary signaling molecules to push their development along the desired path. These cells are then cultivated on ADM that serves as scaffold for developing a full-thickness TEC; **B)** Four days submerged in culture after seeding of oral keratinocytes (day 4); **C)** EVPOME raised to an air-liquid interface; **D)** EVPOME grown at an air-liquid interface for 7 days (day 11); **E)** EVPOME grown for additional 7 days (day 18) showing increased cell stratification (Adapted with permission from Journal of Dental Research¹³ and from International Journal of Oral Maxillofacial Implants¹⁶)

Figure 2. Soft tissue augmentation using EVPOME. **A)** Baseline clinical scenario showing the limited band of keratinized tissue in the anterior mandible area; **B)** The EVPOME construct immediately before grafting; **C)** The EVPOME sutured over the periosteum with interrupted sutures. The TEC was then covered by a periodontal dressing; **D)** Outcomes at 30 days. (Adapted with permission from International Journal of Oral Maxillofacial Implants¹⁶)

Figure 3. Efficacy of the Living human fibroblast-derived dermal substitute (HF-DDS) in increasing keratinized tissue width **(A-D)** and in the treatment of gingival recessions **(E-F)**. **A)** Pre-operative situation showing the limited keratinized tissue around the lower right premolars; **B-C)** After preparation of the receiving bed, the HF-DDS was positioned and sutured to the papilla regions; **D)** Healing at 1 year showing the keratinized tissue width gain; **E)** Gingival recession in the maxillary right lateral incisor and canine; **F)** 6-month outcomes after coronally advanced flap + HF-DDS showing complete root coverage. (Adapted with permission from Journal of Periodontology ⁴¹)

Figure 4. Efficacy of the Living cellular construct (LCC) in increasing keratinized tissue width. **A)** Pre-operative situation showing limited keratinized tissue around a mandibular right premolar; **B)** After preparation of the recipient bed, the LCC was firmly sutured over the periosteum; **C)** 6-month outcomes showing increased keratinized tissue and excellent esthetic results.

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