### Editorial

# Oncologist<sup>®</sup>

## **Drug Shortages: The View Across an Ocean**

ANDREW SHUMAN,<sup>a</sup> YORAM UNGURU<sup>b,c</sup>

<sup>a</sup>Center for Bioethics and Social Sciences in Medicine (CBSSM), University of Michigan Medical School, Ann Arbor, Michigan, USA; <sup>b</sup>Division of Pediatric Hematology/Oncology, The Herman and Walter Samuelson Children's Hospital at Sinai, Baltimore, Maryland, USA; <sup>c</sup>Johns Hopkins University, Berman Institute of Bioethics, Baltimore, Maryland, USA

Disclosures of potential conflicts of interest may be found at the end of this article.

Drug shortages are a national tragedy and represent a true public health crisis. Drug shortages prevent patients from accessing lifesaving medications and are directly linked to medication errors, substantial economic cost, inferior patient outcomes, and even patient deaths [1]. The recent shortage of vincristine, a chemotherapeutic used by nearly every child with cancer and by countless adults, represents what has been called "a nightmare situation" [2]. There is no substitute or alternative for vincristine. Confronted with the vincristine shortage, U.S. oncologists collectively hyperventilated with the expectation of looking patients in the eye and conveying that a potentially lifesaving drug is simply unavailable [3].

Vincristine is not expensive-in most of the world, it costs less than a carton of eggs. It is not new or exotic-isolated during the Cold War, it is readily synthesized. And its efficacy is proven—it is a component of dozens of oncologic regimens. In fact, vincristine is a World Health Organization (WHO) essential medicine. So how can the United States simply run out?

Drug shortages represent a symptom of our inherently damaged health care system. Pharmaceutical companies are responsible to their shareholders; when products are not profitable, through the fault of the marketplace, they are deprioritized. These negative externalities of our current governance structure can only be fixed with legislative sea change. We briefly review how we got here and suggest how we can move forward.

In February 2018, vincristine, a generic medication for many Food and Drug Administration (FDA)-approved cancer indications, became scarce. At the time, vincristine was manufactured by Pfizer and Teva. Patients, clinicians, and hospitals struggled to cope with this shortage and breathed a collective sigh of relief once production and supply resumed. With little warning, in July 2019, Teva made a business decision to discontinue production, leaving the United States with only one producer of this critical medication required by scores of patients. In October 2019, Pfizer experienced manufacturing delays and increased demand, resulting in a national vincristine shortage. As a result, hospitals and clinicians (once again) faced the unenviable task of rationing this lifesaving medication among equally deserving patients. Although clinicians

and hospitals will invariably make rationing decisions differently, guidelines for pediatric cancer do exist [4]. These are based upon a two-step process: step 1 includes strategies to mitigate an existing shortage based upon maximizing efficiency and minimizing waste while step 2 elucidates actual prioritization grounded upon a modified utilitarian model that maximizes benefit according to total lives saved/life-years saved. Although the focus of the guidance is childhood cancer, the ethical reasoning for explicit decision-making in the face of an actual drug shortage applies broadly to pediatrics and adult medicine in general.

There is simply not enough profit in the production of many cheap, proven, decades-old generic medications like vincristine. Teva is not nefarious-it is a company that needed to shave its inventory to stay solvent. As appreciated by countless experts, including pharmaceutical insiders, scholars, and the FDA, economics are the primary driver of U.S. drug shortages [1]. The issue involves how drug prices are set and reimbursed in our fundamentally broken system. For this reason, vincristine is only one of hundreds of scarce drugs each year in this country. Institutions are forced to develop ethically appropriate, transparent evidence-guided rationing schema, while expending extraordinary efforts to conserve and procure supplies of drugs on shortage. Rosovsky et al. provide a detailed description of their institutional response to the recent heparin shortage, illustrating the magnitude of deliberation and person-hours necessary to create system-level changes to protect patients in the face of a crisis [5]. The role of ethicists in anticipating and responding to these situations is also critically important.

Institutions would greatly benefit from improved communication and a coordination strategy with regional and statewide partners facing similar circumstances—although Rosovsky's article is timely and of significant value, we are sure many hospitals across the country would have appreciated being able to better share information and best practices in real time. Unfortunately, despite calls for such a system, currently no structure exists to do so.

We have made some progress. The 2012 FDA Safety and Innovation Act (FDASIA) requires manufacturers to notify the

Correspondence: Yoram Unguru, M.D., Division of Pediatric Hematology/Oncology, The Herman and Walter Samuelson Children's Hospital at Sinai, 2401 West Belvedere Ave., Baltimore, Maryland 21215-5271, USA. Telephone: 410-601-5864; e-mail: yunguru@lifebridgehealth. org Received December 31, 2019; accepted for publication January 10, 2020; published Online First on February 6, 2020. http://dx.doi. org/10.1634/theoncologist.2019-1010

FDA of anticipated supply delays and shortages, avails foreign drug supplies in certain dire situations, and empowered the FDA to develop a related strategic plan. Yet, at best, FDASIA was a band-aid.

Last month, the FDA released a long-anticipated report that summarized and contextualized the current state of U.S. drug shortages [1]. Their recommendations included creating a shared understanding of impact, developing a rating system to incentivize consistent production and to encourage viable contracts with industry. At least some of these proposals are built into the 2020 congressional budget proposal. Notably, many of these recommendations had previously been articulated [6].

Although realistic, one cannot but be dismayed by the concluding statement of the FDA report [1], "...it is likely that drug shortages will continue to persist absent major changes to this marketplace." Herein lies a missed opportunity. A recommendation for greater direct governmental involvement could have, and arguably should have, been suggested. As one of us recently noted, "The government has previously stepped into the marketplace to assist the ailing automotive industry, Wall Street, and the insurance companies. Why not do the same for our ailing health care system, specifically, the manner in which lifesaving medications are manufactured and distributed?" [7]. The government could identify a willing and capable partner with whom to ally to produce affordable and accessible medications reimbursed at a fair price. Related, "essential medications must be viewed as critical infrastructure not unlike public utilities such as electricity and water" [7]. Doing so is one way to guarantee continuous production and availability for patients in need.

Since the 1970s, international guidance on drugs deemed most critical to maintain has existed. The WHO maintains an updated list of essential medicines that nations should readily have. The list is thoughtfully curated based upon datadriven efficacy, relevance, and cost-effectiveness. At the very least, our federal government can and should incentivize production of these drugs and, ideally, in a nation of our wealth and privilege, expand upon it. Earlier this year, a U.S. list of essential medicines for childhood cancer, including recommendations for assuring production of these medications, was proposed [8].

A new European consortium, the International Horizon Scanning Initiative, provides a compelling paragon [9]. Last month, Dutch Minister Bruins announced a novel strategic alignment of nine countries focused on drug pricing, delivery, and supply. This type of collaboration and communication is critical to integrating and creating systems that can readily avail access, and incentivize production for needed drugs, rather than only those that are currently lucrative. America sorely needs to take a similarly bold and creative step.

Drug shortages represent a threat to national security. The U.S. drug supply is overly dependent on foreign powers; nearly 90% of the raw ingredients we rely upon for U.S. drugs come from China and India, and more than 25% of medications labeled as "made in America" are actually made abroad in FDA-inspected plants [10]. This has significant implications regarding medication quality, safety, and accessibility. We currently rely on foreign powers to bail us out when our system cannot take care of our own citizens. America has the capacity and ability to provide health care not only to its own citizens but across the world, and it has a proud history of doing just that. We need to do at least as well for our own people.

Over the past months, there appears to be renewed interest in addressing U.S. drug shortages. The same day the FDA report was released, U.S. Senators Collins, of Maine, and Smith, of Minnesota, introduced a bipartisan bill coined the Mitigating Emergency Drug Shortages Act, which aimed to increase accessibility and affordability of vital drugs required by patients. The U.S. Senate Homeland Security and Governmental Affairs Committee also produced a report, "A Price Too High: Cost, Supply, and Security Threats to Affordable Prescription Drugs," with tangible and actionable solutions [11]. Furthermore, on November 13, Teva USA announced their plan to reintroduce vincristine to the U.S. market as early in 2020 as possible [12]. We are hopeful that meaningful strides will come from these and related efforts.

In summary, our country's perverse drug pricing system creates drug shortages that threaten the lives of the most vulnerable Americans, including children with cancer. We need federal legislation to ensure that patients in need are able to access essential medications. International precedents and lessons organized by WHO and recently spearheaded in Europe exist. Americans need and deserve access to lifesaving medications, which will require lawmakers and regulators to coordinate and act. Patients' lives depend upon it.

#### ACKNOWLEDGMENTS

This work was supported by Blue Cross Blue Shield of Michigan Foundation 002652.II (A.G.S.).

DISCLOSURES

The authors indicated no financial relationships.

#### **References**.

**1.** U.S. Department of Health and Human Services; Food and Drug Administration. Drug Shortages: Root Causes and Potential Solutions. October 29, 2019. Available at https://www.fda.gov/media/132059/download. Accessed November 15, 2019.

**2.** Rabin RC. Faced with a drug shortfall, doctors scramble to treat children with cancer. New York Times. October 14, 2019. Available at https://www.nytimes.com/2019/10/14/health/cancer-drug-shortage.html. Accessed November 15, 2019.

**3.** Fox ER, Unguru Y. Oncology drug shortages in the USA - Business as usual. Nat Rev Clin Oncol 2019 [Epub ahead of print].

**4.** Unguru Y, Fernandez CV, Bernhardt B et al. An ethical framework for allocating scarce life-saving chemotherapy and supportive care drugs for childhood cancer. J Natl Cancer Inst 2016;108.

**5.** Rosovsky R, Barra ME, Roberts RJ et al. When pigs fly: A multidisciplinary approach to navigating a critical heparin shortage. *The Oncologist* 2020;25:334–347.

**6.** DeCamp M, Joffe S, Fernandez CV et al.; Working Group on Chemotherapy Drug Shortages in Pediatric Oncology. Chemotherapy drug shortages in pediatric oncology: A consensus statement. Pediatrics 2014;133:e716– e724.

7. Rabin RC. U.S. blames drug shortages on low prices and a 'broken marketplace.' New York Times. October 29, 2019. Available at https://www. nytimes.com/2019/10/29/health/drug-shortages-ge nerics.html?rref=collection%2Fbyline%2Froni-caryn-

rabin&action=click&contentCollection=undefined& region=stream&module=stream\_unit&version=latest &contentPlacement=2&pgtype=collection. Accessed November 15, 2019.

**8.** Unguru Y, Bernhardt MB, Berg SL et al. Chemotherapy and supportive care agents are essential medicines for children with cancer. JAMA Pediatr 2019;173:477–484.

**9.** Government of the Netherlands. Minister Bruins: Start of a new international partnership of medicines.

October 29, 2019. Available at https://www. government.nl/latest/news/2019/10/29/ministerbruins-start-of-a-new-international-partnership-ofmedicines. Accessed November 15, 2019.

**10.** Edney A. Facing cancer drug shortages, U.S. relies on banned Chinese plant. Bloomberg News. July 22, 2016. Available at http://www.bloomberg.com/news/articles/2016-07-22/facing-cancer-drug-shortage-u-s-relies-on-banned-chinese-plant. Accessed November 15, 2019.

**11.** United States Senate Committee on Homeland Security & Governmental Affairs. A price too high: Cost, supply, and security threats to affordable prescription drugs. Available at https://www.hsgac.senate.gov/imo/media/doc/191206\_Report\_APrice TooHigh.pdf. Accessed January 9, 2020.

**12.** Teva USA. Company statement on vincristine. November 13, 2019. Available at https://www. tevausa.com/news-and-media/article-pages/vincrist ine2/. Accessed November 15, 2019.

#### Editor's Note:

See the related article, "When Pigs Fly: A Multidisciplinary Approach to Navigating a Critical Heparin Shortage" by Rachel Rosovsky, Megan Barra, Russel Roberts et al. on page 334 of this issue.

