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**Ultrasound-Assisted Laser Thrombolysis with Endovascular Laser and High-intensity Focused Ultrasound**

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27 Running title - Ultrasound-Assisted Laser Thrombolysis

28 **Abstract**

29 Purpose

30 The combination of laser and ultrasound can significantly improve the efficiency of thrombolysis  
31 through an enhanced cavitation effect. We developed a fiber optics-based laser-ultrasound  
32 thrombolysis device and tested the feasibility and efficiency of this technology for restoring blood  
33 flow in an *in vitro* blood clot model.

34 Methods

35 An *in vitro* blood flow-clot model was set up, and then an endovascular laser thrombolysis system  
36 was combined with high-intensity focused ultrasound to remove the clot. The laser and ultrasound  
37 pulses were synchronized and delivered to the blood clot concurrently. The laser pulses of 532  
38 nm were delivered to the blood clot endovascularly through an optical fiber, whereas the  
39 ultrasound pulses of 0.5 MHz were applied noninvasively to the same region. Effectiveness of  
40 thrombolysis was evaluated by the ability to restore blood flow, which was monitored by  
41 ultrasound Doppler.

42 Results

43 As laser powers increased, the ultrasound threshold pressures for effective thrombolysis decreased.  
44 For laser fluence levels of 0 mJ/cm<sup>2</sup>, 2 mJ/cm<sup>2</sup> and 4 mJ/cm<sup>2</sup>, the average negative ultrasound

45 threshold pressures were  $1.26 \pm 0.114$  MPa,  $1.05 \pm 0.181$  MPa, and  $0.59 \pm 0.074$  MPa, respectively.  
46 The periods of time needed to achieve effective thrombolysis were measured at  $0.8 \text{ mJ/cm}^2$ ,  $2$   
47  $\text{mJ/cm}^2$  and  $4 \text{ mJ/cm}^2$  laser fluence levels and  $0.42$  MPa,  $0.70$  MPa and  $0.98$  MPa negative  
48 ultrasound pressures. In general, thrombolysis could be achieved more rapidly with higher laser  
49 powers or ultrasound pressures.

## 50 Conclusions

51 Effective thrombolysis can be achieved by combining endovascular laser with non-invasive  
52 ultrasound at relatively low power and pressure levels, which can potentially improve both the  
53 treatment efficiency and safety.

54

## 55 Introduction

56 Deep vein thrombosis (DVT), characterized by excessive blood clot (thrombus) formation  
57 in veins, is a major disease affecting more than 10 million people worldwide each year.<sup>1</sup> Medical  
58 complications associated with DVT include pulmonary embolism (PE) and postthrombotic  
59 syndrome (PTS).<sup>2-5</sup> PE is an acute life-threatening complication, and is known to be induced by  
60 the debris of the blood clots when they break off from the central clot, and block smaller blood  
61 vessel flowing into the lungs. The blockage of blood supply can cause severe damages to the lungs,  
62 resulting in breathing difficulties, and ultimately leading to death. Annually, as many as 100,000  
63 patients die from PE in the United States. PTS is another costly chronic condition that develops in  
64 30% to 75 % of patients with DVT. PTS includes redness, swelling, ulcers and chronic leg pain,  
65 and it can lead to life-long suffering and potentially disability. The annual costs for DVT-related  
66 complications are \$7 to \$10 billion in the United States. Worldwide, the total cost can be as high  
67 as \$69 billion annually.<sup>6</sup>

68 Among the current standards of care, anticoagulants can prevent thrombus propagation;  
69 however, they do not dissolve existing thrombi and re-canalize vessels. Thrombolytic therapy that  
70 has been historically performed to dissolve clots may greatly increase the risk of bleeding, and  
71 their introduction may require hospitalization.<sup>7</sup> Ultrasound-based treatment techniques have been

72 evaluated as methods to induce effective thrombolysis.<sup>8-14</sup> The advantage of ultrasound-based  
73 techniques is that they can dissolve blood clots quickly and re-canalize vessels noninvasively  
74 through cavitation. While ultrasound-based techniques may quickly remove blood clots  
75 noninvasively, these techniques require high acoustic peak negative pressure (as high as 19 MPa  
76 as shown in the previous study<sup>15</sup>) at relatively low ultrasound frequencies such as 500 kHz or 1  
77 MHz for high efficiency. In order to achieve high ultrasound pressure and deliver treatment to a  
78 blood clot, focused ultrasound is employed. However, at such low ultrasound frequencies, the focal  
79 spot of the ultrasound field is usually larger than 10 mm in length, which is greater than the  
80 diameters of most veins. As a result, severe damage can occur to the surrounding tissue and vessel  
81 walls.<sup>15</sup> This is especially problematic in areas with delicate structures that have limited surgical  
82 options, such as retina vein occlusions where the delicate structure precludes most existing non-  
83 pharmacological treatments, and renal vein thrombus where vein access and removal is highly  
84 invasive. Although the size of the focal zone may be reduced by using transducers with small f-  
85 numbers,<sup>16</sup> it will reduce the depth of treatment and is also limited by the available acoustic  
86 window. Alternatively, higher frequency ultrasound may be used to produce a small focal size, but  
87 it will reduce the efficiency of thrombolysis because the cavitation threshold is relatively high as  
88 higher frequency. To increase the efficiency and safety of ultrasound-based thrombolysis,  
89 microbubbles can be used;<sup>17</sup> however, it requires systemic injection and may cause unwanted  
90 vascular and tissue damages at high dosage.<sup>18-20</sup>

91 Laser thrombolysis is an interventional procedure to re-canalize occluded arteries using  
92 light wavelengths that are highly absorbed by the blood clots.<sup>21-26</sup> Laser light is generally directed  
93 to the blood clot through a thin laser fiber. Once laser light is absorbed and the blood clot is heated  
94 up, cavitation can occur in the blood clot through vaporization. Then, similar as ultrasound  
95 thrombolysis, the expansion and collapse of cavitation can break down the blood clot. Its  
96 advantages include low cost, short recovery time and it is generally safe. Laser energy can induce  
97 cavitation precisely in blood clots due to the high optical absorption of blood clots and the precision  
98 at which the energy can be delivered using the fiber optics. However, the produced cavitation  
99 expansion and collapse often are not strong enough and require high laser power. As a result, laser  
100 thrombolysis often cannot completely clear thrombotic occlusions in blood vessels, typically

101 leaving residual thrombus on the blood vessel walls,<sup>27</sup> and its efficiency is also questionable in  
102 removing residues with high calcium content.

103 We have developed a novel hybrid technique, based on the combination of light and  
104 ultrasound, to improve both ultrasound-based and laser-based thrombolysis by overcoming the  
105 deficiencies of the current approaches. The main mechanism underlying combined laser and  
106 ultrasound therapy is the highly efficient and better controlled acoustic cavitation around blood  
107 clots.<sup>12,15,28-36</sup> The significance of this technique lies in the fact that cavitation bubbles can be  
108 induced at much lower peak negative ultrasound pressures in highly optically absorptive blood  
109 clots (not the vessel wall). As a result, highly precise treatment can be achieved and only blood  
110 clots will be removed while the damage to the vessel wall can be avoided.

111 In a previous study, we demonstrated combined laser and ultrasound energy can  
112 significantly improve the efficiency of thrombolysis.<sup>37</sup> Our previous study, however, focused on  
113 the development of a totally non-invasive laser and ultrasound technique for thrombolysis. While  
114 a non-invasive technique has significant advantages in safety and reducing medical care expense,  
115 a laser-based non-invasive technique has limited penetration depth because of the strong optical  
116 scattering in soft tissues. Hence, our previous system had significant limitations in removing blood  
117 clots from deep veins. In the current study, we combined an endovascular laser fiber catheter with  
118 non-invasive ultrasound for highly efficient thrombolysis. Endovascular laser fiber has been  
119 widely used and proven to be reliable and safe. The combination of an endovascular laser with  
120 ultrasound for thrombolysis allows blood clots in deep vessels to be removed. The feasibility and  
121 efficiency of the newly designed system were tested with an *in vitro* blood flow-blood clot system.

## 122 **Materials and Methods**

123 The detailed schematic of the endovascular laser thrombolysis-ultrasound system is shown  
124 in Fig. 1. A Q-switched diode pumped solid state laser (SPOT-10-100-532, Elforlight, Daventry,  
125 UK) with a pulse repetition rate of 10 kHz (~1.8 ns pulse width), was employed as the irradiation  
126 source for the treatment system. The laser system produced 2-ns 532-nm wavelength light with a  
127 pulse energy between 0 - 5  $\mu$ J. The laser power was measured by an optical power meter and  
128 adjusted to the desired level before each experiment. The produced laser light was coupled into

129 an optical fiber (1.25 mm OD, M63L01, Thorlabs, Newton, NJ) and delivered to the target through  
130 a fiber optic cannula (400  $\mu\text{m}$ , CFML14L20, Thorlabs), resulting in a laser fluence of 0 to 4  $\text{mJ}/\text{cm}^2$   
131 for each laser pulse at the fiber tip.

132 A custom-made filter was installed on the optical fiber behind the fiber tip. The filter was  
133 made of porous soft sponge materials with  $\sim 100$   $\mu\text{m}$  pores. When placed in a blood vessel, the  
134 filter would be squeezed and the sizes of pores could be smaller than 100  $\mu\text{m}$ . When the filter is  
135 placed downstream, it can trap large debris of blood clots that broken away during thrombolysis  
136 procedure, further improving the safety potential of this technique. Filters with similar pore sizes  
137 have been used in commercial embolic protection system such as FilterWire, Boston Scientific,  
138 and proven to be effective.

139 For the ultrasound system, a high-intensity focused ultrasound (HIFU) transducer (center  
140 frequency 0.5 MHz, H-107, Sonic Concepts, Bothell, WA) with a focal distance of 62.6 mm, a  
141 focal zone of 3.9 mm in lateral direction and 19.1 mm in axial direction was used to provide 0.5-  
142 MHz therapeutic ultrasound bursts, and its focal negative pressure was calibrated with a standard  
143 needle hydrophone (Onda HNC-1500, Sunnyvale, CA). The original 0.5 MHz signal was  
144 generated by a function generator (33250A, Agilent Technologies, Santa Clara, CA), and then  
145 transmitted to an RF amplifier (2100L, ENI, Rochester, NY). After passing through a matching  
146 network (Impedance Matching Network H-107, Sonic Concepts), the 0.5 MHz signal was directed  
147 to the HIFU transducer. The therapeutic ultrasound burst rate was also 10 kHz (same as the laser  
148 repetition rate) with a 2% duty cycle (5 cycles per trigger). The synchronization between the laser  
149 and the therapeutic ultrasound system was controlled by a delay/pulse generator (DG355, Stanford  
150 Research Systems, Sunnyvale, CA, USA). The delay/pulse generator triggered both the laser  
151 system and the function generator with a pre-determined trigger delay between two systems to  
152 ensure concurrent laser and therapeutic ultrasound energy were applied on the target. Each laser  
153 pulse was matched with the first negative peak of each 5 cycles of the ultrasound burst.<sup>38-40</sup> A  
154 custom-built, 3D printed cone was designed and attached to the HIFU transducer, and filled with  
155 couplant that is the custom-made from agar gelatin and porcine skin powders to provide acoustic  
156 coupling. The couplant was prepared by mixing 8 gram of agar and 5 gram of porcine skin powder  
157 in 1000 mL 90  $^{\circ}\text{C}$  distilled water, and then pour to a mold to cool to room temperature.

158 For the blood flow and clot system, we used a two-branch silicone tubing (3 mm inner  
159 diameter) system to circulate human whole blood. One branch was clogged by a blood clot, and  
160 the other branch served as a bypass for the circulating blood flow. Blood clots were prepared by  
161 mixing whole blood with  $\text{CaCl}_2$  in a silicone test tube (6.4 mm inner diameter) in a 37 °C water  
162 bath for 2 h and then stored at 4 °C for up to 3 days until use.<sup>37,41</sup> Before each experiment, a blood  
163 clot of 0.02 mL (around 3 mm length in the tubing) was carefully pushed into one end of a 3 mm  
164 diameter tubing. The tubing was then connected into the flow system as shown in Figure 1.  
165 Human whole blood was then injected into the silicone tubing using a syringe, and circulated by a  
166 peristaltic pump to simulate the bloodstream. The tubing system was immersed in a water tank,  
167 which was filled with degassed water to avoid gas bubbles. The transducer was positioned so that  
168 the focal zone of the transducer covered the entire blood clot (the focal zone of the transducer was  
169 3.9 mm based on our measurement while the blood clot was 3 mm in length). The optical fiber  
170 was inserted into the silicone tubing from the downstream direction through a small opening and  
171 the optical fiber tip touched the blood clot and slightly moved into the blood clot during the  
172 treatment. Then the HIFU transducer delivered ultrasound bursts to the blood clot while the laser  
173 energy was delivered at a properly triggered delay time.

174 The blood flow speed was monitored by doppler ultrasound. The Doppler mode of a  
175 commercial ultrasound imaging unit (Z.One PRO, Mindray, Mahwah, NJ, USA) with a linear  
176 probe (L14-5W, Mindray) was used with a pulse repetition frequency of 1500 Hz and a continuous  
177 doppler frequency of 5.5 MHz. Figure 2 shows the ultrasound Doppler images when the blood  
178 flow was scanned along the tube longitudinal direction. In Figure 2(a), the color Doppler image,  
179 which is overlaid on the ultrasound image, indicates the blood flow speed in the tubing without a  
180 blood clot. Whereas, Doppler indicates no blood flow, as indicated by Figure 2(b), when the tube  
181 was occluded with a clot. In this case, blood flowed through the bypass branch of the tubing system.

182 To evaluate the efficiency of the thrombolysis procedure, blood volume velocity was used.  
183 The volume velocity was calculated by multiplying the flow speed and the cross-sectional area  
184 where the flow speed was measured. To be consistent, we measured the blood flow speed at an  
185 upstream location with respect to the clot location. Because there was no blood clot in the upstream

186 location, the cross-sectional area remained constant; therefore, the blood volume velocity is  
187 proportional to the blood flow speed at that location.

## 188 **Results**

189 Throughout the study, we used a constant setting for the peristaltic pump to provide a stable  
190 flowrate and pressure. Additionally, blood clots of 0.02 mL were used for all the experiments,  
191 which were under different conditions of laser powers and therapeutic ultrasound intensities.

192 The therapeutic ultrasound pressure threshold for an effective thrombolysis treatment with  
193 a treatment duration of 60-second was first measured at different laser power levels. The  
194 thresholding pressure was defined as the pressure needed to restore the blood flow to at least 60%  
195 of the original flow speed, which was measured by Doppler ultrasound after 60 seconds of  
196 combined laser and ultrasound treatment. Figure 3 shows the measured ultrasound pressure  
197 threshold values where the laser fluence levels were at 0 mJ/cm<sup>2</sup>, 2 mJ/cm<sup>2</sup> and 4 mJ/cm<sup>2</sup>. The  
198 average ultrasound pressure threshold decreased when the laser power level increased. An average  
199 negative ultrasound pressure threshold of  $0.59 \pm 0.074$  MPa (all pressures reported are peak  
200 negative pressure unless otherwise indicated) was measured when the laser power was 4 mJ/cm<sup>2</sup>  
201 with 10 kHz pulse repetition rate, whereas the average ultrasound pressure threshold was  $1.26 \pm$   
202  $0.114$  MPa when the laser power was 0 mJ/cm<sup>2</sup>. When the laser power level was 2 mJ/cm<sup>2</sup>, the  
203 ultrasound pressure threshold was  $1.05 \pm 0.181$  MPa. With ANOVA test (Matlab R2018b), the  
204 significance in differences between groups was calculated. The p-value was 0.00014 among the  
205 three groups. Between two groups, t-test was used to calculate the p-values. The p-value between  
206 the group of 4 mJ/cm<sup>2</sup> laser fluence and the group of 2 mJ/cm<sup>2</sup> was 0.03, whereas the p-value  
207 between the group of 4 mJ/cm<sup>2</sup> laser fluence and the group of 0 mJ/cm<sup>2</sup> was 0.005. Both of them  
208 were statistically significant. However, the p-value between the two groups of 0 mJ/cm<sup>2</sup> and 2  
209 mJ/cm<sup>2</sup> laser fluence was 0.102.

210 Figure 4a shows strong echoes produced at the tip of the optical fiber when therapeutic  
211 ultrasound waves were applied during an effective thrombolysis procedure, indicating that possible  
212 cavitation has been produced during this process.<sup>12,15,24-32</sup> No echo on ultrasound image was  
213 observed when the therapeutic ultrasound was not applied (Figure 4b). Additionally, the signal



214 was not observed with only ultrasound pressure applied without laser firing. The signal only was  
215 only observed when both ultrasound pressure and laser energy were applied. So it was not  
216 generated by acoustic interference between the therapy device and imaging device.

217 The period of time needed for an effective thrombolysis treatment, which was defined as  
218 the pressure needed to restore the blood flow to at least 60% of the original flow speed, was  
219 measured at different ultrasound pressures (0.42 MPa, 0.70 MPa, and 0.98 MPa) and different laser  
220 fluence (0.8 mJ/cm<sup>2</sup>, 2 mJ/cm<sup>2</sup> and 4 mJ/cm<sup>2</sup>). Figure 5 shows the required period of time for each  
221 combination of therapeutic ultrasound and laser parameters to achieve effective thrombolysis. The  
222 shortest period of time needed for an effective thrombolysis was  $23.3 \pm 6.8$  seconds with 0.98 MPa  
223 ultrasound pressure and 4 mJ/cm<sup>2</sup> laser fluence. At 0.42 MPa ultrasound pressure, the effective  
224 thrombolysis could be achieved only when 4 mJ/cm<sup>2</sup> laser fluence was used, whereas 0.8 and 2  
225 mJ/cm<sup>2</sup> laser fluences could not result in an effective thrombolysis when combined with 0.42 MPa  
226 ultrasound pressure. With ANOVA test (Matlab R2018b), the significance between groups was  
227 calculated. The p-values among the three groups were 0.00088, 0.00035 and 0.00068 for Figure  
228 5a, 5b and 5c, respectively.

229 With 0.98 MPa ultrasound pressure, the average periods of time for effective thrombolysis  
230 were 95.7 s, 41.0 s and 23.3 s at 0.8 mJ/cm<sup>2</sup>, 2 mJ/cm<sup>2</sup> and 4 mJ/cm<sup>2</sup> laser fluence, respectively.  
231 When the ultrasound pressure was 0.7 MPa, the average times were 161 s, 62 s and 49.3 s with the  
232 laser fluence at 0.8 mJ/cm<sup>2</sup>, 2 mJ/cm<sup>2</sup> and 4 mJ/cm<sup>2</sup> respectively. The average time was 88.7 s at  
233 0.42 MPa ultrasound pressure and 4 mJ/cm<sup>2</sup> laser fluence. The Doppler ultrasound was used to  
234 monitor the blood flow speed in real-time during thrombolysis procedures. A sample video (Supp.  
235 1) shows the result of an ultrasound Doppler at the upstream location with respect to the blood  
236 clot. During the combined laser and ultrasound treatment, although there was some noise on the  
237 Doppler image due to the applied therapeutic ultrasound, we could clearly observe the blood flow,  
238 which is displayed as a pseudo colored image in the tubing.

239 Using the ultrasound Doppler, we measured the blood flow speed after the 60-second PUT  
240 treatment. In Figure 6, the flow speeds are shown as a function of different laser powers and  
241 therapeutic ultrasound pressures. In these results, the Doppler signal intensity in the center area of  
242 tubing was collected and averaged. The blood flow speed was almost zero when the laser fluence

243 was 0.8 mJ/cm<sup>2</sup>. However, the flow speed increased as either the applied ultrasound pressure or  
244 laser power increased.

## 245 **Discussion**

246 The combined laser and ultrasound technique has significant advantages over the pure ultrasound-  
247 based and laser-based thrombolysis. Our results clearly demonstrated that there was a great  
248 enhancement in the efficiency of thrombolysis when the combined laser and ultrasound technique  
249 was used in comparison with ultrasound-only or laser-only. We have shown that with ultrasound  
250 only (0 mJ/cm<sup>2</sup> laser fluence), the pressure threshold value for effective thrombolysis is much  
251 higher than that when combined with laser energy. The laser-only technique, with the energy level  
252 used in the current study, could not induce any effective thrombolysis. We have also shown that  
253 there were strong cavitation activities during the application of the combined laser and ultrasound  
254 for thrombolysis, further confirming the previous studies regarding the enhanced cavitation during  
255 concurrently applied laser and ultrasound.

256 The selection of the proper laser wavelength can have a significant impact on the efficiency  
257 and safety of the treatment. The combined laser and ultrasound therapy depend on optical  
258 absorption to produce cavitation. In the current study, we have used 532 nm laser light, at which  
259 hemoglobin has strong optical absorptions; hence, this optical wavelength is very effective to  
260 produce cavitation and achieve thrombolysis. Longer wavelength light such as 650-nm may also  
261 be used to induce cavitation when combined with ultrasound. However, the produced cavitation  
262 activity will not be as strong as that at 532 nm because the optical absorption of hemoglobin is  
263 relatively weak at 650 nm.<sup>40</sup> As a result, it could take a long period of time or higher ultrasound  
264 pressure to achieve effective thrombolysis. On the other hand, hemoglobin absorption at shorter  
265 wavelength such as 400 nm is much stronger than that at 532 nm. Hence, the use of a short  
266 wavelength light for thrombolysis may improve the efficiency. In addition, because of the strong  
267 optical absorption, short wavelength light cannot penetrate deep into a blood clot. Consequently,  
268 cavitation can only be induced on a thin surface layer of the blood clot, resulting in the removal of  
269 the clot in a layer-by-layer manner; thereby, the possible damage to the blood vessel wall that is  
270 underneath the clot may be minimized. The drawback of using short wavelength light is that the  
271 volume of effective cavitation will be reduced because of less penetration. This problem may be

272 solved by using an optical diffuser tip at the treatment end of the fiber. A diffuser tip may not only  
273 expand the light illumination area, but also reduce the likelihood of laser-caused charring near the  
274 tip, which could otherwise significantly reduce the efficiency of light delivery to the blood clot.

275 We have used 0.5-MHz ultrasound frequency, which is a relatively low frequency for  
276 medical ultrasound applications. At this ultrasound frequency, inducing cavitation is relatively  
277 easy, and at the same time, sufficient ultrasound intensity can be produced in the focal zone to  
278 achieve effective therapy. Low frequency ultrasound is preferred for cavitation purposes as long  
279 as adequate intensities can be achieved at the point of interest.

280 The novel design of the laser fiber catheter with a soft expandable filter potentially can  
281 significantly improve the safety of the thrombolysis procedure, and reduce the threats of PE. In  
282 our experiment, after each thrombolysis test, we visually inspected the filter to identify the trapped  
283 debris of blood clots in the filter. In the absence of filtering, residual particles that break off from  
284 the primary blood clot would mix into the blood flow. The added filter on the laser fiber at the  
285 downstream direction demonstrated it could effectively trap the residual debris of blood clots that  
286 remain suspended in the bloodstreams. The result is not a surprise because filters with similar pore  
287 sizes have been used in commercial embolic protection system and proven to be effective. The  
288 addition of a filter in our system is precautionous and may facilitate the future translation to the clinic.  
289 We noticed that many previous studies of ultrasound thrombolysis<sup>8-14</sup> did not need a filter. Hence,  
290 it is possible that, by selecting proper parameters, the filter may not be needed in our system.

291 The safety of thrombolysis treatment of the combined endovascular laser and non-invasive  
292 ultrasound was further improved because of the use of the low levels of laser power and ultrasound  
293 pressure. The applied laser powers were much lower than that of conventional laser therapies,  
294 which are generally above 1J. The applied ultrasound pressures were also low, resulting in a  
295 Mechanical Index (MI) that was much less than 1.9, the safety limit for ultrasound imaging.  
296 Consequently, the applied ultrasound pulse should not cause any collateral damage on the vessel  
297 wall where the ultrasound pulse is applied alone because laser light only illuminates blood clot.

298 Technically, the current technique removes blood clots through mechanical force, which is  
299 likely produced by the induced micro or nano-size bubbles in the blood clot. A big advantage of

300 the current technique is that the produced mechanical force is not necessary to be exerted on the  
301 blood vessel wall. Hence the damage to the blood vessel wall is minimized. Many traditional  
302 mechanical thrombectomy devices generally exert a force on the inside of the blood vessel wall to  
303 “scrape” a blood clot off. The inside surface of a vein is not a smooth surface, and has venous  
304 valves to prevent the backflow of blood. Scaping off a blood clot inside a vein always has the  
305 potential to damage the venous valvular function.<sup>42,43</sup> A few devices utilize suction force to  
306 remove blood clots. They are, however, in general limited to treatment of acute blood clots. The  
307 current technique has the potential to reduce the potential damage to venous valves.

308 The current technique is an upgrade for endovascular laser thrombolysis. Hence the  
309 advantages of endovascular laser thrombolysis will be retained, and laser light can be delivered to  
310 the blood clot using an optical fiber as the same matter for endovascular laser therapy, while  
311 ultrasound can be applied noninvasively. One major advantage of endovascular laser thrombolysis  
312 is that the size of a laser fiber can be very small. This small size provides great flexibility for  
313 endovascular laser therapy and allow it to be used to recanalize small blood vessels. On the other  
314 side, if needed, multiple laser fibers can be bundled together to treat blood clots in large size vessels.

315 Further, both endovascular laser therapy and non-invasive ultrasound therapy have been  
316 used in the clinic. The combination of these two techniques to achieve better therapeutic outcome  
317 appears to be low in risk and technical difficulty. We expect this technique can enter clinical trials  
318 in the very near future. The successful development of the technique can also have great  
319 application potential in treatment for stroke, which the current therapeutic methods are limited.

320 To successfully translate the technology to the clinic, studies with more complicated  
321 physiological conditions should be performed in the future. Particularly, an acute blood clot was  
322 used in the current study. In practice, DVT is usually a chronic condition that develops over a  
323 long period of time. While DVT begins as a red thrombus, it rapidly organizes to fibrous and then  
324 collagenous architecture over days or weeks. As the clot grows older and stiffer, its optical and  
325 acoustic properties will change and may require different optical and acoustic parameters for  
326 effective thrombolysis. The relative proportions of materials that make up the clot (e.g. red blood  
327 cells, platelets, fibrous tissue) will also contribute to changes in mechanical properties and the  
328 threshold for generating cavitation.

329

330 **Conclusion**

331 In conclusion, we have demonstrated that the combination of low optical power and  
332 ultrasound pressure could enhance thrombolysis effect *in vitro* by using a newly designed  
333 endovascular laser-based system that was enhanced by ultrasound. The combined laser and  
334 ultrasound treatment can quickly remove blood clots at relatively low ultrasound pressures by  
335 enhancing cavitation activities. In this study, we used a 532 nm wavelength laser for the optical  
336 source. However, we can consider other wavelengths with higher optical absorptions to increase  
337 the cavitation effect. Accordingly, PUT treatment can be used for DVT as a minimal invasive  
338 therapy with a high efficiency without damaging the surrounding tissues.

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343

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345

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## Figure Legends

Figure 1. (a) Schematic of the experimental setup for endovascular laser thrombolysis enhanced by high-intensity focused ultrasound. (b) Photograph of the experimental setup. (c) Schematic showing the treatment area with laser and ultrasound (red dashed box in Fig 1(a)).

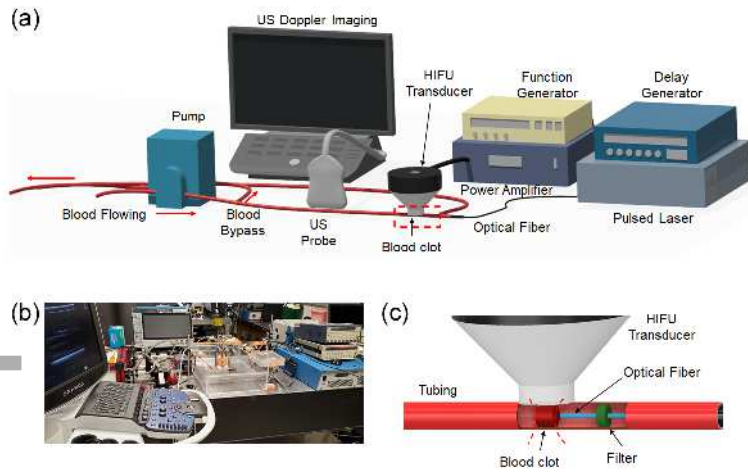
Figure 2. (a) Ultrasound Doppler image of the blood flow without a blood clot. (b) Ultrasound Doppler image of the blood flow when a blood clot blocked the silicone tubing. (Color scale was 7.5 to  $-7.5$  cm/s.).

Figure 3. Ultrasound pressure threshold for effective thrombolysis at 0, 2, and 4 mJ/cm<sup>2</sup> of laser fluence after 60 seconds of treatment (n=4).

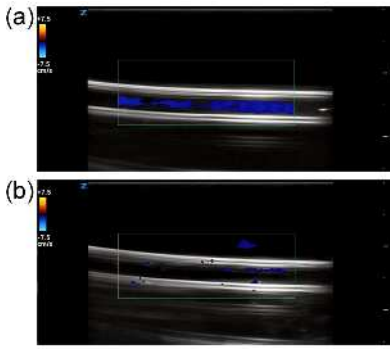
Figure 4. Ultrasound Doppler image showing the cavitation signal during the treatment. a) Concurrently applied ultrasound and laser induced strong echoes at the tip of the laser fiber (indicated by the red arrow). b) Ultrasound image when the therapeutic ultrasound was not applied.

Figure 5. The period of time needed for effective thrombolysis treatment with different laser fluences (0.8, 2, and 4 mJ/cm<sup>2</sup>) and ultrasound pressures (0.42 MPa, 0.70 MPa, and 0.98 MPa). (\* : no effect of thrombolysis, n=4)

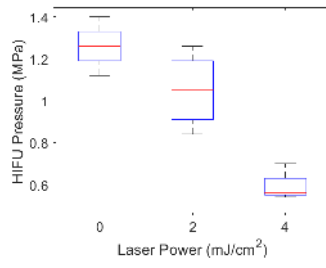
Figure 6. (a) Thrombolysis treatment time with different laser fluences (0.8, 2 and 4 mJ/cm<sup>2</sup>) and high-intensity focused ultrasound pressures (0.42 MPa, 0.70 MPa, and 0.98 MPa). (n=4) (b) Doppler images of blood flows with different laser powers and ultrasound pressures.



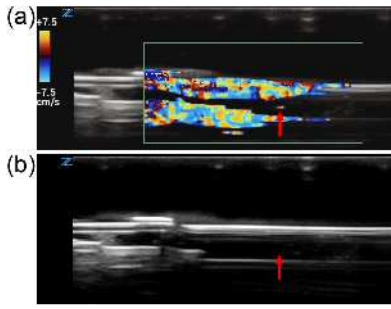
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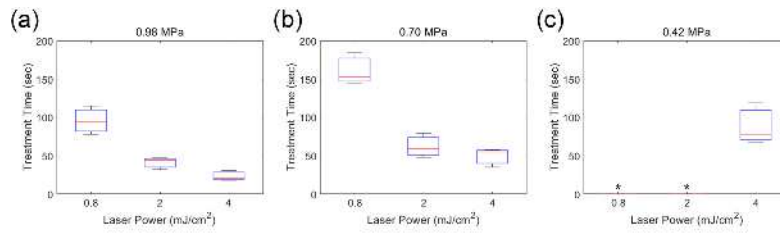
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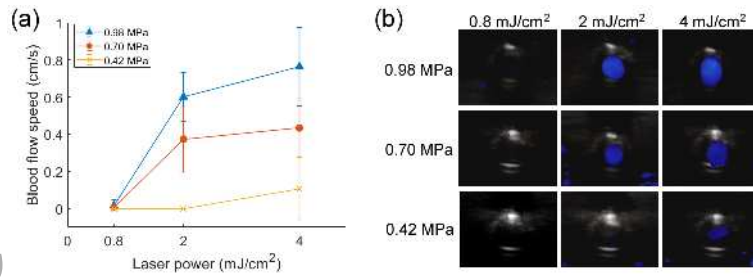
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