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7	Gene expression profiles of diabetic kidney disease and neuropathy in <i>eNOS</i> knockout
8	mice:
9	predictors of pathology and RAS blockade effects
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46 Diabetic kidney disease (DKD) and diabetic peripheral neuropathy (DPN) are two common 47 diabetic complications. However, their pathogenesis remains elusive and current therapies are only modestly effective. We evaluated genome-wide expression to identify pathways involved in DKD 48 49 and DPN progression in *db/db* eNOS -/- mice receiving renin-angiotensin-aldosterone system 50 (RAS) blocking drugs to mimic the current standard of care for DKD patients. Diabetes and eNOS 51 deletion worsened DKD, which improved with RAS treatment. Diabetes also induced DPN, which 52 was not affected by eNOS deletion or RAS blockade. Given the multiple factors affecting DKD 53 and the graded differences in disease severity across mouse groups, an automatic data-analysis 54 method, SOM or self-organizing map was used to elucidate glomerular transcriptional changes 55 associated with DKD, whereas pairwise bioinformatics analysis was used for DPN. These analyses 56 revealed that enhanced gene expression in several pro-inflammatory networks and reduced

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57 expression of development genes correlated with worsening DKD. Although RAS treatment 58 ameliorated the nephropathy phenotype, it did not alter the more abnormal gene expression 59 changes in kidney. Moreover, RAS exacerbated expression of genes related to inflammation and 60 oxidant generation in peripheral nerves. The graded increase in inflammatory gene expression and 61 decrease in development gene expression with DKD progression underline the potentially 62 important role of these pathways in DKD pathogenesis. Since RAS blockers worsened this gene 63 expression pattern in both DKD and DPN, it may partly explain the inadequate therapeutic efficacy 64 of such blockers.

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66 Key Words

Diabetic kidney disease, diabetic peripheral neuropathy, RAS blockade, genome-wide expression,self-organizing map

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70 Introduction

71 Diabetic kidney disease (DKD) is the most common cause of end-stage renal disease in the U.S. 72 (1). It affects 30-40% of all diabetic patients and continues to rise in prevalence in light of the 73 current diabetic epidemic, particularly of type 2 diabetes (1). Although glycemic regulation as well 74 as blood pressure control, through pharmacologic inhibition of the renin-angiotensin-aldosterone system (RAS), produce salutatory effects, there are no therapies to reliably prevent DKD 75 76 progression (2). Diabetic peripheral neuropathy (DPN) is even more prevalent than DKD and often 77 leads to the loss of all sensory modalities in the extremities (3, 4). DPN is responsible for over 78 60% of non-traumatic lower-limb amputations in the U.S. (3, 4) and managing DPN accounts for 79 over 27% of the total cost of diabetes treatment (5). Similar to DKD, there are no effective 80 therapies that slow or reverse DPN, which tends to inexorably progress despite optimal medical management. 81

One reason for the slow progress in developing adequate therapies is our lack of understanding of the mechanisms implicated in DKD and DPN pathogenesis. Unraveling underlying mechanisms is complicated by the likelihood that numerous interrelated molecular processes cause cellular- and tissue-specific damage as disease progresses (6, 7). Another challenge is that available therapies targeting a specific mechanism may reduce end-organ damage in one complication but concurrently exacerbate another (8, 9). Thus, comprehensive and unbiased
studies are needed to understand the complex pathogenesis of each complication, with the goal of
developing mechanism-based therapies.

90 Diabetes mouse models that adequately reproduce the human condition are required to 91 improve our understanding of pathogenic pathways in DKD and DPN and to support pre-clinical 92 and clinical studies for evaluating available and novel therapeutic strategies (10-12). Moreover, 93 mouse strains that develop more than one microvascular complication are important for studying 94 treatment responsiveness across complication-prone tissues, especially because strains like the 95 C57BL/6J, are resistant to DKD (10). With regards to DPN, we have recently shown in a strain 96 comparison study that both the C57BLKS and the C57BL/6J as background strains are susceptible 97 to nerve damage in the face of a metabolic insult and thus would serve as robust models of DPN (13). We thus performed unbiased genome-wide expression analysis of murine kidney and 98 99 peripheral nerve tissue. We selected a model, which was predicted to develop a graded spectrum 100 of DKD phenotype, from normal to highly progressive disease, through eNOS deletion, expected 101 to accelerate DKD through endothelial dysfunction albuminuria and glomerular lesions (14-16), 102 and RAS blockade, which is expected to partly ameliorate DKD (17). This C57BLKS db/db eNOS -/- mouse appears to be one of the models that best represents human DKD (18). As mentioned 103 104 above, the C57BLKS db/db mouse model closely mimics human DPN (11, 19). Thus, selecting the C57BLKS *db/db eNOS* -/- mouse would allow us to analyze gene expression along a spectrum 105 of disease, including wild-type, diabetic, eNOS -/- and diabetic eNOS -/- animals, with or without 106 107 RAS inhibition.

108 Using an automatic unbiased data-analysis method, we found that worsening DKD was 109 associated with enhanced gene expression in several pro-inflammatory networks and reduced 110 expression in kidney development, metabolism, and podocyte genes. Although RAS blockers 111 ameliorated kidney function, they did not alter the more abnormal gene expression changes in 112 kidney. RAS blockers also worsened expression of genes involved in inflammation and oxidant 113 generation in peripheral nerves. Together, these results suggest that the inadequacy of RAS 114 blockade may result from their inability to restore gene expression in DKD and DPN and advocates 115 for treatments that will interrupt specific inflammatory pathways for each complication.

116

117 Methods

118 <u>Animal model</u>.

119 Four genotypes of male mice on a C57BLKS background were evaluated: (i) diabetic *db/db eNOS* 120 -/- mice; (ii) diabetic $db/db \ eNOS \ +/+$ mice; (iii) non-diabetic $db/+ \ eNOS \ -/-$ mice; (iv) non-121 diabetic db/+eNOS +/+ mice. Breeding pairs of db/+eNOS -/- mice were obtained from The 122 Jackson Laboratory (Jax # 8340, Bar Harbor, ME, USA) and experimental groups were derived 123 from mating db/+eNOS -/- to db/+eNOS +/+ mice. Mice were fed a standard diet (Lab Diet 124 5L0D, 58% calories from carbohydrate, 13.5% calories from fat, and 28.5% calories from protein, 125 Brentwood, MO, USA) and housed in a pathogen-free environment by personnel in the University of Michigan Unit for Laboratory Animal Medicine. Approximately, half of the mice of each 126 127 genotype were treated with a combination of RAS blockers, lisinopril 20 mg/day and losartan 30 128 mg/day in drinking water, from 10-12 wks to 26 wks of age. There were no adverse effects from 129 the treatment. All animal procedures were in accordance with the policies of the University of 130 Michigan Institutional Animal Care and Use Committee.

131 <u>Metabolic phenotyping</u>.

For each animal, body weight and fasting blood glucose (FBG; AlphaTrak Glucometer, Abbott Laboratories, Abbott Park, IL, USA) were measured weekly. Prior to euthanasia, spot urines were collected. At study termination, glycated hemoglobin (GHb) levels, plasma cholesterol, and triglycerides were measured by the Michigan Diabetes Research Center Chemistry Laboratory. Kidney tissue and dorsal root ganglia (DRG) were rapidly extracted and glomeruli from one kidney were iron-perfused and magnetically isolated as previously reported (20, 21).

138 DKD and DPN phenotyping.

139 All animals were phenotyped for DKD and DPN according to Diabetic Complications Consortium 140 guidelines (https://www.diacomp.org), as previously published (22, 23). Glomerular area was 141 determined using Periodic acid Schiff (PAS) staining (21, 24, 25). Briefly, 15 glomerular tufts per 142 mouse were randomly selected and the percent glomerular area that was PAS-positive was 143 calculated. Quantification was performed with MetaMorph (version 6.14). Urinary albumin 144 concentration was determined by ELISA (Albuwell, Exocell, Philadelphia, PA, USA) and urinary 145 creatinine levels with a color endpoint reagent (C513-480, Teco Diagnostics, Anaheim, CA, USA), 146 as previously reported (26). Sensory (sural) and motor (sciatic) nerve conduction velocities

(NCVs) were measured for large nerve fiber function (13, 27, 28). Sural NCVs were measured by recording at the dorsum of the foot and exerting an antidromic supramaximal stimulation at the ankle. Sural NCVs were calculated by dividing the distance between the recording and stimulating electrodes by the onset latency of the sensory nerve action potential. Sciatic NCVs were measured by recording at the dorsum of the foot and exerting an orthodromic supramaximal stimulation first at the ankle, then at the sciatic notch. Sciatic NCVs were calculated by dividing the distance between the two stimulation sites by the difference between the two onset latencies.

154 Phenotypic data were presented as means \pm standard error of the mean. Group numbers 155 were unequal due to group births frequency. For kidney phenotyping, 5 random animals/group 156 were identified. All phenotypic data were analyzed in 5 pair-wise comparisons involving a total of 157 6 groups of mice based on a priori selection of the statistical comparisons (diabetic db/db eNOS -158 /- mice treated and untreated; diabetic *db/db eNOS* +/+ mice treated and untreated; non-diabetic 159 db/+ eNOS -/- mice untreated; and non-diabetic db/+ eNOS +/+ mice untreated). Statistical 160 analyses were performed using GraphPad Prism Software (Version 7, GraphPad, La Jolla, CA, 161 USA). Comparisons between multiple groups were performed using one-way ANOVA with 162 Tukey's post-test or Kruskal-Wallis test with Dunn's post-test for multiple comparisons. 163 Significance was assigned when p < 0.05.

164 <u>RNA sequencing (RNA-seq).</u>

165 Kidney glomeruli analysis consisted of subsets of all groups (which included all animals that 166 underwent metabolic, DKD, and DPN phenotyping, plus several animals from each group that 167 had undergone all phenotyping except kidney glomerular morphometry): db/+eNOS+/+(n =168 10), db/db eNOS+/+ (n = 7), db/+ eNOS+/+ treated (n = 10), and db/db eNOS+/+ treated (n = 10) 169 11), db/+eNOS-/-(n = 10), db/db eNOS-/-(n = 9), db/+eNOS-/- treated (n = 10), and db/db170 eNOS-/- treated (n = 7) mice. For DRG, we included subsets of db/db eNOS -/- untreated (n = 5), and $db/db \ eNOS$ -/- treated (n = 4) mice (all of which had undergone metabolic, DKD and DPN 171 172 phenotyping). RNA was obtained using the RNeasy Mini Kit (Qiagen, Hilden, Germany) and 173 quality was assessed using TapeStation (Agilent, Santa Clara, CA, USA). Samples with RNA 174 integrity numbers ≥ 8 were prepared using TruSeq mRNA Sample Prep v2 kit (Illumina, San 175 Diego, CA, USA). Paired end 101 bp RNA sequencing was performed by the University of

176 Michigan DNA Sequencing Core (<u>http://seqcore.brcf.med.umich.edu/</u>).

- 177 For quality control, the raw reads were assessed using the FastQC tool
- 178 (http://www.bioinformatics.babraham.ac.uk/projects/fastqc/) (Supplements, FastQC.zip). A
- 179 randomly selected set of reads was mapped against several potential artifacts using the
- 180 FastqScreen tool (<u>https://www.bioinformatics.babraham.ac.uk/projects/fastq_screen/</u>)
- 181 (Supplements, QC.pdf). The first 14 bases of the reads were clipped with the FASTX toolkit
- 182 (http://hannonlab.cshl.edu/fastx_toolkit/). Mapping statistics, hierarchical clustering, PCA,
- 183 FastQC results, and FastqScreen were combined to identify samples that consistently show
- abnormalities, such as samples with rRNA mapping rates $\geq 10\%$. Then, RNA-seq data were
- analyzed using the Tuxedo suite, including Bowtie 2, TopHat 2, and Cufflinks (29). Using
- 186 TopHat, the resulting FASTQ files were aligned to the NCBI reference mouse transcriptome
- 187 (NCBI 37.2) to identify known transcripts. Mapped reads were processed using the Cufflinks
- 188 pipeline to calculate fragments per kilobase of exon per million mapped reads (FPKM) (29). This
- 189 pipeline aggregated transcript FPKM data to gene level abundance estimates, which were used
- 190 for further analysis. The RNA-seq data are deposited in the Gene Expression Omnibus database
- 191 (<u>www.ncbi.nlm.nih.gov/geo</u>) under accession number GSE159060.
- 192 Pair-wise comparison.
- 193 Pair-wise comparison was performed on 2 of the DKD and the DPN datasets. The output of
- 194 Cufflinks was loaded into Cuffdiff (29) to determine differences in transcript abundance
- 195 estimates in binary comparisons. For kidney tissue, analyses focused on db/+ vs. db/db and
- 196 *db/db* vs. *db/db* eNOS-/- differentially expressed gene (DEG) to identify gene expression
- 197 changes in *db/db* mice that were altered or unaffected by *eNOS* knockout. For nerve tissue, we
- 198 restricted transcriptomic analysis to the effect of RAS blockade in *db/db eNOS -/-* animals.
- 199 DEGs were defined as those with a false discovery rate adjusted p-value (q-value) cutoff < 0.05.
- 200 <u>Self-organizing map (SOM) analysis</u>.
- A SOM is a type of artificial neural network that generates a two-dimensional grid and clusters,
- in an unbiased manner, similar patterns of gene expression into units called modules. Genes with
- 203 <2 FPKM were considered as not expressed and removed from the analysis. The FPKMs for the
- 204 remaining genes were log_2 transformed. The average expression values for each group were
- 205 centered at zero, and SOM was applied using the algorithm implemented in the MATLAB
- 206 software Neural Networking toolbox (<u>https://www.mathworks.com/products/neural-</u>

207 <u>network.html</u>) (MathWorks, Natick, MA, USA). Gene sets with similar expression patterns were

- 208 grouped into modules, and each module was subjected to functional enrichment analysis.
- 209 Adjacent modules were further combined into clusters that shared enriched functions and similar
- 210 gene expression patterns. SOM analysis was only performed on the DKD dataset, and not on
- 211 nerve datasets, since *eNOS* knockout or treatment did not affect the DPN phenotype.

212 <u>Functional enrichment analysis</u>.

- 213 Hierarchical clustering based on significance values was used to represent overall similarity and
- 214 differences between the DKD DEG sets (19). Over-represented biological functions from the
- 215 DPN DEG sets were identified by functional enrichment analysis using Ingenuity Pathways
- 216 Analysis software (IPA, QIAGEN, Redwood City, CA, USA) (30). Functional analysis on SOM
- 217 module units was performed using gene ontology (31) (<u>http://www.geneontology.org/</u>). A
- 218 Benjamini-Hochberg adjusted p-value was calculated using the Fisher's exact test and p-values <
- 219 0.05 were used to identify significantly over-represented gene ontology terms.
- 220
- 221 **Results**
- 222 <u>Metabolic phenotyping</u>
- The metabolic measurements for all experimental groups are summarized in Table 1. The db/dbmice were significantly heavier than db/+ mice at study termination, and eNOS deletion did not affect body weight. Blood glucose and GHb were significantly higher in db/db mice compared to db/+ mice, and were not affected by eNOS knockout. Total plasma cholesterol and triglyceride levels were significantly greater in the db/db eNOS -/- versus db/+ eNOS -/- mice. Kidney weight significantly increased in db/db compared to db/+ mice, and in db/db eNOS -/- versus db/+ eNOS-/- mice, an effect RAS inhibitor therapy did not further impact.

230 DKD phenotyping

- 231 Urine volumes (Fig. 1A) and albuminuria (Fig. 1B) significantly increased in *db/db* compared to
- 232 db/+ mice, but were not affected by RAS blockade in either group. There was a major increase in
- albuminuria in the db/db eNOS-/- mice versus all other groups, which was significantly attenuated
- 234 by RAS blockade (Fig. 1B).
- 235 Mesangial expansion as denoted by glomerular PAS-positive area significantly increased 236 in db/db mice compared to db/+ mice (Fig. 1C), but was not affected by RAS inhibition in either

237 group, as with albuminuria. There was a further non-significant increase in mesangial expansion 238 in the db/db eNOS-/- mice versus all other groups, which was significantly attenuated by RAS 239 blockade (Fig. 1C). The percentage of globally sclerotic glomeruli was also significantly increased 240 in the db/db eNOS-/- mice compared to db/db mice (Fig. 1D). RAS inhibition had a trending 241 reduction in the number of globally sclerotic glomeruli but this was not statistically significant due 242 to large variances.

243 DPN phenotyping

The *db/db* mice had significantly delayed sural (Fig. 2A) and sciatic (Fig. 2B) NCVs, which were not further affected by *eNOS* knockout. Interestingly, RAS inhibition did not impact NCVs in either *db/db* or *db/db eNOS-/-* animals compared to their respective control littermates. Hind paw withdrawal latency was abnormally increased in *db/db* animals compared to *db/+* mice. *eNOS* knockout did not affect hind paw withdrawal latency independent of the diabetes status (Fig. 2C).

249 Differential expression analysis in isolated glomeruli

250 The experimental design integrated three experimental variables (diabetes status, eNOS dosage, 251 and RAS inhibition), which resulted in 8 groups for comparison in DKD analysis. Hierarchical 252 gene expression clustering (Fig. 3A) showed that groups that were similar in gene expression were 253 also similar in disease severity (Fig. 3B). Diabetes had a more substantial effect on glomerular 254 gene expression than eNOS knockout, as diabetic groups had more abnormal gene expression 255 profile than nondiabetic groups (Fig. 3A). This is similar to the relative effects of diabetes and 256 eNOS knockout on the DKD phenotype, as eNOS knockout alone had very little independent effect 257 on glomerular pathology or albuminuria, whereas diabetes had a major effect on DKD, 258 independent of eNOS expression (Fig. 1). Interestingly, RAS inhibition improved the DKD 259 phenotype (Fig. 1), but moved the gene expression profile in the opposite direction, towards a 260 more abnormal transcriptional pattern (Fig. 3A and B). This was not due to lack of RAS blockade, 261 because DEG sets pointed towards functional inhibition of angiotensin converting enzyme and 262 blockade of angiotensin receptors (e.g., increased renin gene expression, not shown).

263 <u>SOM analysis</u>

Using SOM analysis, we examined changes in transcriptional patterns of kidney glomeruli across
 the 8 experimental groups. This approach comprehensively clustered the transcriptomic data in an

unbiased manner based on the similarity between their sequential expression profiles. All genes
were projected onto a SOM consisting of a 7 x 7 map of modules (Fig. 4A and 4B). Each module
(Fig. 4A) displays a unique pattern of gene expression across the 8 experimental groups.

269 As noted in the upper left portion of the glomerular SOM (Figs. 4A, 4B, and 4C), 1403 270 genes were coordinately decreased in *db/db* eNOS -/- mouse glomeruli in the indicated clusters, 271 consisting of adjacent modules with similar patterns in gene expression. These genes were most 272 highly expressed in the phenotypically normal group, db/+eNOS +/+ mice, and decreased with worsening disease across the 8 groups. Highly prevalent among these genes were transcription 273 274 factors that regulate metabolic and developmental processes, including fatty acid metabolism and 275 nephron development (Supplemental Table 1). However, not all podocyte-specific genes showed 276 this pattern. For example, nephrin (Nphs1) gene expression was fairly constant across 6 of the 277 groups but was moderately and similarly decreased in the two eNOS -/- diabetic groups 278 (Supplemental Fig. 1A). On the other hand, canonical transient receptor potential-6 channels 279 (Trpc6) gene was expressed at equivalent levels across all groups (Supplemental Fig. 1B). As 280 predicted from the SOM, developmental gene expression also significantly decreased in the 281 pairwise transcriptomic comparison of $eNOS - - \frac{db}{db}$ vs. $eNOS + + \frac{db}{+}$ mice (Supplemental Table 2). Regulated genes of interest included those encoding growth factors, such as platelet-282 283 derived growth factor receptor beta (Pdgfrb) and neuronal growth regulator 1 (Negr1), previously 284 implicated in murine and human DKD development (32, 33).

285 As shown in the lower right portion of the SOM panel (Fig. 4), 1354 genes were 286 coordinately elevated in *db/db* eNOS -/- glomeruli in the indicated cluster. These genes were 287 expressed at the lowest levels in the phenotypically normal group, db/+ eNOS +/+ mice, and 288 increased with worsening disease across the 8 mouse groups. Most significant upstream regulators 289 in these clusters were associated with inflammation using IPA (Supplemental Table 3). As 290 predicted from the SOM, inflammatory signaling gene expression also significantly increased in 291 the pairwise transcriptomic comparison of db/db eNOS -/- vs. db/+ eNOS +/+ mice, with top DEGs 292 including NLR family, pyrin domain containing 3 (Nlrp3) and tumor necrosis factor (Tnf), key 293 players in DKD pathogenesis (Supplemental Table 4) (34, 35).

Fibrotic pathways were also represented in the SOM analysis, though they were not as prominent as the inflammatory pathways. Analysis of SOM clusters that showed a similar pattern of enhanced gene expression across the disease spectrum in the 8 experimental groups (as indicated 297 in Fig. 4D) showed progressive enrichment of the hepatic fibrosis/hepatic stellate activation 298 pathway (enrichment p=3.98E-06) moving from the least diseased to the most diseased mouse 299 model (data not shown). Assessment of the genes coordinately up-regulated in the most diseased 300 phenotype (db/db eNOS-/- mice) indicated enrichment of the hepatic fibrosis/hepatic stellate 301 canonical pathway (p=3.16E-16). Upregulated pro-fibrotic genes included: ACTA2, MMP2, MMP9, COL1A1, COL12A1, FN1, TGFBR1, COL4A1, COL3A1, COL8A1, MMP13, COL4A2, 302 303 TIMP1, SERPINE1, COL13A1, ICAM1, PDGFA. These findings supported enrichment of this pro-304 fibrotic pathway and enrichment for genes downstream of TGFB1 (enrichment p=4.06E-30). 305 Finally, we investigated the correlation between both albuminuria and mesangial index and SOM 306 gene expression in the two most extreme modules (1,1 and 7,7 on the upper left and lower right 307 SOM corners, respectively). There was a statistically significant correlation between aggregate 308 gene expression from each of these modules with both albuminuria and mesangial index, two

309 major components of DKD severity (Fig. 5).

310 Transcriptomics data analysis in isolated DRG

311 eNOS knockout did not affect DPN phenotype and transcriptomic analysis of neuropathic changes 312 in db/db mice was recently published (36, 37); thus, we restricted transcriptomic analysis of DRG 313 to the effect of RAS inhibition in *db/db eNOS* -/- animals. This pairwise analysis showed that 314 treatment enhanced expression of several genes known to play a pathogenic role in DPN (36-38), 315 including members of the pro-oxidant NADPH oxidase family (Cybb/ Nox2) as well as matrix 316 metallopeptidase Mmp-2 and Mmp-9 (Fig. 6). Additionally, top inflammatory DEGs included 317 complement component factor h (Cfh), previously implicated in the development of human DPN 318 (Supplemental Table 5) (39). To identify the overrepresented biological pathways among these 319 regulated genes, functional enrichment analysis of DEGs was carried out using IPA. Our analysis 320 showed that multiple pathways involved in inflammation, oxidative stress, and fibrotic processes 321 were significantly enriched in mice treated with RAS blockers (Fig. 6). This includes hepatic 322 fibrosis/hepatic stellate cell activation, which we previously found enriched in db/db mice through 323 the course of DPN (36). Besides Cybb/ Nox2, top DEGs involved in nitric oxide and reactive 324 oxygen species production in macrophages pathway included *Stat1*, a regulator of NADPH 325 oxidase-derived oxidant production, including Nox2 (Supplemental Table 6) (40).

326

327 Discussion

328 Using a genome-wide expression analysis of kidney glomeruli and DRG tissues, we evaluated the 329 impact of type 2 diabetes (due to a leptin receptor mutation) and eNOS deletion on DKD and DPN 330 severity. Both diabetes and eNOS deletion contributed to phenotypic severity and molecular profile 331 alterations of glomerulopathy in DKD, whereas DPN progression and nerve gene expression were 332 mostly affected by diabetes status only. This confirms our previous findings that DPN versus DKD 333 pathogenesis differ significantly (8, 9, 41). Also consistent with our previous reports (8, 41), 334 treatment with standard-of-care RAS inhibitors, lisinopril and losartan, ameliorated DKD, but not 335 DPN, suggesting that a 'one size fits all' therapeutic strategy does not work for all type 2 diabetic 336 complications. By using a SOM approach and conventional hierarchical clustering of 337 transcriptomic differences, we found that certain gene expression profiles related to podocyte 338 integrity and pro-inflammatory pathways sequentially changed with increasing DKD severity from 339 non-diabetic mice through modest glomerulopathy in eNOS -/- animals, to more severe decline in 340 diabetic eNOS +/+ animals, and the greatest change in the most diseased group, diabetic eNOS -/-341 mice. Although RAS inhibition improved the DKD phenotype, it did not impact the dysfunctional 342 gene expression pattern in kidney and exacerbated it in nerve, enhancing expression of genes 343 involved in inflammation and oxidant generation. It is clear that RAS inhibition monotherapy or 344 combination therapy provides inadequate protection in humans with DKD. Nonetheless, combined RAS inhibition does provide substantial reduction in disease manifestations in rodents (42), so it 345 346 is remarkable that the transcriptomic parameters failed to improve and actually worsened in this 347 setting. Overall, these analyses shed new light onto specific pathogenic pathways underlying DKD 348 and DPN, emphasize the inefficacy of RAS blockade in correcting abnormal gene expression in 349 DKD and DPN, and offer specific pro-inflammatory and pro-oxidant candidates for developing 350 complication-specific therapeutics.

351 The *db/db* eNOS -/- mouse has been well characterized over the past 10-15 years as one of 352 the most robust DKD models (12, 43). Since both diabetes status (*db* allele) and *eNOS* deficiency 353 were genetically determined, it was possible to separate out each of these factors based on animal 354 genotype from a single breeding colony. Genome-wide expression differences between animals 355 that co-segregate by genotype for the db (leptin receptor) and/or eNOS genes allowed us to 356 determine which of these two underlying factors was responsible for the gene expression changes. 357 In that regard, it is of interest that both diabetes status and eNOS deletion appeared to separately 358 and additively result in gene expression changes that associate with the DKD phenotype. Further,

the expression of genes that most clearly increased or decreased with phenotype severity also correlated with both albuminuria and mesangial expansion, two major DKD features. Our findings show that diabetes per se had a more prominent effect on kidney injury, but *eNOS* deletion accelerated the phenotype, as has been recently suggested by Azushima et al. (43).

363 While the tight correlation between transcriptomic changes and phenotype might seem a predictable result, there are many examples where progressive gene expression differences are not 364 365 associated with clinical phenotypic differences or, conversely there are many examples where 366 progressive changes in phenotype are not associated with gene expression differences (44, 45). In fact, it is rare for graded gene expression phenotypes to parallel graded disease phenotypes, as 367 368 there is often a threshold effect of gene expression changes on disease phenotype (45). Thus, this 369 parallel between graded gene expression changes and graded phenotypic differences was striking 370 and uncommon.

371 Another prominent finding was the predominance of pro-inflammatory gene expression, 372 which increased along the spectrum from the most normal to the most diseased groups in the SOM 373 analysis. These gene expression changes were mediated by both diabetes and eNOS deficiency, 374 since each individually increased inflammatory gene expression, and combined exacerbated the 375 response. Among these genes, *Nlrp3* is an interesting candidate because Nlrp3 inflammasome has 376 been reported to be a critical player in initiating the early stages of glomerular and tubulointerstitial 377 inflammation and its activation correlates with DKD severity in experimental and clinical diabetes (34, 46, 47). Similarly, we observed increased *Tnf*, which has been shown to amplify cytokine 378 379 production, thus enhancing the existing inflammatory response, exacerbating oxidative stress, and 380 promoting a stronger DKD phenotype (48, 49). Of all the genes that followed this pattern, 381 inflammatory genes such as *Nlrp3* and *Tnf* were the most prominent, strongly suggesting that 382 inflammatory processes are not simply one of many pathways that augment DKD, but the 383 predominant process, at least in this model. A role for activated immune and inflammatory 384 responses with increases in pro-inflammatory mediators like Tnf has also been reported in other 385 mouse models of DKD including the Akita-RenTg mice that develop both type 1 diabetes and a 386 robust kidney disease phenotype (50). Similar transcriptomic data were also observed in the 387 glomeruli of streptozotocin-induced type 1 diabetic mice (51). In addition, we previously 388 compared the glomerular transcriptomic changes in three of the best murine DKD models 389 (C57BLKS *db/db* eNOS -/-, streptozotocin DBA/2 and C57BLKS *db/db*) to early human DKD and

found consistent increases in inflammatory pathways in all 3 models that overlapped with those in humans. This was despite the fact that pathway overlap in general with the human disease transcriptome was only moderately good. In that analysis, the *db/db eNOS -/-* model had the most overlap with human disease pathways compared to all other models (44). Thus, these results not only support our findings, but also suggest that the immune response may be a causal factor in human DKD pathogenesis regardless of diabetes type.

396 We also observed a progressive reduction in podocyte and developmental gene expression 397 as the pathologic features increased across the 8 experimental groups. This pattern was striking 398 and generally confirmed the gradual reduction in some podocyte-specific genes and pathways in 399 progressive DKD. Previous reports of murine DKD models have demonstrated reduced expression 400 of podocyte and differentiation genes in some, though not all, mouse DKD models (44, 52). 401 Although RAS inhibition has been found to ameliorate some of the podocyte-specific gene 402 expression changes in some mouse models (52), it had no effect on the SOM-identified gene 403 expression modules that tracked most closely with the disease process, suggesting that RAS 404 inhibition was not particularly effective at preserving podocyte molecular physiology.

405 Perhaps most surprising in this analysis was that ameliorative RAS blockade treatment did 406 not alleviate gene expression abnormalities, including increases in inflammatory genes, as 407 evidenced by both the hierarchical clustering and the SOM analysis. Although it has been reported 408 that RAS blockade has anti-inflammatory properties (53), it seems likely, at least in this model, 409 that its salutary effects were not due to anti-inflammatory mechanisms (54). In agreement with our 410 current data, anti-inflammatory RAS blockade mechanisms have been shown to be reduced by 411 angiotensin and aldosterone "breakthrough", as well as by blocking anti-inflammatory aspects of 412 angiotensin signaling via Ang (1-7) and other aspects of this complex signaling pathway (54). 413 Thus, our results suggest that RAS blockade influences other gene expression modules that are not 414 so prominent in the underlying disease progression, but still have a beneficial effect on disease phenotype (55). Yet, if inflammation is the key driving process, at least in early DKD (56), the 415 416 lack of reversal of underlying inflammatory gene expression changes may explain why RAS 417 blockade only modestly slowed DKD progression and failed to halt it.

Given the range of nephropathy phenotypes among the 8 experimental groups, the SOM analysis was quite informative as it automatically grouped genes together whose expression directly or inversely correlated with DKD severity. This map showed significantly and sequentially 421 enhanced inflammatory gene profiles, and sequentially reduced podocyte-specific, metabolic, and 422 developmental gene profiles with progressive DKD. This gives increasing credence to the growing 423 evidence on the importance of low-grade inflammation on DKD progression across the disease 424 spectrum (57, 58). Moreover, it suggests that developmental and metabolic gene expression is 425 critical for normal kidney function and that maintained expression of specific glomerular cell genes 426 may help prevent disease progression. Among these mechanisms, fatty acid and cellular lipid 427 metabolic dysregulation are of particular interest because lipid abnormalities are increasingly 428 recognized by our group and others as independent risk factors for DKD development (59-61). Importantly, these results are also consistent with recent findings in multiple type 2 diabetic mouse 429 430 models such as the KK-Ay mouse (62) and the BTBR ob/ob leptin-deficient mouse (63), further 431 reinforcing our data. Since our findings in this report show association and not causality, direct 432 effects of these increased and decreased gene expression changes will need to be verified 433 experimentally.

In contrast to the graded increase with DKD, DPN was not affected by either eNOS deletion 434 435 or RAS inhibition. There was a substantial effect of diabetes on both the DPN phenotype and on 436 gene expression changes, similar to recent findings by our group in db/db animals (36, 37), and in 437 DPN patients (64, 65). Also in agreement with our previous findings (36, 37), pairwise analysis 438 showed that diabetes was associated with a significant increase in inflammatory pathways and 439 immune system activation. Current and previous data point to the immune system as a major 440 pathogenic factor in DPN, with the innate immune system in a central role (9, 36). Similar 441 inflammatory and immune system pathways were activated in nerve tissue and glomeruli in db/db442 eNOS -/- mice. Interestingly, RAS blockade exacerbated DPN gene expression patterns and 443 enriched nerve DEGs in molecular pathways related to inflammatory and oxidative stress 444 responses as well as fibrotic processes. Within these enriched pathways, Cybb/ Nox2 was a 445 particularly interesting DEG because of its role in oxidant generation in DPN (38) and contribution 446 to neuropathic pain and pro-inflammatory cytokine expression in peripheral nerves (66, 67). 447 Indeed, these reports are aligned with our current results, in turn suggesting that Cybb/ Nox2 448 overexpression following RAS blockade may intensify an already activated oxidative environment 449 in *db/db eNOS -/-* nerves, which may at least partly explain why treatment did not improve nerve 450 function. Other pathways RAS inhibition exacerbated in DRGs included integrin-linked kinase 451 (ILK) signaling, which is associated with the development of insulin resistance and apoptosis in 452 complication-prone tissues, including neuronal tissue (68-70). Of note, top upregulated genes 453 within this pathway included Mmp-9, a modulator of neuropathic pain, whose inhibition reduces 454 microglial activation and nerve injury (71, 72). In the presence of diabetes, MMP-9 has been found 455 to be upregulated in sciatic nerves of STZ-induced type 1 diabetic rats (73), and implicated in 456 regeneration at the site of nerve injury (74). Interestingly, Mmp-9, through its interaction with Ilk, 457 induces glomerular hypertrophy and DKD, and a similar mechanism may be occurring in DPN 458 based on our findings (75). Taken together, we propose that RAS blockade by enhancing processes 459 like Nox2-dependent oxidative stress and pro-inflammatory and fibrotic processes such as ILK 460 signaling, likely contribute to the lack of treatment effect on nerve function in the db/db eNOS -/-461 mouse.

462 In summary, careful phenotypic and transcriptomic analysis of an excellent mouse model 463 of diabetic glomerulopathy has shed new light on molecular DKD pathogenesis, implicating a 464 network of gene expression alterations, especially in developmental, metabolic, and inflammatory 465 pathways, that predicts progressive changes characteristic of early DKD. Since these changes were 466 identified through an unbiased mapping tool that describes underlying structure without imposing 467 a preconceived hierarchy, such gene expression changes are likely fundamental to the DKD 468 phenotype. Another striking feature of this analysis was that RAS blockers worsened DKD gene 469 expression profiles, confirming their inadequacy as a DKD treatment. While a similarly complete 470 transcriptomic analysis could not be performed for DPN, since neither eNOS deletion nor RAS 471 blockade altered the disease process, we found that RAS blockade activated pro-oxidant and 472 inflammatory gene expression very similar to that by diabetes, eNOS deletion, and RAS blockade 473 in DKD. These findings support our previous reports of inflammatory pathway activation as key 474 to the pathogenesis of both complications (9, 36) and suggest that early DKD and DPN could be 475 effectively treated by specific anti-inflammatory strategies.

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488 **Conflict of Interest**

The authors have stated explicitly that there are no conflicts of interest in connection with thisarticle.

491 Author Contributions

S.A.E. and L.M.H. wrote the manuscript, contributed to discussion and researched data, H.Z.,
R.E, V.N., S.E., F.E., and M.P. researched data, contributed to discussion, and reviewed/edited
the manuscript, J.S., and C.C.B. researched data and contributed to discussion, H.V.J, Y.G., S.P.,
J.H., M.K., and E.L.F. contributed to discussion and reviewed/edited the manuscript. F.C.B
contributed to discussion and wrote the manuscript. E.LF. and F.C.B. are the guarantors of this
work and, as such, had full access to all the data in the study and take responsibility for the
integrity of the data and the accuracy of the data analysis.

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Figure Legends

Figure 1. Diabetic kidney disease (DKD) phenotype for the 8 experimental groups to demonstrate both the separate and combined effects of diabetes status (db/db) and eNOS deletion. Urine volume (panel A), albuminuria (panel B), and mesangial expansion as quantified by mesangial index (panel C) increased by diabetes alone, but not by eNOS deletion alone. Albuminuria, mesangial index, and the percentage of totally sclerosed glomeruli increased in db/db eNOS-/- compared to db/db eNOS+/+ animals (panels B-D). There were only occasional sclerosed glomeruli in the nondiabetic groups (up to a maximum of 2.2% in the eNOS-/- nondiabetic mice; not shown) so these were not included in the analysis. RAS inhibitor treatment significantly ameliorated both albuminuria and mesangial expansion in the db/db eNOS-/- animals. *p < 0.05, ***p < 0.001. T, indicates RAS inhibitor treatment.

Figure 2. Diabetic peripheral neuropathy (DPN) phenotype for the 8 experimental groups to demonstrate the effects of diabetes and *eNOS* deletion. Sural (Panel A) and sciatic (Panel B) nerve conduction velocities (NCVs) decreased in *db/db* animals, but were not influenced by either *eNOS* deletion or RAS inhibitor treatment. Similarly, latency to withdrawal of hind paw (Panel C) was modestly increased in diabetes but was not clearly affected by *eNOS* deletion *p < 0.05, ***p < 0.001. T, indicates RAS inhibitor treatment.

Figure 3. Hierarchical clustering of the 8 experimental groups based on genome-wide changes in glomerular gene expression (Panel A). This clustering placed the mice in an order that generally corresponded to DKD severity based on functional and pathologic features (Panel B). The same color code used in panel B to differentiate the different experimental groups is later used for Fig. 4, panel B. One unexpected aspect of the hierarchical clustering is that RAS inhibitor treatment was associated with glomerular gene expression changes that were generally correlated with increasing, not decreasing, DKD severity. The hierarchical clustering suggested that diabetes (db/db) has a major effect on gene expression, whereas eNOS deletion exerted minor effect in the same direction. T, indicates RAS inhibitor treatment.

Figure 4. Self-organizing map (SOM) (panel A) for glomerular gene expression changes in the 8 experimental groups to demonstrate the response to diabetes (db/db), eNOS knockout, and RAS inhibition. All gene expression patterns were projected onto a 7 x 7 module (panel A). Each module (hexagon) contains

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genes with similar expression patterns across the groups (panel B). Hierarchical cluster analysis of the SOM (see Fig. 3) orders the groups as shown in panel B and serves as its legend. Gene expression patterns for each of the 49 modules are depicted in panel B, where numbers correspond to the hexagons from panel A, with 1,1 representing the upper left hexagon and 7,7 the lower right hexagon. Colors and outlines of gene expression changes in each module correspond to the legend in Fig. 3, panel B. Units with similar gene expression patterns that show ordered increases or decreases across the 8 groups are indicated in insets C and D. A total of 1403 genes were identified whose gene expression pattern correlated positively with more normal, undiseased phenotypes (inset C), while expression of 1354 genes correlated positively with more abnormal, diseased phenotypes (inset D). These gene groups were used for further analysis (see Fig. 5).

Figure 5. The correlation between albuminuria and mesangial index was determined with the genes for the most extreme and informative modules (1,1 and 7,7 on the SOM upper left and lower right corners, respectively [Fig. 4]). The top panel shows the aggregate gene expression values in modules 1,1 and 7, 7 for each animal. Correlation between the aggregate gene expression values (from modules 1,1 or 7,7) and albuminuria (middle panel) and mesangial index (bottom panel). For all panels, the green points represent the aggregate gene expression for the most "normal" animal group (db/+ eNOS +/+ treated), while the red points represent the gene expression for the most "diseased" animal group (db/db eNOS -/- untreated). T, indicates RAS inhibitor treatment.

Figure 6. Transcriptomics data analysis of dorsal root ganglia (DRG) tissue collected from *db/db eNOS* -/animals with or without RAS blockade indicated that treatment enhanced expression of several genes involved in DPN pathogenesis. The top 20 differentially expressed genes (DEGs) in DRG from *db/db eNOS* -/- treated vs. untreated mice are listed in panel A. Functional enrichment analysis of DEGs was performed by IPA, and the 20 most significantly enriched canonical pathways are illustrated in dot plots (panel B). Rich factor refers to the proportion of DEGs belonging to a specific IPA term. Node size (gene number) refers to the number of DEGs within each term, while node color indicates significance level ($-\log_{10} p$ value). FC, fold-change; FDR, false discovery rate.

	db/+	eNOS+/+	db/+ eNOS-/-		db/db eNOS+/+		db/db eNOS-/-	
Treatment	none	lisinopril/ losartan	none	lisinopril/ losartan	none	lisinopril/ losartan	none	lisinopril/ losartan
$\mathbf{BW}(\alpha)$	32.0 ± 0.4	30.4 ± 0.4	30.6 ± 0.5	29.2 ± 0.3	51.8 ± 1.8	52.3 ± 4.9	46.2 ± 2.1	41.1 ± 2.1
BW (g)	(23)	(22)	(19)	(12)	(12) *	(9) *	(15) *	(7) *
EPC (mmol/L)	10.4 ± 0.4	9.5 ± 0.4	$11.0\ \pm 0.7$	9.1 ± 0.6	38.7 ± 1.1	37.1 ± 1.6	35.7 ± 2.7	41.1 ± 0.6
FBG (IIIII0/L)	(23)	(22)	(19)	(12)	(15) *	(9) *	(15) *	(7) *
CHb (%)	5.3 ± 0.1	5.8 ± 0.2	5.7 ± 0.2	5.9 ± 0.2	12.9 ± 0.4	14.2 ± 0.3	13.3 ± 0.2	14.4 ± 0.6
OHD (%)	(22)	(21)	(16)	(12)	(12) *	(9) *	(14) *	(7)
SBD (mmHg)	95.1 ± 3.0	86.5 ± 3.4	110.8 ± 6.9	78.1 ± 5.2	89.7 ± 4.9	94.6 ± 3.6	110.5 ± 14.8	102.7 ± 10.2
SDF (IIIIIIIg)	(6)	(11)	(6)	(4)	(5)	(6)	(4)	(4)
DBP (mmHg)	71.1 ± 2.6	59.6 ± 2.2	85.6 ± 5.9	60.6 ± 4.8	69.0 ± 1.3	75.3 ± 2.8	84.8 ± 10.5	82.3 ± 11.6
DBI (mining)	(6)	(11)	(6)	(4)	(5)	(6)	(4)	(4)
Trig (mmol/L)	0.91 ± 0.17	1.10 ± 0.1	0.8 ± 0.11	1.2 ± 0.13	1.22 ± 0.23	1.4 ± 0.21	1.73 ± 0.39	1.11 ± 0.22
ing (minol/L)	(10)	(4)	(9)	(8)	(7)	(8)	(8) †	(7)
Chol (mmol/L)	2.02 ± 0.1	1.81 ± 0.15	2.24 ± 0.12	2.43 ± 0.13	2.56 ± 0.19	3.04 ± 0.28	3.66 ± 0.52	3.26 ± 0.27
Chor (minor/L)	(10)	(4)	(9)	(8)	(7)	(8)	(8) †	(7)
HDL (mmol/L)	1.52 ± 0.08	1.21 ± 0.08	1.74 ± 0.12	1.72 ± 0.13	1.78 ± 0.13	1.91 ± 0.22	2.11 ± 0.36	2.2 ± 0.21
	(10)	(4)	(9)	(8)	(7)	(8)	(8)	(7)
	0.23 ± 0.01	0.22 ± 0.01	0.20 ± 0.01	0.20 ± 0.01	0.28 ± 0.02	0.26 ± 0.01	0.22 ± 0.02	0.24 ± 0.01
	(21)	(21)	(18)	(12)	(12) *	(9)	(15) †	(7)

Table 1. Physiological data. All values are from the study endpoint. BW, body weight; FBG, fasting blood glucose; GHb, glycated hemoglobin; SBP, systolic blood pressure; DBP, diastolic blood pressure, Trig, triglycerides; Chol, total cholesterol; HDL, high-density lipoprotein cholesterol; LKW, left kidney weight. Data are presented as mean \pm standard error of the mean, with total number of animals in parentheses. * vs. Control; † vs. db/+ eNOS -/- mice. p < 0.05.











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