

**Efficacy of communally-partaken multidomain intervention plus empowering elders against frailty and cognitive impairment: cluster-randomised controlled trials**

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## Abstract

**Background** Frailty is the preeminent exigency of aging. Although frailty-related impairments are preventable, and multidomain interventions appear more effective than unimodal ones, the optimal components remain uncertain.

**Methods** We devised multidomain interventions against physical and cognitive decline among prefrail/frail community-dwelling  $\geq 65$ -year-olds, and evaluated these in complementary cluster-randomised trials of efficacy and participant empowerment. The *Efficacy Study* compared  $\sim 3$ -monthly telephone consultations versus 16, 2-hour sessions/year comprising communally-partaken physical and cognitive training plus nutrition and disease education; the *Empowerment Study* compared the standard *Efficacy Study* multidomain intervention (sessions 1–10) versus an enhanced version redesigned to empower and motivate individual participants. Changes from baseline in physical, functional and cognitive performance were measured after 6 and 12 months in the *Efficacy Study* and after 6 months in the *Empowerment Study*, with post-intervention follow-up at 9 months. Primary outcomes: Cardiovascular Health Study frailty score; gait speed; handgrip strength; Montreal Cognitive Assessment (MoCA). Secondary outcomes: instrumental activities of daily living; metabolic equivalent of task (MET); depressed mood (Geriatric Depression Scale-5  $\geq 2$ ); malnutrition (Mini-Nutritional Assessment short-form  $\leq 11$ ). Intervention effects were analysed using a generalised linear mixed model.

**Results** *Efficacy Study* participants ( $n = 1082$ , 40 clusters) were  $75.1 \pm 6.3$  years old, 68.7% females, and 64.7% prefrail/frail; analytic clusters: 19 intervention (410/549 completed) versus 21 control (375/533 completed). *Empowerment Study* participants ( $n = 440$ , 14 clusters) were  $75.9 \pm 7.1$  years old, 83.6% females, and 56.7% prefrail/frail; analytic clusters: seven intervention (209/230 completed) versus seven control (189/210 completed). The standard and enhanced multidomain interventions both reduced frailty and significantly improved aspects of physical, functional, and cognitive performance, especially among  $\geq$

75-year-olds. Standard multidomain intervention decreased depression (Odds Ratio 0.56, 95% CI 0.32, 0.99) and malnutrition (Odds Ratio 0.45, 95% CI 0.26, 0.78) by 12 months, and improved concentration at months 6 (0.23, 95% CI 0.04, 0.42) and 12 (0.46, 95% CI 0.22, 0.70). Participant empowerment augmented activity (4.67 MET/h, 95% CI 1.64, 7.69) and gait speed (0.06 m/s, 95% CI 0.00, 0.11) at 6 months, with sustained improvements in delayed recall (0.63, 95% CI 0.20, 1.06) and MoCA performance (1.29, 95% CI 0.54, 2.03), and less prevalent malnutrition (Odds Ratio 0.39, 95% CI 0.18, 0.84), 3 months after the intervention ceased.

**Conclusions** Pragmatic multidomain intervention can diminish physical frailty, malnutrition and depression, and enhance cognitive performance among community-dwelling elders, especially  $\geq 75$ -year-olds; this might supplement healthy-ageing policies, probably more effectively if participants are empowered.

**Keywords:** Healthy ageing; Physical frailty; Multidomain intervention; Community; Elder empowerment; Cognitive; Malnutrition; Outcome

## Introduction

Population ageing is a global problem, imposing substantial and rapidly increasing healthcare and socioeconomic burdens [1, 2]. Its most exigent manifestation is frailty, a distinct geriatric phenotype prognostic of disability, loss of independence, and earlier death, irrespective of age or morbidity status [1, 3, 4, 5]. Frail individuals are less likely and slower to recover from injury or stressful life events, steepening the trajectory of physical, functional, and cognitive decline [1, 4]. The prevalence of frailty among community-dwelling  $\geq 65$ -year-olds from predominantly European populations averages approximately 10–12%, within wide bounds [6, 7]. Until recently, frailty appeared relatively less common in East Asians [7–9], but this is changing; Taiwan has the most rapidly ageing populace in the world, which is expected to transition from aged (14% of the population  $\geq 65$  years old) in 2018 to super-aged (20%  $\geq 65$  years old) in less than 10 years, with profound health policy implications [2, 10]. For these reasons, “healthy ageing” to promote well-being and forestall age-related ill-health has become an international priority [10, 11].

The nexus of ‘phenotypic’ frailty involves loss of muscle strength and mass, impaired locomotion, diminishing physical function, and fatigue [1, 4, 12]. Though complex, there is strong evidence that these factors are modifiable, making them salient targets for preventing or postponing the adverse consequences of frailty [1, 4, 5, 13–15]. However, devising pragmatic and demonstrably effective interventions for this multifaceted condition has proven challenging [15, 16]. Although numerous studies have targeted various aspects of frailty or disability in older people, particularly those relating to physical performance, the results have been mixed, besides being difficult to compare due to differing inclusion criteria, methodologies, and operational definitions of frailty [14–19]. Few studies have recruited participants based on specific frailty criteria – fewer still evaluated frailty itself as a primary outcome [14, 15, 20]. Consequently, it remains uncertain which approaches are most likely to be effective and economically expedient [15, 16, 18, 19], although there is consensus that exercise training can prevent or delay the onset of physical frailty [15, 17,

18]. Emerging evidence supports conjecture that multidomain interventions which address complex individual care needs might be more advantageous than those focused on specific diseases or deficits, but further research is needed to resolve current uncertainties [1, 15, 16, 19]. There is a dearth of research on cognitive or psychosocial factors, despite their probable role in bolstering resilience in old age [4, 15, 17, 18, 21].

To advance healthier ageing on a global scale, interventions to prevent frailty must be pragmatic, affordable, and generalizable to different societal structures and circumstances. To this end, we developed two community-based multidomain interventions, administered by non-medical personnel using simple resources, and evaluated their effect in preventing physical and cognitive decline among senior citizens at risk of adverse frailty-related outcomes. We emulated contemporary trials of lifestyle interventions in older people in using a cluster-randomised design [22–25], which is expedient and facilitates robust comparative analyses in such settings. We observed significant improvements in aspects of physical, cognitive, and functional performance among elders who participated in both multidomain interventions and report new evidence that such interventions were most effective among participants who were empowered, and especially beneficial in older participants ( $\geq 75$  years).

## Methods

### *Design and participants*

Taiwan Health Promotion Intervention Study for Elders comprised complementary prospective cluster-randomised trials (Supporting Information Figure S1), conducted from 2014 to 2017: one assessed the efficacy of a 12-month participatory community-group multidomain intervention against physical and cognitive decline among prefrail/frail community-dwelling older people (*Efficacy Study*); the other evaluated the benefit of further empowering individual participants (*Empowerment Study*). Trials designed

to compare interventions that entail group activities involving participants from single communities have inherent problems with contamination and participant blinding. Therefore, we used a cluster-randomised design, both to control for between-group contamination and to facilitate evaluation of implementation effectiveness. Unlike conventional randomised controlled trials, which compare intervention effects on individual outcomes, cluster-randomisation allows powerful extrapolation of the findings to the entire community (cluster) studied.

The *Efficacy Study* enrolled participants from 40 clusters (community-centres/neighbourhoods with 500–1000 residents  $\geq 65$  years old) in five cities/counties across Taiwan: Taipei, Taichung, and Kaohsiung, Yilan, and Kinmen; the *Empowerment Study* enrolled a separate cohort of participants, who did not overlap with those in the *Efficacy Study*, from another 14 clusters in Taipei, Taichung, and Kaohsiung (Figure 1). From 12 February 2014 until 5 May 2016, trained staff visited community-centres to tell local residents about the study, and interviewed potential participants to assess their eligibility. The inclusion criteria were: age  $\geq 65$  years; currently receiving Taiwan National Health Insurance services; subjective memory impairment and/or loss of  $\geq 1$  instrumental activities of daily living (IADL), and/or timed 6-metre walk speed  $\leq 1$  m/sec; and competence to sign informed consent personally, and to comply with study procedures. Exclusion criteria were: age  $< 65$  years; dementia diagnosed according to the Diagnostic and Statistical Manual of Mental Disorders, 4th Edition [26] or suspected by a clinician; self/caregiver-reported total or partial dependence for ADL, or major illness with life-expectancy  $< 6$  months; interviewer-adjudicated severe hearing or visual impairment; documented major depression or anxiety, or other major illness that may jeopardise compliance (at investigators' discretion); institutionalization; or current participation in other clinical studies or research. Independent researchers not involved in assessing outcomes used a random number sequence generated by Excel 2013 (Microsoft, Redmond, WA, USA) to allocate participants

in clusters, by simple direct sampling, 1:1 to intervention or control groups. Opaque sealed envelopes were used to conceal the interventions allocated from participants and assessors.

### **Ethical compliance and trial registration**

The Taiwan Health Promotion Intervention Study for Elders was conducted according to the ethical standards established by the 1964 Declaration of Helsinki and later amendments, and prevailing national regulations and guidelines. The Joint Institutional Review Board of Taiwan reviewed and approved the trial protocols (JIRB No: 14-001-A). All study participants provided written informed consent before any study-related procedure ensued.

The Taiwan Health Promotion Intervention Study for Elders was registered retrospectively, on 17 February 2017, at ClinicalTrials.gov: NCT03056768.

### **Procedures**

The *Efficacy Study* compared the effect of multidomain intervention over 12 months with conventional health education, and the *Empowerment Study* compared implementing the same standard multidomain intervention as was used in the *Efficacy Study* for 6 months, versus an enhanced version of that program, with post-intervention follow-up at 9 months (Supporting Information Figure S1).

The multidomain interventions were administered by appropriate professionals (eg, fitness coach, physical therapist, occupational therapist, dietician) or by trained staff who were not necessarily qualified healthcare professionals. Before either study commenced, an instructor manual was produced and training workshops for all prospective instructors were held to standardize implementation. Once trained, the same instructor could conduct all major intervention activities. The manual specified the principal goals of each intervention but gave instructors some flexibility in exactly how to achieve these.



**Efficacy study**

Conventional health education in the *Efficacy Study* control group entailed periodic telephone calls (~3-monthly) by local research site staff to offer participants health education and advice (the intervention group did not receive such calls). The multidomain intervention was adapted from that used in the Multidomain Alzheimer's Preventive Trial (MAPT) [27], which integrated physical exercise, cognitive training and nutritional counselling components that were straightforward to organise in community settings and well accepted by participants.

The *Efficacy Study* program scheduled four structured 2-hour training sessions in the first month, two during the second, and one in each of the next 10 months (Supporting Information Figure S1); the first was held on 30 August 2014. To promote effective delivery, each cluster was divided into smaller groups of 5–8 people per session, and research staff made reminder telephone calls to local participants before each session to maximize attendance. The routine curriculum comprised 45 minutes of physical fitness activities, specifically aerobic exercises, resistance work, and balance and flexibility training; 1 hour of cognitive training, including reasoning and memory exercises; and 15 minutes of general nutrition advice, including a balanced diet and adequate protein intake (Supporting Information Appendix S1). Participants were actively encouraged to practice on their own at home. In addition, every three or four months some activities were curtailed, and a visiting doctor instead gave a 30–60-minute class on preventing/managing chronic disease, which included education about healthy ageing, dementia, cardiovascular risk factors, osteoporosis, and sarcopenia.

**Empowerment study**

The enhanced multidomain intervention program in the *Empowerment Study* replicated the format and schedule of the first 6 months (10 sessions) of the *Efficacy Study* (Supporting Information Figure S1). However, the training sessions used new teaching materials, revised and simplified from the standard

multidomain prototype, which addressed feedback from a needs-assessment survey in local communities. Participants were also given a pedometer and post-curriculum learning sheets to support goal-setting and monitoring, and additionally empowered by community-leader involvement, group competitions, and individual motivation (Supporting Information Appendix S1).

### **Assessments and outcomes**

Baseline demographic and health-related data included participants' age, sex, race, tobacco smoking and alcohol consumption behaviour, and self-reported history of hypertension, diabetes mellitus, cardiovascular disease, stroke and/or malignancy. Physical, cognitive, and functional performance were assessed at baseline and 6 months in both studies, with final follow-up at 12 months in the *Efficacy Study* and at 9 months (3 months after the intervention ceased) in the *Empowerment Study*. The last study assessment was on 9 May 2017, and data were locked on 6 June 2017.

Physical measurements included time taken to walk 6 metres at normal walking pace, handgrip strength by dynamometry (Smedley's Dynamo Meter, TTM, Tokyo, Japan), and physical activity in units of metabolic equivalent of task (MET) [28], based on a validated Leisure-Time Physical Activity questionnaire [29]. Frailty was defined according to modified Cardiovascular Health Study (CHS) criteria [12], comprising: weak grip of < 26.0 kg in men or < 18.0 kg in women; walking slower than 0.8 m/s; self-reported exhaustion on more than 3 days/week; unintentional weight loss of > 5.0 kg or 10% during the past year; and physical activity < 3.75 MET/h in men or < 2.5 MET/h in women (lowest quintile of sex-specific baseline values). People fulfilling three or more criteria were classed as frail, those who met one or two as prefrail, and those with no such deficits, as robust.

General cognitive performance was evaluated using a version of the Montreal Cognitive Assessment screening tool, with cut-offs adjusted for Taiwanese Chinese users (MoCA<sub>adj</sub>); one point was added to

participants educated for < 12 years [30]. The full MoCA battery covers most domains affected by mild cognitive impairment, including visuospatial executive, naming, concentration, language, abstract thinking, delayed recall, and orientation. Functional status was based on established indicators – the five-item Geriatric Depression Scale (GDS-5) [31], Mini-Nutritional Assessment short-form (MNA-SF) [32], and IADL [33].

### **Primary and secondary outcomes**

The primary outcomes were changes from baseline in CHS frailty score, gait speed, grip strength, and MoCA<sub>adj</sub>. Secondary outcomes were IADL, nutrition status, and depressive symptoms; MNA-SF  $\leq 11$  and/or GDS-5  $\geq 2$  defined high risk of being malnourished and/or depressed, respectively.

### **Statistical analysis**

All statistical analyses used SPSS Version 24.0 for Microsoft Windows 7 (IBM Corp., Armonk, NY, USA). Sample size calculations were based on another investigation of the effect of nutritional, cognitive and physical interventions on frailty [14], in which 1-year CHS frailty scores of 1.2 versus 1.6 in intervention and control groups, respectively, resulted in a Cohen's *d* effect size of 0.39 [34]. Assuming equal cluster sizes, constrained to 20 people on average, and an intra-cluster correlation coefficient of 0.1, at least 16 clusters in the intervention and control groups would be needed to achieve discriminatory power of 0.8 at the two-sided alpha level of 0.05; however, anticipating a completion rate of around 70% [22–24], we aimed to include  $\geq 20$  clusters in each group. The analytic population samples included all intervention participants with at least one post-baseline observation (modified intention to treat). Missing data were not imputed. A generalised linear mixed model, which assumed data to be missing at random, was used to analyse changes in outcome variables as functions of treatment group, time, and group\*time interaction, with random effect applied at cluster level to account for participant clustering within each community. These analyses

were adjusted for statistically significant differences in baseline characteristics between intervention groups, except for significantly correlated pairs of variables, in which case only one was adjusted to avoid collinearity. Analyses were repeated for participants aged  $\geq 75$  years. Cohen's  $d$  effect sizes were calculated from mean changes derived from the generalised linear mixed model.

## Results

### *Participant disposition and characteristics*

Between 12 February 2014 and 5 May 2016, 1907 people  $\geq 65$  years old from 54 community-centre/neighbourhood clusters across five regions of Taiwan were screened for participation in two complementary studies; 1522 (79.8%), all Chinese/Taiwanese, fulfilled eligibility criteria and consented to enrol (Figure 1). The *Efficacy Study* assigned 19 clusters (549 participants) to receive multidomain intervention, and 21 clusters (533 participants) to conventional health education. The median cluster size was 26. Participants in all 40 clusters received the treatment allocated, and more than 70% completed the study; 25.3% in the intervention group and 29.6% in the control group discontinued or were lost to follow-up (Figure 1a). The *Empowerment Study* separately enrolled another 440 participants, and randomised seven clusters (210 participants) to receive the standard multidomain intervention used in the *Efficacy Study*, and seven clusters (230 participants) to receive the adapted version of the standard prototype, enhanced to empower participants. The median cluster size in the *Empowerment Study* was 33, and the completion rate was  $\sim 90\%$  (Figure 1b).

Both randomisation groups in either study had broadly similar baseline characteristics (Table 1). Participants were predominantly females, with average age  $\geq 75$  years, and were evenly distributed between rural and urban residents. Half of both study cohorts had high blood pressure and 20–25% had diabetes or cardiovascular disease. Frailty status and other physical assessments were mostly similar

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between groups, however, some differences in baseline cognitive performance and IADL were statistically significant. *Efficacy Study* multidomain intervention recipients had better overall cognitive, visuospatial executive, and language performance versus controls, and higher mean IADL (all  $p < 0.05$ ). *Empowerment Study* participants in the enhanced multidomain intervention group performed worse than controls in cognitive domains of naming, concentration, and abstract thinking (all  $p < 0.05$ ).

### **Intervention outcomes**

#### **Efficacy study: Standard multidomain intervention**

Although participants in the *Efficacy Study* multidomain intervention had lower CHS frailty scores at interim and final follow-up than at baseline and compared with controls (Figure 2a, Supporting Information Table S1), neither difference was statistically significant. The multidomain intervention did not significantly improve other overall physical or functional outcomes by 6 months, but concentration improved significantly (Figures 2a, 2b, 2c, 3a, 4a; Supporting Information Tables S1 & S2). At 12 months, intervention group participants were half as likely than controls to have depressed mood (Odds Ratio 0.56, 95% CI 0.32, 0.99,  $P = 0.044$ ) or malnutrition (Odds Ratio 0.45, 95% CI 0.26, 0.78,  $P = 0.004$ ); continued gains in concentration, contributed to a rising trend in overall cognitive performance (MoCA<sub>adj</sub> 1.03, 95% CI -0.19, 2.24,  $P = 0.094$ ) (Figures 2b, 2c, 4a; Supporting Information Tables S1 & S2).

#### **Empowerment study: Enhanced multidomain intervention**

The results of enhanced versus standard multidomain intervention in the *Empowerment Study* had commonalities with the intervention effects observed in the *Efficacy Study*, but with significantly improved gait speed and physical activity at 6 months, and even lower prevalence of malnutrition (Odds Ratio 0.39, 95% CI 0.18, 0.84,  $P = 0.016$ ) and enhanced delayed recall and overall cognitive performance at 9 months (Figures 2a, 2b, 2c, 3b, 4b; Supporting Information Tables S1 & S2). Unlike the *Efficacy Study*, depressed

mood did not differ significantly between the intervention and control groups (Figure 2b; Supporting Information Table S1).

### **≥ 75-year-olds**

The prevalence of frailty was higher among study participants aged ≥ 75 years compared with the entire population: 10.5% versus 7.6% overall; 9.2% versus 7.5% in the *Efficacy Study*; and 13.3% versus 7.8% in the *Empowerment Study*. *Efficacy Study* participants ≥ 75 years old had some more pronounced improvements relative to controls than those observed overall, including significantly lower CHS frailty scores at both 6 and 12 months, stronger grip at 6 months, and lower GDS-5 score and enhanced delayed recall and overall cognition at 12 months (Supporting Information Tables S1 & S2, Figures S2, S3, S4); ≥ 75-year-olds in the *Empowerment Study* had a significantly lower frailty score and less prevalent frailty at 6 months, and more pronounced differences in physical activity and IADL at both 6 and 9 months. Both the standard and enhanced multidomain interventions significantly improved cognitive performance among ≥ 75-year-olds, with a sustained gain 3 months after the participant-empowered intervention ceased similar to that seen in the overall population (Supporting Information Tables S1 & S2, Figures S2, S3, S4).

### ***Estimated magnitude of intervention effects***

Estimated effect sizes in direct comparisons between each intervention and its control at 6 months and 12 months (*Efficacy Study*) or 9 months (*Empowerment Study*) were congruent with the significant interactions identified in linear mixed model analyses (Supporting Information Table S3). Cohen's *d* coefficients indicated small positive effects on CHS frailty score, physical activity, delayed recall and IADL at 6 months in the *Empowerment Study*, and on concentration at 12 months in the *Efficacy Study*. Similar effect sizes on overall cognitive performance, delayed recall, IADL and malnutrition were evident at 9-months follow-up in the *Empowerment Study*. Because each study involved different participants, it was not possible to

estimate the effect of enhanced multidomain intervention relative to conventional health education directly; however, indirect comparison indicated small/medium overall effects on CHS frailty score, gait speed, physical activity, delayed recall, and IADL at 6 months, and on cognitive performance, IADL, and depression at study-end, with stronger effects on delayed recall and malnutrition.

## Discussion

The Taiwan Health Promotion Intervention Study for Elders has produced further evidence that pragmatic multidomain interventions may simultaneously help to reverse both physical and cognitive decline among vulnerable older people. Importantly, an enhanced program which empowered and motivated participants produced more pronounced benefits, and this is the first study showing that participants  $\geq 75$  years old had even greater improvements in their physical and mental performance than younger ones, including significantly diminished prevalence of frailty during the intervention. The interventions were straightforward to implement in the community setting by trained personnel who were not necessarily qualified healthcare professionals. These results support a rationale for universal implementation of community-based programs to promote healthy ageing and reduce late-life disability, and have important implications for preparatory policy planning.

The standard multidomain intervention in our *Efficacy Study* resulted in improvements across core components of phenotypic frailty, particularly in physical (grip strength) and functional (depression, malnutrition) domains, with consequently reduced CHS frailty scores. Significantly improved IADL among  $\geq 75$ -year-olds both during and after the enhanced multidomain intervention, with a less pronounced effect in the *Efficacy Study*, suggests that reinforcing lifestyle behaviour changes could potentially preempt, even reverse, disability. Malnutrition is another important determinant of frailty and late-life cognitive decline

[21], and both multidomain interventions had significantly lessened the prevalence of malnutrition at final follow-up.

The improvement in physical activity in the *Efficacy Study* was greater over 0–6 months than 0–12 months, which may imply that decreased intervention frequency attenuated the effect; on the other hand, improvements in cognition, depressed mood, and malnutrition were greater after 12 months than at 6 months. Likewise gains in gait speed and physical activity plateaued from 6 months, after the *Empowerment Study* intervention ended, whereas improvements in nutrition status and cognition were sustained at the 9-month post-intervention follow-up. Later-onset improvements in cognitive performance and nutrition status after the intervention intensity dropped to once-monthly maintenance session, or ceased in the *Empowerment Study*, suggest that a pragmatic community-based program could yield sustainable benefits. Pertinently, a less onerous intervention schedule would be more amenable to national-scale implementation.

Further studies are warranted to determine for how long the legacy effect of participant empowerment persists, and to follow-up longer-term outcomes such as quality of life, which may be a more appropriate indicator of healthy ageing, or even mortality.

These findings reinforce strong evidence that interventions which incorporate exercise training, either with or without nutrition, are effective in reversing frailty and physical disability, with some sustained gains [14, 15, 20, 35–39]. By contrast, several geriatric care models that implemented individual needs assessment and tailored multidisciplinary management have shown little or no benefit compared with usual primary care [15, 22–25]. Disparity between the results of participatory versus service-based approaches may reflect the importance of the psychosocial context in interventions that improve well-being, which has been underappreciated [15, 18].



In long-running controversy about how best to define and operationalise the elusive concept of frailty, proponents of cumulative deficit models, which integrate cognitive and psychosocial dimensions among others, have criticised 'biological' frailty as narrow and simplistic [4, 21]. However, phenotypic criteria are practical to apply and fewer intervention studies have employed multidimensional definitions of frailty [15, 21]. A notable feature of successful interventions such as ours, is that they provide participants with opportunities for social inclusion and mental stimulation, such as group exercise, cognitive training, or psychological support [14, 20, 35, 37]. Even better results may be obtained by engaging, empowering and motivating participants, for example by goal-setting [37, 40]. In the *Empowerment Study*, such enhancements consolidated gains in physical activity, improved nutrition status, and overall cognition; the remarkable 90% completion rate indicates an unusually high level of participant satisfaction, and may also be attributed to the peer effect in cluster-randomized study design. Although the effect sizes we detected were small/medium at best, small long-term effects can nonetheless be highly consequential in a public health context [41]. Furthermore, indirect comparison of the *Efficacy* and *Empowerment Studies* suggested an additive effect of enhanced multidomain intervention, possibly reflecting functional interrelationships between physical activity, cognition, and frailty.

Physical exercise probably has psychological benefits and cognitive training, vice versa, appears to improve aspects of physical function such as balance and gait speed, although the mechanisms remain obscure [14, 16]. Despite evidence that cognitive reserve may support coping in older age, and that cognitive deficits or mood disturbances may be other manifestations of frailty [4, 21], few studies have evaluated cognitive or mental health outcomes [15]. A multidomain intervention targeting vascular risk factors for dementia had no effect on cognition or depressive symptoms, nor in preventing dementia [24]. In the Lifestyle Interventions and Independence for Elders study, a 2-year program of moderate-intensity physical exercise did not improve cognitive functioning, but neither did it decline [42]. However, a

multidomain intervention that included diet, exercise, and cognitive training, as well as fostering social activities, reduced the risk of cognitive decline in at-risk older people [41]. In an ancillary MAPT subgroup study, intervention with omega-3 supplementation and/or physical activities, cognitive exercises and nutritional advice improved cognitive performance compared with placebo among elderly people at risk for developing dementia who had positive amyloid status [43]. The MAPT multidomain intervention was also associated with reduced risk of incident frailty in a secondary analysis [44]. In Singapore, cognitive training focused on short-term memory, attention, information processing, and reasoning, reduced frailty and improved lower limb strength [14]. Our standard multidomain intervention significantly improved concentration which, combined with delayed recall, contributed to enhancing overall cognitive performance among  $\geq 75$ -year-olds; borderline improvement of MoCA<sub>adj</sub> at 12 months in the whole *Efficacy Study* cohort was possibly due to insufficient follow-up. The multidomain intervention also significantly reduced the prevalence of depressed mood. The enhanced multidomain intervention did significantly improve overall cognition, despite a non-significant between-group effect on concentration, driven by significantly improved delayed recall relative to the standard multidomain intervention.

Frailty interventions have been shown to be effective at ages from 65 years upward, but the potential effect of participant's age on their impact is little studied. Among four reports [15], only one found age to be a moderating factor, with younger subjects more likely to revert from frail to robust status [45]. In both of our studies,  $\geq 75$ -year-olds, who had higher prevalence of frailty, appeared to have some more pronounced and sustained improvements in domains of physical performance (CHS frailty score, handgrip strength), functioning (IADL), and overall cognition (MoCA<sub>adj</sub>), than younger participants. This novel finding suggests that people at potentially higher risk for adverse consequences of frailty may benefit most from such intervention, in which case healthy ageing initiatives that focus on the older elderly may be particularly expedient.

The Taiwan Health Promotion Intervention Study for Elders used a rigorous, evidence-based, cluster-randomised design to produce data commensurate with those of other randomised controlled trials, and thereby contribute to efforts to ascertain the most effective approaches to preventing or treating frailty, which is urgent given its increasing socioeconomic impact [19]. Although cluster-randomised trials are relatively scarce compared with typical randomised designs, perhaps due to being hard to conduct in real-world settings, this powerful approach is increasingly common in modern pragmatic trials. We recruited a nationally representative sample of prefrail/frail individuals from rural and urban areas throughout Taiwan; broadly similar baseline characteristics between intervention arms indicates that cluster randomisation did not introduce significant bias and impact of unmeasured confounder may be greatly minimized. Another strength of these studies was extraordinarily high retention rates, especially in empowered participants, which highlights the value of considering the characteristics and needs of potential participants when designing community-based intervention programmes. However, this may also reflect unique local circumstances, as noted in another study of ethnically Chinese older adults, and not necessarily extrapolate to all prefrail populations [14]. Cost-effectiveness will be a key consideration in incorporating such interventions into healthy ageing strategies, and in this regard participatory communal programs may provide better value for money than individualized treatment, especially for very frail individuals [15, 37]. Our study provides a rare and powerful example of a practical and sustainable community-based intervention that can be implemented by trained non-medical personnel, using simple materials and existing facilities. Although the Taiwan Health Promotion Intervention Study for Elders was not intended to evaluate cost-effectiveness, the interventions were designed to be affordable, in not necessarily requiring any specialist medical equipment, facilities, or professional healthcare personnel.

Notwithstanding these strengths, we acknowledge several limitations. 1) Participant blinding was difficult to achieve in the *Efficacy Study*, due to the evident difference in the interventions compared, but

this issue is inherent to behaviour-based studies. 2) The *Empowerment Study*, with only seven clusters per arm, was probably underpowered. 3) The study design precluded direct comparison of the effect of participant-empowered multidomain intervention versus conventional health education, or evaluation of the contribution of individual multidomain components to reducing frailty; however, the exigent knowledge gap is not whether, but which, multidomain intervention is better.

Overall, our results affirm that an integrated multidomain intervention program, including physical exercise, cognitive training, nutrition advice and disease education, can prevent or reverse frailty by improving principal determinants of physical well-being and mental health among prefrail/frail community-dwelling older people, especially among people older than 75. The standardised protocol used in this study is amenable to inclusion in policies to promote healthy ageing, and may supplement them more effectively and sustainably, if implemented via strategies that motivate and empower participants.

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## Ethical standards

The authors certify that they comply with the ethical guidelines for publishing in the *Journal of Cachexia, Sarcopenia and Muscle* [46].

## Conflict of interest

L-K.C., A-C.H., W-J.L., L-N.P., M-H.L., S-F.S., C-H.L., and S-T.C. declare no conflicts of interest. D.L.N. is a professional medical writer employed by Full Universe Integrated Marketing Ltd., Taiwan.

## Online supplementary material

**Table S1** Changes in physical performance and functional status during the *Efficacy Study* and during/after the *Empowerment Study*

**Table S2** Changes in participants' cognitive performance domains during the *Efficacy Study* and during/after the *Empowerment Study*

**Table S3** Combined effect of interventions on cognitive, physical, and function domains at 6 months

**Appendix S1** *Efficacy and Empowerment Studies*: standard and enhanced multidomain interventions and schedules

**Fig S1** *Efficacy and Empowerment Studies*: intervention and assessment schedules

**Fig S2.** Mean changes from baseline performance among participants  $\geq 75$ -years-old

**Fig S3** Intervention effects on physical and functional performance among participants  $\geq 75$  years old

**Fig S4** Intervention effects on cognitive performance among participants  $\geq 75$  years old

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## Figure legends

**Fig. 1** *Efficacy and Empowerment Studies*: participant selection, randomization and disposition  
ADL, Activities of daily living.

**Fig. 2** Mean changes from baseline performance

a) Physical domains; b) Functional domains; c) Cognitive domains

CHS, Cardiovascular Health Study; MET, Metabolic equivalent of task; IADL, Instrumental activities of daily living;

MoCA<sub>adj</sub>, Montreal Cognitive Assessment (adjusted cut-off).

\*  $p < 0.05$ ; \*\*  $p < 0.01$ ; \*\*\*  $p < 0.001$ ; Vertical bars indicate standard error

**Fig. 3** Intervention effects on physical and functional performance

a) *Efficacy Study*; b) *Empowerment Study*

CHS, Cardiovascular Health Study; MET, Metabolic equivalent of task.

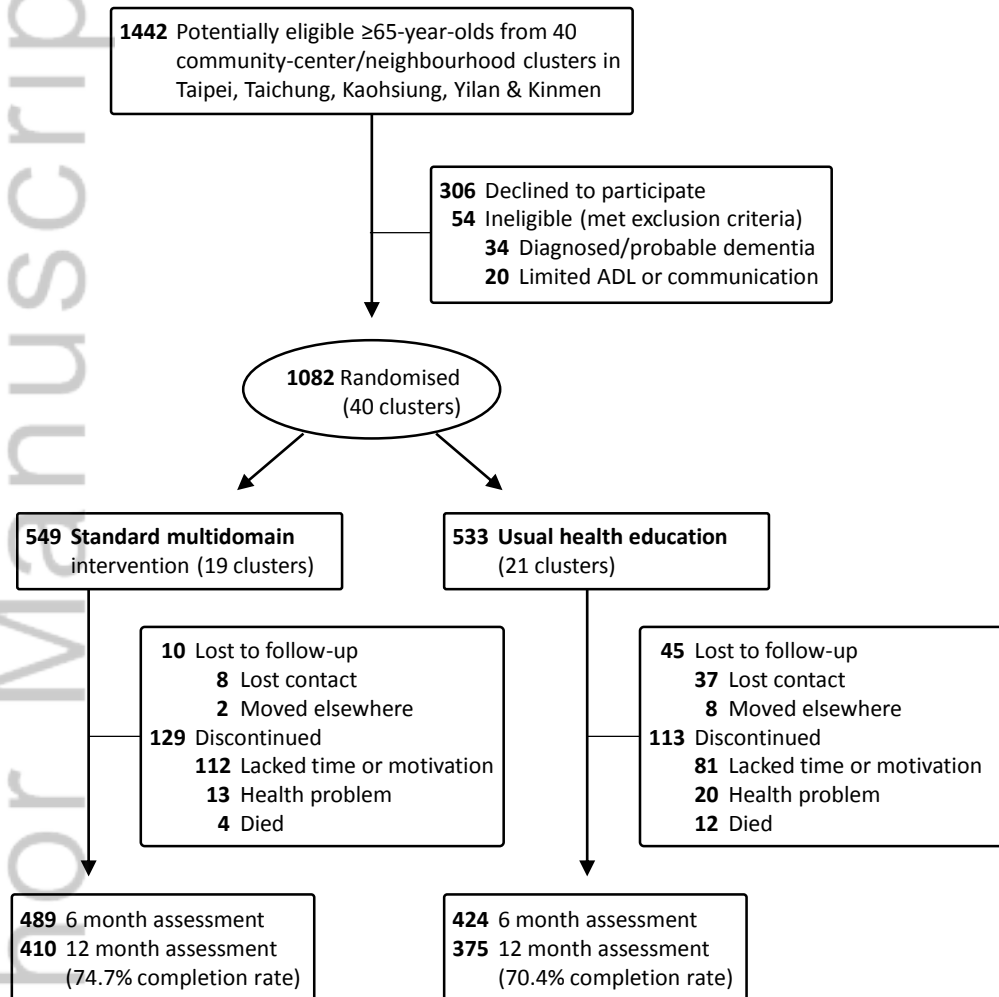
Horizontal bars indicate 95% confidence intervals at 6 months (blue) and 12 months (orange)

**Fig. 4** Intervention effects on cognitive performance

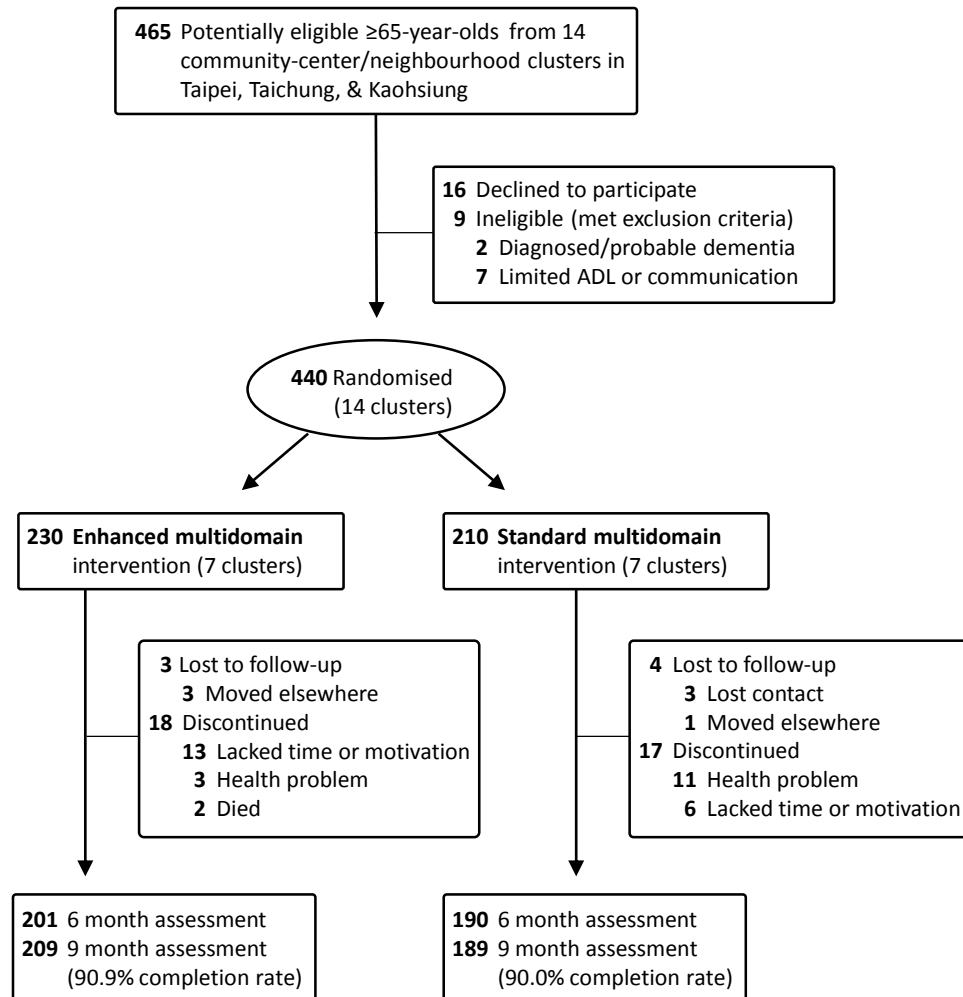
a) *Efficacy Study*; b) *Empowerment Study*

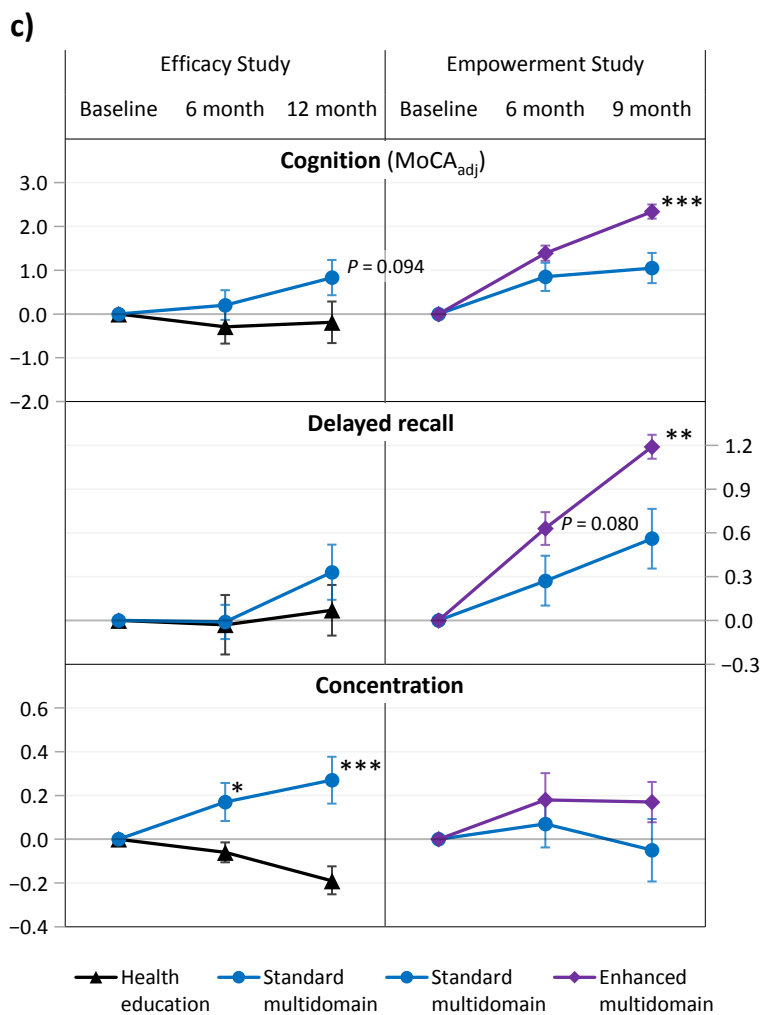
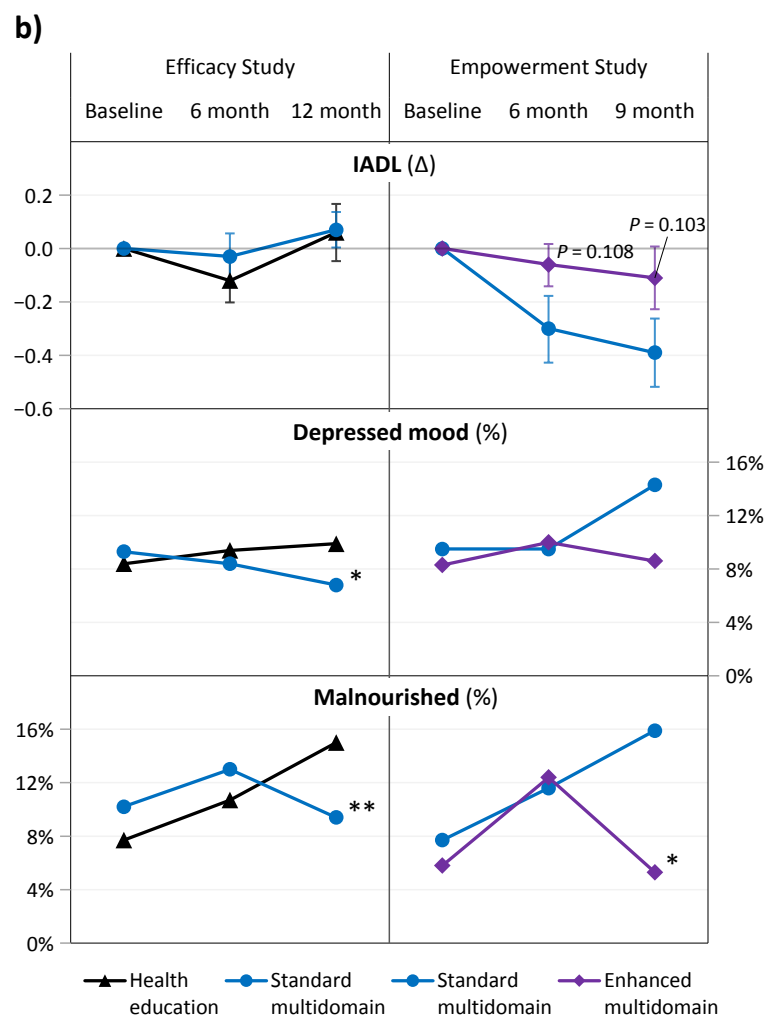
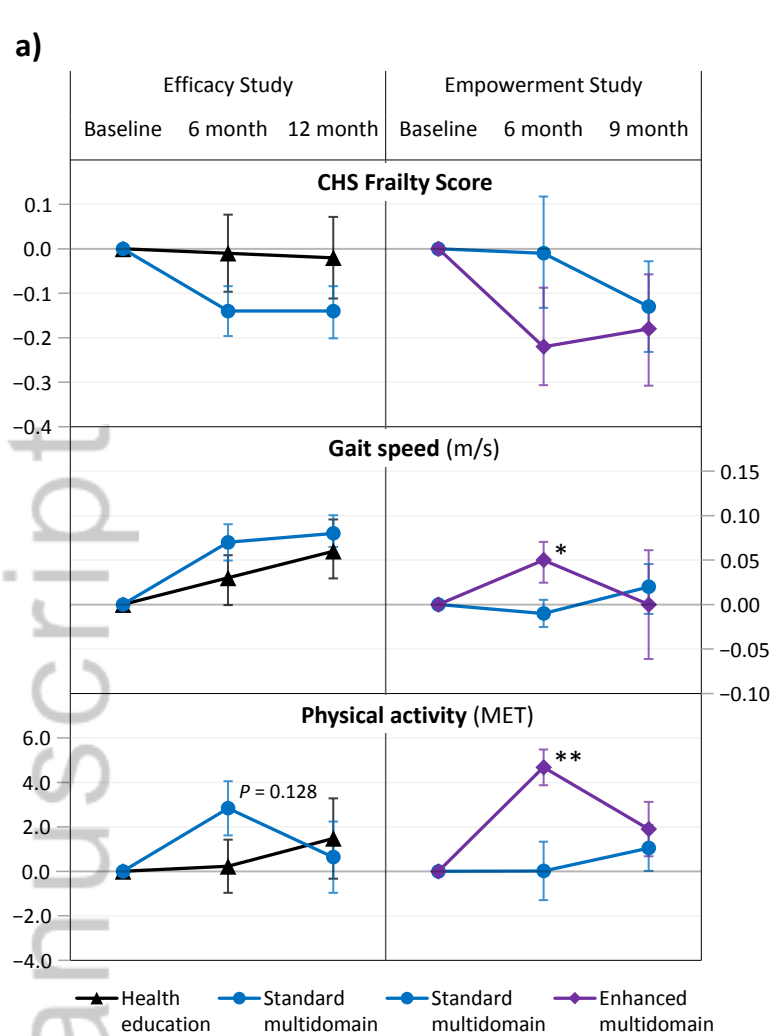
Horizontal bars indicate 95% confidence intervals at 6 months (blue) and 12 months (orange)

### a) Efficacy Study

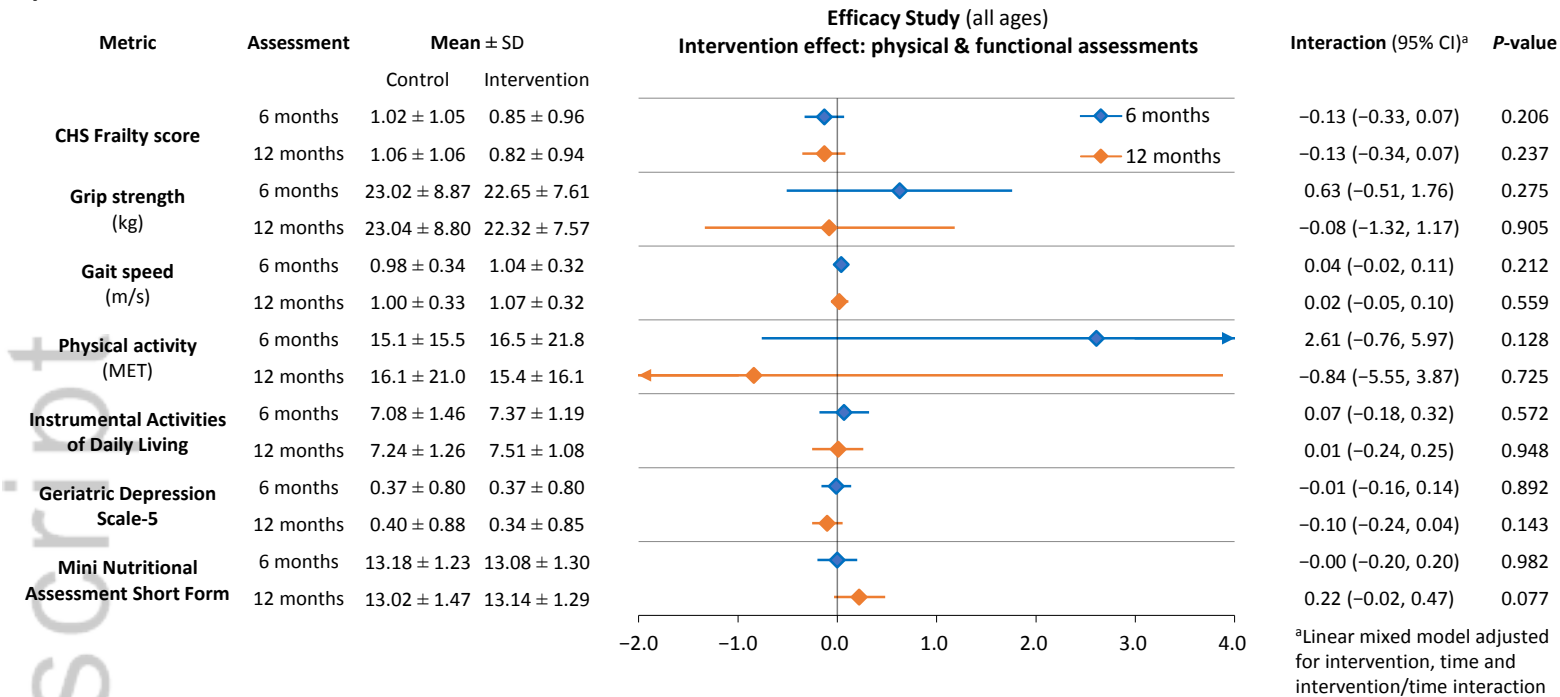


### b) Empowerment Study

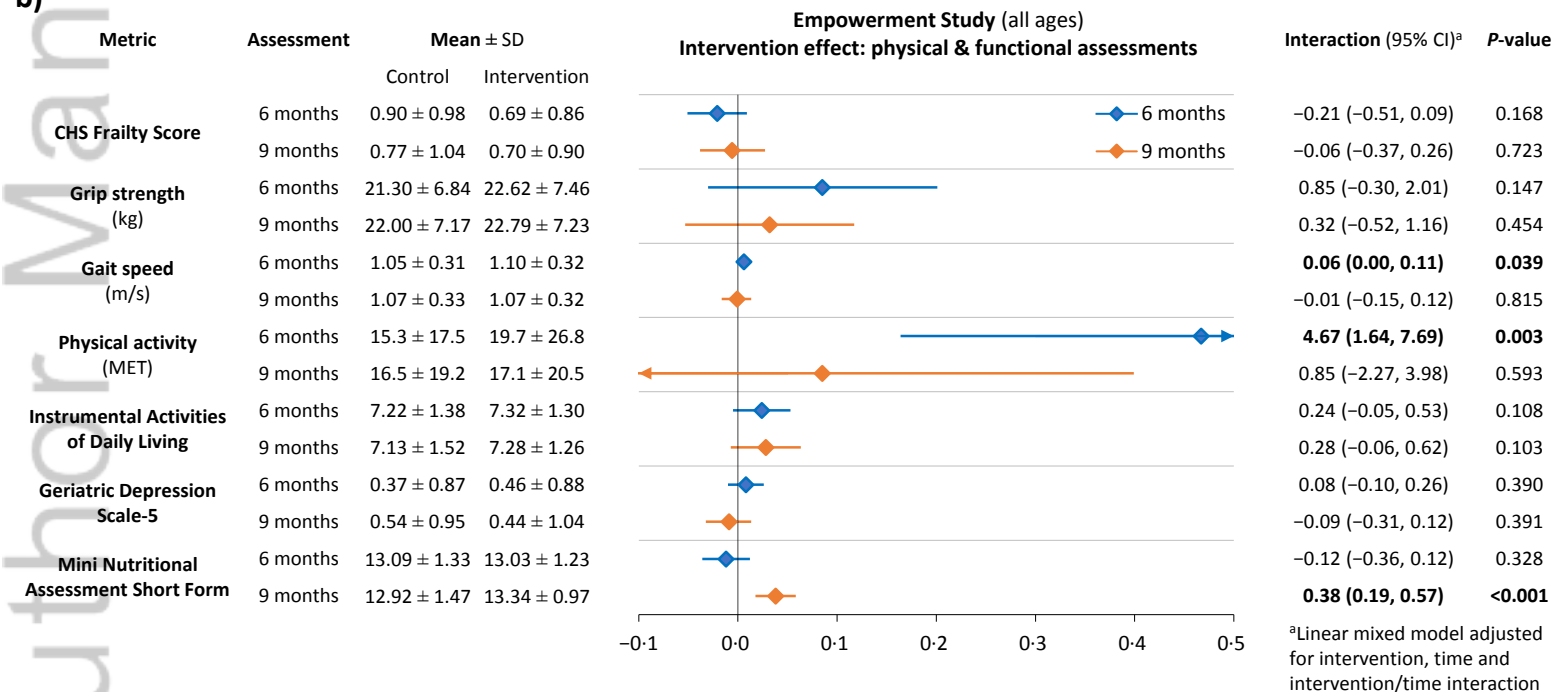




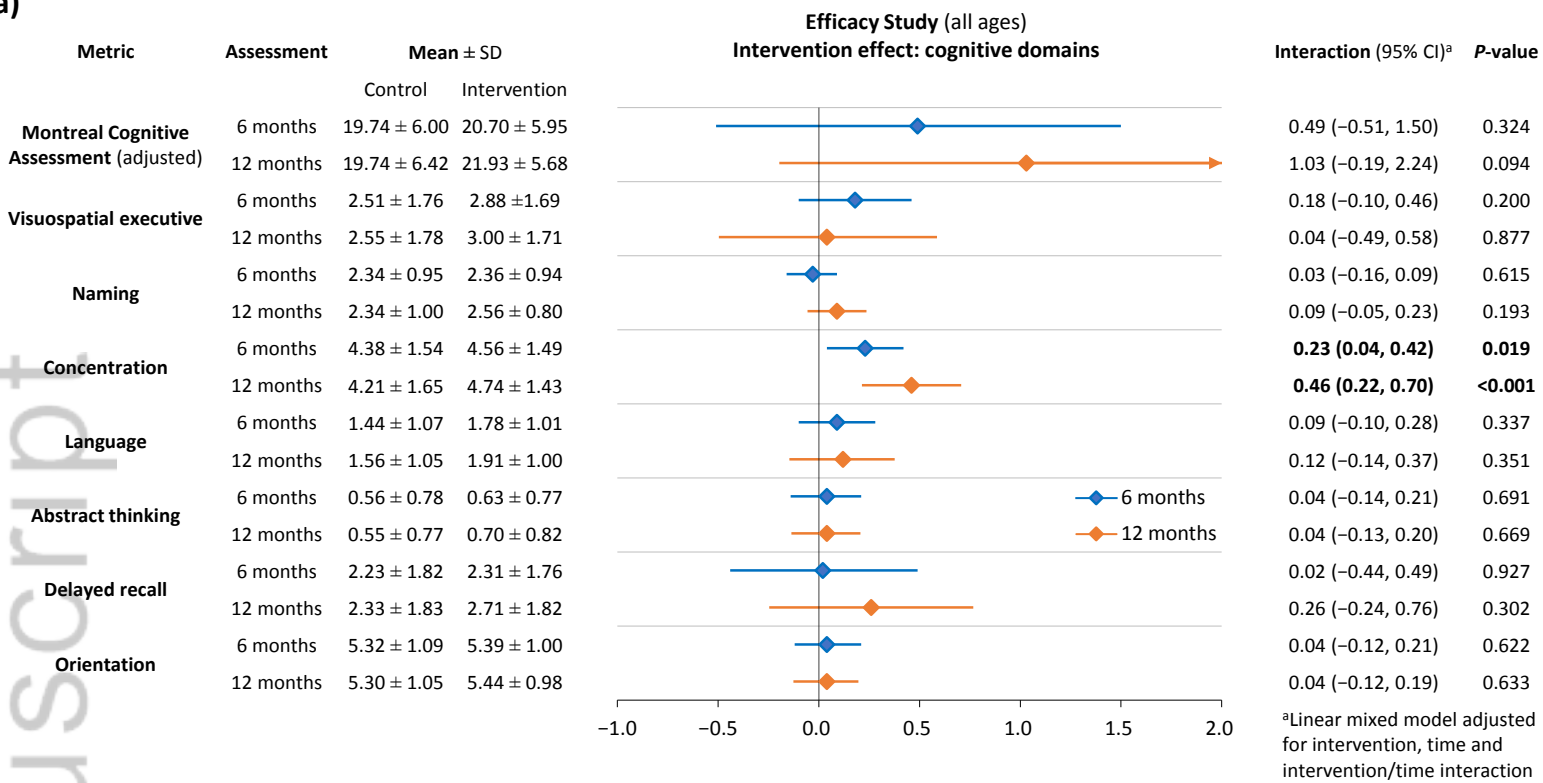
a)



b)



a)



b)

