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**Dendritic cell-derived TGF- $\beta$  mediates the induction of mucosal regulatory T cell response to *Helicobacter* infection essential for maintenance of immune tolerance in mice.**

Running title: Dendritic cell-derived TGF- $\beta$  mediates *H. pylori* induction of immune tolerance.

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**ABSTRACT**

**Background:** *Helicobacter pylori* infection leads to regulatory T-cell (Treg) induction in infected mice, which contributes to *H. pylori* immune escape. However, the mechanisms responsible for *H. pylori* induction of Treg and immune tolerance remain unclear. We hypothesized DC-produced TGF- $\beta$  may be responsible for Treg induction and immune tolerance.

**Materials and Methods:** To test this hypothesis, we generated TGF- $\beta^{\Delta DC}$  mice (CD11c<sup>+</sup> DC-specific TGF- $\beta$  deletion) and assessed the impact of DC-specific TGF- $\beta$  deletion on DC function during *Helicobacter* infection in vitro and in vivo. To examine the T-cell independent DC

57 function, we crossed TGF- $\beta^{\text{ADC}}$  mice onto Rag1KO background to generate TGF- $\beta^{\text{ADC}}$ xRag1KO  
58 mice.

59  
60 **Results:** When stimulated with *H. pylori*, TGF- $\beta^{\text{ADC}}$  BMDC/splenocyte cocultures showed  
61 increased levels of proinflammatory cytokines and decreased levels of anti-inflammatory  
62 cytokines compared to control, indicating a proinflammatory DC phenotype. Following 6 months  
63 of *H. felis* infection, TGF- $\beta^{\text{ADC}}$  mice developed more severe gastritis and a trend towards more  
64 metaplasia compared to TGF- $\beta^{\text{fl/fl}}$  with increased levels of inflammatory Th1 cytokine mRNA  
65 and lower gastric *H. felis* colonization compared to infected TGF- $\beta^{\text{fl/fl}}$  mice. In a T-cell deficient  
66 background using TGF- $\beta^{\text{ADC}}$ xRag1KO mice, *H. felis* colonization was significantly lower when  
67 DC-derived TGF- $\beta$  was absent, revealing a direct, innate function of DC in controlling *H. felis*  
68 infection independent of Treg induction.

69  
70 **Conclusions:** Our findings indicate that DC-derived TGF- $\beta$  mediates *Helicobacter*-induced Treg  
71 response and attenuates the inflammatory Th1 response. We also demonstrated a previously  
72 unrecognized innate role of DC controlling *Helicobacter* colonization via a Treg independent  
73 mechanism. DC TGF- $\beta$  signaling may represent an important target in the management of *H.*  
74 *pylori*.

## 75 76 **Introduction**

77 *Helicobacter pylori* is the most common bacterial infection in humans worldwide and is present  
78 in more than half the world's population. Infection is more common in developing countries,  
79 affecting up to 80% of individuals, and is thought to be related to poor hygienic conditions<sup>1,2</sup>.  
80 Interestingly, the prevalence of *H. pylori* infection is inversely correlated with atopic dermatitis<sup>3</sup>,  
81 asthma<sup>4-6</sup>, IBD<sup>7,8</sup>, and rheumatoid arthritis<sup>9</sup>, which is hypothesized to be related to the hygiene  
82 hypothesis or immunomodulatory effects of the bacterium itself<sup>10-12</sup>.

83  
84 *H. pylori* is a gram-negative bacterium capable of colonizing the stomach and leading to chronic  
85 infection, contributing to the development of peptic ulcer disease, atrophic gastritis, MALT  
86 lymphoma, and gastric adenocarcinoma, which is the third leading cause of cancer mortality

87 worldwide<sup>13</sup>. Though infected individuals generate a robust immune response, failure to  
88 eradicate the organism is common<sup>14</sup>.

89  
90 Several mechanisms behind this immune evasion and subsequent persistent infection have been  
91 proposed. These include antigenic variation, modulation of adhesion to gastric epithelial cells,  
92 evasion of pattern recognition, direct inhibition of T cell proliferation via *vacA*, and induction of  
93 a Treg response that counters T cell immunity<sup>15,16</sup>. The evidence supporting Treg expansion is  
94 particularly robust; patients with *H. pylori* infection have demonstrated elevated levels of  
95 CD4<sup>+</sup>CD25<sup>+</sup> Tregs in the gastric and duodenal mucosa compared to non-infected patients<sup>17</sup>, and  
96 there is a correlation between Foxp3<sup>+</sup> Tregs and degree of *H. pylori* colonization<sup>18</sup>. Additionally,  
97 depletion of CD25<sup>+</sup>Foxp3<sup>+</sup> Tregs in *H. pylori*-infected mice leads to increased gastric  
98 inflammation and reduced bacterial colonization<sup>19</sup>. Local gastric mucosal infection with *H.*  
99 *pylori* in mice has also been associated with the appearance of peripherally induced Tregs in the  
100 lung<sup>20</sup>. We previously showed that *H. pylori* alters the DC-polarized Th17/Treg balance toward a  
101 Treg-biased response, which suppresses the effective induction of *H. pylori*-specific Th17  
102 immunity<sup>21</sup>. Treg depletion in a genetic model has resulted in significant inflammatory immune  
103 response and spontaneous *H. pylori* clearance<sup>22</sup>. However, the specific mechanisms behind the  
104 induction of Treg differentiation in *H. pylori* infection are not well understood.

105  
106 Emerging evidence demonstrates that dendritic cells (DCs) are involved in the response to *H.*  
107 *pylori* infection<sup>23</sup>. We have shown that DCs are recruited to the gastric mucosa after *H. pylori*  
108 infection<sup>21,24</sup>. In another study, DC-depleted neonatally infected mice showed a significant  
109 reduction in *H. pylori* CFUs compared to TGF- $\beta^{\text{fl/fl}}$  infected mice<sup>25</sup>. DC-depleted mice infected  
110 with *H. pylori* also display more severe gastritis and generate stronger Th1 and Th17 responses<sup>26</sup>.

111  
112 DCs are a rich source of TGF- $\beta$ , which modulates T cell regulation and differentiation<sup>27</sup>. TGF- $\beta$   
113 is an important immunomodulator for T cell regulation and differentiation, inducing Treg as well  
114 as Th17 differentiation<sup>28,29</sup>. *H. pylori* specific immune tolerance requires TGF- $\beta$  signaling, and  
115 mice with a dominant-negative form of the TGF- $\beta$  receptor II have demonstrated impaired Treg  
116 induction and immune tolerance<sup>22</sup>. Hence, we hypothesized that DC-derived TGF- $\beta$  mediates  
117 Treg induction, which conveys host immune tolerance in response to *H. pylori* infection.

118

119 To test this hypothesis, we generated DC-specific TGF- $\beta$  knockout C57BL6 mice (TGF- $\beta^{\Delta DC}$ ) to  
120 demonstrate that this group of DC TGF- $\beta$  deficient mice exhibit more severe mucosal  
121 inflammation and have a lower degree of bacterial colonization. *In vitro* studies using BMDCs  
122 from these TGF- $\beta^{\Delta DC}$  mice showed increased levels of pro-inflammatory cytokines following  
123 stimulation with *H. pylori* compared to control. To evaluate whether TGF- $\beta$  can induce immune  
124 tolerance independent of Treg response, we crossed TGF- $\beta^{\Delta DC}$  mice onto Rag1 KO background  
125 and generated TGF- $\beta^{\Delta DC}$ xRag1KO double KO mice. Our studies indicate that DC-derived TGF-  
126  $\beta$  plays an important role in the induction of Treg and attenuation of inflammatory Th1 response  
127 following *Helicobacter* infection. Also, TGF- $\beta$  may modulate immune tolerance independent of  
128 Treg, suggesting an innate component to TGF- $\beta$  signaling.

129

## 130 **Methods**

### 131 **Mice**

132 Mice (B6.C-Tg(itgax-cre)1-1Reiz/J, TGF- $\beta^{tm2.1Doe}$ /J, and Rag1KO) were purchased from  
133 Jackson Laboratory for breeding. We used the Cre/lox system to generate DC-specific TGF- $\beta$ 1  
134 knockout C57BL6 mice (TGF- $\beta^{\Delta DC}$ ) by crossing cCD11c-cre mice with TGF- $\beta$ 1 flox-ex6 mice  
135 (Jackson Lab) and generated TGF- $\beta^{\Delta DC}$ -Rag1KO mice by crossing TGF- $\beta^{\Delta DC}$  with Rag1KO  
136 (Jackson Lab) mice. TGF- $\beta$ 1 flox-ex6 mice served as the TGF- $\beta^{fl/fl}$  control. All animals were  
137 housed in the animal maintenance facility at the University of Michigan Health System. This  
138 research was undertaken with the approval of the Committee on Use and Care of Animals at the  
139 University of Michigan. Mouse genotypes were confirmed by quantitative PCR using mouse  
140 tails.

141

### 142 **Media and cytokines**

143 For all cell cultures, a complete medium consisted of RPMI-1640 (Sigma, Milwaukee, WI) with  
144 10% heat-inactivated fetal calf serum (ISC Biosciences, Kaysville, UT), 2 mM added Glutamine  
145 (4 mM total), and 100 U/mL Penicillin-Streptomycin. The following recombinant cytokines  
146 (R&D Systems, Minneapolis, MN) were diluted in complete medium: mGM-CSF (10 ng/mL)  
147 and IL-4 (10 ng/mL) for BMDC.

148

149 **Generation of bone marrow-derived DCs**

150 BMDCs from TGF- $\beta^{\text{fl/fl}}$  or TGF- $\beta^{\text{ADC}}$  mice were derived using mouse GM-CSF (10 ng/mL) and  
151 IL-4 (10 ng/mL) as previously described<sup>19</sup> except BMDCs were cultured with serum free  
152 RPMI1640 to exclude exogenous serum TGF- $\beta$ . and cultured with RPMI1640 containing 10%  
153 fetal bovine serum (FBS) BMDCs were harvested and enriched ( $10^6$  cells/mL) by gradient  
154 centrifugation using OptiPrep density solution (Sigma, St. Louis, MO) according to the  
155 manufacturer's instructions on day 6. For *H. pylori*-stimulated BMDC experiments,  $1 \times 10^6$   
156 cells/mL of BMDCs were plated in a 12 well plate, treated with  $10^7$ CFU/mL *H. pylori* (DC to *H.*  
157 *pylori* ratio of 1 to 10),  $10^7$ CFU/mL *Escherichia coli* (*E. coli*) (DC to *E. coli* ratio of 1 to 10),  
158 PBS, or *E. coli* lipopolysaccharide (LPS). After overnight (18h) culture, the supernatant was  
159 harvested and TGF-  $\beta$  was measured using ELISA.

160

161 ***Helicobacter* culture and infection**

162 *H. pylori* SS1 was cultured on Campylobacter-selective agar (BD Diagnostics, Bedford, MA,  
163 USA) for 3 days in a humidified microaerophilic chamber at 37°C (BBL Gas System, with  
164 CampyPak Plus packs, BD Biosciences San Jose, CA) as previously described<sup>21</sup>.

165 *H. felis* was cultured in sterile-filtered Brucella broth (BD, Franklin Lakes, NJ) with 10% FBS  
166 (Atlanta Biologicals, Lawrenceville, GA) using the GasPak™ EZ Campy Container System  
167 (BD) at 37°C at an agitation rate of 150 rpm for 3-5 days. The cultures were spun down at 2700  
168 rpm at room temperature, and the pellets resuspended in Brucella broth plus 10% FBS (Thermo  
169 Fisher Scientific, Houston, TX). Bacteria were counted using a hemocytometer by diluting the  
170 cells 1:100 in 9:1 HBSS/Formalin solution. TGF- $\beta^{\text{ADC}}$ , TGF- $\beta^{\text{fl/fl}}$ , TGF- $\beta^{\text{ADC}}$ xRag1KO, and  
171 control TGF- $\beta^{\text{ADC}}$  mice were gavaged 3 times over 5 days with  $10^8$  CFU *H. felis* in 100  $\mu$ L of  
172 Brucella broth.

173

174 **Animal studies**

175 After 6 months infection with *H. felis*, the mice were euthanized. The stomach was removed and  
176 analyzed. In addition, splenocytes from TGF- $\beta^{\text{fl/fl}}$  or TGF- $\beta^{\text{ADC}}$  mice were cocultured for 18h  
177 with BMDCs from uninfected control mice and  $10^7$  CFU/mL *H. felis*. The splenocyte-to-BMDC  
178 ratio was 10 to 1. After 72 h, the supernatant was collected and IL-12p70, IFN- $\gamma$ , and TNF- $\alpha$   
179 levels were measured by ELISA (eBioscience/BD Biosciences, San Diego, CA/San Jose, CA).

180 Splenocytes were collected and the percentages of CD4<sup>+</sup>FoxP3<sup>+</sup> T cells (Treg) were measured by  
181 fluorescence-activated cell sorting (FACS).

182

### 183 **Histological scoring**

184 The stomachs of mice were removed and two adjacent full-thickness longitudinal strips were  
185 removed from the lesser and greater curvatures of the stomach and fixed in formalin for  
186 histologic analysis. The specimens were scored according to previously published protocol<sup>30</sup>.  
187 Briefly, 200x microscopic fields were scored individually for the presence or absence of each of  
188 the following 4 histological criteria: 1) polymorphonuclear leukocytes neutrophilic (PMN)  
189 infiltration, 2) mononuclear infiltration, 3) follicles, and 4) epithelial metaplasia. The gastritis  
190 score is defined as the the sum of the percentage of 200x microscopic fields with PMN,  
191 mononuclear infiltration, and follicles. The percentage of 200x microscopic fields with epithelial  
192 metaplasia was also measured.

193

### 194 **Extraction of RNA, reverse transcription, and quantitative real-time polymerase chain 195 reaction (RT-PCR)**

196 Total RNA from stomach samples was prepared using the RNeasy Mini Kit (QIAGEN, Hilden,  
197 Germany). Samples were reverse-transcribed using iScript<sup>TM</sup> cDNA Synthesis Kit (BIO-RAD,  
198 Hercules, California). Expression of *H. felis*, TGF- $\beta$ , TNF- $\alpha$ , IFN- $\gamma$ , IL-12, IL-6, IL-1 $\beta$ , IL-10,  
199 and HPRT RNA was measured using iQ<sup>TM</sup>SYBR Green Supermix Kit obtained from BIO-RAD.  
200 Primers are shown in **Table 1**. Finally, quantitation of relative differences in expression was  
201 calculated using the comparative  $2^{-\Delta\Delta CT}$  method<sup>31</sup>.

202

### 203 **Statistical analysis**

204 The results were evaluated using unpaired Student's t-tests (Mean $\pm$ SEM). Statistics were  
205 performed in the GraphPad Prism program suite (GraphPad Software, Inc., La Jolla, CA).  
206 Significant values were indicated as follows: \* $P < 0.05$ , \*\* $P < 0.01$ , and \*\*\* $P < 0.001$ .

207

## 208 **RESULTS**

209 **TGF- $\beta^{ADC}$  DCs produce diminished TGF- $\beta$  and exhibit an inflammatory phenotype**

210 We previously showed that BMDCs produced TGF- $\beta$  at homeostasis as well as when exposed to  
211 *H. pylori*<sup>21</sup>, suggesting DC production of TGF- $\beta$  may contribute to immune tolerance in *H.*  
212 *pylori* infection. To test this hypothesis, we generated a DC-specific TGF- $\beta$  knockout murine  
213 model (**Figure 1A**). We verified DC-specific TGF- $\beta$  depletion by comparing BMDC TGF- $\beta$   
214 production in TGF- $\beta^{\text{fl/fl}}$  vs TGF- $\beta^{\text{ADC}}$  mice (**Figure 1B**).

215  
216 When stimulated with PBS, *H. pylori*, *E. coli*, or LPS *in vitro*, TGF- $\beta^{\text{ADC}}$  BMDC and splenocyte  
217 coculture supernatant contained markedly lower levels of TGF- $\beta$  than control TGF- $\beta^{\text{fl/fl}}$  BMDC  
218 coculture supernatant (**Figure 2A**). Proinflammatory cytokine levels were significantly higher in  
219 the TGF- $\beta^{\text{ADC}}$  group when stimulated with *H. pylori*, *E. coli*, and LPS (**Figure 2B**). Anti-  
220 inflammatory IL-10 levels were decreased in the TGF- $\beta^{\text{ADC}}$  group compared to control when  
221 stimulated with *H. pylori*, *E. coli*, and LPS (**Figure 2C**). Overall, this decrease in anti-  
222 inflammatory cytokine levels and an increase in proinflammatory cytokine levels indicates a  
223 proinflammatory DC phenotype.

#### 224 225 **TGF- $\beta^{\text{ADC}}$ mice infected with *H. felis* develop more severe gastritis compared to infected** 226 **TGF- $\beta^{\text{fl/fl}}$ control mice**

227 Next, we infected the TGF- $\beta^{\text{ADC}}$  mice and TGF- $\beta^{\text{fl/fl}}$  mice with *H. felis* ( $10^8$  CFU/mL *H. felis* via  
228 gavage 3 times over 5 days). *H. felis* was used as it produces more severe gastritis in mice and  
229 achieves higher levels of colonization compared to *H. pylori*<sup>32-34</sup>. Our data show that after 6  
230 months of *H. felis* infection, TGF- $\beta^{\text{ADC}}$  mice developed more severe gastritis compared to control  
231 TGF- $\beta^{\text{fl/fl}}$  mice, as evidenced by increased neutrophils, gland distortion, and metaplasia. Gastric  
232 TGF- $\beta$  mRNA expression was confirmed to be significantly decreased in the TGF- $\beta^{\text{ADC}}$  mice  
233 compared to wildtype (**Figure 3A**). Representative micrographs of gastric histology are shown in  
234 **Figure 3B**. The gastritis score for the TGF- $\beta^{\text{ADC}}$  group was 2.7 fold higher than in the control  
235 group ( $p < 0.01$ ) (**Figure 3C**), showing that in the absence of DC-TGF- $\beta$ , infected mice developed  
236 more severe gastritis compared to control. Additionally, there was a trend towards increased  
237 metaplasia in the TGF- $\beta^{\text{ADC}}$  mice although values that did not reach statistical significance  
238 ( $p = 0.11$ ) (**Figure 3D**). These findings indicate DC-derived TGF- $\beta$  plays a role in modulating  
239 gastric inflammation and likely subsequent metaplasia in *Helicobacter* infection.

240



241 **TGF- $\beta^{\text{ADC}}$  mice infected with *H. felis* display elevated Th1 cytokine production and**  
242 **decreased *H. felis*-specific Treg response and gastric colonization.**

243 We next examined the *in vivo* TGF- $\beta^{\text{ADC}}$  mouse cytokine response to *H. felis* infection vs control  
244 TGF- $\beta^{\text{fl/fl}}$  mouse cytokine response. Stomach samples taken after euthanasia at 6 months showed  
245 higher levels of IFN- $\gamma$ , TNF- $\alpha$ , and IL-12 compared to the levels in the control TGF- $\beta^{\text{fl/fl}}$  group  
246 (**Figure 4A**), indicating a stronger Th1 response. In the TGF- $\beta^{\text{ADC}}$  mice, levels of IFN- $\gamma$ , TNF- $\alpha$ ,  
247 and IL-12 were significantly higher than levels observed in the control group ( $p < 0.05$ ) (**Figure**  
248 **4A**). Moreover, the spleens of *H. felis*-infected TGF- $\beta^{\text{ADC}}$  mice showed a decreased *H. felis*-  
249 specific Treg response compared to control TGF- $\beta^{\text{fl/fl}}$  spleens (**Figure 4B**,  $p < 0.05$ ). Also, we  
250 determined that increased gastritis severity and inflammatory cytokine production were  
251 associated with decreased *H. felis* colonization. We quantified gastric *H. felis* mRNA using RT-  
252 PCR on the stomach samples from infected mice to measure colonization. Infected TGF- $\beta^{\text{ADC}}$   
253 mice had a lower degree of gastric *H. felis* mRNA compared to infected TGF- $\beta^{\text{fl/fl}}$  mice (**Figure**  
254 **4C**) indicating lower colonization in the knockout mice. These data support the hypothesis that  
255 TGF- $\beta$  plays an important role in immune tolerance leading to persistent *Helicobacter* infection.

257 **TGF- $\beta^{\text{ADC}}$ xRag1KO mice (DC-TGF- $\beta$  deficient and T cell-deficient) display lower degree of**  
258 **gastric *H. felis* colonization compared to Rag1 KO mice**

259 To investigate whether TGF- $\beta$  acts solely via modulation of the adaptive immune response to  
260 induce immune tolerance to *H. felis*, generated TGF- $\beta^{\text{ADC}}$ xRag1KO mice by crossing T and B  
261 cell-deficient Rag1KO mice with TGF- $\beta^{\text{ADC}}$  mice (**Figure 5A**). TGF- $\beta^{\text{fl/fl}}$  mice served as the  
262 control. We compared *H. felis* colonization after 6 months in these two groups. As T cells are  
263 required for gastritis, neither group of mice displayed evidence of significant histological  
264 gastritis (data not shown and previously reported<sup>35</sup>) and inflammatory cytokines mRNA (IFN- $\gamma$ ,  
265 IL-6, IL-1 $\beta$ , IL-10, and TNF- $\alpha$ ) measured were not significantly different between the two  
266 groups (**Figure 5B**). However, independent of gastric inflammation, the infected DKO (TGF-  
267  $\beta^{\text{ADC}}$ xRag1KO) mice had lower levels of *H. felis* mRNA in the gastric tissue compared to  
268 infected Rag1KO mice ( $p < 0.05$ , **Figure 5C**). This suggests that while Treg response contributes  
269 to *Helicobacter* immune tolerance, DC-derived TGF- $\beta$  has an additional innate role independent  
270 of Treg expansion or modification of T cell response which supports *Helicobacter* colonization.

271

272 **DISCUSSION**

273 *H. pylori* colonizes half of the world's population and most of those infected are asymptomatic.  
274 However, *H. pylori* infection can cause decades-long gastritis. This long term infection and  
275 chronic inflammation result in the development of peptic ulcer disease, gastric adenocarcinoma,  
276 and MALT lymphoma<sup>36-38</sup>. Despite persistent gastric inflammation with vigorous humoral and  
277 cellular immune responses, humans frequently fail to clear the bacterium and colonization  
278 persists for life unless treated. This failure to eradicate *H. pylori* has been attributed to ineffective  
279 host immune response and the induction of immune tolerance.

280  
281 DCs are recruited to the gastric epithelium during *H. pylori* infection<sup>21,24,39</sup>. These antigen-  
282 presenting cells can migrate from the peripheral tissue to the draining lymph node or spleen with  
283 the captured antigen, where they present the antigen to naïve T-cells and initiate host immunity<sup>40</sup>.  
284 As such, they function as a link between the innate and adaptive immune responses. Depending  
285 on the local environment and costimulatory signals, DCs may activate cytotoxic/helper T cells  
286 and B cells<sup>41</sup>. They also help maintain immunologic tolerance to self and commensal bacteria by  
287 presenting these antigens in the absence of inflammatory cytokines<sup>42</sup>. We have previously shown  
288 that dendritic cells are recruited to the gastric mucosa following *H. pylori* infection and that *H.*  
289 *pylori* can induce tolerogenic programming of DCs to inhibit the host immune response<sup>21,24</sup>.  
290 Using a mouse model of *H. pylori* infection, we showed that *H. pylori* DNA downregulates DC  
291 production of pro-inflammatory cytokines IL-12 and type 1 interferon<sup>43</sup>. This may be mediated  
292 by increased frequency of an immunoregulatory sequence, TTTAGGG, which likely activates  
293 the DNA-sensing TLR-9 signaling pathway<sup>44</sup>. In addition to its DNA, *H. pylori* cell wall LPS  
294 activates DC TLR-2 to inhibit Th1 immunity and induce immune tolerance<sup>45</sup>. However, the  
295 mediators behind this immunoregulatory function have not been fully elucidated.

296  
297 Since TGF- $\beta$  induces naïve T cell differentiation into Foxp3<sup>+</sup> regulatory T cells, we hypothesized  
298 that TGF- $\beta$  produced by BMDCs is the key mediator in Treg activation and inhibition of the  
299 immune response, leading to the immune tolerance commonly observed in *H. pylori* infection.  
300 To test this hypothesis, we generated DC-specific TGF- $\beta$  knockout mice and verified the  
301 successful knockdown of TGF- $\beta$  from BMDCs in vitro. When infected with *H. felis*, these mice  
302 developed more severe gastritis accompanied by enhanced Th1 response with marked elevation

303 in IFN- $\gamma$  and TNF- $\alpha$  production. They also displayed 77% lower colonization compared to wild  
304 type mice. The spleen from these TGF- $\beta^{\text{ADC}}$  mice had a 29% decrease in FoxP3<sup>+</sup>Tregs compared  
305 to wildtype. Taken together, these in vivo and in vitro studies showed that BMDC-derived TGF-  
306  $\beta$  plays an important role in *H. pylori* infection by modulating gastric inflammation and inducing  
307 Treg differentiation, leading to immune tolerance and *Helicobacter* persistence. This observation  
308 is consistent with the known immunomodulatory roles of TGF- $\beta$  in suppressing effector T cell  
309 proliferation and inducing Treg differentiation<sup>46,47</sup>.

310  
311 Following *H. pylori* infection, TGF- $\beta$  production is upregulated in many cells, including gastric  
312 fibroblasts, FoxP3<sup>+</sup>Tregs, macrophages, and DCs<sup>20,48,49</sup>. In this study, we demonstrated a clear  
313 role for DC-derived TGF- $\beta$  in Treg expansion. Based on our observations and other findings  
314 reported in the literature<sup>50-52</sup>, we propose that following *H. pylori* infection, DCs migrate to  
315 peripheral lymphoid tissue, release TGF- $\beta$ , stimulate Treg induction, and thus influence systemic  
316 immunity, which may lead to a reduction of inflammatory Th1 cytokines and enhanced  
317 colonization.

318  
319 To examine whether DC-derived TGF- $\beta$  acts solely by affecting T cell differentiation to induce  
320 immune tolerance following *H. pylori* infection, we generated double knock out mice by  
321 crossing TGF- $\beta^{\text{ADC}}$  with Rag1KO and infected these DKO mice with *H. felis*. We reasoned that  
322 if DC-derived TGF- $\beta$  acts to induce immune tolerance via Treg induction, the degree of *H. felis*  
323 colonization would be similar between the TGF- $\beta^{\text{ADC}}$ xRag1KO and Rag1KO mice. As expected,  
324 we did not detect gastritis in either group of mice because T cells are required for the  
325 development of mucosal inflammation. IFN- $\gamma$ , IL-6, IL-1 $\beta$ , IL-10, and TNF- $\alpha$  mRNA levels  
326 were not significantly different between the Rag1KO and TGF- $\beta^{\text{ADC}}$ xRag1KO mice, supporting  
327 the absence of gastritis. However, we observed a lower *H. felis* colonization in the TGF-  
328  $\beta^{\text{ADC}}$ xRag1KO mice compared to the Rag1KO mice. This suggests that in addition to acting on  
329 adaptive immunity, DC-derived TGF- $\beta$  may also exert its effects via a T cell-independent  
330 pathway. This reveals a direct innate immune function of DCs in the response to *Helicobacter*  
331 infection. It is conceivable that DC-derived TGF- $\beta$  may act via autocrine signaling pathways that  
332 further upregulate DC TGF- $\beta$  expression, and may have wide-ranging effects on the innate  
333 immune populations similar to the effect of Tregs in suppressing innate lymphoid cells<sup>53,54</sup>.

334 Additionally, TGF- $\beta$  has been shown to suppress TLR signaling and inhibit myeloid cell  
335 activation<sup>55,56</sup>. These possibilities would be worthwhile targets for investigation, though are  
336 beyond the scope of our current study.

337  
338 In conclusion, our findings demonstrate that DC-derived TGF- $\beta$  mediates Treg response in *H.*  
339 *pylori* infection, resulting in an attenuated Th1 inflammatory response. Using a double knockout  
340 mouse model, we also demonstrated a previously unrecognized innate role of DCs orchestrating  
341 response to *Helicobacter* colonization via a Treg-independent mechanism.

342  
343 **AUTHORSHIP**  
344 SYO and MZ performed the experiments, analyzed the data, and wrote the manuscript. KAE  
345 provided the histological interpretation of the mouse stomach. MEZ, GH, HG, and SB provided  
346 critiques on the study design and data interpretation. JYK conceived and designed the study and  
347 critically revised the manuscript.

348  
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353  
354 **CONFLICT OF INTEREST STATEMENT**  
355 The authors have no relevant competing interests.

356  
357 **REFERENCES**  
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504

## 505 **FIGURE LEGENDS**

506

507 **Figure 1.** Generation of TGF- $\beta^{\Delta DC}$  mice, which are TGF- $\beta$ -deficient. (A) TGF- $\beta^{\Delta DC}$  mice were  
508 generated by crossing cD11c-cre mice with TGF- $\beta$ 1 flox-ex6 mice, which were used as the TGF-  
509  $\beta^{fl/fl}$  control. (B) BMDCs derived from wt (TGF- $\beta^{fl/fl}$ ) vs TGF- $\beta^{\Delta DC}$  mice were cultured for 18h  
510 and supernatant TGF- $\beta$  was quantified using enzyme-linked immune absorbent assay (ELISA),  
511 confirming deficient TGF- $\beta$  production in the TGF- $\beta^{\Delta DC}$  DCs. DC = dendritic cells, PBS =  
512 phosphate-buffered saline. Results are shown as mean  $\pm$  S.E.M. \* $P < 0.05$ .

513

514 **Figure 2.** TGF- $\beta^{\Delta DC}$  bone marrow-derived DCs produce diminished TGF- $\beta$  and exhibit an  
515 inflammatory phenotype. BMDCs derived from control (TGF- $\beta^{fl/fl}$ ) vs TGF- $\beta^{\Delta DC}$  mice were  
516 cultured for 18h with *H. pylori* ( $10^7$  CFU *H. pylori* to DC ratio 1:10), *E. coli* ( $10^7$  CFU, *E. coli* to  
517 DC ratio 1:10), PBS, or LPS. Supernatant levels of (A) TGF- $\beta$  were measured. When these  
518 BMDCs were cocultured with splenocytes, (B) IL-23p19 and IL-12 as well as (C) IL-10 were  
519 measured via ELISA. Data are representative of the results of three independent experiments.  
520 DC = dendritic cells, PBS = phosphate-buffered saline, EC = *E. coli*, HP = *H. pylori*. Results  
521 are shown as mean  $\pm$  S.E.M. \* $P < 0.05$ , \*\*  $P < 0.01$ , \*\*\* $P < 0.001$ .

522

523 **Figure 3.** TGF- $\beta^{\Delta DC}$  mice infected with *H. felis* show reduced gastric TGF- $\beta$  expression and  
524 develop more severe gastritis compared to infected control (TGF- $\beta^{fl/fl}$ ) mice. TGF- $\beta^{\Delta DC}$  or TGF-  
525  $\beta^{fl/fl}$  C57BL/6 mice (n = 10 per group) were orally challenged with *H. felis* ( $10^8$  CFU/mL) 3  
526 times over 5 days starting on day 0. Stomach samples were analyzed after sacrifice at 6 months.  
527 Gastritis scores were determined in a blinded fashion. (A) TGF- $\beta$  expression as measured via  
528 quantitative PCR. (B) Micrographs of gastric histology. (C) Gastritis score and (D) metaplasia in

529 stomach samples from wt (TGF- $\beta^{fl/fl}$ ) vs TGF- $\beta^{ADC}$  mice infected with *H. felis*. Results are  
530 shown as mean  $\pm$  S.E.M. **\*\* $P < 0.01$** .

531  
532 **Figure 4.** *H. felis*-infected TGF- $\beta^{ADC}$  mice show increased Th1 responses, decreased Treg  
533 responses, and increased *H. felis* colonization compared to control. After chronic *H. felis*  
534 infection of 6-month duration, stomachs from TGF- $\beta^{fl/fl}$  and TGF- $\beta^{ADC}$  mice were removed.  
535 Splenocytes from these mice were cocultured with BMDCs from uninfected control mice and  
536  $10^7$  CFU/mL *H. felis*. After 18h, expression of (A) IFN $\gamma$ , TNF $\alpha$ , and IL-12 mRNA was measured  
537 via qPCR. (B) Splenocytes were stimulated with *H. felis* lysate and *H. felis*-specific CD4<sup>+</sup>FoxP3<sup>+</sup>  
538 T cells via flow cytometry, and (C) *H. felis* mRNA via quantitative PCR (n=10 mice per group).  
539 Results are shown as mean  $\pm$  S.E.M. \*  $P < 0.05$ , \*\*\* $P < 0.0001$ .

540  
541 **Figure 5.** TGF- $\beta^{ADC}$ xRag1KO double knockout mice (TGF- $\beta$  deficient and T cell-deficient)  
542 show a lower degree of gastric *H. felis* colonization independent of inflammatory cytokine  
543 levels. After chronic 6-month *H. felis* infection, the stomachs from Rag1KO and Rag1KO/TGF-  
544  $\beta^{ADC}$  mice were removed (n=10 mice per group). (A) Schematic representation TGF-  
545  $\beta^{ADC}$ xRag1KO generation. (B) Gastric cytokine levels including IFN- $\gamma$ , IL-6, IL-1 $\beta$ , IL-10, and  
546 TNF- $\alpha$  were measured via quantitative PCR and were not significantly different between the  
547 Rag1KO and TGF- $\beta^{ADC}$ xRag1KO mice. (C) *H. felis* mRNA was measured via quantitative PCR  
548 to assess colonization (n=10 mice per group). Results are shown as mean  $\pm$  S.E.M. \*  $P < 0.05$ .

**Table 1.** Primers and annealing temperatures used for the amplification of each gene.

Gene	Primer(5'-3')	Annealing temperature
HPRT	F:5'-AGGACCTCTCGAAGTGTGGATAC-3'	65
	R:5'-AACTTGCGCTCATCTTAGGCTTTG-3'	
IL-6	F:5'-GAGGATACCACTCCCAACAGACC-3'	65
	R:5'-AAGTGCATCATCGTTGTTTCATACA-3'	
IL-10	F:5'-AGTGGAGCAGGTGAAGAGTG-3'	58
	R:5'-TTCGGAGAGAGGTACAAACG-3'	
IFN- $\gamma$	F:5'TCAAGTGGCATAGATGTGGAAGAA--3'	65
	R:5'-TGGCTCTGCAGGATTTTCATG-3'	
FoxP3	F:5'-TCTCCAGGTTGCTCAAAGTC-3'	58
	R:5'-GCAGAAGTTGCTGCTTTAGG-3'	
TNF- $\alpha$	F:5'-CATCTTCTCAAATTCGAGTGACAA-3'	65
	R:5'-TGGGAGTAGACAAGGTACAACCC-3'	
TGF- $\beta$	F:5'-GCTACCATGCCAACTTCTGT-3'	58
	R:5'-CGTAGTAGACGATGGGCAGT-3'	

# Figure 1

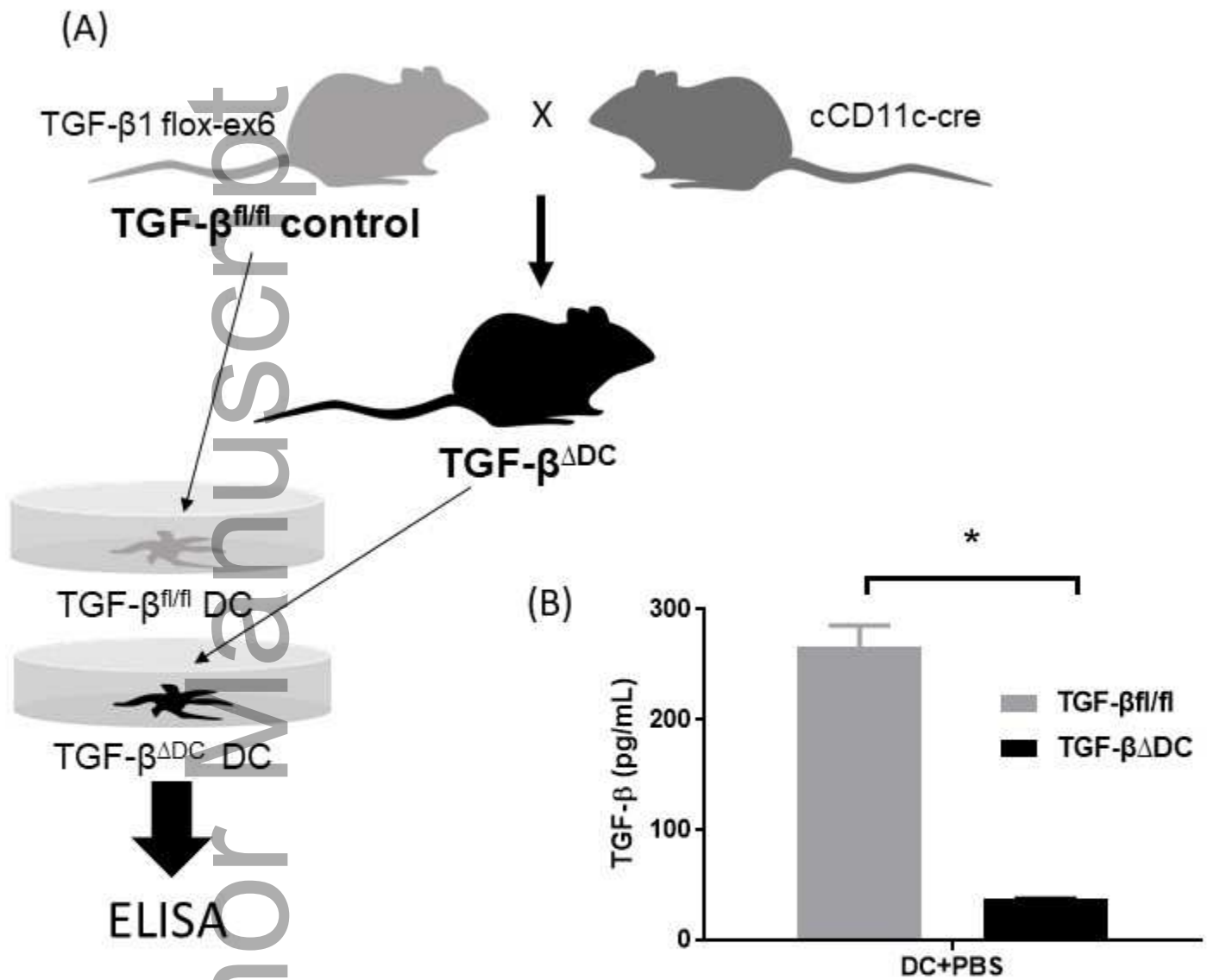


Figure 2

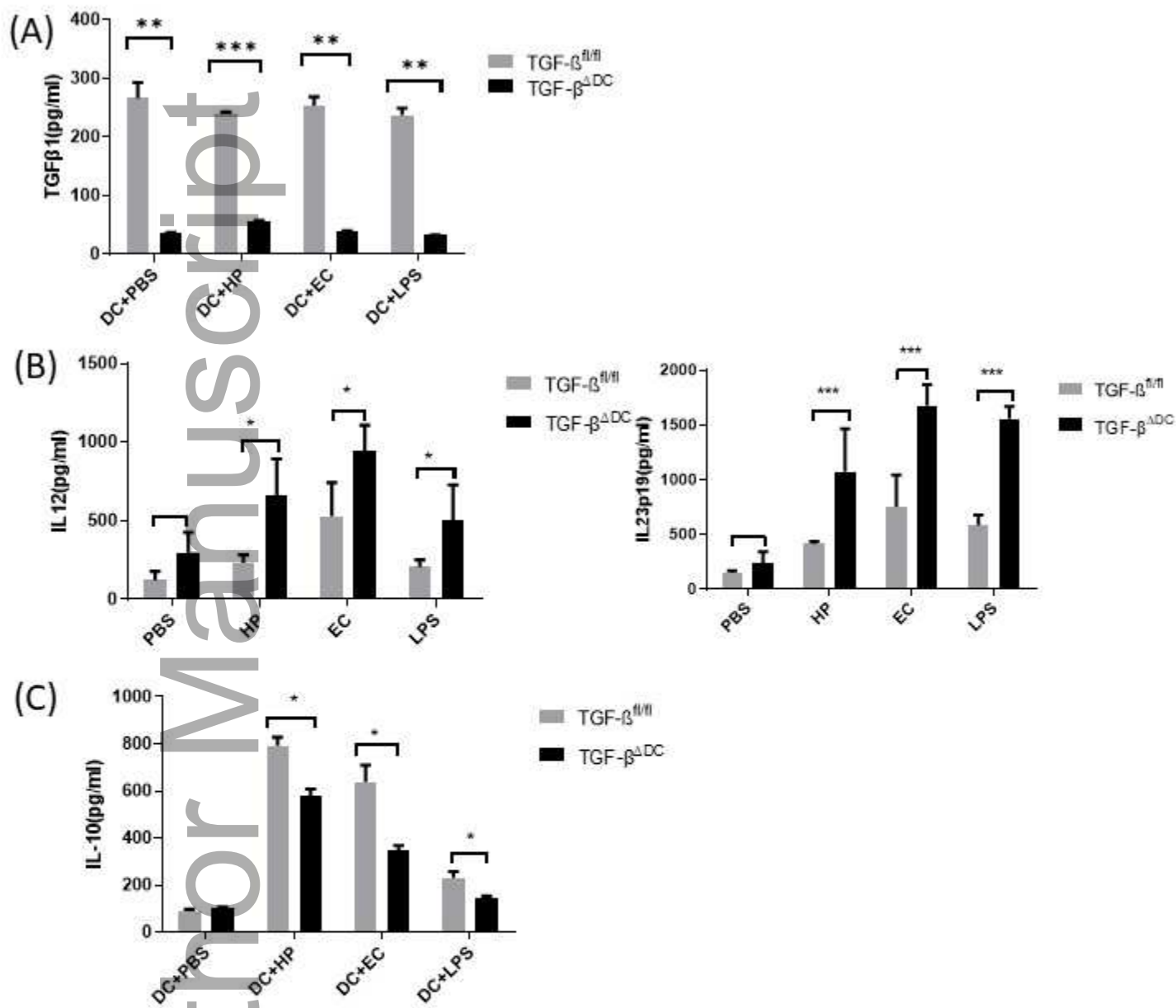




Figure 4

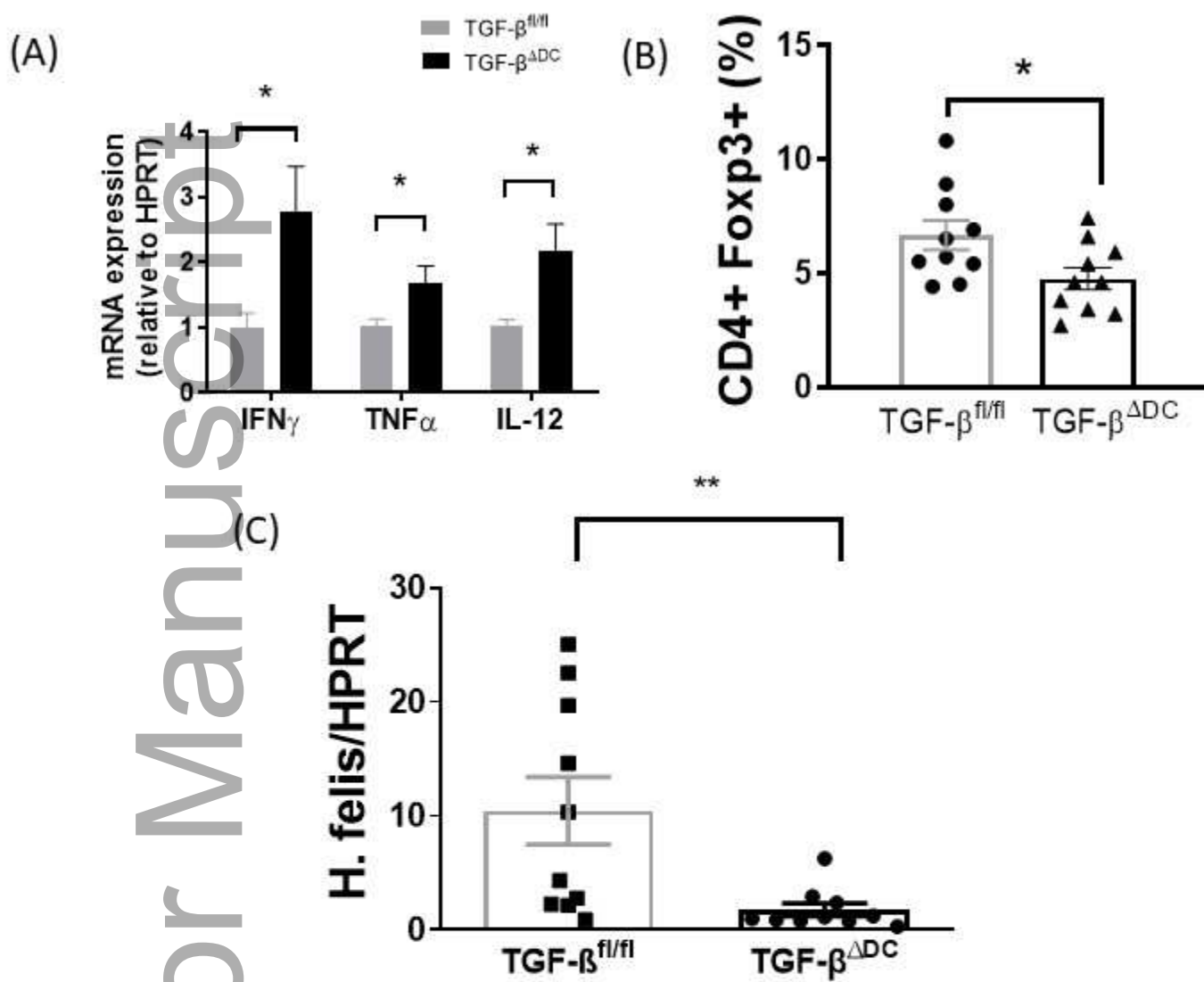




Figure 5

