

**Supporting Patient and Clinician Mental Health during COVID-19 via
Trauma-Informed Interdisciplinary Systems**

Special Report

Corresponding author: Dr. Dillon Browne, University of Waterloo

Contributing authors: Dr. Sylvain Roy, York University; Dr. Marjory Phillips, University of Waterloo; Dr. Michael Stephenson, Sanctuary Refugee Health Centre, Canada; Dr. Sandy Shamon, Michael G. Degroote School of Medicine, Waterloo Campus, Canada

This article is a preprint and has not been peer reviewed. It reports new medical research or thought that has yet to be evaluated and so should not be used to guide clinical practice.

Copyright © 2020 by Dillon Browne, Sylvain Roy, Marjory Phillips, Michael Stephenson, and Sandy Shamon. Posted on Annals of Family Medicine COVID-19 Collection courtesy of Dillon Browne, Sylvain Roy, Marjory Phillips, Michael Stephenson, and Sandy Shamon.

Abstract

Front-line healthcare providers including general practitioners have experienced a remarkable increase in clinical demands as a result of the COVID-19 pandemic. In addition to the direct fallout of the virus and its medical sequelae, patients are presenting with exacerbated mental health and trauma concerns, while practitioners face under-resourced and over-burdened settings of practice. The net result is a perfect storm for the development of provider mental health challenges and burnout. In order to help attenuate a growing systemic challenge while optimizing patient care, this special report humbly provides four feasible and trauma-informed suggestions that practitioners could put into place immediately, without unduly adding to their extant workload. Ongoing and realistic consideration of patient psychosocial and mental health concerns are discussed. Additionally, potential for partnership with local and national mental health professionals doing telehealth (and their professional organizations) is reviewed, including utilization of free telehealth psychotherapy for front-line providers. To summarize, an integrated pandemic response from an interdisciplinary and trauma-informed healthcare system is encouraged as the best pathway forward during the ongoing crisis.

The meteoric spread of COVID-19 across the globe has challenged service providers in unprecedented ways. For general practitioners and allied specialists, disruptions have included providing in-person care with limited access to personal protective equipment (PPE), sudden influx in COVID-19 related patient concerns or displacement of non-COVID-19 care, desperate calls to redeploy clinicians to emergency rooms or other disaster response settings, a rapid shift to telehealth and virtual care (often without previous training or available technology), and increasing patient complexity in the context of trauma responses that exacerbate mental health and other comorbidities. Ethical dilemmas abound in a system that cannot meet patient

This article is a preprint and has not been peer reviewed. It reports new medical research or thought that has yet to be evaluated and so should not be used to guide clinical practice.

Copyright © 2020 by Dillon Browne, Sylvain Roy, Marjory Phillips, Michael Stephenson, and Sandy Shamon. Posted on Annals of Family Medicine COVID-19 Collection courtesy of Dillon Browne, Sylvain Roy, Marjory Phillips, Michael Stephenson, and Sandy Shamon.

demands.¹ Additionally, as is the case in the current pandemic, a sizable body of research has demonstrated that traumatic events in patients, clinic, and society recapitulate across levels of the healthcare system, ultimately leading to “vicarious trauma” and “compassion fatigue” for providers, administrators, and the very systems most responsible for providing care.²

Simultaneously, our patients need us more than ever during times of crisis. As infection and illness spreads in the community, more individuals are being separated from their loved ones either at home due to social distancing (we prefer the term physical distancing), or in settings of institutional care as a result of visitor restriction. All the while, social determinants of health (informed by a catastrophic economic situation) are leading to the psychosocial and relational stressors that compromise health for families (e.g., marital conflict, disrupted parent-child relationships, potential for violence).³ While the pandemic does not discriminate, providers for patients traditionally viewed as vulnerable may particularly notice these risk factors flaring up as the crisis worsens. Collectively, this can lead to new trauma or re-traumatization across the lifespan, while increasing incidence of adverse childhood experiences for younger patients.⁴

In a seemingly impossible situation, the purpose of this special report is to humbly offer a few realistic and actionable suggestions that could immediately be of use to providers and policy makers, drawing upon the central tenets of trauma-informed healthcare systems.⁴ The importance of partnership with specialty providers of mental health services (e.g., clinical psychologists, social workers) is emphasized, including the role of telehealth. We want to convey hope that clinicians can (and will) weather the storm, providing optimal patient-centered services, while simultaneously taking care of themselves and their families as we “flatten the curve.”

This article is a preprint and has not been peer reviewed. It reports new medical research or thought that has yet to be evaluated and so should not be used to guide clinical practice.

Copyright © 2020 by Dillon Browne, Sylvain Roy, Marjory Phillips, Michael Stephenson, and Sandy Shamon. Posted on Annals of Family Medicine COVID-19 Collection courtesy of Dillon Browne, Sylvain Roy, Marjory Phillips, Michael Stephenson, and Sandy Shamon.

Challenge 1: Balancing Patient Mental Health with COVID-19 Best Practices

In the face of a fatal pandemic, it would be easy to think that patient mental health and psychosocial concerns “take a back seat” for the time-being. This may arise from acute concerns regarding COVID-19 contagion and morbidity in clinicians, or patients feeling that mental health concerns are less important in the face of catastrophic illness. We caution against this approach and, instead, encourage clinicians to continue assessment for the documented positive feedback loop linking presentations that may be simplified into *either* psychosocial *or* medical domains.^{5,6} This is certainly true in the case of trauma, whereby psychiatric symptoms can interact with and exacerbate medical illness.⁷ Furthermore, research demonstrates that emotional distress limits an individual's capacity to learn new information and follow instructions, which could be extended to pandemic public health measures including hand-washing, not touching one's face, and physical distancing.

In following the central tenets of trauma-informed healthcare, clinicians will consider the role of adversity (especially life threatening events) in the emergence of human suffering, and respond compassionately with universal and targeted practices in order to optimize care and outcomes.^{8,9} The theme of a patient's subjective feelings of safety are paramount, in order to avoid interventions that may exacerbate psychological symptoms and reduce service seeking behaviors. Thus, given the ubiquity of COVID-19, our recommendation is the trauma-informed universal practice of *approaching each encounter open to the possibility that mental health problems and feelings of safety have become an increasing concern for patients*. This stance will create a compassionate ethos for patient-provider alliance, thereby facilitating the raising of

This article is a preprint and has not been peer reviewed. It reports new medical research or thought that has yet to be evaluated and so should not be used to guide clinical practice.

Copyright © 2020 by Dillon Browne, Sylvain Roy, Marjory Phillips, Michael Stephenson, and Sandy Shamon. Posted on Annals of Family Medicine COVID-19 Collection courtesy of Dillon Browne, Sylvain Roy, Marjory Phillips, Michael Stephenson, and Sandy Shamon.

concerns such as health-related anxiety, loss, and compounding hardship, which likely interact with primary presenting concerns.

Challenge 2: Allocating (a Realistic Amount of) Time to Discuss Psychosocial Concerns

An obvious paradox emerges when practitioners first begin trauma-informed practice: it seems we are asking them to do more when they have less (in way of time, resources, and energy).

However, trauma-informed care does not necessarily mean longer appointments. Brief strategies can be effective in the facilitation of trauma-informed communication surrounding mental health in primary care.¹⁰ Indeed, clinicians might begin encounters in an open-ended way, following-up with preferred questions regarding emotional well-being (now, specifically in relation to the pandemic), and providing brief psychoeducation and/or motivational interviewing around the role of stress in health. Follow-up questions can include querying around mood, anxiety, unhealthy coping strategies (e.g., substances) and family conflict, while considering the potential of routine physical examinations acquiring additional emotional valence (i.e., being “triggering”). In the case of pediatric patients, the utilization of developmentally appropriate language around “germs” will be familiar, while providing an opportunity to punctuate parent-child attachment by emphasizing what caregivers are doing to promote safety (i.e., “mommy and daddy are making sure everyone stays at home so that children are safe and don’t get sick because they are loved so very much”).¹¹

Thus, by *inserting a brief and targeted conversation around emotional well-being early during patient encounters*, providers can help cultivate a warm, secure, and (where applicable, developmentally appropriate) patient-provider alliance, without significantly derailing the usual

This article is a preprint and has not been peer reviewed. It reports new medical research or thought that has yet to be evaluated and so should not be used to guide clinical practice.

Copyright © 2020 by Dillon Browne, Sylvain Roy, Marjory Phillips, Michael Stephenson, and Sandy Shamon. Posted on Annals of Family Medicine COVID-19 Collection courtesy of Dillon Browne, Sylvain Roy, Marjory Phillips, Michael Stephenson, and Sandy Shamon.

flow of care and still addressing presenting concerns. In extreme situations (e.g., the case of mental health problems meriting ongoing treatment, grief counselling), providers can remind patients around the importance of their concern, which is why a high-quality referral is being presently made (see next section), in addition to providing their own follow-ups at the next encounter. Engaging patients in shared decision making as much as possible in this process further enhances the therapeutic alliance and outcomes of clinical encounters.

Challenge 3: Access to High Quality Referrals in a Disrupted Healthcare System

Clinicians may have found their extant network of referrals has ground to a halt. In some instances, these referrals may simply be delayed without great consequence. In other instances, crucial medical care (e.g., cancer treatment, surgery) may be deferred due to the crisis, or there may be acute mental health concerns that warrant non-emergency treatment. In both instances, psychological services may be beneficial to address pre-existing or newly arising mental health concerns.¹² However, most outpatient mental health services have closed their doors to prevent the spread of the virus. As such, we recommend that providers *become aware of local mental health professionals providing disaster response services using telehealth*.¹³ It is important that physicians refer to providers who have telehealth operations in the jurisdiction in which the patient is eligible. This may require a Google Search of the state or provincial professional psychology association for a list of providers. Many jurisdictions are rapidly onboarding licensed providers to expand coverage, and introducing new billing codes for the provision of telehealth as in the case of the Ontario Health Insurance Program (the authors' jurisdiction) or Medicaid and Medicare in the United States (see resources in Figure 1).

This article is a preprint and has not been peer reviewed. It reports new medical research or thought that has yet to be evaluated and so should not be used to guide clinical practice.

Copyright © 2020 by Dillon Browne, Sylvain Roy, Marjory Phillips, Michael Stephenson, and Sandy Shamon. Posted on Annals of Family Medicine COVID-19 Collection courtesy of Dillon Browne, Sylvain Roy, Marjory Phillips, Michael Stephenson, and Sandy Shamon.

Challenge 4: Balancing Self-Care with Increasing Service Demands

Heightened levels of clinician distress, fatigue, burnout, and mental health challenges are an understandable and expected consequence of this unprecedented pandemic.¹⁴ Research has shown that clinician burnout can spill-over into clinician's own family life, creating interpersonal difficulties with family members, and exacerbating an already difficult situation.¹⁵

Clinical wisdom tells us that those who enter helping professions often struggle to shift into roles of “being the patient” themselves. If there was ever a time for front-line practitioners to consider their own utilization of supportive mental health care, it is now. Counselling for physicians aimed at the symptoms of burnout significantly reduces distress and need for extended sick leave.¹⁶ This suggested uptake should be free of guilt for overcrowding a struggling system. Quite the contrary. As declared by the World Health Organization, an effective pandemic response necessitates medical and affiliated healthcare providers who are emotionally, physically, cognitively, and spiritually well.¹⁷

Thus, our final recommendation is to *consider specialized telehealth psychotherapy or counselling for front-line providers during the disaster response*, especially if facing elevated levels of acute illness, emergency care, resource scarcity, and death. If this is not something being discussed in your clinic, agency, service, ward, unit, or care setting, we encourage junior clinicians and senior administrators, alike, to facilitate these conversations. Many professional psychological associations are now providing these resources to front-line clinicians at no cost (see resources, Figure 1).

This article is a preprint and has not been peer reviewed. It reports new medical research or thought that has yet to be evaluated and so should not be used to guide clinical practice.

Copyright © 2020 by Dillon Browne, Sylvain Roy, Marjory Phillips, Michael Stephenson, and Sandy Shamon. Posted on Annals of Family Medicine COVID-19 Collection courtesy of Dillon Browne, Sylvain Roy, Marjory Phillips, Michael Stephenson, and Sandy Shamon.

Conclusion: “It Takes a Village”

Arguably every large-scale disaster in human history has benefited from a heroic response from the medical and health services community, notwithstanding pandemics. COVID-19 is no exception. Perhaps an important difference in today’s situation is the remarkable development and expansion of trauma-informed healthcare paradigms and interdisciplinary practice across all sectors of health and social services, especially primary care.¹⁸ Technological advancements in virtual and telehealth have also been leveraged tremendously during this pandemic, and new creative solutions can be designed with collaborative work. It is important to mobilize these partnerships and advancements in order to ease the burden on general practitioners and providers treating the direct biomedical fall-out of COVID-19. To quote an often-used African proverb, the response to COVID-19 is certainly “taking a village”... one that is global, more digitally connected than ever, and populated with non-physician providers of trauma-informed mental health care who are ready to respond (such as registered clinical psychologists doing telehealth). This sharing of the load, in concert with manageable and effective trauma-informed approaches taken by general practitioners and other front-line providers during encounters, will undoubtedly be on the best path forward.

Reference List

1. Rosenbaum L. Facing Covid-19 in Italy — Ethics, Logistics, and Therapeutics on the Epidemic’s Front Line. *New England Journal of Medicine*. 2020. doi:10.1056/nejmp2005492
2. Mol MMCV, Kompanje EJO, Benoit DD, Bakker J, Nijkamp MD. The Prevalence of Compassion Fatigue and Burnout among Healthcare Professionals in Intensive Care Units: A Systematic Review. *Plos One*. 2015;10(8). doi:10.1371/journal.pone.0136955

This article is a preprint and has not been peer reviewed. It reports new medical research or thought that has yet to be evaluated and so should not be used to guide clinical practice.

Copyright © 2020 by Dillon Browne, Sylvain Roy, Marjory Phillips, Michael Stephenson, and Sandy Shamon. Posted on Annals of Family Medicine COVID-19 Collection courtesy of Dillon Browne, Sylvain Roy, Marjory Phillips, Michael Stephenson, and Sandy Shamon.

3. Browne DT, Plamondon A, Prime H, Puente-Duran S, Wade M. Cumulative risk and developmental health: an argument for the importance of a family-wide science. *Wiley Interdisciplinary Reviews: Cognitive Science*. 2015;6(4):397-407.
4. Herzog JI, Schmahl C. Adverse childhood experiences and the consequences on neurobiological, psychosocial, and somatic conditions across the lifespan. *Frontiers in psychiatry*. 2018;9:420.
5. Maani N, Galea S. The Role of Physicians in Addressing Social Determinants of Health. *JAMA*. Published online April 03, 2020. doi:10.1001/jama.2020.1637
6. Duan L, Zhu G. Psychological interventions for people affected by the COVID-19 epidemic. *The Lancet Psychiatry*. 2020;7(4):300-302. doi:10.1016/S2215-0366(20)30073-0
7. Cromer KR, Sachs-Ericsson N. The association between childhood abuse, PTSD, and the occurrence of adult health problems: Moderation via current life stress. *Journal of Traumatic Stress: Official Publication of The International Society for Traumatic Stress Studies*. 2006;19(6):967-971. doi:10.1002/jts.20168
8. Raja S, Hasnain M, Hoersch M, Gove-Yin S, Rajagopalan C. *Trauma informed care in medicine*. *Family & community health*. 2015;38(3):216-226. doi:10.1097/FCH.0000000000000071
9. Machtinger EL, Cuca YP, Khanna N, Rose CD, Kimberg LS. From treatment to healing: the promise of trauma-informed primary care. *Women's Health Issues*. 2015;25(3):193-197. doi:10.1016/j.whi.2015.03.008
10. Roy-Byrne, P., Veitengruber, J. P., Bystritsky, A., Edlund, M. J., Sullivan, G., Craske, M. G., ... & Stein, M. B. Brief intervention for anxiety in primary care patients. *The Journal of the American Board of Family Medicine*. 2009;22(2):175-186. doi:10.3122/jabfm.2009.02.080078
11. Thompson LA, Rasmussen SA. What Does the Coronavirus Disease 2019 (COVID-19) Mean for Families? *JAMA Pediatrics*. Published online March 13, 2020. doi:10.1001/jamapediatrics.2020.0828
12. World Health Organization. COVID-19: operational guidance for maintaining essential health services during an outbreak: interim guidance. <https://apps.who.int/iris/handle/10665/331561>. Published 25 March 2020, Accessed 6 April 2020.
13. Smith AC, Thomas E, Snoswell CL, Haydon H, Mehrotra A, Clemensen J, Caffery LJ. Telehealth for global emergencies: Implications for coronavirus disease 2019 (COVID-19). *Journal of Telemedicine and Telecare*. 2020;0(0):1-5. doi:10.1177/1357633X20916567

This article is a preprint and has not been peer reviewed. It reports new medical research or thought that has yet to be evaluated and so should not be used to guide clinical practice.

Copyright © 2020 by Dillon Browne, Sylvain Roy, Marjory Phillips, Michael Stephenson, and Sandy Shamon. Posted on Annals of Family Medicine COVID-19 Collection courtesy of Dillon Browne, Sylvain Roy, Marjory Phillips, Michael Stephenson, and Sandy Shamon.

14. World Health Organization. Mental health and psychosocial considerations during the COVID-19 outbreak, <https://apps.who.int/iris/handle/10665/331490>. Published 18 March 2020, Accessed 6 April 2020.
15. Ádám S, Györfy Z, Susánszky É. Physician burnout in Hungary: a potential role for work—family conflict. *Journal of health psychology*. 2008;13(7):847-856.
16. Rø, KEI, Gude T, Tyssen R, Aasland OG. Counselling for burnout in Norwegian doctors: one year cohort study. *BMJ*. 2008;337. doi:10.1136/bmj.a2004
17. World Health Organization. Strengthening the Health Systems Responseto COVID-19: Maintaining continuity of essential health care services while mobilizing the health workforce for COVID-19 response, <https://euro.sharefile.com/share/view/sbc0659718fd4c8aa>, Published 2020, Accessed 6 April 2020.
18. McDaniel SH, deGruy FV III. An introduction to primary care and psychology. *American Psychologist*. 2014;69(4):325–331. doi:10.1037/a0036222

This article is a preprint and has not been peer reviewed. It reports new medical research or thought that has yet to be evaluated and so should not be used to guide clinical practice.

Copyright © 2020 by Dillon Browne, Sylvain Roy, Marjory Phillips, Michael Stephenson, and Sandy Shamon. Posted on Annals of Family Medicine COVID-19 Collection courtesy of Dillon Browne, Sylvain Roy, Marjory Phillips, Michael Stephenson, and Sandy Shamon.

Trauma-Informed Suggestions for Balancing Clinician and Patient Mental Health during COVID-19		
Challenge	Suggestion	Script and/or Resources
Challenge 1: Balancing Patient Mental Health with COVID-19 Best Practices	Universal: Approaching each encounter open to the possibility that feelings of safety and mental health have become an increasing concern for patients.	Psychoeducation & Normalizing: "It's common for people to experience higher levels of anxiety and lower mood during times of crisis like COVID-19. Sometimes this can show up in family relationships that have become more tense and hostile, or distant and cold. Other times people can rely on unhealthy coping strategies, or let their self-care practices slip."
Challenge 2: Allocating Realistic Amount Time to Discuss Psychosocial Concerns	Universal and Targeted: inserting a brief conversation around emotional well-being early in patient encounters. If warranted, targeted follow-up questions via motivational interviewing followed by additional domain-specific psychoeducation and interventions.	Supportive Interviewing: "I wanted to check in and see how you are doing emotionally in response to the pandemic? Any significant changes in your emotions, relationships, or activities that you think I should know about? What about your relationships at home with partner and/or children, etc.?" Miracle Question: "If you could change one thing about how things are going at home during the pandemic, what would you change? Why?"
Challenge 3: Providing High Quality Referrals in a Disrupted Healthcare System	Targeted: Prioritizing both psychosocial, emotional and medical concerns meriting immediate treatment. Become aware of local mental health professionals providing disaster response services using telehealth.	Follow-up and/or Referral: "Those are important concerns. I understand things have been hard for you. We will have time to address all of those issues today and will be sure to follow-up at our next appointment." OR "I want to make sure that we provide adequate attention to that area. That's why I want to refer you to a specialist who focuses on these sorts of concerns during the pandemic. Even though I am referring you, we can always talk about this issue and I will be following up at our next appointment."
Challenge 4: Balancing Self-Care with Increasing Service Demands	Clinician-Directed: consider specialized telehealth psychotherapy or counselling for front-line providers, often offered free of charge by psychology association.	Free online psychological services (where applicable): <ul style="list-style-type: none"> • USA (see State specific board or State Website): https://www.asppb.net/page/BdContactNewPG • Canada: https://cpa.ca/corona-virus/psychservices/

Changes to Federal Telehealth Policies: <https://www.apaservices.org/practice/reimbursement/government/medicare-telehealth-temporary-changes>
 Medicare Telemedicine Health Care Provider Fact Sheet: <https://www.cms.gov/newsroom/fact-sheets/medicare-telemedicine-health-care-provider-fact-sheet>
 American Psychiatric Association Telehealth Factsheet: <https://www.psychiatry.org/psychiatrists/practice/telepsychiatry/blog/lapa-resources-on-telepsychiatry-and-covid-19>
 American Academy of Family Physicians Telehealth Guidelines: <https://www.aafp.org/patient-care/emergency/2019-coronavirus/telehealth.html>
 CDC Guidelines for Healthcare Providers: https://www.cdc.gov/coronavirus/2019-ncov/hcp/index.html?CDC_AA_refVal=https%3A%2F%2Fwww.cdc.gov%2Fcoronavirus%2F2019-ncov%2Fguidance-hcp.html

Figure 1. Summary of recommendations

This article is a preprint and has not been peer reviewed. It reports new medical research or thought that has yet to be evaluated and so should not be used to guide clinical practice.

Copyright © 2020 by Dillon Browne, Sylvain Roy, Marjory Phillips, Michael Stephenson, and Sandy Shamon. Posted on Annals of Family Medicine COVID-19 Collection courtesy of Dillon Browne, Sylvain Roy, Marjory Phillips, Michael Stephenson, and Sandy Shamon.