

1 **Hypoglycemia Unawareness and Autonomic Dysfunction in Diabetes – Lessons**
2 **Learned and Roles of Diabetes Technologies**

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11 *Short Running Title: Does CGM improve hypoglycemia awareness?*

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24 **Words in Abstract:** 166

25 **Words in Text** (excluding references, figure and table legends): 3434

26

27 **Figures:** 1

28 **Tables:** 3

29 **References:** 151

This is the author manuscript accepted for publication and has undergone full peer review but has not been through the copyediting, typesetting, pagination and proofreading process, which may lead to differences between this version and the [Version of Record](#). Please cite this article as [doi: 10.1111/JDI.13290](https://doi.org/10.1111/JDI.13290)

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30 **Abbreviations:** IAH, impaired awareness of hypoglycemia; T1D, type 1 diabetes; CGM,
31 continuous glucose monitoring; CAN, cardiovascular autonomic neuropathy; DCCT,
32 Diabetes Control and Complications Trial; T2D, type 2 diabetes; HbA1c, hemoglobin A1C;
33 TIN, treatment-induced neuropathy; EGP, endogenous glucose production; HRV, heart
34 rate variability; EKG, electrocardiogram; SMBG, self-monitoring of blood glucose; MDI,
35 multiple daily injections; CSII, continuous subcutaneous insulin infusion; FGM, flash
36 glucose monitoring.

37

38 **Abstract**

39 Impaired awareness of hypoglycemia (IAH) is a reduction in the ability to recognize low
40 blood glucose levels that would otherwise prompt an appropriate corrective therapy.
41 Identified in about 25% of patients with type 1 diabetes (T1D), IAH has complex
42 pathophysiology and may lead to serious and potentially lethal consequences in patients
43 with diabetes, particularly in those with more advanced disease and comorbidities.
44 Continuous glucose monitoring (CGM) systems can provide real-time glucose information
45 and generate timely alerts on rapidly falling or low blood glucose levels. Given their
46 improvements in accuracy, affordability, and integration with insulin pump technology,
47 CGMs are emerging as critical tools to help prevent serious hypoglycemia and mitigate its
48 consequences in patients with diabetes. This review discusses the current knowledge on
49 IAH and effective diagnostic methods, the relationship between hypoglycemia and
50 cardiovascular autonomic neuropathy (CAN), a practical approach to evaluate CAN for
51 clinicians, and recent evidence from clinical trials assessing the effects of the use of CGM
52 technologies in patients with T1D with IAH.

53

54 **Keywords:** Hypoglycemia, impaired awareness of hypoglycemia, cardiovascular
55 autonomic neuropathy, continuous glucose monitoring, type 1 diabetes

56

57 **Introduction**

58 For almost 100 years, insulin has been the fundamental therapy for type 1 diabetes
59 (T1D)¹. By suppressing ketogenesis, insulin mitigates the risk for the development of
60 diabetic ketoacidosis, a life-threatening acute complication of diabetes. The Diabetes

61 Control and Complications Trial (DCCT)² and Epidemiology of Diabetes Interventions and
62 Complications study³ further established the use of intensive insulin therapy to prevent or
63 delay the development of chronic microvascular and macrovascular complications. Based
64 on recent updates, the impacts of this relatively short-term glucose control appear to
65 confer durable metabolic benefits for at least 30 years⁴⁻⁸. However, intensive insulin
66 therapy comes at a price. Intensive insulin treatment almost invariably increases the
67 incidence of severe hypoglycemia^{9, 10}, which is associated with altered mental status,
68 seizures, cardiac arrhythmias and even death¹¹⁻¹⁴.

69 Hypoglycemia has traditionally been defined by blood glucose levels of <70 mg/dL
70 (recently termed level 1 hypoglycemia^{15, 16}), as these levels trigger the normal physiology
71 of counterregulatory responses to hypoglycemia¹⁷. Recent revisions of hypoglycemia
72 definitions also include glucose levels <54 mg/dL (i.e., level 2 hypoglycemia¹⁶) for its
73 associations with major comorbidities such as increased mortality, cognitive dysfunction,
74 and the development of impaired awareness of hypoglycemia (IAH)¹⁸, a condition in which
75 patients have diminished or lost ability to perceive the onset of hypoglycemia¹⁹. The DCCT
76 study defined severe hypoglycemia as hypoglycemic episodes requiring assistance of
77 another person for recovery⁹. This definition was subsequently adopted as the universal
78 definition of severe (or level 3) hypoglycemia^{11, 15, 16}.

79 Iatrogenic hypoglycemia is not restricted to T1D patients. Both sulfonylurea usage and
80 insulin therapy in patients with type 2 diabetes (T2D) result in increased risks for
81 hypoglycemia^{20, 21}. Interestingly, there has been intensive debate as to whether severe
82 hypoglycemic events in T2D is merely a marker of, or indeed causal, with regard to the
83 well-documented increased risk of cardiovascular events and mortality following
84 hypoglycemia²²⁻²⁵.

85 Continuous glucose monitoring systems (CGMs, or real-time CGMs) are devices that
86 measure subcutaneous interstitial glucose to estimate blood glucose levels, and report the
87 glucose levels and trends to patients in real-time²⁶. CGMs can also generate audible or
88 vibrate alarms for low/high glucose levels, based on the settings customized by patients or
89 healthcare providers, to alert the patients to hypo/hyperglycemic events. Based on their
90 capability, 1) to improve hemoglobin A1C (HbA1c) and average glucose levels, 2) reduce
91 the risk for serious hypoglycemic complications²⁷⁻²⁹, and 3) reduce the burden of repetitive
92 fingerstick glucose monitoring³⁰; CGMs are now considered the standard of care for T1D

93 patients³¹⁻³³. CGM use has also been further established with the improvements in
94 accuracy³⁴, the feasibility in patients with various ages^{35, 36} and diabetes duration³⁷, and the
95 standardization of metrics for quantifying hypoglycemia^{18, 38}. The interest and availability of
96 CGMs that are integrated to sensor-augmented insulin pumps is also rapidly expanding³⁹.
97 For patients with T2D, data demonstrating the beneficial roles of CGM technology for
98 glucose control⁴⁰, weight control and lifestyle adherence⁴¹ are also emerging.

99 The current review gives a brief overview of the current knowledge of the IAH and its
100 assessment methods, the relationships between hypoglycemia and cardiovascular
101 autonomic neuropathy (CAN), a practical approach on CAN evaluations in clinical care,
102 and the recent clinical trial evidence on the role of CGMs use in the IAH population.

103

104 **Impaired Awareness of Hypoglycemia as a Barrier for Glucose Control**

105 Patients with IAH develop unrecognized hypoglycemic events and thereby can often miss
106 the opportunity to timely treat their hypoglycemia¹⁹. Commonly co-existing with IAH is the
107 attenuation or loss of sympathoadrenal mechanisms, that limits the endogenous
108 glucoregulatory recovery from hypoglycemia (specifically, catecholaminergic stimulation of
109 hepatic glucose output and restraint of muscle glucose uptake)⁴². Thus for people with
110 T1D, who have already lost the ability to decrease endogenous insulin secretion and
111 increase glucagon production as counterregulatory mechanisms, IAH and impaired
112 adrenomedullary responses result in a further significant loss of defense mechanisms to
113 avoid severe hypoglycemia¹⁹. (Figure 1) Indeed, IAH is associated with about six-fold
114 increased risk of developing severe hypoglycemia^{43, 44}. Clinically, due to the risk of
115 developing dangerously low glucose levels, patients and healthcare providers alike are
116 often reluctant to practise/advocate tight glucose control to achieve proposed glycemic
117 targets⁴⁵.

118 Approximately 25-40% of T1D patients were found to have IAH, with a stable prevalence
119 over the last two decades^{43, 44, 46, 47}. This value is most certainly an underestimation, as
120 even patients who report having intact hypoglycemia awareness are indeed unaware of
121 CGM confirmed hypoglycemia⁴⁸. In the T2D population, the IAH prevalence ranges from
122 about 6-17% in those using insulin injection programs, and the IAH status is associated
123 with 9-17 folds increased risk for severe hypoglycemia⁴⁹⁻⁵¹.

124 A major cause of IAH and impaired adrenomedullary responses to hypoglycemia is
125 recurrent episodes of hypoglycemia, which (as part of a vicious cycle) perpetuate these
126 conditions⁵²⁻⁵⁴. There is also evidence that IAH can be induced by sleep^{55, 56}, psychological
127 stress⁵⁷ and alcohol⁵⁸, yet there are still controversies as to whether exercise^{59, 60} and
128 beta-adrenergic blockers^{61, 62} have detrimental or beneficial effects on hypoglycemia
129 awareness status.

130 The mechanisms for the development of IAH remain to be elucidated⁶³. Earlier studies
131 evaluated the relationships between this condition and adrenal medulla destruction⁶⁴,
132 cortisol (as a systemic mediator)⁶⁵ or CAN⁶⁶. Some studies have focused on the glucose-
133 sensing in the brain and how it is altered with antecedent hypoglycemia. Consistent with
134 this CNS impaired glucose sensing, recent studies have implicated the use of alternative
135 fuels (e.g., lactate⁶⁷ or monocarboxylic acids⁶⁸) and changes in the neurotransmitter
136 signaling in the brain (e.g., GABAergic⁶⁹, glutaminergic and opioidergic⁷⁰ signaling) as
137 likely causes for IAH and the impaired sympathoadrenal response to hypoglycemia.

138 Since these impaired responses are purported to be caused by recurrent antecedent
139 hypoglycemia, it is logical that a reduction in the incidence of hypoglycemia would be
140 expected to improve hypoglycemia awareness and adrenomedullary responses. In support
141 of this notion, studies have shown that strict hypoglycemia avoidance with rigorous
142 monitoring and behavioral modifications can help improve hypoglycemia awareness in as
143 short as two weeks⁷¹⁻⁷⁴. Additionally, blood glucose awareness training⁷⁵, education to
144 optimize insulin dosing⁷⁶, and hypoglycemia avoidance motivational program⁷⁷ have also
145 been shown to improve hypoglycemia awareness.

146

147 **Hypoglycemia and Cardiovascular Autonomic Neuropathy**

148 Diabetic CAN, defined as the impairment of autonomic control of cardiovascular system in
149 the setting of diabetes after exclusion of other causes⁷⁸, is a major diabetic comorbidity
150 that has been associated with a significant increase in mortality in both patients with T1D⁷⁹⁻
151 ⁸¹ and T2D⁸²⁻⁸⁴. Despite the association between CAN and increased mortality, currently
152 there is no effective therapy to prevent or reverse this condition beyond glycemic control^{6,}
153 ^{85, 86} and symptomatic management⁸⁷. The role of autonomic dysfunction as a risk factor
154 for IAH had been studied quite extensively. Particularly since a hallmark of IAH is the loss

155 of sympathetic symptoms (e.g., palpitation, tremor and anxiety) and the epinephrine
156 responses to hypoglycemia, it was postulated that autonomic dysfunction including CAN
157 may directly contribute to the development of IAH⁸⁸. However, more recent evidence
158 demonstrated that in some patients IAH can be induced by a single episode of
159 hypoglycemia⁵³. This suggests that although autonomic dysfunction and CAN may further
160 impact IAH risk and consequences^{89, 90}, it is unlikely to be the main mechanism involving
161 its development^{66, 91, 92}. Furthermore, it appears that self-reported IAH does not predict
162 CAN⁹³. Yet, the associations between hypoglycemia and CAN in particular are quite
163 complex and remain to be further elucidated. There is ample evidence that CAN is
164 independently associated with hypoglycemia in patients with diabetes^{25, 94, 95}. Several
165 studies have also shown that hypoglycemia can promote reductions in heart rate variability
166 and the baroreflex sensitivity in both patients with diabetes^{96, 97} and healthy controls⁹⁸ that
167 may last for many hours after euglycemia is restored⁹⁷. In addition, our group has reported
168 that increased glucose variability, particularly with a predominance of hypoglycemic stress
169 measures, was associated with blunting in measures of heart rate variability in T1D
170 patients⁹⁴. These data lend support to a potential role of hypoglycemia in the development
171 of CAN and the loss of the protective cardiovagal mechanisms, that may directly impact
172 cardiac electrical activities and thus eventually increase the risk of cardiac arrhythmias in
173 these patients^{94, 97, 99-101}. Experimental evidence reported that hypoglycemia may lead to
174 peripheral nerve axonal degeneration possibly via alterations in the glucose uptake,
175 depletion of energy substrates, changes in Schwann cell metabolism affecting particularly
176 the large myelinated fibers^{102, 103}, although the exact mechanisms and whether these
177 hypoglycemia-associated changes are functional^{104, 105}, reversible¹⁰⁶ or permanent is still
178 unclear^{107, 108}. An additional example of the complex interactions between hypoglycemia,
179 CAN and neuropathy is treatment-induced neuropathy (TIN). TIN is a condition described
180 in patients who have experienced a rapid decline in the blood glucose levels following the
181 use of insulin, oral hypoglycemic medications, or even diet only to control hyperglycemia,
182 and often manifests as a painful sensory and autonomic neuropathy often with a dramatic
183 onset and course^{109, 110}.

184

185 **Assessment of Impaired Awareness of Hypoglycemia and Impaired** 186 **Adrenomedullary Responses to Hypoglycemia**

187 The hyperinsulinemic-hypoglycemic clamp technique is the gold standard of assessing
188 hypoglycemia awareness and hormonal responses to hypoglycemia^{17, 111}. This validated
189 tool assesses the hypoglycemia awareness status by collecting hypoglycemic symptoms
190 during the clamp procedure at specified intervals to determine at what level of glucose
191 hypoglycemic symptoms are experienced^{112, 113}. Information is captured on several
192 domains that include: difficulty thinking/confused, warm, shaky/tremulous, nausea,
193 tired/drowsy, hungry, weak, sweaty, headache, heart-pounding, difficulty speaking,
194 nervous/anxious, dizzy, faint, tingling and blurred vision¹¹². In general it is accepted that
195 subjects who do not develop significant hypoglycemic symptoms around glucose levels of
196 50-54 mg/dL are considered to have IAH¹¹⁴. Additional methods include the assessment of
197 epinephrine levels and other counterregulatory hormones (norepinephrine, glucagon,
198 cortisol, growth hormone, pancreatic polypeptide) during the various stages of
199 hypoglycemia¹⁷. Techniques in measuring the endogenous glucose production (EGP) for
200 the assessment of hepatic glucose output can also be incorporated into hypoglycemic
201 clamps¹¹⁵. Both single-step¹¹⁶ (from baseline to one single hypoglycemia glucose level
202 target) or step-wise¹¹⁷ (from baseline to sequentially lower hypoglycemic level targets)
203 clamps are commonly used. Some studies also conduct additional hyperinsulinemic-
204 euglycemic clamps¹¹⁷, in randomized orders with the hypoglycemic clamps, to blind the
205 participants, so that the participants' hypoglycemic symptoms and hormonal measures
206 would not be confounded by the knowledge of an anticipated hypoglycemic event or
207 insulin administration. While the hypoglycemic clamp is a well-established method to
208 objectively measure the status of counterregulatory mechanisms, the pitfalls of clamp
209 studies are the invasiveness, expense, and the significant time commitment from the
210 patients, and thus these studies are often restricted to a small patient cohort. The inter-
211 laboratory variabilities in epinephrine assays also prohibit the comparison among
212 studies¹¹⁸. (Table 1)

213 In the outpatient setting, methods to assess hypoglycemia awareness based on
214 questionnaires (i.e., "self-reported hypoglycemia awareness") have also been developed
215 and widely utilized, particularly for studies requiring larger sample sizes. The Gold
216 questionnaire⁴³ contains a single question (besides two questionnaire-validation questions)
217 asking individuals to report their experience in detecting hypoglycemic events with scores
218 ranging from 1 (always aware) to 7 (never aware) on a Likert-type scale. In contrast, the

219 Clarke questionnaire⁴⁴ is comprised of eight questions evaluating participants' prior
220 hypoglycemia experiences, such as the history of severe hypoglycemia developments and
221 the glucose levels at which patients start to detect hypoglycemic symptoms, and generates
222 a score (0 to 7) based on the responses. Scores ≥ 4 are indicative of IAH and ≤ 2 indicates
223 normal awareness for both the Gold and Clark questionnaires. The Pedersen-Bjergaard
224 questionnaire⁴⁶ asks individuals to report whether they recognize symptoms during
225 hypoglycemic events and, based on the answer, the hypoglycemia awareness status is
226 categorized as "normal", "impaired awareness", "unawareness" and "undetermined". All of
227 these questionnaires have been previously validated based on their associations with
228 severe hypoglycemia. The Clarke questionnaire has also been validated with
229 hypoglycemic clamps¹¹⁴. HypoA-Q¹¹⁹ is a 33-item questionnaire assessing hypoglycemia
230 awareness when awake/sleep, and the hypoglycemia frequency, severity and impacts on
231 patients. This questionnaire was validated with strong correlations with the Gold and
232 Clarke questionnaires, together with weak correlations with diabetes-related distress and
233 HbA1c. Other than wide usability with their non-invasiveness and no/minimal cost, self-
234 reported hypoglycemia awareness assessments may also benefit from the direct reporting
235 of patients' experiences in the real life¹²⁰, rather than in highly controlled inpatients settings
236 of hypoglycemic clamps. On the other hand, the subjectivity of the experience (e.g.,
237 possibly influenced more by the recent events) or lack of a controlled environment may
238 generate biases for the awareness reporting.

239

240 **Diagnosis of Diabetic Cardiovascular Autonomic Neuropathy in Clinical Care**

241 The American Diabetes Association recommends that screening for CAN should be done
242 in patients with evidence of other chronic complications such as nephropathy, peripheral
243 neuropathy, retinopathy and cardiovascular disease, as well as in patients with IAH¹²¹, with
244 high glucose variability, prior to insulin dose adjustments and/or perioperatively⁷⁹. The
245 symptoms of CAN are less prevalent in contemporary cohorts of patients with diabetes,
246 and most patients with CAN may be completely asymptomatic^{101, 121}. Weakness,
247 lightheadedness, palpitations, syncope with standing, or exercise intolerance are usually
248 associated with advanced CAN^{6, 85, 122}.

249 Clinical signs such as resting tachycardia (>100 bpm) and orthostatic hypotension (a fall in
250 systolic or diastolic blood pressure by >20 mmHg or >10 mmHg, respectively, upon
251 standing without an appropriate increase in heart rate) are both easy to be documented in
252 office^{78, 123}, but in general present in later stages of CAN^{121, 124}. A decrease in heart rate
253 variability (HRV) is the earliest sign of CAN^{78, 125, 126} and could be assessed in office by
254 obtaining an electrocardiogram (EKG) during 1-2 minutes of deep breathing and
255 calculating indices of HRV^{127, 128}. However, given that both the symptoms and signs
256 described are non-specific, a careful differential diagnosis is needed to exclude other
257 common medical causes (e.g. hyperthyroidism, anemia, dehydration, adrenal insufficiency,
258 arrhythmic disorders), prescription medications effects (e.g., antihypertensive agents,
259 antimuscarinic agents, diuretics), over-the-counter supplements and recreational
260 agents¹²¹.

261 The cardiovascular reflex tests that assess changes in heart rate and blood pressure in
262 response to several simple physiological maneuvers, such as deep breathing, standing or
263 Valsalva, remain the gold standard diagnostic for autonomic testing in both clinical care
264 and research settings, although these are more expensive and add burden for both
265 clinicians and patients¹²¹.

266

267 **Clinical Trials Testing the Use of Continuous Glucose Monitoring Systems in Type 1** 268 **Diabetes Patients with Impaired Awareness of Hypoglycemia**

269 Early CGM clinical trials primarily focused on the CGMs' impact on glucose control,
270 hypoglycemia reduction and quality of life¹²⁹. Additional questions were raised regarding
271 the potential benefits of the CGM technology in improving the hypoglycemia awareness
272 and epinephrine responses in patients with IAH. Below we summarize some of the most
273 relevant trials that have addressed these questions.

274 In 2011, Ly and colleagues¹³⁰ conducted a small group randomized clinical trial study to
275 evaluate whether the use of CGMs vs. self-monitoring of blood glucose (SMBG) may
276 improve epinephrine responses during hypoglycemic clamps in adolescents with T1D and
277 IAH. (Table 2) The target glucose levels were 108-180 mg/dL in both groups, and the
278 CGM group had the hypoglycemia alarm thresholds set at 108 mg/dL. Although after four
279 weeks the CGM group had greater epinephrine responses during the hypoglycemic

280 clamps (Table 3), suggesting a potential benefit of CGMs in improving hypoglycemia
281 awareness, these findings were limited by the small sample size and to a group of
282 relatively short diabetes duration.

283 Subsequently, the HypoCOMPASS group¹³¹ conducted a 2 x 2 factorial (SMBG vs. CGM;
284 multiple daily injections, MDI, vs. continuous subcutaneous insulin infusion, CSII)
285 randomized trial to assess whether hypoglycemia avoidance with intensive education
286 could improve hypoglycemia awareness regardless the glucose monitoring and insulin
287 delivery models. At the study end, the incidence of hypoglycemia was reduced in all study
288 arms, and the degree of hypoglycemia awareness improvements was similar between the
289 CGM and SMBG groups, including the hypoglycemia symptoms scores during the
290 hypoglycemic clamps in a sub-cohort study¹³². However, the low CGM usage time (<50%)
291 in about 40% of the participants could have significantly confounded the results.

292 The IN CONTROL study group¹³³ evaluated glucose control (CGM vs. SMBG) in IAH
293 patients with a crossover trial. The CGM phase was related to 15% more time-in-range
294 (72-180 mg/dL) and 41% and 55% reduction of the time in hypoglycemia and the number
295 of patients who developed severe hypoglycemia, respectively. The Gold scores at the end
296 of the CGM phase were lower and tended to be lower compared to the end of the SMBG
297 phase and to the baseline, respectively. Similar findings, however, were not observed in
298 the Clarke scores. While the crossover design allows more “individualized” comparisons to
299 evaluate CGMs’ impact, it was unclear if a 16-week CGM intervention was long enough to
300 significantly improve self-reported hypoglycemia awareness, and whether the 12-week
301 washout period could sufficiently “reset” the hypoglycemia awareness to the baseline.

302 In 2018, Rickels and colleagues¹³⁴ conducted a small cohort, 18-month pre-post trial
303 evaluating the changes in the EGP and epinephrine responses with CGM interventions. In
304 this IAH population with severely problematic hypoglycemia, the incidence of severe
305 hypoglycemia decreased nearly 60% during the intervention. The hypoglycemic clamps
306 also revealed a doubled EGP at 18 months, with no statistically significant improvements
307 in epinephrine responses. Improvements in autonomic symptom scores and self-reported
308 hypoglycemia awareness were also observed.

309 HypoDE¹³⁵ is the largest randomized trial (CGM vs. SMBG) to-date testing CGMs’ effects
310 in patients with IAH or severe hypoglycemia history. The CGM group demonstrated 72%

311 less hypoglycemic episodes with glucose ≤ 54 mg/dL, along with 64% less severe
312 hypoglycemic episodes. The entire cohort also had a 40% improvement in hypoglycemia
313 awareness scores, although with no difference was found between the CGM and SMBG
314 groups.

315 Flash glucose monitoring systems (FGMs; e.g., FreeStyle Libre™), alike CGMs, can
316 provide glucose levels and trends, but without the feature of automated low/high glucose
317 alarms¹³⁶. FGMs have been documented to reduce the time in hypoglycemia¹³⁷ and severe
318 hypoglycemia¹³⁸ for T1D patients, and reduce hypoglycemia¹³⁹ and improve HbA1c¹⁴⁰ in
319 the T2D population. Reddy and colleagues compared the efficacy of CGMs vs. FGMs in
320 reducing hypoglycemia in T1D patients with IAH or severe hypoglycemia history¹⁴¹. The
321 CGM group demonstrated greater hypoglycemia reduction, particularly at nights, attributed
322 to the low glucose alarm systems. However, the improvements in hypoglycemia
323 awareness in these two groups were statistically indistinguishable. Potential confounders
324 include FGMs' lower glucose accuracy in the low glucose range^{136, 142, 143} that might have
325 falsely reported more hypoglycemia.

326 While CGMs have clearly demonstrated the benefit of hypoglycemia reduction without
327 compromising the overall glycemic control, the extent to which CGMs can help improve
328 hypoglycemia awareness and epinephrine responses remains unclear. Although
329 meticulous avoidance of hypoglycemia has been shown to improve hypoglycemia
330 awareness within 2-16 weeks⁷¹⁻⁷⁴, none of the above studies demonstrated an absolute
331 avoidance of hypoglycemia, which could explain this finding. Recent observational data¹⁴⁴⁻
332 ¹⁴⁶ indicate that IAH is still common and problematic in T1D patients despite CGM use, and
333 thus IAH may unfortunately remain an important clinical obstacle in diabetes management
334 in CGM users.

335 To definitively determine whether CGMs/diabetes technologies could improve
336 hypoglycemia awareness, more optimal trial design that eliminates confounders and
337 provides sufficient intervention duration is important¹³¹. This includes matching subjects for
338 age, duration of diabetes, HbA1c, hypoglycemia awareness scores and hypoglycemia
339 cognition¹⁴⁵ to reduce some effects from the individual variabilities. It also would be of
340 interest whether a treat-to-target approach (e.g., time in hypoglycemia targets of $<4\%$ ¹⁴⁷ or
341 even $<1\%$ ¹⁴⁸), with techniques such as more rigorous strategies to engage patients to

342 CGMs¹⁴⁹ or CGM alarm setting adjustments^{150, 151}, could improve hypoglycemia awareness
343 or epinephrine responses to hypoglycemia.

344

345 **Conclusion**

346 CGM is an effective tool to help reduce hypoglycemia and severe hypoglycemic episodes
347 in T1D patients, including those with IAH. Whether CGMs could help improve
348 hypoglycemia awareness, and how CAN and IAH are interrelated, remain to be
349 determined or further elucidated.

350

351 **Acknowledgement Statement:** SJF is supported by the NIH R01DK118082. RPB is
352 supported by NIH 1R01DK107956-01 and U01DK119083, and the JDRF Center of
353 Excellence at the University of Michigan.

354 **Author Disclosure Statement:** The authors of this manuscript have no conflicts of
355 interest relevant to this study to disclose.

356

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780 **Figure 1. Hypoglycemia Counterregulatory Mechanisms and the Impacts of T1D and**
781 **Recurrent Hypoglycemia on these Mechanisms.** ¹Or advance type 2 diabetes. T1D,
782 type 1 diabetes.

Table 1. Current Measures for Assessing Hypoglycemia Awareness

	Measurements	Advantages	Disadvantages
Outpatient	Questionnaires: <ul style="list-style-type: none"> • Gold⁴³ • Clark⁴⁴ • Pedersen-Bjergaard⁴⁶ • HypoA-Q¹¹⁹ 	<ul style="list-style-type: none"> • Non-invasive • No/minimal cost • Reporting of experience from real-life hypoglycemic episodes • Amenable to use in large patient cohorts • Feasible for clinical use 	<ul style="list-style-type: none"> • Subjectivity bias • Recall bias • Uncontrolled environment • Lack of sensitivity to detect/quantify changes in awareness with short-term interventions
Inpatient	Edinburgh Hypoglycemia Scores ¹¹² determined during the hyperinsulinemic hypoglycemic clamp.	<ul style="list-style-type: none"> • Controlled environment, including reproducible hypoglycemic levels 	<ul style="list-style-type: none"> • Invasiveness • Expense • Patient time commitment • Small patient cohorts

Table 2. Clinical Trials Evaluating CGM Use in T1D Patients with IAH

Authors (Year)	Main Objective	Trial Design & Targeted Population	Primary Outcome(s)	Baseline Population Characteristics	CGM Models (active usage time)
Ly, et al. (2011) ¹³⁰	Assess if the use of CGMs with preset hypo alarms (at glucose 108 mg/dL) improves counterregulatory response to hypoglycemia.	Randomized, controlled. Two arms (CGM vs. SMBG). Duration: 4 weeks.	Epinephrine response to hypoglycemia measured during hypoglycemia clamp study.	CGM n=6; SMBG n=5 Female: Not reported Age: CGM: 13.7±0.7 yrs Standard: 15±0.8 yrs DoD: CGM: 5.2±1.4 yrs	Medtronic Minimed paradigm real-time system (not reported)

		Adolescents (aged 12-18 years old) with IAH defined per modified Clarke (N=11).		Standard: 6.5±1.2 yrs HbA1c: CGM: 7.7±0.2% Standard: 7.9±0.3% MDI: Not reported	
Little, et al. (HypoCOMPASS; 2014) ¹³¹ ; Leelarathna, et al. (HypoCOMPASS clamp sub-cohort study; 2013) ¹³²	Determine if rigorous hypoglycemia prevention improves hypoglycemia awareness and prevents SH development in patients with IAH, independent of insulin delivery and glucose monitoring modalities.	Randomized, controlled. 2 x 2 factorial (CGM vs. SMBG, CSII vs. MDI). Duration: 24 weeks. Patients with IAH defined per Gold. (N=96)	Difference in hypoglycemia awareness (assessed with Gold) between the CGM and SMBG groups, and between the MDI and CSII groups. Clamp sub-cohort study: the glucose concentration at which participants felt hypoglycemic during progressive hypoglycemia.	83 patients completed study; CGM n=42 and SMBG n=41 Female: 64% Age: 48.6±12.2 yrs DoD: 28.9±12.3 yrs HbA1c: 8.2±1.2% MDI: 97% Clamp Sub-cohort N=18 (CGM n=11, SMBG n=7) Female: 66.7% Age: 50±9 yrs DoD: 35±10 yrs HbA1c: 8.1±1% MDI: 50%	Medtronic (median 57%)
van Beers, et al. (IN CONTROL; 2016) ¹³³	Assess whether CGM use improves glycemia control and prevents severe hypoglycemia in patients with IAH.	Randomized, crossover. Two arms (CGM vs. SMBG).	Mean difference in the percentages of time in normoglycemia.	CGM n=26, SMBG n=26 Female: 46% Age: 48.6±11.6 yrs DoD: 30.5±40.8 yrs HbA1c: 7.5±0.8% MDI: 56%	Medtronic Enlite glucose sensor (median 89.4; IQR 80.8-95.5);

		<p>Duration: 16-week intervention with 12-week washout.</p> <p>Patients with IAH defined per Gold, either on CSII or MDI. (N=52)</p>			
Rickels, et al. (2018) ¹³⁴	Assess if hypoglycemia avoidance with CGMs improves glucose counterregulation in patients with long-standing diabetes and IAH.	<p>Single arm (CGM).</p> <p>Duration: 18 months.</p> <p>Patients with IAH defined per Clarke and other criteria[†]. (N=11)</p>	Difference in the endogenous glucose production response during stepped-hypoglycemic and euglycemic clamps.	<p>Female: 55%</p> <p>Age: 44±4 yrs</p> <p>DoD: 31±4 yrs</p> <p>HbA1c: 7.2±0.2%</p> <p>MDI: 27%</p>	Dexcom seven plus/G4 or Medtronic Sof-Sensor (n= 7/4) (median 100%)
Heinemann, et al. (HypoDE; 2018) ¹³⁵	Ascertain whether the incidence and severity of hypoglycemia can be reduced through CGM use in patients on MDI and with high risk for developing SH.	<p>Randomized, controlled.</p> <p>Two arms (CGM vs. SMBG).</p> <p>Duration: 22-week intervention and 4-week follow-up.</p> <p>Patients on MDI with SH within the last</p>	The mean difference in the number of hypoglycemic events (defined as CGM glucose ≤54mg/dL for ≥20 minutes) between baseline and the follow-up phase.	<p>141 patients in final analysis; CGM n=75, SMBG n=66</p> <p>Female:</p> <p>CGM: 47%</p> <p>Control: 34%</p> <p>Age:</p> <p>CGM: 45.8±12.0 yrs</p> <p>Control: 47.3±11.7 yrs</p> <p>DoD:</p> <p>CGM: 21.6±13.9 yrs</p> <p>Control: 20.9±14.0 yrs</p> <p>HbA1c:</p>	Dexcom G5 (mean 90.7%)

		year or IAH defined per Clarke. (N=149)		CGM: 7.6±1.0% Control: 7.3±1.0% MDI: 100%	
Reddy et al. (I-HART; 2018) ¹⁴¹	Assess the impacts of CGMs and FGMs on hypoglycemia reduction in patients on MDI with high risk for developing SH.	Randomized. Two arms (CGM vs. FGM). Duration: 8 weeks. Patients on MDI with SH within the last year or IAH defined per Gold. (N=40)	The median difference between the change of time in hypoglycemia (<59 mg/dL) from baseline to endpoint.	CGM n=20, SMBG n=20 Female: 40% Age: 49.5 yrs (37.5-63.5) DoD: 30.0 yrs (21.0-36.5) HbA1c: 7.3% (6.5-7.8) MDI: Not reported [‡]	Dexcom G5 (not reported)

Data presented in mean ± standard deviation, mean/median [95% confidence interval] or median (IQR).

[†]Severely problematic hypoglycemia (HYPO score ≥1047), marked glycemic lability (glycemic lability index ≥433 mmol/L²/h/week, or a composite of HYPO score ≥423 and glycemic lability index ≥329 mmol/L²/h/week, and either at least one episode of severe hypoglycemia in the past 12 months or presence of >5% of time spent at <60 mg/dL by 72-hour blinded CGM).

[‡]The study aimed to assess the CGM effects on MDI-using population; actual percentage not reported.

CGM, continuous glucose monitoring; T1D, type 1 diabetes; IAH, impaired awareness of hypoglycemia; MDI, multiple daily injection; SMBG, self-monitoring of blood glucose; DoD, duration of diabetes; HbA1c, hemoglobin A1C; CSII, continuous subcutaneous insulin infusion; AUC, area under the curve; IQR, interquartile range; SH, severe hypoglycemia.

Table 3. Reported Time in Hypoglycemia, Hypoglycemia Awareness and Autonomic Response Outcomes in Clinical Trials Evaluating CGM Use in T1D Patients with IAH

Author	Time in Hypoglycemia at Study End [†] (%)	Hypoglycemia Awareness Outcomes	Endogenous Gluco-regulatory Response Outcomes
Ly, et al. (2011) ¹³⁰	N/A	N/A	<p>Changes in epinephrine levels during hypoglycemic clamps compared to euglycemic clamps (%)</p> <p><i>Baseline:</i> CGM: 214±72% Standard: 288±151% (<i>P</i>=0.688)</p> <p><i>Study end (4 weeks):</i> CGM: 604±234% Standard: 114±83% (<i>P</i>=0.048)[‡]</p> <p>Changes in epinephrine levels during hypoglycemic clamps at baseline vs. study end: CGM: <i>P</i>=0.031 Standard: <i>P</i>=0.375</p>
<p>Little, et al. (HypoCOMPaSS; 2014)¹³¹;</p> <p>Leelarathna, et al. (HypoCOMPaSS clamp sub-cohort study; 2013)¹³²</p>	<p>Glucose <72 mg/dL</p> <p>CGM: 6.3±9.1%</p> <p>SMBG: 5.2±4.2% (<i>P</i>=0.47)</p> <p>Glucose ≤54 mg/dL</p> <p>CGM: 2.1±5.1%</p> <p>SMBG: 1.3±2.1% (<i>P</i>=0.36)</p>	<p>Gold scores</p> <p>Baseline: 5.1±1.1</p> <p>Study end: 4.1±1.4 (<i>P</i><0.001)[‡]</p> <p>Clarke scores</p> <p>Baseline: 4.1±1.6</p> <p>Study end: 3.2±1.7 (<i>P</i><0.001)</p>	<p>Clamp Study Sub-cohort –</p> <p>AUC of incremental metanephrine levels</p> <p>Baseline: 2,412 (-3,026 to 7,279)</p> <p>Study end: 5,180 (-771 to 11,513) (<i>P</i>=0.02)</p> <p>Glucose thresholds for metanephrine response</p> <p>Baseline: 43 (41-45) mg/dL</p>

	<p>Clamp Study Sub-cohort – AUC of the % of time spent with glucose <54 mg/dL (mean±standard error): CGM: 658 ± 223 SMBG: 797±193 (<i>P</i>=0.64)</p>	<p>HypoA-Q scores Baseline: 13.4±3.4 Study end: 9.1±4.2 (<i>P</i><0.001)</p> <p>No differences in hypoglycemia awareness scores between the CGM vs. SMBG and CSII vs. MDI models.</p> <p>Clamp Study Sub-cohort <i>Plasma glucose level of first felt hypoglycemia</i> Baseline: 47±2 mg/dL Study end: 56±4 mg/dL (<i>P</i>=0.02)[‡]</p> <p><i>Symptom score AUC</i> Baseline: 500 (364-685) Study end: 650 (365-1,285) (<i>P</i>=0.02)</p> <p>No differences in the above measures between CGM vs. SMBG and CSII vs. MDI models.</p>	<p>Study end: 49 (41-58) mg/dL (<i>P</i>=0.03)</p> <p>No differences in the above measures between the CGM vs. SMBG and CSII vs. MDI models.</p>
<p>van Beers, et al. (IN CONTROL; 2016)¹³³</p>	<p>Glucose ≤70 mg/dL CGM: 6.8% [5.2-8.3]</p>	<p>Gold scores End of CGM phase: 4.6 [4.3-5.0]</p>	<p>N/A</p>

<p style="writing-mode: vertical-rl; transform: rotate(180deg);">Author Manuscript</p>	<p>SMBG: 11.4% [9.9-13.0] ($P < 0.0001$)</p>	<p>End of SMBG phase: 5.0 [4.6-5.4] ($P = 0.035$)</p> <p>Change in Gold scores from baseline</p> <p>End of CGM phase: -0.5 [-0.8 - -0.1]</p> <p>End of SMBG phase: -0.1 [-0.4-0.2] ($P = 0.076$)</p> <p>Clarke scores</p> <p>End of CGM phase: 4.4 [3.9-4.8]</p> <p>End of SMBG phase: 4.4 [3.9-4.8] ($P = 0.953$)</p> <p>Change in Clarke scores from baseline</p> <p>End of CGM phase: -0.1 [-0.5-0.3]</p> <p>End of SMBG phase: -0.4 [-0.8-0.0] ($P = 0.216$)</p>	
<p>Rickels, et al. (2018)¹³⁴</p>	<p>Glucose <60 mg/dL</p> <p>Run-in: 6.5±1.6%</p> <p>Study end (18-months): 4.0±0.7% ($P = NS$)</p>	<p>Clark scores</p> <p>Baseline: 6 (6-7)</p> <p>6 months: 4 (4-5)</p> <p>12 months: 3 (2-5)</p> <p>18 months: 3 (2-5) ($P < 0.01$)</p> <p>Clamp Study</p>	<p>Epinephrine levels during hypoglycemia</p> <p>Baseline: 152±37 pg/mL</p> <p>6 months: 204±37 pg/mL ($P = NS$)</p> <p>18 months: 152±36 pg/mL ($P = NS$)</p> <p>Norepinephrine levels during hypoglycemia</p> <p>Baseline: 378±44 pg/mL</p> <p>6 months: 317±38 pg/mL ($P = NS$)</p>

<p style="writing-mode: vertical-rl; transform: rotate(180deg);">Author Manuscript</p>		<p>Autonomic symptoms during hypoglycemic vs. euglycemic clamps:</p> <p>Baseline: 3.7±0.9 vs. 2.5±0.3 (<i>P</i>=NS)</p> <p>6 months: 5.1±1.0 vs. 1.5±0.7 (<i>P</i><0.05)</p> <p>18 months: 5.6±1.2 vs. 2.2±0.6 (<i>P</i><0.05)</p> <p>No statistical significance when comparing the symptom scores at 6 and 18 months to baseline.</p>	<p>18 months: 362±60 pg/mL (<i>P</i>=NS)</p> <p>Endogenous glucose production (compared to baseline):‡</p> <p>Baseline: 0.42±0.08 mg/kg/min</p> <p>6 months: 0.54±0.07 mg/kg/min (<i>P</i>=NS)</p> <p>18 months: 0.84±0.15 mg/kg/min (<i>P</i><0.05)</p>
<p>Heinemann, et al. (HypoDE; 2018)¹³⁵</p>	<p>Glucose ≤70 mg/dL</p> <p>CGM: 1.6% (0.9-3.7)</p> <p>Control: 6.4% (3.7-12.0)</p> <p>Adjusted between-group differences: <i>P</i><0.0001</p> <p>Glucose ≤54 mg/dL</p> <p>CGM: 0.3% (0.1-0.9)</p> <p>Control: 2.5% (1.0-6.1)</p> <p>Adjusted between-group differences: <i>P</i><0.0001</p>	<p>Clark scores</p> <p><i>Baseline</i></p> <p>CGM: 5.0 (4.0-6.0)</p> <p>Control: 5.0 (4.0-6.0)</p> <p><i>Follow-up</i></p> <p>CGM: 3.0 (1.0-4.0)</p> <p>Control: 3.0 (1.0-5.0)</p> <p><i>Adjusted between group differences: P=0.7662</i></p>	<p>N/A</p>
<p>Reddy et al. (I-HART; 2018)¹⁴¹</p>	<p>Glucose <70 mg/dL</p> <p>CGM: 6.2% (3.1-10.2)</p> <p>FGM: 11.0% (8.2-17.0)</p>	<p>Gold scores</p> <p><i>Baseline:</i></p> <p>CGM: 5 (5-6)</p> <p>FGM: 5 (4-5)</p>	<p>N/A</p>

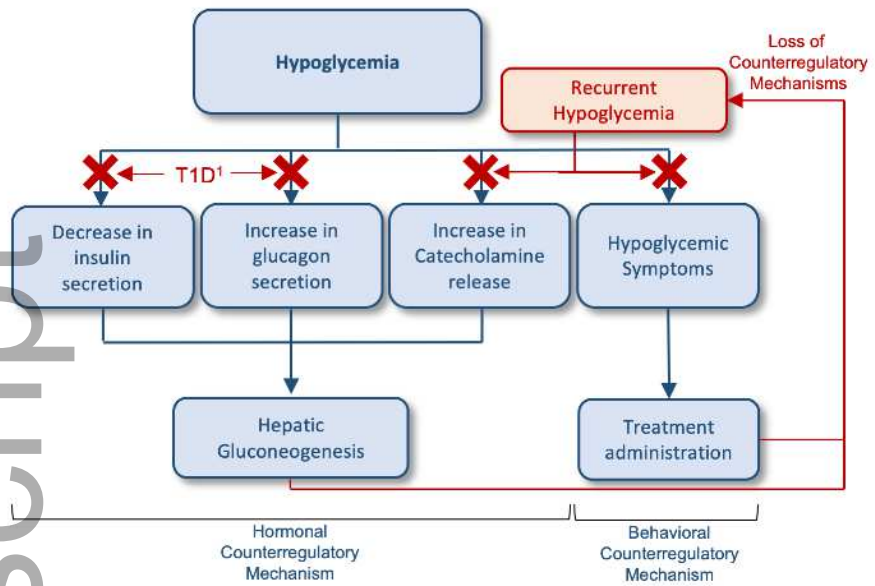
	Median change from baseline: <i>P</i> <0.01 Glucose <50 mg/dL CGM: 0.9% (0.2-1.8) FGM: 3.8% (3.0-6.4) Median change from baseline: <i>P</i> <0.003	<i>Study end (8 weeks):</i> CGM: 4.5 (3.0-5.0) FGM: 5.0 (3.5-6.0) <i>Median change from baseline:</i> CGM: 0.0 [-1.0-0.0] (<i>P</i> =NS) FGM: 0.0 [-0.8-0.0] (<i>P</i> =NS) <i>Differences in median changes from baseline to study end: P=0.23</i>	
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Data presented in mean±standard deviation or median (interquartile range), unless noted otherwise.

† Variable definitions for hypoglycemia were used. These trials were performed prior to the current CGM/hypoglycemia guidelines. For SMBG groups or run-in phase, time in hypoglycemia were assessed with blinded CGMs.

‡Primary outcomes of the trials.

CGM, continuous glucose monitoring; T1D, type 1 diabetes; IAH, impaired awareness of hypoglycemia; SMBG, self-monitoring of blood glucose level; CSII, continuous subcutaneous insulin infusion; MDI, multiple daily injections; AUC, area under the curve.



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