### Deep Learning Computer Vision Algorithm for Detecting Kidney Stone Composition

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10 Abstract

Objectives: To assess the recall of a deep learning (DL) method to automatically detect kidneystones composition from digital photographs of stones.

13 Materials and Methods: 63 human kidney stones of varied compositions were obtained from a 14 stone laboratory including calcium oxalate monohydrate (COM), uric acid (UA), magnesium 15 ammonium phosphate hexahydrate (MAPH/struvite), calcium hydrogen phosphate dihydrate 16 (CHPD/brushite) and cystine stones. At least two images of the stones, both surface and inner 17 core, were captured on a digital camera for all stones. A deep convolutional neural network 18 (CNN), ResNet-101 [ResNet, Microsoft], was applied as a multi-class classification model, to 19 each image. This model was assessed using leave-one-out cross validation with the primary 20 outcome being network prediction recall.

Results: The composition prediction recall for each composition were as follows: UA 94% (n=17), COM 90% (n=21), MAPH/Struvite 86% (n=7), Cystine 75% (n=4), CHPD/Brushite 71% (n=14). The overall weighted recall of the convolutional neural network's composition analysis was 85% for the entire cohort. Specificity and precision for each stone type were as follows: UA [97,83, 94.12], COM [97.62, 95] struvite [91.84, 71.43] cystine [98.31, 75], and brushite [96.43, 75].

Conclusion: Deep convolutional networks can be used to identify kidney stone composition from digital photos with good recall. Future work is needed to see if deep learning can be used for detecting stone composition during digital endoscopy. This technology may enable integrated endoscopic and laser systems that automatically provide laser settings based on stone composition recognition with the goal to improve surgical efficiency. 32 33

#### 34 Introduction

There is increasing interest on optimizing holmium laser settings and techniques like 35 Dusting<sup>1</sup>, as ureteroscopy (URS) and laser lithotripsy has become the predominant surgical 36 treatment for urinary stones in North America<sup>2</sup>. Currently, stones are fragmented by selecting 37 38 pulse energy and frequency to break stones into either fine powder (dusting) or medium-sized fragments for extraction. Laser energy needed to ablate stones varies with stone composition and 39 size<sup>3</sup>. Right now, surgeons manually choose laser settings based on a visual recognition of the 40 41 stone type and its durility. However, if settings could be automatically calculated based on 42 recognition of stone composition, this could improve the efficiency of lithotripsy. Furthermore, 43 because stone samples are often extracted with baskets for composition analysis to guide management, an endoscopic visualization system reliably determines stone composition would 44 45 have benefits in reducing operative time and surgical costs.

46 Computer vision together with deep learning may offer a solution to these unmet needs. 47 Current state-of-the-art approaches to the image classification task, a computer vision task where 48 the computers categorize images, use deep neural networks to extract patterns from an image and 49 make prediction based on the patterns, permitting automatic prediction of outcomes. To date, 50 several studies have demonstrated the value of DL for recognizing pathologic features in diseases such as melanoma and diabetic retinopathy<sup>4,5</sup>. With its emergence as a powerful tool for 51 52 image-based analysis, we studied the recall of using convolutional neural networks (CNNs) for 53 detecting the composition of five main categories of human kidney stones. Establishing this framework during URS could lead to the automatic selection of laser lithotripsy settings based on 54 real-time stone composition analysis. 55

#### 56 Materials and Methods

57 Human kidney stones of varied compositions were obtained from a stone laboratory in 58 2018 (Louis C. Herring and Company, Florida) including calcium oxalate monohydrate (COM), 59 uric acid (UA), magnesium ammonium phosphate hexahydrate (MAPH/struvite), calcium 60 hydrogen phosphate dihydrate (CHPD/brushite) and cystine stones. All stones included in this 61 study were preserved in dry conditions in glass vials. Mean stone size was 5.7 mm (±3.5; range 62 2-18 mm). Dry stones were placed on a green non-reflective background and pictures were taken

63 with a DSLR camera fitted with macro lens (55mm). At least two images of the stones, both surface and inner core, were captured on a digital camera for all stones. Using Photoshop 64 65 (Adobe, CA), the green non-reflective background was manually removed from each photo using 66 the mask function and saved as JPG files. This was followed by randomly generated computer 67 automated cross-sectional cropping (Figure 1). While a pre-trained segmentation model such as 68 UNet is powerful, it is more suitable for tasks which require pixel-wise predictions. Since we are 69 classifying the stone composition, we picked the models that performed well on image classification. We applied a deep CNN, ResNet-101 [ResNet, Microsoft], as a multi-class 70 classification model, to classify each image  $crop^{6}$ . The average of the classification scores of 30 71 72 random crops was used for final prediction. We sampled crops of different sizes from an image 73 and we resized all of them to size 96 x 96 before we fed them into the deep CNN. We whitened 74 each crop using the RGB mean and standard deviation. The deep CNN was trained with the 75 resized and whitehed crops to predict stone composition. All stone images used in the training set 76 were different from those used in the testing set. Since we only had limited amount of data, we 77 used a ResNet-101 that was pretrained on the ImageNet classification dataset, a large-scale 78 image classification dataset, to avoid overfitting. We replaced the fully connected layers in 79 ResNet-101 with a fully connected layer of 128 channels with Batch Normalization and ReLU 80 followed by another fully connected layer of 128 channels, which are both randomly initialized, 81 and a softmax layer for predicting the stone composition. Hence, we used the cross-entropy loss 82 function. During training, we fixed the weights in the convolution layers and only updated the 83 weights in the fully connected layers. We used stochastic gradient descent (SGD) with a learning 84 rate of 0.001, a momentum of 0.9 and a weight decay of 0.0001 to optimize the loss function for 85 2000 iterations across all stone types. We then reduced the learning rate to 0.0001 for another 86 2000 iterations. Hyperparameters were not chosen based on cross validation results. We used a 87 batch size of 128. To account for our small image dataset, instead of dividing the images into test 88 and train set, we assessed recall of the network using leave-one-out cross validation method, where we used all stones except one as the training set and tested the network on the remaining 89 90 one. This was repeated until all stones were tested producing recall averages for each stone type. 91 Because sample size varied between stone compositions, an overall weighted average was also 92 calculated.

93 **Results** 

94 A total of 63 stones were used including 17 UA, 21 COM, 7 struvite, 4 cystine, and 14 95 brushite stones comprising a total of 127 images (figure 2). Stone recognition prediction recall 96 (sensitivity) varied by composition. UA stones had the highest recall at 94% followed by COM stones with 90%. Struvite and cystine stones were classified with moderate recall, correctly 97 98 identified 86% and 75%, respectively. Lower predictive recall was seen for brushite stones (71%). Overall weighted prediction recall was 85%. Specificity and precision for each stone type 99 100 are as follows: UA [97.83, 94.12], COM [97.62, 95] struvite [91.84, 71.43] cystine [98.31, 75] brushite [96.43, 75] (table 1). ROC curve, precision-recall curve, and our confusion matrix can 101 be found in figure 3. The training loss per iteration plot for one of the cross-validation 102 103 experiments is provided as a supplementary figure.

In an attempt to understand the accuracy of stone composition from endoscopic video images, we analyzed still images of 3 COM stones and 1 UA stone taken during a flexible URS case, through our deep CNN. The preliminary results, demonstrating feasibility, were as follows: recall for COM=0.67, precision for COM=0.71; recall for UA=1.0, precision for UA= 0.5.

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#### 109 **Discussion**

In this pilot study, we have shown that it is possible to predict kidney stone composition 110 111 from digital photos using computer-vision and DL. Commonly encountered stones such as UA 112 and COM, had higher accuracies than stones such as brushite and cystine. These stones have 113 distinct visual appearances and are often the easiest for humans to identify. The lower prediction 114 scores for other stone compositions may be a reflection of the visual heterogeneity of these stones. Brushite specifically has been noted as a difficult stone composition to classify with 115 computer vision methods due to its high level of intraclass variability<sup>7</sup>. To our knowledge, this is 116 117 the first report of using CNNs to predict kidney stone composition though other methods such as Raman spectroscopy and autofluorescence have been studied<sup>8,9</sup>. 118

119 Only one prior study assessed image-based methods to determine kidney stone 120 composition <sup>7</sup>. Serrat et al computed hand-crafted features from each image (e.g. local binary 121 pattern and color histogram) and applied a traditional machine learning approach (random forest) 122 to classify the features. They found an overall composition prediction accuracy of 63%. In their 123 model, pH was also included as an additional feature to improve stone composition prediction. 124 Using CNNs, we were able to produce higher accuracies without incorporating any hand-crafted features. The main advantage of DL is that the CNN autonomously learns to extract features
useful for classifying stone composition directly from the image, eliminating manual feature
extraction and user bias.

Our study has several limitations. We used only pure stones and additional studies are needed to predict the composition of mixed stones. We studied only still images, whereas during URS, imaging is video-based and includes body wall movement with blood/debris. Future studies will include predicting stone composition based on images taken during URS. Data reported in this study may serve as a benchmark for future comparisons of kidney stone composition detection during URS.

Our work lays the foundation for video-based recognition. Using CNNs, this would be feasible, as previously shown for recognizing and tracking surgical instruments in robotic surgery videos <sup>10</sup>. Another area of study is to determine if computer-vision can accurately detect stone size. Size information can provide feedback on when the optimal fragment size has been achieved for extraction or dusting. Lastly, we only had a limited set of stone imaging data. We hypothesize the recall of stone recognition will improve if the DL network is able to train on a larger set of data.

In conclusion, we have shown that a DL computer-vision algorithm can be used to detect 141 the composition of commonly encountered kidney stones. In the future, digital endoscopic 142 143 platforms that leverage AI and DL techniques could provide a cheaper and faster alternative to 144 traditional stone analysis. Similar systems could be adapted to smartphones to allow office-based 145 stone analysis. The ability to intraoperatively determine stone composition could result in the 146 development of integrated endoscopic and laser systems that automatically provide laser settings 147 based on computer-vision stone characterization with the goal to improve laser lithotripsy 148 efficiency. One caveat that must be noted is the need for computer vision result verification and interpretation by a licensed clinician. While AI systems such as this have the ability to identify 149 150 pathology, these technologies do not possess the capacity to consider clinical conditions that can 151 impact the pathologic state and therefore should aid, not replace expert opinion.

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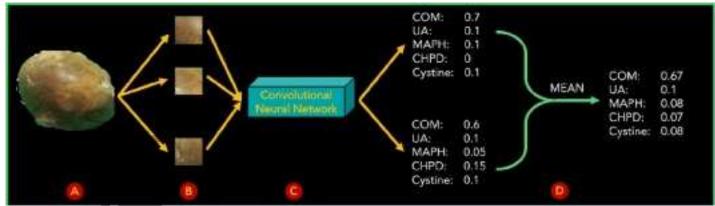
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Table 1. Recognition performance measure	res by stone com	position type for	ResNet-101 CNN.
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Recall (Sensitivity)	Specificity	Precision (PPD)
94.12	97.83	94.12
90.48	97.62	95.00
71.42	91.84	71.43
75.00	98.31	75.00
85.71	96.43	75.00

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1	94%	90%	86%	75%	71%	
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	N=17	N=21	N+7	N-4	N=14	
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