MESSENGER observations of flow braking and flux pileup of dipolarizations in Mercury's magnetotail: Evidence for current wedge formation

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Key points:

- Dipolarizations in Mercury's magnetotail encounter strong magnetic pressure gradients near the planet that brake their fast sunward flow
- Only a small fraction of dipolarizations reach the nightside surface; most brake and contribute to magnetic flux pileup
- Pileup results from the interaction of multiple dipolarizations and is consistent with Earth-like substorm current wedge formation

Abstract

Similar to Earth, Mercury's magnetotail experiences frequent dipolarization of the magnetic field. These rapid (~2 s) increases in the northward component of the tail field ($\Delta B_z \sim 30$ nT) at Mercury are associated with fast sunward flows (~200 km/s) that enhance local magnetic field convection. Differences between the two magnetospheres, namely Mercury's smaller spatiotemporal scales and lack of an ionosphere, influence the dynamics of dipolarizations in these magnetotails. At Earth, the braking of fast dipolarization flows near the inner magnetosphere accumulates magnetic flux and develops the substorm current wedge. At Mercury, flow braking and flux pileup remain open topics. In this work, we develop an automated algorithm to identify dipolarizations, which allows for statistical examination of flow braking and flux pileup in Mercury's magnetotail. We find that near the inner edge of the plasma sheet, steep magnetic pressure gradients cause substantial braking of fast dipolarization flows. The dipolarization frequency and sunward flow speed decrease significantly within a region ~500 km thick located at ~900 km altitude above Mercury's local midnight surface. Due to the close proximity of the braking region to the planet, we estimate $\sim 10-20\%$ of dipolarizations may reach the nightside surface of the planet. The remaining dipolarizations exhibit prolonged statistical flux pileup within the braking region similar to large-scale dipolarization of Earth's inner magnetosphere. The existence of flow braking and flux pileup at Mercury indicates a current wedge may form, although the limitations imposed by Mercury's magnetosphere require the braking of multiple, continuous dipolarizations for current wedge formation.

1. Introduction

Dipolarizations are common to the magnetotails of both Earth and Mercury. A product of intense nightside reconnection, dipolarizations represent newly-closed, more dipolar field lines that are carried planetward by fast reconnection outflows (e.g., Sitnov et al., 2009; Runov et al., 2012; Fu et al., 2013). ObsElviedsithsitu, dipolarizations are rateful field by like that p, step-sited for an expression are cateful field by like that p, step-sited for an expression are cateful field by like that p, step-sited for an expression are cateful field by like that p, step-sited for an expression are cateful field by like that p, step-sited for an expression are cateful field by like that p, step-sited for an expression are cateful field by like that p, step-sited for an expression are cateful field by like that p, step-sited for an expression are cateful field by like that p, step-sited for an expression are cateful field by like that p, step-sited for an expression are cateful field by like that p, step-sited for an expression are cateful field by like that are carried for an expression and expression are cateful field by like that are cateful and expression and embedded within fast sunward flows (e.g., Angelopoulos et al., 1992; Sergeev et al., 1996; Runov et al., 2015). Additional signatures of dipolarizations include an 50 enhanced cross-tail electric field, enhanced thermal plasma temperature, and enhanced energetic particle 51 flux compared to the surrounding plasma sheet (e.g., Runov et al., 2009; Runov et al. 2013). 52

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At Earth, dipolarizations contribute major roles in mass and magnetic flux transport, particle acceleration, and substorm current wedge formation. Although individual dipolarizations are localized in their cross-tail extent ($\sim 1-3$ R_E, where R_E is Earth's mean radius, 6,371 km), their faster sunward flow, stronger northward magnetic field, and enhanced cross-tail electric field compared to the surrounding 57 plasma sheet result in dipolarizations transporting the majority of magnetic flux from the mid-tail to the near-tail, particularly during geomagnetically active intervals (Liu et al., 2014). As a dipolarization travels planetward, particles interacting with its magnetic structure, particularly those trapped by the local magnetic field gradients about the dipolarization front, can experience betatron and Fermi acceleration (e.g., Ashour-Abdalla et al., 2011; Birn et al., 2013; Gabrielse et al., 2016; Ukhorskiy et al., 62 2018). Only a small fraction of dipolarizations penetrate into the inner magnetosphere, with the majority 63 of dipolarizations stopping near the inner edge of the plasma sheet (Shiokawa et al., 1997, Dubyagin et 64 al., 2011; Ohtani et al., 2006). Near this boundary, dipolarizations brake due to steep magnetic pressure gradients, and their magnetic flux accumulates (or piles up) (Birn et al., 2011; Karlsson et al., 2015). As 66 additional dipolarizations brake and accumulate, this flux pileup region can expand both azimuthally and downtail, resulting in a large-scale dipolarization of the near-tail region (e.g., Baumjohann et al., 1999; 68 Birn et al., 2011; Birn et al., 2019; Merkin et al., 2019). The flux pileup structure is supported by the 69 substorm current wedge, which diverts the cross-tail current into the ionosphere via field-aligned 70 currents of the Region 1-sense (e.g., McPherron et al., 1973; Birn et al., 1999; Kepko et al., 2015a). 71 While the exact mechanics by which dipolarizations (both small- and large-scale) establish and maintain 72 the substorm current wedge, it has been the subject of considerable interest and debate. A contemporary 73 understanding is the "wedgelet" conceptual model in which the individual field-aligned current systems 74 of many small-scale dipolarizations manifest into the substorm current wedge as the dipolarizations 75 brake near the inner magnetosphere (e.g., Liu et al., 2013; Sun et al., 2013; Birn et al., 2019). In this 76 understanding, the current wedge is not a single, monolithic current loop, but that its trending structure 77 emerges from the complex interaction between individual dipolarization current systems.

79 Mercury possesses a terrestrial-like magnetosphere, but it operates at substantially smaller 80 spatiotemporal scales, experiences stronger effects from magnetic reconnection, and couples to a different type of inner magnetospheric boundary than Earth's magnetosphere. Mercury's magnetosphere 81 82 contains many of the same regions as Earth's, including a closed dayside region and an extended 83 magnetotail (see Korth et al., 2018 and Slavin et al., 2018 for comprehensive reviews). Mercury's 84 planetary magnetic field, however, is only $\sim 1\%$ the strength of Earth's (e.g., Anderson et al., 2011), 85 which when combined with the stronger upstream solar wind dynamic pressure at Mercury's orbital 86 location, results in a magnetosphere substantially smaller in both absolute and relative scales. For 87 example, Mercury's subsolar magnetopause stands at ~0.5 $R_M \approx 1,200$ km altitude above the planet's 88 dayside surface (Winslow et al., 2013), where R_M is Mercury's mean radius (2,440 km). By contrast, 89 Earth's subsolar magnetopause stands at ~10 $R_E \approx 64,000$ km altitude (e.g., Shue et al., 1998). 90 Furthermore, the cross-tail extent of Mercury's magnetotail is ~4 $R_M \approx 10,000$ km compared to Earth's 91 of ~40 $R_E \approx 255,000$ km (Slavin et al., 2012; Rong et al., 2018; Kaymaz et al., 1992). Consequences of 92 the small dimensions of Mercury's magnetosphere include increased finite gyroradius effects 93 (particularly for heavy ions of planetary origin), increased loss due to surface precipitation, and an 94 increased fraction of the magnetosphere occupied by the planet (e.g., Ogilvie et al., 1997; Delcourt et al., 95 2003; Delcourt, 2013; Raines et al., 2014). Mercury's hypothetical plasmapause, for example, would be 96 located below the planet's surface due to the planet occupying a large fraction of the magnetosphere and 97 the planet's slow ~59-day rotation. Mercury's magnetosphere also experiences stronger effects from 98 magnetic reconnection. The lower solar wind Alfvén Mach number at Mercury's orbital location results

99 in the formation of thick plasma depletion layers within Mercury's magnetosheath adjacent to the 100 magnetopause (Gershman et al., 2013). These depletion layers allow for more frequent and stronger 101 subsolar magnetopause reconnection that is less sensitive to the direction of the interplanetary magnetic 102 field than at Earth (DiBraccio et al., 2013). Dayside reconnection powers Mercury's ~3 min Dungey 103 cycle and many of the observed dynamics within the magnetosphere (e.g., Slavin et al., 2009; Slavin et 104 al., 2010; Imber & Slavin, 2017; Slavin et al., 2018). Finally, Mercury's large conducting core plays a 105 unique role in magnetospheric dynamics by acting as the magnetosphere's innermost boundary. Mercury 106 lacks an ionosphere so it is expected that its large core (~2,000 km in radius) provides current-closure for static and/or large-scale field-aligned current systems (e.g., Jahunen & Kallio, 2004; Anderson et al., 107 108 2014). These current systems pass radially through the thin (\sim 400 km) layer of resistive regolith to 109 connect over the surface of the conducting core. Mercury's core also influences the magnetosphere's 110 interaction with the solar wind. Changes in the solar wind dynamic pressure induce currents on the 111 core's surface that modify the planet's magnetic moment to resist these changes (e.g., Slavin et al., 112 2014; Jia et al., 2015; Johnson et al., 2016; Jia et al., 2019). Although similar responsive currents may 113 also be induced on the surface of Earth's core, these currents are substantially stronger at Mercury due to 114 Mercury's relatively larger core and the core's close proximity to the magnetopause. 115

116 Given the similar topology between Mercury and Earth's magnetospheres and the dominance of 117 magnetic reconnection in Mercury's dynamics, it is not surprising that dipolarizations are common in 118 Mercury's magnetotail. Similar to those identified at Earth, dipolarizations at Mercury are characterized 119 by a rapid (~ 2 s) increase in the northward component of the magnetic field (~ 30 nT) that persists for a 120 short time (~10 s) (Sundberg et al., 2012). Observations from the MESSENGER spacecraft have 121 associated dipolarizations in Mercury's magnetotail with thermal plasma depletion and heating, fast 122 sunward flows, and energetic electron acceleration and injection (Dewey et al., 2017; Dewey et al., 123 2018; Sun et al., 2018). While Mercury's dipolarizations share many similar features to those at Earth, 124 they also display curious differences. Dipolarizations, for example, are more frequent to Mercury's post-125 midnight magnetotail, opposite to that of Earth (Sun et al., 2016). Studies of dipolarizations at Mercury 126 have made considerable progress in understanding the signatures and characteristics of these events, yet 127 the dynamics and consequences of Mercury's dipolarizations remain less well understood. One such 128 topic is that of flow braking. Mercury's near-planet reconnection site, located at or planetward of X_{MSM} . 129 = -3 R_M, is only ~5,000 km above the planet's nightside surface (e.g., Slavin et al., 2009; DiBraccio et 130 al., 2015; Poh et al., 2017a; Smith et al., 2017). Even smaller yet is the distance between the inner edge 131 of Mercury's current sheet and the planet's surface (~500-750 km) (Poh et al., 2017a). Over these 132 distances, the magnetic field increases by a factor of only ~10-100, due to Mercury's weak planetary 133 magnetic field and the large volume of the magnetosphere that the planet occupies. By contrast, the 134 magnetic field at Earth's surface is ~10,000 times greater than in the magnetotail. Is Mercury's magnetic 135 field strong enough to brake dipolarizations and their fast flows? Or do dipolarizations stream directly 136 into the planet's nightside surface unencumbered by the relatively weak magnetic gradients? The 137 answers to these questions carry significance for mass and magnetic flux transport, but are also 138 interdisciplinary, with consequences for exospheric generation and space weathering. 139

140 Initial investigations suggest that braking is likely to occur although the mechanism and location of 141 braking are poorly constrained. Sun et al. (2015) provided the first evidence for flow braking in 142 Mercury's magnetotail by analyzing case studies of Alfvén and compressional waves associated with 143 dipolarizations near the planet, interpreting them to be similar to the waves generated by the braking of 144 flows in Earth's magnetotail (e.g., Panov et al., 2014). At that time, however, the association of 145 Mercury's dipolarizations with fast sunward flows was only speculated. Dewey et al. (2018) established 146 the connection between fast flows and dipolarizations at Mercury by developing a technique to 147 determine average flows by combining together plasma observations of many individual dipolarizations.

148 On the basis of pressure balance, Dewey et al. (2018) hypothesized that these fast flows would break at 149 or planetward of $X_{MSM'} = -1.3 R_M$, near the expected inner edge of the current sheet (e.g., Poh et al., 150 2017a). Due to the limited sample size of dipolarizations, however, Dewey et al. (2018) was unable to 151 examine the behavior of flows as a function of location in Mercury's magnetotail and could not support 152 their hypothesis of braking directly. Finally, Poh et al. (2017b) investigated a signature suggestive of 153 magnetic flux pileup within Mercury's midnight current sheet. Poh et al. (2017b) selected current sheet 154 crossings on their ability to be fit by a one-dimensional Harris current sheet and noticed an enhancement 155 of B_z local to midnight between $-1.4 < X_{MSM'} < -1.7 R_M$. The authors interpreted the B_z enhancement as being due to a current wedge similar to Earth's, however, their work does not connect such a signature 156 157 to dipolarizations, fast flows, or substorm dynamics. These studies have provided valuable foundational 158 observations and discussions into the topics of flow braking and flux pileup in Mercury's magnetotail 159 but leave the topic largely unconstrained.

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161 In this study, we expand upon previous observations and discussions of flow braking and flux pileup in 162 Mercury's magnetotail. We develop an automated algorithm to identify dipolarizations in the magnetic 163 field time series to expand the sample size of events to over an order of magnitude previously examined. 164 This large sample size allows us to employ statistical techniques and form a statistical description of 165 flow braking in lieu of multi-point spacecraft observations. We find that the majority (~80-90%) of 166 dipolarizations brake within a thin (~500 km) region located close to Mercury's surface (~900 km 167 altitude) due to magnetic pressure gradients from the planet's dipole magnetic field. As these flows 168 brake, we observe statistically that their magnetic flux accumulates to form a pileup region that may be 169 associated with an Earth-like current wedge. In Section 2, we describe our data sources and briefly 170 introduce the dipolarization identification algorithm (described in detail in Appendix A). In Section 3, 171 we present both statistical and case study analysis of flow braking and flux pileup, followed by a 172 discussion of these results and the possibility of current wedge formation at Mercury in Section 4. We 173 conclude this investigation in Section 5 with avenues for further research. 174

175 2. Methodology and data sources

For this investigation, we rely on observations from MESSENGER's Magnetometer (MAG; Anderson 177 178 et al., 2007) and Fast Imaging Plasma Spectrometer (FIPS; Andrews et al., 2007). The MAG instrument 179 measures the local vector magnetic field at 50 ms time resolution. The FIPS sensor measures thermal 180 and low-energy ions with energy-per-charge (e/q) spanning 50 eV/e to 13 keV/e and mass-per-charge 181 (m/q) spanning 1 amu/e to 40 amu/e. FIPS completes a nominal sweep of its energy steps in 10 s. While 182 FIPS has a large instantaneous field of view (~1.1 π sr), it is unable to measure bulk plasma flows at its 183 native resolution since the spacecraft is three-axis stabilized. To estimate flows, we rely on a statistical 184 reconstruction technique developed by Dewey et al. (2018). This technique assumes plasma flows are 185 subsonic, and utilizes variable field of view pointing across many FIPS scans to construct a more 186 complete velocity space distribution from which bulk plasma flows and their uncertainty can be 187 determined. We refer readers to Dewey et al. (2018) for a technical description and example of this 188 procedure. In Appendix B, we provide a summary of the flow-determination procedure and discuss its 189 application to partial velocity space distributions. We display all MESSENGER observations in the 190 aberrated Mercury solar magnetospheric (MSM') coordinate system, which is centered at Mercury's 191 dipole center with X_{MSM}, pointing anti-parallel to the solar wind (a radial solar wind speed of 400 km/s 192 is assumed), Z_{MSM}['] pointing northward, and Y_{MSM}['] completing the right-handed system.

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To identify dipolarizations, we rely exclusively on the MAG observations. While several dipolarization signatures are related to the thermal plasma, a complete FIPS scan has time resolution comparable to the typical duration of a dipolarization (Dewey et al., 2017) and therefore cannot resolve these signatures for

- all dipolarizations. MAG observations, in contrast, are able to resolve the magnetic field structure of the dipolarization at native resolution. Of the magnetic field signatures of a dipolarization, the sharp, steplike increase in B_z of the dipolarization front is the easiest to detect (e.g., Liu et al. 2013; Sun et al., 2016). We develop an automated algorithm to identify dipolarization fronts in the B_z time series. The algorithm, described in detail in Appendix A, evaluates each point in the time series for a strong, positive, coherent, local gradient in B_z and applies a series of physical tests to determine if such a slope is representative of a dipolarization front or not.
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205 We apply our dipolarization selection procedure to 1.946 magnetotail intervals that satisfy several 206 criteria. First, to ensure that we are examining the plasma sheet rather than the adjacent magnetotail 207 lobes, we require the 1-minute running average $B_z/|B| > 0.5$ and $\beta > 0.1$, where β is the proton plasma beta. These criteria estimate that the spacecraft samples the closed, mass-loaded magnetic field lines characteristic of the plasma sheet. Other studies of Mercury's magnetotail have used β to identify plasma sheet intervals (e.g., Sun et al., 2017; Poh et al., 2018), but they typically use a higher β threshold. We use a lower threshold since FIPS may underestimate the local plasma beta in the presence of the fast flows associated with dipolarizations (e.g., Dewey et al., 2018) due to the sensor's limited field of view. Second, we exclude intervals contaminated by solar energetic particle events. Third, we limit our survey 214 to the spatial region $-2.5 < X_{MSM'} < 0$, $|Y_{MSM'}| < 1.5$, and $|Z_{MSM'}| < 0.2 R_M$. Finally, to prevent biasing from short intervals, we require that the criteria above must be met for longer than three minutes (the nominal Dungey cycle duration). Together, these 1,946 magnetotail intervals represent an accumulated 14,022 minutes of observation from which 5,178 dipolarizations are identified. This event sample size is an order of magnitude larger than previously examined at Mercury (e.g., Dewey et al., 2018) and allows us to employ statistical techniques to examine the characteristics of dipolarizations as a function of spatial location in Mercury's magnetotail (Section 3).

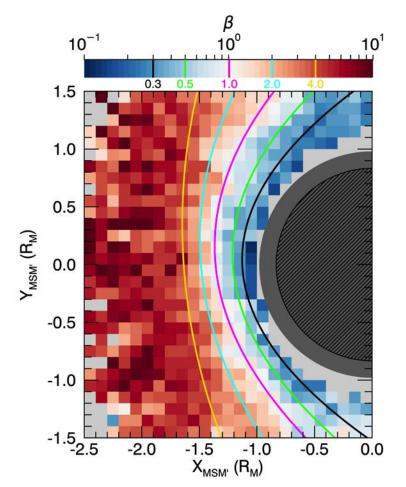


Figure 1. Equatorial distribution of proton plasma beta (β) as indicated by the color bar. Light grey bins indicate regions of insufficient sampling (<6 FIPS scans, corresponding to <2 min of sampling). The dark grey indicates Mercury's nightside surface and the black-hatched region denotes its conducting core. The five color polynomials (black, lime, magenta, cyan, and gold) are contours of specific β (0.3, 0.5, 1.0, 2.0, and 4.0), as indicated by the vertical lines of the corresponding color in the color bar.

To provide context to the dipolarization observations described in later sections, we determine the average proton plasma beta (β) as a function of spatial location in Mercury's magnetic equatorial plane. as shown in Figure 1. To construct this distribution, we compute the average magnetic field, proton density, and proton temperature under the assumption of isotropy (e.g., Raines et al., 2011; Gershman et al., 2013) for each FIPS scan within the 1,946 intervals (84,187 scans total). We then use the spacecraft's location at the center of each scan to sort scans into a two dimensional $(X_{MSM'}, Y_{MSM'})$ histogram. Within each histogram bin, we determine the mean proton density, proton temperature, and magnetic field strength from the scans assigned to that bin, from which plasma beta is then calculated. We propagate uncertainties, which are typically on the order of 1-5% for magnetic field strength and 10-20% for proton density and temperature. For five specific values of β (0.3, 0.5, 1.0, 2.0, and 4.0) we determine contours within the spatial distribution and display polynomial fits to those contours (black, lime, magenta, cyan, and gold, respectively). Each contour is well represented by a second-order polynomial (χ^2 values of 0.045, 0.049, 0.029, 0.033, and 0.019, respectively). As expected for the plasma sheet, $\beta >> 1$ far from the center of the planetary dipole with contours nearly parallel to Y_{MSM'}. Approaching the planet, β decreases and contours bow about the planetary magnetic field, with $\beta \ll 1$ close the dipole center. For reference, at local midnight, $\beta = 1$ (magenta line) at X_{MSM} ≈ -1.36 R_M,

246 approximately 900 km in altitude above the nightside surface. Plasma beta also displays a cross-tail 247 asymmetry, with systematically greater values in the post-midnight plasma sheet. This asymmetry can 248 be observed by noticing that the β contours in the post-midnight plasma sheet are located at greater 249 $X_{MSM'}$ values than those in the pre-midnight plasma sheet. For example, at $Y_{MSM'} = -1 R_M$ the $\beta = 1$ 250 contour is located at $X_{MSM'} \approx -0.98 R_M$ while at $Y_{MSM'} = +1 R_M$ the same contour is located at $X_{MSM'} \approx -$

1.16 R_M. This cross-tail asymmetry is among other asymmetries noted in plasma and magnetic field
parameters in Mercury's central plasma sheet (e.g., Raines et al., 2013; Korth et al., 2014; Poh et al.,

253 2017b; Rong et al., 2018).

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255 **3. Results**

256 **3.1 Observations of flow braking**

257 258 To determine if dipolarizations impact Mercury's nightside surface directly or if they brake/divert before 259 then, we begin by examining the distribution of dipolarization occurrence as a function of location 260 within Mercury's magnetotail. Figure 2a displays the number of dipolarizations identified by the automated procedure of Section 2 as a function of equatorial (X_{MSM}, Y_{MSM}) location. As a function of 261 262 Y_{MSM}, dipolarizations display a strong cross-tail asymmetry with over an order of magnitude more 263 dipolarizations observed post-midnight than pre-midnight similar to the findings of other studies (Sun et 264 al., 2016; Dewey et al., 2018). The range $-1.5 < Y_{MSM} < 0.5 R_M$ contains 90.7% of the identified 265 dipolarizations. As a function of $X_{MSM'}$, the number of dipolarizations drops sharply planetward of the β 266 = 1 contour (magenta line), particularly in the post-midnight magnetotail. Few dipolarizations are 267 observed tailward of $X_{MSM'} = -2 R_M$.

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269 To account for effects from non-uniform spacecraft sampling, we display the frequency of 270 dipolarizations within Figure 2b. To produce this distribution, we divide the number of dipolarizations 271 observed within each spatial bin (Figure 2a) by the total time the spacecraft was at that location during 272 the 1,946 intervals (Figure 2c). Examining the frequency of dipolarizations, the strong cross-tail 273 asymmetry persists. The apparent decrease in dipolarizations tailward of $X_{MSM'} = -2 R_M$, however, is 274 removed after correcting for spacecraft sampling. Dipolarizations possess an approximately uniform 275 frequency tailward of the $\beta = 1$ contour for $Y_{MSM} < -0.5$ R_M. The decrease in number of dipolarizations sunward of $\beta = 1$ does not appear to be an artifact of spacecraft sampling. Where dipolarizations are 276 most frequent ($-1.5 < Y_{MSM'} < 0.5 R_M$), the frequency decreases by an order of magnitude about $\beta = 1$. 277 278 For Y_{MSM} , $< -0.5 R_M$, the frequency tailward of $\beta = 1$ is $\sim 1-2$ dipolarizations per minute, falling to ~ 0.1 -279 0.2 closer to the planet. The trend is less clear at local midnight ($-0.5 < Y_{MSM'} < 0.5 R_M$). The frequency 280 tailward of $\beta = 1$ is ~1 dipolarization per minute, and while there are several bins planetward of $\beta = 1$ 281 that reach similar frequencies, there is considerable scatter, with many bins observing dipolarizations at 282 a rate of ~ 0.2 per minute and many others observing no dipolarizations at all (light grey). 283

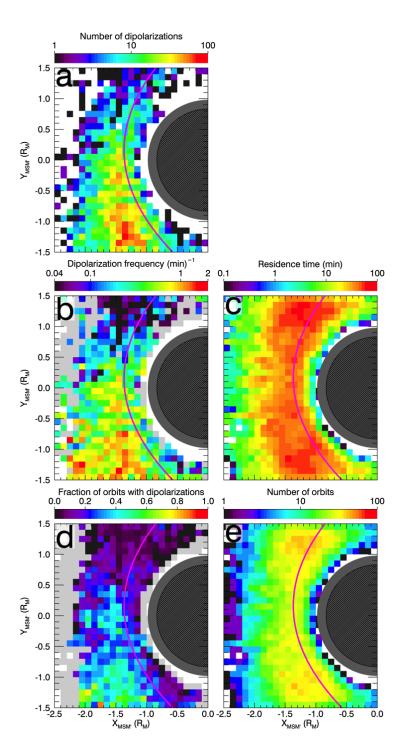


Figure 2. Equatorial distributions in the same format as Figure 1. (a) Number of dipolarizations, where white indicates no dipolarizations observed. (b) Frequency of dipolarizations, where light grey indicates no dipolarizations observed and white indicates insufficient sampling time (<1 min). (c) Spacecraft sampling time, where white indicates regions of no samples. (d) Fraction of orbits that contain dipolarizations within that spatial bin, where light grey indicates insufficient sampling (<3 orbits) and white indicates regions of no sampling. (e) Number of orbits, where white indicates regions of no samples. The magenta polynomial in each panel corresponds to the $\beta = 1$ contour from Figure 1.

295 As will be described in further detail below (Figure 7), when dipolarizations are observed, they tend to 296 be observed in series with other dipolarizations. This trend has been anecdotally described in other 297 studies involving dipolarizations at Mercury (e.g., Sundberg et al., 2012; Dewey et al., 2017; Dewey et 298 al., 2018; Sun et al., 2020). An effect of dipolarizations typically appearing in groups is that it can skew 299 event frequency. We therefore use the fraction of orbits that contain dipolarizations (Figure 2d) as a 300 metric complementary to event frequency. To produce this distribution, for each spatial bin, we 301 determine the number of orbits that contain one or more dipolarizations within that bin and divide it by 302 the total number of orbits that sampled that bin (Figure 2e). Similar to conventional frequency (Figure 303 2b), the cross-tail asymmetry in dipolarization occurrence persists. Post-midnight, a greater fraction of 304 orbits (~0.4-0.7) contain dipolarizations than pre-midnight (~0.1). About $\beta = 1$, the fraction of orbits that 305 contain dipolarizations also drops substantially. Where dipolarizations are most common $(-1.5 < Y_{MSM})$ 306 < 0.5 R_M), the fraction of orbits with dipolarizations decreases from ~0.4-0.5 just tailward of $\beta = 1$ to 307 ~0.1 planetward of the contour. The only location within this Y_{MSM} range that does not appear to follow 308 this trend is at $Y_{MSM'} = -0.5 R_M$ where the fraction of orbits with dipolarizations (~0.4) remains 309 unchanged about $\beta = 1$.

310 311 Taken together, these trends in dipolarization occurrence imply that they do not typically reach 312 Mercury's nightside surface. If dipolarizations usually impacted the planet, we should expect the rate at 313 which dipolarizations are observed to remain approximately constant up to the planet's surface. Rather, 314 we observe that the rate of dipolarization occurrence (interpreted either as the frequency of 315 dipolarizations or as the fraction of orbits that contain dipolarizations) decreases sharply about the $\beta = 1$ 316 contour, which is located ~900 km altitude above the nightside surface. If dipolarizations do indeed 317 divert or brake before reaching the nightside surface, these signatures should be apparent in the flows 318 associated with dipolarizations. As described in Section 2, FIPS cannot determine flows at its nominal 319 time resolution, however, we can follow the procedure developed by Dewey et al. (2018) to examine 320 flows statistically. We refer readers to Dewey et al. (2018) for technical details of this procedure. While 321 Dewey et al. (2018) used 387 dipolarizations spread throughout Mercury's magnetotail to obtain a single 322 representative flow, we can leverage our increased event sample size to determine statistical flows as a 323 function of spatial location, enabling us to examine plasma signatures of flow breaking.

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325 In Figure 3, we apply the Dewey et al. (2018) flow-determination technique to FIPS observations of 326 dipolarizations. For each spatial bin, we first identify all dipolarizations that were observed within that 327 area of the magnetotail, then we select all FIPS scans that cover the end of each of those event's 328 dipolarization fronts, and finally, we apply the Dewey et al. (2018) technique to those scans to determine 329 average flows. Figure 3a displays the sunward component of these flows (V_x) , 3b the duskward 330 component (V_y) , 3c the northward component (V_z) , and 3d the number of dipolarizations. In calculating 331 these statistical flows, we evaluate uncertainty from statistical and systematic sources as well as 332 uncertainty resulting from unobserved regions of velocity space (see Appendix B and Dewey et al., 333 2018). While some spatial bins in 3d have up to 400 dipolarizations, many have between 50-100. The 334 number of dipolarizations used to determine statistical flows is smaller on average than that used by 335 Dewey et al. (2018), which results in larger uncertainties as well as prevents some flow components 336 from being reliably determined (grey bins in Figures 3a-c). In Appendix B, we describe quantitatively 337 the conditions under which we do not display flow components. Including each of the sources of 338 uncertainty described above, typical absolute and relative uncertainties for each velocity component 339 shown in Figure 3 are 32 ± 9 km/s or $(25 \pm 7)\%$ in V_x, 22 ± 10 km/s or $(47 \pm 22)\%$ in V_y, and 15 ± 8 340 km/s or $(35 \pm 19)\%$ in V_z. Finally, we expect proton flows to be representative of dipolarization 341 transport. Dipolarizations have dimensions ~2,000 km in X_{MSM} and ~750 km in Y_{MSM} (see Section 3.3), 342 which are greater than the typical proton gyroradius about dipolarizations (~300 km for a 4 keV proton 343 in a 30 nT magnetic field), indicating that the frozen-in condition is valid.



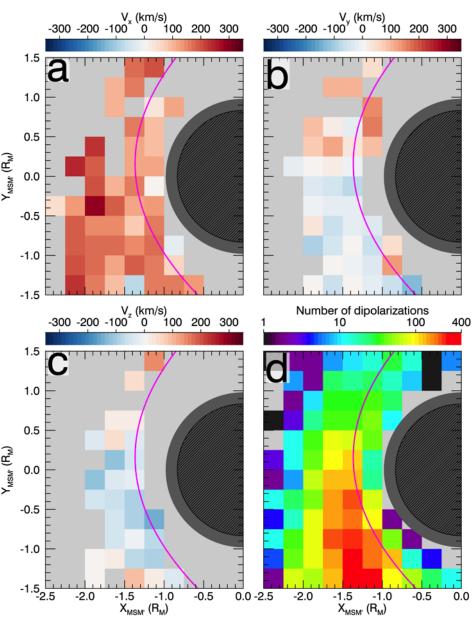


Figure 3. Typical dipolarization flow components as a function of equatorial location in the same format as Figure 2. (a) Sunward component (V_x) , (b) duskward component (V_y) , (c) northward component (V_z) , and (d) number of dipolarizations used to determine these flows. Light grey bins in (a)-(c) indicate spatial locations whose flow component in that direction could not be determined reliably (see Appendix B). Light grey bins in (d) indicate regions with no dipolarizations.

The flows in Figure 3 are indicative of both flow braking and diversion. Tailward of $\beta = 1$, V_x is dominant with speeds around 200 km/s in the sunward direction, similar to the dipolarization flow determined by Dewey et al. (2018). V_y shows a general separation about midnight tailward of $\beta = 1$ although there is considerable scatter. The mean, median, and standard deviation of V_y flows premidnight is 89, 59, and 97 km/s, respectively, compared to -59, -54, and 66 km/s post-midnight. A linear fit of V_y versus $Y_{MSM'}$ yields a slope of 38 ± 4 km/s R_M^{-1} with a correlation coefficient of 0.62. The large variance amongst V_y flows suggests that V_y can vary substantially between individual

- dipolarizations but with a general trend of $+V_y$ flows pre-midnight and $-V_y$ flows post-midnight. Flows
- along Z_{MSM}[,] are generally negative about local midnight and positive closer to the flanks of the
- 362 magnetotail, and are of the same approximate strength as V_y . Planetward of $\beta = 1$, the sunward
 - 363 component decreases in magnitude. This trend is most apparent for $-1 < Y_{MSM'} < 0 R_M$, where V_x
- decreases from ~100-200 km/s to ~0-50 km/s about $\beta = 1$. While V_z cannot be reliably determined
- planetward of $\beta = 1$, the V_y appears to become systematically duskward with an average value of 53 ± 31 km/s. These V_x and V_y flow signatures are indicative of both flow braking and diversion.
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368 Figure 4 displays trends along X_{MSM} , more clearly. Each panel examines plasma or magnetic field 369 parameter(s) averaged over $-1.5 < Y_{MSM'} < 0.5 R_M$ (where dipolarizations are most common) as a 370 function of $\Delta X_{MSM'}$. $\Delta X_{MSM'}$ is the distance along $X_{MSM'}$ from the $\beta = 1$ contour (i.e., $\Delta X_{MSM'} = 0$ lies on 371 the $\beta = 1$ contour, with $\Delta X_{MSM'} > 0$ planetward of the contour). Figure 4a examines the frequency of 372 dipolarizations organized by $\Delta X_{MSM'}$. Similar to the observations discussed with Figure 2, the frequency 373 of dipolarizations remains approximately constant until $\beta = 1$. For $-1.5 < \Delta X_{MSM} < 0$ R_M, the 374 dipolarization frequency fluctuates but remains about 0.6 min⁻¹ (shaded grey region) until decreasing 375 significantly at $\Delta X_{MSM'} \approx 0$. By the $\beta = 0.5$ contour (lime), the frequency has dropped to half its downtail 376 value. Further planetward, the frequency continues to drop to $\sim 0.1 \text{ min}^{-1}$, suggesting that only a small 377 fraction (~10-20%) of dipolarizations may impact the nightside surface directly. The sunward flow 378 component V_x in Figure 4b displays a similar trend. For $-1.5 < \Delta X_{MSM} < 0$ R_M, the sunward flow speed 379 fluctuates but remains about 192 km/s (horizontal dashed line) before beginning to decrease 380 meaningfully at $\Delta X_{MSM} \approx 0$. By the $\beta = 0.5$ contour, the sunward flow has decreased to approximately 381 half its downtail value. The dipolarization frequency and flow speed decreasing to half their respective 382 downtail values by $\Delta X_{MSM} \approx 0.15 \text{ R}_{M}$ suggests the braking region has a downtail extent of ~500 km and 383 begins at $\beta = 1$ (an altitude of ~900 km at local midnight). 384

385 To understand the mechanism causing braking to occur in Mercury's magnetotail, Figure 4c examines 386 proton plasma pressure and magnetic pressure as functions of ΔX_{MSM} . We follow the same general 387 procedure in determining these pressures as for the proton plasma beta within Section 2. In order to 388 examine conditions that dipolarizations encounter, we use only FIPS and MAG measurements belonging 389 to orbits that contain one or more dipolarization. For $\Delta X_{MSM'} < 0$, both plasma and magnetic pressures 390 remain small (<1 nPa) with the plasma pressure dominating magnetic pressure (consistent with $\beta > 1$). 391 At $\Delta X_{MSM'} = 0$, both pressures are within uncertainty of each other ($\beta = 1$). For $\Delta X_{MSM'} > 0$, magnetic 392 pressure dominates plasma pressure ($\beta < 1$) as we move closer to Mercury's dipole center. Using these 393 one-dimensional pressure profiles, we can estimate the pressure gradient forces in the sunward direction. 394 For both $\Delta X_{MSM'} < 0$ and $\Delta X_{MSM'} > 0$, we apply linear fits to both the magnetic and plasma pressure 395 profiles with the slope of the fit indicating the force density. For $\Delta X_{MSM'} < 0$, both magnetic and plasma 396 pressure gradients are small (~0.1-0.2 nPa R_{M}^{-1}) and are within uncertainty of each other. At $\Delta X_{MSM'}$ = 397 0, the magnetic pressure gradient increases by a factor of 60 ± 20 and the plasma pressure gradient 398 increases by a more modest factor of 16 ± 7 . The strong pressure gradients, particularly in magnetic 399 pressure, coincident with the decreases in dipolarization occurrence and flow speed suggest 400 dipolarizations and their associated fast flows brake as a result of the strong magnetic pressure gradients 401 of Mercury's dipole magnetic field. Finally, Figure 4d displays the local Alfvén speed (VA) as a function 402 of $\Delta X_{MSM'}$. We do not incorporate heavy planetary ion species (e.g., Na+) in calculating the V_A and find 403 that including them would not reduce VA significantly. We will use VA in the discussion of current 404 wedge formation in Section 4. For now, we illustrate that dipolarizations far downtail of the braking 405 region (ΔX_{MSM} , < -1 R_M) typically travel near the local Alfvén speed, consistent with magnetic 406 structures created from magnetic reconnection.

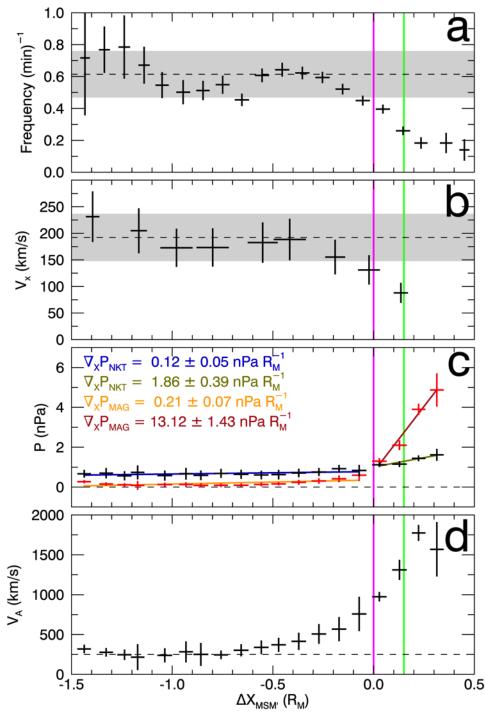


Figure 4. (a) Dipolarization frequency, (b) typical dipolarization sunward flow, (c) magnetic (P_{MAG}) and thermal proton (P_{NKT}) pressures, and (d) Alfvén speed as functions of $\Delta X_{MSM'}$ (defined in the text). The vertical magenta line corresponds to the location of the $\beta = 1$ contour and the vertical line line corresponds to the location of the $\beta = 0.5$ contour (see Figure 1). In (a) and (b), the horizontal dashed lines and grey boxes correspond to the average and uncertainty of dipolarization frequency and sunward flow speed for $-1.5 < \Delta X_{MSM'} < 0$ R_M. In (c), the horizontal dashed line corresponds to a pressure of zero, while the colored lines correspond to linear fits whose slopes are listed. In (d), the horizontal dashed line corresponds to a speed of 250 km/s.

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3.2 Observations of flux pileup

δB_{z} (nT) over 15 < t < 30 s -40 40 -20 0 20 1.5 30 N = 14620 1.0 δB_{z} (nT) 10 0.5 0 Y_{MSM} (R_M) -10 0.0 30 Ν 35 = 20 -0.5 ц Ц 10 δB, -1.0 0 -1.5 🖿 -2.5 -10 -1.0 0.0-20 -2.0 -1.5 -0.5 -10 0 10 20 30 t (s) $X_{MSM'}$ (R_M)

Figure 5. (a) Equatorial distribution of the average detrended, background-subtracted northward magnetic field component (δB_z) following dipolarizations in the same format as Figure 3. The color bar indicates the average δB_z of the superposed dipolarization profiles over 15 < t < 30 s. Light grey regions have insufficient number of dipolarizations for statistical analysis (<15 dipolarizations, see Figure 3d). The black arrows indicate corresponding spatial locations in (a) for the two example profiles in (b) and (c). For (b) and (c), the thick black line indicates the mean δB_z over the N-dipolarizations at each time step and the light grey indicates the standard error. The vertical dashed lines correspond to t = 0 s (the midpoint of dipolarization fronts that the profiles are organized by) and t = 15 s. The horizontal dashed lines correspond to 0 nT.

433 Observations of dipolarization frequency and flow speed in Section 3.1 establish that dipolarizations 434 typically brake before reaching Mercury's nightside surface. Within this section, we investigate whether 435 the flow braking of dipolarizations is associated with magnetic flux pileup. We begin by first examining 436 dipolarization profiles as a function of location within Mercury's magnetotail, similar to the frequency 437 maps of Figure 2 and the flow maps of Figure 3. In Figure 5, we examine the northward component of 438 the magnetic field (B_z) following dipolarizations. We standardize dipolarizations by converting to new 439 time and magnetic field coordinates. For time, we use t, which is the time in seconds local to the 440 midpoint of a dipolarization's dipolarization front (i.e., the midpoint of a dipolarization front is defined 441 to be t = 0 s). For the magnetic field, we are interested in how the field changes after the dipolarization compared to before it, so we define $\delta \mathbf{B}$, the background-subtracted, detrended magnetic field. To 442 443 construct $\delta \mathbf{B}$, we first remove the effects of the spacecraft's motion through Mercury's dipole magnetic field after which we subtract the average magnetic field over -20 < t < -10 s. Using the same spatial 444 445 gridding as in Figure 3, we examine the superposed epoch profiles of dipolarizations in the new $(t, \delta B_z)$ 446 coordinates as a function of equatorial location.

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448 The average δB_z over 15 < t < 30 s from each spatially-resolved superposed dipolarization profile is 449 shown in Figure 5a, while Figures 5b and 5c show two example profiles, one tailward and one 450 planetward of the $\beta = 1$ contour, respectively. Tailward of $\beta = 1$, dipolarizations do not exhibit large, 451 prolonged enhancements of the magnetic field following the initial dipolarization. In Figure 5b, for 452 example, the magnetic field decreases slightly prior to the sharp, step-like increase of the dipolarization 453 front (centered at t = 0) after which the northward component of the magnetic field remains enhanced 454 for several seconds before falling to near pre-dipolarization values. The average δB_z over 15 < t < 30 s 455 remains close to within uncertainty of the value over -20 < t < -10 s. Correspondingly, the average δB_z over 15 < t < 30 s for regions tailward of $\beta = 1$ in Figure 5a is small, ≤ 5 nT. In contrast, dipolarizations 456 457 at and planetward of $\beta = 1$ display substantial, prolonged increases in the magnetic field. The superposed 458 dipolarization profile in Figure 5c, for example, shares similar features as the profile in Figure 5b, 459 however, after the initial dipolarization front, the magnetic field remains enhanced by ~25 nT for a 460 substantial duration of time (i.e., greater than the typical dipolarizing flux bundle duration of ~ 10 s, see 461 Dewey et al., 2017). Correspondingly, the average post-dipolarization δB_z at and planetward of the $\beta = 1$ 462 contour in Figure 5a has values ~10-40 nT, with a median value of 29 nT. Planetward of $\beta = 1$, the post-463 dipolarization δB_z is asymmetric about local midnight, with greater strength pre-midnight (~36 nT) than 464 post-midnight (~26 nT). Synoptically, the prolonged δB_z enhancement planetward of $\beta = 1$ appears to be 465 a large-scale dipolarization of Mercury's near-tail region.

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467 These spatially-resolved superposed dipolarization profiles indicate flux pileup occurs in Mercury's 468 magnetotail alongside flow braking. Tailward of $\beta = 1$, superposed dipolarization profiles exhibit only 469 transient increases in the magnetic field consistent with dipolarizations travelling rapidly sunward and 470 passing quickly over the spacecraft and resulting in a small δB_z over 15 < t < 30 s. Planetward of $\beta = 1$, 471 coincident with where substantial braking occurs, the superposed dipolarization profile indicate a more 472 permanent increase in the magnetic field with magnetic flux pileup resulting in a large average δB_z over 473 15 < t < 30 s. To determine if the synoptic pileup (i.e., large-scale dipolarization) signature across 474 Mercury's near-tail region is physical, we turn to magnetic flux budget analysis in Section 3.3 and 475 examine a case study in Section 3.4.

477 **3.3 Flux budget of statistical pileup signature**478

To determine if the statistical, synoptic flux pileup signature (i.e., large-scale dipolarization) is physical, we first look to determine if dipolarizations could supply sufficient magnetic flux to establish it. We integrate δB_z in Figure 5a planetward of $\beta = 1$ and within $|Y_{MSM'}| < 1.25 R_M$ to estimate that the largescale flux pileup contains 0.28 ± 0.08 MWb magnetic flux. We wish to determine if it is possible for dipolarizations to supply this flux to the inner magnetotail.

485 The typical magnetic flux transported by a dipolarization can be estimated by

$$\Phi \approx 2\Delta Y V_x \int B_z dt$$

487 where ΔY is the half-width of the dipolarization, assumed to be approximately constant. We can use 488 superposed dipolarization profiles and typical dipolarization flows to estimate these terms, however, the cross-tail half-width remains unknown. Determining the width of dipolarizations is challenging, even 489 490 when multi-spacecraft observations available (e.g., Sergeev et al., 1996; Nakamura et al., 2004). 491 However, taking advantage of our expanded dipolarization event list, we can employ statistical 492 techniques to provide some insight into their cross-tail width. Similar to determining dipolarization 493 flows, we will not be able to determine the cross-tail width of dipolarizations on an event-by-event basis, 494 but rather, we can use the following statistical analysis to determine a representative value. 495

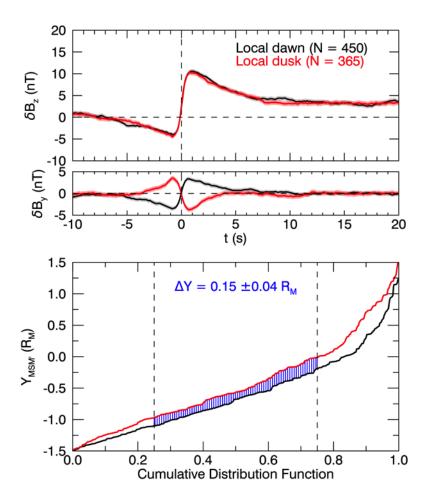


Figure 6. (top) Superposed dipolarization profiles of δB_z and δB_y for dipolarizations observed at their local dawn (black) and local dusk (red) sides in the same format as Figure 5b and 5c. (bottom) Cumulative distribution function of the spacecraft Y_{MSM} location when it encountered a dipolarization on the dipolarization's local dawn (black) or local dusk (red) side. The separation between the curves (vertical blues lines) indicates the typical cross-tail half-width of dipolarizations. The dashed vertical black lines indicate the 25th and 75th percentiles.

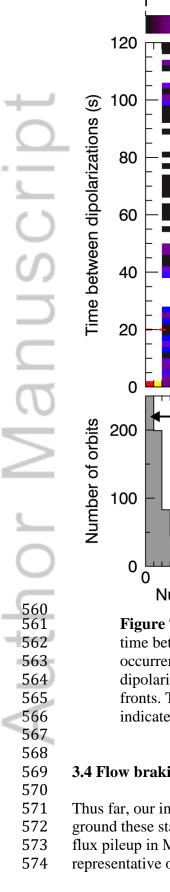
Dipolarizations possess several current structures (e.g., Sun et al., 2013). At the dipolarization front, a dawn-to-dusk current separates the surrounding plasma from the enhanced B_z within the dipolarization. While some of this current closes about the dipolarization, most is expected to close as field-aligned currents of the Region-2 sense (e.g., Birn et al., 2019). These field-aligned currents produce perturbations in the magnetic field that we can use to determine if the spacecraft observed the local dawn or dusk flank of the dipolarization. For example, for spacecraft observations of the local dawn side of dipolarizations whose field-aligned current closes into the northern hemisphere, we expect a negativethen-positive perturbation in B_y (i.e., $\delta B_y < 0$ followed by $\delta B_y > 0$) at the dipolarization front. By examining the distribution of where the spacecraft observed the local dawn versus local dusk sides of dipolarizations, we can determine the characteristic cross-tail width. For example, consider if dipolarizations at Mercury typically encompass the entire width of the magnetotail ($-2 < Y_{MSM'} < 2 R_M$). Observing the local dawn side would only occur when the spacecraft is post-midnight ($Y_{MSM'} < 0$), and observing the local dusk side would only occur when the spacecraft is pre-midnight ($Y_{MSM'} < 0$). The typical separation between observations of local dawn (on average, $Y_{MSM'} \approx -1 R_M$) and of local dusk (on average, $Y_{MSM'} \approx 1 R_M$) would be 2 R_M, the half-width of the full structure (4 R_M).

520 We implement this methodology to determine the typical dipolarization half-width ΔY in Figure 6. We 521 select dipolarizations in the $\beta > 1$ region (to avoid contamination from braking dipolarizations) that 522 possess significant bipolar signatures in δB_v at the dipolarization front. We use the polarity of the δB_v 523 signature and the spacecraft's Z_{MSM}, location to estimate if the spacecraft observed the local dawn or 524 local dusk side of the event. A total of 815 dipolarizations met these criteria, with the spacecraft 525 observing local dawn for 450 of these events, and local dusk for the remaining 365. The top panels of 526 Figure 6 display the superposed epoch δB_z and δB_v profiles of these events. We invert the sign of δB_v 527 for events when $Z_{MSM'} < 0$ to produce clear signals in the superposed δB_v profiles (i.e., for events with 528 $Z_{MSM} < 0$, we display $-\delta B_v$ in Figure 6). The profiles look nearly identical in magnitude and timing, 529 with just the polarity of the δB_v bipolar signature reversed. The bottom panel displays the cumulative 530 distribution function of the spacecraft's Y_{MSM} position for both local dawn (black) and local dusk (red) 531 observations. As expected, the spacecraft position is systematically shifted to greater Y_{MSM} , when it 532 observed dipolarizations' local dusk side. The separation between the two distribution functions indicates the typical dipolarization half-width. To avoid outliers, we use the 25th to 75th percentiles 533 534 (dashed vertical lines) to estimate $\Delta Y = 0.15 \pm 0.04$ R_M. We combine the dipolarization half-width with 535 the Figure 6a B_z profiles and the average downtail V_x flow (192 ± 44 km/s; the horizontal dashed line in 536 Figure 4a) to estimate that a single dipolarization typically transports 0.053 ± 0.019 MWb.

538 To supply the magnetic flux observed in the flux pileup region would therefore require 5 ± 2 539 dipolarizations. The number of dipolarizations required to build the flux pileup signature is supported 540 observationally, shown in Figure 7. Figure 7a displays the number of dipolarizations identified during an 541 orbit versus the median time between those dipolarizations (time between successive dipolarization 542 fronts). We include the time between dipolarizations as it suggests a causal link; dipolarizations 543 separated by >2-3 min, for instance, may not be considered to be of the same substorm. Figure 7b shows 544 the marginal distribution of the number of dipolarizations observed per orbit, while Figure 7c shows the 545 time between individual dipolarization fronts (as opposed to the median separation time per orbit in 7a). 546 From Figure 7b, nearly half of orbits $(818/1946 \sim 0.4)$ contain no dipolarizations. Of the remaining 547 orbits, more orbits contain more than one dipolarization than a single dipolarization. Approximately 548 \sim 18% of all orbits (345/1946) contain 5 or more dipolarizations, with the most extreme containing 32. 549 Examining the time between dipolarizations (Figure 7c), most dipolarizations are observed in series with 550 one followed soon by another. The typical time between dipolarization fronts is between 5-20 s while 551 the typical dipolarization duration is ~10 s (Dewey et al., 2017). Combining these distributions together 552 in Figure 7a, only ~6% of orbits contain a sufficient number of dipolarizations (5) with median time 553 between dipolarizations < 20 s. While this is a small fraction of orbits, this determination is sensitive to 554 the number of active reconnection sites in Mercury's magnetotail (e.g., if two reconnection sites are 555 active we may require the spacecraft to observe 2-3 dipolarizations for the orbit to qualify). We do not 556 intend this fraction of orbits to communicate how common large-scale pileup may occur, but rather that 557 the flux pileup signature identified statistically in Figure 5 is indeed possible to establish via multiple 558 dipolarizations.

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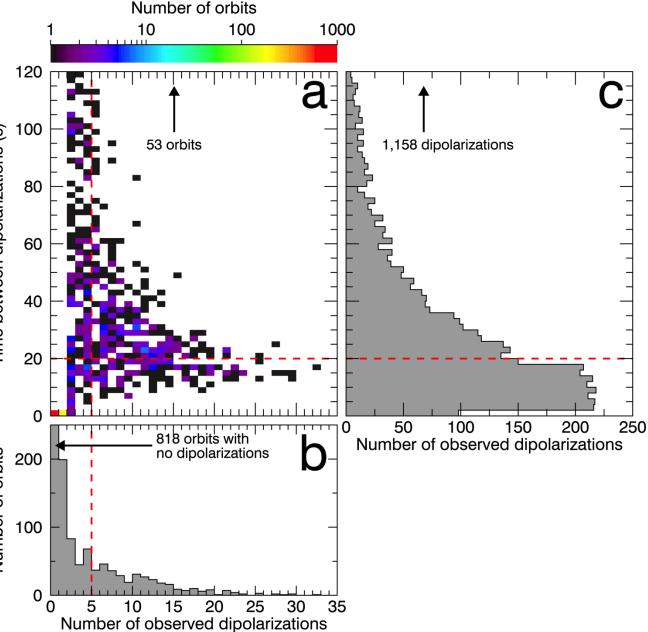


Figure 7. (a) Distribution of the number of dipolarizations observed per orbit versus the median time between dipolarizations during that orbit, where the color bar indicates the number of occurrences. White indicates no occurrences. (b) The marginal distribution of the number of dipolarizations per orbit. (c) The distribution of the time between successive dipolarization fronts. The dashed red lines correspond to thresholds discussed in the text. Arrows in (a) and (c) indicate the number of orbits and dipolarizations, respectively, beyond the range of the plot.

3.4 Flow braking and flux pile-up example

Thus far, our investigation into flow braking and flux pileup at Mercury has been statistical in focus. To ground these statistical results, we conclude this section by presenting an example of flow braking and flux pileup in Mercury's magnetotail, demonstrating that the statistical results described above are representative of Mercury's magnetosphere. Figure 8 displays MAG and FIPS observations on 07

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575 October 2014 from 18:17:00 to 18:20:00. During this interval, the spacecraft was located in Mercury's 576 post-midnight magnetotail ($Y_{MSM'} = -0.33 R_M$) close to Mercury's nightside surface (altitude of ~700 577 km). At these coordinates, we expect the spacecraft to be within the typical braking region identified in 578 Section 3.1. The spacecraft crossed Mercury's central current sheet, as evidenced by the change in sign 579 of both B_x and the $Z_{MSM'}$. During this crossing, MESSENGER encountered several dipolarizations, 580 marked by vertical red lines, and observed multiple magnetic and plasma signatures of flow braking and 581 flux pileup.

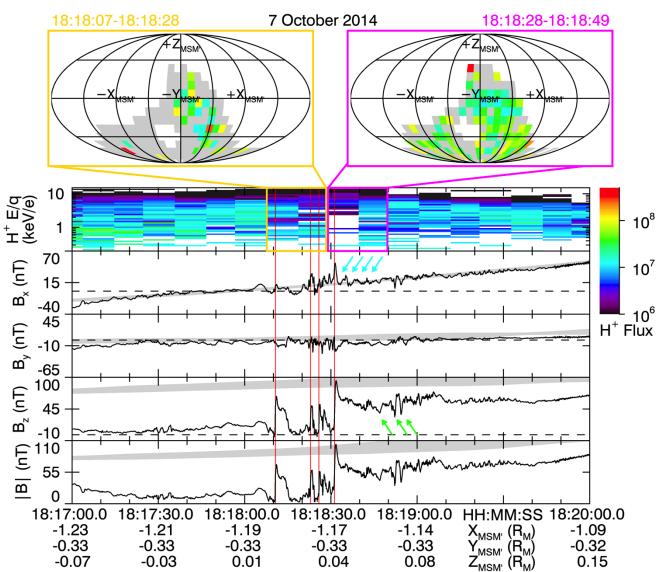


Figure 8. FIPS and MAG observations over 18:17:00 to 18:20:00 on 10-07-2014. The panels from top to bottom are FIPS proton flux (values indicated by the color bar, units of s⁻¹ cm⁻²), B_x, B_y, B_z, and magnetic field strength |B|. Below the bottom panel, the time and spacecraft position are listed. The vertical red lines indicate dipolarization fronts as identified by the selection algorithm (see Section 2 and Appendix A). The cyan and lime arrows correspond to magnetic fluctuations described in the text. The grey shaded regions in each magnetic field panel indicate typical magnetic field conditions at this location in Mercury's magnetotail. In the FIPS proton flux spectrogram, the gold and magenta boxed scans correspond to the integrated proton flux maps above the panels. Each flux map indicates the proton flux observed by FIPS as a function of direction in a Mollweide projection. The color bins correspond to the same color bar (units of

594 $s^{-1} cm^{-2} sr^{-2}$), light grey regions are those within the FIPS field of view but with no observed 595 plasma, and the white regions are those outside the FIPS field of view. Direction labels (e.g., 596 $+X_{MSM'}$) indicate the direction the protons are travelling towards.

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598 To provide context to the magnetic field signatures observed during this interval, we include the typical 599 magnetic field conditions at the spacecraft's location as shaded grey regions in each of the magnetic 600 field panels. To determine these conditions, for each point in the magnetic field time series within this 601 interval, we select the 10,000 magnetic field measurements taken closest to the spacecraft's current 602 position that do not belong to the current orbit. We perform a weighted average on these measurements, 603 using the squared distance from each measurement to the current spacecraft position as that 604 measurement's weight, and evaluate variance. These statistical magnetic field conditions not only reflect 605 typical or background observations but also reveal effects of the spacecraft's orbit. For example, the 606 crossing of the central current sheet marked by the reversal in B_x agrees well with the statistical 607 magnetic field description, confirming that this crossing is a result of spacecraft motion rather than 608 current sheet motion.

609 610 Prior to the arrival of the dipolarizations, the northward component of the magnetic field (B_z) is weak at 611 ~10 nT. At this location, the spacecraft typically observes $B_z \sim 70$ nT (grey shaded region) indicating 612 that the current sheet is substantially thinned compared to nominal conditions. Each dipolarization 613 increases the northward component and total field strength, however, the first three dipolarizations 614 represent only transient increases (i.e., local plasma sheet thickening). The dipolarization fronts of the 615 first three dipolarizations increase the northward component (ΔB_z) by 68.2 nT, 38.6 nT, and 45.6 nT 616 over a time of 0.75 s, 0.45 s, and 0.45 s, respectively. Although each of these three dipolarizations reach 617 field strengths of ~40-50 nT following their dipolarization fronts, the enhancements are short-lived, with 618 the magnetic field returning to pre-dipolarization values 5.50 s, 1.65 s, and 4.55 s after the start of each 619 dipolarization, respectively. The final, and largest, dipolarization is associated with a prolonged 620 enhancement of the magnetic field. The final dipolarization front increases B_z by 83.4 nT over 0.90 s, 621 reaching the statistically-observed B_z for the only time during this interval. The final dipolarization front 622 reaches a local maximum in B_z (95 nT), but unlike the other dipolarizations, the magnetic field does not 623 return to pre-dipolarization values. Instead, B_z remains enhanced at ~55 nT with fluctuations of ± 13 nT 624 through the remainder of the interval. This magnetic field is still weaker than what is normally observed 625 at this location (~100 nT) but is notably enhanced above the field at the beginning of the interval, 626 representing a more permanent dipolarization of the field. 627

628 In addition to these B_z signatures, the dipolarizations within this interval are also associated with B_x and B_v perturbations. The first dipolarization is associated with intensification of both B_x and B_y , while the 629 630 final three dipolarizations display larger-amplitude quasi-periodic fluctuations in both B_x and B_y. These 631 quasi-periodic structures are most readily observed with the third and fourth dipolarizations. Between 632 the third and fourth dipolarizations, the enhancements in B_x last for ~1 s over which B_x changes by ~13 633 nT. The largest B_x perturbation is associated with the final dipolarization front, with $\Delta B_x = 30$ nT. This 634 large B_x perturbation is associated with a bipolar B_y perturbation, consistent with the structure of an 635 electromagnetic pulse associated with Alfvén waves (e.g., Parks et al., 2007). Following the final 636 dipolarization front, additional fluctuations in B_x and B_y are observed. These perturbations (marked by 637 cyan arrows) are perpendicular to the magnetic field direction, have amplitudes ~6 nT, and period ~3.5 638 s. Near ~18:18:55, additional fluctuations are observed in the magnetic field (marked by lime arrows), 639 although these are predominately parallel to the magnetic field (primarily along B_z). These perturbations 640 are similar to those analyzed at higher latitudes by Sun et al. (2015). The perpendicular fluctuations 641 following the final dipolarization front are consistent with Alfvén waves, while the later parallel

642 fluctuations are consistent with compressional wave modes. Following the interpretation of Sun et al. (2015) (1)

(2015), these waves are suggestive of flow braking.

645 To determine if these dipolarizations are associated with bulk plasma flows, we examine FIPS proton 646 flux maps. The two FIPS scans that cover the first three dipolarizations correspond to the gold-boxed 647 flux map, while the scans that cover the final dipolarization correspond to the magenta-boxed flux map. 648 For both ranges, the FIPS field of view is oriented such that it most readily detects protons traveling in – 649 Y_{MSM} and $-Z_{MSM}$ directions. While the missing regions of velocity space are too large to 650 unambiguously determine flow direction and magnitude, the FIPS scans that cover the first three 651 dipolarizations (18:18:07 to 18:18:28) are suggestive of a sunward flow with more plasma traveling in 652 $+X_{MSM}$, than in $-X_{MSM}$. In contrast, the final dipolarization does not appear to be associated with a 653 substantial flow, with its flux map (18:18:28 to 18:18:49) appearing substantially more isotropic. The 654 average energy of protons within 45° of $+X_{MSM}$, is 11.2 ± 4.6 keV in the first distribution and 3.6 ± 1.3 655 keV in the second. In contrast, the average energy of protons along all directions in each distribution is 656 2.8 ± 0.4 keV and 3.2 ± 0.2 keV, respectively. The energy of protons near +X_{MSM} decreases from much 657 greater than the distribution-average energy in the first distribution to within uncertainty of it within the 658 second distribution, consistent with the deceleration of a sunward flow.

659 660 Taken together, these magnetic field and plasma observations are indicative of flow braking and flux 661 pileup in Mercury's magnetotail. In the span of ~30 s, the spacecraft observed four dipolarizations. The 662 first three appear associated with sunward flow and pass over the spacecraft, resulting in temporary, 663 transient increases in the magnetic field. The final dipolarization, in contrast, displays no meaningful 664 flow along X_{MSM}, and is instead associated with a prolonged magnetic field enhancement, characteristic 665 of flow braking and flux pileup. Additionally, perturbations in the magnetic field following the final 666 dipolarization are consistent with Alfvén and compressional waves expected to be associated with flow 667 braking at Mercury (Sun et al., 2015). From the first dipolarization to the last in this time series, the 668 spacecraft moved only 40 km sunward, 3 km duskward, and 60 km northward. For the spacecraft to 669 observe a series of sunward-traveling dipolarizations followed by an approximately stagnant flux pileup 670 region while moving only a small distance in Mercury's magnetotail, it is possible that the final 671 dipolarization may in fact be the piled-up signature of the first three dipolarizations after they 672 experienced intense flow braking.

673674 4. Discussion

675 676 Using an algorithm to identify magnetotail dipolarizations in the magnetic field time series, we have 677 presented both statistical and case study evidence for the flow braking and subsequent magnetic flux 678 pileup associated with dipolarizations in Mercury's magnetotail. We find that downtail of the braking 679 region, the frequency of dipolarizations and the typical sunward flow speed of these structures remains 680 approximately constant. As dipolarizations approach Mercury's near-tail region, as indicated by where 681 the proton plasma beta (β) reaches unity, both the frequency and flow speed of dipolarizations decrease 682 substantially. These observations are analogous to the earliest evidence for the existence of a flow-683 braking region at Earth (e.g., Shiokawa et al., 1997). While Mercury's braking region is thinner (~500 684 km) and situated closer to the planet (~900 km in altitude) than Earth's, the intense magnetic pressure 685 gradients at both planets appear responsible for flow braking and deflection. Coincident with the 686 decrease in dipolarization frequency and flow speed, the magnetic pressure gradient in Mercury's near-687 tail region increases by a factor of 60 ± 20 . The proton plasma pressure gradient also increases at this 688 location, but it increases by a more modest factor (16 ± 7) .

689

690 We find that as these dipolarization flows brake, they accumulate magnetic flux in Mercury's near-tail 691 region. Within the braking region, dipolarizations are associated with prolonged enhancements in the 692 magnetic field, as opposed to transient enhancements observed with dipolarizations traveling quickly 693 over the spacecraft upstream of the braking region. We examine the magnetic flux budget of both this pileup region and of individual dipolarizations to determine that spacecraft observations support these 694 695 statistical findings. Indeed, although building the synoptic flux pileup signature requires several 696 dipolarizations (5 \pm 2), dipolarizations are typically observed in series, such that the spacecraft has 697 observed this number or more of dipolarizations in sequence. More simply, we estimate that the flux 698 pileup region contains 0.28 ± 0.08 MWb. Loading of Mercury's magnetotail increases the magnetic flux 699 content of the lobes by 0.69 ± 0.38 MWb (Imber & Slavin, 2017), so there is sufficient magnetic flux 700 loaded into the magnetotail during a typical substorm at Mercury to develop the flux pileup region (i.e., 701 large-scale dipolarization).

702

703 4.1 Westward expansion of magnetic flux pileup

704 705 We find that the synoptic signature of magnetic flux pileup associated with dipolarizations in Mercury's 706 magnetotail exhibits an asymmetry about local midnight, with a stronger dipolarized field pre-midnight 707 than post-midnight. This asymmetry in pileup is likely related to the asymmetry in dipolarization 708 occurrence and westward expansion of the pileup region. Consistent with previous studies of Mercury's 709 dipolarizations (Sun et al., 2016; Dewey et al., 2018), we find that dipolarizations are more common to 710 Mercury's post-midnight magnetotail as measured both by frequency and by fraction of orbits that 711 possess them. Without the ability to constrain the magnetic flux transported by each dipolarization 712 independently, we interpret the increased rate of dipolarizations post-midnight to indicate that more 713 magnetic flux is usually transported to the post-midnight inner magnetosphere than that pre-midnight, 714 such that we expect pileup to initiate more commonly post-midnight. If pileup is usually initiated in the 715 post-midnight sector, then its expansion westward into the pre-midnight sector may be responsible for 716 the statistical pileup signature we observe there. In Figure 3b, the average V_v flow of bins that intersect the $\beta = 1$ contour or are planetward of it is 53 ± 31 km/s, consistent with westward motion about the 717 718 planet. Westward expansion may explain why there are instances of pileup observed pre-midnight but it 719 does not immediately explain why the statistical pre-midnight pileup signature is stronger than that post-720 midnight. 721

We hypothesize that two factors may contribute to the pileup strength asymmetry. For bins within the 722 723 breaking region in Figure 5a, we observe that the post-midnight bins contain a greater average number 724 of dipolarizations (44 \pm 7) than the pre-midnight bins (17 \pm 5). Similarly, the standard error in δB_z is 725 greater post-midnight (5.0 \pm 0.9 nT) compared to pre-midnight (2.8 \pm 0.5 nT). We interpret the larger 726 number of dipolarizations and the greater variance in the pileup signature post-midnight to indicate that 727 the post-midnight statistical pileup signature may be averaged down by weak or non-instances of pileup. 728 One explanation could be that there is a threshold of pileup above which flux expands pre-midnight. At 729 Earth, azimuthal expansion occurs after substantial pileup. If this is true for Mercury, then while pileup 730 of all strengths may be observed post-midnight, only sufficiently strong instances of pileup expand 731 westward and can be observed pre-midnight. In other words, weaker instances of pileup act to dilute the 732 statistical post-midnight pileup signature. A second and similar explanation could be that some 733 dipolarizations within the typical breaking region may not have experienced strong breaking when they 734 are observed by the spacecraft. Such dipolarizations are not expected to produce a pileup signature, so 735 when they are observed in the braking region, they would weaken the statistical pileup signature there. 736 For example, the case study examined in Section 3.4 contains four dipolarizations, only the last of which 737 exhibits pileup. The four dipolarizations map to the same bin in Figure 5a so the first three 738 dipolarizations dilute the pileup signature of the fourth. These two factors have different physical

739 mechanisms but the same implication: the pre-midnight pileup signature is biased by stronger, less 740 frequent instances of pileup resulting from westward expansion while the post-midnight signature is 741 averaged down by weak or non-pileup events. Future investigations into this topic at Mercury will be of 742 particular value in addressing the degree to which these mechanisms explain the asymmetry in pileup.

743

744 **4.2 Substorm current wedge formation**745

746 At Earth, flux pileup is associated with the substorm current wedge: could a current wedge exist at Mercury? Without ground magnetometers or multi-point spacecraft observations, it may be difficult to 747 748 determine unambiguously. However, the results described in Section 3 suggest it may be possible, if not 749 common, to Mercury's substorms. Alfvén waves, and the field-aligned currents they carry, communicate 750 motion of magnetic field lines of the magnetosphere to the inner conducting boundary in which they are 751 rooted (Southwood & Kivelson, 1991). For Earth, this boundary is the ionosphere, while at Mercury, it 752 is its large conducting core. For a static field-aligned current system like the substorm current wedge to 753 establish, it requires multiple bounces of the current-carrying Alfvén waves (see, e.g., Kepko et al., 754 2015b). At Mercury's braking region, we find a typical Alfvén speed of ~1,000 km/s (see Figure 4d). 755 We estimate, by assuming dipole field line geometry, that field lines are $\sim 2 R_M$ in length above 756 Mercury's conducting core at local midnight within the braking region. For such locations close to the 757 planet, the assumption of dipole field line geometry is expected to be valid (see, e.g., Rong et al., 2018). 758 To execute a complete round-trip bounce would therefore require ~10 s for an Alfvén wave assuming 759 the Alfvén speed remains constant along the field line. If we assume the magnetic field strength along 760 the field line scales like that of a dipole field line, then the round-trip time would be ~ 6 s. The typical 761 substorm unloading time at Mercury is ~100 s (Imber & Slavin, 2017), allowing for many bounces of 762 Alfvén waves within the braking region. 763

764 Although the typical substorm unloading duration allows for many (~10-16) bounces of Alfvén waves to 765 attempt to establish a static current system, the resistive regolith that covers Mercury conductive core 766 presents additional restraints on establishing a current wedge. To communicate with the core, the skin 767 depth of the Alfvén wave must be greater than the depth of the regolith. With a period of ~6-10 s and a 768 height-integrated regolith conductivity of ~1 siemen (Anderson et al., 2014), the skin depth of these 769 Alfvén waves would be between 750-960 km, which is greater than the regolith layer (~400 km). While 770 these Alfvén waves reach the conductive core, their passage through the resistive regolith reduces their 771 current density. In a round-trip bounce, the waves pass through an accumulated ~1,600 km of regolith, 772 such that the amplitude (i.e., current density) of the waves after a complete bounce would only be ~ 12 -773 19% the initial value. Therefore, while a single Alfvén wave within the braking region may complete a 774 sufficient number of bounces during a typical substorm unloading to establish a static field-aligned 775 current system, the resulting current density would be negligible. Furthermore, while the bounce time is 776 substantially smaller than the substorm unloading time at Mercury, it is on the similar time scale as an 777 individual dipolarization. As observed by dipolarizations passing over the spacecraft, the transient 778 increase in the magnetic field associated with individual dipolarizations last for ~10 s (see Dewey et al., 779 2017 and Figure 5b above). Dipolarizations are expected to interact with the braking region for about 780 this duration as well. This timescale allows for only ~1-2 round-trip bounces of an Alfvén wave, an 781 insufficient number to prevent the dipolarization structure from dissipating. Both the damping of Alfvén 782 waves and the dissipation of an individual dipolarization structure before a static field-aligned system 783 can be established point towards a common solution: continuous supply of dipolarizations. 784

When observed, dipolarizations are more commonly observed in series with other dipolarizations than as isolated events (see Figure 7b). A series of dipolarizations, one after another, would supply new Alfvén waves to the braking region (e.g., Sun et al., 2015 and Section 3.4 above) and allow existing Alfvén

788 waves to maintain the magnetic shear about incoming dipolarizations that separate them from the 789 surrounding plasma (i.e., prevent dissipation). Indeed, from flux budget analysis of a typical 790 dipolarization compared with the flux loaded into the magnetotail (Imber & Slavin, 2017), we expect 791 multiple (13 \pm 9) dipolarizations during a substorm unloading phase. With most dipolarizations observed 792 ~5-20 s apart (Figure 7c), these dipolarizations would arrive at the braking region within 1-2 Alfvén 793 bounce times of another. Therefore, despite the limitations imposed by the conducing core, the resistive 794 regolith, and the Alfvén bounce times, observations of dipolarizations at Mercury suggest a current 795 wedge structure appears possible to form in Mercury's magnetotail. With the expectation that such a 796 current wedge at Mercury would require the interaction between the field-aligned current systems (i.e., 797 Alfvén waves) of multiple, successive dipolarizations, it is surprisingly similar to the "wedgelet" 798 conceptual model of Earth's substorm current wedge. 799

800 With the formation of a current wedge possible at Mercury, we determine its characteristics by 801 examining the synoptic flux pileup signature (i.e., large-scale dipolarization) of Figure 5. Using a simple 802 current wedge line model (e.g., Poh et al., 2017b), we estimate that the current consistent with this 803 enhanced δB_z would need to be ~14.6 ± 5.0 kA in the plasma sheet. This current is ~20 times weaker 804 than that at Earth (e.g., Kepko et al., 2015b; Birn et al., 2019). From the weak sunward flow in the 805 braking region (~50-100 km/s), we estimate that the potential drop across the current wedge in the 806 equatorial plane would be $\sim 12.2 \pm 3.4$ kV, indicating a height-integrated electrical conductance of ~ 0.8 807 \pm 0.4 siemens, which is consistent with recent estimates from Mercury's Region-1 static current system 808 (Anderson et al., 2014). 809

810 4.3 Core induction and surface precipitation

812 The substorm current wedge may not be the only means by which dipolarizations and the magnetotail 813 couple to Mercury's conducting core. Mercury's core responds to compression of the magnetosphere by 814 inducing currents on its surface to resist these changes. The topic of induction has been most thoroughly 815 studied with regards to changes in solar wind dynamic pressure (e.g., Slavin et al., 2014; Jia et al., 2015; 816 Zhong et al., 2015; Johnson et al., 2016; Jia et al., 2019) but studies of Mercury's magnetotail have also 817 discussed the possibility of inducing currents on the core's nightside surface in response to compression 818 of the planet's nightside inner magnetosphere (e.g., Dewey et al., 2018). Based on our findings, we expect that dipolarizations are unlikely to elicit a strong inductive response from the planetary core. 819 820 Dipolarizations provide only small increases in dynamic pressure with which to compress Mercury's 821 nightside magnetic field. Given the characteristics of dipolarizations described in Section 3 and by 822 Dewey et al. (2018), the typical dynamic pressure of a dipolarization is of order ~ 0.1 nPa. Mercury's 823 inner magnetosphere has magnetic pressure of order ~5 nPa (see Figure 4) so individual dipolarizations 824 are unlikely to substantially compress the nightside inner magnetosphere and generate inductive currents 825 on the core. By comparison, changes in solar wind dynamic pressure along Mercury's highly eccentric 826 orbit (~11 nPa at aphelion to ~26 nPa at perihelion, Slavin & Holzer, 1981) result in induction currents 827 that change Mercury's magnetic moment by only ~5% (Johnson et al., 2016). To reach similar dynamic 828 pressures in Mercury's magnetotail, dipolarizations would need to be associated with extreme density (> 829 5 cm⁻³) and flow speeds (>1,000 km/s). Even then, dipolarizations are localized in cross-tail extent so 830 they would only compress the nightside inner magnetosphere regionally. Increases in solar wind 831 dynamic pressure compress the dayside magnetosphere globally so any nightside inductive currents 832 would be much smaller in spatial extent on the core than the dayside equivalents.

833

811

Dipolarizations also interact with Mercury's surface. There is some evidence that a small fraction of
 dipolarizations may reach Mercury's low latitude nightside surface. The occurrence maps of
 dipolarizations (Figure 2) indicate that some dipolarizations are observed at < 200 km altitude.

837 Furthermore, organizing dipolarization frequency about $\beta = 1$ (Figure 4) indicates that far downstream 838 of the braking region (e.g., $\Delta X_{MSM'} = 0.5 \text{ R}_{M}$) dipolarizations are still observed even if at a low rate. At 839 these locations, the rate of dipolarizations ($\sim 0.1-0.2 \text{ min}^{-1}$) is much lower than the downtail occurrence 840 $(\sim 0.6 \text{ min}^{-1})$ implying that no more than $\sim 10-20\%$ of dipolarizations travel far beyond the braking 841 region. At the flanks of the magnetotail, dipolarizations traveling this far beyond $\beta = 1$ may return their 842 magnetic flux to the dayside directly, while those behind the planet may impact the low latitude surface 843 (or approach within a gyroradius of the surface). As most precipitation in Mercury's plasma sheet is 844 expected at mid- or high-latitudes (e.g., Korth et al., 2014), the opportunity for dipolarizations to 845 transport plasma and magnetic flux directly to the low latitude surface may have consequences for 846 exospheric generation and space weathering (e.g., Raines et al., 2016). Aside from dipolarizations 847 reaching the low-latitude nightside surface, the close proximity of the braking region to the planet's 848 surface (altitude of ~900 km) results in large expected loss cones (~25-40°) such that substantial plasma 849 precipitation may occur with most dipolarizations in the braking region already. The mass transport of 850 dipolarizations in Mercury's magnetotail deserves further dedicated study. 851

852 **5. Conclusions** 853

854 We present strong evidence for flow braking and magnetic flux pileup associated with dipolarizations in 855 Mercury's magnetotail. We summarize our findings in Figure 9, a schematic representation of flow 856 braking, flux pileup, and current wedge formation. Dipolarizations first begin in the mid-tail as a product 857 of reconnection and are transported sunward by the fast reconnection outflows. As dipolarizations and 858 their associated flows approach Mercury's inner magnetosphere, the flows encounter steep magnetic 859 pressure gradients from Mercury's planetary dipole field, causing the flows to brake and deflect. A small 860 fraction (no more than $\sim 10-20\%$) of dipolarizations may be able to reach the dayside magnetosphere or 861 Mercury's nightside surface while the remainder typically brake within a region ~500 km in thickness 862 located ~900 km in altitude above Mercury's local midnight surface as evidenced by substantial and 863 significant decreases in dipolarization frequency and sunward flow speed. As dipolarizations brake, their 864 transported magnetic flux accumulates. Current-carrying Alfvén waves generated by the motion and 865 braking of the dipolarization field lines communicate these changes to Mercury's conductive core. As 866 additional dipolarizations brake and pileup, the large-scale dipolarization near the inner magnetosphere 867 expands westward into the pre-midnight magnetotail. Simultaneously, the interaction of the Alfvén 868 waves from the braking of multiple, continuous dipolarizations may be able to establish a large-scale 869 current system to support the enhanced magnetic field within the pileup region, akin to Earth's substorm 870 current wedge. Despite the differences between Mercury and Earth's magnetospheres, namely the 871 smaller spatiotemporal scales, enhanced effects of magnetic reconnection, and lack of ionosphere at 872 Mercury, the dynamics of dipolarizations are surprisingly similar. While we have presented both 873 statistical analysis and a case study in support of our conclusions, observations from the en route 874 BepiColombo spacecraft mission and global modeling simulations of Mercury's magnetosphere will be 875 of particular value to continue to investigate and constrain these results. 876

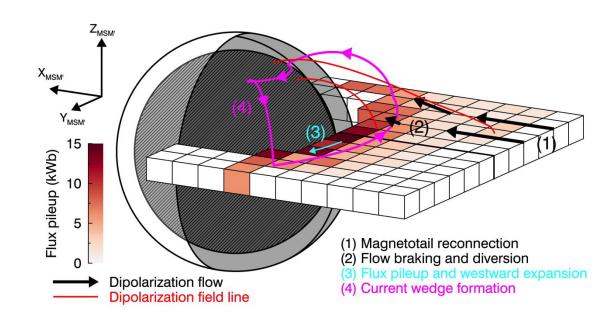


Figure 9. Schematic of flow braking, flux pileup, and current wedge formation from dipolarizations within Mercury's magnetotail. The colored boxes are the pileup observations from Figure 5. Features are at accurate scaling with respect to each other.

Acknowledgements

NASA's Earth and Space Science Fellowship Program (80NSSC17K0493) supported R. M. Dewey.
NASA Grants NNX16AJ67G and 80NSSC18K1137 supported W. Sun and J. A. Slavin. NASA
Discovery Data Analysis Grant NNX16AJ05G supported J. M. Raines. A. R. Azari was supported by the National Science Foundation Graduate Research Fellowship Program under Grant No. DGE
1256260. R. M. Dewey would like to thank A. Runov, A. Artemyev, C. Gabrielse, V. Angelopoulos, and T. Nishimura for valuable conversations. All MESSENGER data used in this study are available from the NASA Planetary Data System at https://pds-ppi.igpp.ucla.edu/search/?sc=Messenger

892 Appendix A: Dipolarization identification algorithm

893

894 The dipolarization identification technique is described briefly in Section 2. In this appendix, we 895 describe the procedure in greater detail. Previous approaches to determining dipolarizations via 896 autonomous algorithms have focused on identifying the leading edge of the event (dipolarization front) 897 using a sliding window (e.g., Liu et al., 2013; Sun et al., 2016). We follow a similar, but modified 898 approach, first identifying potential dipolarization fronts within the magnetic field time series and then 899 applying a series of physical tests to determine if these signals represent dipolarizations. We take 900 advantage of the initial statistical characterization of dipolarizations at Mercury from Sundberg et al. 901 (2012), Sun et al. (2016), and Dewey et al. (2017) to set several empirical limits in identifying events.

902

To identify potential dipolarization fronts, we examine each point in the $B_z(t)$ time series for a strong, local, coherent, positive gradient. At point *i* in the time series (i.e., $t = t_i$), we determine the minimum time (Δt) by which B_z increases by ΔB_z , i.e., $B_z(t_i + \Delta t) = B_z(t_i) + \Delta B_z$. The parameter ΔB_z will therefore be the minimum increase in B_z of an identified dipolarization front. We use $\Delta B_z = 10$ nT, which corresponds the 5th percentile of dipolarization front ΔB_z identified by Dewey et al. (2017). In other words, 95% of dipolarizations identified by Dewey et al. (2017) have dipolarization front $\Delta B_z >$ 10 nT. For the interval of t_i to $t_i + \Delta t$ to qualify as a potential dipolarization front, we require:

910

911

912

1. $\Delta B_z / \Delta t \ge 5 \text{ nT/s};$ 2. minimum $(B_z(t_i < t < t_i + \Delta t)) \ge B_z(t_i);$ and

- 913 3. $\mu(\delta B_z(t_i < t < t_i + \Delta t)) > \sigma(\delta B_z(t_i < t < t_i + \Delta t))$
- 914

915 where $\delta B_{z}(t)$ is the point-to-point change in $B_{z}(t)$, μ is the mean function, and σ is the standard 916 deviation function. The first criterion requires local gradients to be both strong and positive, while the 917 last two criteria require local gradients to be coherent. We set the threshold of the first criterion 918 empirically by examining dipolarizations of Dewey et al. (2017), the distribution of $\Delta B_z(\Delta t)$ across the 919 1,946 dipolarization-search intervals, and to avoid misinterpreting the spacecraft's motion through the 920 current sheet (or the current sheet's motion over the spacecraft) as a potential event. Each group of 921 sequential points in the time series that meet these three criteria is determined to be potential 922 dipolarization front. We require that each potential dipolarization front have a minimum duration of 0.4 923 s (eight or more sequential MAG observations) to ensure the dipolarization front is well resolved. For 924 comparison, Dewey et al. (2017) found a minimum dipolarization front duration of 0.7 s. 925

926 To determine if a potential dipolarization front corresponds to a dipolarization or not, a series of tests are 927 applied. These tests include physical and statistical considerations and are designed to mimic signals that 928 one's eye would use to select dipolarizations and to avoid false-positives from other magnetotail 929 phenomena, such as flux ropes, tail flapping, and magnetospheric waves Because the duration of 930 dipolarization fronts can vary substantially (i.e., from < 1 s to > 5 s, see Dewey et al., 2017), these tests 931 use time durations standardized by the potential dipolarization front's duration Δt_{DF} . The first test 932 evaluates if the increase in B_z across the potential dipolarization front is meaningful compared to the 933 fluctuations in the magnetic field that surround it:

934

935
$$\frac{\mu (B_z(t_2 < t < t_2 + \gamma \Delta t_{DF})) - \mu (B_z(t_1 - \alpha \Delta t_{DF} < t < t_1))}{\sqrt{\sigma (B_z(t_2 < t < t_2 + \gamma \Delta t_{DF}))^2 + \sigma (B_z(t_1 - \alpha \Delta t_{DF} < t < t_1))^2}} > \eta$$

936

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937 where t_1 is the start time of the potential dipolarization front, t_2 is the end time of the potential 938 dipolarization front, and therefore $\Delta t_{DF} = t_2 - t_1$. The parameters α , γ , and η are determined from 939 optimization, described below. The second test evaluates if the potential dipolarization has sufficient 940 duration:

941

942 943

944

951

where

 $\tau_2 = t (B_z = \tilde{\mu} (B_z (t_1 < t < t_2)); t > t_2) - t_2$

 $\tau_2 > \varepsilon \Delta t_{DF}$

945 and $\tilde{\mu}$ is the median function. The parameter τ_2 reflects the duration of time following the end of the 946 potential dipolarization front that B_z is elevated above the median B_z during the potential front. The 947 parameter ε is determined from optimization. The third test evaluates if the potential dipolarization 948 stands above the preceding magnetic field for sufficient time: 949

950
$$t_1 - t_0 - \tau_2 > \zeta \Delta t_L$$

951 where

$$\int_{t_0}^{t_1} \lambda(t) \, dt = \tau_2$$

953 and

954

955

965

966

 $\lambda(t) = \begin{cases} 1 \text{ for } B_z(t) \ge \tilde{\mu} (B_z(t_1 < t < t_2)) \\ 0 \text{ for } B_z(t) < \tilde{\mu} (B_z(t_1 < t < t_2)) \end{cases}$

956 and ζ is determined from optimization. This third test is similar to the second in that it determines the 957 duration of time before the potential dipolarization front that the magnetic field was below the median 958 level during the potential front, but with the addition that it allows for short intervals of time (relative to 959 the duration of the potential dipolarization) that the field was above the median level. We find that 960 dipolarizations often occur in series with other dipolarizations (e.g., see Figure 7 within Section 3.3 or 961 Figure 2 of Sundberg et al., 2012) and that without allowing for an interval of B_z greater than the median 962 level, many dipolarizations in series would be disgualified. The final test evaluates how the change in B_z 963 across the dipolarization front compares in magnitude to the preceding field: 964

$$\frac{B_z(t_2) - B_z(t_1)}{\mu \left(B_z(t_1 - \zeta \Delta t_{DF} < t < t_1) \right)} > \nu$$

967 where ν is determined by optimization. We experimented with additional tests and tests with different 968 functional forms, and found that these four tests provide the minimum yet sufficient criteria to determine 969 which potential dipolarization fronts indeed correspond to dipolarizations. 970

971 To optimize the six $(\alpha, \gamma, \eta, \varepsilon, \zeta, \text{ and } \nu)$ free parameters, we developed a training set of dipolarizations 972 to determine algorithm performance. We selected, at random, 196 of the 1,946 intervals (~10%) and for 973 each potential dipolarization front within these selected intervals, evaluated by eye whether it 974 corresponds to a dipolarization. The 196 intervals contain 1,775 potential dipolarization fronts, of which 975 623 correspond to dipolarizations and 1,152 do not. By systematically varying the six free parameters, 976 we evaluated algorithm performance on this training set. We follow the optimization technique outlined 977 by Azari et al. (2018), which focuses on the Heidke Skill Score (HSS) for evaluating and optimizing 978 algorithm performance. HSS ranges from $-\infty$ (perfect anti-prediction) to 1 (perfect prediction), with 979 HSS = 0 representing prediction as good as random change. For a discussion of the advantages of using 980 HSS for identification algorithms in space physics, see Azari et al. (2018) and references therein. For

981 our algorithm, maximizing HSS to determine free parameter values led to a large fraction of false 982 positives identified as events. At the maximum HSS (0.806), 13.0% of events identified by the algorithm 983 to be dipolarizations were false positives, and 7.1% of all 1,152 non-dipolarizations were detected as 984 events. We therefore modified the Azari et al. (2018) approach by limiting the maximum fraction of 985 false positives to 5%. Setting this limit, the maximum qualifying HSS is 0.764, corresponding to free 986 parameter values of

987	$\alpha = 1.75$
988	$\gamma = 1.50$
989	$\eta = 1.75$
990	$\varepsilon = 1$
991	$\zeta = 2$
992	$\nu = 0.3$
993	

With these parameters, the rate of dipolarization detection is 73.7%, the rate of non-dipolarizations being detected as events is 2.1%, and the fraction of algorithm-identified events that are false positives is 5.0%. The HSS of 0.76 indicates this algorithm identifies dipolarizations much better than random chance. For comparison, semi-autonomous identification of injection events at Saturn by Azari et al. (2018) has an HSS of 0.56, while space weather models typically have HSS < 0.5 for predicting magnetic perturbations at ground magnetometer stations (Pulkkinen et al., 2013).

1001

1001 Appendix B: Statistical flows from partial FIPS composite velocity space distributions

1003 To estimate ion bulk flows, we follow the procedure developed by Dewey et al. (2018). We refer readers 1004 to that study for technical details of the method and its implementation. Below we present a summary of 1005 the method and expand its capabilities to evaluate flows from velocity space distributions less complete 1006 than those presented in Dewey et al. (2018).

- 1007 1008 FIPS cannot measure complete velocity space distributions at its native time resolution due to limitations 1009 imposed by the MESSENGER spacecraft. The spacecraft is three-axis stabilized so FIPS only observes 1010 $\sim 1.15\pi$ sr of the sky during a single scan. Although the spacecraft does not spin, it does rotate slowly 1011 over the course of its orbit ($\sim 0.04 \text{ deg/s}$) to keep the sunshade pointed sunward and to regulate the 1012 pointing of remote sensing instruments. This rotation is too slow for FIPS to construct complete velocity 1013 space distributions between subsequent scans but it does change the pointing of the FIPS instrument 1014 over time. Constructing a complete velocity space distribution therefore requires combining FIPS scans 1015 from different intervals. Selecting FIPS observations of similar magnetospheric phenomena (e.g., 1016 dipolarizations) allows us to construct a statistical description of velocity space associated with those 1017 events from which we can determine bulk flows.
- 1018

1019 Despite the number of FIPS scans combined to form a composite velocity space distribution, this 1020 distribution will not be complete in velocity space. The center of FIPS's field of view (FOV) is 1021 approximately perpendicular to the spacecraft's sunshade so FIPS cannot observe to within $\sim 20^{\circ}$ of the 1022 spacecraft's sunshade axis. The requirement that the sunshade points sunward therefore prevents FIPS 1023 from observing within ~20° of $\pm X_{MSM}$. When calculating statistical flows, Dewey et al. (2018) mitigate 1024 the effects of missing velocity space regions by comparing the velocity space distribution to that 1025 produced by a software model of the FIPS instrument (Dewey, Raines, & Tracy, 2017). In our study, we 1026 use fewer FIPS scans on average in constructing composite velocity space distributions, and as a 1027 consequence, many of these distributions have larger missing regions than the distributions discussed in 1028 Dewey et al. (2018). Figure B1 provides examples of distributions used in determining statistical flows 1029 for Figure 3. Figure B1a is a nearly complete distribution similar to that of Dewey et al. (2018) while 1030 B1b is less complete. 1031

1032 To determine which velocity components can be reliably determined from these less complete 1033 distributions, we define several parameters that quantify how much of velocity space is observed and 1034 how symmetric that coverage is. First, we define the FOV distribution $\mathcal{F}(\theta, \phi)$. This distribution has 1035 standard spherical coordinates with θ as the zenith angle and ϕ as the azimuth angle. The value of 1036 $\mathcal{F}(\theta, \phi)$ is binary: $\mathcal{F}(\theta, \phi) = 1$ for velocity space directions observed by one or more FIPS scans and 1037 $\mathcal{F}(\theta, \phi) = 0$ for unobserved directions. We use $\mathcal{F}(\theta, \phi)$ to define the normalized effective steradians Ω_i 1038 that can contribute to calculating each velocity component:

1039

$$\Omega_i = \frac{1}{2\pi} \int_{0}^{2\pi} \int_{0}^{\pi} \mathcal{F}(\theta, \phi) |J_i(\theta, \phi)| \sin \theta \ d\theta d\phi$$

1041

1040

1042 where *i* is a direction (e.g., X_{MSM}) and $J_i(\theta, \phi)$ is the expression of unit vector \hat{i} in spherical coordinates 1043 (e.g., $J_x(\theta, \phi) = \sin \theta \cos \phi$). The parameter Ω_i has the range [0, 1] and communicates the weight of the 1044 missing regions in determining the velocity component V_i . If $\Omega_i \sim 1$ then any unobserved directions in 1045 the composite distribution have little or no effect in determining V_i . Conversely, $\Omega_i \sim 0$ indicates that 1046 there is little or no information available to determine V_i . It is worth noting that Ω_i of different velocity 1047 components are not independent; $\Omega_x \equiv 1$ requires both $\Omega_y = 1$ and $\Omega_z = 1$. For the distribution in Figure B1a, $\Omega_x = 0.84$, $\Omega_y = 0.96$, and $\Omega_z = 0.98$, i.e., the distribution is practically complete along Y_{MSM'} and Z_{MSM'} with the missing regions mostly affecting X_{MSM'}. Figure B1b is less complete in coverage and has $\Omega_x = 0.44$, $\Omega_y = 0.60$, and $\Omega_z = 0.59$. Finally, we define the symmetry ratio Φ_i : 1051

1052
$$\Phi_i = \frac{1 - |\omega_i|}{1 + |\omega_i|}$$

1053 where

$$\omega_i = \frac{1}{2\pi\Omega_i} \int_{0}^{2\pi} \int_{0}^{\pi} \mathcal{F}(\theta, \phi) J_i(\theta, \phi) \sin\theta \ d\theta d\phi$$

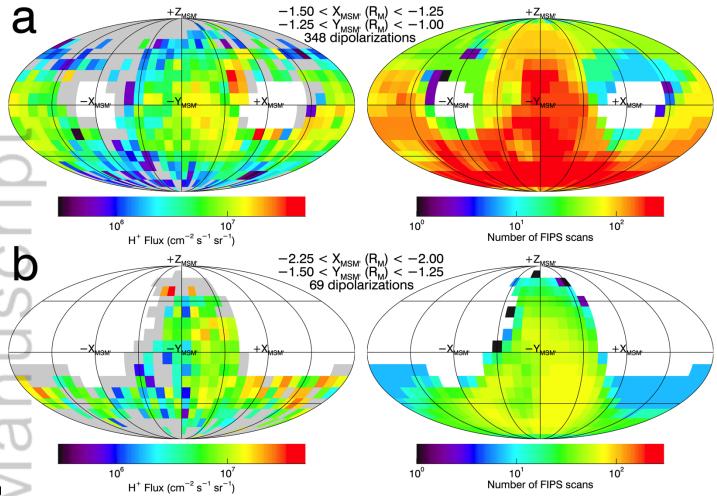
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1056 is the normalized difference in effective steradians between the $\pm i$ directions. The parameter Φ_i has the 1057 range $[(2\Omega_i - 1) > 0, 1]$ and communicates the relative symmetry of Ω_i between the $\pm i$ directions. In 1058 other words, $\Phi_i = 1$ indicates that there is no asymmetry in the observed portions of the distribution 1059 between +*i* and -*i*, while $\Phi_i = 0$ indicates that all observed portions of the distribution are in one 1060 hemisphere (e.g., only observations of +i and none of -i). A $\Phi_i = 0.5$ value indicates that one 1061 hemisphere (e.g., +i) has twice the observed velocity space contributing to determining V_i than the other hemisphere (-*i*). Figure B1a has $\Phi_x = 0.91$, $\Phi_y = 0.98$, and $\Phi_z = 1.00$, while Figure B1b has $\Phi_x =$ 1062 1063 0.85, $\Phi_y = 0.41$, and $\Phi_z = 0.25$. In other words, while there is little or no bias along any direction in 1064 Figure B1a, there is substantial asymmetry along $\pm Y_{MSM}$ and $\pm Z_{MSM}$ in Figure B1b. Used together, Ω_i 1065 and Φ_i indicate how *complete* and *unbiased*, respectively, the velocity space distribution is for 1066 determining V_i . 1067

1068 We use the FIPS software model to set thresholds on Ω_i and Φ_i for calculating and displaying velocity 1069 components (e.g., Figure 3). With a set of input plasma moments, we use the software model to generate 1070 a complete velocity space distribution to which we apply missing angular regions and calculate resulting 1071 plasma moments. We generate 12.4 million unique combinations of plasma moments and velocity space 1072 coverage to determine how the coverage affects determination of plasma moments. To keep root-mean-1073 squared errors in V_i less than either 25% or 25 km/s (whichever is greater for a given set of plasma 1074 moments) requires $\Omega_i > 0.4$ and $\Phi_i > 0.7$. Above these thresholds, errors in proton density are less than 8% or 0.1 cm⁻³ and errors in proton temperature are less than 20% or 2 MK. We implement these 1075 1076 thresholds in calculating and displaying statistical plasma flows in Figure 3. If either Ω_i or Φ_i for a given flow component is below its threshold, we do not display that flow component in Figure 3 (i.e., it is 1077 1078 displayed as a grey bin). If both Ω_i and Φ_i are above their thresholds, then we display the flow 1079 component and incorporate the uncertainty from the missing velocity space regions with the statistical 1080 and systematic uncertainties already prescribed by Dewey et al. (2018). For the example distributions in 1081 Figure B1, we calculate and display all three flow components from the distribution in Figure B1a, but 1082 only calculate and display the V_x component in Figure B1b.

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Figure B1. Composite velocity space distributions from FIPS measurements of dipolarizations. (left column) Proton flux maps, where white are unobserved regions and grey are observed regions that have no measured counts. (right column) Number of FIPS scans that contribute to constructing the proton flux maps for each direction in velocity space. White indicates that no scans observe that direction. The text between the distributions indicates which location of Figure 3 these distributions correspond to as well as the number of dipolarizations used to construct the composite distributions.

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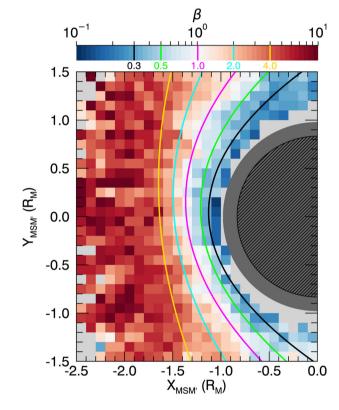
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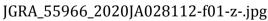
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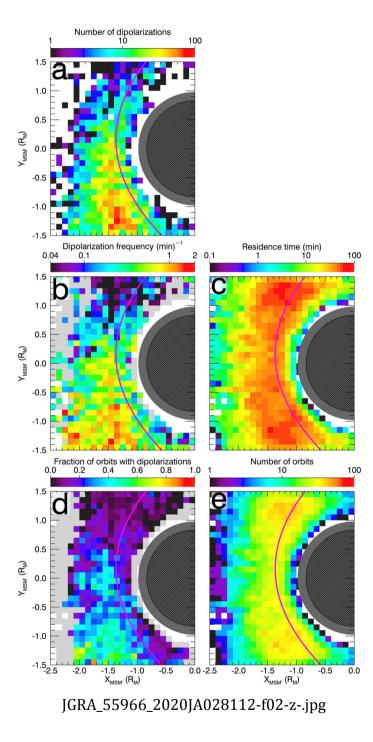
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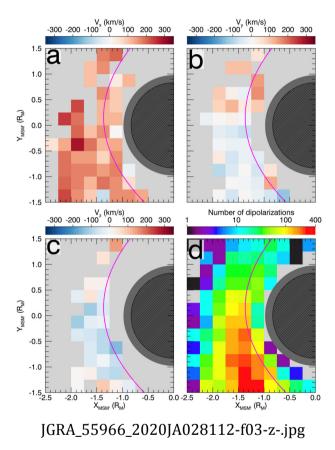
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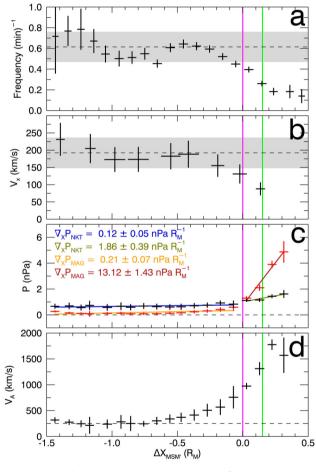
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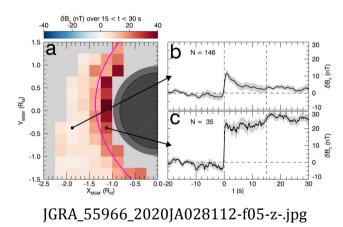






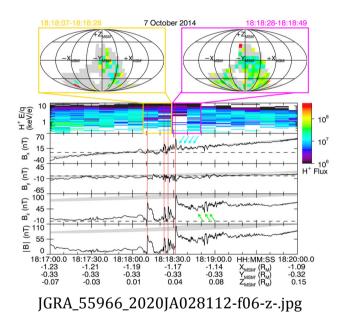


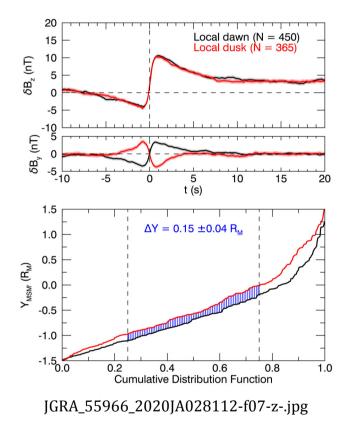
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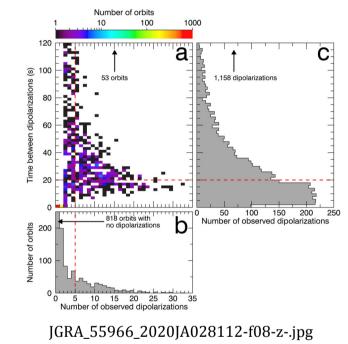




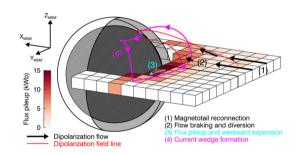
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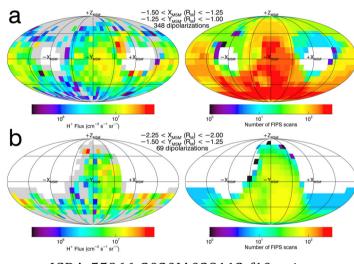








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