

Paganoni Sabrina (Orcid ID: 0000-0003-0505-1168)
Goutman Stephen (Orcid ID: 0000-0001-8780-6637)

Sodium Phenylbutyrate-Taurursodiol ALS Long-Term Survival 1
Long-Term Survival of Participants in the CENTAUR Trial of Sodium Phenylbutyrate-Taurursodiol in ALS

Authors: Sabrina Paganoni, MD, PhD^{1,2}; Suzanne Hendrix, PhD³; Samuel P. Dickson, PhD³; Newman Knowlton, MS³; Eric A. Macklin, PhD⁴; James D. Berry, MD¹; Michael A. Elliott, MD⁵; Samuel Maiser, MD⁶; Chafic Karam, MD⁷; James B. Caress, MD⁸; Margaret Ayo Owegi, DO⁹; Adam Quick, MD¹⁰; James Wymer, MD, PhD¹¹; Stephen A. Goutman, MD¹²; Daragh Heitzman, MD¹³; Terry D. Heiman-Patterson, MD¹⁴; Carlayne E. Jackson, MD¹⁵; Colin Quinn, MD¹⁶; Jeffrey D. Rothstein, MD, PhD¹⁷; Edward J. Kasarskis, MD, PhD¹⁸; Jonathan Katz, MD¹⁹; Liberty Jenkins, MD¹⁹; Shafeeq Ladha, MD²⁰; Timothy M. Miller, MD, PhD²¹; Stephen N. Scelsa, MD²²; Tuan H. Vu, MD²³; Christina N. Fournier, MD²⁴; Jonathan D. Glass, MD²⁴; Kristin M. Johnson, DO²⁵; Andrea Swenson, MD²⁶; Namita A. Goyal, MD²⁷; Gary L. Pattee, MD²⁸; Patricia L. Andres, MS, DPT²⁹; Suma Babu, MBBS, MPH¹; Marianne Chase, BA¹; Derek Dagostino, BA¹; Meghan Hall, MS²⁰; Gale Kittle, RN, MPH²⁰; Matthew Eydinov, MS¹; Michelle McGovern, BS¹; Joseph Ostrow, BS¹; Lindsay Pothier, BA¹; Rebecca Randall, MS, RD²⁰; Jeremy M. Shefner, MD, PhD²⁰; Alexander V. Sherman, MSc¹; Maria E. St. Pierre, MA¹; Eric Tustison, BA¹; Prasha Vigneswaran, MS¹; Jason Walker, BS¹; Hong Yu, MS¹; James Chan, MA⁴; Janet Wittes, PhD³⁰; Zi-Fan Yu, ScD³⁰; Joshua Cohen, BSE³¹; Justin Klee, ScB³¹; Kent Leslie, MS³¹; Rudolph E. Tanzi, PhD¹; Walter Gilbert, PhD³²; Patrick D. Yeramian, MD, MBA³¹; David Schoenfeld, PhD⁴; Merit E. Cudkowicz, MD¹

This is the author manuscript accepted for publication and has undergone full peer review but has not been through the copyediting, typesetting, pagination and proofreading process, which may lead to differences between this version and the Version of Record. Please cite this article as doi: [10.1002/mus.27091](https://doi.org/10.1002/mus.27091)

Author affiliations: ¹Sean M. Healey and AMG Center for ALS & the Neurological Clinical Research Institute, Massachusetts General Hospital, Harvard Medical School, Boston, Massachusetts; ²Spaulding Rehabilitation Hospital, Harvard Medical School, Boston, Massachusetts; ³Pentara Corporation, Millcreek, Utah; ⁴Biostatistics Center, Massachusetts General Hospital, Harvard Medical School, Boston, Massachusetts; ⁵Swedish Neuroscience Institute, Seattle, Washington; ⁶Hennepin Healthcare, Minneapolis, Minnesota; ⁷Department of Neurology, Oregon Health & Science University, Portland, Oregon; ⁸Department of Neurology, Wake Forest School of Medicine, Winston-Salem, North Carolina; ⁹University of Massachusetts Memorial Medical Center, Worcester, Massachusetts; ¹⁰Department of Neurology, The Ohio State University College of Medicine, Columbus, Ohio; ¹¹Department of Neurology, University of Florida College of Medicine, Gainesville, Florida; ¹²Department of Neurology, University of Michigan, Ann Arbor, Michigan; ¹³Texas Neurology, Dallas, Texas; ¹⁴Department of Neurology, Lewis Katz School of Medicine, Temple University, Philadelphia, Pennsylvania; ¹⁵The University of Texas Health Science Center at San Antonio, San Antonio, Texas; ¹⁶Department of Neurology, University of Pennsylvania Perelman School of Medicine, Philadelphia, Pennsylvania; ¹⁷Brain Science Institute and Department of Neurology, Johns Hopkins University, Baltimore, Maryland; ¹⁸Department of Neurology, University of Kentucky College of Medicine, Lexington, Kentucky; ¹⁹California Pacific Medical Center Research Institute and Forbes Norris MDA/ALS Research and Treatment Center, San Francisco, California; ²⁰Gregory

W. Fulton ALS Center, Barrow Neurological Institute, Phoenix, Arizona; ²¹Department of Neurology, Washington University School of Medicine in St. Louis, St. Louis, Missouri; ²²Department of Neurology, Mount Sinai Beth Israel, Icahn School of Medicine at Mount Sinai, New York, New York; ²³Department of Neurology, University of South Florida Morsani College of Medicine, Tampa, Florida; ²⁴Departments of Neurology and Pathology, Emory University School of Medicine, Atlanta, Georgia; ²⁵Department of Neurology, Ochsner Health System, New Orleans, Louisiana; ²⁶Department of Neurology, University of Iowa Carver College of Medicine, Iowa City, Iowa; ²⁷Department of Neurology, University of California, Irvine School of Medicine, Irvine, California; ²⁸Neurology Associates, Lincoln, Nebraska; ²⁹Independent consultant, Nobleboro, ME; ³⁰Statistics Collaborative, Inc., Washington, DC; ³¹Amylyx Pharmaceuticals, Inc., Cambridge, Massachusetts; ³²Harvard University, Cambridge, Massachusetts

Correspondence to:

Sabrina Paganoni, MD, PhD

Sean M. Healey and AMG Center for ALS

Massachusetts General Hospital

165 Cambridge Street, Suite 600

Boston, MA 02114

Email: spaganoni@mgh.harvard.edu

Running title: Sodium Phenylbutyrate-Taurursodiol ALS Long-Term Survival

Word counts: abstract=175; manuscript=2361

Key words (total of 5): sodium phenylbutyrate-taurursodiol; amyotrophic lateral sclerosis; motor neuron disease; survival analysis; CENTAUR

Acknowledgments: The authors wish to thank the individuals who participated in the CENTAUR and open-label extension trials as well as their caregivers and families; Mr. Steve Kolb and the late Mr. Stephen Winthrop, who brought the voices of caregivers for persons with ALS and persons with ALS, respectively, to this trial as members of the Steering Committee; the Partners Human Research Committee, for serving as the single Institutional Review Board of record for the study; the Medical Monitor, Dr. Anne-Marie Wills; Data and Safety Monitoring Board members, Dr. Lorne Zinman and Dr. Myles Keroack; and the CENTAUR coordination center and trial site staff (see Appendix online). This analysis was funded by Amylyx Pharmaceuticals, Inc., the ALS Finding A Cure® Foundation, and The ALS Association. Lara Primak, MD, and Dipanwita Ghose, MS, PhD, of PRECISIONScientia provided medical writing assistance with the development and revision of the manuscript under the direction of the

authors, with financial support from Amylyx and in compliance with international Good Publication Practice guidelines.

Portions of this manuscript were presented at the 2020 Annual Northeast Amyotrophic Lateral Sclerosis Consortium Meeting.

Author Manuscript

Ethical Publication Statement

The authors confirm that we have read the Journal's position on issues involved in ethical publication and affirm that this report is consistent with those guidelines.

Author Manuscript

Conflicts of Interest

Sabrina Paganoni, MD, PhD: reports grants from Amylyx Pharmaceuticals, Inc., grants from The ALS Association, grants from ALS Finding a Cure, during the conduct of the study; grants from Revalesio Corporation, grants from Ra Pharma, grants from Biohaven, grants from Clene Nanomedicine, grants from Prilenia, outside the submitted work. Suzanne Hendrix, PhD: reports other personal fees from Pentara Corporation, outside the submitted work. Samuel P. Dickson, PhD: reports other personal fees from Pentara Corporation, outside the submitted work. Eric A. Macklin, PhD: reports grants from Amylyx Pharmaceuticals, Inc., grants from ALS Association, grants from ALS Finding a Cure Foundation, during the conduct of the study; DSMB member and reports grants from Acorda Therapeutics, Steering Committee Member for Biogen, consultant for Cerevance, grants from GlaxoSmithKline, consultant for Inventram, consultant for Lavin Consulting, consultant for Myolex, grants from Mitsubishi Tanabe Pharmaceuticals, DSMB member for Novartis Pharmaceuticals, DSMB member for Shire Human Genetic Therapies, outside the submitted work. James D. Berry, MD: reports grants from ALS Finding A Cure, grants from ALS Association, grants from Amylyx Pharmaceuticals, Inc., during the conduct of the study; personal fees from Biogen, personal fees from Clene Nanomedicine, grants from Alexion, grants from Biogen, grants from MT Pharma of America, grants from Anelixis Therapeutics, grants from Brainstorm Cell Therapeutics, grants from Genentech, grants from nQ Medical, grants from NINDS, grants from Muscular Dystrophy Association, outside the submitted work. Michael A. Elliott, MD: received personal fees from Amylyx Pharmaceuticals,

Inc., and personal fees from Biogen. Chafic Karam, MD: reports grants and personal fees from Akcea, personal fees from Alnylam, grants and personal fees from Genzyme, personal fees from Acceleron, personal fees from Biogen, personal fees from Alexion, personal fees from Argenx, personal fees from Cytokinetics, personal fees from CSL Behring, outside the submitted work. James B. Caress, MD: reports grants from Amylyx Pharmaceuticals, Inc., during the conduct of the study; grants from Orion Pharmaceuticals, grants from MTB Pharma, grants from Cytokinetics, outside the submitted work. James Wymer, MD, PhD: reports grants from Amylyx Pharmaceuticals, Inc., during the conduct of the study. Stephen A. Goutman, MD: reports grants from ALS Association, during the conduct of the study; grants from NIH/NIEHS, grants from ALS Association, grants from Target ALS, consultant for Biogen and ITF Pharma, personal fees from Biogen, personal fees from ITF Pharma, personal fees from Watermark Research Partners, personal fees from Expert testimony, outside the submitted work. Terry D. Heiman-Patterson, MD: reports grants from Mitsubishi Tanabe Pharma America, Amylyx Pharmaceuticals, the ALS Association, and Orion Pharma, personal fees from Cytokinetics, personal fees from ITF, personal fees from Biohaven, outside the submitted work. Carlayne E. Jackson, MD: reports grants from Amylyx Pharmaceuticals, Inc., during the conduct of the study; grants and personal fees from Cytokinetics, personal fees from CSL Behring, grants and personal fees from Mitsubishi Tanabe Pharma America, DSMB member and personal fees from Brainstorm, DSMB member personal fees from Mallinckrodt, personal fees from ITF Pharma, outside the submitted work. Colin Quinn, MD: received personal fees for serving on an Amylyx Advisory Board from

Amylyx Pharmaceuticals, Inc., outside the submitted work. Jeffrey D. Rothstein MD, PhD: reports licensing agreement and non-financial support from Ionis Pharmaceuticals, non-financial support from Calico, Biogen, and IBM Watson, research grant support from the National Institute of Neurological Disorders and Stroke, National Institute on Aging, Department of Defense, Chan Zuckerberg Initiative, Microsoft, The ALS Association, Muscular Dystrophy Association, Target ALS, F Prime, ALS Finding a Cure, Answer ALS, Robert Packard Center for ALS Research, GlaxoSmithKline, Travelers Insurance, American Airlines, Catapiller, and National Football League, personal consulting fees from Expansion Therapeutics, Team Gleason; reports that his institution was a trial site and thus had a contract with Amylyx Pharmaceuticals, Inc. to participate in the study. Jonathan Katz, MD: reports personal fees from MT Pharma America, personal fees from Denali Pharmaceuticals, personal fees from Genentech, personal fees from Calico, outside the submitted work. Shafeeq Ladha, MD: reports grants from Amylyx Pharmaceuticals, Inc., Biogen, and MT Pharma and personal fees from Amylyx and Biogen. Timothy M. Miller, MD, PhD: reports licensing agreement and non-financial support from Ionis Pharmaceuticals, licensing agreement from C2N, grants and personal fees from Biogen, personal fees from Cytokinetics, personal fees from Disarm Therapeutics, outside the submitted work. Stephen N. Scelsa, MD: reports grants from Amylyx Pharmaceuticals, Inc., during the conduct of the study; grants from Orion Pharma, outside the submitted work. Tuan H. Vu, MD: reports personal fees from Mitsubishi Tanabe Pharmaceuticals Speakers Bureau and participated in clinical trials sponsored by Amylyx Pharmaceuticals, Inc., Orion, Biogen,

Mallinckrodt, and Cytokinetics during the conduct of the study. Jonathan D. Glass, MD: reports that his institution was a trial site and thus had a contract from Amylyx Pharmaceuticals, Inc., to participate in the study. Andrea Swenson, MD: reports research support from Amylyx Pharmaceuticals, Inc., ALS Association, Massachusetts General Hospital, NIH/NINDS, serving on an independent data monitoring committee for Alexion. Patricia L. Andres, MS, DPT: reports personal fees from Amylyx Pharmaceuticals, Inc., for consulting, during the conduct of the study and has an isometric strength testing apparatus US Patent #: 7,493,812B2 held by the Hospital Corporation. Suma Babu, MBBS, MPH: reports research support from the American Academy of Neurology, AANEM Foundation, The ALS Association, Muscular Dystrophy Association, Biogen Inc, Orion Corporation, Voyager Therapeutics, and Novartis Pharmaceuticals. Marianne Chase, BA: reports grants to MGH from ALS Association, grants to MGH from ALS Finding A Cure, fee for service from Amylyx Pharmaceuticals, Inc., during the conduct of the study. Meghan Hall, MS: reports grants for funding for clinical trial monitoring and outcomes training support from ALS Association, grants for funding for clinical trial monitoring and outcomes training support from Amylyx Pharmaceuticals, Inc., during the conduct of the study. Gale Kittle, RN, MPH: reports grants from ALS Association, grants from Amylyx Pharmaceuticals, Inc., during the conduct of the study. Jeremy M. Shefner, MD, PhD: reports grants and personal fees from Amylyx Pharmaceuticals, Inc., during the conduct of the study; personal fees for consulting from Cytokinetics Inc., personal fees for consulting from Brainstorm Inc., grants and personal fees for outcomes training and study design from Mitsubishi Pharma America, personal

fees for consulting from Neurosense Inc., grants for outcomes training from Alexion, grants for outcomes training from Medicinova, grants for outcomes training from Biogen Inc, personal fees for consulting from Otsuka Inc, outside the submitted work; and Neuromuscular section editor for UpToDate. Janet Wittes, PhD, and Zi-Fan Yu, ScD: report payments from Amylyx Pharmaceuticals, Inc., to their employer during the conduct of the study. Joshua Cohen, BSE, and Justin Klee, SCB: report a relationship with Amylyx Pharmaceuticals, Inc., during the conduct of the study; co-CEOs of Amylyx Pharmaceuticals, Inc., outside the submitted work, with multiple patents issued to Amylyx. Kent Leslie, MS: reports full-time employee from Amylyx Pharmaceuticals, Inc. during the conduct of the study; personal fees from Amylyx outside the submitted work. Rudolph E. Tanzi, PhD: reports personal fees from Amylyx Pharmaceuticals, Inc., outside the submitted work; has helped with inception and design of the clinical trial but was not involved with running the trial and had no contact with the trial subjects; owns founding equity in Amylyx; serves as the head of their Scientific Advisory Board. Walter Gilbert, PhD: director and shareholder in Amylyx Pharmaceuticals, Inc., during the conduct of the study; other from Amylyx, outside the submitted work. Patrick D. Yeramian, MD, MBA: reports full-time employee at Amylyx Pharmaceuticals, Inc., during the conduct of the study, other from Amylyx, outside the submitted work. David Schoenfeld, PhD: reports grants from ALS Association, during the conduct of the study; personal fees from Immunitypharma, personal fees from Alexion, outside the submitted work. Merit E. Cudkowicz, MD: reports grants from Mass General Hospital, during the conduct of the study; grants from Clene

Nanomedicine, grants from Ra Pharma, grants from Biohaven, grants from Prilenia, personal fees from Takeda, personal fees from Biogen, personal fees from Sunovian, personal fees from Cytokinetics, personal fees from Immunity Pharma, outside the submitted work. The remaining authors declare no potential conflicts of interest (Knowlton, Maiser, Owegi, Quick, Heitzman, Kasarskis, Jenkins, Fournier, Johnson, Goyal, Pattee, Dagostino, Eydinov, McGovern, Ostrow, Pothier, Randall, Sherman, St. Pierre, Tustison, Vigneswaran, Walker, H. Yu, Chan).

Author Manuscript

Long-Term Survival of Participants in the CENTAUR Trial of Sodium Phenylbutyrate-Taurursodiol in ALS

Abstract

Introduction: An orally administered, fixed-dose coformulation of sodium phenylbutyrate-taurursodiol (PB-TURSO) significantly slowed functional decline in a randomized, placebo-controlled, phase 2 trial in ALS (CENTAUR). Here, we report results of a long-term survival analysis of participants in CENTAUR.

Methods: In CENTAUR, adults with ALS were randomized 2:1 to PB-TURSO or placebo. Participants completing the 6-month (24-week) randomized phase were eligible to receive PB-TURSO in the open-label extension (OLE). An all-cause mortality analysis (35-month maximum follow-up post-randomization) incorporated all randomized participants. Participants and site investigators were blinded to treatment assignments through the duration of follow-up of this analysis.

Results: Vital status was obtained for 135 of 137 participants originally randomized in CENTAUR. Median overall survival was 25.0 months among participants originally randomized to PB-TURSO and 18.5 months among those originally randomized to placebo (hazard ratio, 0.56; 95% CI, 0.34–0.92; $P=0.023$).

Discussion: Initiation of PB-TURSO treatment at baseline resulted in a 6.5-month longer median survival as compared to placebo. Combined with results from CENTAUR, these results suggest that PB-TURSO has both functional and survival benefits in ALS.

Author Manuscript

Introduction

Amyotrophic lateral sclerosis (ALS) is a fatal neurodegenerative disorder associated with motor neuron degeneration in the motor cortex and spinal cord leading to muscle weakness and atrophy.¹ Riluzole and edaravone are the only medications approved by the US Food and Drug Administration for disease-modifying treatment of ALS.² Riluzole has been shown to prolong survival in ALS.³⁻⁵ The effect of edaravone on survival is unknown.^{6,7}

An orally administered, fixed-dose coformulation of 2 compounds, sodium phenylbutyrate (PB) and taurursodiol (TURSO; also known as tauroursodeoxycholic acid), was designed to reduce neuronal death by mitigating both endoplasmic reticulum (ER) stress and mitochondrial dysfunction. Joint dysfunction of these 2 organelles within motor neurons has been recognized as a potential pathogenic factor in ALS, motivating development of this coformulation.⁸⁻¹⁰ Preclinical data support a mitigating effect of PB and TURSO both alone¹¹⁻¹⁶ and in combination¹⁷ on neuronal death and other disease-specific features in models of neurodegenerative diseases and mitochondrial dysfunction. In addition, pilot clinical studies confirmed the individual safety of both compounds in individuals with ALS.^{18,19}

The recently completed randomized, double-blind, placebo-controlled CENTAUR trial evaluated efficacy and safety of sodium phenylbutyrate-taurursodiol (PB-TURSO) in individuals with ALS.²⁰ The trial met its primary efficacy end point—slowing of decline in Amyotrophic Lateral Sclerosis Functional Rating Scale–Revised (ALSFRS-R) total score in participants treated with

PB-TURSO versus placebo over 6 months (24 weeks). Few participants died during the 6-month duration of CENTAUR; a joint rank analysis of function and survival confirmed an effect of PB-TURSO in slowing ALSFRS-R progression when accounting for potentially informative loss to follow-up from mortality. Participants who completed CENTAUR were eligible for enrollment in an open-label extension (OLE) aimed at assessing long-term safety and efficacy of PB-TURSO in ALS. This manuscript reports the results of a nearly 3-year survival analysis incorporating all participants who enrolled in CENTAUR, whether or not they continued long-term treatment with PB-TURSO in the OLE.

Methods

Trial Design and Oversight

Both the randomized controlled trial and the OLE were conducted in accordance with Good Clinical Practice guidelines of the International Conference on Harmonization and ethical principles of the Declaration of Helsinki. Protocol approval was obtained for all trial sites by a central Institutional Review Board, the Partners Human Research Committee.²¹ Participants provided written informed consent prior to entering each phase of the study.

Randomized Controlled Trial

Detailed methods of the randomized study have been published.²⁰ In brief, CENTAUR (NCT03127514) was a randomized, double-blind, placebo-controlled trial conducted at 25

Northeast ALS Consortium (NEALS) centers in the United States between June 2017 and September 2019. The trial enrolled participants who were aged 18 to 80 years with a diagnosis of definite ALS by revised El Escorial criteria (ie, clinical evidence of both upper and lower motor neuron signs in ≥ 3 body regions²²) and had symptom onset within the prior 18 months.

Additional inclusion criteria included slow vital capacity $>60\%$ of predicted value for sex, height, and age²³ and either no use of riluzole at study entry or a stable dosage of riluzole for at least 30 days prior to screening. The study protocol was amended after edaravone became available in August 2017 to allow for unrestricted use of edaravone.

In the randomized trial, participants were randomized 2:1 to PB-TURSO (3 g PB and 1 g TURSO per sachet) or matching placebo administered twice a day by mouth or feeding tube for a planned duration of 6 months (24 weeks).

Open-Label Extension

Eligible participants in CENTAUR were given the option to enroll in an OLE (NCT03488524) and receive active drug for up to 30 months (132 weeks). Enrollment in the OLE occurred from March 2018 through September 2019. Participants who completed all visits in the randomized trial were eligible for OLE enrollment provided they enrolled within 28 days of their last visit in the randomized phase and did not prematurely discontinue study drug for reasons other than tracheostomy or initiation of permanent assisted ventilation (PAV). Four participants received a

protocol waiver and enrolled in the OLE more than 28 days after completion of CENTAUR, as the PB-TURSO drug supply for the OLE did not become available until approximately 2 months after the first participants had completed CENTAUR. Participants who received riluzole or edaravone during the randomized trial were permitted to continue these medications, and, as in the randomized phase, edaravone could be initiated during the OLE.

In the OLE, participants originally assigned to PB-TURSO or placebo were administered PB-TURSO by mouth or feeding tube, continuing the same dose of study drug they had been receiving at the end of the randomized phase of the trial (either 2 sachets daily [1 sachet dissolved in water, both in the morning and evening] or 1 sachet daily for 1 participant who had previously reduced dosage because of intermittent constipation). This regimen was implemented so that participants and investigators would remain blinded to original treatment assignment in the randomized phase during the OLE study.

Statistical Analysis

The prespecified survival analysis compared time to death (all-cause mortality) between participants originally randomized to active treatment and those originally randomized to placebo. A cutoff date of July 20, 2020 (longest follow-up, 35 months after randomization) was used to incorporate the most mature data to date for the purposes of providing the most complete analysis. The vital status and date of death for all participants randomized into the CENTAUR

trial (intent-to-treat population) were investigated by OmniTrace (a firm specializing in locating participants and ascertaining vital status via search of public records, obituary databases, property records, and social media). This vital status search was approved by the Partners Human Research Committee, which served as the central Investigational Review Board. The censoring date was designated as 30 days prior to the date the survival check was performed for each participant, allowing for the maximum time it may have taken for public record of death to be updated. Participants for whom vital status could not be obtained were censored at the date of their last follow-up.

The hazard ratio (HR) of death comparing the group originally randomized to active treatment versus the group originally randomized to placebo was estimated using a Cox proportional hazards model with covariates of age at randomization, pre-baseline ALSFRS-R slope, and baseline ALSFRS-R total score, variables shown to be predictors of disease progression in historical data.²⁴⁻²⁶ Pre-baseline ALSFRS-R slope, defined as the rate of decline in ALSFRS-R total score between symptom onset and study baseline, was calculated during the baseline visit using the formula $[(48 - \text{baseline ALSFRS-R}) / (\text{number of months between symptom onset and study baseline})]$.²⁴ All covariates were prespecified prior to completing the vital status check. Median duration of survival and the associated 95% CI were estimated from Kaplan-Meier curves, and tests were declared significant if the 2-tailed P value was ≤ 0.05 . The duration of exposure to PB-TURSO in each group was summarized using descriptive statistics.

Number and time to death-equivalent events (defined as tracheostomy or PAV >22 hours daily for >7 days, with date of PAV onset being the first of the 7 days) were summarized for the 2 groups as potential confounders of survival outcomes; unlike the occurrence of death, which could be ascertained for all randomized participants, occurrence of death-equivalent events was determined prospectively in the course of participant monitoring as part of the double-blind period and the OLE, but could not be ascertained for those who discontinued from study or were lost to follow-up. Sensitivity analyses were performed to assess the potential impact of use of concomitant medications at baseline on the overall survival analysis. Three sensitivity models were tested: adding baseline use of riluzole, edaravone, or both as covariates, with baseline ALSFRS-R; age at randomization; and pre-baseline ALSFRS-R slope as additional covariates.

Results

Participants

The disposition of participants in CENTAUR and the subsequent OLE is shown in Figure 1. A total of 137 participants were randomized in CENTAUR, of whom 89 were randomized to PB-TURSO and 48 were randomized to placebo. Vital status was obtainable for all but 2 of the participants randomized in CENTAUR; per the statistical analysis plan, these participants were censored at the date of last contact with the clinical site. Ninety-eight participants who completed CENTAUR were eligible for the OLE; 97 participants completed the study on drug, and 1

participant had a brief (~1-week) drug disruption at the very end of the study and was permitted to enter the OLE. Of these, 90 (92%) continued into the OLE, 34 of whom had been originally randomized to placebo and 56 to active drug. The longest follow-up was 35 months after randomization in CENTAUR, with 18 participants originally randomized to active treatment and 9 participants originally randomized to placebo remaining in follow-up.

Baseline demographic and disease characteristics for participants at the start of the randomized phase were generally similar for the 2 treatment groups (Table S1 online). Most (77%) participants were receiving riluzole or edaravone at or before study entry. Higher proportions of participants originally randomized to placebo were receiving edaravone and both edaravone and riluzole at or before study entry compared with the group originally randomized to PB-TURSO.

Survival Analysis

In the overall survival analysis encompassing all participants randomized in CENTAUR, the risk of death was 44% lower among those originally randomized to active treatment compared with those originally randomized to placebo (HR, 0.56; 95% CI, 0.34–0.92; $P=0.023$) (Figure 2). Median survival duration was 25.0 months (95% CI, 19.0–33.6 months) in the group originally randomized to active treatment and 18.5 months (95% CI, 13.5–23.2 months) in the group originally randomized to placebo, a 6.5-month difference. The estimated probability of survival at 12 months among participants originally randomized to PB-TURSO and placebo was 80.9%

(95% CI, 71.1–87.7%) and 72.9% (95% CI, 58.0–83.3%), respectively. At 24 months, the estimates were 51.6% (95% CI, 38.9–62.9%) and 33.9% (95% CI, 19.4–49.1%), respectively.

The median time to censoring was 21.3 months. The duration of PB-TURSO exposure is summarized for each randomized participant by originally randomized groups in Figure S1 online. Inclusive of all randomized participants, including those who did not enroll in the OLE, those originally randomized to active treatment had a median (range; first and third quartiles) PB-TURSO exposure duration of 8.8 (0.1–33.0; 3.7–15.8) months, and those originally randomized to placebo had a median PB-TURSO exposure of 1.9 (0–22.5; 0–9.1) months (all in the OLE). Mean PB-TURSO exposure duration was 10.6 months in the group originally randomized to PB-TURSO and 4.7 months in the group originally randomized to placebo.

The 2 groups had similar rates of death-equivalent events. Six (6.7%) participants originally randomized to PB-TURSO and 4 (8.3%) participants originally randomized to placebo experienced death-equivalent events.

Results of the 3 sensitivity analyses accounting for use of concomitant riluzole, edaravone, or both at baseline retained the statistically significant benefit of PB-TURSO over placebo (Table 1), consistent with the survival analysis. These results suggest that the benefit of PB-TURSO was independent of baseline concomitant medication use.

Discussion

In this analysis of survival encompassing all participants randomized in CENTAUR, the group originally randomized to PB-TURSO had a median overall survival that was 6.5 months longer than the group originally randomized to placebo. Median PB-TURSO exposure duration differed by approximately 7 months between the groups. Notably, most of the participants originally randomized to placebo received some exposure to PB-TURSO in the OLE, possibly diluting the survival benefit attributable to PB-TURSO in this analysis; however, it is not known whether those who were originally randomized to placebo but later received PB-TURSO in the OLE derived any survival benefit, as the OLE had no concurrent placebo control group.

Initiation of PB-TURSO at baseline was associated with longer survival, a finding that suggests a neuroprotective effect of PB-TURSO in ALS. The concept of neuroprotection involves early therapeutic intervention after an acute injury or in chronic neurodegenerative conditions with the aim of averting irreversible neuronal death or degeneration. Neuroprotection may be achieved by interrupting either upstream disease-specific processes that lead to neurodegeneration or downstream processes that secondarily promote neuronal death.²⁷ The coformulated compounds in PB-TURSO have shown mitigating effects on ER stress (PB)^{28,29} and mitochondrial dysfunction (TURSO),³⁰ both mechanisms thought to underlie neurodegeneration in ALS.¹⁰

Our analysis was limited by a relatively small sample size but was strengthened by inclusion of the entire randomized sample from CENTAUR and assessment of vital status up to 35 months after randomization. Confirmation of vital status was facilitated by the use of a participant locating service, a novel approach that differentiated our survival analysis from those in other ALS studies. Data on vital status that are incomplete because of discontinuation or loss to follow-up may bias the results of survival analyses.^{31,32} Participant tracing has been used in clinical trials to improve the accuracy of results by tracking long-term outcomes for as many trial participants as possible.³³⁻³⁵ This method may be particularly useful in trials with large drop-out rates, such as those in ALS,³⁶ by accounting for potentially differing outcomes between participants who drop out and those who continue on study. Our confirmation of vital status for all but 2 randomized participants, regardless of duration of follow-up, provided the basis for statistically robust and comprehensive overall survival analyses.

In ALS, decisions to implement life-sustaining measures such as tracheostomy and PAV may be affected by patient choice and local practices; they have the potential to confound survival analyses and are thus considered to be death-equivalent events.³⁷ In this study, identification of all death-equivalent events may not have occurred, as these events were provided by the study sites and are not consistently searchable in public databases or records. Nevertheless, the low rates and similar proportions of participants affected by such events in both groups suggest survival was not likely to have been confounded by tracheostomy or initiation of PAV in either

group. Results of sensitivity analyses suggested that the PB-TURSO treatment effect was independent of baseline use of riluzole, edaravone, or both together.

Despite currently available therapies, ALS remains rapidly debilitating and fatal. PB-TURSO has previously demonstrated functional benefit in a randomized controlled trial.²⁰ The results presented here demonstrate a long-term survival benefit from early initiation of PB-TURSO treatment in participants with ALS, adding to the previously reported functional benefit.

Abbreviations

ALS, amyotrophic lateral sclerosis; ALSFRS-R, Amyotrophic Lateral Sclerosis Functional Scale–Revised; ER, endoplasmic reticulum; HR, hazard ratio; ITT, intent-to-treat; NEALS, Northeast ALS Consortium; OLE, open-label extension; PAV, permanent assisted ventilation; PB, sodium phenylbutyrate; PB-TURSO, sodium phenylbutyrate-taurursodiol; SVC, slow vital capacity; TURSO, taurursodiol.

References

1. Brown RH, Al-Chalabi A. Amyotrophic lateral sclerosis. *N Engl J Med*. 2017;377(16):162-172.
2. Wong W. The role of managed care professionals in improving care for patients with ALS. *Am J Manag Care*. 2020;26(suppl 9):S198-S205.
3. Bensimon G, Lacomblez L, Meininger V, ALS/Riluzole Study Group. A controlled trial of riluzole in amyotrophic lateral sclerosis. *N Engl J Med*. 1994;330(9):585-591.
4. Lacomblez L, Bensimon G, Leigh PN, Guillet P, Meininger V, ALS/Riluzole Study Group-II. Dose-ranging study of riluzole in amyotrophic lateral sclerosis. *Lancet*. 1996;347(9013):1425-1431.
5. Andrews JA, Jackson CE, Heiman-Patterson TD, Bettica P, Brooks BR, Piro EP. Real-world evidence of riluzole effectiveness in treating amyotrophic lateral sclerosis. *Amyotroph Lateral Scler Frontotemporal Degener*. 2020;Jun 23:1-10.
6. Abe K, Itoyama Y, Sobue G, Tsuji S, Aoki M, Doyu M, et al. Confirmatory double-blind, parallel-group, placebo-controlled study of efficacy and safety of edaravone (MCI-186) in amyotrophic lateral sclerosis patients. *Amyotroph Lateral Scler Frontotemporal Degener*. 2014;15(7-8):610-617.
7. Writing Group; Edaravone (MCI-186) ALS 19 Study Group. Safety and efficacy of edaravone in well defined patients with amyotrophic lateral sclerosis: a randomised, double-blind, placebo-controlled trial. *Lancet Neurol*. 2017;16(7):505-512.

8. Bernard-Marissal N, Chrast R, Schneider BL. Endoplasmic reticulum and mitochondria in diseases of motor and sensory neurons: a broken relationship? *Cell Death Dis.* 2018;9(3):333.
9. Lau DHW, Hartopp N, Welsh NJ, Mueller S, Glennon EB, Mórotz GM, et al. Disruption of ER-mitochondria signalling in fronto-temporal dementia and related amyotrophic lateral sclerosis. *Cell Death Dis.* 2018;9(3):327.
10. Manfredi G, Kawamata H. Mitochondria and endoplasmic reticulum crosstalk in amyotrophic lateral sclerosis. *Neurobiol Dis.* 2016;90:35-42.
11. Cho JA, Zhang X, Miller GM, Lencer WI, Nery FC. 4-Phenylbutyrate attenuates the ER stress response and cyclic AMP accumulation in DYT1 dystonia cell models. *PLoS One.* 2014;9(11):e110086.
12. Dionísio PA, Amaral JD, Ribeiro MF, Lo AC, D'Hooge R, Rodrigues CM. Amyloid- β pathology is attenuated by tauroursodeoxycholic acid treatment in APP/PS1 mice after disease onset. *Neurobiol Aging.* 2015;36(1):228-240.
13. Kusaczuk M. Tauroursodeoxycholate—bile acid with chaperoning activity: molecular and cellular effects and therapeutic perspectives. *Cells.* 2019;8(12):1471.
14. Ricobaraza A, Cuadrado-Tejedor M, Pérez-Mediavilla A, Frechilla D, Del Río J, García-Osta A. Phenylbutyrate ameliorates cognitive deficit and reduces tau pathology in an Alzheimer's disease mouse model. *Neuropsychopharmacology.* 2009;34(7):1721-1732.

15. Ryu H, Smith K, Camelo SI, Carreras I, Lee J, Iglesias AH, et al. Sodium phenylbutyrate prolongs survival and regulates expression of anti-apoptotic genes in transgenic amyotrophic lateral sclerosis mice. *J Neurochem*. 2005;93(5):1087-1098.
16. Wiley JC, Pettan-Brewer C, Ladiges WC. Phenylbutyric acid reduces amyloid plaques and rescues cognitive behavior in AD transgenic mice. *Aging Cell*. 2011;10(3):418-428.
17. Leslie K, Cohen J, Klee J, Valsecchi F, Manfredi G. AMX0035, a Novel Combination Therapeutic Candidate for Treatment of Primary Mitochondrial Diseases. Poster presented at: the 2017 MDA Scientific Conference; March 19-22, 2017; Arlington, VA.
18. Cudkovicz ME, Andres PL, Macdonald SA, Bedlack RS, Choudry R, Brown RH, et al. Northeast ALS and National VA ALS Research Consortia. Phase 2 study of sodium phenylbutyrate in ALS. *Amyotroph Lateral Scler*. 2009;10(2):99-106.
19. Elia AE, Lalli S, Monsurrò MR, Sagnelli A, Taiello AC, Reggiori B, et al. Tauroursodeoxycholic acid in the treatment of patients with amyotrophic lateral sclerosis. *Eur J Neurol*. 2016;23(1):45-52.
20. Paganoni S, Macklin EA, Hendrix S, Berry JD, Elliott M, Maiser S, et al. Trial of sodium phenylbutyrate–taurursodiol for amyotrophic lateral sclerosis. *N Engl J Med*. 2020;383(10):919-930.
21. NEALS Central Institutional Review Board (cIRB). Northeast Amyotrophic Lateral Sclerosis Consortium. (<https://www.neals.org/for-als-researchers/central-irb-master-contracts/>). Accessed September 3, 2020.

22. Brooks BR, Miller RG, Swash M, Munsat TL, World Federation of Neurology Research Group on Motor Neuron Diseases. El Escorial revisited: revised criteria for the diagnosis of amyotrophic lateral sclerosis. *Amyotroph Lateral Scler Other Motor Neuron Disord*. 2000;1(5):293-299.
23. Knudson RJ, Lebowitz MD, Holberg CJ, Burrows B. Changes in the normal maximal expiratory flow-volume curve with growth and aging. *Am Rev Respir Dis*. 1983;127(6):725-734.
24. Labra J, Menon P, Byth K, Morrison S, Vucic S. Rate of disease progression: a prognostic biomarker in ALS. *J Neurol Neurosurg Psychiatry*. 2016;87(6):628-632.
25. Daghlas I, Lever TE, Leary E. A retrospective investigation of the relationship between baseline covariates and rate of ALSFRS-R decline in ALS clinical trials. *Amyotroph Lateral Scler Frontotemporal Degener*. 2018;19(3-4):206-211.
26. Taylor AA, Fournier C, Polak M, Wang L, Zach N, Keymer M, et al. Predicting disease progression in amyotrophic lateral sclerosis. *Ann Clin Transl Neurol*. 2016;3(11):866-875.
27. Cummings J. Disease modification and Neuroprotection in neurodegenerative disorders. *Transl Neurodegener*. 2017;6:25.
28. Kaur B, Bhat A, Chakraborty R, Adlakha K, Sengupta S, Roy S, et al. Proteomic profile of 4-PBA treated human neuronal cells during ER stress. *Mol Omics*. 2018;14(1):53-63.
29. Suaud L, Miller K, Panichelli AE, Randell RL, Marando CM, Rubenstein RC. 4-Phenylbutyrate stimulates Hsp70 expression through the Elp2 component of elongator and STAT-3 in cystic fibrosis epithelial cells. *J Biol Chem*. 2011;286(52):45083-45092.

30. Rodrigues CM, Steer CJ. The therapeutic effects of ursodeoxycholic acid as an anti-apoptotic agent. *Expert Opin Investig Drugs*. 2001;10(7):1243-1253.
31. Dettori JR. Loss to follow-up. *Evid Based Spine Care J*. 2011;2(1):7-10.
32. Shih W. Problems in dealing with missing data and informative censoring in clinical trials. *Curr Control Trials Cardiovasc Med*. 2002;3(1):4.
33. Bronner LE, Podewils LJ, Peters A, Somnath P, Nshuti L, van der Walt M, et al. Impact of community tracer teams on treatment outcomes among tuberculosis patients in South Africa. *BMC Public Health*. 2012;12:621.
34. McMahon JH, Elliott JH, Hong SY, Bertagnolio S, Jordan MR. Effects of physical tracing on estimates of loss to follow-up, mortality and retention in low and middle income country antiretroviral therapy programs: a systematic review. *PLoS One*. 2013;8(2):e56047.
35. Semeere A, Freeman E, Wenger M, Glidden D, Bwana M, Kanyesigye M, et al. Updating vital status by tracking in the community among patients with epidemic Kaposi sarcoma who are lost to follow-up in sub-Saharan Africa. *BMC Cancer*. 2017;17(1):611.
36. Atassi N, Yerramilli-Rao P, Szymonifka J, Yu H, Kearney M, Grasso D, et al. Analysis of start-up, retention, and adherence in ALS clinical trials. *Neurology*. 2013;81(15):1350-1355.
37. Paganoni S, Cudkowicz M, Berry JD. Outcome measures in amyotrophic lateral sclerosis clinical trials. *Clin Investig (Lond)*. 2014;4(7):605-618.

Figure Legends

Figure 1. Participant disposition: randomized controlled trial and open-label extension

(through July 2020). Percentages may not add up precisely due to rounding. *As of July 2020; vital status for participants who discontinued from study was ascertained by OmniTrace as previously noted. PB-TURSO, sodium phenylbutyrate-taurursodiol

Figure 2. Overall survival in the entire randomized population. Starting at the conclusion of the randomized phase at month 6 (24 weeks), eligible participants could enroll in the open-label extension (OLE). Of 98 eligible participants, 90 (92%) continued into the OLE, 34 of whom were originally randomized to placebo and 56 to active drug. The survival analysis encompassed all participants randomized at time 0, including those who did not enter the OLE, discontinued from study, or were lost to follow-up. The hazard ratio for death in the group originally randomized to active treatment was 0.56 ($P=0.023$ vs group originally randomized to placebo). PB-TURSO, sodium phenylbutyrate-taurursodiol

Table 1. Comparative results of sensitivity analyses accounting for baseline concomitant medication use

Model*	Hazard Ratio	95% CI	P Value[†]
Primary	0.56	0.34–0.92	0.023
Riluzole use at baseline	0.54	0.33–0.89	0.018
Edaravone use at baseline	0.53	0.32–0.90	0.019
Riluzole and edaravone use at baseline	0.53	0.32–0.88	0.016

Abbreviations: ALSFRS-R, Amyotrophic Lateral Sclerosis Functional Rating Scale–Revised; PB-TURSO, sodium phenylbutyrate-taurursodiol.

*Cox proportional hazards models, including baseline ALSFRS-R, age at randomization, and pre-baseline ALSFRS-R slope in addition to treatment (PB-TURSO) and concomitant medication use at baseline.

[†]P values are from the likelihood ratio test.

Color Reproduction Form

Because of the high cost of color printing, we can only print figures in color if authors cover the expense. Color charges for the 1st figure amount to \$600 with the 2nd, 3rd and 4th figures at \$400 and any subsequent figures will be charged at \$300.

Figures must be consistent in all published versions; as of 2014, *Muscle & Nerve* does not offer online-only color publication. You will be invoiced for color charges once the article has been published in print.

Failure to return this form with your article proofs will delay the publication of your article.

JOURNAL Muscle and Nerve

TITLE OF MANUSCRIPT Long-Term Survival of Participants in the CENTAUR Trial of AMX0035 in ALS

MS. NO. MUS-20-0722 NO. OF COLOR PAGES 2 AUTHOR(S) Sabrina Paganoni, MD, PhD et al

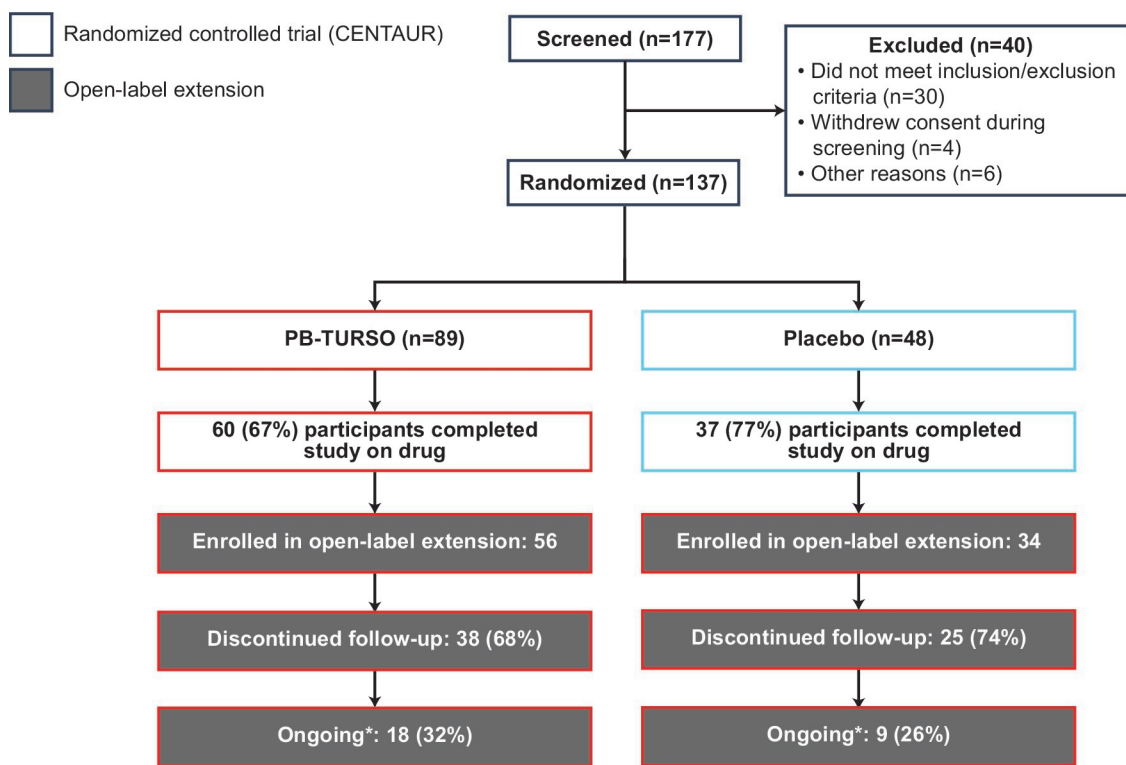
No. Color figures	Color Charges	No. Color figures	Color Charges	No. Color figures	Color Charges
1	600	5	2100	9	3300
2	1000	6	2400	10	3600
3	1400	7	2700	11	3900
4	1800	8	3000	12	4200

Please contact jrnprod.MUS@cenveo.com for a quote if you have more than 12 figures in color

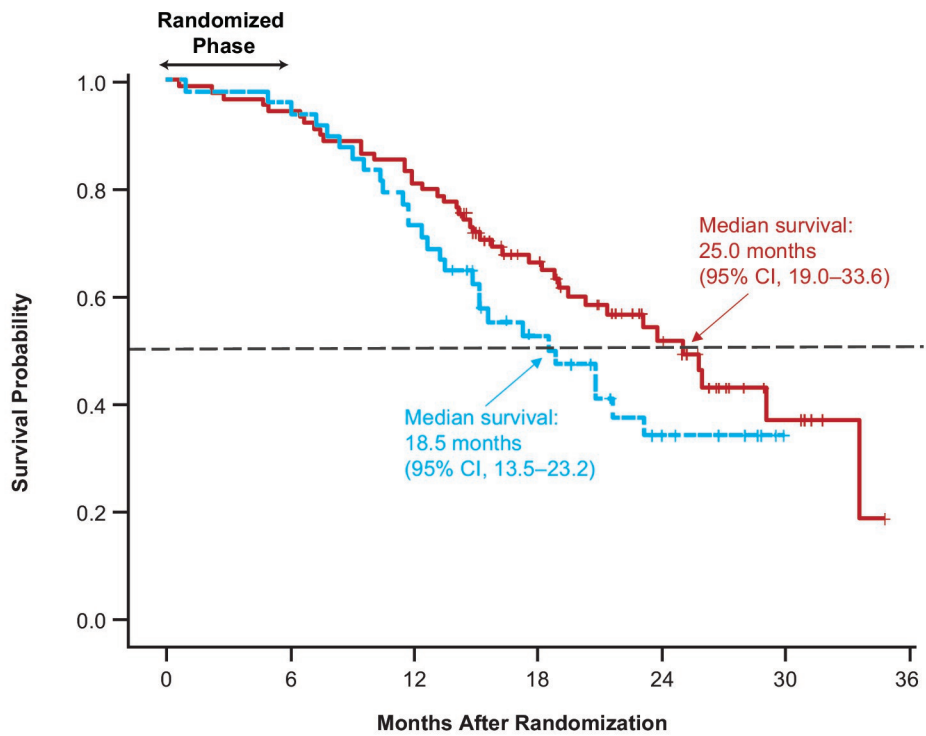
Please print my figures in color \$ 1000

***International orders must be paid in currency and drawn on a U.S. bank*

BILL TO:		Purchase Order No.	<u>4320-00</u>
Name	<u>Jennifer Reynolds</u>	Phone	<u>215-867-1900</u>
Institution	<u>PRECISIONScientia</u>	Fax	
Address	<u>777 Township Line Road, #300</u>	E-mail	<u>precisionsci-AP@precisionvh.com</u>
	<u>Yardley, PA 19067</u>		



mus_27091_mus-20-0722 paganoni figure 1.eps



Originally Randomized to:		Months After Randomization						
		0	6	12	18	24	30	36
PB-TURSO	No. at Risk	89	84	72	45	21	6	0
	No. Censored	0	0	0	15	31	42	47
	No. of Events	0	5	17	29	37	41	42
Placebo	No. at Risk	48	46	35	19	9	0	0
	No. Censored	0	0	0	7	11	20	20
	No. of Events	0	2	13	22	28	28	28

mus_27091_mus-20-0722 paganoni figure 2.eps