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5 Article type : Original Article: Food Allergy and Gastrointestinal Disease

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8 **Intranasal nanoemulsion vaccine confers long-lasting immunomodulation and**
9 **sustained unresponsiveness in a murine model of milk allergy**

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11 Short title: Nanoemulsion allergy vaccine confers long-term protection

12
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22
23 **Acknowledgments:** This project has been funded by a Food Allergy Research and Education
24 New Investigator Award. Michigan Food Allergy Research Accelerator (M-FARA) and a
25 generous gift from Robert and Caren Vondell.

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28 **This is the author manuscript accepted for publication and has undergone full peer review but has not been through the copyediting, typesetting, pagination and proofreading process, which may lead to differences between this version and the [Version of Record](#). Please cite this article as [doi: 10.1111/ALL.14064](https://doi.org/10.1111/ALL.14064)**

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Word Count: 3924

Abstract:

Background: Immunotherapy for food allergy requires prolonged treatment protocols and, in most cases, does not lead to durable modulation of the allergic immune response. We have demonstrated an intranasal (IN), nanoemulsion adjuvant that redirects allergen-specific Th2 responses towards Th1 and Th17 immunity, and protects from allergen challenge after only 2-4 monthly administrations. Here, we investigate the ability of this technology to provide long-term modulation of allergy in a murine model of cow's milk allergy.

Methods: Six weeks after sensitization to bovine casein, mice received four, monthly IN immunizations with nanoemulsion formulated with casein. Protection from casein challenge was assessed at 4 and 16 weeks after the final vaccine administration.

Results: The NE vaccine significantly blunted the physiological responses to allergen challenge, and this effect persisted for at least 16 weeks. The protection from challenge was associated with suppression of casein-specific Th2 immunity and induced Th1 and Th17 cytokines as well as induction of IL-10. Of interest, while immunized animals showed significantly decreased Th2 cytokine responses, cow's milk-specific IgE remained elevated in the serum at levels associated with reactivity in control sensitized animals. Protection was associated with suppressed mast cell activation and markedly reduced mast cell infiltration into the small intestine.

Conclusion: The sustained unresponsiveness of at least 16 weeks after vaccination suggests that the nanoemulsion vaccine alters the allergic phenotype in a persistent manner different from traditional desensitization, and this leads to long-term suppressive effects on allergic disease without eliminating serum IgE.

59

60 Keywords: allergy treatment, food allergy, immunotherapy vaccines and mechanisms,
61 immunotherapy and tolerance induction, vaccines

62 **Introduction**

63 Food allergies have become common in industrialized nations and affect an estimated 5%
64 of adults and 8% of children in the United States.^{1,2} Milk is one of the eight major allergens
65 responsible for the majority of serious food allergy reactions in the United States, and allergy to
66 cow's milk is the most common food allergy in infants and young children.³ While cow's milk
67 allergy is often outgrown, high milk-specific IgE in infants is associated with persistent milk
68 allergy as well as increased incidence of atopic dermatitis, asthma, rhinoconjunctivitis, and other
69 food allergies.^{4,5}

70 Strict avoidance of an offending food is the primary clinical approach to prevent allergic
71 reactions and anaphylaxis, often coupled with the use of epinephrine for accidental exposure.
72 Recently, however, progress has been made towards the development of immunotherapy for food
73 allergy. This includes oral (OIT), sublingual (SLIT), and epicutaneous (EPIT) immunotherapies
74 (reviewed in ^{6,7}), and single-allergen OIT for peanut allergy has been submitted to the FDA for
75 approval.⁸⁻¹⁰ OIT has also been studied for milk allergy in numerous clinical trials.¹¹ However,
76 most evidence suggests that OIT is not effective to induce tolerance or durable sustained
77 unresponsiveness (SU) to food allergens. SU, as defined by the ability to pass a double-blind,
78 placebo-controlled food challenge (DBPCFC) 2-8 wks after cessation of the immunotherapy, has
79 been reported to occur in 25-58% of trial participants,¹²⁻¹⁴ and this included patients that may
80 have naturally outgrown their allergy. Thus, while these immunotherapies have demonstrated
81 efficacy, this lack of sustained protection suggests that OIT in most cases does not fundamentally
82 change the allergen-specific allergic response.

83 An optimal goal for treating food allergies would be to generate immune tolerance in
84 allergic individuals and suppress the underlying Th2-polarized cellular immune responses,
85 including IL-4 and IL-13, and allergen-specific IgE antibodies.^{1,15-17} Recent work has
86 demonstrated that the induction of tolerance in allergies is associated with both the suppression
87 of mast cell and basophil reactivity and changes in allergen-specific cells, including the
88 upregulation of regulatory markers and increases in the number and/or function of regulatory T

89 cells (Tregs). Thus, the goal of approaches for long term SU or tolerance to prevent allergic
90 reactions could include both the durable suppression of allergen-specific Th2 immunity and
91 reductions in number and reactivity of effector cells.

92 Our group has developed an intranasal nanoemulsion (NE) adjuvant that modulates pre-
93 existing allergen-specific Th2 immune responses towards a Th1 and Th17 phenotype.¹⁸ We
94 previously reported that in murine models of peanut allergy, this modulation resulted in
95 protection when mice were challenged with peanut 2-3 weeks after final immunization.¹⁹ As
96 adjuvants are effective in inducing long-term changes in the immune response, we hypothesized
97 that these NE-based vaccines might stably modulate the allergen-specific immune response
98 resulting in durable SU. In this study, we test the ability of an intranasal NE-based vaccine to
99 induce long-term modulation of established Th2 immunity and SU from allergic reactions in a
100 mouse model of cow's milk allergy.

101 **Materials and Methods**

102 *Antigen and adjuvants.* Nanoemulsion adjuvant (NE) was produced by a high speed
103 emulsification of ultra-pure soybean oil with cetyl pyridinium chloride, Tween 80 and ethanol in
104 water, with resultant NE droplets with average 350-400 nm diameter.^{20,21} Aluminum hydroxide
105 (alum, alhydrogel) was purchased from InvivoGen. Casein purified from bovine milk,
106 containing the four main types of casein found in cow's milk: α -s1 Casein, α -s2 Casein, β -
107 Casein, and κ -Casein was purchased from Sigma and was solubilized in phosphate buffered
108 saline (PBS) and sterilized by sequential filtration through 0.4 μ m and 0.2 μ m syringe filters.
109 Endotoxin content of all vaccine components was determined by a limulus amoebocyte lysate
110 (LAL) assay (Pierce). There was no detectable endotoxin in PBS, NE or alum (Fig. S1). The
111 stock solution of casein was approximately 5 endotoxin units (EU) per ml; however after dilution
112 into the final vaccine formulation, the total amount of endotoxin given intranasally to each
113 mouse is 0.004 EU per dose. As 0.004 EU is equivalent to approximately 0.4 pg of LPS, this
114 amount of endotoxin is not anticipated to have major effects.

115 *Mice and Immunizations.* Specific pathogen-free BALB/c mice (females 3 weeks old) were
116 purchased from Jackson Laboratory. Mice were 4 weeks of age at the onset of the experiment.
117 The experimental design is shown in Figure 1. Allergic sensitization was induced with
118 intraperitoneal immunizations (i.p.) of 125 μ g bovine casein (Sigma) adsorbed on 1 mg alum at

119 weeks 0 and 2. Intranasal (i.n.) immunizations were administered as 12 μ l (6 μ l /nare) of a
120 formulation containing 20 μ g of casein mixed with 20% NE. Casein mixed with PBS alone
121 served as a control. Systemic anaphylaxis was induced by i.p. injection of 100 μ g casein and
122 reactions were assessed as described below. For oral challenge experiments, mice were fasted for
123 5-6 hrs to ensure gastric emptying and then were challenged with 0.2 ml of 4% fat cow's milk by
124 oral gavage. Mice were challenged orally every other day for a total of 7 gavages.²² All animal
125 procedures were performed according to the University of Michigan Institutional Animal Care
126 and Use Committee and the National Institutes of Health guide for the care and use of laboratory
127 animals.

128
129 *Assessment of hypersensitivity reactions.* Anaphylactic symptoms were evaluated for one hour
130 following challenge using the following scoring system (modified from ^{23,24}): 0, no symptoms; 1,
131 prolonged rubbing and scratching around the nose, eyes or head; 2, puffiness around the eyes or
132 mouth, diarrhea, piloerection, and/or decreased activity with increased respiratory rate; 3,
133 labored respiration, wheezing, stridor, and/or cyanosis around the mouth and tail; 4, tremor,
134 convulsion, no activity after prodding and/or moribund; 5, death. Rectal temperature was
135 monitored for 60 min following challenge. Mice were bled 60 minutes following challenge, and
136 serum mouse mast cell protease-1 (MCPT-1) was determined by ELISA (eBioscience).

137 *Measurement of serum antibodies.* Sera were obtained by saphenous vein bleeding or by cardiac
138 puncture post-euthanasia. Serum was separated from whole blood by centrifugation at 1500 \times g
139 for 5 minutes after allowing coagulation for 30 to 60 minutes at room temperature. Serum
140 samples were stored at -20°C until analyzed. Casein- and cow's milk- specific IgE antibodies
141 were determined by ELISA as described previously¹⁹. Briefly, serially diluted serum samples
142 were incubated on microtiter plates coated with 20 μ g/ml bovine casein or cow's milk. IgE, IgG1
143 and IgG2a were detected with alkaline phosphatase conjugated anti-mouse IgE (Rockland) and
144 IgG1 and IgG2a (Jackson ImmunoResearch) antibodies and Sigma FastTM p-nitrophenyl
145 phosphate substrate (Sigma) and quantified by measuring the optical density (OD) at 405 nm.
146 The antibody concentrations are presented as endpoint titers defined as the reciprocal of the
147 highest serum dilution producing an OD above background of naïve sera. The cutoff value is
148 determined as the OD (mean+2 standard deviations) of the corresponding dilution of naive
149 sera.^{25,26}

150 *Analysis of cytokine production.* The cellular recall response was evaluated in lymphocytes
151 isolated from mesenteric lymph nodes. Single cell lymphocyte suspensions were cultured *ex vivo*
152 \pm casein (25 μ g/ml) at 37°C. After 72 hours, cytokine secretion was measured in cell culture
153 supernatants using Luminex Multiplex detection system (Millipore).

154 *Acute allergic skin response.* The acute allergic skin response (ear swelling at 1h) was
155 determined in anesthetized mice after intradermal (i.d.) injection of 10 μ g casein in the ear
156 pinnae. Ear thickness was measured in duplicate using a digital micrometer. The allergen
157 specific change in ear swelling was compared with the non-specific ear swelling due to allergen
158 injection in the PBS (sham)-sensitized group.

159
160 *Mast cell quantification.* 18 hours after challenge, the duodenum and jejunum were fixed in 10%
161 formalin, embedded in paraffin and cut into 5- μ m thick sections. Tissue sections were stained
162 for chloroacetate esterase (CAE) activity as previously described.^{22,27} Quantification of mast
163 cells was performed by counting the number of CAE-positive cells from at least 25 fields of view
164 at 40X magnification, and the data are reported as number of cells per field.

165
166 *Serum transfer experiments.* Mice were sensitized i.p. with casein and alum at wks 0 and 2 and
167 immunized i.n. with casein-NE or casein-PBS at wks 6, 12, 18 and 20 as described above and in
168 Figure 1. At week 24, mice were sacrificed and serum was harvested. Serum from mice
169 receiving the same treatments was pooled and transferred into naïve recipient mice (200
170 μ l/mouse) by injection into the tail vein. 24 hrs after serum transfer, recipient mice were
171 challenged orally with cow's milk to assess reactivity.

172
173 *Statistics.* Statistical comparisons were assessed by the Mann-Whitney test using GraphPad
174 Prism version 7 (GraphPad Software). The *p* value $<$ 0.05 was considered as significant. Results
175 presented here are the representatives of at least two independent experiments.

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179

180 **Results**

181

182 **Intranasal immunization with NE adjuvant suppresses allergic reactions and anaphylaxis**

183 BALB/c mice were sensitized to the cow's milk protein casein at weeks 0 and 2 by i.p.
184 injections of bovine casein adsorbed on alum.¹⁹ Six weeks after sensitization, one group of mice
185 received four, monthly i.n. immunizations with casein formulated in NE while the others
186 received i.n. casein or PBS as controls. The mice were subsequently challenged intraperitoneally
187 with casein to assess protection. At week 24, 4 weeks after the last i.n. immunization, sensitized
188 control mice had profound reactions to challenge, indicated by core body temperature loss of
189 greater than 5°C and severe symptoms of anaphylactic shock including pruritus, puffiness around
190 the eyes and mouth, labored respiration or wheezing and lack of activity when prodded (Figure
191 1B). As compared to controls, the NE vaccine markedly suppressed physiological responses to
192 allergen challenge. There was significant improvement in body temperature loss ($p=0.003$) as
193 well as anaphylaxis symptom score ($p=0.002$), and mice that received the NE vaccine exhibited
194 only mild symptoms of allergic reaction, as none experienced respiratory problems or shock. In
195 order to quantify mast cell degranulation, MCPT-1 was measured in serum following challenge.
196 Consistent with the clinical symptoms of allergic reaction, immunized mice had a significant
197 reduction in MCPT-1, with average levels of 74 ng/ml compared with 528 and 840 ng/ml in
198 control groups that received i.n. casein or PBS ($p=0.0079$). No significant differences in
199 reactivity were observed in sensitized mice that received i.n. casein in PBS compared to
200 sensitized mice that were not treated intranasally with allergen, demonstrating that the four i.n.
201 exposures to 20 µg casein did not significantly modulate reactivity. Similarly, i.n. administration
202 of NE without allergen had no effect on suppression of allergic reactivity (Fig. S2).

203 In order to investigate durability of protection, mice were challenged 16 weeks after the
204 final i.n. immunization. The increased time between sensitization and challenge did not
205 influence the severity of allergic reactions for control mice (Figure 1C). In contrast, immunized
206 mice were protected from challenge as body temperature loss, anaphylaxis symptom score and
207 MCPT-1 were significantly improved ($p=0.0001$, 0.0002 , and 0.002 , respectively). The
208 protection from challenge appeared complete, as there were no differences in reactions in NE
209 immunized mice compared with non-sensitized mice. This indicated that therapeutic

210 immunization with NE results in complete protection 16 weeks after the final intranasal
211 administration, with the protection appearing more pronounced than at earlier time points.

212

213 **Intranasal immunization with NE adjuvant suppresses allergy-associated Th2 responses**

214 We have previously reported that allergen-NE immunization modulates allergen-specific
215 Th2-polarized immunity while inducing IL-10, Th1 and Th17 immune responses.^{18,19} To
216 confirm this in the milk allergy model, mesenteric lymph node cells were stimulated *ex vivo* with
217 casein to characterize the recall response to allergen after the 4 wk SU challenge at week 24
218 (Figure 2A). Upon stimulation with casein, cells from casein-alum sensitized mice produced
219 predominantly Th2-type cytokines (IL-4, IL-5, IL-13) with lower levels of IFN- γ , IL-10, IL-17
220 and IL-22 (Figure 2A). Lymphocytes from mice that received subsequent casein-NE
221 immunizations produced significantly more Th1 cytokines and significantly less Th2 cytokines.
222 Casein-NE significantly increased IL-10 ($p=0.01$) and the Th17 cytokines IL-17 and IL-22
223 ($p=0.0079$) and decreased IL-4 and IL-13 ($p=0.016$ and 0.032 , respectively). Consistent with
224 reactivity data described above, i.n. administration of casein did not have any significant effects
225 on cytokine production, compared with sensitized mice that were not treated i.n. with casein.
226 Lymphocytes from PBS control mice (non-sensitized but challenged) did not produce
227 measurable cytokine upon restimulation with casein.

228 Cytokine production was also characterized after the 16 wk SU challenge (week 36) to
229 determine if modulation of the allergen-specific cytokine response persisted for 16 weeks after
230 the final i.n. immunization. Consistent with the strong protection from challenge at this time
231 point, IL-4 and IL-13 production remained significantly suppressed ($p=0.001$ and 0.007) (Figure
232 2B). Interestingly, from weeks 24 to 30 there was an approximately 3.5-fold reduction in IL-4
233 and IL-13 in the casein-NE-immunized animals while the production of these cytokines was
234 increased in the non-immunized mice possibly as a result of reactivity upon challenge.

235 NE immunization-induced enhancement of IFN- γ , IL-17, and IL-22 was maintained at
236 week 36 ($p=0.042$, 0.01 and 0.0007). However, at this later time point, there was no significant
237 difference in IL-10 production between mice that received i.n. instillations of casein-NE or
238 casein-PBS. While IL-10 production remained constant at approximately 4000 ng/ml in mice
239 that received casein-NE, there was a five-fold increase in IL-10 production in mice receiving
240 casein-PBS. For all cytokines tested, there was largely no detectable antigen-specific cytokine

241 production in the non-sensitized control group at week 24, and all cytokines increased at week 36
242 to low, but detectable, levels. This suggests minimal effect from the prior casein challenge on
243 casein-specific cytokine production at week 36 in non-sensitized animals. Overall, these data
244 demonstrate that modulation of Th2 cytokine production not only remains modulated for at least
245 16 weeks after the final immunization but becomes stronger in the months after immunization.

246

247 **NE reduces mast cell infiltration into tissue and protects despite presence of allergen-** 248 **specific IgE in the serum**

249 Allergen-specific antibodies were quantified from the serum throughout the course of the study
250 (Figure 3). Sensitization and immunization with casein induced similar levels of antibodies
251 specific for casein and cow's milk, demonstrating that these antibodies bind to casein epitopes
252 that are present in cow's milk. Immunization with casein-NE largely prevented further increases
253 in allergen-specific IgE that occurred in non-immunized mice. Surprisingly, at week 24 despite
254 being protected from challenge, serum casein- and cow's milk-specific IgE titers were not
255 significantly different in casein-NE- and casein-PBS-immunized mice (Figure 3A). At week 36,
256 allergen-specific IgE was significantly decreased in the serum of NE-immunized mice ($p=0.007$);
257 however, IgE antibody titers remained significantly elevated at titers where non-immunized mice
258 would be expected to react to challenge (Figure 3A). While allergen-specific IgG1 titers were not
259 significantly affected by the casein-NE treatment (Figure 3B), IgG2a titers were increased more
260 than 20-fold in the serum of mice that received casein-NE ($p<0.0001$), demonstrating a shift in
261 the profile of cow's milk-specific antibodies (Figure 3C).

262

263 To confirm that the NE vaccine could protect from IgE-mediated allergic reactions, the
264 acute allergic skin response to intradermal injection of casein was measured. NE treatment
265 reduced the acute allergic skin responses compared to the casein-sensitized control mice (Figure
266 4B). As this further suggested that the NE-immunized mice were protected from IgE-mediated
267 allergic reactions, we tested the ability of NE to protect from reactivity in an additional
268 experimental food allergy model in which intestinal mast cells drive the clinical phenotype.^{22,28,29}
269 As illustrated in Figure 4A, two weeks after the final i.n. immunization, mice were challenged
270 orally with cow's milk every other day for 2 weeks. NE-immunized mice were significantly
271 protected from allergic reaction to oral cow's milk challenge, as indicated by a greater than 10-

272 fold reduction in MCPT-1 ($p=0.0002$) (Figure 4C). Mast cells were quantified in the small
273 intestine to determine if NE immunization protected through blocking the accumulation of mast
274 cells in the tissues. There was an almost 10-fold increase in small intestine mast cells in
275 sensitized mice, however, mast cell infiltration was significantly lower in NE-immunized mice
276 ($p<0.0001$) and was identical to mast cell numbers observed in non-sensitized mice (Figure 4D
277 and S3). These data suggest that while allergen-specific IgE circulates in the blood, the NE-
278 immunized mice are protected from challenge due to the lack of mast cell accumulation in the
279 tissue.

280 In order to further assess the role of the humoral immune response in the protection
281 conferred by NE immunization, a passive sensitization experiment was performed. Serum was
282 harvested from mice following sensitization and immunization and transferred into naïve
283 recipient mice. Recipient mice were challenged to determine if the serum from NE-immunized
284 mice would confer reactivity. Mice that received serum from sensitized mice that were
285 immunized with casein-PBS reacted to challenge, as demonstrated by a decrease in core body
286 temperature and increase in MCPT-1 (Figure 5). Mice that received serum from casein-NE
287 immunized mice had significantly reduced reactions. These data demonstrate that while serum
288 in these mice contains allergen-specific IgE, it does not confer sensitivity to the allergen.

289

290

291 **Discussion**

292 To date, no immune approach to address food allergies has demonstrated long-term
293 protection from reactivity, identified as SU, which is an unmet need for the millions of patients
294 with food allergies. We have investigated the use of adjuvants to induce a durable modulation of
295 allergen-specific immune responses that is effective with few immunizations. Our previous
296 results demonstrated that NE-based allergy vaccines could suppress peanut allergy in several
297 mouse models a few weeks after administration of the last immunization. The present studies
298 investigate whether the immunomodulation of the allergen-specific response by NE vaccine
299 could induce durable immune alterations capable of conferring long-term SU and protection
300 from allergen challenge. We demonstrated that NE-immunized mice were protected for 16
301 weeks following NE immunization and these mice were completely unresponsive to allergen
302 challenge at that late time point. Protection from allergen challenge correlated with continued

303 suppression of allergen-specific Th2 immune responses, suggesting that the changes in the
304 allergic immune phenotype were also durable.

305 While allergen-specific IgE is commonly used to diagnose food allergies, many patients
306 with allergen-specific IgE in the blood do not react upon consumption of that food.^{30,31} In this
307 study, while cow's milk-specific IgE was significantly decreased in the serum of NE-immunized
308 mice at the end of the study, IgE titers remained significantly elevated to similar levels where
309 sensitized control (non-NE vaccinated) mice reacted to challenge. Since these NE-immunized
310 mice were protected from IgE-mediated allergic reactions, this suggests that similar to food
311 allergic humans, serum allergen-specific IgE is not completely predictive of clinical reactivity. In
312 addition, while serum casein-specific IgE was not completely suppressed in NE-immunized
313 mice, there was complete suppression of mast cell accumulation in the intestine after oral
314 challenge, consistent with reports that food allergic reactions in murine models of food allergy
315 are dependent upon tissue mast cell infiltration.^{22,28}

316 Serum transfer from NE-immunized mice was used to dissect the humoral and cellular
317 immune mechanisms responsible for the protection induced by the NE vaccines. While transfer
318 of serum from sensitized and non-immunized mice passively sensitized mice, serum from
319 sensitized and NE-immunized mice did not result in reactivity in recipient mice. The serum from
320 NE-immunized mice contained allergen-specific IgE; however, the transfer of this IgE did not
321 transfer reactivity. This suggests that modulation of the allergen-specific antibody profile may
322 play a key role in the protection from challenge observed following NE immunization.
323 Modulation of the humoral immune response can lead to protection through multiple
324 mechanisms. NE immunization may induce blocking antibody that can neutralize the allergen
325 before it binds to IgE on effector cells.^{32,33} Mast cell degranulation can also be suppressed
326 through activation of the inhibitory Fc receptor FcγRIIb.³³⁻³⁵ Allergen-specific IgG2a is
327 significantly increased in NE-immunized mice at both the 24 week and 36 week challenges and
328 may be involved in the inhibition of mast cell activation either through activation of FcγRIIb or
329 by blocking allergen from binding to IgE. Together, these data suggest that while allergen-
330 specific IgE circulates in the blood, NE-immunized mice may be protected from clinical
331 reactions due to reduced mast cell recruitment, accumulation and activation in the gut. These

332 results also suggest that tissue mast cell infiltration would be a strong predictor of food allergy
333 reactivity compared to allergen-specific serum IgE.

334 Other studies have demonstrated efficacy of immunotherapies for food allergies in mice
335 but have typically challenged mice within two weeks of the last therapeutic intervention.³⁶⁻⁴⁵ As
336 this is a timepoint where the effect of desensitization could not have worn off, we modified this
337 approach by evaluating SU in excess of three months after discontinuation of therapy. While our
338 studies were performed in mice, comparisons to studies of OIT performed in both mice and
339 humans can provide insights into how this might translate into protection in humans. Studies of
340 OIT in mice have demonstrated that protection is lost 2 weeks after stopping OIT.⁴⁶ In humans,
341 however, OIT has led to SU intervals of 2-8 weeks in a fraction of patients. Despite this, most
342 OIT human trials also have shown that as time post treatment increases the percentage of patients
343 who pass the DBPCFC decreases.^{47,48} Thus, it is significant that the NE vaccines induce
344 protective immunity that persists in all mice for at least 16 weeks off treatment. It also suggests
345 that the complete unresponsiveness at 16 weeks after the last immunization in mice could
346 translate into a significant duration of protection in humans.

347 Desensitization and tolerance are two distinct outcomes of immunotherapy, and it is
348 important to distinguish between the two. Desensitization is an increase in the threshold amount
349 of food that can be ingested without reaction while on the therapy. Desensitization is mediated
350 by changes in effector cells, such as mast cells, but does not involve modulation of the
351 underlying allergen-specific immune mechanisms. Thus, desensitization is temporary and the
352 individual remains allergic to the allergen, reacting to the antigen soon after the therapy is
353 stopped. Conversely, true tolerance is more permanent protection from reaction after
354 discontinuation of the immunotherapy. Tolerance involves modulation of effector cells as well
355 as allergen-specific immune cells, typically through the induction of regulatory mechanisms.^{49,50}
356 While the sustained unresponsiveness observed with OIT is likely mostly due to desensitization,
357 the durable protective immunity and immunomodulation induced by the NE vaccines presented
358 here are not consistent with desensitization and suggest an immune phenotype more consistent
359 with tolerance.

360 We have previously reported that therapeutic immunization with NE induces Tregs.^{18,19}
361 Increases in both Tregs and IL-10 suggests that the suppression of Th2 immunity and subsequent
362 protection from allergen challenge is due to the induction of regulatory mechanisms. A similar
363 induction of Tregs and IL-10, as well as IFN- γ , has been reported in grass pollen allergic
364 individuals with decreased Th2 immune responses after allergen immunotherapy.^{61, 62} Induction
365 of IL-10 is not sufficient for suppression of reactivity, as in this study casein-sensitized mice that
366 received i.n. casein-PBS retain reactivity at week 36 despite having increased IL-10. This
367 suggests that induction of IL-10 without the suppression of Th2 immune responses is not
368 sufficient to suppress reactivity.

369 In summary, administering allergen with NE induces long-term immune changes that
370 provide protection from allergen challenge for months after discontinuation of therapy. The
371 cytokine profiles in allergic mice after immunization with the NE vaccines showed Th2
372 cytokines remained significantly suppressed and Th1/Th17 allergen-specific responses were
373 augmented. This suggests allergen/NE immunization reprograms the immune system towards a
374 balanced immune response that may have more lasting effects in suppressing allergic disease
375 than traditional allergen desensitization immunotherapy.

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377

378 **Conflicts of interest**

379 J.R.B. holds stock in Blue Willow Biologics and is an inventor of the nanoemulsion technology
380 that the University has licensed to Blue Willow Biologics. One of these technologies is involved
381 in this research. J.R.B. and J.J.O. are inventors on a patent application that has been submitted
382 based on this technology (PCT/US2015/054943). The other authors declare no conflicts of
383 interest.

384

385 **Author contributions**

386 J.J.O. and J.R.B. designed the study. J.J.O., J.J.L., K.W.L., H.K.L., A.M.M., and T.D.T.
387 performed experiments and interpreted data. J.J.O. and J.R.B wrote the manuscript.

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503

504 **Figure Legends**

505

506 **Figure 1. Protection conferred by NE immunization is sustained for at least 16 weeks.** (A)

507 Mice were sensitized with casein-alum (CS-alum) and immunized i.n. with 4 administrations of
508 casein-NE (CS-NE) or casein-PBS (CS-PBS). Mice were challenged intraperitoneally with
509 casein at (B) week 24, 4 weeks after the final i.n. immunization, or (C) week 36, 16 weeks after
510 the final i.n. immunization. In order to assess sustained unresponsiveness (SU), the degree of
511 allergic reactions was measured by change in core body temperature, clinical anaphylaxis score,
512 and serum MCPT-1 levels as determined by ELISA. Statistically significant differences ($p < 0.05$)
513 are indicated by *.

514

515 **Figure 2. NE immunization suppresses Th2 immunity and induces Th1/Th17 in mice** 516 **sensitized to cow's milk protein.** Cellular recall immune responses to bovine casein were

517 measured in mLN lymphocytes harvested from mice at weeks (A) 24 and (B) 36. Cytokine
518 secretion in culture supernatant was determined by a Luminex multiplex assay. Cytokine
519 production has been normalized to control unstimulated lymphocyte cultures for each sample.
520 Values are calculated as [stimulated] – [unstimulated] = Total (pg/ml) for each cytokine. Data
521 are expressed as mean \pm standard deviation. Statistically significant differences ($p < 0.05$) are
522 indicated by *.

523

524

525 **Figure 3. NE immunization modulates allergen-specific IgE and IgG in serum.** Serum

526 casein- and cow's milk-specific (A) IgE, (B) IgG1 and (C) IgG2a were measured by ELISA

527 throughout the course of the therapeutic protocol. Statistically significant differences ($p < 0.05$)
528 are indicated by *.

529

530 **Figure 4. NE immunization protects from mast cell-mediated allergic reactions.** (A) Mice
531 were sensitized with casein-alum (CS-alum) and immunized i.n. with 4 administrations of
532 casein-NE (CS-NE) or casein-PBS (CS-PBS). At week 24, mice were challenged either (B)
533 intradermally (i.d) with casein or (C) orally with cow's milk for a total of 7 challenges over 2
534 weeks. (B) The effect of NE immunization on the acute allergic skin response was measured
535 before and 1 hr. after the i.d. challenge with casein. The effect of NE immunization on allergic
536 reaction to oral challenged was measured by (C) serum MCPT-1 levels and (D) the number of
537 mast cells in the jejunum after the 7th oral cow's milk challenge. Histology images were
538 obtained at 40x magnification and cells counted per field of vision. Statistically significant
539 differences ($p < 0.05$) are indicated by *.

540

541 **Figure 5. Serum from NE-immunized mice does not induce passive sensitization in**
542 **recipient mice.** (A) Serum from casein-sensitized mice that received i.n. PBS, casein-PBS or
543 casein-NE was harvested at week 24 and transferred into naïve recipient mice. 24 hours later,
544 recipient mice were challenged orally with cow's milk and reactivity was measured by (B)
545 change in body temperature and (C) serum MCPT-1 levels. Statistically significant differences
546 ($p < 0.05$) are indicated by *.

547

548 **Figure S1. Endotoxin content of vaccine components.** The endotoxin content of vaccine
549 components was determined by a limulus amoebocyte lysate (LAL) assay. Values are reported as
550 (A) EU/ml in reagent stock solutions and (B) total endotoxin in each dose. ND, not detectable.

551

552 **Figure S2. Intranasal administration of NE without allergen does not suppress the allergic**
553 **response.** Mice were sensitized with casein-alum (CS-alum) and immunized i.n. with 4
554 administrations of PBS, casein-PBS (CS-PBS), casein-NE (CS-NE) or NE only (no antigen).
555 Mice were challenged with casein and serum MCPT-1 levels were determined by ELISA.
556 Statistically significant differences ($p < 0.05$) are indicated by *.

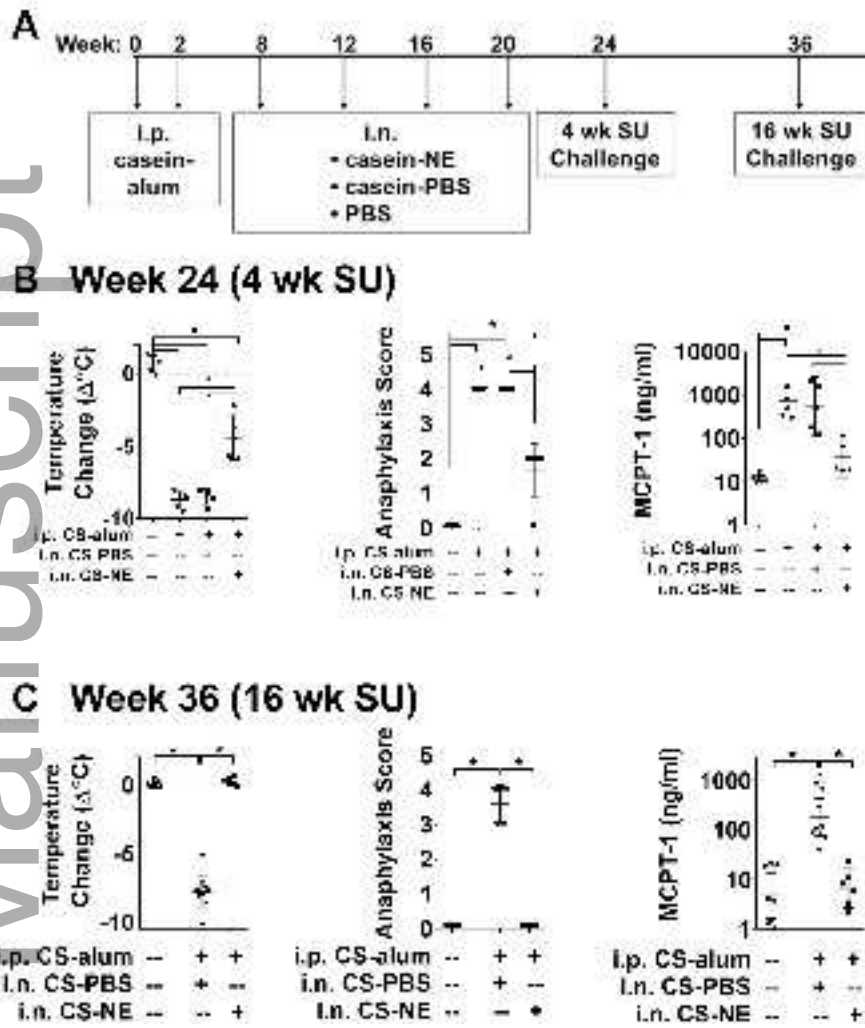
557

558 **Figure S3. Representative images of mast cell staining of intestines of mice after challenge.**

559 Mice were sensitized and treated as described in Figure 4. The numbers of mast cells in the
560 jejunum was determined after the 7th challenge by chloracetate esterase staining. Histology
561 images were obtained at 40x magnification and cells counted per field of vision. Representative
562 images are shown for each treatment group. Arrows identify representative positively stained
563 mast cells.

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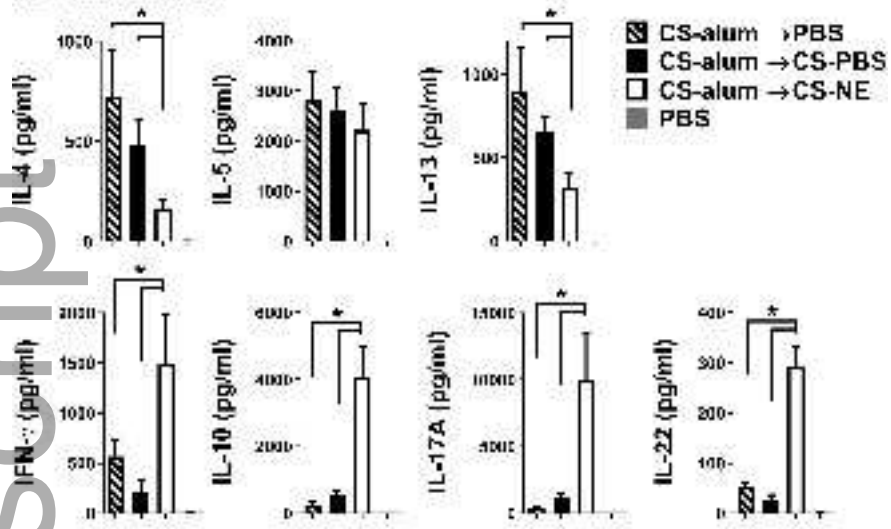
Figure 1



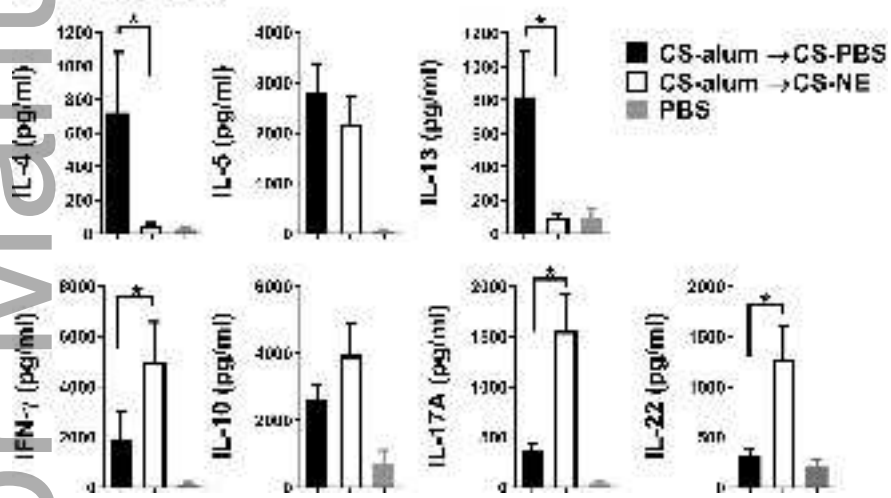
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Figure 2

A Week 24

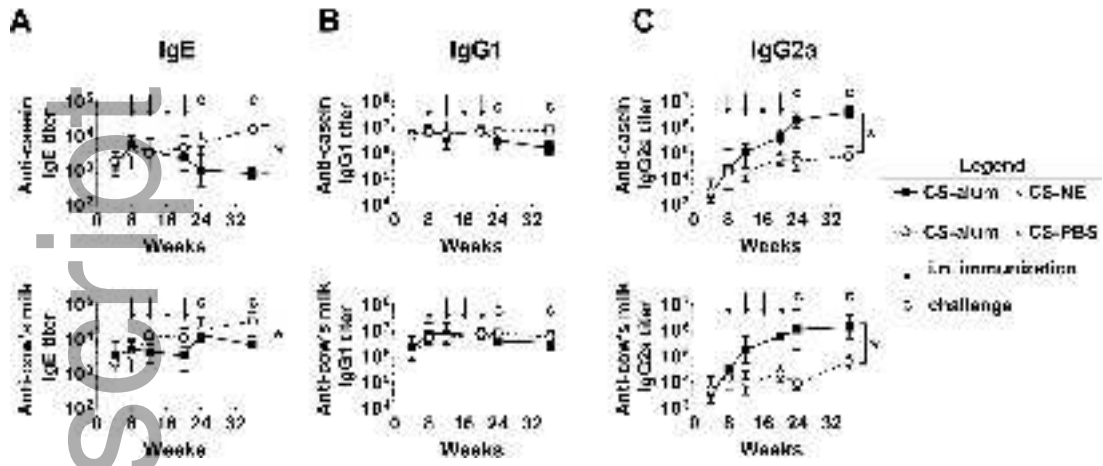


B Week 36



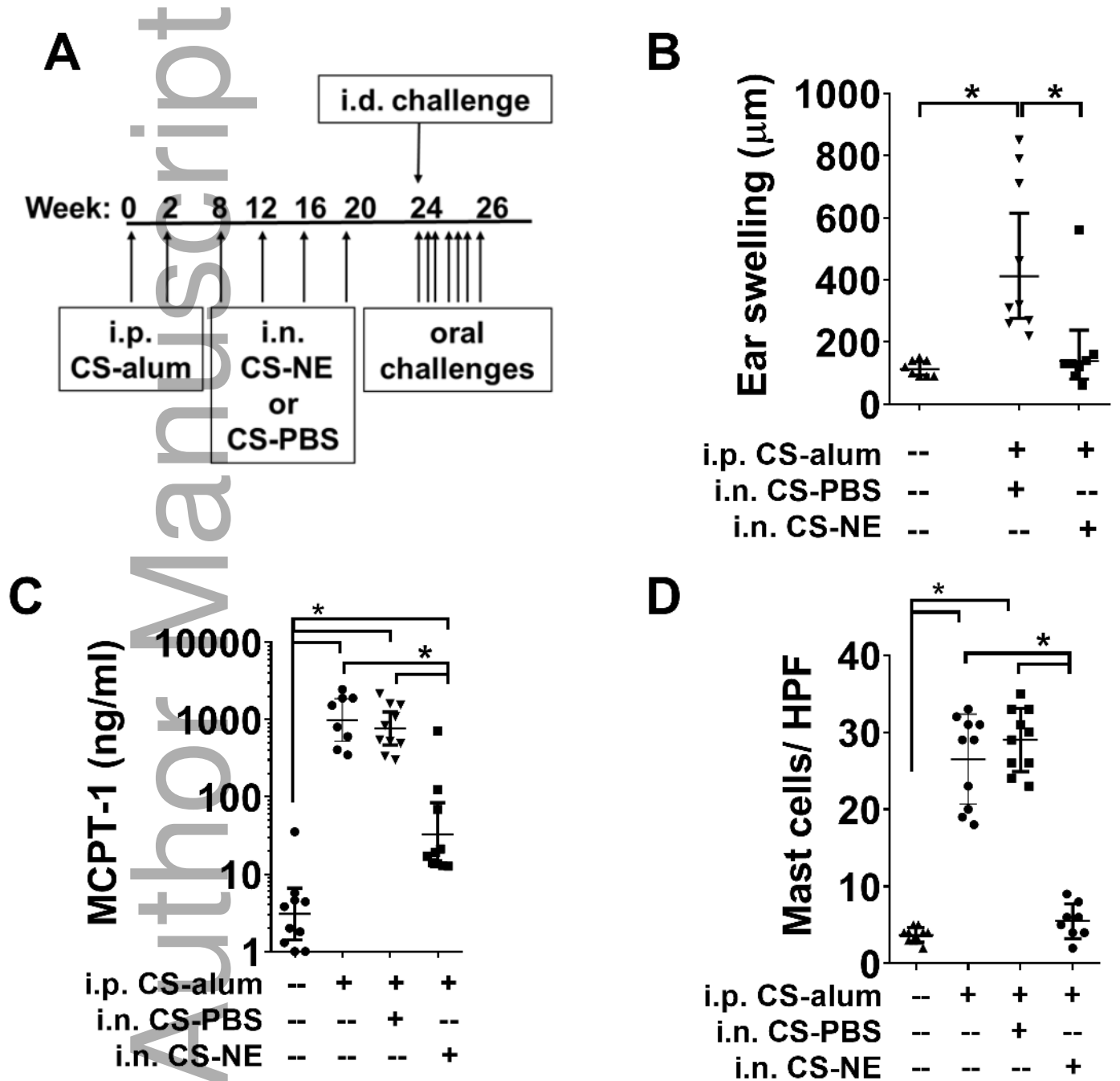
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Figure 3



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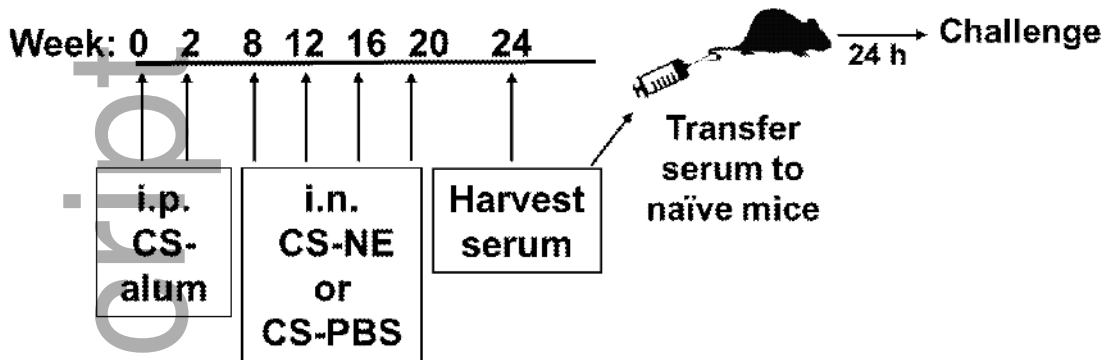
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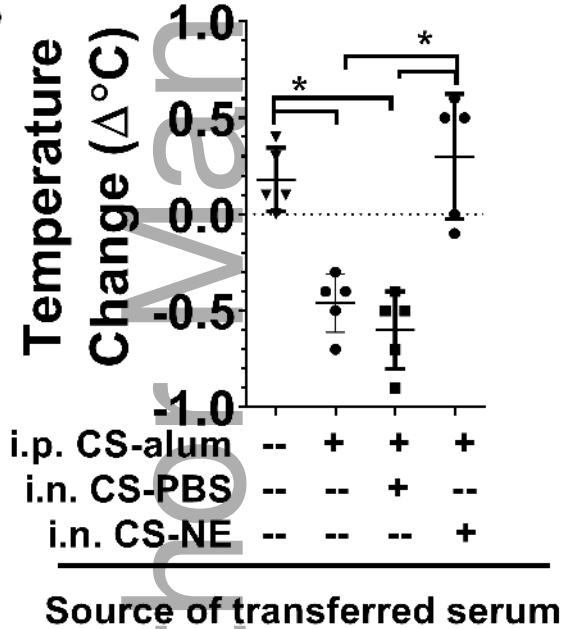
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Figure 5

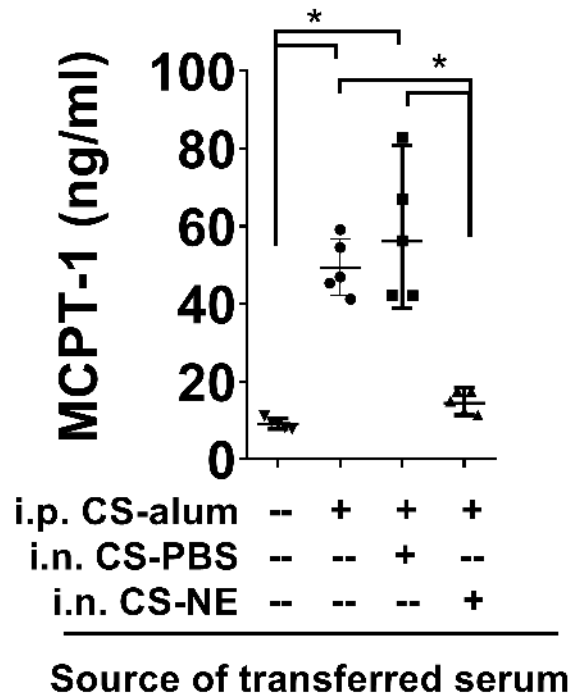
A



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