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**Thrombocytosis is Associated with Worse Survival in Patients with Hepatocellular
Carcinoma**

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Drs. Liu and Huo had full access to all the data in the study and take responsibility for the integrity of the data and the accuracy of the data analysis. All authors approved the final version of the manuscript.

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Acquisition of data: Liu, Hsu, Su, Huang, Hou, Rich, Singal

Analysis and interpretation of data: all authors

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Dr. Liu was a stockholder in Novartis and Neurocrine Biosciences.

Dr. Singal has served on advisory boards or as a consultant for Gilead, Abbvie, Bayer, Eisai, Bristol Meyers Squibb, Exelixis, Wako Diagnostics, Exact Sciences, Roche, Glycotest, and TARGET Pharmsolutions. He has received research funding from Gilead and Abbvie.

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Abbreviations: AA, African American; AFP, alpha-fetoprotein; ALBI, albumin-bilirubin; BCLC, Barcelona Clinic Liver Cancer; CI, confidence interval; ECOG, Eastern Cooperative Oncology Group; HBV, hepatitis B virus; HCC, hepatocellular carcinoma; HCV, hepatitis C virus; HR, hazard ratio; INR, international normalized ratio; IQR, interquartile range; MELD, Model for End-stage Liver Disease; NHW, non-Hispanics White; PT, prothrombin time; SD, standard deviation; US, United States

Layman Summary:

Thrombocytosis is independently associated with more advanced tumor stage and worse overall survival among patients with hepatocellular carcinoma. Our findings add to the growing literature about the complex interactions between platelet and hepatocellular carcinoma in patients with cirrhosis. Further translational studies are warranted in exploring the chemoprophylactic, prognostic, and therapeutic roles of platelets and antiplatelet therapy among patients with hepatocellular carcinoma and patients at risk of hepatocellular carcinoma.

ABSTRACT

Background and Aims: Thrombocytosis is associated with more aggressive tumor biology in many malignancies. There are limited data in patients with hepatocellular carcinoma (HCC), which often occurs in patients with cirrhosis and portal hypertension. We aimed to explore the prognostic value of thrombocytosis in two cohorts of patients with HCC.

Methods: We included 3,561 patients from Taiwan and 1,145 patients from the United States.

Thrombocytopenia was defined as platelet count $< 150 \times 10^9/L$ and thrombocytosis as $\geq 300 \times 10^9/L$ at HCC diagnosis. We used multivariable Cox proportional hazard models to identify independent predictors of survival.

Results: Thrombocytosis was present in 9.0% and 6.9% of Taiwan and U.S. patients, respectively. Compared to patients with normal platelet counts and those with thrombocytopenia, patients with thrombocytosis had larger tumors, increased vascular invasion and a higher proportion had extra-hepatic metastases in both cohorts. In multivariable analysis, thrombocytosis (aHR 1.40, 95% CI 1.23-1.60) and thrombocytopenia (aHR 1.13, 95% CI 1.04-1.23) were both associated with worse survival after adjusting for age, gender, liver disease etiology, Child-Pugh score, maximal tumor size, tumor nodularity, vascular invasion, lymph node or distant metastasis, performance status, and alpha-fetoprotein level. Patients with thrombocytosis had a median survival of 6 and 4 months in the Taiwan and U.S. cohorts, compared to 32 and 14 months for those with normal platelet counts, and 38 and 16 months for thrombocytopenic patients.

Conclusion: Thrombocytosis is independently associated with increased tumor burden and worse overall survival among HCC patients.

KEYWORDS: liver cancer, platelet count, prognosis

INTRODUCTION

With nearly 800,000 deaths annually, hepatocellular carcinoma (HCC) is the fourth leading cause of cancer-related mortality worldwide.¹ HCC is highly lethal and has a poor prognosis due to the lack of chemoprophylactic agents among high-risk individuals, delays in detection, frequent presentation at late stages, and high rates of recurrence with currently available therapies.²⁻⁵ While the highest incidence rates of HCC are still reported in Southeast Asia and

sub-Saharan Africa, the incidence of HCC in the U.S. has tripled over the past twenty years.^{6, 7} While the mortality for most cancers in the U.S. is decreasing, HCC-related mortality continues to climb.⁶

Thrombocytosis is often considered as a paraneoplastic syndrome and is associated with poor prognosis in many types of malignancy such as ovarian cancer and gastric cancer.^{8, 9} However, few data evaluating the prognostic value of platelet counts in patients with HCC, which typically arises in a background of cirrhosis, where thrombocytopenia is prevalent as a result of portal hypertension and splenic sequestration.¹⁰⁻¹⁴ The data that do exist have yielded conflicting information regarding the prognostic value of platelet counts. Thrombocytopenia has both been associated with increased HCC risk among patients with cirrhosis¹⁵ as well as worse survival among HCC patients.^{16, 17} However, others have reported that thrombocytosis is associated with increased risk of vascular invasion and extrahepatic metastasis.^{18, 19} Furthermore, antiplatelet therapy, such as aspirin, may be associated with a reduction in HCC risk^{20, 21} and lower recurrence rate and improved survival after surgical resection.²² Although the exact mechanism of this potential association is unknown, some have speculated that it may relate to aspirin's anti-platelet properties. Finally, the data that do exist have largely been derived from HBV-predominant populations in Asia and there are less data including Western patient populations with other etiologies of liver disease such as HCV infection and alcohol-related liver disease.

To address this area of uncertainty, we performed a cohort study utilizing two large cohorts from Taiwan and the United States to examine the prognostic implications of thrombocytosis in patients with HCC.

PATIENTS AND METHODS

Patients

This study consisted of two cohorts – one from Taiwan and the other from the United States. The Taiwan cohort included 3,561 consecutive patients with newly diagnosed HCC admitted to Taipei Veterans General Hospital between 2002 to 2017. The U.S. cohort was comprised of 1,145 consecutive newly diagnosed, treatment-naïve HCC patients seen at the University of Texas Southwestern Medical Center or Parkland Health & Hospital System between 2008 and 2017. Detailed information regarding the two cohorts has been reported previously.^{23, 24} For both cohorts, HCC diagnosis was based on the American Association for the Study of Liver Diseases (AASLD) and the Asian Pacific Association for the Study of the Liver (APASL) guidelines at the time of HCC diagnosis.^{10, 25} We excluded patients who did not meet AASLD or APASL criteria for HCC diagnosis or who were missing platelet count from the time of diagnosis. Patients were included independent of cirrhosis status, although prior data suggest over 90% of all HCC patients have cirrhosis at time of diagnosis.²⁶ The study protocols were approved by the Institutional Review Board of both institutions and complied with current ethical guidelines.

Data Collection

Baseline patient characteristics including demographics, liver disease etiology, the severity of cirrhosis, performance status, complete blood count, serum biochemistries, and serum alpha-fetoprotein were collected from the time of HCC diagnosis. The model for end-stage liver disease (MELD) and albumin-bilirubin (ALBI) scores and grades were calculated to assess the severity of liver dysfunction.^{27, 28} Hepatitis B virus (HBV) infection was defined by the presence of hepatitis B surface antigen, and hepatitis C virus (HCV) infection was based on the presence of a positive anti-HCV antibody or RNA. We collected tumor burden including the number of

nodules, maximum tumor diameter, presence of vascular invasion, and evidence of lymph node or distant metastases. Tumor stage was determined according to the Barcelona Clinic Liver Cancer (BCLC) staging system.^{10, 29} In both cohorts, patients were followed up every 3-6 months until death, liver transplantation, or lost to follow-up. In the Taiwan cohort, mortality was additionally confirmed via a nationwide National Cancer Registry.

Platelet count was recorded at the time of HCC diagnosis for all patients.

Thrombocytopenia was defined as a platelet count $< 150 \times 10^9/L$ ¹² and thrombocytosis as a platelet count $\geq 300 \times 10^9/L$.^{13, 18, 19} Normal platelet count was defined as platelet count between $150-299 \times 10^9/L$ accordingly.

Statistics

We used the Kruskal-Wallis tests to compare continuous variables between three patient groups, while the χ^2 and Fisher exact tests were utilized to compare categorical data. Median and overall survival was evaluated by the Kaplan-Meier method with log-rank tests. We employed the Cox proportional hazard modeling to examine the adjusted hazard ratios (aHR) and 95% confidence intervals (CI) for each prognostic variable. Prognostic factors including age, gender, liver disease etiology, Child-Pugh score, maximal tumor size, tumor nodularity, vascular invasion, lymph node or distant metastasis, performance status, alpha-fetoprotein (AFP), and platelet count at diagnosis were included in univariable and multivariable analyses. Patients were censored at the time of liver transplantation or last visit if alive at the end of the study period or lost to follow-up.

As an exploratory analysis, we examined the possible non-linear relationship between platelet count and the hazards of mortality with restricted cubic splines.^{30, 31} To test for non-linearity, we used a likelihood ratio test to compare a model consisting of linear terms with a

model consisting of both linear terms and the cubic spline terms. A 2-tailed p -value less than 0.05 was considered statistically significant for all analyses. Statistical analyses were conducted using SAS 9.4 (SAS Institute Inc., NC), and R 3.6.1 (R foundation, Vienna).

RESULTS

Patient and Tumor Characteristics

The cohort from Taiwan consisted of 3,561 newly diagnosed HCC patients and the U.S. cohort included 1,145 newly diagnosed patients. Baseline demographics are shown in **Table 1** and **Table 2**. The median age was 65 years in the Taiwan cohort and 59 years in the U.S. cohort, with the majority in both cohorts being male. As expected, the most common liver disease etiology in the Taiwan cohort was HBV infection, while HCV infection accounted for most patients in the U.S. cohort. A total of 33% of patients in the Taiwan cohort and 41% of patients in the U.S. cohort had early-stage HCC (BCLC stage 0 or A).

Thrombocytosis and Tumor Burden

A total of 1513 (42.5%) in the Taiwan cohort and 349 (30.4%) patients in the U.S. cohort had normal platelet count, while 1727 (48.5%) in the Taiwan cohort and 717 (62.7%) patients in the U.S. cohort had thrombocytopenia. Thrombocytosis was present among 321 (9.0%) of Taiwan patients and 79 (6.9%) of U.S. patients.

In both cohorts, patients with thrombocytosis were more likely to be male and had worse performance status, larger tumor size (**Figure 1**), and higher serum AFP levels (all $p < 0.05$). For patients with thrombocytosis, normal platelet count, and thrombocytopenia, the median tumor size was 11.0 cm vs. 6.0 cm vs. 3.2 cm in the Taiwan cohort and 12.6 cm vs. 5.3 cm vs. 3.4 cm

in the U.S. cohort, respectively, (both $p < 0.001$). Patients with thrombocytosis also had higher vascular invasion (54% vs. 29% vs. 15% in the Taiwan cohort and 42% vs. 33% vs. 26% in the U.S. cohort) and increased lymph node involvement or extra-hepatic distant metastases (28% vs. 12% vs. 6% in the Taiwan cohort and 48% vs. 26% vs. 12% in the U.S. cohort), respectively (all $p < 0.001$). Overall, patients with thrombocytosis presented more frequently with late-stage HCC (81% in Taiwan and 66% in U.S. cohorts), compared to 43% and 37% late-stage HCC among thrombocytopenic patients (both $p < 0.001$). In the U.S. cohort, HCC patients with thrombocytosis were less likely diagnosed by surveillance (11%) compared to 48% among thrombocytopenia patients ($p < 0.001$).

Thrombocytosis, Thrombocytopenia, and Overall Survival

The median overall survival was 32 months and 15 months, respectively for Taiwan and U.S. cohorts. In both cohorts, overall survival was similar between thrombocytopenic patients and patients with normal platelet count, whereas patients with thrombocytosis had significantly worse survival (**Figure 2**). Patients with thrombocytosis had a median overall survival of 6 and 4 months in the Taiwan cohort and U.S. cohort, respectively, compared with 32 and 14 months for patients with normal platelet counts, and 38 and 16 months for patients with thrombocytopenia. In univariable Cox survival analysis, thrombocytosis was associated with increased mortality compared to those with normal platelet counts in the combined cohort (HR 2.31, 95% CI 2.04-2.62). Thrombocytosis remained a significant predictor for worse survival in multivariable analysis after adjusting for known prognostic factors including age, gender, liver disease etiology, Child-Pugh score, maximal tumor size, tumor nodularity, vascular invasion, lymph node or distant metastasis, performance status and AFP level in Taiwan cohort (aHR 1.39, 95% CI 1.20-1.61) and in the United States cohort (aHR 1.70, 95% CI 1.25-2.31, **Table 3**). In the

combined cohort, thrombocytosis was independently associated with adverse outcome (aHR 1.40, 95% CI 1.23-1.60). Thrombocytopenia was also independently associated with worse survival in the combined cohort (aHR 1.13, 95% CI 1.04-1.23).

Subgroup Analyses: Thrombocytosis and Overall Survival

We combined the Taiwan and U.S. cohorts to examine the association between platelet count and survival among subgroups (**Table 4**). We found a consistent association between thrombocytosis and poor prognosis across liver disease etiologies, including HBV infection (aHR 1.26, 95% CI 1.04-1.52), HCV infection (aHR 1.55, 95% CI 1.20-2.01), alcohol-related liver disease (aHR 1.40, 95% CI 1.09-1.79), and those with other etiologies (aHR 1.72, 95% CI 1.28-2.31). We also found an association between thrombocytopenia and worse survival among patients with relatively preserved liver function (ALBI grade 1, aHR 1.21, 95% CI 1.02-1.42, **Figure 3, Table 4**). On the contrary, thrombocytosis was associated with worse survival among patients with significant liver dysfunction (ALBI grades 2-3, aHR 1.38, 95% CI 1.19-1.60).

Non-linear Analysis between Platelet Count and Overall Survival

In a post-hoc exploratory analysis, we examined the non-linear association between platelet count and overall survival among HCC patients in the combined cohort (**Figure 4**).

Non-parametric regression suggested the platelet-survival relationship was non-linear in multivariable models (p for non-linearity<0.001). The association appears to be non-linear among patients with relatively preserved liver function (ALBI grade 1, p for non-linearity=0.022), and among patients with more significant liver dysfunction (ALBI grade 2 and 3, p for non-linearity=0.041).

DISCUSSION

Although thrombocytopenia has traditionally been associated with worse outcomes in patients with cirrhosis and HCC, platelets are also actively involved in all aspects of carcinogenesis including tumor growth, extravasation, and metastasis.³² In this study, we recruited two large, well-characterized, HCC cohorts to examine the prognostic role of platelets in HCC patients. We found that thrombocytosis was associated with more advanced tumor burden and independently associated with worse survival after adjusting for age, gender, liver disease etiology, Child-Pugh score, maximal tumor size, tumor nodularity, vascular invasion, lymph node or distant metastasis, performance status, and AFP level. The results were consistent across cohorts and liver disease etiology. Further experimental and clinical studies are warranted to explore the chemoprophylactic, prognostic, and therapeutic role of platelets in HCC patients.

Our findings that thrombocytosis is associated with advanced tumor burden and potentially more aggressive tumor behavior are in line with previous reports regarding the association between platelets and tumor stage.^{13, 18, 19} It is unclear if this difference is related to differences in tumor biology or simply related to differences in surveillance receipt. HCC surveillance is known to be a key driver of early tumor detection and is widely underused among at-risk patients.³³⁻³⁵ We only had surveillance data available for the US cohort and patients with thrombocytosis were significantly less likely to be detected by surveillance. The lower surveillance receipt in these patients may relate to the under-recognition of cirrhosis, a common barrier to HCC surveillance in clinical practice.³⁶ Thrombocytosis has also been associated with worse survival among many other types of cancer;^{8, 9, 32} however, to our knowledge, this is among the first reports confirming the prognostic implications of thrombocytosis among HCC patients. As platelet count is readily available in all HCC patients, the presence of thrombocytosis can serve as an important prognostic marker identifying patients who may benefit from more aggressive treatment, including antiplatelet therapies.^{18, 22}

Many existing reports on the relationship between platelet and liver diseases have focused on subjects with HBV infection. For example, antiplatelet therapy has been shown to prevent HCC both in a mouse model and in patients with chronic hepatitis B.^{20, 37-39} Our results confirmed the association between thrombocytosis and poor prognosis among HBV-infected patients; however, this association was independent of liver disease etiology and consistent effect sizes were seen in patients with HCV, alcohol-related cirrhosis and other etiologies. As platelets are closely involved in all steps of tumorigenesis,^{32, 40} additional research is warranted to reveal the roles of platelets in patients with HCC due to other etiologies.

We also found that thrombocytopenia was a predictor of worse clinical outcomes. Thrombocytopenia, as a marker for portal hypertension, is closely associated with liver dysfunction and overall survival. We thus performed post-hoc subgroup analysis based on the degree of liver dysfunction. Among patients with relatively preserved liver function (ALBI grade 1), thrombocytopenia, but not thrombocytosis, was associated with decreased survival (Figure 4B, Table 4). On the other hand, among patients with more significant liver dysfunction (ALBI grade 2 and 3), thrombocytosis, but not thrombocytopenia, was associated with worsening survival (Figure 4C, Table 4). One possible explanation is that among patients with preserved liver function, thrombocytopenia represented more severe portal hypertension, hence the decreased outcome. On the other hand, patients with more significant liver dysfunction would likely have background thrombocytopenia, and a “normal” platelet count could actually be an “elevated” platelet count, indicating an aggressive tumor behavior. Taken together, these results suggest platelet count may not only serve as an indicator of portal hypertension but potentially as a dual marker of portal hypertension *and* tumor biology, thereby having varying prognostic implications among different patients.

Our study's findings call for further evaluation of the therapeutic roles of antiplatelet therapy in HCC patients. In a mouse model of ovarian cancer, thrombocytosis was the result of increased hepatic thrombopoietin synthesis in response to tumor-derived interleukin-6.⁸ The use of antiplatelet antibody reduced tumor growth in mouse models.⁸ It is interesting that sorafenib, the first targeted therapy in HCC patients, also harbors antiplatelet activity by inhibiting platelet-derived growth factor receptor β .⁴¹ The potential of antiplatelet therapy was demonstrated by studies showing that antiplatelet therapy was associated with improved HCC survival after surgical resection²² and chemoembolization.⁴² Counteracting thrombocytosis either by directly targeting platelets, e.g. aspirin or NSAIDs, or indirectly via cytokines may be a new avenue of therapeutic options among HCC patients.

The study has certain inherent limitations. Although the study included HCC cohorts from Taiwan and the U.S. encompassing wide ranges of liver disease etiologies, our results may not be generalizable to other geographic areas. Second, although patients were enrolled consecutively in both cohorts, referral bias cannot be avoided completely. Third, platelet count was measured at a single timepoint rather than serial measurements. We did not have serum information of pertinent biomarkers such as thrombopoietin and interleukin-6 levels in HCC patients, and could not elucidate the complex interaction between platelet and these cytokines. Fourth, we did not collect the administration of antiplatelet therapy before or after HCC diagnosis and could not evaluate the prognostic role of antiplatelet therapy among HCC patients. Finally, we do not have information about platelet size to examine its prognostic implications. However, we feel that these limitations are outweighed by the study's strengths including its novelty, large multi-national, well-characterized cohort, and detailed granular data on tumor burden and overall survival.

In conclusion, thrombocytosis was independently associated with more advanced tumor stage and worse overall survival among HCC patients. Our findings add to the growing literature about the complex interaction between platelets and HCC. Further translational studies are warranted in exploring the chemoprophylactic, prognostic, and therapeutic roles of platelets and antiplatelet therapy among HCC patients.

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FIGURES

Figure 1. Distribution of platelet count according to maximal tumor size in (A) Taiwan cohort, and (B) U.S. cohort of patients with hepatocellular carcinoma. Higher platelet counts were associated with larger tumor diameter in both cohorts.

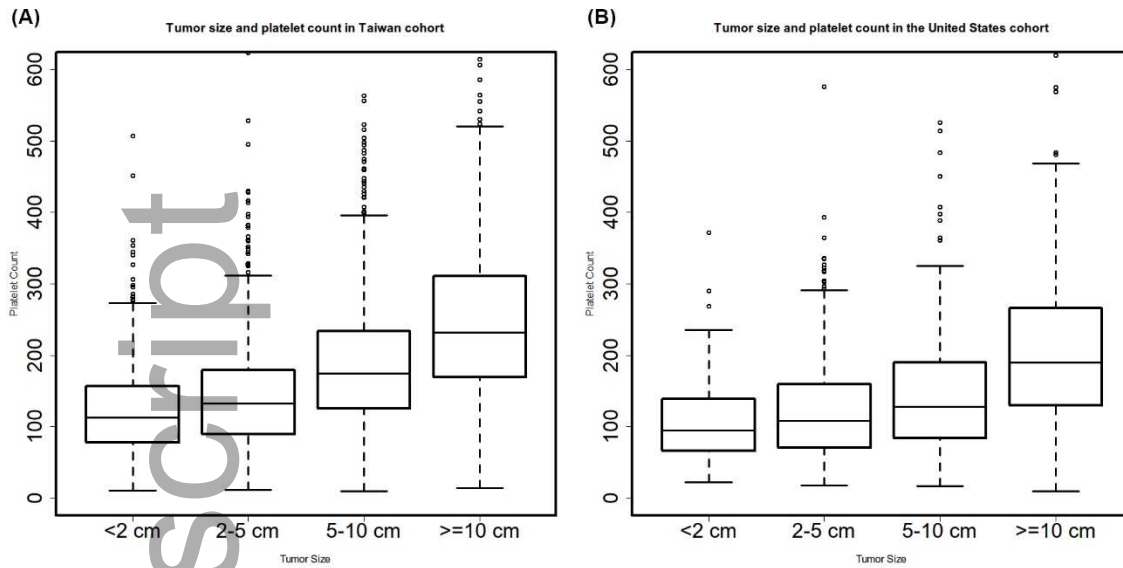


Figure 2. Overall survival according to blood platelet count in (A) Taiwan cohort, and (B) U.S. cohort of patients with hepatocellular carcinoma. Thrombocytosis (platelet count $\geq 300 \times 10^9/L$) was associated with decreased survival in both cohorts.

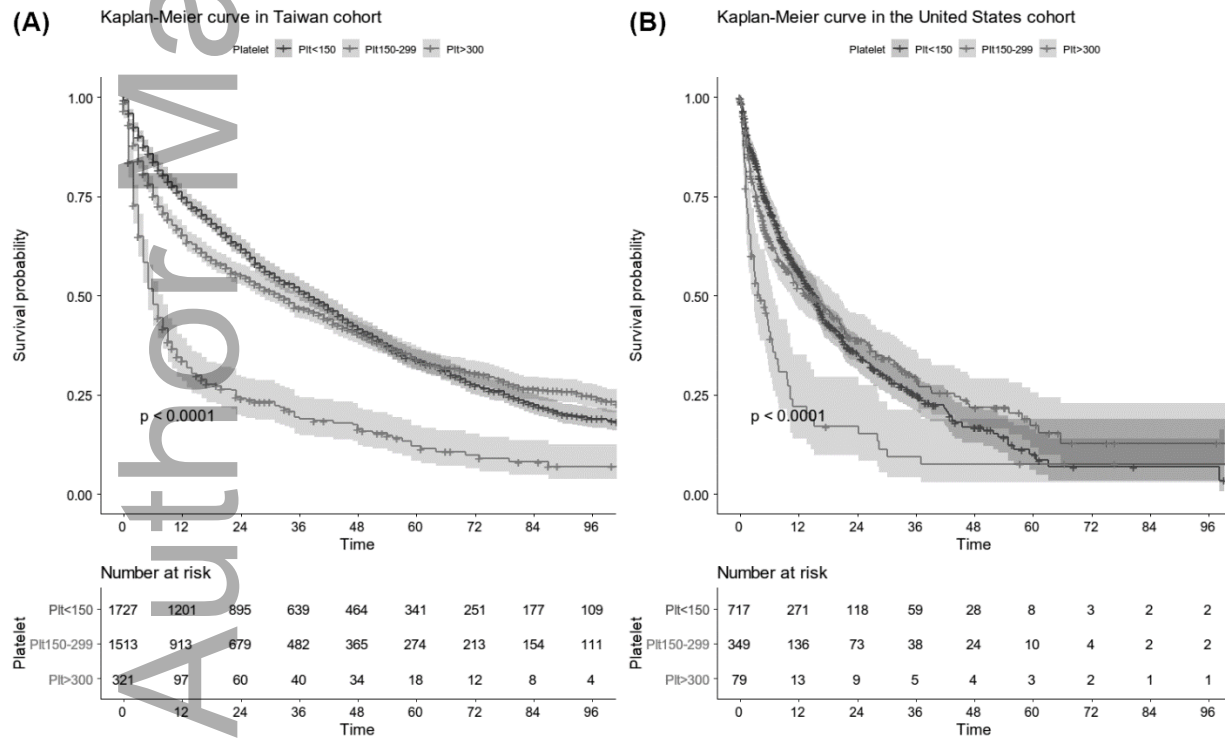


Figure 3. Overall survival according to blood platelet count in the combined hepatocellular carcinoma cohort. Thrombocytosis (platelet count $\geq 300 \times 10^9/L$) was associated with decreased survival among (A) all patients in the combined cohort, and (B) patients with preserved liver function (albumin-bilirubin grade 1). Among patients with significant liver dysfunction (C, albumin-bilirubin grade 2 and 3), platelet level was inversely related to overall survival.

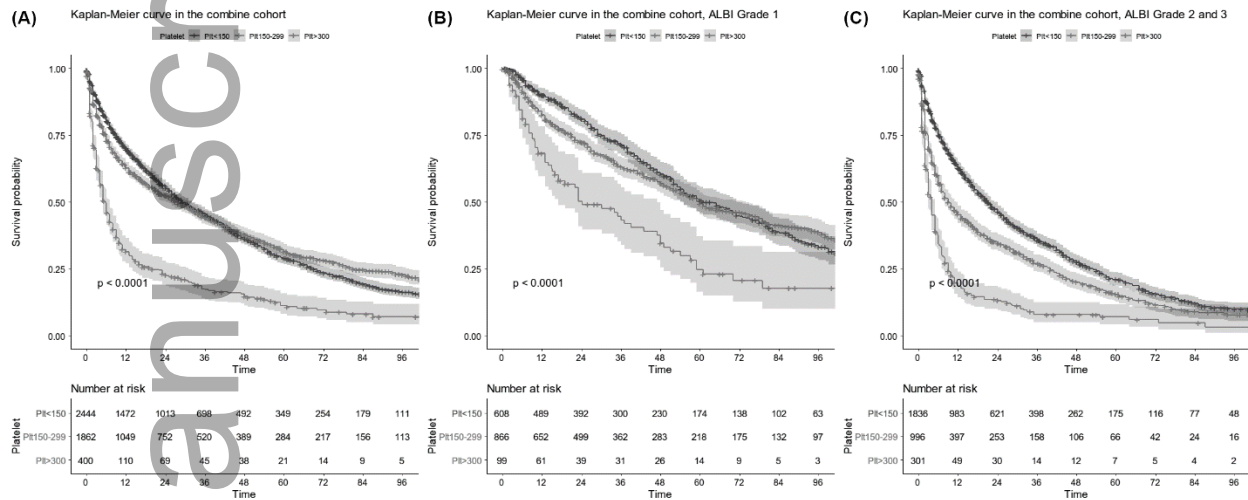


Figure 4. Non-parametric restricted cubic splines of platelet count and hazard for mortality in the multivariable models in the combined hepatocellular carcinoma cohort among (A) All patients, (B) Patients with relatively preserved liver function (albumin-bilirubin grade 1), and (C) Patients with more significant liver dysfunction (albumin-bilirubin grade 2 and 3). We adjusted for age, gender, liver disease etiology, Child-Pugh score, maximal tumor size, tumor nodularity, vascular invasion, lymph node or distant metastasis, performance status, alpha-fetoprotein level in the multivariable models.

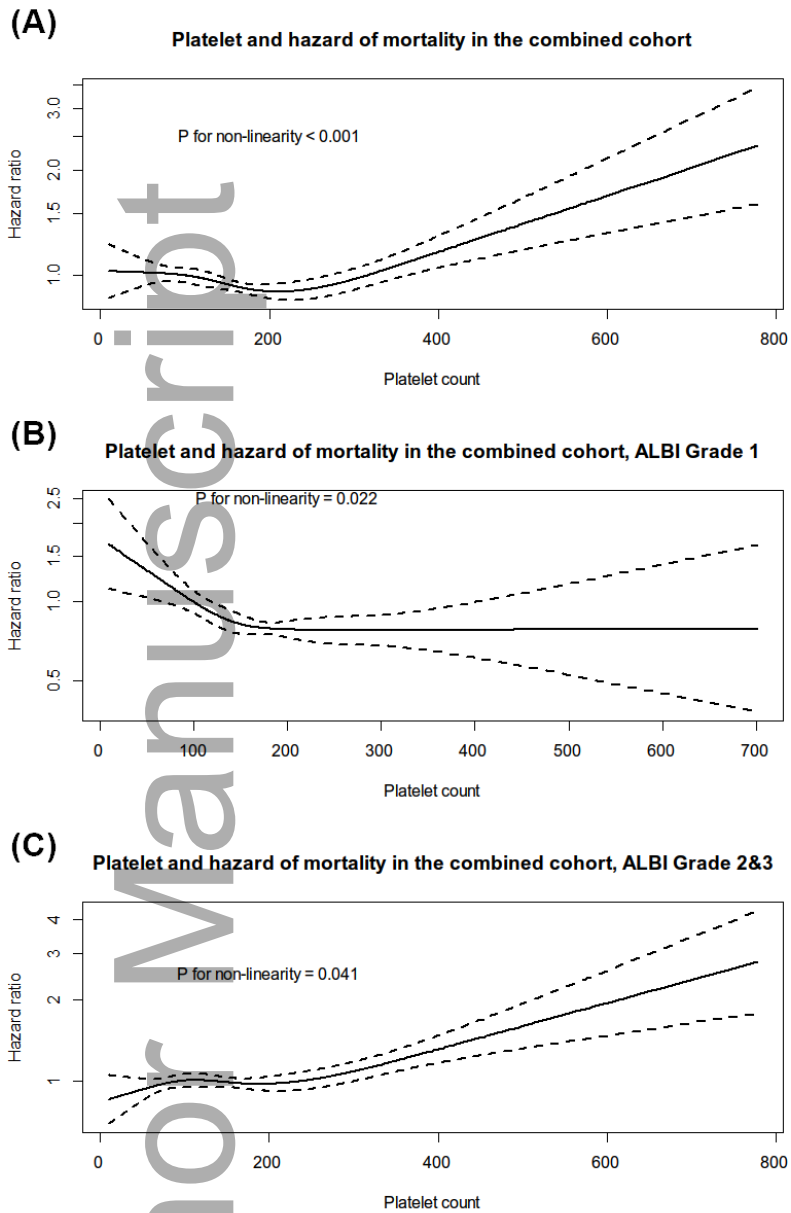


Table 1. Demographic, clinical, and staging information among hepatocellular carcinoma patients stratified by blood platelet count in the Taiwan cohort

Variables (Taiwan cohort)	All Patients (n = 3,561)	Stratified by platelet count (10 ⁹ /L)			<i>p</i> value
		< 150 (n = 1,727)	150-299 (n = 1,513)	≥ 300 (n = 321)	
Age (years), median (IQR)	65 (55-75)	66 (57-76)	65 (54-75)	60 (48-73)	<0.001
Male, %	77%	71%	82%	85%	<0.001
¹ Etiologies of chronic liver diseases (HBV/ HCV/alcohol/mixed/cryptogenic), %	40/22/5/18/15	37/29/5/19/10	43/16/5/16/20	42/12/8/17/21	<0.001
Performance status (0/1/2-4), %	59/21/20	63/20/17	59/21/20	38/28/34	<0.001
Mean/Median survival (months)	52/32	53/38	56/32	22/6	<0.001
Tumor characteristics					
Maximal size 0-2/2-5/5-10/≥10 cm, %	18/39/25/18	26/48/19/7	12/33/31/24	3/11/31/55	<0.001
Maximal size, median (IQR), cm	4.3 (2.5-8.8)	3.2 (2.0-5.2)	6.0 (3.0-10.0)	11.0 (7.5-15.0)	<0.001
Multiple tumor, %	36%	37%	34%	41%	0.038
Any vascular invasion, %	24%	15%	29%	54%	<0.001
Distant/lymph node metastasis, %	11%	6%	12%	28%	<0.001
Laboratory values					
Platelet (10 ⁹ /L), mean ± SD	170 ± 96	97 ± 33	206 ± 40	389 ± 80	<0.001
Albumin (g/dL), mean ± SD	3.7 ± 0.6	3.6 ± 0.6	3.8 ± 0.6	3.5 ± 0.6	<0.001
Bilirubin (mg/dL), mean ± SD	1.5 ± 2.8	1.6 ± 2.6	1.4 ± 2.8	2.0 ± 4.1	<0.001

INR of PT, mean \pm SD	1.1 \pm 0.2	1.1 \pm 0.2	1.1 \pm 0.2	1.1 \pm 0.2	<0.001
AFP (ng/mL), median (IQR)	43 (8-769)	32 (9-247)	49 (7-1467)	720 (15-28815)	<0.001
Tumor staging					
BCLC staging (0/A/B/C/D), %	8/25/16/40/11	11/31/15/34/9	6/22/19/41/12	1/4/14/60/21	<0.001
Liver functional reserve					
Child-Turcotte-Pugh class (A/B/C), %	73/22/5	71/24/5	78/18/4	61/33/6	<0.001
Child-Turcotte-Pugh score, mean \pm SD	6.1 \pm 1.5	6.2 \pm 1.6	5.9 \pm 1.4	6.5 \pm 1.6	<0.001
ALBI grade (1/2/3), %	38/52/10	30/59/11	49/43/8	27/60/13	<0.001
MELD score, mean \pm SD	10.0 \pm 4.4	10.3 \pm 4.5	9.5 \pm 4.2	10.4 \pm 4.8	<0.001

¹HBV: positive for HBsAg, negative for anti-HCV Ab, and no alcoholism; HCV: positive for anti-HCV Ab, negative for HBsAg, and no alcoholism; alcohol: negative for HBsAg, negative for anti-HCV Ab, with alcoholism; mixed: at least two etiologies including hepatitis B, hepatitis C, and alcoholism; cryptogenic: negative for HBsAg, negative for anti-HCV Ab, with no alcoholism.

hepatitis B surface antigen, HCV: positive for anti-hepatitis C virus antibody

AFP, alpha-fetoprotein, ALBI, albumin-bilirubin grade, BCLC, Barcelona Clinic Liver Cancer, INR of PT, international normalized ratio of prothrombin time,

IQR, interquartile range, MELD, model for end-stage liver disease, SD, standard deviation

Table 2. Demographic, clinical, and staging information among hepatocellular carcinoma patients stratified by blood platelet count in the United States cohort

Variables	All Patients	Stratified by blood platelet count ($10^9/L$)			<i>p</i> value
		< 150 (n = 717)	150-299 (n = 349)	\geq 300 (n = 79)	
(US cohort)	(n = 1,145)	< 150 (n = 717)	150-299 (n = 349)	\geq 300 (n = 79)	<i>p</i> value

Age (years), median (IQR)	59 (55-65)	59 (54-64)	60 (55-66)	61 (56-67)	0.005
Male, %	78%	77%	78%	89%	0.049
Cirrhosis	1036 (90%)	705 (98%)	280 (80%)	51 (65%)	<0.001
¹ Etiologies of chronic liver diseases (HBV/ HCV/alcohol/mixed/cryptogenic), %	4/21/15/46/14	4/20/17/49/10	4/23/10/44/19	6/20/18/32/24	<0.001
Race/ethnicity (NHW/H/AA/Asian/Other), %	34/28/32/5/1	37/33/25/4/1	30/19/42/7/2	25/17/52/6/0	
Performance status (0/1/2-4), %	55/27/18	57/27/16	54/29/17	36/30/34	0.001
Mean/Median survival (months)	32/15	34/16	31/14	14/4	<0.001
Tumor characteristics					
Maximal size 0-2/2-5/5-10/≥10 cm, %	17/40/22/21	21/45/22/12	11/36/22/31	1/14/22/63	<0.001
Maximal size, median (IQR), cm	4.1 (2.4-8.7)	3.4 (2.2-6.1)	5.3 (2.8-11.8)	12.6 (7-17.4)	<0.001
Multiple tumor, %	47%	44%	48%	67%	<0.001
Any vascular invasion, %	29%	25%	33%	43%	0.001
Distant/lymph node metastasis, %	18%	12%	24%	47%	<0.001
Laboratory values					
Platelet (10 ⁹ /L), mean ± SD	145 ± 93	90 ± 33	202 ± 40	394 ± 82	<0.001
Albumin (g/dL), mean ± SD	3.3 ± 0.7	3.2 ± 0.7	3.5 ± 0.7	3.4 ± 0.6	<0.001
Bilirubin (mg/dL), mean ± SD	2.0 ± 3.1	2.1 ± 2.8	1.8 ± 3.6	1.5 ± 2.2	<0.001
INR of PT, mean ± SD	1.3 ± 0.3	1.3 ± 0.3	1.2 ± 0.3	1.2 ± 0.4	<0.001

AFP (ng/mL), median (IQR)	42 (8-981)	33 (8-572)	48 (6-1628)	451 (15-10372)	0.005
Tumor staging					
BCLC staging (0/A/B/C/D), %	6/37/17/24/16	6/41/16/18/19	5/34/18/31/12	0/15/19/48/18	<0.001
Liver functional reserve					
Child-Turcotte-Pugh class (A/B/C), %	49/38/13	40/44/16	63/30/7	63/32/5	<0.001
Child-Turcotte-Pugh score, mean ± SD	7.1 ± 2.0	7.4 ± 2.0	6.5 ± 1.8	6.5 ± 1.4	<0.001
ALBI grade (1/2/3), %	20/55/25	13/57/30	34/48/18	17/68/15	<0.001
MELD score, mean ± SD	11.2 ± 5.0	11.9 ± 5.0	10.2 ± 4.9	9.9 ± 4.9	<0.001

¹HBV: positive for HBsAg, negative for anti-HCV Ab, and no alcoholism; HCV: positive for anti-HCV Ab, negative for HBsAg, and no alcoholism; alcohol: negative for HBsAg, negative for anti-HCV Ab, with alcoholism; mixed: at least two etiologies including hepatitis B, hepatitis C, and alcoholism; cryptogenic: negative for HBsAg, negative for anti-HCV Ab, with no alcoholism.

AA, African American, AFP, alpha-fetoprotein, ALBI, albumin-bilirubin grade, BCLC, Barcelona Clinic Liver Cancer, INR of PT, international normalized ratio of prothrombin time, IQR, interquartile range, MELD, model for end-stage liver disease, NHW, non-Hispanics White, SD, standard deviation

Table 3. Univariable and multivariable survival analysis for patients with hepatocellular carcinoma in Taiwan, United States, and combined cohort

Risk Factors (Total n=4,706)	Univariable ¹ HR		p		Multivariable ¹		p		Univariable ¹ HR		p		Multivariable ¹		p	
	Taiwan Cohort (n = 2,561)				United States Cohort (n = 1,145)				Combined Cohort (n = 4,706)							
Blood platelet count (10 ⁹ /L)																
Platelet < 150	0.95 (0.87-1.04)	0.245	1.17 (1.06-1.28)	0.002	0.99 (0.83-1.17)	0.868	0.88 (0.73-1.05)	0.149	0.95 (0.88-1.03)	0.234	1.13 (1.04-1.23)	0.004				
Platelet 150-299	1 [Reference]		1 [Reference]		1 [Reference]		1 [Reference]		1 [Reference]		1 [Reference]		1 [Reference]			
Platelet > 300	2.38 (2.07-2.73)	<0.001	1.39 (1.20-1.61)	<0.001	2.19 (1.64-2.91)	<0.001	1.70 (1.25-2.31)	<0.001	2.31 (2.04-2.62)	<0.001	1.40 (1.23-1.60)	<0.001				
Maximal tumor size																
Tumor size < 2 cm	1 [Reference]		1 [Reference]		1 [Reference]		1 [Reference]		1 [Reference]		1 [Reference]		1 [Reference]			

Tumor size 2-4.9 cm	1.33 (1.16-1.51)	<0.001	1.23 (1.08-1.41)	0.002	1.21 (0.93-1.56)	0.152	1.27 (0.98-1.65)	0.075	1.32 (1.17-1.48)	<0.001	1.24 (1.10-1.40)	<0.001
Tumor size 5-9.9 cm	2.70 (2.36-3.10)	<0.001	1.96 (1.69-2.27)	<0.001	2.96 (2.26-3.86)	<0.001	2.38 (1.79-3.17)	<0.001	2.77 (2.45-3.13)	<0.001	2.03 (1.78-2.32)	<0.001
Tumor size ≥ 10 cm	5.45 (4.72-6.30)	<0.001	2.86 (2.42-3.39)	<0.001	7.23 (5.55-9.42)	<0.001	3.66 (2.64-5.07)	<0.001	5.94 (5.24-6.74)	<0.001	3.06 (2.64-3.55)	<0.001
Multiple tumors	1.53 (1.40-1.66)	<0.001	1.32 (1.21-1.44)	<0.001	3.00 (2.56-3.53)	<0.001	1.55 (1.30-1.84)	<0.001	1.74 (1.62-1.87)	<0.001	1.38 (1.28-1.49)	<0.001
Vascular invasion	4.71 (4.20-5.17)	<0.001	2.50 (2.24-2.80)	<0.001	4.74 (4.01-5.50)	<0.001	1.91 (1.54-2.36)	<0.001	4.60 (4.22-5.08)	<0.001	2.29 (2.08-2.52)	<0.001
Lymph node or distant met	4.45 (3.93-5.05)	<0.001	1.58 (1.38-1.82)	<0.001	4.65 (3.88-5.56)	<0.001	1.59 (1.28-1.98)	<0.001	4.55 (4.11-5.04)	<0.001	1.61 (1.44-1.80)	<0.001
ECOG performance status												
Performance status 0	1 [Reference]		1 [Reference]		1 [Reference]		1 [Reference]		1 [Reference]		1 [Reference]	
Performance status 1	2.12 (1.91-2.36)	<0.001	1.29 (1.15-1.45)	<0.001	2.34 (1.96-2.79)	<0.001	1.42 (1.18-1.71)	<0.001	2.19 (2.00-2.40)	<0.001	1.33 (1.21-1.47)	<0.001
Performance status 2-4	4.27 (3.85-4.73)	<0.001	1.72 (1.52-1.95)	<0.001	6.54 (5.38-7.94)	<0.001	3.00 (2.39-3.76)	<0.001	4.46 (4.07-4.88)	<0.001	1.85 (1.67-2.06)	<0.001
Cirrhosis	NA		NA		1.60 (1.18-2.19)	<0.001	1.55 (1.09-2.21)	<0.001	NA		NA	
Sex - Female	0.93 (0.83-1.03)	0.159	0.94 (0.84-1.04)	0.241	0.83 (0.68-1.01)	0.067	1.02 (0.83-1.25)	0.873	0.91 (0.83-1.00)	0.004	0.95 (0.87-1.05)	0.318
Age (per 10 years increase)	1.00 (1.05-1.13)	<0.001	1.15 (1.11-1.19)	<0.001	1.05 (0.97-1.14)	0.220	1.14 (1.05-1.25)	0.003	1.05 (1.02-1.08)	<0.001	1.12 (1.00-1.16)	<0.001
AFP (per 100 ng/mL increase)	2.93 (2.55-3.37)	<0.001	2.07 (1.69-2.52)	<0.001	6.40 (4.44-9.24)	<0.001	3.32 (2.01-5.48)	<0.001	3.05 (2.60-3.45)	<0.001	2.11 (1.76-2.53)	<0.001
CTP score (per 1 point)	1.44 (1.41-1.47)	<0.001	1.36 (1.32-1.40)	<0.001	1.37 (1.32-1.42)	<0.001	1.33 (1.27-1.39)	<0.001	1.42 (1.40-1.45)	<0.001	1.36 (1.32-1.39)	<0.001

CI, confidence interval, HR, hazard ratio.

¹Additionally adjusted for age, gender, liver disease etiology

Table 4. Multivariable survival analysis among hepatocellular carcinoma subgroups in the combined Taiwan and United States cohort

Patient Subgroup	Definition	Number	¹ Multivariable HR (95% CI)		
			for platelet < 150 x 10 ⁹ /L	for platelet 150-299 x 10 ⁹ /L	for platelet ≥ 300 x 10 ⁹ /L
HBV-related	Positive for HBsAg	1,996	1.12 (0.98-1.28)	1 [Reference]	1.26 (1.04-1.52)
HBV-only	Positive for HBsAg, negative for anti-HCV antibody, and no alcoholism	1,462	1.21 (1.04-1.42)	1 [Reference]	1.32 (1.06-1.65)
HCV-related	Positive for Anti-HCV antibody	1,804	0.99 (0.86-1.13)	1 [Reference]	1.55 (1.20-2.01)
HCV-only	Positive for anti-HCV antibody, negative for HBsAg, and no alcoholism	1,026	1.05 (0.88-1.27)	1 [Reference]	1.76 (1.25-2.49)

Alcohol-related	Positive for alcoholism	1,361	1.01 (0.86-1.19)	1 [Reference]	1.40 (1.09-1.79)
Alcohol-only	Positive for alcoholism, negative for HBsAg and anti-HCV antibody	347	1.66 (1.16-2.38)	1 [Reference]	1.62 (1.02-2.56)
Cryptogenic	Negative for HBsAg and anti-HCV antibody, no alcoholism	715	1.40 (1.13-1.73)	1 [Reference]	1.72 (1.28-2.31)
No liver dysfunction	Albumin-bilirubin grade 1	1,573	1.21 (1.02-1.42)	1 [Reference]	1.18 (0.89-1.57)
Liver dysfunction	Albumin-bilirubin grade 2 and 3	3,133	1.03 (0.94-1.14)	1 [Reference]	1.38 (1.19-1.60)

CI, confidence interval, HBV, hepatitis B virus, HBsAg, hepatitis B virus surface antigen, HCV, hepatitis C virus, HR, hazard ratio.

¹Additionally adjusted for age, gender, liver disease etiology, Child-Pugh score, maximal tumor size, tumor nodularity, vascular invasion, lymph node or distant metastasis, performance status, alpha-fetoprotein level.

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STROBE Statement—checklist of items that should be included in reports of observational studies

	Item No	Recommendation	Page
Title and abstract	1	(a) Indicate the study's design with a commonly used term in the title or the abstract	1-3
		(b) Provide in the abstract an informative and balanced summary of what was done and what was found	3
Introduction			
Background/rationale	2	Explain the scientific background and rationale for the investigation being reported	4-5
Objectives	3	State specific objectives, including any prespecified hypotheses	5
Methods			
Study design	4	Present key elements of study design early in the paper	5-7
Setting	5	Describe the setting, locations, and relevant dates, including periods of recruitment, exposure, follow-up, and data collection	5-6
Participants	6	(a) <i>Cohort study</i> —Give the eligibility criteria, and the sources and methods of selection of participants. Describe methods of follow-up	5-6
		<i>Case-control study</i> —Give the eligibility criteria, and the sources and methods of case ascertainment and control selection. Give the rationale for the choice of cases and controls	
		<i>Cross-sectional study</i> —Give the eligibility criteria, and the sources and methods of selection of participants	
		(b) <i>Cohort study</i> —For matched studies, give matching criteria and number of exposed and unexposed	NA

Case-control study—For matched studies, give matching criteria and the number of controls per case

Variables	7	Clearly define all outcomes, exposures, predictors, potential confounders, and effect modifiers. Give diagnostic criteria, if applicable	5-6
Data sources/ measurement	8*	For each variable of interest, give sources of data and details of methods of assessment (measurement). Describe comparability of assessment methods if there is more than one group	4-6
Bias	9	Describe any efforts to address potential sources of bias	NA
Study size	10	Explain how the study size was arrived at	5-6
Quantitative variables	11	Explain how quantitative variables were handled in the analyses. If applicable, describe which groupings were chosen and why	6-7
Statistical methods	12	(a) Describe all statistical methods, including those used to control for confounding	6-7
		(b) Describe any methods used to examine subgroups and interactions	6-7
		(c) Explain how missing data were addressed	6-7
		(d) <i>Cohort study</i> —If applicable, explain how loss to follow-up was addressed	6-7
		<i>Case-control study</i> —If applicable, explain how matching of cases and controls was addressed	
		<i>Cross-sectional study</i> —If applicable, describe analytical methods taking account of sampling strategy	
		(e) Describe any sensitivity analyses	6-7
Results			
Participants	13*	(a) Report numbers of individuals at each stage of study—eg numbers potentially eligible, examined for eligibility, confirmed eligible, included in the study, completing follow-up, and analysed	5
		(b) Give reasons for non-participation at each stage	5

		(c) Consider use of a flow diagram	NA
Descriptive data	14*	(a) Give characteristics of study participants (eg demographic, clinical, social) and information on exposures and potential confounders	7
		(b) Indicate number of participants with missing data for each variable of interest	NA
		(c) <i>Cohort study</i> —Summarise follow-up time (eg, average and total amount)	7
Outcome data	15*	<i>Cohort study</i> —Report numbers of outcome events or summary measures over time	8
		<i>Case-control study</i> —Report numbers in each exposure category, or summary measures of exposure	NA
		<i>Cross-sectional study</i> —Report numbers of outcome events or summary measures	NA
Main results	16	(a) Give unadjusted estimates and, if applicable, confounder-adjusted estimates and their precision (eg, 95% confidence interval). Make clear which confounders were adjusted for and why they were included	8-9
		(b) Report category boundaries when continuous variables were categorized	NA
		(c) If relevant, consider translating estimates of relative risk into absolute risk for a meaningful time period	NA
Other analyses	17	Report other analyses done—eg analyses of subgroups and interactions, and sensitivity analyses	9
Discussion			
Key results	18	Summarise key results with reference to study objectives	10
Limitations	19	Discuss limitations of the study, taking into account sources of potential bias or imprecision. Discuss both direction and magnitude of any potential bias	12-13
Interpretation	20	Give a cautious overall interpretation of results considering objectives, limitations, multiplicity of analyses, results from similar studies, and other relevant evidence	10-13
Generalisability	21	Discuss the generalisability (external validity) of the study results	10-13
Other information			
Funding	22	Give the source of funding and the role of the funders for the present study and, if applicable, for	2

the original study on which the present article is based

*Give information separately for cases and controls in case-control studies and, if applicable, for exposed and unexposed groups in cohort and cross-sectional studies.

Note: An Explanation and Elaboration article discusses each checklist item and gives methodological background and published examples of transparent reporting. The STROBE checklist is best used in conjunction with this article (freely available on the Web sites of PLoS Medicine at <http://www.plosmedicine.org/>, Annals of Internal Medicine at <http://www.annals.org/>, and Epidemiology at <http://www.epidem.com/>). Information on the STROBE Initiative is available at www.strobe-statement.org.

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