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**Neddylation inhibitor MLN4924 has anti-HBV activity
via modulating the ERK-HNF1 α -C/EBP α -HNF4 α axis**

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47 **Abstract**

48 Hepatitis B virus (HBV) infection is a major public health problem. The high levels
49 of HBV DNA and HBsAg are positively associated with the development of secondary
50 liver diseases, including hepatocellular carcinoma (HCC). Current treatment with
51 nucleos(t)ide analogues mainly reduces viral DNA, but has minimal, if any, inhibitory
52 effect on the viral antigen. Although IFN reduces both HBV DNA and HBsAg, the
53 serious associated side effects limits its use in clinic. Thus, there is an urgent
54 demanding for novel anti-HBV therapy. In our study, viral parameters were determined
55 in the supernatant of HepG2.2.15 cells, HBV-expressing Huh7 and HepG2 cells which

56 transfected with HBV plasmids and in the serum of HBV mouse models with
57 hydrodynamic injection of pAAV-HBV1.2 plasmid. RT-qPCR and Southern blot were
58 performed to detect 35kb mRNA and cccDNA. RT-qPCR, Luciferase assay and
59 Western blot were used to determine anti-HBV effects of MLN4924 and the underlying
60 mechanisms. We found that treatment with MLN4924, the first-in-class neddylation
61 inhibitor currently in several phase II clinical trials for anti-cancer application, effectively
62 suppressed production of HBV DNA, HBsAg, 3.5kb HBV RNA as well as cccDNA.
63 Mechanistically, MLN4924 blocks cullin-neddylation and activates ERK to suppress the
64 expression of several transcription factors required for HBV replication, including HNF1 α ,
65 C/EBP α , and HNF4 α , leading to an effective blockage in the production of cccDNA and
66 HBV antigen. Our study revealed that neddylation inhibitor MLN4924 has impressive
67 anti-HBV activity by inhibiting HBV replication, thus providing sound rationale for future
68 MLN4924 clinical trial as a novel anti-HBV therapy.

69

70 **Key words:** HBV; neddylation; MLN4924; Transcription factors; MAPK.

71 **Abbreviations**

72 ALT: alanine aminotransferase

73 AST: aspartate aminotransferase

74 BoDV borna disease virus

75 C/EBP α : CCAAT/Enhancer binding protein alpha

76 CHB: chronic Hepatitis B

77 CRLs (Cullin-RING ligases)

78 DMEM: Dulbecco's modified Eagle medium

79 DMSO: dimethyl sulfoxide

80 FBS: fetal bovine serum HBV: Hepatitis B virus

81 HCC: Hepatocellular carcinoma

82 HP- β -CD: hydroxypropyl-beta-cyclodextrin

83 HSV herpes simplex virus

84 HNF1 α : hepatocyte nuclear factor 1, alpha

85 HNF4 α : hepatocyte nuclear factor 4, alpha

86 NAE: E1 NEDD8-activating enzyme
87 NEDD8: neural precursor cell expressed, developmentally down-regulated 8
88 PPAR α : peroxisome proliferator-activated receptor
89 OCT: Tissue Optimum Cutting Temperature
90 SKP1: S-phase kinase-associated protein 1
91 TDF: Tenofovir Disoproxil Fumarate

92 **Introduction**

93 HBV infection is one of the major public health problem with 364 million people
94 being chronically infected by this distinct pathogen worldwide (1, 2). About 25% of
95 population with chronically infection of HBV in childhood develop serious liver diseases,
96 such as hepatitis, cirrhosis and even HCC (3, 4). Nearly 1 million persons die from
97 cirrhosis and HCC secondary to HBV infection each year (5, 6). Current treatment
98 strategy for chronic Hepatitis B (CHB) is to improve life quality and extend survival by
99 slowing the deteriorative process to hepatitis, cirrhosis and HCC. As circulation
100 hallmarks of CHB, high blood levels of viral load (HBV DNA) and HBsAg are
101 responsible for liver cirrhosis and HCC (7, 8). Currently, the standard nucleos(t)ide
102 analogues therapy only decreases HBV DNA and has minimal, if any, inhibitory effect
103 on HBsAg. Although Interferon inhibits both viral DNA and antigen, the side effects
104 associated limit its use in clinic (1, 9, 10). Therefore, potential new antiviral strategies that
105 effectively reduce both HBV DNA and HBsAg levels are highly desirable.

106 Neddylation, one type of post-translational modifications of a given protein, is
107 catalyzed by three sequential enzymatic reactions. These enzymes are E1 NEDD8-
108 activating enzyme (NAE), E2 neddylation conjugating enzymes, and E3 neddylation
109 ligase. In mammalian cells, there is only one E1, containing a heterodimer of regulatory
110 subunit, NAE1/APPBP1, and a catalytic subunit, UBA3/NAE β ; two E2s, UBE2M and
111 UBE2F; and little over 10 E3s, along with limited number of neddylation substrates (11).
112 A well-characterized physiological substrate of neddylation is a family of Cullin-RING
113 Ligase (CRL), which is the largest family of the E3 ubiquitin ligase, and responsible for
114 ubiquitination of 20% cellular proteins for degradation by proteasome system (12, 13).
115 CRL is a multi-component E3 ligase, consisting of a scaffold protein, cullin (with 8 family

116 members, Cullins 1-3, 4A, 4B, 5, 7 and 9), an adapt protein (such as S-phase kinase-
117 associated protein 1(SKP1)), substrate-recognizing subunit (e.g. an F-box protein), and a
118 RING protein family member, ROC1/RBX1 or ROC2/RBX2/SAG (14-18). Activity of
119 CRLs requires a) the RING component, ROC1 or SAG, which binds to a ubiquitin-loaded
120 E2, and b) cullin neddylation, which prevents inhibitory binding of CAND1 (13, 19) .

121 MLN4924, also known as pevonedistat, is a small molecule inhibitor of E1 NAE,
122 discovered 10 years ago (12). By inhibiting E1, MLN4924 blocks the entire neddylation
123 modification and inactivates all family members of CRLs (19). Since CRLs are
124 frequently overexpressed in many types of human cancers (19), MLN4924 has shown
125 impressive anti-cancer activity in extensive preclinical settings against a variety of
126 human cancer cells by inducing growth arrest, apoptosis, autophagy and senescence
127 (11). Currently, MLN4924 is in several phases II clinical trials for the treatment of
128 hematological malignancies and solid tumors, mainly in combination with conventional
129 chemotherapies (11, 12, 20-22). Interestingly, in addition to anticancer application,
130 MLN4924 has been shown to have broad activity against various viruses (23), including
131 HIV (24-30), influenza A virus (31), and most recently, HCV (32), mainly through
132 inactivation of CRLs to cause accumulation of anti-viral proteins. Two groups reported
133 that MLN4924 has anti-HBV activity with mechanism involving restoration of Smc5/6
134 protein levels to suppress viral replication (33, 34). However, the detailed mechanism
135 underlying the function of the MLN4924 in silencing HBV replication remains elusive.

136 In this study, we systematically investigated anti-HBV activity of MLN4924 and
137 the underlying mechanism. We found that in both cell culture and animal models, HBV
138 infection activates cullin neddylation, and MLN4924 effectively suppressed HBV
139 replication and HBsAg production. Our mechanistic study revealed that MLN4924
140 activates ERK to block expression of transcription factors HNF1 α , C/EBP α , and HNF4 α ,
141 which is required for viral replication. Our results suggest the potential use of MLN4924
142 as an alternative therapeutic strategy for HBV treatment.

143 **Material and methods**

144 **Cell lines**

145 The human hepatoma cell lines HepG2 and Huh7 cells were purchased from
146 American Type Culture Collection and were cultured in Dulbecco's modified Eagle
147 medium (DMEM) with 10% fetal bovine serum(FBS) (Gibco, Carlsbad, Calif, USA)(35).
148 Cell lines HepG2.2.15 which express HBV persistently were purchased from the
149 Chinese Center For Type Culture Collection (CCTCC, Wuhan, China) and were
150 maintained in DMEM with 400 µg/ml G418 as well as 10% FBS (36). HepAD38 cells
151 were kindly provided by Min Chen from Chongqing Medical University and were
152 maintained in DMEM with 12% FBS. All the cells were kept in 37 °C with 5% CO₂. Cell
153 viability was assessed by the Cell Counting Kit-8(CCK-8) (Dojindo Laboratories) .

154 **Animals**

155 C57BL/6 mice (male, 6–8 weeks old) in animal tests were purchased from
156 Shanghai Laboratory Animal Center (Shanghai, China). Ten micrograms of pAAV-
157 HBV1.2 plasmid DNA in a volume of PBS equivalent to 8% of mouse weight were
158 injected via tail vein in 5s according to the previous method (37). The mice were divided
159 into MLN4924 group and vehicle (10%hydroxypropyl-beta-cyclodextrin (HP-β-CD)) group
160 5 days after injection .Mice then injected MLN4924 (60 mg/kg body weight) or vehicle
161 (10%HP-β-CD) by Intraperitoneal at indicated times (31). The serum was extracted for
162 viral DNA and antigen tests at the indicated times. The mice's liver tissues were kept in
163 Tissue Optimum Cutting Temperature (OCT)-freeze Medium for immunohistochemistry
164 analysis. All mice were maintained under specific pathogen-free conditions in the
165 Laboratory Animal Center of Zhejiang University. The experiments were conducted in
166 accordance with the Guide for the Care and Use of Laboratory Animals. The
167 experimental schedule has been approved by the Ethics Review and Scientific
168 Investigation Board of The First Affiliated Hospital, Zhejiang University.

169 **Compounds**

170 MLN4924 (HY-10484, MedChemExpress USA), was purchased from MedChem
171 Express, and dissolved in dimethyl sulfoxide (DMSO) (Sigma-Aldrich, St. Louis, MO,
172 USA) to make a 10mM to 100 mM solution and stored at -80°C. In animal experiments,
173 the drug was dissolved in 10% HP-β-CD (Sangon Biotech Inc., Shanghai, China).
174 U0126(HY-12031, MedChemExpress USA), was purchased from MedChemExpress,
175 and dissolved in DMSO (Sigma-Aldrich, St. Louis, MO, USA) to generate a 1 mM

176 solution and stored at -40 °C . Tenofovir(HY-13782A, MedChemExpress USA), was
177 purchased from MedChemExpress, and dissolved in DMSO (Sigma-Aldrich, St. Louis,
178 MO, USA) to generate a 1 mM solution and stored at -40 °C. Tetracycline(HY-B0474,
179 MedChemExpress USA), was purchased from MedChemExpress, and dissolved in
180 DMSO (Sigma-Aldrich, St. Louis, MO, USA) to generate a 100mg/ml solution and stored
181 at -40°C.

182 **Plasmids and antibodies.**

183 The plasmid encoding pAAV-HBV1.2 was kindly provided by Pei-Jer Chen from
184 the Department of Internal Medicine, National Taiwan University Hospital, National
185 Taiwan University College of Medicine. HBV promoters including X promoter (XP), core
186 promoter (CP), PreS1 promoter (preS1P), PreS2 promoter (preS2P) luciferase report
187 vectors (pGL3-Xp ,pGL3-S1p, pGL3-S2p and pGL3-Cp) were created in our laboratory
188 according to previous studies(38). pHBV1.37 plasmid were generated in our laboratory
189 according to previous studies (38). The antibodies used were listed: anti-p-ERK (4370S,
190 Cell Signaling Technology, USA), anti-ERK (4695S, Cell Signaling Technology, USA),
191 anti-HNF1 α (89670S,Cell Signaling Technology,USA), anti-HNF4a(3113S, Cell
192 Signaling Technology,USA), anti-C/EBPa (8178S, Cell Signaling Technology, USA),
193 anti-PPAR α (ab3484,abcam,USA), anti-NEDD8 (ab81264, abcam, USA), anti-GAPDH
194 (2118S, Cell Signaling Technology, USA), anti-Actin(A1015,DAWEN BIOTECH,CHINA)
195 Normal Rabbit IgG (WD-GAR007, DAWEN BIOTECH,CHINA),Normal Mouse IgG
196 (GAM007,MULTI SCIENCES,CHINA).

197 **Transfection**

198 Lipofectamine 3000 (L3000015, Invitrogen, USA) was used to transfect plasmid.

199 The procedure was carried out according to the instructions.

200 **Luciferase assay.**

201 96-well plates which contains 1.0×10^4 HepG2 cells or Huh7cells per well were
202 transiently transfected with 120ng HBV reporter plasmid and 15 ng pRL-TK plasmid.
203 Add MLN4924 to the drug-proceeded group 6 hours after transfection. The luciferase
204 activities were measured by GloMax microplate luminometer (Promega, USA) using
205 Dual-Glo® Luciferase Assay System kit (E2920, Promega, USA) according to the

206 instructions.

207 **Total RNA extraction and Real Time (RT)-qPCR.**

208 Total RNA samples were extracted via RNAiso Plus (9109, TaKaRa Bio, Japan).
209 PrimeScript™ RT reagent Kit with gDNA Eraser (Perfect Real Time) (RR047A,
210 TAKARA Bio, Japan) was used for reverse transcription. ABI 7900HT Fast Instrument
211 (Applied Biosystems, USA) was applied for quantitative PCR using SYBR® Premix Ex
212 Taq™ II (RR820A, TAKARA Bio, Japan). Primers used in the tests were obtained from
213 Sangon Co.Ltd (Shanghai, China).

214 **Immunohistochemistry.**

215 Mice Liver tissues were collected and kept in OCT. Immunohistochemical was
216 used to detect intrahepatic HBcAg by staining anti-HBc(ZA-0121,ZSGB-BIO,CHINA) ;
217 intrahepatic NEDD8-Cullins by staining anti-NEDD8(ab81264, abcam, USA) according
218 to previous methods(39).

219 **HBV DNA and antigen detection**

220 HBV DNA in cell supernatants and serum were detected by the Fluorescence
221 Quantitative PCR Detection Kit for Hepatitis B Virus DNA (ACON Biotech Co. Ltd,
222 Hangzhou, China). Viral antigen in cell supernatants and serum including HBsAg and
223 HBeAg were measured by Abbott i2000SR (Abbott Diagnostics, Abbott Park, IL, USA)
224 using Architect HBsAg and HBeAg Reagent kits (Abbott Diagnostics, Abbott Park, IL,
225 USA).

226 **HBV cccDNA detection**

227 Huh7 transfected with pHBV1.37 were lysed in lysis buffer within proteinase K
228 (QIAGEN), total DNA was extracted according to a standard phenol-chloroform
229 extraction protocol. The total DNA was digested with plasmid-safe adenosine
230 triphosphate (ATP)-dependent deoxyribonuclease DNase (PSAD) (Epicentre
231 Technologies) for 8 h at 37°C. DNase was inactivated by incubating the reactions for 30
232 min at 70°C. The digested DNA was used for quantification of HBV cccDNA within HBV
233 cccDNA specific primers: 5'TGCACTTCGCTTCACCT3' (forward) and
234 5'AGGGGCATTTGGTGGTC3' (reverse).The real-time PCR was performed using the
235 SYBR Premix Ex Taq on ABI 7900 Fast Real-Time PCR System as the following
236 reaction procedure: 95°C for 5 min then 45 cycles of 95°C for 30 s, 62°C for 25 s, and

237 72°C for 30 s.

238 **Alanine Aminotransferase and Aspartate Aminotransferase Measurement.**

239 Serum alanine aminotransferase (ALT) and aspartate aminotransferase (AST) was
240 measured with HESKA Dri-Chem 4000 (HESKA; slides from Fujifilm, Tokyo, Japan).

241 **Western blot**

242 Proteins extracted from cells and tissues were boiled for 10 min at 100 °C, and
243 resolved on 4–20% gradient SDS-PAGE gel (Genescript) subsequently transferred to
244 PVDF membranes. The membranes were blocked in 1XTBS-T containing 5%BSA for
245 40 minutes, and incubated in primary following corresponding secondary antibodies.
246 The bands were visualized by ChemiScope 3300 Mini equipment (CLINX, Shanghai,
247 China) using EZ-ECL Kit HRP (Biological industries, Israel).

248 **Southern blot**

249 The Viral DNAs used in southern blot were extracted from cells according to
250 methods: Dissolve each 60mm cell culture plate cells with 1.5ml TE buffer (10:10) and
251 0.1ml 10% sodium dodecyl sulfate (SDS), then incubate at room temperature for 40
252 minutes. Transfer the cell lysate to a clean 15 mL centrifuge tube, then add 0.4 mL 5 M
253 NaCl, and gently flip the tube. The tube was then incubated at 4°C for 24 hours.
254 Centrifuge at 15000×g for 30 minutes at 4°C. Transfer the supernatant to a new 15 mL
255 centrifuge tube. Add the same amount of phenol to the supernatant and mix it
256 thoroughly by shaking for 10 s. Centrifuge at 4000×g for 10 minutes at 4°C, and transfer
257 the aqueous phase to a new 15 mL middle tube. Add two volumes of 100% ethanol,
258 invert the test tube several times. Incubate tube overnight at room temperature to
259 precipitate DNA. On the second day, centrifuge at 4000×g for 30 minutes at 4°C, and
260 discard the supernatant. Add an equal amount of 75% ethanol to wash the DNA
261 particles. Centrifuge at 4000×g for 15 minutes at 4°C. Discard the supernatant. Let the
262 pellets air dry for about 10 minutes at room temperature. Dissolve DNA particles in 20μL
263 TE buffer (10:1)(40). Hirt method was used to detect cccDNA, as described previously
264 (41, 42). The isolated DNA samples were separated on 0.9%agarose gel then
265 transferred to nylon membrane following hybridized with HBV-specific probe according
266 to the instructions (41).The primers for HBV-specific probe:
267 5'AATTCCACAACCTTTCACCAAACCTC3'(Forward);5'CACTGCATGGCCTGAGGATGA

268 GT'(Reverse).

269

270 **Statistical Analysis.**

271 Results were analyzed by GraphPad Prism v7.0a (GraphPad Software, Inc.,
272 San Diego, USA). Data were presented as mean \pm SEM. Results of significance were
273 using Student t test and $p < 0.05$ was considered statistically significant.

274 **Results**

275 **HBV activates cullin neddylation.**

276 Previous studies have shown that MLN4924 has anti-viral activity in various virus
277 models by inactivating CRLs (Cullin-RING ligases). To determine potential involvement
278 of CRLs in HBV expression, we used HBV stable-expression cell line HepAD38. Since
279 tetracycline can completely control HBV replication in HepAD38 cells and the viral
280 replication can be greatly inhibited in the presence of tetracycline(43), we treated HepAD38
281 cells with tetracycline or not. We found that the levels of NEDD8-Cullins are higher in
282 tetracycline-absent group (Fig.1A). We also transiently transfected HBV -expressing
283 plasmid pHBV1.37 into hepatoma HepG2 and Huh7 cells, and detected again elevated
284 levels of neddylated cullins in both lines (Fig.1B&C). We show the HBV replication in a
285 time-dependent manner as well as with different dose of transfection of HBV plasmid in
286 the meanwhile (Fig.1D). More importantly, using *in vivo* mouse model, we detected
287 increased levels of neddylated cullins in liver tissues derived from mice 4 days post tail-
288 vein injection of pAAV-HBV1.2 plasmids (Fig.1E). Taken together, our results showed
289 that HBV viral plasmids elevated the levels of neddylated cullins both *in vitro* and *in vivo*
290 models, suggesting a potential involvement of CRLs in HBV infection.

291

292 **MLN4924 inhibits the HBV replication and antigen production *in vitro***

293 We then investigated whether MLN4924, a potent inhibitor of neddylation E1-
294 activating enzyme (12), can inhibit HBV replication in *in vitro* cell culture setting. We first
295 tested MLN4924 cytotoxicity and found that in HepG2.2.15 cells, MLN4924 at 500 nM
296 caused less than 20% of growth inhibition (Fig.2A). We found that MLN4924
297 significantly reduced the levels of secreted HBV DNA and HBsAg, as well as HBeAg (to

298 a less extent) in culture supernatants in a dose dependent manner (Fig.2 B-D). Using
299 these doses, we showed the concentration of NEDD8-Cullins (Fig. 2E). We further
300 confirmed the inhibitory effect of MLN4924 on HepG2 (Fig.2F) and Huh7 (Fig. 2F) cells
301 after transiently transfection of plasmid encoding pHBV1.37. Tenofovir Disoproxil
302 Fumarate (TDF) has been widely used as first-line agents for the treatment of infection
303 of HBV in clinic(44). It was shown that TDF significantly inhibited HBV DNA (Fig.S1A),
304 but it had no obvious effect on the production of HBsAg and HBeAg (Fig.S1B-C).
305 Collectively, MLN4924 showed significant anti-HBV activity in cell culture settings.
306 Given that anti-viral effect of MLN4924 is similar between concentrations of 250nM and
307 500nM, we used MLN4924 at 250nM for the rest of study.

308

309 **MLN4924 inhibits HBV particles and the levels of HBV antigen *in vivo*.**

310 We further explored whether MLN4924 has anti-HBV activity *in vivo*. C57BL/6
311 mice was injected via the tail-vein with 10 µg of pAAV-HBV1.2 plasmid (37). The mice
312 were subsequently injected i.p. with 60 mg/kg of MLN4924 at day 0, 1, 3, 5, 7, and 9
313 post-injection. Serums were collected at various time points after MLN4924 dosing.
314 Indeed, MLN4924 significantly reduced the levels of serum HBV DNA (Fig.3A), HBsAg
315 (Fig.3B), and HBeAg (Fig.3C) without affecting liver function nor growth, as evidenced
316 by similar serum levels of ALT and AST (Fig. 3D&E), and body weight (Fig. 3F) between
317 treated and control mice. Immunohistochemical analysis showed that the NEDD8-
318 Cullins (Mainly nucleus expressing) and HBcAg expression were decreased in the liver
319 of MLN4924-treated mice (Fig.3G). These results indicated that MLN4924 indeed has
320 anti-HBV activity at nontoxic dose *in vivo*.

321

322 **MLN4924 inhibits production of HBV 3.5kb RNA and cccDNA and blocks HBV** 323 **promoter activity**

324 To explore how MLN4924 suppressed HBV, we used RT-qPCR to measure the
325 levels of HBV 3.5 kb RNA in HepG2.2.15 cells (with stable HBV expression), and found
326 a significant reduction upon MLN4924 treatment (Fig. 4A). Significantly, MLN4924
327 treatment also reduced the levels of the cccDNA in Huh7 cells transfected with pHBV
328 1.37 plasmid, as measured by RT-qPCR (Fig. 4B) and Southern blot (Fig.4C). Finally,

329 we determined the effects of MLN4924 on HBV promoter activities, using luciferase-
330 based reporters driven by XP, preS1P, preS2P and CP which represent respectively the
331 promoters that drive the expression of the genes encoding HBV X protein, HBV large
332 surface protein, HBV middle and small surface protein, HBV core protein in HepG2
333 (Fig.4D) and Huh7 (Fig.4E) cells. Again, MLN4924 significantly inhibited the activities of
334 these HBV promoters. Taken together, HBV replication and transcription were
335 significantly inhibited by MLN4924.

336

337 **MLN4924 inhibits expression of several transcription factors required for HBV** 338 **replication.**

339 Several transcription factors are required in activation of HBV promoters, including
340 peroxisome proliferator-activated receptor (PPAR α), C/EBP α , HNF4 α , and HNF1 α (45,
341 46). We next determined whether MLN4924 has any effect on the expressions of these
342 transcription factors using both real-time PCR and Western blotting in HepG2.2.15 cells.
343 The results clearly showed that MLN4924 down-regulated the expression of HNF1 α ,
344 C/EBP α and HNF4 α , but not PPAR α at both mRNA (Fig. 5A-D) and protein levels (Fig.5
345 E), providing a molecular mechanism by which MLN4924 suppresses HBV transcription
346 and replication.

347

348 **MLN4924 anti-HBV activity is mediated by activation of MAPK signal.**

349 We have previously shown that MLN4924 triggers EGFR dimerization to activate
350 ERK (pERK) (47), whereas pERK was reported to suppress HBV (47-49) and negatively
351 regulates HNF1 α , C/EBP α , and HNF4 α (50). We, therefore, determined whether the
352 pERK was involved in MLN4924-induced HBV suppression. We first confirmed that
353 MLN4924 treatment indeed activated ERK in HepG2.2.15 cells, as evidenced by
354 increased phosphor-ERK (pERK), which was blocked by MEK inhibitor, U0126 (Fig. 6A).
355 We then used all three cellular HBV-expressing models, and found that MLN4924
356 reduced the levels of transcription factors HNF1 α , C/EBP α , and HNF4 α , which can be
357 rescued by MEK inhibitor U0126, while U0126 had no effect if acting alone (Fig. 6A-C).
358 Finally, MLN4924-mediated anti-HBV activity can be largely rescued by U0126 (Fig. 6D),
359 strongly suggests a causal role of pERK in mediating MLN4924 suppression of HBV.

360

361 **Discussion**

362 Previous studies have shown that MLN4924 has broad anti-virus activity, mainly by
363 inactivation of CRLs. For example, MLN4924 anti-HIV activity was mediated by
364 inhibiting CRL5-induced degradation of APOBEC3G (24) or CRL4-induced degradation
365 of SAMHD1 (25). The anti-influenza virus activity of MLN4924 was mediated by
366 blocking NF κ B nuclear translocation to reduce secretion of pro-inflammatory cytokines
367 (31), whereas anti-HCV activity was achieved by impairing the function of VPR (27). In
368 the case of HBV, two studies reported that X protein of hepatitis B virus promotes
369 degradation of SMC5/6 via CRL4 to enhance HBV replication (33, 34). A most recent
370 study showed that MLN4924 has anti-HBV activity by restoring SMC5/6 levels via
371 inactivating CRL4 (51). Whether the anti-virus activity of MLN4924 can also be
372 mediated by a mechanism other than CRLs inactivation is previously unknown.

373 In this study, with a portion of it reported last year in an international symposium
374 (52), we used both HBV-infected *in vitro* cell culture and *in vivo* mouse models to test
375 anti-HBV activity of MLN4924. We first found that HBV infection activated cullin
376 neddylation in all three cellular models, which is effectively inhibited by MLN4924
377 (Figure 1). We further showed that MLN4924 at non-toxic doses inhibited HBV DNA titer
378 and the levels of HBV antigens HBsAg and HBeAg both *in vitro* and *in vivo* in dose and
379 time dependent manner (Figures 2&3). This is achieved by MLN4924-induced
380 abrogation of activities of a number of HBV promoters, leading to reduced levels of 3.5
381 kb HBV RNA and cccDNA (Figure. 4).

382 What is the possible mechanism by which MLN4924 down-regulated the
383 promoter activity of HBV? We turned our attention to four liver-enriched transcription
384 factors, HNF1 α , HNF4 α , C/EBP α , and PPAR α , which are not only important regulators
385 for liver metabolic homeostasis (46), but also shown to bind HBV promoter/enhancer
386 elements to activate HBV transcription(53-55). Specifically, HNF1 α enhances viral
387 transcription by activating a) HBV preS1P activity via binding to its enhancer/promoter
388 (56, 57), and b) HBV CP activity via combining HBV Enh II B2 region (58). While HNF4 α
389 overexpression increases activities of preS1P, preS2P and CP (49, 59, 60), C/EBP α

390 binds and activates the HBV Enh II, CP and preS2P (53). We found that MLN4924
391 effectively reduced the levels of HNF1 α , HNF4 α , and C/EBP α without affecting PPAR α
392 (Figure 5), providing a molecular explanation of how MLN4924 suppresses viral
393 promoter activity.

394 We further pursued how MLN4924 reduces the protein levels of these cellular
395 transcription factors. The effect is unlikely due to direct inhibition of CRLs, since CRLs
396 inactivation would cause an increase, not decrease of substrates. A previous study has
397 showed that in human hepatoma cells, activation of MAPK signal down-regulates HNF-
398 4 expression and completely inhibits C/EBP α expression with compromised recruitment
399 of HNF-3 β and HNF-1 α to the HNF-4 enhancer, and RNA polymerase II to the proximal
400 HNF-4 promoter (50), indicating MPAK signal is a negative regulator of these liver
401 transcription factors. Furthermore, RAS-MAPK activation by external stimuli has
402 previously shown to suppress HBV replication in both Huh7 and HepG2 cells (61).

403 Is there any connection between MLN4924 and MAPK activation? Indeed, we
404 recently found that in addition to blocking cullin neddylation as a potent NAE inhibitor,
405 MLN4924 also activates EGFR and downstream AKT1 and ERK1/2 signals by
406 triggering EGFR dimerization in lung, breast, and colon cancer cells (47). Here we
407 showed that in all three EBV-infected liver cell models, MLN4924 activates MAPK signal
408 leading to increased ERK1/2 phosphorylation, and ERK1/2 activation inhibits protein
409 levels of HNF1 α , HNF4 α , and C/EBP α (Figure 6). More importantly, inactivation of
410 pERK1/2 by a MEK inhibitor U0126 rescued MLN4924 effects, as evidenced by
411 restoring the levels of these three transcription factors, and abrogating inhibition in the
412 production of HBV DNA and HBsAg (Figure 6). Taken together, we conclude that
413 MAPK activation plays a causal role in anti-HBV activity of MLN4924.

414 In summary, we made two novel observations in this study: First, HBV infection in
415 all three cellular models activates CRLs by enhancing cullin neddylation. The
416 underlying mechanism is unknown at the present time, but it is certainly an interesting
417 subject for future investigation; and second, anti-HBV activity of MLN4924 can also be
418 achieved by activation of MAPK signal, which suppresses few transcription factors that
419 drive HBV transcription. Our study, along with a recent publication (51), supports the
420 following model for MLN4924 anti-HBV activity. MLN4924, on one hand, inactivates

421 CRL4 to restore the levels of SMC5/6 to block cccDNA synthesis, and on the other hand,
422 activates MAPK signals to suppress transcriptional activity of HFN1 α , HFN4 α , and
423 C/EPB α , leading to inhibition of viral promoters of S1p, S2p, Cp and Xp to reduce the
424 levels of 3.5 kb HBV RNA, and eventually reduced HBV rcDNA and HBsAg and HBcAg
425 (Figure 7). It has been reported that CHB patients with the high levels of HBV DNA and
426 HBsAg are more frequently progressed to HCC (8). Thus, simultaneous inhibition of
427 viral DNA and antigens will be an ideal approach for anti-HBV therapy. MLN4924 is a
428 highly selective small-molecule inhibitor of NEDD8 and can block the entire neddylation
429 modification cascade effectively (62). In addition to well-characterized anti-neddylation
430 activity, recent studies showed that MLN4924 has several neddylation-independent
431 activities including the ERK activation we found in this work (47, 62). So the anti-HBV
432 activation of MLN4924 may involve neddylation inhibition as well as other mechanisms.
433 Therefore, the degree of NEDD8 inhibition after medication may not be parallel to the
434 inhibition efficiency of HBV and the detailed mechanisms need further research. Taken
435 together, our study showed that MLN4924 is an effective anti-HBV agent by blocking
436 both viral DNA and antigen, thus providing a sound rational for future clinical trial of this
437 new application.

438

439 **Conflict of interest**

440 The authors confirm that there are no conflicts of interest.

441 **Author's contributions**

442 Min Zheng, Zhenggang Yang, Yi Sun designed the research; Mingjie Xie, Guohua
443 Lou, Huiting Guo, Jiping Yao performed the experiments, and analyzed the data, along
444 with Min Zheng and Yi Sun; Mingjie Xie drafted the manuscript; Min Zheng, Zhenggang
445 Yang, Yanning Liu revised, and Yi Sun finalized the manuscript.

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455

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623

624 **Figure legends**

625 **Fig.1 HBV activates cullin neddylation in hepatoma cells and liver tissues.** (A)
626 HepAD38 cells were cultured in 6 well plates and treated with tetracycline (1 μ g / μ L) or
627 not until confluent. The cell lysates were harvested for Western blotting for NEDD8-
628 Cullins. (B) HepG2 cells and (C) Huh7 cells were transfected with pHBV1.37 plasmids.
629 Cells were collected at indicated times post transfection and subjected to Western
630 blotting using indicated Abs.(D) HepG2 cells and Huh7 cells were transfected with
631 pHBV1.37 plasmids in a time-dependent manner(the concentration of plasmids were
632 1 μ g /mL) as well as with different dose of plasmids(culture mediums were collected for
633 HBV detection after 48h transfection) separately. Culture mediums were collected for
634 RT-qPCR to determine the HBV DNA levels and subjected to ELISA to measure the
635 levels of HBsAg and HBeAg. (E) 10 μ g of pAAV-HBV1.2 plasmid, along with empty
636 vector control, were injected into C57BL/6 mice through the tail vein. The mice livers
637 were collected from 4 controls and 6 experimental mice 4 days after injection, followed
638 by Western blotting with indicated antibodies. Cells were then harvested for protein
639 lysate preparation, followed by Western blotting with indicated Abs.

640

641 **Fig. 2 MLN4924 suppresses HBV in HBV-expressing liver cells.** (A) Cytotoxicity of
642 MLN4924. HepG2.2.15 cells were treated with MLN4924 at the indicated concentrations
643 for 48h, followed by CCK8 growth assay. (B-D) Effect of MLN4924 on HBV replication:
644 HepG2.2.15 cells were treated with MLN4924 at indicated concentration for 48h.
645 Culture mediums were collected for RT-qPCR to determine the HBV DNA levels (B) and

646 subjected to ELISA to measure the levels of HBsAg (C) and HBeAg (D). (E)
647 HepG2.2.15 cells were treated with MLN4924 at the indicated concentrations along with
648 DMSO control for 48 h. The cell lysates were then harvested for Western blotting for
649 NEDD8-Cullins. HepG2 cells and Huh7 cells (F) were transiently transfected with
650 pHBV1.37. Cells were treated 6 h later with MLN4924 for 48 h. Culture mediums were
651 collected for RT-qPCR to determine the HBV DNA levels and subjected to ELISA to
652 measure the levels of HBsAg and HBeAg. ** $p < 0.01$, *** $p < 0.001$, NS: no significance.

653

654 **Fig.3 MLN4924 exhibits anti-HBV activity in HBV-mice models.** C57BL/6 mice were
655 hydrodynamically injected with 10 μ g of pAAV-HBV1.2 plasmids through tail vein. The
656 mice were subsequently injected i.p. with 60 mg/kg of MLN4924 at day 0, 1, 3, 5, 7, and
657 9 post injection. Serum samples were collected at indicated time points. The HBV DNA
658 replicative intermediates in the serum was evaluated by RT-qPCR (A), whereas the
659 levels of HBsAg (B) and HBeAg (C) were measured by ELISA, along with measurement
660 of ALT and AST (D,E), and body weight (F). Mice livers were collected at 13-day post
661 virus injection, fixed, sectioned for immunohistochemistry staining with anti-NEDD8 and
662 anti-HBcAg Ab separately. Shown are representative areas (Positive cells were
663 indicated with solid arrows) (G). * $p < 0.05$, ** $p < 0.01$, *** $p < 0.001$.

664

665 **Fig.4 MLN4924 inhibits HBV transcription and replication.** Total RNA was isolated
666 from HepG2.2.15 cells with or without treatment of MLN4924, and subjected to RT-
667 qPCR analysis to measure the levels of HBV 3.5kb RNA (A). (B-C) Cells were
668 harvested and the levels of cccDNA were detected by RT-qPCR (B) and Southern blot
669 (C). Activities of HBV promoters were assessed by luciferase-based reported assay in
670 HepG2 (D) and Huh7 (E) cells treated with MLN4924 or vehicle control for 48 h, as
671 indicated. Shown are mean \pm SEM from three independent experiments. * $p < 0.05$, ** p
672 < 0.01 , *** $p < 0.001$.

673

674 **Fig. 5 MLN4924 suppressed the expression of HNF1 α , HNF4 α , and C/EBP α ,**
675 **required for HBV transcription.** HepG2.2.15 cells were treated with 250 nM MLN4924,

676 along with DMSO control for 48 h. Cells were then harvested for total RNA isolation or
677 protein lysate preparation, followed by RT-PCR analysis for indicated transcription
678 factor (A-D) and Western blotting with indicated Abs (E). Shown are mean \pm SEM from
679 three independent experiments ** $p < 0.01$, *** $p < 0.001$, NS: no significance.

680

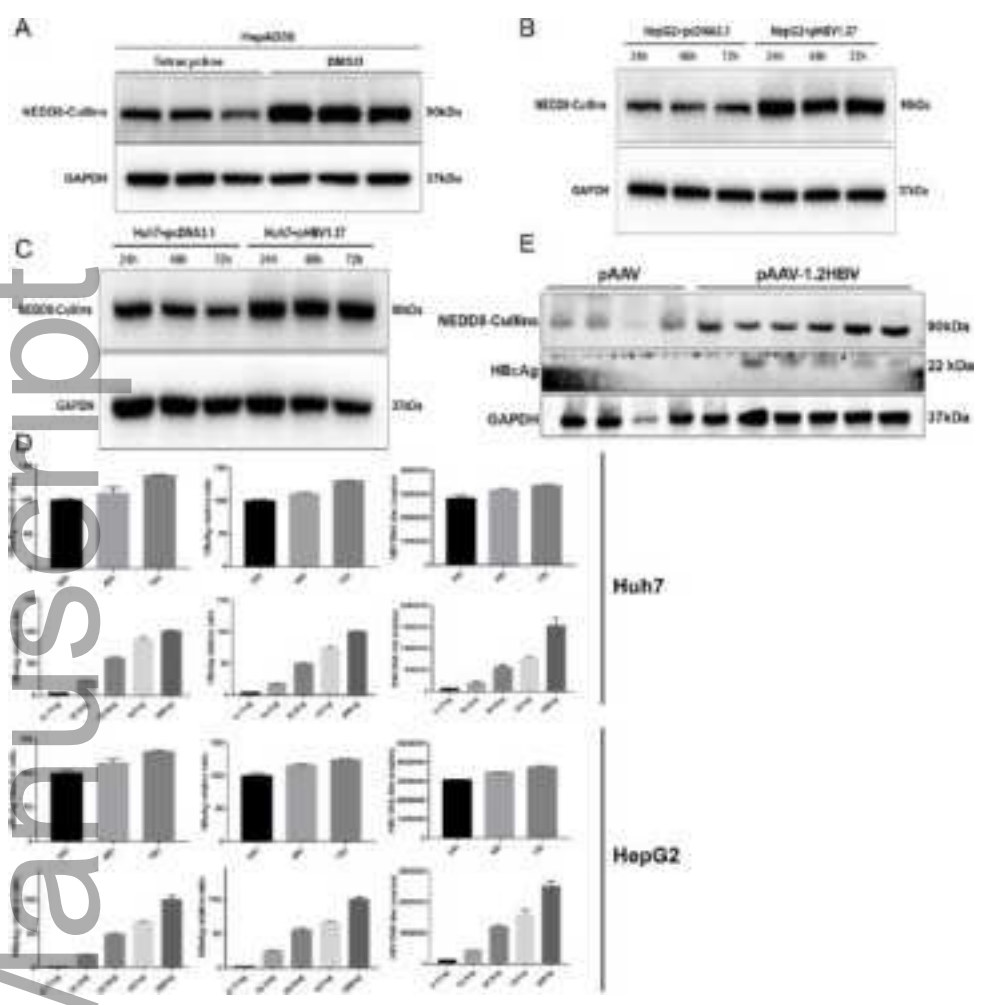
681 **Fig. 6 pERK plays a critical role in mediating MLN4924 anti-HBV activity.** The
682 HepG2.2.15 cells were treated with MLN4924 (250 nM), U0126 (10 μ M), alone or in
683 combination for 48 h, followed by Western blotting with indicated Ab (A). HepG2 (B) or
684 Huh7 (C) cells were transfected with pHBV1.37 plasmid, treated with MLN4924 or
685 U0126 alone or in combination, and followed by Western blot analysis using indicated
686 Ab. Supernatants collected in HepG2.2.15 (D) were subjected to RT-qPCR and ELSA
687 measurement of HBV DNA and HBsAg levels separately. Densitometry quantification of
688 Western blots were conducted using Image J. * $p < 0.05$, ** $p < 0.01$, *** $p < 0.001$, NS:
689 no significance.

690

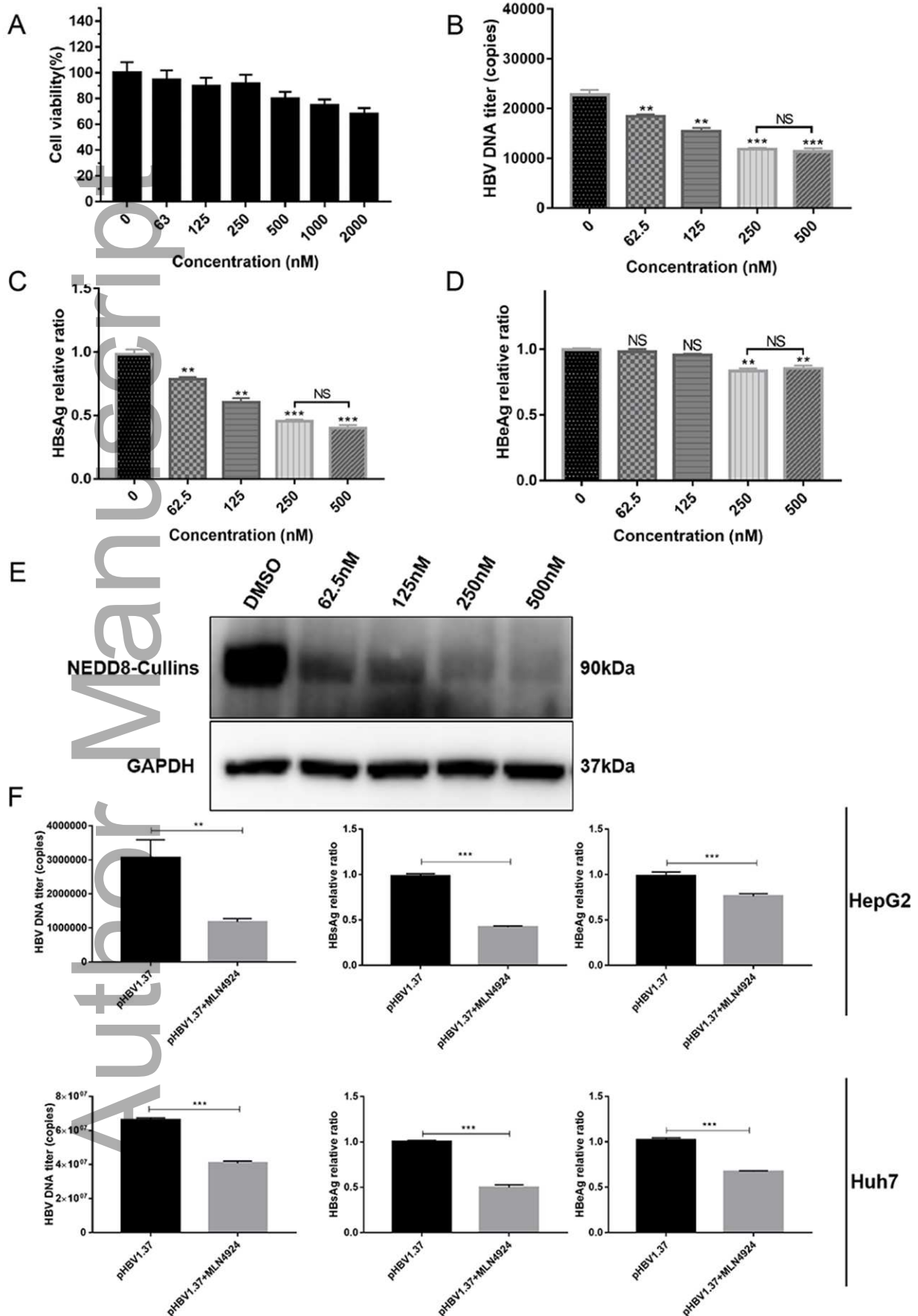
691 **Fig.7 MLN4924 anti-HBV model.** MLN4924 has two distinct mechanisms of action. On
692 one hand, MLN4924 inactivates CRLs to restore the levels of SMC5/6 to suppress
693 cccDNA (51), and on the other hand, it activates ERK via EGFR signals to down-
694 regulate the expression of HNF1 α , HNF4 α , and C/EBP α . Together, MLN4924 inhibits
695 activities of various HBV promoters, leading to reduction of HBV RNA, HBsAg and
696 rcDNA and finally virion production.

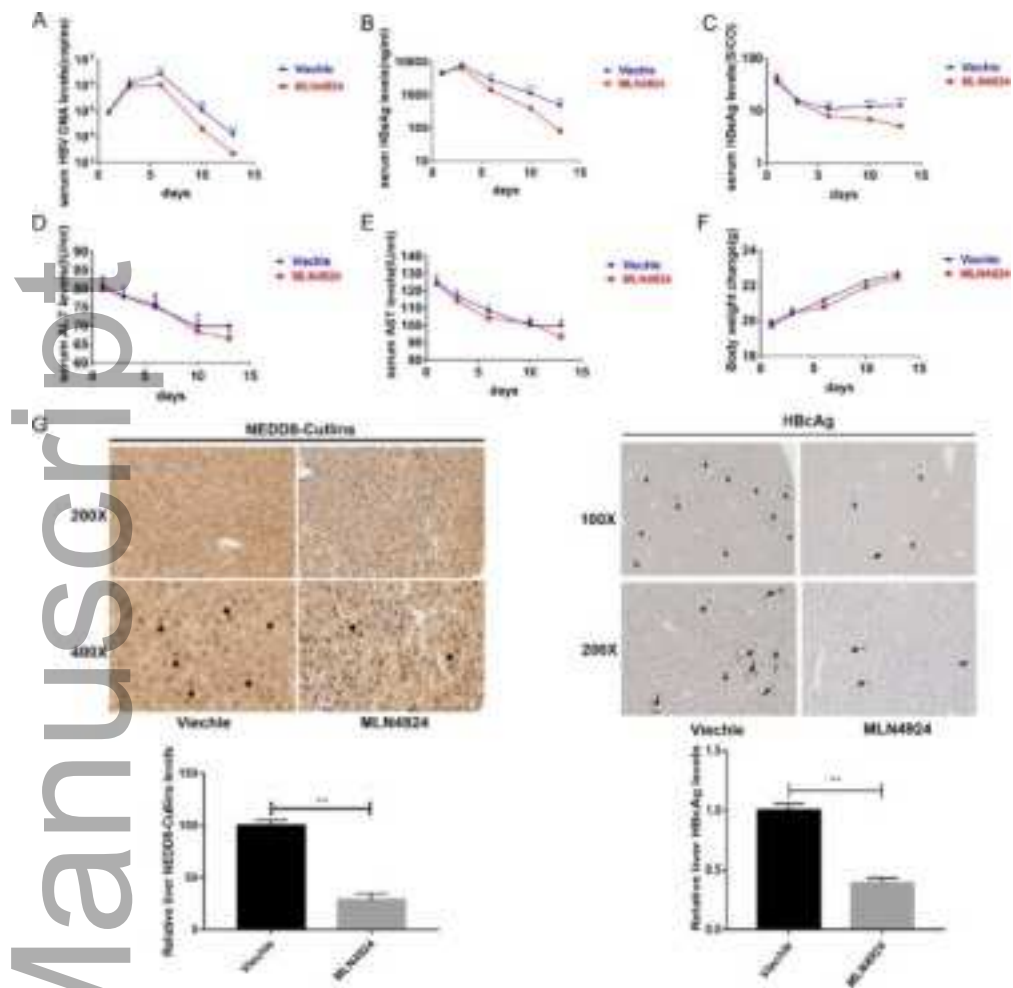
697

698 **Fig.S1 HBV replication levels after treatment with Tenofovir Disoproxil**
699 **Fumarate(TDF) and MLN4924.** HepG2.2.15 cells were treated with TDF(2 μ M) and
700 MN4924(250nM) for 48h. Culture mediums were collected for RT-qPCR to determine
701 the HBV DNA levels (A) and subjected to ELISA to measure the levels of HBsAg (B)
702 and HBeAg (C). ** $p < 0.01$, *** $p < 0.001$, NS: no significance.

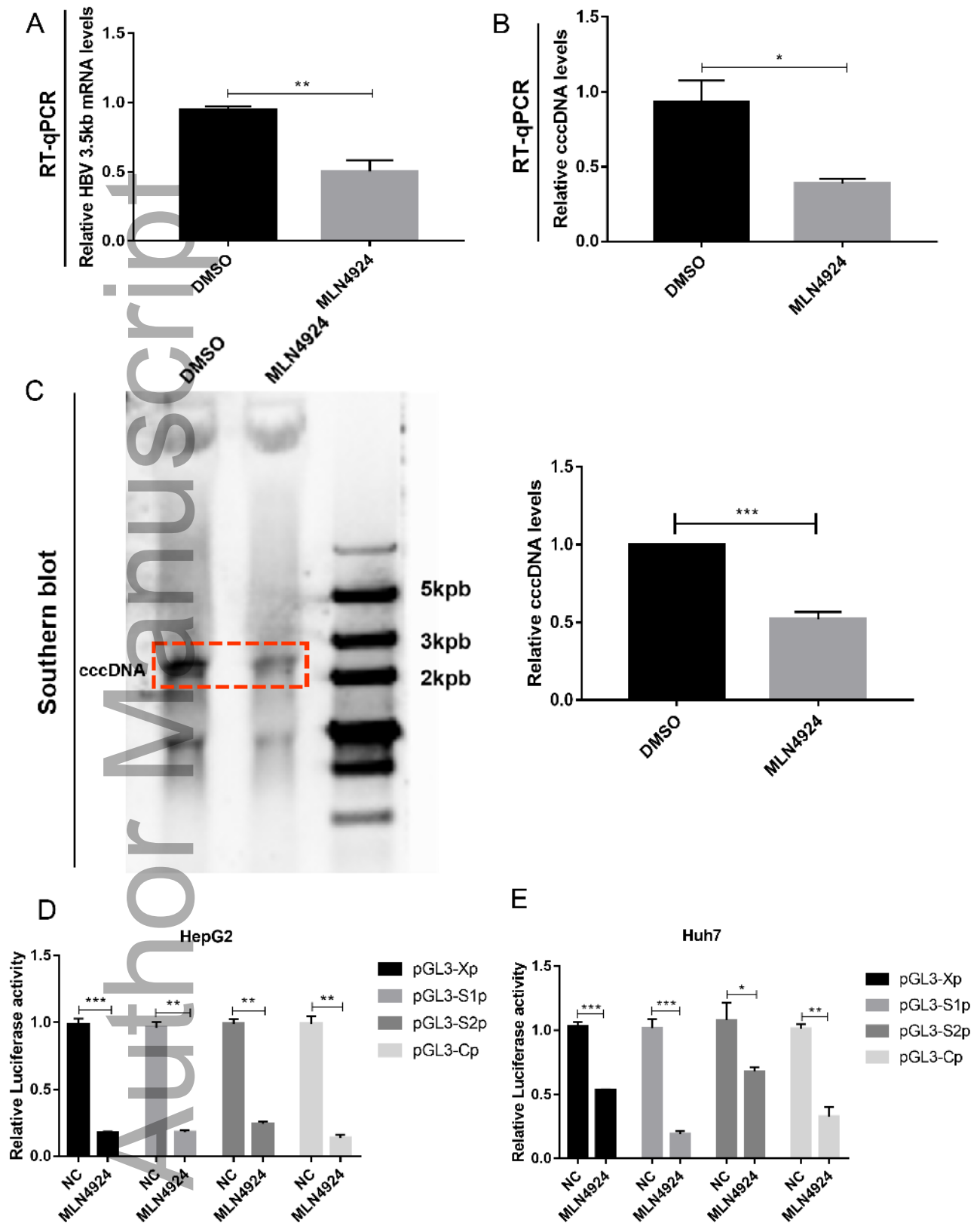


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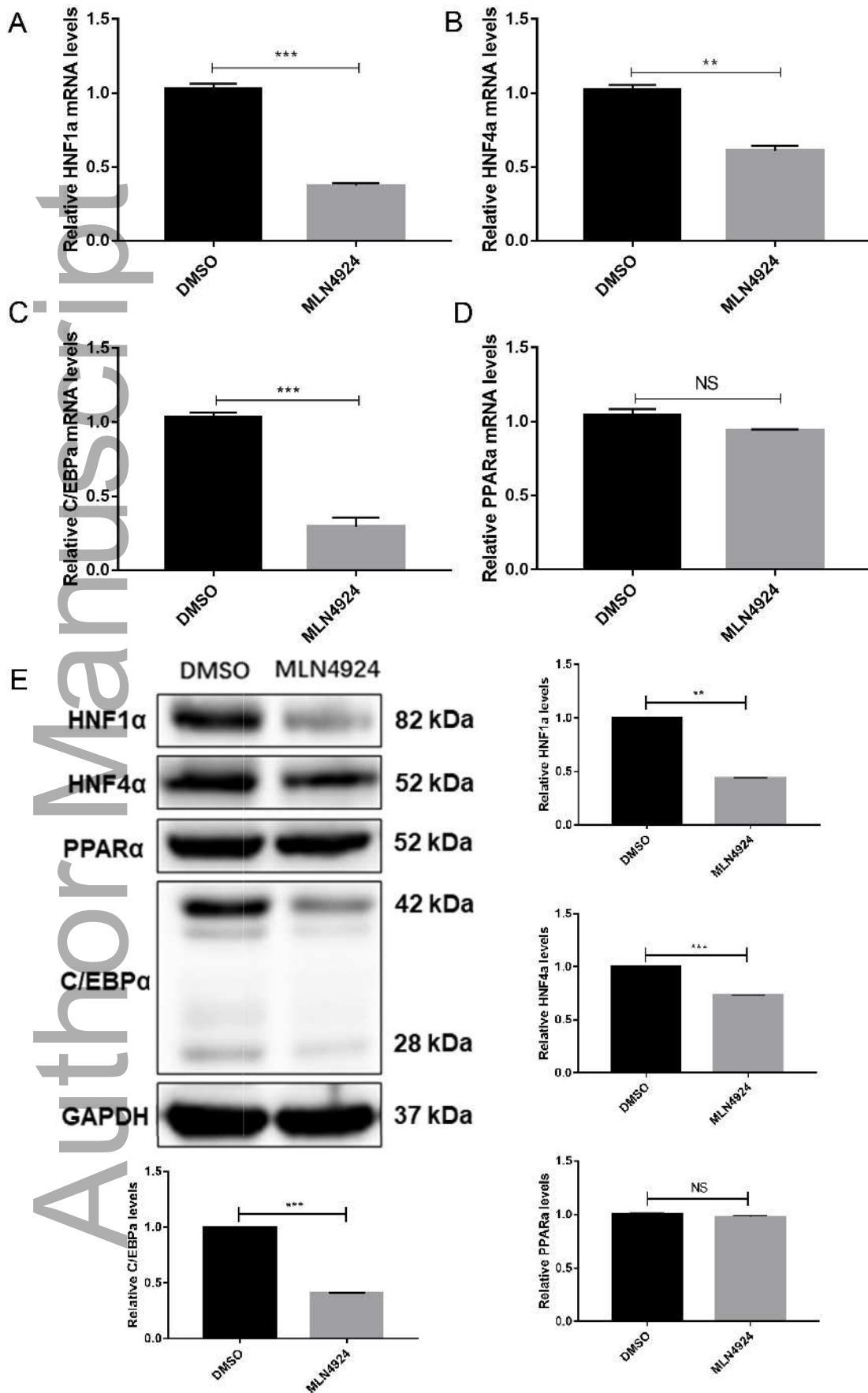


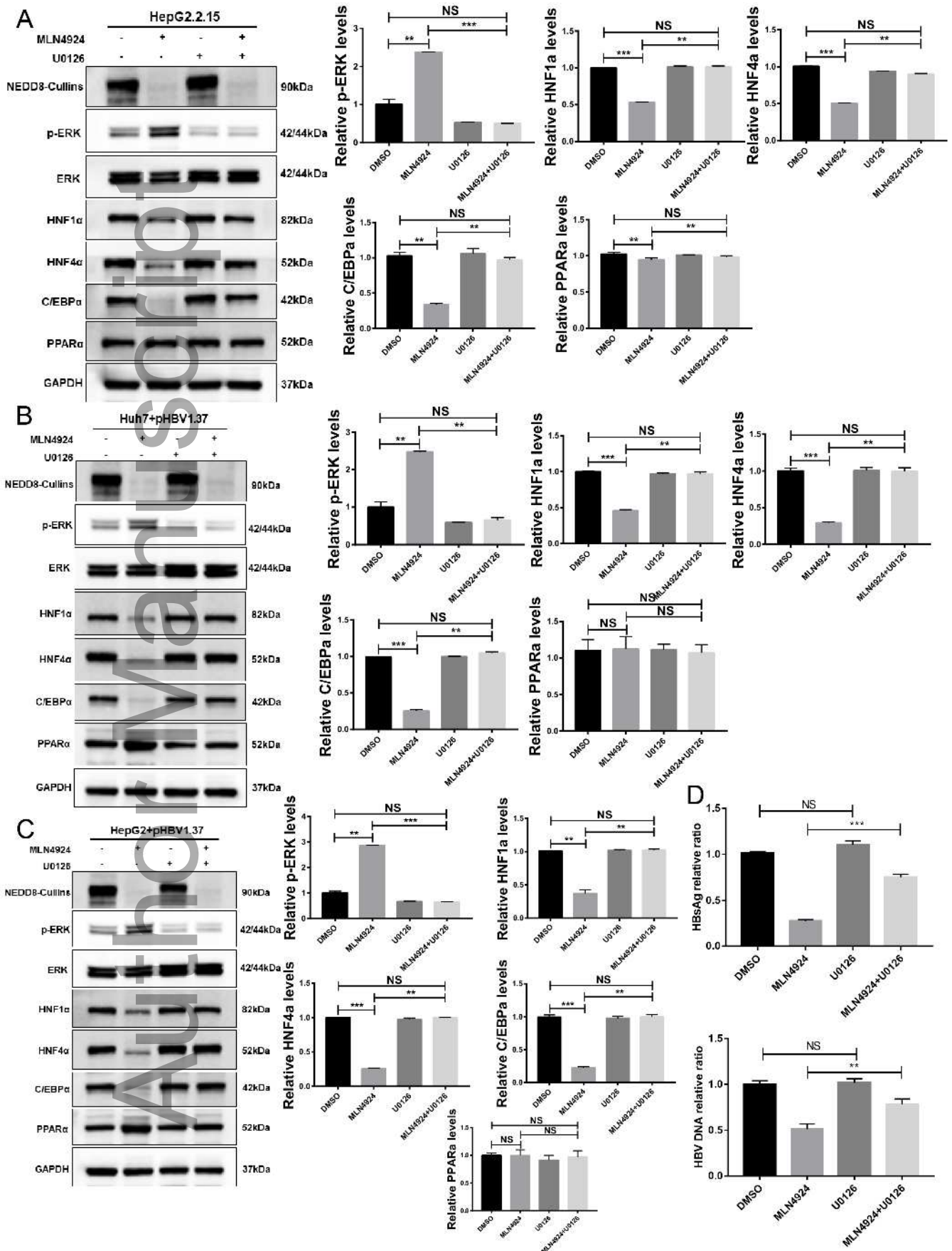


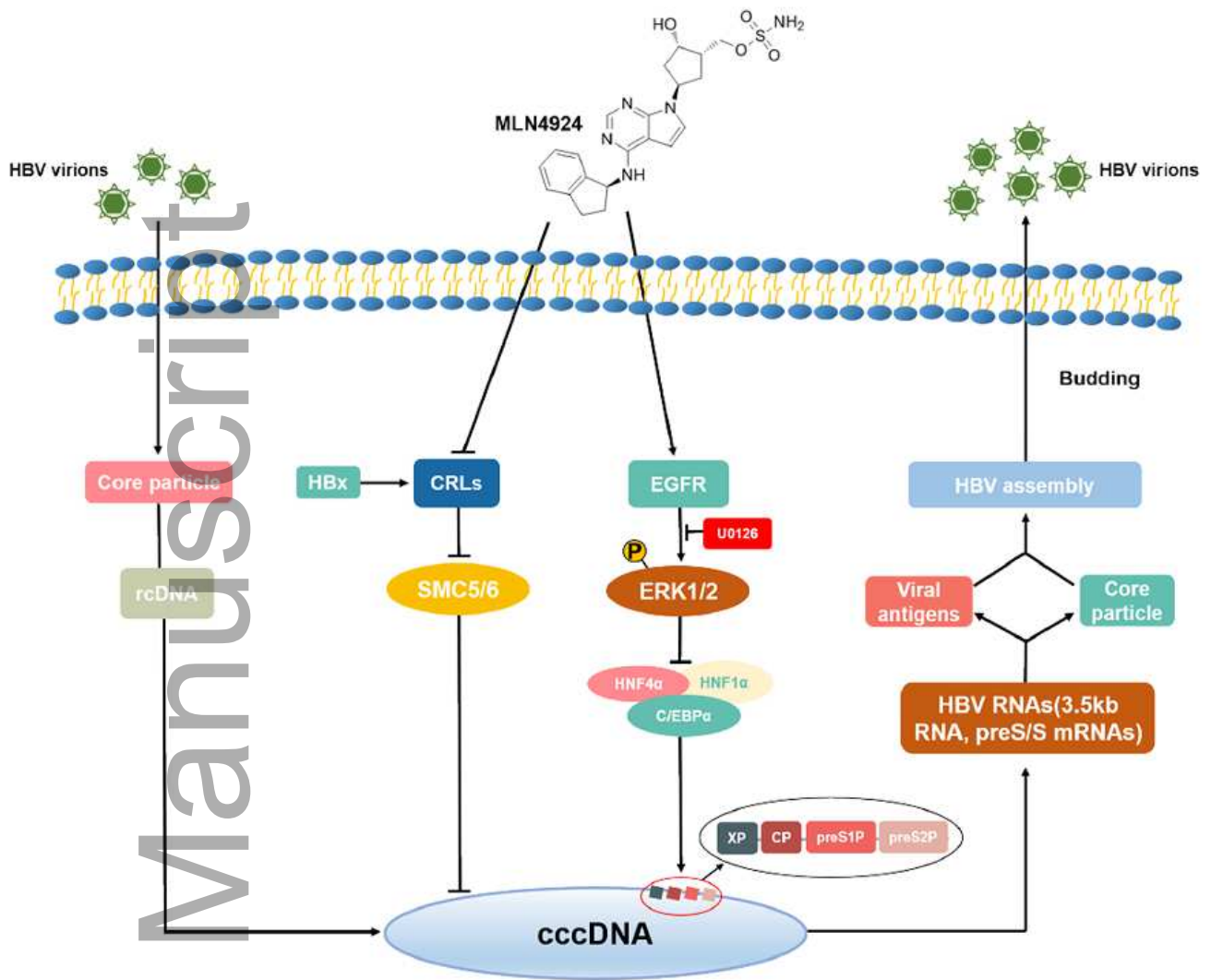
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