

# **Riverscape properties contribute to the origin and structure of a hybrid zone in a Neotropical freshwater fish**

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### Abstract

Understanding the structure of hybrid zones provides valuable insights about species boundaries and speciation, such as the evolution of barriers to gene flow and the strength of selection. In river networks, studying evolutionary processes in hybrid zones can be especially challenging, given the influence of past and current river properties along with biological species-specific traits. Here, we suggest that a natural hybrid zone between two divergent lineages of the sexually dimorphic Neotropical fish *Nematocharax venustus* was probably established by secondary contact as a result of a river capture event between the Contas and Pardo river basins. This putative river capture is supported by hydrogeological evidence of elbows of capture, wind gaps, and geological faults. The morphological (color pattern) and genetic (mtDNA and RADseq) variation reveal a clinal transition between parental lineages along the main river, with predominance of F2 hybrids at the centre of the hybrid zone, absence of early generation backcrosses, and different levels of hybridization in the tributaries. We highlight that different sources of information are crucial for understanding how the riverscape spatial history influences the connectivity between and within rivers systems and, consequently, the dynamics of gene flow between freshwater lineages/species.

**Keywords:** Characidae, cline analysis, hybridization, RADseq, river capture, secondary contact

32

## 33 1. Introduction

34

35 As windows to the evolutionary consequences of genetic exchange between divergent  
36 lineages, hybrid zones can provide useful insights about the forces involved in the speciation  
37 process, such as the evolution of barriers to gene flow and the strength of selection (Hewitt,  
38 1988; Gompert, Mandeville, & Buerkle, 2017). These zones can arise under a variety of  
39 scenarios, ranging from those originating within a continuous population in response to  
40 environmental differences or, more commonly, after secondary contact between formerly  
41 allopatric populations (Hewitt, 1988). A focus on the origin and structure of a hybrid zone  
42 forms the central framework for understanding the consequences of hybridization, including  
43 processes related to: (1) reinforcement of reproductive barriers; (2) introgression and fusion  
44 between parental groups; or (3) stability of the hybrid zone (Albert, Jónsson, & Bernatchez,  
45 2006; Stewart, Hudson, & Loughheed, 2017).

46 Most hybrid zones consist of clines (i.e., gradients of variation) maintained by a  
47 balance between selection against hybrids within the zone and dispersal of parental  
48 individuals into the zone, hence the term “tension zone” (Barton & Hewitt, 1985). However,  
49 there are other theoretical models (e.g. bounded hybrid superiority and mosaic models) that  
50 seek to explain how these zones are maintained (see Arnold, 1997). The bounded hybrid  
51 superiority model is characterized by a smooth transition between parental groups throughout  
52 the hybrid zone, in which hybrids can exhibit equivalent or higher fitness compared to  
53 parental individuals in intermediate habitats (Moore, 1977). Mosaic hybrid zones, in turn,  
54 correspond to parental individuals adapted to different environments that are patchily  
55 distributed, and hybrid individuals occurring on the boundaries or in intermediate habitats  
56 (Harrison & Rand, 1989). Under these circumstances, endogenous selection (e.g. genetic  
57 incompatibilities) may act against hybrids and exogenous selection (e.g. environmental  
58 heterogeneity) may favor hybrids in the hybrid zone (De La Torre, Wang, Jaquish, & Aitken,  
59 2014). Thus, each model encompasses a particular condition depending on how selection acts  
60 on parental and hybrid individuals, even though some hybrid zones can be represented by a  
61 mixture of models (Curry, 2015).

62 In river systems, applying such models to understand the structure of hybrid zones is  
63 particularly challenging because river networks are organized into a spatial hierarchy with  
64 downstream flow that makes equal (isotropic) dispersal in all directions unlikely (Hughes,  
65 2007; Fullerton et al., 2010). In this sense, architectural and functional particularities of river

66 systems should be considered in evolutionary analyses of obligate freshwater organisms  
67 because these physical characteristics interact with the way organisms disperse through space  
68 thereby influencing both diversity within populations and differentiation among populations  
69 (Chaput-Bardy, Fleurant, Lemaire, & Secondi, 2009; Thomaz, Christie, & Knowles, 2016).  
70 For instance, although hybridization occurs widely in freshwater species, especially fishes  
71 (Scribner, Page, & Bartron, 2000), the position of populations within a river network and the  
72 geomorphological features within and between basins can affect the connectivity among  
73 populations and, consequently, the spatial distribution of genetic and/or phenotypic variation  
74 (Hughes, Schmidt, & Finn, 2009; Duvernell & Schaefer, 2014; Mandeville et al., 2017).

75 Here we analyze a combination of phenotypic and genomic data, providing an ideal  
76 opportunity for investigating the complexity of natural hybrid zones in riverscapes.  
77 Specifically, we focus on the sexually dimorphic characid fish *Nematocharax venustus*  
78 Weitzman, Menezes, & Britski, whose males have elongated rays in their dorsal, pelvic, and  
79 anal fins. The phenotypic and genetic variation found in this group shows a contact zone  
80 between lineages in drainages of the Gongogi River sub-basin, in the Contas River basin (Fig.  
81 1), Northeastern Brazil. The sympatry of the two divergent mitochondrial DNA (mtDNA)  
82 lineages was first detected in the Cambiriba Stream, a tributary of the Gongogi River (Barreto  
83 et al., 2016). Interestingly, a new putative species (*N. costai*) was also described for the same  
84 locality (Bragança, Barbosa, & Mattos, 2013), although it was later synonymized with its only  
85 congener at the time (*N. venustus*) in a subsequent taxonomic treatment (Menezes, Zanata, &  
86 Camelier, 2015). The synonymization was justified by the authors because the species shared  
87 overlapped phenotypic features, including secondary sexual traits (Menezes et al., 2015). In  
88 sympatry, differences in secondary sexual traits can determine the evolution of reproductive  
89 barriers, given the direct link between these characters and processes such as species  
90 recognition and mate choice (Questiau, 1999). Therefore, without considering the dynamics  
91 of speciation in this putative hybrid zone, the taxonomic issue surrounding *N. venustus* and *N.*  
92 *costai* may reflect an incomplete assessment of the phenotypic geographic variation in  
93 *Nematocharax*.

94 With extended sampling efforts along the Gongogi River sub-basin, we quantify the  
95 spatial structure of this hybrid zone. Specifically, we (i) evaluate the extent of hybridization  
96 between the divergent populations, (ii) characterize how morphological (color pattern) and  
97 genetic variation is structured across the hybrid zone, including genomic data based on  
98 RADseq, (iii) verify whether there is coincidence and concordance among clines for the  
99 different datasets, and (iv) indirectly infer the strength of selection in the maintenance of this

100 hybrid zone based on cline analyses. We combined these results with geological and  
101 geomorphological data to infer the potential factors responsible for the origin and structure of  
102 this freshwater fish hybrid zone.

103

## 104 **2. Materials and Methods**

105

### 106 **2.1. Sampling**

107

108 We collected 193 individuals of *Nematocharax venustus* comprising the two  
109 previously identified divergent mtDNA lineages (Barreto et al., 2016; Barreto, 2019),  
110 hereafter called Northern and Southern lineages, in 14 locations along the Gongogi River sub-  
111 basin (Contas River basin); our sampling included the Cambiriba Stream (where the contact  
112 zone was first identified), the main river (Gongogi), and four other nearby tributaries (Fig. 1).  
113 All individuals were photographed alive in the field under standard conditions (see Fig. 2) to  
114 register their color pattern. A small fragment of muscle tissue was removed and preserved in  
115 absolute ethanol at -20°C in the lab and the specimens were deposited in the ichthyological  
116 collection of the Universidade Federal da Bahia (UFBA), Brazil (Table S1 – Supporting  
117 Information). Collections were authorized by the Instituto Chico Mendes de Conservação da  
118 Biodiversidade (ICMBio/SISBIO; license number 51856–2), and the euthanasia and  
119 experimental procedures were approved by the Ethics Committee of Utilization of Animals  
120 from the Universidade Estadual do Sudoeste da Bahia (CEUA/UESB, number 71/2014).

121 Twenty individuals of *Nematocharax venustus* previously collected by Barreto et al.  
122 (2016) from two locations (sites 1 and 10 on the map in Fig. 1) were included in our study,  
123 consisting of cytochrome c oxidase subunit I (COI) sequences and photographs taken in the  
124 field. COI sequences are available in BOLD (Barcode of Life Data Systems;  
125 <http://www.boldsystems.org/>) under accession numbers PIABA028-14 to PIABA035-14 and  
126 PIABA050-14 to PIABA061-14.

127

### 128 **2.2. DNA extraction and sequencing**

129

130 Total DNA was extracted from all collected individuals using the Wizard Genomic  
131 DNA Purification kit (Promega, Madison, WI, USA). To identify the mtDNA lineage of each  
132 individual, a 650 base-pair (bp) fragment of the COI gene was amplified and sequenced with  
133 the primers FishF2\_t1 and FishR2\_t1 (Ward et al., 2005) following Barreto et al. (2016), with

134 approximately 15 samples per location. Sequencing was performed at the Gonçalo Moniz  
135 Research Center (FIOCRUZ-Bahia) using the BigDyeTerminator v3.1 Cycle Sequencing  
136 Ready Reaction kit (Applied Biosystems, Foster City, CA, USA). The COI gene was selected  
137 as an appropriate molecular marker due to its general utility for studying population-level  
138 phenomena in fish (e.g. Thomaz, Malabarba, Bonatto, & Knowles, 2015; Lima et al., 2017;  
139 Cunha et al., 2019), its previously proven ability to reveal sympatric divergence in  
140 *Nematocharax* (Barreto et al., 2016), and the availability of published sequences from  
141 populations analyzed here.

142 A subset of 55 samples of the sequenced individuals was selected for the restriction  
143 site-associated DNA sequencing (RADseq), with 2-5 individuals per location. Whenever  
144 possible, this selection included males and females for each location and individuals from  
145 both mtDNA lineages in sites of sympatry. Locations from the main river course (sites 1, 4, 6,  
146 7, 11, and 14; see Fig. 1), which correspond to the transect of the cline analyses, represented  
147 the largest sample sizes (i.e., five each). We generated the ezRAD libraries according to  
148 Toonen et al. (2013) and Knapp et al. (2016), extracting DNA using the DNeasy Blood and  
149 Tissue kit (Qiagen, Hilden, Germany), digesting the DNA with the restriction endonuclease  
150 DpnII (New England Biolabs, Ipswich, MA, USA), and preparing libraries using the Illumina  
151 TruSeq Nano kit (Illumina, San Diego, CA, USA), selecting fragments between 150 and 350  
152 bp. Quantitative and qualitative validation of libraries was carried out using Qubit dsDNA BR  
153 (Broad Range) Assay kit (Thermo Fisher Scientific, Waltham, MA, USA) and Agilent 2100  
154 Bioanalyzer (Agilent Technologies, Santa Clara, CA, USA), respectively. Paired-end  
155 sequencing of fragments (2x75 bp) was performed using the Illumina NextSeq 550 System  
156 with two Mid Output v2 kits (150 cycles) (Illumina, San Diego, CA, USA) at the Genome  
157 Investigation and Analysis Laboratory (GENIAL) core facility (CEFAP-USP, São Paulo,  
158 Brazil).

### 160 **2.3. Sequence processing**

161  
162 Consensus COI sequences were obtained by comparing forward and reverse  
163 electropherograms in the software CodonCode Aligner 7.1.1 (CodonCode Corporation) and  
164 aligned using the ClustalW Multiple Alignment tool (Thompson, Higgins, & Gibson, 1994) in  
165 BioEdit 7.2.6.1 (Hall, 1999). All new COI sequences generated as part of this study are  
166 deposited in GenBank (accession numbers MN011189-MN011202 and MN011364-  
167 MN011542).

168 For the RADseq data, reads of each individual were demultiplexed using bcl2fastq  
169 1.8.4 (Illumina; <http://support.illumina.com/downloads.html>). The toolbox ipyrad 0.7.28  
170 (Eaton, 2014; <http://ipyrad.readthedocs.io>) was used to process the genomic sequences; details  
171 regarding read filtering, clustering within samples, joint estimation of heterozygosity and  
172 error rate, consensus base calls, and clustering across samples are given in the Supporting  
173 Information (Table S2). We used a de novo assembly method to filter data with reads trimmed  
174 15 bp from each 3' edge to reduce low quality bases, retaining all loci with less than 30%  
175 missing data.

176

#### 177 **2.4. Coloration data acquisition**

178

179 The coloration of both the pelvic-fin filament and the horizontal mark on the caudal  
180 peduncle represents the main differences found in individuals of *Nematocharax venustus* from  
181 the Gongogi River sub-basin (Bragança et al., 2013; Menezes et al., 2015). We quantified the  
182 variation in these two characters, as well as in two other color-based characters that exhibited  
183 noticeable variation in field-caught individuals (both males and females), specifically the  
184 color of pectoral fins and a mark in the eye (see Fig. 2). However, only the marks on the  
185 caudal peduncle and in the eye showed distinctive color patterns with high frequencies in  
186 parental populations ( $\geq 0.8$  in one parental population and  $\leq 0.2$  in the other), thus being useful  
187 as diagnostic traits for the hybrid zone analysis.

188 Variation in the red mark in the eye was described as five categories based on its  
189 intensity, ranging from intense to absent; a similar approach was applied to the pink mark on  
190 the caudal peduncle, which ranged from dark pink to lack of pink (see Fig. 2). The color  
191 assignment into categories was visually performed by the same observer from photographs  
192 taken in the field for all specimens. These data were used to estimate geographic clines across  
193 the hybrid zone (described below).

194

#### 195 **2.5. Hybrid zone analyses**

196

197 Relationships among mtDNA haplotypes were evaluated using a median-joining  
198 network built with the COI sequences in PopART (Leigh & Bryant, 2015). We identified the  
199 mtDNA lineage (Northern or Southern) of each individual and, based on this information, we  
200 mapped the locations of sympatry and allopatry of mitochondrial lineages.



201 Structure in the RADseq data was estimated using the variational Bayesian framework  
202 implemented in fastSTRUCTURE (Raj, Stephens, & Pritchard, 2014). We tested the number  
203 of clusters (K) from 1 to 5 with 10 independent runs each and using the default prior. The  
204 algorithm ‘chooseK.py’ was run to choose the appropriate number of model components  
205 explaining the structure in the dataset.

206 To estimate the posterior probability (pp) that each individual belongs to a distinct  
207 hybrid class (i.e., pure1, pure2, F1, F2, BC1, and BC2; Anderson & Thompson, 2002), we  
208 used the R package parallelnewhybrid (Wringe et al., 2017a) to run NewHybrids in parallel  
209 with a burn-in of 50,000 and 100,000 sweeps. This analysis was performed with a panel of the  
210 200 most informative SNPs (based on global Weir and Cockerham (1984)’s FST) generated  
211 by the R package hybriddetective (Wringe et al., 2017b). The z option in NewHybrids was set  
212 as prior information for individuals from parental populations (i.e., locations 1 and 14 for the  
213 Southern and Northern lineages, respectively). We confirmed the convergence of the Markov  
214 Chain Monte Carlo (MCMC) chains using the hybriddetective.

215 Measures of genomic admixture within individuals were also obtained from the R  
216 package gghybrid (Bailey, 2018) by calculating a hybrid index that estimates the proportion  
217 of alleles that were inherited from one of the two parental groups (Anderson, 1949; Buerkle,  
218 2005). To ensure that we only capture loci that show significant variation along the hybrid  
219 zone, we set the gghybrid parameters ‘max.S.MAF’ and ‘min.diff’ to 0.2 and 0.6,  
220 respectively. This implies that we only kept loci for which allele frequencies were no greater  
221 than 0.2 in one parental set and not lower than 0.8 in the other, and for which the difference in  
222 allele frequency between parental sets was greater than 0.6; parental populations correspond  
223 to sites 14 and 1 on the map in Fig. 1 for the Northern and Southern lineages, respectively.

224 To test how genetic and morphological characters vary along the Gongogi River, we  
225 used HZAR (hybrid zone analysis for R), an R package that provides functions for fitting the  
226 traits to equilibrium geographic cline models (Szymura & Barton, 1986; Gay, Crochet, Bell,  
227 & Lenormand, 2008) and allows cline parameters to be estimated using the Metropolis-  
228 Hastings algorithm (Derryberry, Derryberry, Maley, & Brumfield, 2014). We fit a  
229 combination of equations (15 models) that describe the shape of each cline as defined by  
230 Derryberry et al. (2014) following Szymura & Barton (1986, 1991), with a sigmoidal curve at  
231 the centre and two exponential decay curves (i.e., tails) on either side. The fit of the 15 models  
232 were compared to a null model with no clinal transition using Akaike Information Criterion  
233 (AIC) corrected for small sample size (AICc). The maximum likelihood parameters were  
234 extracted from the best-fitting model (i.e., the model with the lowest AICc score). Clinal

235 coincidence (same centre,  $c$ , measured in km from the sampling location 1) and concordance  
236 (same width,  $w$ , measured as  $1/\text{maximum slope}$ ) were evaluated based on the confidence  
237 intervals for each trait and SNP. The mtDNA cline was also modeled along the Gongogi  
238 River by using a site of the COI segregating between parental lineages.

239 We used the ancestry coefficients ( $q$ ) estimated in fastSTRUCTURE considering the  
240 optimal value of  $K$  (i.e.,  $K = 2$ , see Results) to estimate the cline that represents all genomic  
241 loci combined. The genomic loci were also analyzed separately based on two filters: (1)  
242 exclusion of mtDNA loci after sequence similarity analysis with the BLAST tool in the NCBI  
243 database (<http://www.ncbi.nlm.nih.gov/BLAST/>) and (2) taking into account allele  
244 frequencies present in at least two individuals per location and partially diagnostic loci (i.e.,  
245 those with frequency differences  $\geq 0.6$  between parental populations). This procedure resulted  
246 in a final dataset of 99 putatively unlinked SNPs (i.e., one SNP per locus) from which we  
247 estimated cline parameter values independently. This subsampling was made to strictly  
248 analyze diagnostic SNPs (i.e., those that are distinct between parental populations) and to  
249 avoid estimates of allele frequency using less than four alleles per locus per location, thus  
250 reducing potential biases related to high amounts of missing data.

251 The hybrid zone transect was established by considering only the locations from the  
252 main river course (sites 1, 4, 6, 7, 11, and 14; see Fig. 1) because HZAR requires data  
253 collected along one-dimensional transects, with minimal variation perpendicular to the cline  
254 (Derryberry et al., 2014). MCMC sampling was run considering the length of this transect  
255 along the river course (63 km, including its bends) and using three independent chains with  
256  $1.0 \times 10^6$  generations for each model.

257

## 258 **2.6. Geomorphological inference**

259

260 Considering that the Southern lineage is also known to occur in a watershed adjacent  
261 to the Contas River basin (Barreto, 2019), we used GIS techniques to infer putative  
262 topographic changes that may have affected the surveyed area over time. We focused on  
263 investigating the occurrence of drainage rearrangements (i.e., river captures) between the  
264 Gongogi River sub-basin and neighboring basins. River captures correspond to the natural  
265 diversion of waters from one river to another adjacent one, which connects and isolates  
266 drainages and, consequently, aquatic populations (Bishop, 1995; Albert, Craig, Tagliacollo, &  
267 Petry, 2018). Thus, to infer such captures we used the following data sources: (1) the  
268 Continuous Cartographic Base of the Brazilian hydrography at 1:250,000-scale (DGC, 2017)

269 to visualize the current configuration of rivers and detect elbows of capture (abrupt changes in  
270 the river course at the point of capture; Bishop, 1995); (2) SRTM 90m Digital Elevation Data  
271 (Jarvis et al., 2008) to detect putative wind gaps (dry areas that correspond to ancient river  
272 beds; Ollier & Pain, 2000); and (3) the SD.24 Salvador sheet (DGC, 2016) to identify the  
273 presence and location of geological faults (areas subjected to tectonic reactivations; de  
274 Oliveira, 2010). All these data were analyzed using the QGIS 3.4.1 software (QGIS  
275 Development Team, 2019).

276

### 277 **3. Results**

278

#### 279 **3.1. Population structure**

280 Two divergent mtDNA lineages were identified from the haplotype network for the  
281 COI gene in *Nematocharax venustus*, separated by 24 mutational steps (4.1% of divergence)  
282 (Fig. S1 – Supporting Information). The Northern lineage includes a larger number of  
283 individuals ( $N = 164$ ) and a broader distribution in the sampled area. Population assignment  
284 indicated sympatry of mtDNA lineages in six locations including the main river (Gongogi  
285 River) and a single tributary (Cambiriba Stream). Moreover, we found a frequency gradient  
286 for the two lineages along the main river, with the Northern and Southern lineages  
287 predominating at opposite stretches of the Gongogi River and co-occurring near the  
288 confluence with the Cambiriba Stream (Figs. 1-2).

289 Regarding the RADseq data, we obtained a total of 133.8 M raw reads (1.3-4.3 M per  
290 sample) which were filtered into 129.5 M reads encompassing 1,141 loci and 4,226 SNPs (see  
291 Table S3 for a final summary of the statistics provided by ipyrad). FastSTRUCTURE results  
292 indicated that  $K = 2$  best fit the data, with clusters (taxa) clearly corresponding to the two  
293 mtDNA lineages. The analysis showed individuals of mixed ancestry (Fig. 3A), particularly  
294 in locations 6-13, whereas significant admixture was absent in the locations assumed as  
295 parental (1 and 14 for the Southern and Northern lineages, respectively).

296 The NewHybrids analysis assigned nine, 12, and 33 individuals to the pure 1, pure 2,  
297 and F2 categories, respectively (Fig. 3B), with high posterior probabilities ( $>0.99$  pp) for all  
298 samples except one (sample code 0022, location 4), which had pp values equal to 0.4 and 0.6  
299 for the pure 1 and F2 categories, respectively. Geographically, samples classified as pure 1  
300 were mostly detected in locations 1 and 4, whereas pure 2 individuals were found in locations  
301 14, 5, and 3; F2 offspring were shown to occur in locations 2 and 6-13, particularly near the

302 confluence with the Cambiriba Stream. No evidence of F1 hybrids or early generation  
303 backcrosses was detected.

304 The hybrid index estimated with gghybrid varied from zero to one, ranging from pure  
305 individuals of the Southern lineage to pure individuals of the Northern lineage and following  
306 an almost linear transect of hybridization along the main river (Fig. 4). Note that the  
307 tributaries were not considered in the clinal analyses, especially those associated with  
308 locations 2, 3, and 5, also because of their geographic disjunction (i.e., they are  
309 geographically closer to location 1, where the Southern lineage predominates, but are  
310 genetically similar to location 14, where the Northern lineage predominates).

311

### 312 **3.2. Hybrid zone dynamics**

313

314 According to the AICc calculated by HZAR, the best-fit model for the color of the  
315 mark on the caudal peduncle was model IV (which sets trait interval [pMin and pMax] to  
316 observed values with two exponential tails mirrored on the cline centre; Fig. 5A), and model I  
317 (pMin and pMax set to observed values with no exponential tails fitted) had the lowest AICc  
318 score for both the mark in the eye (Fig. 5B) and the mtDNA (Fig. 5C). Cline centre and width  
319 for these traits were: caudal peduncle  $c = 33.72$  (27.46–43.40) km and  $w = 33.18$  (18.44–  
320 50.58) km; eye  $c = 40.23$  (35.88–45.27) km and  $w = 20.36$  (11.70–32.51) km; and mtDNA  $c$   
321  $= 28.60$  (24.21–33.22) km and  $w = 24.65$  (16.34–39.17) km (Fig. 5A-C). These values place  
322 the cline centre for the three traits around location 7, in the confluence area with the  
323 Cambiriba Stream (Fig. 1), with the narrowest cline observed for the eye color trait, followed  
324 by the mtDNA. The other two morphological traits (color of the pectoral fin and color of the  
325 tip of the pelvic fin) were not informative because they showed no clinal variation across the  
326 hybrid zone (data not shown).

327 Considering the cline estimated from the ancestry coefficients ( $q$ ) inferred by  
328 fastSTRUCTURE, AICc score in HZAR indicated model I as the best-fit model, which  
329 recovered a smoother and wider cline compared to the mtDNA and color traits, with centre  
330 estimated at 35.55 (28.43–43.98) km (near location 7) and width of 40.13 (25.30–70.28) km  
331 (Fig. 5D). The geographic cline analysis for the 99 RADseq loci revealed smooth clines for  
332 most of them, but 10 loci showed non-concordant abrupt changes in frequency consistent with  
333 stepped clines along the transect (Fig. S2 – Supporting Information). Overall, we found broad  
334 confidence intervals for cline widths and, consequently, extensive overlap among them

335 (details on cline centre and width values for each SNP are shown in Table S4 – Supporting  
336 Information).

337

### 338 **3.3. Riverscape evolution**

339

340 Based on the investigation of geological and geomorphological data around the hybrid  
341 zone, we found evidence of topographical changes in the studied area, specifically in the  
342 headwaters of the Gongogi River sub-basin (Fig. 6). These changes suggest a past connection  
343 between the Contas and Pardo river basins through a river capture event. It is important to  
344 notice that the Pardo River basin is a separate but adjacent basin where populations belonging  
345 to the Southern lineage of *Nematocharax* also occur (Barreto, 2019). Thus, the drainage  
346 rearrangement may have allowed the dispersal of the Southern lineage from the Pardo to the  
347 Contas river basin. The putative river capture between these adjacent watersheds was inferred  
348 from three sources of evidence: (1) presence of drainages with abrupt changes in the flow  
349 direction (elbows of capture); (2) wind gaps at the boundary between river basins; and (3) two  
350 geological faults near the capture area (Fig. 6).

351

## 352 **4. Discussion**

353 Our analyses based on genomic data and color traits support the occurrence of  
354 hybridization between lineages of *Nematocharax* that clearly correspond to two divergent  
355 gene pools, with clinal transition between parental forms across the Gongogi River and  
356 different levels of hybridization in the tributaries (Figs. 1 and 3). Our findings also help  
357 elucidate the origin of this hybrid zone, given the geological and geomorphological evidence  
358 of a river capture event that probably caused secondary contact in that stretch of the Contas  
359 River basin (Fig. 6).

360 Although population genomics studies with freshwater fishes have provided valuable  
361 insights into the ecological and evolutionary contexts of hybridization (e.g. McKelvey et al.,  
362 2016; Mandeville et al., 2017; Sotola et al., 2019), investigations on the influence of past and  
363 current river properties, especially using geomorphological data, are still scarce. In addition to  
364 inferences about the origin and structure of the hybrid zone in *Nematocharax*, we discuss the  
365 taxonomic implications of these results, including what our genomic data suggest about  
366 species boundaries in this fish genus.

367

### 368 **4.1. Origin of the hybrid zone**

369

370 Distinguishing between differentiation in a continuous population as a direct response  
371 to the environment vs. divergence in allopatry followed by secondary contact is not an easy  
372 task when determining the origin of a hybrid zone (Harrison & Larson, 2016). In this study,  
373 the evidence points to a scenario of secondary contact and interbreeding between lineages of  
374 *N. venustus* that diverged in allopatry, forming a hybrid zone around the Cambiriba Stream, in  
375 the Gongogi River sub-basin. The most likely explanation is that the current configuration of  
376 the rivers was not stable over time, with the Southern lineage reaching the Contas River basin  
377 (where the Northern lineage was already present) via dispersal allowed by a river capture  
378 (Fig. 6). Our geomorphological data show typical evidence of this type of event, such as  
379 elbows of capture, wind gaps, and geological faults (de Oliveira, 2010), thus providing a  
380 potential route for dispersal of the Southern lineage from the Pardo to the Contas river basin.  
381 This hypothesis is reinforced by the sharing of the same mtDNA haplotype of the Southern  
382 lineage between both river basins and by evidence of demographic expansion for the Southern  
383 lineage when including populations outside the Contas River basin (Fig. 6; Barreto et al., in  
384 prep.).

385 Phylogeographic analyses encompassing lineages of *Nematocharax* throughout the  
386 entire distribution of the genus and using both COI sequences and RADseq data show that the  
387 Northern and Southern lineages are not sister groups (Barreto et al., in prep.). According to  
388 the COI, the time to the most recent common ancestor (TMRCA) is 0.48 (0.27-0.62) Mya for  
389 the Northern lineage and 0.43 (0.31-0.67) Mya for the Southern lineage. This period  
390 corresponds to the Pleistocene, during which tectonic reactivations of geological faults caused  
391 several topographic changes in coastal drainages of eastern Brazil, including drainage  
392 rearrangements (Saadi et al., 2002; Ribeiro, 2006), which may have promoted secondary  
393 contact between aquatic lineages.

394 Intriguingly, the hybrid zone analyzed here includes the type locality (site 10 on the  
395 map; Fig. 1) of a putative new species of *Nematocharax* (*N. costai*) that was synonymized  
396 with *N. venustus* (Bragança et al., 2013; Menezes et al., 2015). Despite the clear  
397 differentiation between the Northern and Southern lineages in mtDNA, RADseq, and color  
398 pattern, our data do not support *N. costai* in the way it was described by Bragança et al.  
399 (2013) based on morphological and morphometric data from five specimens that our analyses  
400 show to occur in an area with a high frequency of hybrids (site 10; Fig. 1). As such, our work  
401 corroborates the synonymization of *N. costai* and *N. venustus* (see Menezes et al., 2015), but

402 highlights the need for a taxonomically focused study on what is currently recognized as a  
403 single taxon, *N. venustus*.

404

#### 405 **4.2. Dynamics of the hybrid zone**

406

407 Geographic clines allow verification of how the frequency of alleles or phenotypes  
408 vary along a transect, where smooth transitions suggest weak selection and less effective  
409 reproductive barriers, whereas stepped clines indicate strong selective pressures and  
410 substantial barriers to gene flow between lineages (Barton & Gale, 1993). Indeed, the clines  
411 estimated from the ancestry coefficients (Fig. 5D) and for most individual RADseq loci (Fig.  
412 S2 – Supporting Information) are more gradual and largely concordant, indicating that the  
413 strength of selection is probably weak and uniform across these loci. The presence of a few  
414 abruptly stepped clines (Fig. S2 – Supporting Information) along the hybrid zone, in turn,  
415 could indicate that some loci are in regions of strong selection, which may be preventing  
416 genetic homogenization between parental groups (Feder et al., 2013). These results exemplify  
417 the “semi-permeable” nature of hybrid zones, that is, the idea that gene flow and reproductive  
418 isolation can be thought of in terms of genomic regions rather than entire genomes  
419 (Kawakami & Butlin, 2012; Harrison & Larson, 2014).

420 Regarding the color traits (Figs. 2 and 5A, B), it is possible that the differences  
421 between parental lineages have diverged before the secondary contact. Thus, the presence of  
422 individuals with mixed color patterns (Fig. 2ii) at the centre of the hybrid zone would be a  
423 clear evidence of hybridization. However, because the abundance of F2 hybrids and absence  
424 of F1 offspring and early generation backcrosses, we may have detected a hybrid lineage that  
425 is becoming reproductively independent from the parental lineages, which means that the  
426 rates of hybridization and introgression have decreased over time. Color traits would be of  
427 fundamental importance in this case, given their potential role on species recognition and  
428 mating success (e.g. Houde, 1987; Couldridge & Alexander, 2002).

429 It is worth noting that MCMC chains in the NewHybrids software can sometimes fail  
430 to converge, causing nearly all individuals to be recovered as F2 hybrids (Wringe et al.,  
431 2017b). However, our MCMC chains converged properly, as shown by hybriddetective, and  
432 the predominance of F2 hybrids is in accordance with our other findings, such as the clear  
433 spatial structuring of hybrid individuals within the transition zone between areas where  
434 parental lineages are found. Although the scale of dispersal for *Nematocharax* is unknown, it  
435 is plausible to assume that the spatial segregation between hybrids and parental populations is

436 due to the small scale of movement generally described for small characins (Lucas & Baras,  
437 2001). Therefore, the diagnosable genetic and morphological differences between the  
438 Southern and Northern lineages could be justified. Additionally, clinal variation across the  
439 hybrid zone may reflect isolation by distance in a linear riverine system and gradual  
440 admixture of the divergent lineages.

441 Even though mating behavior has not yet been studied in *Nematocharax*, sexual  
442 selection might also play a role on the maintenance of this hybrid zone. Indeed, empirical and  
443 theoretical studies have demonstrated that assortative mating can influence the incidence and  
444 rate of hybridization (e.g. MacCallum, Nürnberger, Barton, & Szymura, 1998; Vines, 2002;  
445 Culumber, Ochoa, & Rosenthal, 2014). The sexual dimorphism found in *Nematocharax*,  
446 including the presence of hooks and spinules on fins and elongated fins in maturing and  
447 mature males, is also commonly reported in small characid species (e.g. Zanata & Camelier,  
448 2009; Dagosta, Marinho, & Camelier, 2014; Marinho, Dagosta, & Birindelli, 2014) being  
449 usually related to male display behavior and female mate choice (Bischoff, Gould, &  
450 Rubenstein, 1985). Further investigation can directly test whether assortative mating of  
451 hybrids contributes to reproductive isolation from the parental lineages.

#### 452 **4.3. Possible role of riverscape properties**

453  
454 Small South American characins have been described as resident or small-scale  
455 migrants (Lucas & Baras, 2001). If this is true for *Nematocharax*, then it could imply  
456 restricted movement relative to the width of the hybrid zone, meaning that few pure  
457 individuals are likely to be found in the centre. Supporting this idea, field and aquarium  
458 observations (pers. obs.) indicate that *Nematocharax* has a territorial behavior (particularly  
459 males), spending most of its lifetime in a very restricted area (Gerking, 1953). For these  
460 species, riverscape architecture may have a pronounced effect on the structure of the hybrid  
461 zone, influencing the spatial distribution of hybrids and pure individuals. For example, the  
462 Southern lineage probably entered the Gongogi River sub-basin via river capture (Fig. 6) and  
463 dispersed mainly along the main river channel. However, hybridization only occurs in three of  
464 the five tributaries (Figs. 1 and 3), which might be associated with differential dispersal  
465 opportunities related to the altitudinal profile and the angle of connection to the main river. To  
466 illustrate this hypothesis, Figure 1 shows that, at the confluence with the Cambiriba Stream  
467 (site 7), the Gongogi River forms a sharp bend, thus creating a counterflow and favoring the  
468 formation of flooded and shallow areas particularly suitable for *N. venustus* (pers. obs.). This



469 may help understand why secondary contact was predominant in tributaries such as the  
470 Cambiriba Stream, but absent in others (Figs. 1 and 3).

471 Interestingly, artificial reservoirs have been built in the hybrid zone analyzed here,  
472 specifically in location 10 on the map (Fig. 1; Balneário Guaíra, type locality of the  
473 synonymized species) and in the connection point between the main river and the tributary  
474 where the location 5 is situated (Fig. 1; Balneário Beach Park). Thus, although they are very  
475 recent, these places may have been responsible for imposing additional physical barriers or  
476 habitat disturbances (e.g. changes in river depth, temperature, and waterflow), with potential  
477 influence on fish population structure (e.g. Valenzuela-Aguayo et al., 2020).

478 Overall, our findings highlight that biological traits and the past and current riverscape  
479 architectures can interact to originate and structure hybrid zones in freshwater fish species,  
480 even though it is difficult to disentangle the individual effects of a particular factor. Also, our  
481 data shed light on the dynamics of hybridization between lineages of *N. venustus*, with direct  
482 relevance to the study of interspecific boundaries in *Nematocharax*. Our work illustrates that  
483 the understanding of a hybrid zone is not dependent on the taxonomic status, but rather on the  
484 nature of differences between lineages or groups (Mallet, 1995; Gompert & Buerkle, 2016).  
485 Additional studies using, for example, behavioral data and experimental measures of relative  
486 fitness of hybrid and parental individuals are required to expand our knowledge on the  
487 ecological and evolutionary consequences of hybridization in this system.

488

## 489 5. References

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## 722 **Figure legends**

723

724 Fig. 1. Map of the Contas River basin, Bahia, Brazil (at the top right corner, in green)  
725 showing the stretch of the Gongogi River sub-basin where the sampling was performed. The  
726 14 sampled locations are situated in the Gongogi River (sites 1, 4, 6, 7, 11, and 14),  
727 Cambiriba Stream (sites 8, 9, and 10), and other four tributaries (sites 2, 3, 5, 12, and 13).  
728 Sampled locations are color-coded according to which of the divergent mitochondrial lineages  
729 are present, with the Southern lineage in yellow and the Northern lineage in green. The circles  
730 are divided proportionally according to the number of individuals belonging to each  
731 mitochondrial lineage in cases of sympatry (i.e., sites 6, 7, 8, 9, 10, and 11), corresponding to  
732 the putative hybrid zone between divergent lineages. Small black arrows indicate the water  
733 flow direction of the Gongogi River and Cambiriba Stream.

734

735 Fig. 2. Male representatives of *Nematocharax venustus* highlighting some of the variation in  
736 the eye color (a), caudal peduncle (b), pectoral fins (c), and tip of the pelvic fins (d) based on



737 photographs taken in the field at sites 14, 7, and 4 (see Fig. 1), corresponding to phenotypes  
738 representative of (A) the Northern lineage, (B) a putative hybrid, and (C) the Southern  
739 lineage, respectively.

740

741 Fig. 3. Results of fastSTRUCTURE (A) and NewHybrids (B) analyses based on RADseq  
742 data. In the Structure plot (A) for the best K-value (=2), each bar represents the proportion of  
743 ancestry of each individual with respect to each potential ancestor; yellow and green  
744 correspond to the divergent lineages. Squares below the bars indicate the mitochondrial group  
745 of each individual. In the NewHybrids plot (B), each bar shows the probability of each  
746 individual to belong to each hybrid category (i.e., pure 1, pure 2, F1, F2, backcross 1, and  
747 backcross 2). Numbers (4492-0005) on the top of the figure and within hexagons (1-14) are  
748 the sample codes and localities, respectively (see Fig. 1). Black and gray hexagons refer to  
749 sites from the main river and tributaries, respectively.

750

751 Fig. 4. Distribution of the hybrid index score (and 95% credible intervals) estimated with the  
752 gghybrid for the 55 individuals across the hybrid zone. Horizontal dashed lines mark the  
753 innermost credible interval for each parental reference set. Numbers within hexagons  
754 correspond to the locations according to the map (see Fig. 1). Black hexagons specify  
755 locations from the main river (Gongogi) whereas gray hexagons indicate locations from the  
756 tributaries.

757

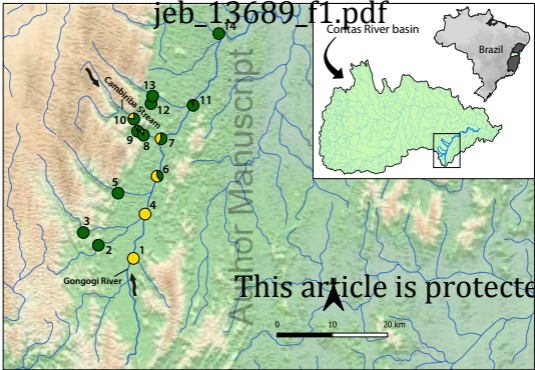
758 Fig. 5. Plots of the maximum likelihood clines and the 95% credible cline region estimated  
759 with the HZAR for the (A) mark on the caudal peduncle and (B) the mark in the eye, as well  
760 as (C) the mitochondrial haplotypes, and (D) the ancestry coefficients estimated in  
761 fastSTRUCTURE.

762

763 Fig. 6. Putative river capture (highlighted by the red circle) between the Contas and Pardo  
764 river basins (with boundaries shown in black; see inset for map of the entire region) in the  
765 focal area around the Gongogi River (i.e., sampled locations 1-5 from the hybrid zone; see  
766 also Fig. 1). Evidence of drainage rearrangement includes changes in the relief (based on the  
767 location of geological faults, represented by the white dashed lines), elbows of capture (based  
768 on hydrographic layers, in blue), and wind gaps (based on Digital Elevation Model, DEM,  
769 where areas of low and high elevation are shown in dark and light grey, respectively). Note  
770 that the Pardo River basin is associated with the yellow lineage (shown here by two dots),

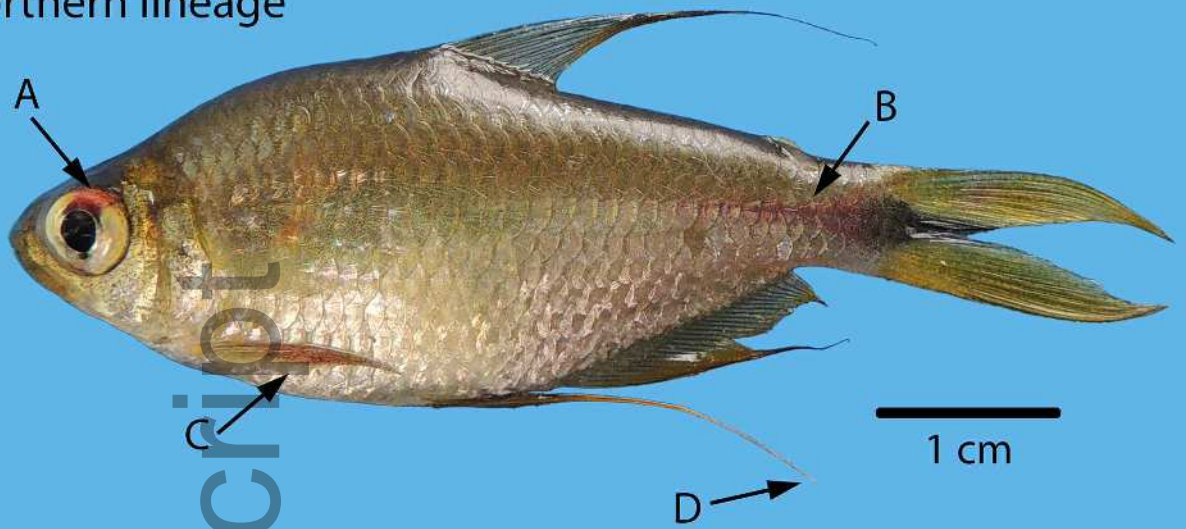
771 which is the presumed source of the Southern lineage in the Contas River basin via river  
772 capture.

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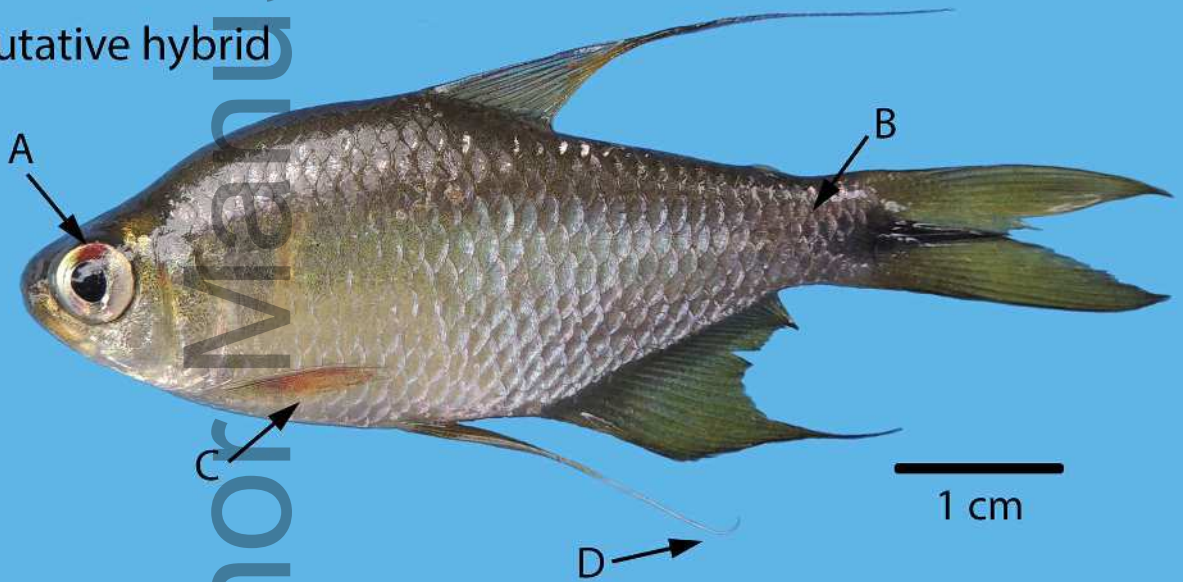


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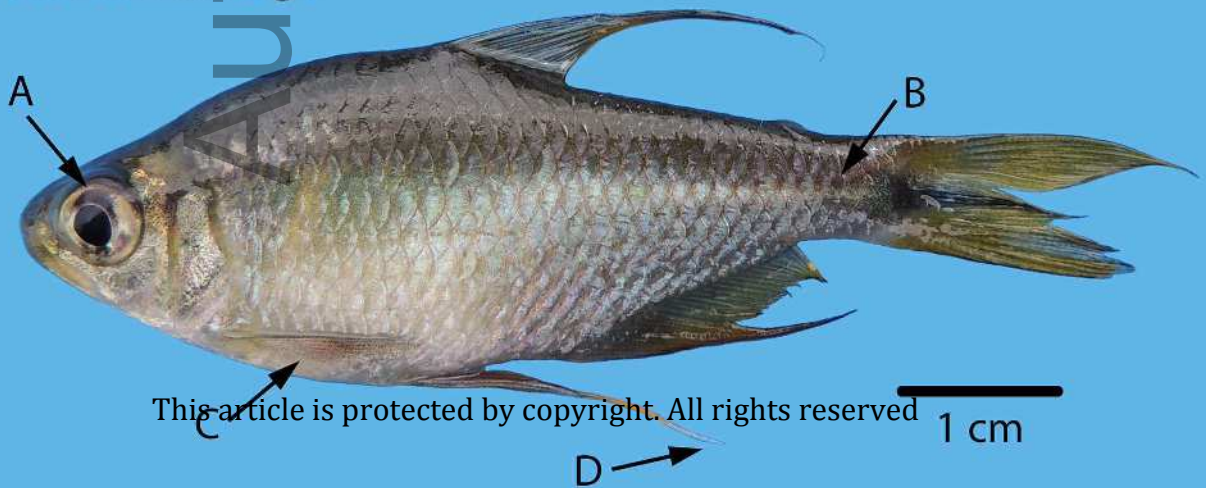
i) Northern lineage

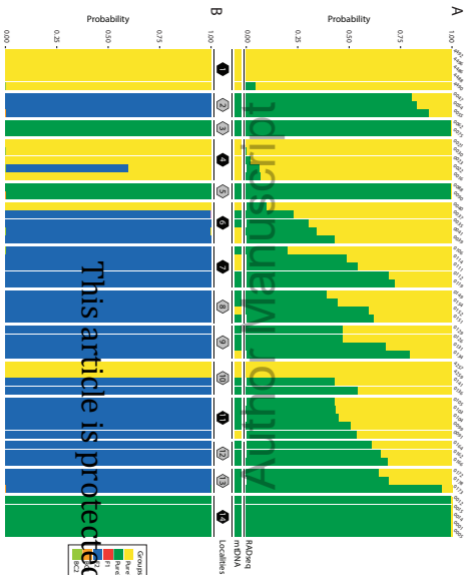


ii) Putative hybrid



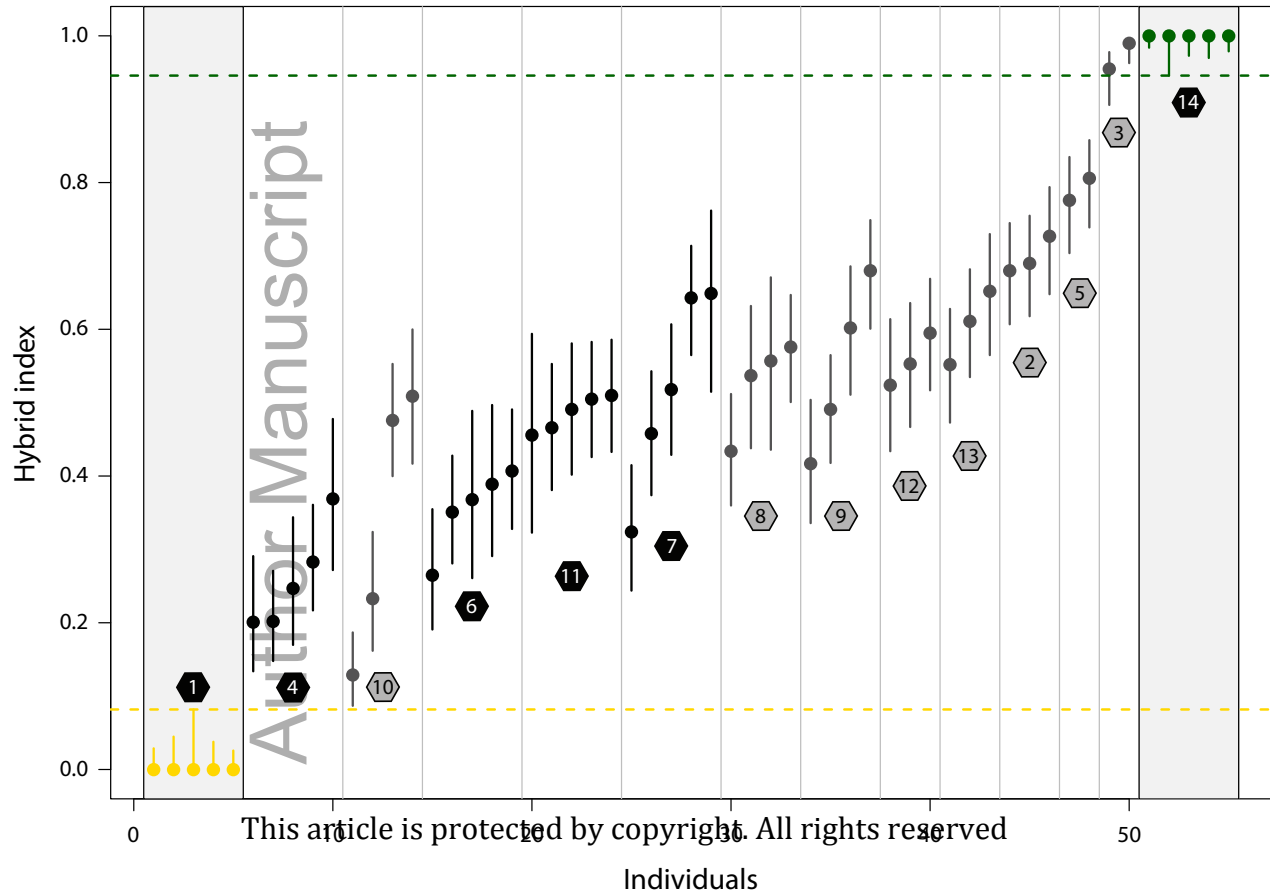
iii) Southern lineage

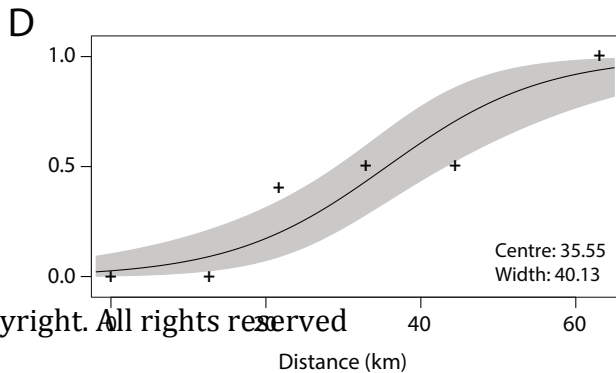
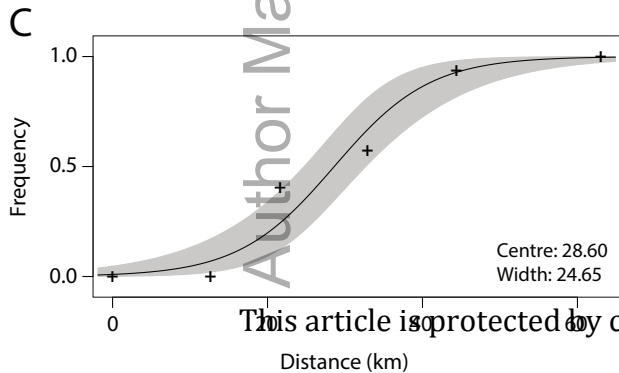
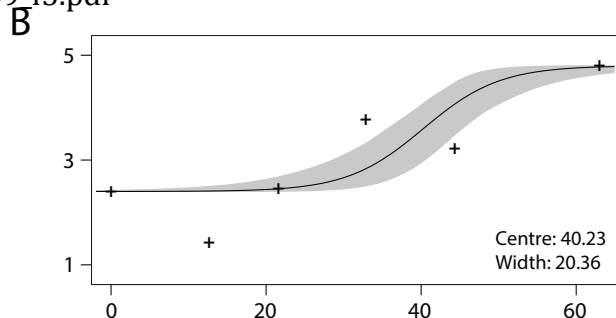
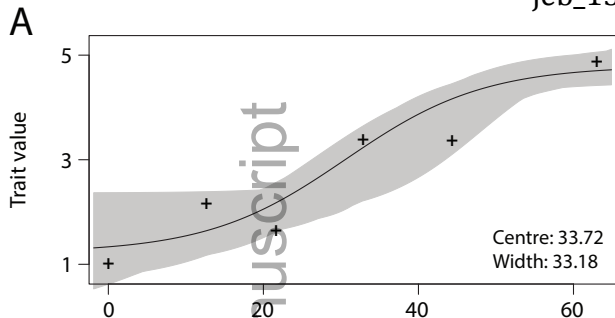


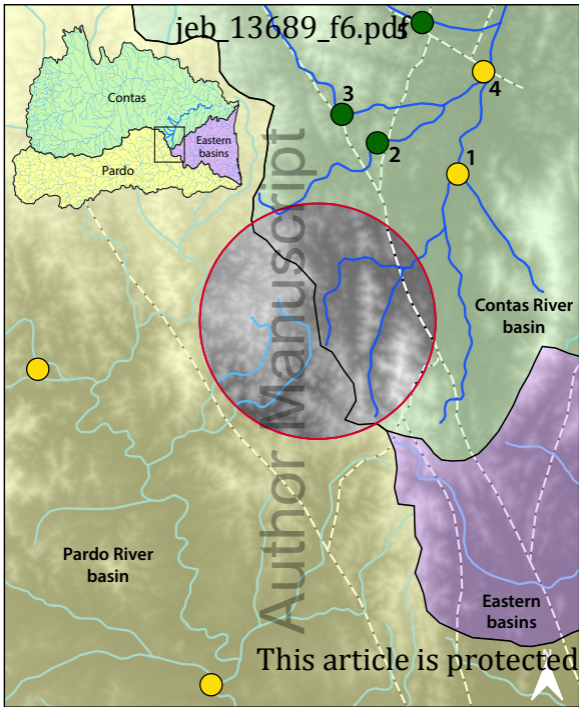


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— River basin boundaries

— Gongogi sub-basin

— Other basins

- - - Geological faults

■ Digital Elevation Model

0 20 km