

(Immerzeel et al., 2009; Singh et al., 2015; Rouf et al., 2019; Mei et al., 2020; Ruiz-Arias et al., 2010; You et al., 2015; Molteni et al., 1996; Kumar et al., 2013; Kulmatov et al., 2013; Grin et al., 2018)

## Evaluation of High Mountain Asia - Land Data Assimilation System (version 1) from 2003 to 2016, Part I: A hyper-resolution terrestrial modeling system

Yuan Xue<sup>1</sup>, Paul R. Houser<sup>1</sup>, Viviana Maggioni<sup>2</sup>, Yiwen Mei<sup>3</sup>, Sujay V.  
Kumar<sup>4</sup>, and Yeosang Yoon<sup>4,5</sup>

<sup>1</sup>Department of Geography and GeoInformation Science, George Mason University, Fairfax, Virginia, US, 22030.

<sup>2</sup>Sid and Reva Dewberry Department of Civil, Environmental, and Infrastructure Engineering, George Mason University, Fairfax, Virginia, US, 22030.

<sup>3</sup>Sid and Reva Dewberry Department of Civil, Environmental, and Infrastructure Engineering, George Mason University, Fairfax, Virginia, US, 22030. Now at University of Michigan.

<sup>4</sup>Hydrological Sciences Laboratory, NASA/GSFC, Greenbelt, Maryland, US, 20771.

<sup>5</sup>Science Applications International Corporation, McLean, Virginia, US, 22102.

### Key Points:

- The skill of a hyper-resolution, offline terrestrial modeling system used for the High Mountain Asia region is presented.
- The study emphasizes the importance of using hyper-resolution versus coarse-resolution modeling in areas characterized by complex terrain.
- The study emphasizes the importance of an accurate hyper-resolution precipitation product used to drive model simulations.

---

Corresponding author: Yuan Xue, [yxue4@gmu.umd.edu](mailto:yxue4@gmu.umd.edu)

This is the author manuscript accepted for publication and has undergone full peer review but has not been through the copyediting, typesetting, pagination and proofreading process, which may lead to differences between this version and the [Version of Record](#). Please cite this article as [doi: 10.1029/2020JD034131](https://doi.org/10.1029/2020JD034131).

This article is protected by copyright. All rights reserved.

**Abstract**

This first paper of the two-part series focuses on demonstrating the accuracy of a hyper-resolution, offline terrestrial modeling system used for the High Mountain Asia (HMA) region. To this end, this study systematically evaluates four sets of model simulations at point scale, basin scale, and domain scale obtained from different spatial resolutions including  $0.01^\circ$  ( $\sim 1$ -km) and  $0.25^\circ$  ( $\sim 25$ -km). The assessment is conducted via comparisons against ground-based observations and satellite-derived reference products. The key variables of interest include surface net shortwave radiation, surface net longwave radiation, skin temperature, near-surface soil temperature, snow depth, snow water equivalent, and total runoff. In the evaluation against ground-based measurements, the superiority of the  $0.01^\circ$  estimates are mostly demonstrated across relatively complex terrain. Specifically, hyper-resolution modeling improves the skill in meteorological forcing estimates (except precipitation) by 9% relative to coarse-resolution estimates. The model forced by downscaled forcings in its entirety yields the highest skill in model output states as well as precipitation, which improves the skill obtained by coarse-resolution estimates by 7%. These findings, on one hand, corroborate the importance of employing the hyper-resolution versus coarse-resolution modeling in areas characterized by complex terrain. On the other hand, by evaluating four sets of model simulations forced with different precipitation products, this study emphasizes the importance of accurate hyper-resolution precipitation products to drive model simulations.

**1 Introduction**

High Mountain Asia (HMA) forms the headwaters of river systems, e.g., Yangtze, Yellow, Mekong, Brahmaputra, Indus, and Ganges Rivers, that provide fresh water supply for more than a billion people in the region for the purposes of downstream irrigation, hydropower generation, and general consumption (Armstrong et al., 2019). Meteorological and hydrological conditions in such mountainous environment are poorly monitored due to terrain inaccessibility and financial insufficiency (Ghatak et al., 2018). To overcome the limitations imposed by inadequate ground-based stations, previous studies generally utilized global land surface models or regional hydrological models to represent the hydro-meteorological processes involved across the HMA region. For example, Immerzeel et al. (2009) evaluated runoff simulations in a Himalayan river basin using the Snowmelt Runoff Model forced by remotely sensed precipitation at a spatial res-

56 olution of  $0.25^\circ$ . Yoon et al. (2019) provided a thorough evaluation of the terrestrial wa-  
57 ter budget estimation (i.e., precipitation, evapotranspiration, runoff, and terrestrial wa-  
58 ter storage) over HMA using a suite of uncoupled global land surface models at a spa-  
59 tial resolution of  $0.25^\circ$ . Further, the study conducted by Ghatak et al. (2018) evaluated  
60 the Noah land surface model-derived runoff simulations in a HMA region at a spatial res-  
61 olution of 5-km. To our current knowledge, there exists no published study performing  
62 land surface model simulations finer than 5-km for the entire HMA for a relatively long  
63 period (e.g., more than 10 years).

64 As pointed out by Singh et al. (2015), increasing computational efficiency and the  
65 need for improved accuracy are driving the development of “hyper-resolution” land sur-  
66 face models that can be implemented at regional scales, with spatial resolutions of 1-km  
67 or even finer. In addition, previous studies emphasized that high spatial heterogeneity  
68 over complex terrain requires land surface model simulations to be implemented at rel-  
69 atively high spatial resolutions (e.g., Zhao and Li (2015)). In addition to the tremendous  
70 amount of computational resources, one of the primary challenges of land surface mod-  
71 eling at hyper-resolution is the lack of forcing datasets at such resolution (Kollet et al.,  
72 2010; Singh et al., 2015). That is, we simply do not have reliable regional-scale 1-km in-  
73 situ or satellite observational capabilities to derive all meteorological forcing variables  
74 required as input into land surface models. Thanks to the recent developments in phys-  
75 ical and statistical downscaling approaches (e.g., Rouf et al. (2019); Mei et al. (2020)),  
76 we can derive hyper-resolution forcing fields from coarser-resolution data based on an-  
77 cillary information (e.g., land cover, surface roughness, and topography). Using Yoon  
78 et al. (2019) as a benchmark, in this study, we attempt to address the following science  
79 question: “to what extent does the development of hyper-resolution forcing input im-  
80 prove or worsen land surface modeling, compared to ground-based observations or satellite-  
81 derived reference products”? To this end, this study systematically evaluates the  $0.01^\circ$   
82 ( $\sim 1$ -km) and  $0.25^\circ$  ( $\sim 25$ -km) model simulations at point-scale, basin-scale, and domain-  
83 scale. The key variables of interest include various downscaled meteorological forcing in-  
84 put, as well as model output of surface net shortwave radiation, surface net longwave ra-  
85 diation, skin temperature, near-surface soil temperature, snow depth, snow water equiv-  
86 alent, and total runoff.

87 The ultimate goal of this research is to evaluate the newly-developed, hyper-resolution  
88 High Mountain Asia - Land Data Assimilation System (version 1) from 2003 to 2016.

89 The High Mountain Asia - Land Data Assimilation System is intended to provide spa-  
90 tially and temporally continuous land surface estimates, which are believed essential to  
91 capture the spatio-temporal evolution of hydrometeorological conditions and their as-  
92 sociated processes across HMA. Part I, presented in this manuscript, focuses on demon-  
93 strating the accuracy of a hyper-resolution (at  $\sim 1$ -km spatial resolution), offline (un-  
94 coupled to the atmosphere) terrestrial modeling system (without assimilation) used for  
95 complex terrain regions.

## 96 2 Data and Methods

### 97 2.1 Study domain and models

98 The study domain is the HMA region bounded between  $20^{\circ}\text{N}$  and  $41^{\circ}\text{N}$  and  $66^{\circ}\text{E}$   
99 and  $101^{\circ}\text{E}$ . Meteorological fields from the European Centre for Medium-Range Weather  
100 Forecasts (ECMWF; Molteni et al. (1996)) and Climate Hazards Group InfraRed Pre-  
101 cipitation with Station data, Version 2 (CHIRPS; Funk et al. (2015)) (and two precip-  
102 itation variants derived from CHIRPS; see Table 1) are used in this study. The ECMWF  
103 product is originally on a TL511 triangular truncation, linear reduced gaussian grid ( $0.25^{\circ}$ )  
104 for four synoptic hours: 00, 06, 12, and 18 UTC. The ECMWF forcing fields employed  
105 in this study include air temperature, specific humidity, downward longwave flux, down-  
106 ward shortwave flux, wind speed, and surface pressure. The CHIRPS precipitation prod-  
107 uct has a native spatial resolution of  $0.05^{\circ}$  at a daily time scale. All meteorological in-  
108 puts are temporally disaggregated onto the model time step of 15-min following Yoon  
109 et al. (2019) within the NASA Land Information System (LIS) 7.2 version (Kumar et  
110 al., 2006). Further, Yoon et al. (2019) demonstrated that the joint use of ECMWF and  
111 CHIRPS forcings provides the best model estimates at  $0.25^{\circ}$  spatial resolution for daily  
112 output of water balance components.

113 Four sets of model simulations are evaluated in this study, which are summarized  
114 in Table 1. 1) In “HMA-Coarse” (also denoted as “HMA-CS” in figures), the meteoro-  
115 logical inputs (i.e., air temperature, humidity, surface pressure, wind, downward short-  
116 wave, and longwave radiation) are adjusted for the elevation differences through lapse-  
117 rate and slope-aspect correction methods (Kumar et al., 2013). Inputs obtained from ECMWF  
118 and CHIRPS are spatially interpolated and aggregated onto the same  $0.25^{\circ}$  grid for gen-  
119 erating model output. 2) In “HMA-GMU”, all meteorological inputs are downscaled us-

120 ing physically-based and statistically-based algorithms onto the  $0.01^\circ$  grid for model es-  
121 timates. Section 2.1.1 summarizes key steps used in the downscaling process. 3) In “HMA-  
122 CHIRPS”, except for the precipitation field, all other meteorological forcings remain the  
123 same as “HMA-GMU”. The precipitation field is replaced with original CHIRPS, which  
124 is then spatially interpolated onto the same  $0.01^\circ$  grid for model estimates using the sim-  
125 plistic conservative interpolation scheme. 4) In “HMA-corr-CHIRPS”, except for pre-  
126 cipitation, all other meteorological forcings remain the same as “HMA-GMU” and “HMA-  
127 CHIRPS”. The precipitation field is replaced with the bias-corrected CHIRPS (see Sec-  
128 tion 2.1.2 for details), which is then spatially interpolated onto the same  $0.01^\circ$  grid for  
129 model estimates using the simplistic conservative interpolation scheme.

130 The land surface model used in this study is the baseline Noah-MP (Niu et al., 2011;  
131 Yang et al., 2011). Noah-MP is enhanced from the original Noah land surface model through  
132 the addition of improved model physics (i.e., dynamic vegetation phenology, a carbon  
133 budget and carbon-based photosynthesis, an explicit vegetation canopy layer, a multi-  
134 layer snowpack representation and a groundwater module) and multi-parameterization  
135 options. We used Noah-MP version 3.6 within the NASA LIS. The Noah-MP model con-  
136 figuration options are the same as Xue et al. (2019), and Yoon et al. (2019), which were  
137 shown to provide relatively good agreement with reference datasets in simulating hydro-  
138 logical conditions over HMA. To be more specific, we adopt a three-layer snow scheme  
139 within Noah-MP. The skin temperature (defined as the average surface temperature in  
140 this study, diagnosed from the Noah-MP model) is calculated as the areal-weighted av-  
141 erage of the canopy temperature and the bare ground temperature, where the canopy  
142 temperature and the bare ground temperature are solved through Newton-Raphson it-  
143 erations in order to balance the surface energy budgets. In terms of soil, a four-layer soil  
144 column configuration is used, and the thickness of each soil layer (from top to bottom)  
145 are 10 cm, 30 cm, 60 cm, and 100 cm, respectively. More details regarding model physics  
146 can be found in Niu et al. (2011); Xue et al. (2019). As opposed to using the Noah-MP  
147 output of surface radiative temperature (e.g., Yang et al. (2011)), we use the average sur-  
148 face temperature to represent modeled skin temperature. Slight but no significant dif-  
149 ferences in the computed statistical metrics are found when using the modeled surface  
150 radiative temperature versus the average surface temperature during the evaluation against  
151 either ground-based measurements or satellite-based products (results not shown). At  
152 this stage, it is difficult to tell which set of model output is more representative or ac-

153 curate and thus, we choose to only use the average surface temperature defined above  
 154 to present all results. Further studies should examine and compare the two approaches  
 155 to calculate modeled skin temperature from Noah-MP. In addition, it is important to  
 156 note that the Noah-MP model used in this study does not contain a glacier modeling rou-  
 157 tine, which may negatively impact the accuracy of all model derived snow depth, SWE,  
 158 and runoff estimates.

159 The land surface model simulations are conducted with a 15-min time step for a  
 160 14-year time period (2003–2016) to generate daily output of water balance components.  
 161 The initial conditions for the runs are generated by appropriate spin-up strategies as de-  
 162 scribed by Xue et al. (2019) and Yoon et al. (2019), and then reinitializing all model runs  
 163 in 2003.

### 164 *2.1.1 Downscaling of meteorological forcings*

165 Following Rouf et al. (2019), meteorological forcings including near-surface ( $\sim 10$   
 166 m above the ground) air temperature (denoted as “ $T_a$ ”), surface pressure (denoted as  
 167 “ $p_r$ ”), near-surface ( $\sim 10$  m above the ground) specific humidity (denoted as “ $q$ ”), near-  
 168 surface ( $\sim 10$  m above the ground) wind speed (denoted as “ $w$ ”), downward surface short-  
 169 wave radiation (denoted as “SW”), and downward surface longwave radiation (denoted  
 170 as “LW”) obtained from ECMWF are spatially downscaled from their original resolu-  
 171 tions ( $0.25^\circ$ ) onto the  $0.01^\circ$  model grid. All spatial downscaling procedures preserve the  
 172 variable measurement height before and after being downscaled. The symbol of “ $\tilde{(\cdot)}$ ” de-  
 173 notes the variable at  $0.01^\circ$  model grid. The downscaling methods are developed by the  
 174 George Mason University (GMU) research team, and therefore we refer to the downscaled  
 175 meteorological forcings as GMU downscaled forcings. The downscaled air temperature  
 176 in the unit of K is computed as Marshall and Plumb (1989):

$$177 \quad \tilde{T}_a = T_a + \Gamma_a(\tilde{Z} - Z), \quad (1)$$

178 where  $Z$  (m) is the Shuttle Radar Topography Mission (SRTM) digital elevation model  
 179 derived elevation at  $0.25^\circ$ ,  $\tilde{Z}$  (m) is the elevation derived at  $0.01^\circ$  (see Figure 1a), and  
 180  $\Gamma_a$  (K/m) is the spatially distributed dynamic lapse rate in air temperature (Rouf et al.,  
 181 2019). The downscaled surface pressure in the unit of Pa is computed as Cosgrove et al.  
 182 (2003):

$$183 \quad \tilde{p}_r = p_r \exp\left(-\frac{g(\tilde{Z} - Z)}{RT_m}\right), \quad (2)$$

184 where  $\exp(\cdot)$  is the exponential operator.  $R$  ( $= 287 \text{ J}/(\text{kg} \cdot \text{K})$ ) is the ideal gas constant,  
 185  $g$  ( $= 9.81 \text{ m}/\text{s}^2$ ) is the gravitational acceleration constant, and  $T_m$  (K) is the mean air  
 186 temperature computed from  $T_a$  and  $\tilde{T}_a$ . The downscaled specific humidity in the unit  
 187 of kg/kg is computed as Lawrence (2005):

$$188 \quad \tilde{q} = \frac{0.622\tilde{E}}{\tilde{p}_r - 0.378\tilde{E}}, \quad (3)$$

189 where

$$190 \quad \tilde{E} = C_1 \exp \frac{C_2\tilde{T}_d}{\tilde{T}_d + C_3}, \quad (4)$$

$$191 \quad \tilde{T}_d = T_d + \Gamma_d(\tilde{Z} - Z), \quad (5)$$

192 where for water,  $C_1$  ( $= 611.21 \text{ Pa}$ ),  $C_2$  ( $= 17.268$ ),  $C_3$  ( $= 238.88^\circ\text{C}$ ), and for ice,  $C_1$  ( $=$   
 193  $611.15 \text{ Pa}$ ),  $C_2$  ( $= 22.452$ ),  $C_3$  ( $= 272.55^\circ\text{C}$ ) as noted in Buck (1981).  $T_d$  (K) is the dew  
 194 point temperature, and  $\Gamma_d$  (K/m) is the spatially distributed dynamic lapse rate in dew  
 195 point temperature. The downscaled wind speed in the unit of m/s is computed as Tao  
 196 and Barros (2018); Rouf et al. (2019); Bohn and Vivoni (2019):

$$197 \quad \tilde{w} = \frac{\tilde{\mu}_*}{\kappa} \ln \frac{H}{\tilde{z}_0}, \quad (6)$$

198 where

$$199 \quad \tilde{\mu}_* = \mu_* \left( \frac{\tilde{z}_0}{z_0} \right)^{0.09}, \quad (7)$$

$$200 \quad \tilde{z}_0 = \tilde{k} \sum_{i=1}^M \tilde{\rho}_i z_{0,i} + z_0 - k \sum_{i=1}^M \rho_i z_{0,i}, \quad (8)$$

201 where  $\ln(\cdot)$  is the natural logarithm operator,  $\mu_*$  (m/s) is the friction velocity,  $z_0$  (m)  
 202 is the surface roughness,  $\kappa$  ( $= 0.41$ ) is the Von Kármán constant,  $H$  ( $= 10 \text{ m}$ ) is the mea-  
 203 surement height above the ground, and  $M$  is the number of land cover types.  $\rho_i$  is the  
 204 fractional values of the  $i^{\text{th}}$  land cover type.  $k$  represents the temporal variability of the  
 205 Moderate Resolution Imaging Spectroradiometer (MODIS) derived normalized differ-  
 206 ence vegetation index (NDVI), which is computed as the ratio of the NDVI obtained from  
 207 the current time step versus the annual mean of the NDVI. The downscaled incident short-  
 208 wave radiation in the unit of  $\text{W}/\text{m}^2$  is computed as Ruiz-Arias et al. (2010); Fiddes and  
 209 Gruber (2014); Gupta and Tarboton (2016); Tao and Barros (2018):

$$210 \quad \tilde{S}W = \delta \cos(\theta) \exp(\tau(\tilde{p}_r - p_r)) SW_b + F_v SW_d + \alpha F_t (\tilde{S}W_b + (1 - F_v) \tilde{S}W_d), \quad (9)$$

211 where  $SW_b$  ( $\text{W}/\text{m}^2$ ) is the direct shortwave radiation, and  $SW_d$  ( $\text{W}/\text{m}^2$ ) is the diffuse  
 212 shortwave radiation.  $\delta$  is the binary shadowing mask indicating whether the grid cell is

215 blocked by the shadow of nearby terrain,  $\cos(\theta)$  is the cosine of the solar illumination  
 216 angle,  $\tau$  ( $\text{Pa}^{-1}$ ) is the broadband attenuation coefficient,  $\alpha$  is the MODIS derived sur-  
 217 face albedo,  $F_v$  is the fractional value of the visible sky, and  $F_t$  is the terrain configu-  
 218 ration factor, which is computed as the function of terrain slope and  $F_v$ . The downscaled  
 219 longwave radiation in the unit of  $\text{W}/\text{m}^2$  is computed as Konzelmann et al. (1994); Fid-  
 220 des and Gruber (2014):

$$221 \quad L\tilde{W} = (\tilde{\epsilon}_c + \Delta\epsilon)\sigma\tilde{T}_a^4, \quad (10)$$

222 where

$$223 \quad \tilde{\epsilon}_c = 0.23 + 0.484\left(\frac{\tilde{E}}{\tilde{T}_a}\right)^{\frac{1}{8}}, \quad (11)$$

$$224 \quad \Delta\epsilon = \frac{LW}{\sigma T_a^4} - \epsilon_c, \quad (12)$$

225 where  $\sigma$  ( $= 5.67 \times 10^{-8} \text{ W}/(\text{m}^2 \cdot \text{K}^4)$ ) is the Stefan-Boltzmann constant, and  $\epsilon_c$  is the  
 226 clear-sky emissivity.  
 227

228 The original  $0.05^\circ$ /daily CHIRPS precipitation is spatially and temporally down-  
 229 scaled to  $0.01^\circ$ /6-hourly by weighting factors. To disaggregate CHIRPS to  $0.01^\circ$ , spatially-  
 230 distributed weighting factors are derived from daily cumulative downscaled  $0.01^\circ$  ECMWF  
 231 precipitation, which is derived from the original  $0.25^\circ$ /6-hourly ECMWF precipitation  
 232 following Mei et al. (2020). The kernel of the Mei et al. (2020) precipitation downscal-  
 233 ing framework lies in a random forest (RF) classification along with a regression algo-  
 234 rithm. The framework first applies the recursive feature elimination algorithm to select  
 235 important predictors in terms of their predictive values to the daily cumulative ECMWF  
 236 precipitation from a list of potential predictors. There are 13 potential predictors includ-  
 237 ing eight meteorological variables (air and dew point temperature, surface pressure, spe-  
 238 cific and relative humidity, longwave and shortwave radiation, and wind speed) and five  
 239 auxiliary variables (vegetation index with 30-day and 60-day lag, latitude, longitude, and  
 240 day of year). The meteorological variables are either adopted or derived from the down-  
 241 scaled  $0.01^\circ$  ECMWF estimates. For each year from 2003 to 2016, the first seven pre-  
 242 dictors with higher predictive values are selected as important predictors. In a next step,  
 243 with the identified predictors, RF classification models are trained to a binary precip-  
 244 itation mask defining rainy (i.e., daily cumulative precipitation being greater than 0 mm)  
 245 and non-rainy grid cells and RF regression models are trained to the daily cumulative  
 246 precipitation for rainy grid cells (Note: one RF classification and one RF regression model  
 247 for a year to maintain a relatively high computational efficiency). Then, the trained RF



classification models are used to produce the 0.01° daily binary precipitation masks with the 0.01°/daily predictors. Finally, the RF regression models are used to estimate the daily cumulative precipitation for rainy grid cells (inferred by the 0.01° precipitation masks) with the identified predictors. It is important to note that each 0.01° model grid cell is treated independently. In other words, the spatial correlation is not explicitly considered except that for some years the models may take the geographical information (latitude and longitude) as predictors.

After attaining the 0.01°/daily ECMWF precipitation, the 0.05°/daily CHIRPS precipitation is spatially disaggregated following the equations below:

$$p\tilde{d}_C = \begin{cases} \frac{pd_{E,i}}{\frac{1}{N} \sum_{i=1}^N pd_{E,i}} pd_C, & \text{if } \frac{1}{N} \sum_{i=1}^N pd_{E,i} > 0, \\ pd_C, & \text{if } \frac{1}{N} \sum_{i=1}^N pd_{E,i} = 0 \end{cases} \quad (13)$$

where  $pd_E$  and  $pd_C$  represent the daily cumulative precipitation from ECMWF and CHIRPS, respectively.  $N$  is the total number of 0.01° grid cells within a 0.05° grid cell. The term of  $\frac{pd_{E,i}}{\frac{1}{N} \sum_{i=1}^N pd_{E,i}}$  denotes the spatially distributed weighting factors, which quantifies the 0.01° variability of precipitation within the 0.05° grid cells. In the case that all 0.01° grid cells within a 0.05° grid cell have null precipitation,  $pd_C$  is distributed evenly. The daily cumulative CHIRPS precipitation is then multiplied by a temporal weighting factor to attain the 6-hourly precipitation value at 0.01° (denoted as “ $p\tilde{t}_C$ ”). The temporal weighting factor is derived from the 0.25°/6-hourly ECMWF precipitation, written as:

$$p\tilde{t}_C = \begin{cases} \frac{pt_{E,t}}{\sum_{t=1}^T pt_{E,t}} p\tilde{d}_C, & \text{if } \sum_{t=1}^T pt_{E,t} > 0, \\ p\tilde{d}_C, & \text{if } \sum_{t=1}^T pt_{E,t} = 0 \end{cases} \quad (14)$$

where  $pt_E$  denotes the 6-hourly ECMWF precipitation.  $T$  is the total number of time steps within one day. Similar to Equation 13, the term of  $\frac{pt_{E,t}}{\sum_{t=1}^T pt_{E,t}}$  is the 6-hourly temporal weighting factor used to distribute the daily cumulative precipitation; if all 6-hourly precipitation values are zeros within a day,  $p\tilde{d}_C$  is distributed evenly.

### 2.1.2 Bias-corrected CHIRPS

The bias-corrected CHIRPS are generated using the original CHIRPS at 0.05° multiplied with the monthly, spatially-distributed correction factors given by Beck et al. (2020). Their study used streamflow observations from 9372 stations for calibrations of several state-of-the-art (quasi-) global precipitation climatologies. Monthly climatological bias

276 correction factors were calculated by disaggregating the long-term bias correction fac-  
277 tors on the basis of gauge catch efficiencies. An example of the spatially-distributed pre-  
278 cipitation correction factors as applied in CHIRPS product in February across HMA can  
279 be seen from Figure 1b. The domain-averaged precipitation correction factor is 1.43, with  
280 relatively high correction factors presence along Karakoram and Himalayan ranges. As  
281 noted in Beck et al. (2020), these regions exhibit marked elevation gradients, sparse gauge  
282 networks, and substantial snowfall: all factors that tend to favor precipitation underes-  
283 timation, and therefore, the newly-generated bias-corrected CHIRPS product is intended  
284 to increase the magnitude of precipitation across HMA (see Figure 12).

## 285 **2.2 Ground-based measurements of meteorological conditions**

286 A summary of ground-based measurements of meteorological conditions used for  
287 evaluation is listed in Tables 3 and 4. These measurements include air temperature, wind  
288 speed, specific humidity, surface pressure, incident shortwave radiation, incident long-  
289 wave radiation, and total precipitation. These dataset are obtained from 1) the Chinese  
290 Meteorological Administration (CMA), namely the Dataset of Daily Climate Data From  
291 Chinese Surface Stations for Global Exchange (V3.0) ([https://data.cma.cn/en/?r=](https://data.cma.cn/en/?r=data/detail&dataCode=SURF_CLI_CHN_MUL_DAY_CES_V3.0&keywords=daily)  
292 [data/detail&dataCode=SURF\\_CLI\\_CHN\\_MUL\\_DAY\\_CES\\_V3.0&keywords=daily](https://data.cma.cn/en/?r=data/detail&dataCode=SURF_CLI_CHN_MUL_DAY_CES_V3.0&keywords=daily)), or 2) the  
293 Coordinated Enhanced Observing Period (CEOP) Asia Monsoon project ([https://www](https://www.eol.ucar.edu/projects/ceop/dm/insitu/sites/ceop_ap/)  
294 [.eol.ucar.edu/projects/ceop/dm/insitu/sites/ceop\\_ap/](https://www.eol.ucar.edu/projects/ceop/dm/insitu/sites/ceop_ap/)), or 3) the Department  
295 of Hydrology and Meteorology in Nepal (DHM), or 4) the Pakistan Meteorology Depart-  
296 ment (PMD), or 5) the weather underground (WU; <https://www.wunderground.com>).  
297 Locations of the ground-based stations are shown in Figures 3 through 5. The discrep-  
298 ancies between model estimates and measurements resulting from different measurement  
299 heights are neglected in this study because they are deemed much smaller than model  
300 errors alone (calculations not shown). Note some in-situ data source do not provide the  
301 measurement height information.

## 302 **2.3 Ground-based measurements of modeled states**

303 A summary of ground-based measurements of modeled states used for evaluation  
304 is listed in Table 4. It is important to note that only a handful of model output variables  
305 are evaluated here due to reference measurements inadequacy.

### 2.3.1 *Surface radiation*

Surface net shortwave radiation and net longwave radiation, calculated as incoming-minus-outgoing radiant energy fluxes, are evaluated in this study, respectively. The in-situ radiation measurements are obtained from CEOP. Radiation fluxes are measured using CM21 Kipp & Zonen (or 2770 Aandera) sensors at a time step of an hour (or twenty minutes), and at a height of 1.58 m, 2 m (or 3.1 m) above from the ground surface (depending on the station). Daily-averaged, in-situ fluxes are then computed as the temporal mean of the values collected during the 24-hour period. The measurement discrepancies as a result of different sensor installation heights are neglected in this study.

### 2.3.2 *Skin temperature*

Two different sources of skin temperature measurements are obtained. First, in-situ, daily-averaged surface temperature measurements are obtained from CMA. The daily-averaged surface temperature values are computed by averaging the four measurements taken by platinum resistance thermometers at 02:00, 08:00, 14:00, and 20:00. Second, the in-situ surface temperature measurements are obtained from the CEOP Asia Monsoon project. Skin temperature are measured at a time step of an hour. Daily-averaged, in-situ temperatures are then computed as the temporal mean of the values collected during the 24-hour period.

### 2.3.3 *Snow depth*

The in-situ, daily-averaged snow depth measurements are obtained from 1) the Global Summary of the Day (GSOD; <https://data.noaa.gov/dataset/dataset/global-surface-summary-of-the-day-gsod>), 2) the Contribution to High Asia Runoff from Ice and Snow (CHARIS) project ([http://himatmap.apps.nsidc.org/hma\\_insitu.html](http://himatmap.apps.nsidc.org/hma_insitu.html)), and 3) the CEOP Asia Monsoon project.

### 2.3.4 *Near-surface soil temperature*

Three different sources of the near-surface (5 cm below the ground) soil temperature measurements are obtained. First, in-situ soil temperature measurements are obtained from the CEOP Asia Monsoon project. Near surface soil temperatures are measured at a time step of an hour or twenty minutes, and at the depth of 3 cm, 4 cm, and/or

335 5 cm from the ground surface (depending on the station). Daily-averaged temperature  
336 values are then computed as the temporal mean of the temperatures collected during the  
337 24-hour period as a function of the measured depth. It is assumed that measurements  
338 taken at the depth of 5 cm (i.e., center of the soil layer) can best represent the modeled  
339 top-layer of soil (0 - 10 cm). Therefore, the relatively simple linear interpolation method  
340 is applied to the model estimates to match with the measurement depths of 3 cm and  
341 4 cm, respectively.

342 Second, daily-averaged near-surface soil temperature measurements from one sta-  
343 tion located at (29.76°N, 94.74°E) are obtained from the Southeastern Tibet Observa-  
344 tion and Research Station for the Alpine Environment (SETORS; [http://en.tpdatabase](http://en.tpdatabase.cn/portal/MetaDataInfo.jsp?MetaDataId=197)  
345 [.cn/portal/MetaDataInfo.jsp?MetaDataId=197](http://en.tpdatabase.cn/portal/MetaDataInfo.jsp?MetaDataId=197)) maintained by the Chinese Academy  
346 of Sciences. At this station, soil temperature at a depth of 4 cm below the ground are  
347 measured using a Campbell 107 sensor. We then interpolate the modeled top-layer of  
348 soil (0 - 10 cm) temperature estimates to 4 cm using linear interpolation to match with  
349 the measurement depth.

350 Third, in-situ, daily- and spatially-averaged near-surface soil temperature measure-  
351 ments are obtained from the Central Tibetan Plateau Soil Moisture and Temperature  
352 Monitoring Network (CTP-SMTMN; <http://dam.itpcas.ac.cn/rs/?q=data>) main-  
353 tained by the Institute of Tibetan Plateau Research, Chinese Academy of Science. Near-  
354 surface soil temperature measurements are taken at the soil depth in between 0 and 5  
355 cm. Only the range of the near-surface measurement depth is given in the CTP-SMTMN  
356 document without the exact measurement depth (K. Yang et al., 2013). Therefore, the  
357 modeled top-layer soil temperature is used to approximate the measurement taken at  
358 in-situ sites.

### 359 **2.3.5 Total runoff**

360 Table 2 summarizes the main characteristics of the five gauged basins (see Figure  
361 2) in the study area, including drainage area, data source, and mean elevation computed  
362 via averaging all grid cells coincident within the given basin. These ground-based mea-  
363 surements are obtained from 1) the Contribution to High Asia Runoff from Ice and Snow  
364 (CHARIS) project, or 2) Department of Hydrology and Meteorology in Nepal, or 3) the  
365 Global Runoff Data Centre, 56068 Koblenz, Germany (<https://www.bafg.de/GRDC/>

366 EN/01\_GRDC/grdc\_node.html). Basin #1 through Basin #5 are listed and organized by  
367 drainage area in ascending order in Table 2. It is important to note that only basins with  
368 drainage areas of greater than 625 km<sup>2</sup> are included in this study.

369 Basin #1 originates in the higher mountains in Nepal, where monsoon precipita-  
370 tion constitutes the major source of discharge water. In this basin, there exists a fairly  
371 clear rainfall-runoff relationship. i.e., strong commonality with precipitation highs to lows  
372 matching up with flow magnitudes tends to occur frequently (Hannah et al., 2005). Ac-  
373 cording to Hannah et al. (2005), the flow regime shape in Basin #1 is Class C with marked  
374 August peak runoff. The flow regime magnitude in Basin #1 is Class 2 with interme-  
375 diate amount of both annual total precipitation and total runoff. Note names of “Class  
376 C” and “Class 2” are classification schemes based on Hannah et al. (2005).

377 Basin #2 is a trans-boundary basin lying north-south in the central Himalayan re-  
378 gion. It extends from China in the north, and flows through Nepal. The majority of the  
379 glaciated region in Basin #2 are located in Tibet, China. The climate is dominated by  
380 the Indian summer monsoon system, with the majority of the precipitation falls between  
381 June and September. Total runoff varies throughout the year influenced by both snow  
382 (and glacier) melt and precipitation (Dandekhya et al., 2017). Peak flows generally oc-  
383 cur in July or August as the peak snow and glacier melt coincide with the monsoon peak  
384 (Mishra et al., 2018).

385 Basin #3 originates in Tajikistan and flows towards Uzbekistan. The highest pre-  
386 cipitation is often brought by Westerlies during winter and spring periods, with mini-  
387 mums during summer and early autumn periods (Gafurov et al., 2015). The discharge  
388 regime is strongly dominated by snow (and glacier) melt in the area during summer time.  
389 The increase of water discharge typically begins in April and peaks around July or Au-  
390 gust. The recession of the discharge river flow generally commences in August and con-  
391 tinues until February or March, when it reaches its minimum discharge point (Kulmatov  
392 et al., 2013).

393 Basin #4 is located in Tajikistan, which is mainly fed by melting snow and glaciers.  
394 The region is under the continental climate, characterized by a wide temperature vari-  
395 ation throughout the year, with the coldest temperature generally occurring in January.  
396 Similar to Basin #3, Mid Latitude Westerlies are the dominant climatic influence in the  
397 area. Precipitation decreases from west to east. The majority of the annual precipita-

398 tion falls between February and May (Grin et al., 2018), while during the summer and  
399 early autumn seasons precipitation presents a minimum.

400 Basin #5 is located in the North Western part of Myanmar. It is dominated by a  
401 mountainous forested terrain, except for the wide flood plain at its lowest southern part  
402 (Yuan et al., 2017). Rainfall is the major driver for the discharge regime in the area. Dur-  
403 ing the southwest monsoon season, Basin #5 is prone to severe floods, due to the high  
404 precipitation intensities with significant spatial and temporal variations (Yuan et al., 2017).  
405 Riverine floods are very common in Basin #5, and they occur as a result of the intense  
406 precipitation when the monsoon troughs or low pressure waves superimpose on the gen-  
407 eral monsoon pattern (Latt, 2015).

## 408 **2.4 Reference remotely sensed products**

409 A summary of remotely sensed products used for evaluation is listed in Table 5.

### 410 *2.4.1 Skin temperature*

411 Similar to the evaluation strategy described in Xue et al. (2019), the reference satellite-  
412 based surface temperature products utilized here are the MODIS/Terra Land Surface  
413 Temperature Daily L3 Global 1-km Grid (MOD11A1, version 6; Wan, Hook, and Hul-  
414 ley (2015)) and the MODIS/Aqua Land Surface Temperature Daily L3 Global 1-km Grid  
415 (MYD11A1, version 6; Wan et al. (2015)). Given the availability of both nighttime and  
416 daytime land surface maps generated by MOD11A1 and MYD11A1 from 2003 to 2016,  
417 we use the simple arithmetic mean of all four measurements to approximate daily-averaged  
418 values. It is important to note that when daytime MOD11A1, nighttime MOD11A1 as  
419 well as daytime MYD11A1, and nighttime MYD11A1 present simultaneously, we cal-  
420 culate the daily-averaged surface temperature value; otherwise, a “no-value” flag is ap-  
421 plied.

### 422 *2.4.2 Snow water equivalent*

423 The reference satellite-based snow water equivalent (SWE) product utilized here  
424 is the Copernicus Global Land Service (CGLS) SWE product (v1.0.2; [https://land.copernicus](https://land.copernicus.eu/global/products/swe)  
425 [.eu/global/products/swe](https://land.copernicus.eu/global/products/swe)) at a spatial resolution of 5 km (Pulliainen, 2006; Takala et  
426 al., 2011) available from 01 January 2006. The CGLS SWE retrieval algorithm combines

427 information from satellite-based microwave radiometer and optical spectrometer obser-  
428 vations with ground based weather station snow depth measurements and produces daily  
429 Northern Hemispherical scale SWE estimates. The SWE product covers all land surface  
430 areas between latitudes 35°N and 85°N with the exception of mountainous regions, and  
431 glaciers. Therefore, the CGLS SWE product only covers about 16.3% of the entire HMA  
432 land area.

## 433 2.5 Evaluation methods

434 All four experiments listed in Table 1 are integrated forward in time at a time step  
435 of 15 minutes, and the daily-averaged model output are generated. The overlapping pe-  
436 riod from 01 February 2003 to 30 November 2016 are used for evaluation in this study.  
437 It is important to note that stations (or grid cells) with records less than 200 days are  
438 excluded from the evaluation. Evaluations are conducted at three different spatial scales.  
439 The point-scale evaluations are carried out at selected grid cells with at least one colo-  
440 cated ground-based stations. That is, the performance of air temperature, wind speed,  
441 specific humidity, surface pressure, incident shortwave radiation, incident longwave ra-  
442 diation, total precipitation, surface radiation, skin temperature, snow depth, and near-  
443 surface soil temperatures are evaluated at daily time scales via comparisons against in-  
444 situ measurements taken by the closest ground-based stations. Goodness-of-fit statis-  
445 tics (see Section 2.5.1) are computed and a scoring system (see Appendix A) is designed  
446 to rank the performance of different sets of estimates. It is always difficult to compare  
447 1-km scale estimates against in-situ scale stations due to the stations' representativeness  
448 issue. Therefore, if the relative elevation difference between the 1-km scale grid cell and  
449 colocated station is greater than 50% (with the ground-based station being the baseline),  
450 we deem that the station is unrepresentative of the large-scale model estimates, and thus  
451 such stations are removed from the evaluation. The relatively simple threshold employed  
452 here is somewhat arbitrary, however, it can be used as a first-order criterion to eliminate  
453 underrepresentative stations in the model evaluation.

454 The basin-scale evaluations are conducted for modeled runoff through comparisons  
455 against ground-based discharge measurements. This study aggregates daily-averaged to-  
456 tal runoff output onto monthly averages and then evaluates against ground-based dis-  
457 charge measurements taken at basin outlets. The main reason for comparing runoff at  
458 monthly scale, rather than at hourly and daily scales is that no river routing routines

are employed in this study. For each of the model simulation listed in Table 1, the modeled basin-scale total runoff is computed by integrating the runoff output at each grid cell across each of the drainage basin. The goodness-of-fit statistics plus the Nash–Sutcliffe model efficiency coefficient (see Section 2.5.1) are computed to evaluate the modeled runoff performance.

The domain-scale evaluations are conducted between 1) model estimates and reference satellite-based products, as well as between 2) meteorological forcings before and after being downscaled. That is, the performance of regional model output of skin temperature, and SWE are evaluated at daily time scales via comparisons against reference remotely-sensed products using the goodness-of-fit statistics. All model output and reference products are aggregated onto the same  $0.25^\circ$  grid for this set of evaluation. All SWE estimates in June, July, and August are excluded from evaluation due to minimized coverage of snow in summertime. In addition, the performance of the downscaled meteorological forcings are evaluated using the normalized mutual information index (Section 2.5.2), which is intended to serve as a proxy for the spatial similarity between the multi-year averaged forcing variable before and after being downscaled.

### 2.5.1 Evaluation statistics

Goodness-of-fit statistics used for evaluation include bias, root mean squared error (RMSE), unbiased root mean squared error (ubRMSE), and correlation coefficient (R). The symbol,  $x_{model}$ , is used to denote estimates obtained from the given model simulation. The symbol,  $x_{meas}$ , is used to denote in-situ measurements (or reference satellite-based measurements) at either daily or monthly time steps (Note: monthly time step is only applicable for runoff assessment). The bias is computed as:

$$Bias = \frac{1}{N_t} \sum_{j=1}^{N_t} (x_{model,j} - x_{meas,j}), \quad (15)$$

where  $N_t$  denotes the total sample size. A lower absolute value of bias is deemed better at decreasing the systematic errors. RMSE is computed as:

$$RMSE = \sqrt{\frac{1}{N_t} \sum_{j=1}^{N_t} (x_{model,j} - x_{meas,j})^2}. \quad (16)$$

A lower RMSE reflects decreased systematic errors and random errors. Further, ubRMSE is calculated as:

$$ubRMSE = \sqrt{(RMSE)^2 - (Bias)^2}. \quad (17)$$



A lower ubRMSE reflects reduced amount of random errors. In addition, R is computed as:

$$R = \frac{\sum_{j=1}^{N_t} (x_{model,j} - \bar{x}_{model})(x_{meas,j} - \bar{x}_{meas})}{\sqrt{\sum_{j=1}^{N_t} (x_{model,j} - \bar{x}_{model})^2} \sqrt{\sum_{j=1}^{N_t} (x_{meas,j} - \bar{x}_{meas})^2}}, \quad (18)$$

where  $\bar{x}_{meas}$  is the time-averaged estimates of the measurements, and  $\bar{x}_{model}$  is the time-averaged estimates obtained from model simulations. A higher R demonstrates better correlations with the reference. Overall, a relatively low absolute value of bias, or low RMSE, or low ubRMSE, or high R is deemed as a higher level of accuracy in the model estimates.

In addition, we compute the Nash–Sutcliffe model efficiency coefficient (NSE) statistics (Nash & Sutcliffe, 1970) in the basin-scale runoff evaluation, which is computed as:

$$NSE = 1 - \frac{\sum_{j=1}^{N_t} (x_{meas,j} - x_{model,j})^2}{\sum_{j=1}^{N_t} (x_{meas,j} - \frac{1}{N_t} \sum_{j=1}^{N_t} x_{meas,j})^2}. \quad (19)$$

NSEs are used to emphasize peak values in evaluating simulation fit, which can be a useful indicator to distinguish the modeling skills among different experiments for peak runoff. NSEs can range from -infinity to 1.0. An NSE of 1.0 corresponds to a perfect match between model and observed runoff, whereas an NSE less than 0 occurs when the model simulations are not better than solely the mean of the observations.

### 2.5.2 *Spatial similarity assessments for downscaled products*

Mutual information – without an upper bound – can be used to quantify the statistical information shared between two distributions (Cover & Thomas, 1991; Strehl & Ghosh, 2002), provides a sound indication of the shared information between two dataset. On top of that, the normalized mutual information (NMI) could be further derived as a proxy for spatial similarity, which is the normalization of the mutual information index to scale the results between 0 (no correlation) and 1 (perfect correlation). That is, the NMI close to zero indicates high dissimilarity between the two distributions, whereas the NMI close to one indicates high similarity.

Following Strehl and Ghosh (2002), we define the NMI between variable  $\mathbf{X}$  and  $\mathbf{Y}$  as follows:

$$NMI(\mathbf{X}, \mathbf{Y}) = \frac{I(\mathbf{X}; \mathbf{Y})}{\sqrt{H(\mathbf{X})H(\mathbf{Y})}}, \quad (20)$$

where  $I(\mathbf{X}; \mathbf{Y})$  denotes the mutual information shared between the two variables, and  $H(\mathbf{X})$  and  $H(\mathbf{Y})$  are the entropies of the two variables, respectively.  $I(\mathbf{X}; \mathbf{Y})$  can be fur-

ther written as:

$$I(\mathbf{X}; \mathbf{Y}) = H(\mathbf{X}) + H(\mathbf{Y}) - H(\mathbf{X}, \mathbf{Y}), \quad (21)$$

where  $H(\mathbf{X}, \mathbf{Y})$  denotes the joint entropy of two distributions.

### 3 Results

#### 3.1 Point-scale evaluations

Figure 3 shows the evaluation of air temperature at both  $0.25^\circ$  and  $0.01^\circ$  against five sources of ground-based measurements. Except for the evaluation against DHM air temperature, the GMU downscaled  $0.01^\circ$  air temperature generally outperforms the  $0.25^\circ$  one. The superiority of the  $0.01^\circ$  air temperature is mostly demonstrated in average bias and average RMSE improvements, but less so with respect to ubRMSE and R. For example, in the comparison against CEOP air temperature, the mean bias is improved by 32% from  $-4.98$  K ( $0.25^\circ$ ) to  $-3.38$  K ( $0.01^\circ$ ), and the mean RMSE is improved by 23% from  $5.44$  K ( $0.25^\circ$ ) to  $4.17$  K ( $0.01^\circ$ ). However, the mean ubRMSE is degraded slightly by 0.9% from  $1.91$  K ( $0.25^\circ$ ) to  $1.93$  K ( $0.01^\circ$ ), and the mean R ( $= 0.96$ ) is the same. Figure 3 also shows the evaluation of surface pressure at both  $0.25^\circ$  and  $0.01^\circ$  against ground-based CMA measurements. The downscaled  $0.01^\circ$  estimate yields a perfect weighted score of 4.00 (see Table 3), which means the  $0.01^\circ$  surface pressure is superior to the  $0.25^\circ$  estimate with respect to all goodness-of-fit statistics in both accuracy and precision measures. These two evaluations together signifies the benefits of detailed adjustment of the elevation difference as air temperature and pressure are very sensitive to the change of altitude especially across highly elevated regions.

Similarly, improvements are seen in the downscaled shortwave and longwave radiation estimates in the evaluation against ground-based measurements. Figure 4 shows the evaluation of incident shortwave radiation, and incident longwave radiation at both  $0.25^\circ$  and  $0.01^\circ$  against CEOP measurements. In general, the  $0.01^\circ$  downward longwave and shortwave radiation estimates are superior to those at  $0.25^\circ$  especially with respect to bias and RMSE. For example, in the comparison against CEOP downward shortwave radiation, the mean bias is improved by 30% from  $12.32$  W/m<sup>2</sup> ( $0.25^\circ$ ) to  $8.61$  W/m<sup>2</sup> ( $0.01^\circ$ ), and the mean RMSE is improved by 3% from  $63.02$  W/m<sup>2</sup> ( $0.25^\circ$ ) to  $61.21$  W/m<sup>2</sup> ( $0.01^\circ$ ). In the comparison against CEOP downward longwave radiation, the mean bias is improved by 15% from  $-36.87$  W/m<sup>2</sup> ( $0.25^\circ$ ) to  $-31.36$  W/m<sup>2</sup> ( $0.01^\circ$ ), and the mean

550 RMSE is improved by 6% from 43.91 W/m<sup>2</sup> (0.25°) to 41.23 W/m<sup>2</sup> (0.01°). In addition,  
551 the improvement in the downscaled 0.01° specific humidity (relative to 0.25°) is mostly  
552 demonstrated in the mean bias (see Figure 4). That is, the mean bias is improved by 74%  
553 from -0.0011 kg/kg (0.25°) to -0.0003 kg/kg (0.01°).

554 Figure 4 further shows the evaluation of wind speed at both 0.25° and 0.01° against  
555 three sources of ground-based measurements. On average, the range of R is generally higher  
556 (relative to other meteorological fields) possibly due to the uncertainty in wind speed  
557 measurements and estimates caused by random or turbulent disturbance, especially over  
558 the complex terrain. Generally, the 0.01° wind speed estimate slightly degrades the 0.25°  
559 result. That is, the 0.01° wind speed estimate only outperforms the 0.25° estimate in  
560 the evaluation against CMA ground-based measurements; the 0.25° wind speed estimate  
561 demonstrates better skills in the evaluation against WU or CEOP measurements. The  
562 degradations seen in the 0.01° wind speed estimates may be partly caused by the assump-  
563 tions of the logarithmic wind profile used in the downscaling procedure (Rouf et al., 2019).

564 Table 3 summarizes the weighted scores obtained from 0.01° and 0.25° near-surface  
565 atmospheric forcings estimates, respectively. It is encouraging to see that the spatial down-  
566 scaling scheme improves the skill in meteorological forcing estimates (exclude precipi-  
567 tation) by 9% relative to coarse-resolution results. The spatial downscaling enabled re-  
568 sults outperform the coarse-resolution meteorological forcing estimates (exclude precipi-  
569 tation) in nine out of 12 sets of evaluation sources in terms of estimates accuracy and  
570 precision.

571 Figure 5 shows the evaluation of the precipitation field used in all experiments, in-  
572 cluding HMA-Coarse, HMA-GMU, HMA-CHIRPS, and HMA-corr-CHIRPS. It is not  
573 surprising to see that the bias-corrected CHIRPS precipitation field used in the HMA-  
574 corr-CHIRPS experiment yields a much higher positive bias compared to the rest of the  
575 precipitation estimates. This phenomenon is especially notable in the evaluation against  
576 CMA ground-based measurements in that the difference between the mean bias of pre-  
577 cipitation estimates obtained from the HMA-corr-CHIRPS experiment at 0.01° is sta-  
578 tistically different (at a significance level of 5%) from those obtained from all other three  
579 sets of experiments. As a result, the bias-corrected CHIRPS yields the lowest skill in pre-  
580 cipitation estimate according to Table 4. Beck et al. (2020) argued that the disagreement  
581 between bias-corrected CHIRPS and gauge observations might be attributed to either

582 1) gauge under-catch issues or 2) scale mismatch between the model estimates and the  
583 gauge observations, which is reasonable. In general, the range of R is high and the mean  
584 value of R is low across all four sets of precipitation fields. The precipitation estimate  
585 skill varies more significantly over high elevated regions, whereas in flatter regions, four  
586 sets of precipitation fields demonstrate comparable skills. Comparatively, HMA-Coarse  
587 achieves the highest skills over relatively flat regions (i.e., with a mean elevation of less  
588 than 250 m). That is, the aggregated precipitation field used in the HMA-Coarse exper-  
589 iment at a spatial resolution of  $0.25^\circ$  yields a perfect score of 4.0 in the evaluation against  
590 precipitation measurements obtained from one WU station at an elevation of 250.0m.  
591 In relatively high elevations, the downscaled GMU precipitation at  $0.01^\circ$  yields the high-  
592 est skill among all, followed by the CHIRPS precipitation at  $0.01^\circ$ .

593 In addition, we aggregate all sources of ground-based measurements according to  
594 measurement variables as a function of seasons from 2003 to 2016. As a result, the to-  
595 tal number of ground-based stations are 14 for specific humidity, 70 for air temperature,  
596 30 for surface pressure, 51 for precipitation, 16 for incident shortwave radiation, 7 for  
597 incident longwave radiation, and 62 for wind speed. The average bias is computed in the  
598 evaluation against all stations according to measurement variables as a function of sea-  
599 sons (see Figure 6). In general, the worst performance in bias is seen during June-July-  
600 August (JJA) period in specific humidity, precipitation, and wind speed for both 25-km  
601 ad GMU 1-km estimates. This is likely due to the occurrence of intense precipitation re-  
602 sulting from the seasonally reversing wind system across the summer monsoon. It is en-  
603 couraging to see that the majority of the noticeable improvements in the computed av-  
604 erage bias are seen in terms of GMU 1-km derived specific humidity, air temperature,  
605 surface pressure, and incident longwave radiation relative to 25-km estimates across all  
606 seasons. The performance of the 1-km incident shortwave radiation is mixed while we  
607 see slight degradations during September-October-November (SON) and marginal im-  
608 provements during the rest of the seasons relative to 25-km estimates. This mix behav-  
609 ior may be partly caused by the increase in the modeling uncertainty resulting from the  
610 introduction of multiple correction factors in the shortwave radiation downscaling pro-  
611 cedure. Further, in terms of precipitation and wind speed, comparable, and sometimes  
612 degraded performance are seen in the 1-km estimates most likely due to 1) erroneous ground-  
613 based measurements and/or 2) too simplistic assumptions used in the downscaling scheme  
614 as we discussed for Figures 4 and 5 above. Further, we attempt to find out the relation-

615 ship between spatial downscaling accuracy and a variety of factors, such as slope, ele-  
616 vation, and land cover type. However, such a relationship is not very clear (not shown).  
617 We postulate that 1) limited sample size (i.e., with the maximum number of aggregated  
618 stations of 70), and 2) strong spatial heterogeneity are the two main reasons for not be-  
619 ing able to see a rather clear relationship between spatial downscaling accuracy and fac-  
620 tors mentioned above.

621 Figure 7 shows the evaluation of net shortwave radiation, and net longwave radi-  
622 ation generated by all experiments, including HMA-Coarse, HMA-GMU, HMA-CHIRPS,  
623 and HMA-corr-CHIRPS in the comparison against CEOP measurements. It is encour-  
624 aging to see that all  $0.01^\circ$  net shortwave radiation estimates (obtained from HMA-GMU  
625 or HMA-CHIRPS or HMA-corr-CHIRPS) generally outperform the  $0.25^\circ$  estimate ob-  
626 tained from HMA-Coarse, especially in terms of the mean bias. For example, the mean  
627 bias is improved from  $38.11 \text{ W/m}^2$  (HMA-Coarse) to  $-1.21 \text{ W/m}^2$  (HMA-GMU). Sim-  
628 ilarly, it is encouraging to see all  $0.01^\circ$  net longwave radiation estimates outperform the  
629  $0.25^\circ$  estimate. The superiority of the  $0.01^\circ$  net longwave radiation is mostly demonstrated  
630 in average bias and average RMSE improvements, but less so with respect to ubRMSE  
631 and R. For example, the mean bias is improved by 39% from  $-34.80 \text{ W/m}^2$  (HMA-Coarse)  
632 to  $-21.38 \text{ W/m}^2$  (HMA-corr-CHIRPS), and the mean RMSE is improved by 13% from  
633  $47.33 \text{ W/m}^2$  (HMA-Coarse) to  $41.27 \text{ W/m}^2$  (HMA-corr-CHIRPS). However, both of the  
634 mean R and mean ubRMSE are comparable between HMA-Coarse and HMA-corr-CHIRPS.  
635 In general, HMA-CHIRPS yields the best performance in net shortwave and net long-  
636 wave radiation estimates, followed by HMA-GMU.

637 Figure 7 further shows the evaluation of snow depth generated by all experiments  
638 in the comparison against three sources of ground-based stations. Due to the positive  
639 bias seen within the bias-corrected CHIRPS precipitation, it is not surprising to see that  
640 HMA-corr-CHIRPS yields the worst performance due to the relatively high estimate of  
641 the snow depth relative to other experiments. For example, the mean bias is degraded  
642 from  $-0.05 \text{ m}$  in HMA-GMU (or  $-0.06 \text{ m}$  in HMA-CHIRPS) to  $0.32 \text{ m}$  in HMA-corr-CHIRPS.  
643 The mean RMSE is degraded from  $0.33 \text{ m}$  in HMA-GMU (or  $0.29 \text{ m}$  in HMA-CHIRPS)  
644 to  $0.56 \text{ m}$  in HMA-corr-CHIRPS. Further, the ubRMSE is degraded by 54% from  $0.24$   
645  $\text{m}$  (HMA-GMU) to  $0.37 \text{ m}$  (HMA-corr-CHIRPS). The ubRMSE is degraded by 60% from  
646  $0.23 \text{ m}$  (HMA-CHIRPS) to  $0.37 \text{ m}$  (HMA-corr-CHIRPS). Again, it is difficult to discern  
647 whether such bad performance seen in HMA-corr-CHIRPS is due to the erroneous model

648 estimate itself or under-representative and erroneous ground-based measurements or both.  
649 Assuming the relatively simple elevation threshold applied as discussed in Section 2.5  
650 could effectively address the underrepresentativeness issue, Part II of the assimilation  
651 study is able to alleviate part of the positive bias introduced in the HMA-corr-CHIRPS  
652 snow depth estimates. Based on the sum of the weighted scores presented in this Part  
653 I study, HMA-GMU yields the highest skill in snow depth estimates, followed by HMA-  
654 CHIRPS.

655 Figure 7 also shows the evaluation of skin temperature generated by all experiments  
656 in the comparison against two sources of ground-based stations. It is encouraging to see  
657 that all experiments yield relatively good agreement with the ground-based measurements  
658 in terms of R, with all Rs being greater than 0.9. All  $0.01^\circ$  estimates tend to correct the  
659 positive bias in the  $0.25^\circ$  skin temperature likely arising from the positive bias in the net  
660 shortwave radiation. That is, in the evaluation against CMA skin temperature measure-  
661 ments, the bias decreases from 1.16 K (HMA-Coarse) to 0.03 K (HMA-GMU), and to  
662 0.0009 K (HMA-CHIRPS), and to -0.17 K (HMA-corr-CHIRPS). In the evaluation against  
663 CEOP skin temperature measurements, the bias drops from 1.13 K (HMA-Coarse) to  
664 -1.04 K (HMA-GMU), and to -1.06 K (HMA-CHIRPS), and to -1.47 K (HMA-corr-CHIRPS).  
665 HMA-corr-CHIRPS seems to over-correct the  $0.25^\circ$  skin temperature possibly due to the  
666 over-corrected precipitation, which yields the worst performance among all experiments.  
667 Although HMA-Coarse yields relatively high magnitude of the mean bias relative to both  
668 HMA-GMU and HMA-CHIRPS, HMA-Coarse yields the best performance among all  
669 experiments according to Table 4 mainly due to its superiority in the relatively low val-  
670 ues of interquartile range (IQR; see Appendix A) achieved across all goodness-of-fit statis-  
671 tics.

672 Figure 8 shows the evaluation of soil temperature at different depths generated by  
673 all experiments in the comparison against five sets of ground-based stations. Due to the  
674 difficulty in in-situ soil temperature measurements as well as discrepancies in the mea-  
675 surement and model estimate depth in soil, it is not surprising to see that different ex-  
676 periments are superior with respect to different set of ground-based measurements. In  
677 the evaluation against CTP-SMTMN soil temperature measurements, HMA-Coarse out-  
678 performs all  $0.01^\circ$  estimates with respect to all goodness-of-fit statistics. Although there  
679 are 63 CTP-SMTMN stations used for evaluation, only 12 model grid cells at a spatial  
680 resolution of  $0.25^\circ$  are used due to the close proximity of the ground-based stations. That

681 is, because multiple stations are colocated within one  $0.25^\circ$  grid cell, we evaluate the same  
682 set of  $0.25^\circ$  model estimates against different in-situ measurements colocated within the  
683 model grid cell. Under such circumstances, HMA-Coarse still yields the best performance  
684 partly due to relatively low spatial variability in soil temperature measurements. For ex-  
685 ample, for three  $0.25^\circ$  model grid cells, all with more than five colocated ground-based  
686 stations, the temporally-averaged standard deviations of the ground-based measurements  
687 are 1.28 K, 0.97 K, and 0.96 K. Further, in the evaluation against CEOP 3-cm soil tem-  
688 perature measurements, HMA-corr-CHIRPS yields the best skill, whereas HMA-Coarse  
689 yields the worst performance mainly due to the relatively high positive bias. That is, the  
690 bias of the 3-cm soil temperature estimates in HMA-Coarse, HMA-GMU, HMA-CHIRPS,  
691 and HMA-corr-CHIRPS, are 3.05 K, 0.35 K, 0.36 K, and -0.24 K. In the evaluation against  
692 CEOP 4-cm soil temperature measurements, HMA-Coarse yields the best performance.  
693 HMA-Coarse is superior to all  $0.01^\circ$  estimates mainly in terms of significantly reduced  
694 bias and reduced RMSE. The degradation in the  $0.01^\circ$  estimates relative to  $0.25^\circ$  esti-  
695 mate might be caused by 1) errors in in-situ soil temperature measurements, or 2) over-  
696 correction in the downscaled incident shortwave radiation and net shortwave radiation  
697 although the point-scale evaluation shows better performance in  $0.01^\circ$  estimates (see Fig-  
698 ure 7). It is also possible that the relatively simple linear interpolation method used to  
699 apply with the modeled soil temperature estimates to match with the measurement depth  
700 may not be appropriate in this case because the temperature gradient may not be lin-  
701 ear. Further, in the evaluation against SETORS 4-cm soil temperature measurements,  
702 HMA-GMU yields a close-to-perfect score with improved performance seen across all goodness-  
703 of-fit statistics in terms of the accuracy measure. Compared with the estimates obtained  
704 from HMA-Coarse, HMA-GMU improves the bias by 54% from -9.21 K to -4.21 K. The  
705 RMSE is improved by 51% from 9.44 K to 4.61 K, the ubRMSE is improved by 9% from  
706 2.07 K to 1.88 K, and the R is improved by 6% from 0.94 to 0.95. Finally, the evalua-  
707 tion against CEOP 5-cm soil temperature measurements shows that HMA-CHIRPS is  
708 slightly superior to other experiments. HMA-CHIRPS' better performance is largely at-  
709 tributed to its relatively low ranges of IQRs achieved across all goodness-of-fit statistics.  
710 To summarize, HMA-CHIRPS yields the best performance in soil temperature estimates,  
711 followed by HMA-GMU.

712 Table 4 summarizes the weighted score achieved by each of the experiment with  
713 respect to each set of the evaluation source. It is found that HMA-GMU yields the high-



714 est skill in precipitation and model output states, followed by HMA-CHIRPS. Compared  
715 with HMA-Coarse, HMA-GMU improves the skill by 7%. However, HMA-corr-CHIRPS  
716 yields the lowest skill, which degrades HMA-Coarse skill by 10%. These analyses, on one  
717 hand, further corroborate the importance of employing the hyper-resolution modeling  
718 versus coarse-resolution modeling strategy across the complex terrain; on the other hand,  
719 emphasize the importance of the accuracy of the hyper-resolution precipitation product  
720 used to drive model simulations.

### 721 3.2 Basin-scale evaluations

722 Figure 9 shows the total runoff time series obtained from all experiments for the  
723 five gauged basins in the evaluation against ground-based measurements. In general, all  
724 experiments yield relatively good agreement with the ground-based measurements in terms  
725 of both low flow and high flow seasons, except for Basin #4. In Basin #4, HMA-Coarse  
726 yields the lowest R of 0.07, and HMA-corr-CHIRPS yields the highest R of 0.66. In ad-  
727 dition, all experiments yield positive NSEs except for Basin #3 and Basin #4. HMA-  
728 corr-CHIRPS is the only experiment with a positive NSE of 0.32 for Basin #3. In Basin  
729 #4, although HMA-CHIRPS achieves the highest NSE of -0.62 among all experiments,  
730 a negative NSE is still not desirable. There can be several reasons contributing to the  
731 relative poor performance of the modeled runoff simulations in Basin #3 and Basin #4.  
732 For example, in addition to the shortcoming of neglecting water travel time (residence  
733 time) within the basin, this study does not model human-related impacts (e.g., water  
734 engineering works) and agriculture related activities (e.g., irrigation) in the total runoff  
735 simulation. Further, the discharge regime is strongly dominated by snow and glacier melt  
736 within these two basins during summer time (see Section 2.3.5), and therefore, it is pos-  
737 sible that modeled snow melt discharge enter the stream network too soon due to too  
738 early onset of snow melt. Therefore, in Part II of the study, we will determine if a sim-  
739 ple snow cover assimilation scheme can help with modifying the snow melt timing and  
740 further improving the runoff modeling performance in snow and glacier dominated basins.

741 Figure 10 shows all statistics computed for evaluating the performance of HMA-  
742 Coarse, HMA-GMU, HMA-CHIRPS, and HMA-corr-CHIRPS in comparisons against  
743 ground-based measurements. In terms of the NSE, model runs for Basin #2, Basin #3,  
744 and Basin #4 yield relatively low values (all below 0.6) as compared with Basin #1 and  
745 Basin #5. According to Table 2, Basins #2 through #4 have mean elevations of greater



746 than 3000 m, whereas Basin #1 has a mean elevation of 1638 m and Basin #5 has a mean  
747 elevation of 681 m. Therefore, it is likely because precipitation estimates used to force  
748 models vary more significantly over high elevated regions relative to flatter regions, which  
749 is also seen in the point-scale precipitation evaluation. In addition, in flatter regions (i.e.,  
750 Basin #5), all experiments yield relatively high Rs, which are greater than 0.96. Com-  
751 paratively, HMA-Coarse yields the best performance across all evaluated statistics, and  
752 HMA-corr-CHIRPS yields the worst performance. In relatively high elevation regions  
753 (i.e., Basin #1 through Basin #4),  $0.01^\circ$  runoff estimates obtained from HMA-GMU,  
754 HMA-CHIRPS, or HMA-corr-CHIRPS are generally superior to  $0.25^\circ$  runoff estimates  
755 obtained from HMA-Coarse. In Basin #1, HMA-corr-CHIRPS yields the lowest bias (=  $2.4 \text{ m}^3/\text{s}$ ),  
756 lowest RMSE (=  $14.5 \text{ m}^3/\text{s}$ ), and highest NSE (= 0.85), whereas HMA-Coarse  
757 yields the worst performance across all statistics. In Basin #2, HMA-corr-CHIRPS seems  
758 to over-correct the total runoff especially in years 2007 through 2012. As a result, HMA-  
759 GMU yields the best performance in total runoff in terms of the lowest RMSE (=  $140.2$   
760  $\text{m}^3/\text{s}$ ), lowest ubRMSE (=  $121.5 \text{ m}^3/\text{s}$ ), and highest NSE (= 0.53), whereas HMA-Coarse  
761 yields the worst performance across all statistics. In Basin #3, HMA-corr-CHIRPS sig-  
762 nificantly outperforms other experiments, with a much lower bias (=  $-12.8 \text{ m}^3/\text{s}$ ), lower  
763 RMSE (=  $352.2 \text{ m}^3/\text{s}$ ), higher R (= 0.84), and higher NSE (= 0.32). The good perfor-  
764 mance in HMA-corr-CHIRPS derived runoff might be attributed to the relatively high  
765 correction factors as applied to the region (see Figure 1b). In Basin #4, HMA-CHIRPS  
766 yields the best performance in terms of the lowest absolute value of bias (=  $-81.75 \text{ m}^3/\text{s}$ ),  
767 lowest RMSE (=  $194.9 \text{ m}^3/\text{s}$ ), lowest ubRMSE (=  $177.7 \text{ m}^3/\text{s}$ ), and less negative value  
768 of NSE (= -0.62). The over-correction issue in HMA-corr-CHIRPS runoff can also be  
769 seen from 2005 to 2012.

770 Since the bias-corrected CHIRPS precipitation field is obtained through calibrat-  
771 ing against ground-based runoff measurements, it is probable that ground-based runoff  
772 measurements used in the evaluation here are also used to calibrate the bias-corrected  
773 precipitation product. This argument might be also used to explain why HMA-corr-CHIRPS  
774 can significantly outperform all other experiments in Basin #1 and Basin #3 especially  
775 in bias (i.e., systematic errors). We do not see HMA-corr-CHIRPS ranks as the best prod-  
776 uct in terms of ubRMSE (i.e., random errors) because Beck et al. (2020) and this study  
777 share different calibration/evaluation metrics. Further, the over-correction issue in the  
778 bias-corrected CHIRPS field should not be neglected in Basin #2 and Basin #4. In ad-

779 dition to the errors in the ground-based runoff measurements, the over-correction issue  
780 seen in HMA-corr-CHIRPS may be attributed to the fixed long-term correction factors  
781 applied without considering the inter-annual variability. Therefore, in Part II of the study,  
782 we will determine if a snow cover assimilation scheme can help HMA-corr-CHIRPS to  
783 mitigate much of the positive bias possibly caused by overly-corrected precipitation.

### 784 3.3 Domain-scale evaluations

#### 785 3.3.1 Evaluation of meteorological forcings

786 Figure 11 shows the multi-year averaged daily air temperature, and incident short-  
787 wave radiation before and after being downscaled as two sets of examples from 2003 to  
788 2016. In general,  $0.01^\circ$  downscaled forcings preserve the spatially and temporally aver-  
789 aged values obtained from original  $0.25^\circ$  (or  $0.05^\circ$ ) estimates relatively well (not all shown  
790 in Figure 11). The relative differences between the multi-year mean of the meteorolog-  
791 ical forcings before and after being downscaled are  $-0.02\%$  in air temperature,  $6.76\%$  in  
792 specific humidity,  $0.13\%$  in surface pressure,  $-0.43\%$  in wind speed,  $-0.74\%$  in incident  
793 shortwave radiation,  $-0.24\%$  in incident longwave radiation, and  $-0.11\%$  in total precip-  
794 itation. Based on Table 6, the computed NMIs between before and after downscaled me-  
795 teorological forcing field range from 0.82 to 0.96, which indicate relatively high similar-  
796 ities shared between the two set of forcing fields. The lowest NMI of 0.82 is obtained from  
797 the incident shortwave radiation field evaluation, which is likely due to the introduction  
798 of multiple correction factors (i.e., clearness index, local illumination, cast-shadowing,  
799 sky obstruction, and topographic configuration; Rouf et al. (2019)) in the shortwave ra-  
800 diation downscaling procedure.

801 Figure 12 shows the spatial distribution of the annual mean total precipitation ob-  
802 tained from HMA-Coarse, HMA-GMU, HMA-CHIRPS, and HMA-corr-CHIRPS. The  
803 spatially-averaged annual mean precipitation difference between HMA-Coarse and HMA-  
804 CHIRPS is  $-5.89$  mm/yr, which is largely attributed to the spatial aggregation proce-  
805 dure in the precipitation field used in the  $0.25^\circ$  estimate. Although the spatially-averaged  
806 annual mean precipitation difference between HMA-GMU and HMA-CHIRPS is neg-  
807 ligible ( $= -0.74$  mm/yr), precipitation magnitudes still vary grid-by-grid between these  
808 two experiments. HMA-corr-CHIRPS yields the highest precipitation magnitude in terms  
809 of the spatially-averaged mean. For example, compared with the precipitation field used

810 in HMA-CHIRPS, the bias-corrected CHIRPS increases the spatially-averaged annual  
811 mean precipitation by 23%, with the majority of the notable increases in the mountain-  
812 ous regions. Despite of the discrepancies in magnitudes among all experiments, it is en-  
813 couraging to see that all four total precipitation field reveal similar patterns across HMA.  
814 For example, precipitation intensity exhibits a strong north-south gradient due to oro-  
815 graphic effects. Specifically, along the south slope of the Himalayas, annual precipita-  
816 tion is relatively high due to the prevalence of the Indian monsoon. While the height and  
817 extent of the Himalayas impose a significant barrier to atmospheric circulation patterns  
818 and the northward push of water vapor is greatly limited by the Himalayan mountain  
819 chain, regions north of the orographic barriers (e.g., Tibetan Plateau) receive little pre-  
820 cipitation throughout the year (Bookhagen & Burbank, 2010). Within the Tibetan Plateau  
821 region, there exists a gradual decrease of the annual precipitation from Southeastern Ti-  
822 betan Plateau to Northwestern Tibetan Plateau. The relatively dry Northwestern Ti-  
823 betan Plateau is dominated by the westerlies for almost the entire year, while the South-  
824 eastern Tibetan Plateau precipitation is more influenced by the summer monsoons as  
825 the center moves more toward the southeast (You et al., 2015; Zhang et al., 2019). Over-  
826 all, generally wetter regions in Bangladesh, eastern India, and the central and eastern  
827 Ganges plains are observed in all three products assessed in this study, which is consis-  
828 tent with the findings from Bookhagen and Burbank (2010) and Yoon et al. (2019) us-  
829 ing other different precipitation products.

### 830 *3.3.2 Evaluation of model estimates against satellite-based products*

831 Figure 13 shows the goodness-of-fit statistics computed for HMA-Coarse, HMA-  
832 GMU, HMA-CHIRPS, and HMA-corr-CHIRPS in the evaluation against the CGLS SWE  
833 product from 2006 to 2016 across part of HMA above latitude  $35^\circ$ . It is expected that  
834 the worst agreement (i.e., relatively high magnitudes of bias, RMSE, ubRMSE, and low  
835 R) of all four experiments are colocated with relatively high elevation regions inside the  
836 Tibetan Plateau relative to the Taklamakan dessert due to the difference in different cli-  
837 mate regions. Although HMA-corr-CHIRPS yields the best performance in terms of the  
838 spatially-averaged bias ( $= -1.23$  mm) compared with the rest of the experiments due to  
839 the higher total precipitation magnitude, it still yields the worst performance in terms  
840 of RMSE ( $= 9.87$  mm) and ubRMSE ( $= 9.41$  mm). Among HMA-Coarse, HMA-GMU,  
841 and HMA-CHIRPS, the two  $0.01^\circ$  SWE estimates obtained from HMA-GMU, and HMA-

842 CHIRPS generally outperform the  $0.25^\circ$  SWE estimates obtained from HMA-Coarse across  
843 all goodness-of-fit statistics. In terms of the spatially-averaged bias, both HMA-GMU  
844 and HMA-CHIRPS yield slight improvements relative to HMA-Coarse. The spatially-  
845 averaged bias is improved by 13% from -2.29 mm (HMA-Coarse) to -1.99 mm (HMA-  
846 GMU), and it is improved by 12% from -2.29 mm (HMA-Coarse) to -2.02 mm (HMA-  
847 CHIRPS). Similarly, the spatially-averaged R derived by HMA-GMU and HMA-CHIRPS  
848 are improved slightly relative to HMA-Coarse. In addition, both HMA-GMU and HMA-  
849 CHIRPS yield slight improvements in RMSE and ubRMSE relative to HMA-Coarse. Over-  
850 all, HMA-GMU yields the best performance in SWE estimates in the evaluation against  
851 the CGLS SWE product, followed by HMA-CHIRPS. This finding also corroborates the  
852 results in the ground-based snow depth evaluation that HMA-GMU achieves the high-  
853 est score in the snow estimates.

854 Figure 14 shows the goodness-of-fit statistics computed for HMA-Coarse, HMA-  
855 GMU, HMA-CHIRPS, and HMA-corr-CHIRPS in the evaluation against the MODIS  
856 skin temperature product from 2003 to 2016 across HMA. The worst agreement (i.e., rel-  
857 atively high magnitudes of bias, RMSE, ubRMSE, and low R) of all four experiments  
858 are along the Himalayas. The spatially-averaged bias is negative for all four experiments,  
859 however, with noticeable positive biases present in Pakistan and Northern India along  
860 Ganges and Indus rivers, covered with cropland. As discussed in Xue et al. (2019), such  
861 positive biases are possibly attributed to the lack of irrigation related activities in the  
862 Noah-MP model, and therefore yield an overestimation of the surface temperature in this  
863 region across all experiments. Comparatively, HMA-Coarse yields the most agreement  
864 (i.e., relatively low magnitudes of bias, RMSE, and ubRMSE) with the MODIS skin tem-  
865 perature product among all experiments, whereas HMA-corr-CHIRPS yields the worst  
866 agreement, which is consistent with the finding obtained from ground-based skin tem-  
867 perature evaluation. Compared with HMA-Coarse, HMA-GMU and HMA-CHIRPS de-  
868 crease the spatially and temporally averaged skin temperature by 1.10 K (from 285.30  
869 K to 284.20 K) and 1.13 K (from 285.30 K to 284.17 K), respectively (not shown). This  
870 reduction in the skin temperature magnitude is mainly caused by the reduction in the  
871 incident shortwave radiation before and after being downscaled (see Figure 11). Since  
872 HMA-Coarse already yields a negative bias in the skin temperature in the evaluation,  
873 the reduction in the HMA-GMU or HMA-CHIRPS derived skin temperature magnitude  
874 further exacerbates the negative bias, which leads to significant degradations in terms

875 of both bias and RMSE. HMA-corr-CHIRPS skin temperature yields more negative bias  
876 than HMA-GMU and HMA-CHIRPS because more precipitation is associated with more  
877 chances of evapotranspiration, which will lead to further reduction in the skin temper-  
878 ature estimates. In Part II of the study, we will determine if a freeze/thaw assimilation  
879 scheme can help improving the performance of the  $0.01^\circ$  skin temperature estimates.

#### 880 4 Conclusions and discussions

881 This first article of a two-part series focuses on demonstrating the skill of a hyper-  
882 resolution, offline terrestrial modeling system used for High Mountain Asia (HMA) re-  
883 gion. To this end, this study systematically evaluates four sets of model simulations ob-  
884 tained from different spatial resolutions including  $0.01^\circ$  ( $\sim 1$ -km) and  $0.25^\circ$  ( $\sim 25$ -km)  
885 at point-scale, basin-scale, and domain-scale. The advantages of employing a hyper-resolution  
886 modeling unit (versus the coarse-resolution modeling unit) within the Noah-MP model  
887 are demonstrated in this study, especially in terms of its ability in reducing systematic  
888 errors in model estimates. That is, over relatively complex terrain, the spatial downscal-  
889 ing scheme along with hyper-resolution modeling demonstrates superiority in estimat-  
890 ing air temperature, surface pressure, incident shortwave radiation, incident longwave  
891 radiation, specific humidity, precipitation, surface net shortwave radiation, surface net  
892 longwave radiation, snow depth, and total runoff based on point-scale and basin-scale  
893 evaluations. In terms of wind speed, skin temperature, and near-surface soil tempera-  
894 ture, mixed performance – sometimes improvements and sometimes degradations – are  
895 seen in  $0.01^\circ$  estimates relative to  $0.25^\circ$  estimates. The exact reason of the mixed per-  
896 formance seen in  $0.01^\circ$  estimates remains unclear, but may be partly attributed to mea-  
897 surement errors arising from scale mismatch or measurement height discrepancies.

898 In the domain-scale evaluations against satellite-based products, HMA-GMU yields  
899 the largest agreement with the CGLS SWE product, and HMA-Coarse yields the largest  
900 agreement with the MODIS skin temperature product. We are aware that skill metrics  
901 computed during these comparisons are impacted by errors in the reference products.  
902 For example, the CGLS SWE product may yield higher uncertainty in estimating rel-  
903 atively deep snow especially over the forested regions. The accuracy of the MODIS skin  
904 temperature product is largely impacted by atmospheric attenuation effect, surface emis-  
905 sivity variability, as well as the procedure to derive the daily-averaged value. In this re-  
906 gard, systematic errors metrics such as bias and RMSE, may be secondary or tertiary

907 as compared with the random errors measures such as ubRMSE. In Part II of this study,  
908 we will present the effects of the joint assimilation of satellite-based snow cover and freeze/thaw  
909 observations into the system. We will present to what extent the assimilation procedure  
910 will improve or degrade the performance of the  $0.01^\circ$  estimate without assimilation, es-  
911 pecially for the random error measure metrics, such as ubRMSE. In addition, Part II will  
912 present that the over-correction issues seen in HMA-corr-CHIRPS could be reduced by  
913 the assimilation procedure.

914 Among all meteorological forcings used to drive land surface model simulations,  
915 precipitation is undoubtedly one of the most important fields. Through evaluating four  
916 sets of model simulations forced by different precipitation products, it is seen that the  
917  $0.01^\circ$  estimate forced by an inaccurate precipitation representation would lead to mod-  
918 est degradations in model estimates relative to the  $0.25^\circ$  estimate. Among all  $0.01^\circ$  es-  
919 timates, in general, HMA-GMU and HMA-CHIRPS yield relatively high skills in model  
920 estimates. Key conclusions drawn from this study are summarized below:

921 1) In the evaluation against ground-based measurements of air temperature, sur-  
922 face pressure, wind speed, incident shortwave radiation, incident longwave radiation, and  
923 specific humidity, it is found that the hyper-resolution modeling improves the skill in me-  
924 teorological forcing estimates (exclude precipitation) by 9% relative to coarse-resolution  
925 estimates using the sum of the weighted scores as the criteria (see Table 3). The hyper-  
926 resolution modeling outperforms the coarse-resolution meteorological forcing estimates  
927 (exclude precipitation) in 9 out of 12 sets of evaluation sources in terms of estimates ac-  
928 curacy and precision. In terms of precipitation, the downscaled GMU precipitation yields  
929 the highest skill across relatively high elevated regions, which improves the skill by 3%  
930 relative to the  $0.25^\circ$  aggregated precipitation across the complex terrain.

931 2) In the evaluation against ground-based net shortwave radiation measurements,  
932 all  $0.01^\circ$  estimates generally outperform the  $0.25^\circ$  estimate obtained from HMA-Coarse,  
933 especially in terms of bias and RMSE. Compared with HMA-Coarse performance in net  
934 radiation estimates, HMA-CHIRPS improves the skill by 10%.

935 3) In the evaluation against ground-based snow depth measurements, HMA-GMU  
936 yields the highest skill in snow depth estimates, followed by HMA-CHIRPS. Compared  
937 with HMA-Coarse performance in snow depth estimates, HMA-GMU improves the skill  
938 significantly by 39%.

939 4) In the evaluation against ground-based skin temperature measurements, although  
940 HMA-Coarse yields relatively high magnitude of the mean bias relative to both HMA-  
941 GMU and HMA-CHIRPS, HMA-Coarse yields the best performance among all exper-  
942 iments mainly due to its superiority in the relatively low ranges of IQRs achieved across  
943 all goodness-of-fit statistics. Overall, HMA-CHIRPS degrades HMA-Coarse skill in skin  
944 temperature estimates slightly by 6%.

945 5) In the evaluation against ground-based near-surface soil temperature measure-  
946 ments, different experiments demonstrate their superiority with respect to different set  
947 of ground-based measurements. In general, compared with HMA-Coarse performance  
948 in soil temperature estimates, HMA-CHIRPS improves the skill slightly by 6%.

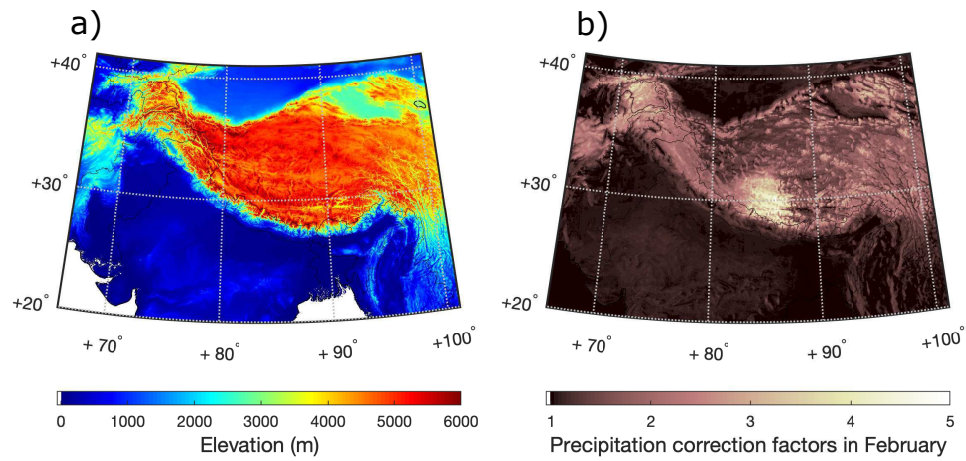
949 6) In the evaluation against ground-based total runoff measurements obtained from  
950 five gauged basins, HMA-Coarse yields the best performance across all evaluated statis-  
951 tics in relatively flat regions. In relatively high elevated regions,  $0.01^\circ$  runoff estimates  
952 obtained from HMA-GMU, HMA-CHIRPS, and HMA-corr-CHIRPS are generally su-  
953 perior to  $0.25^\circ$  runoff estimates obtained from HMA-Coarse.

954 7)  $0.01^\circ$  downscaled forcings preserve the spatially and temporally averaged val-  
955 ues obtained from original  $0.25^\circ$  (or  $0.05^\circ$ ) estimates relatively well with relatively high  
956 spatial similarity.

957 8) In the evaluation against the CGLS SWE product, HMA-GMU yields the most  
958 agreement, followed by HMA-CHIRPS.

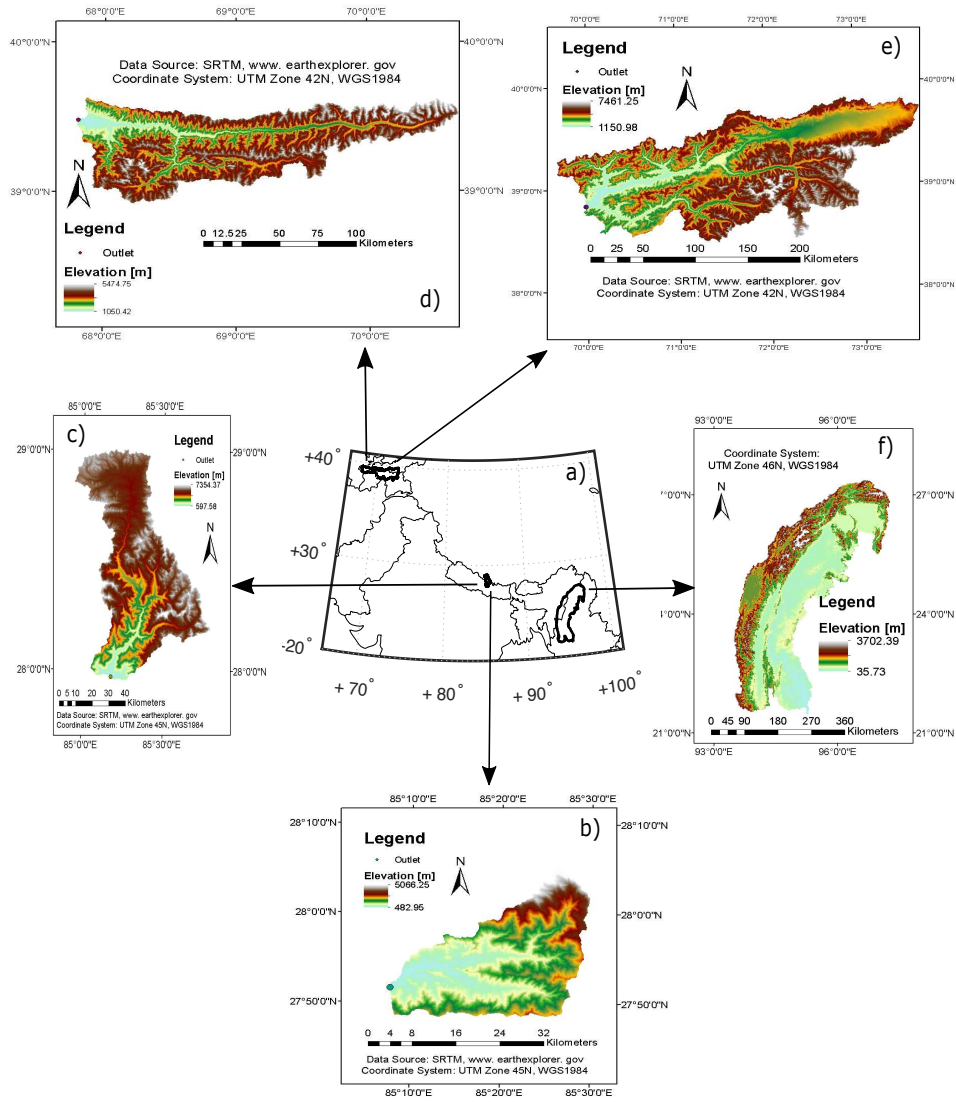
959 9) In the evaluation against the MODIS skin temperature product, HMA-Coarse  
960 yields the most agreement.



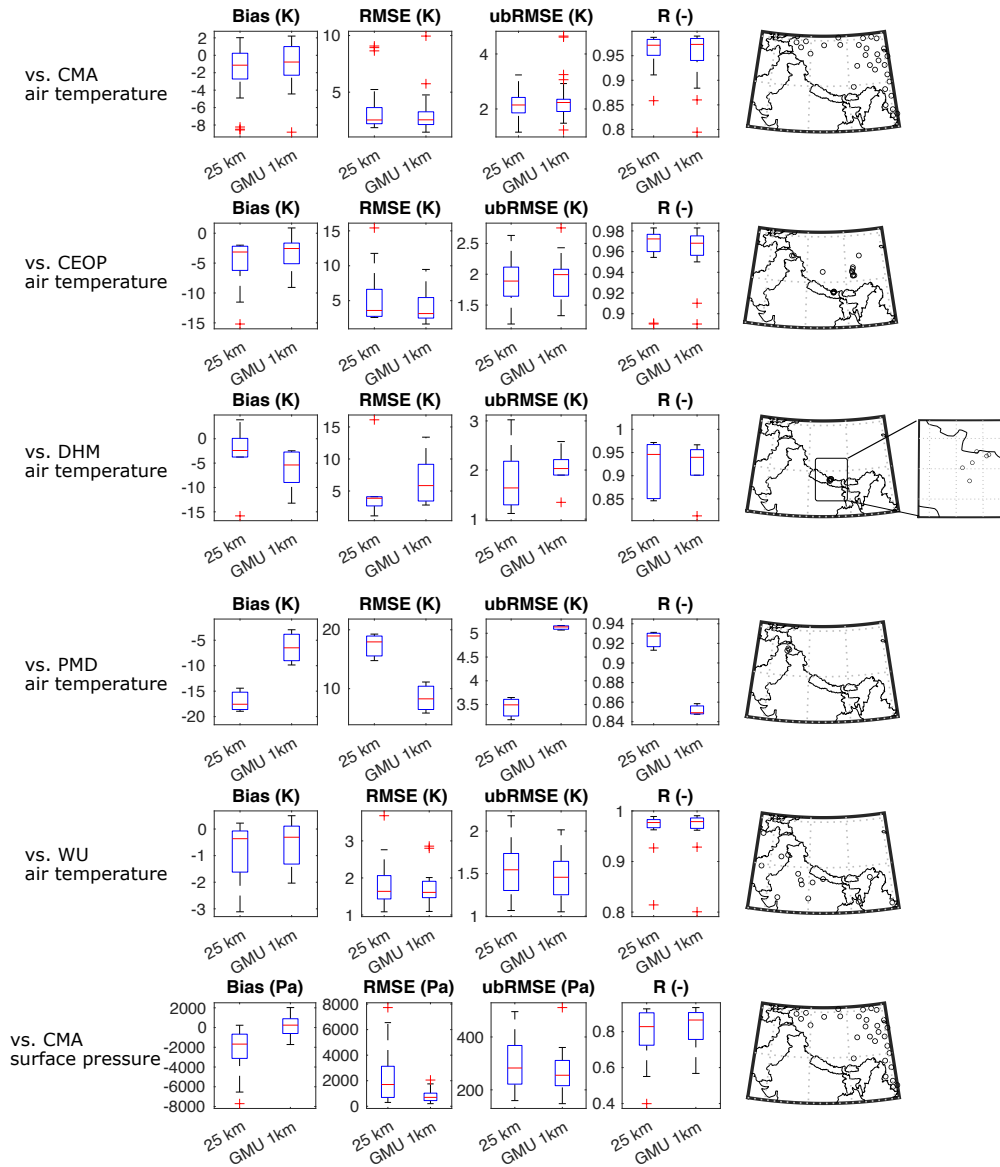


**Figure 1.** a) The SRTM derived HMA elevation map at a spatial resolution of  $0.01^\circ$ . b) An example of the spatially-distributed precipitation correction factors at a spatial resolution of  $0.05^\circ$  as applied in the bias-corrected CHIRPS product in February across HMA.

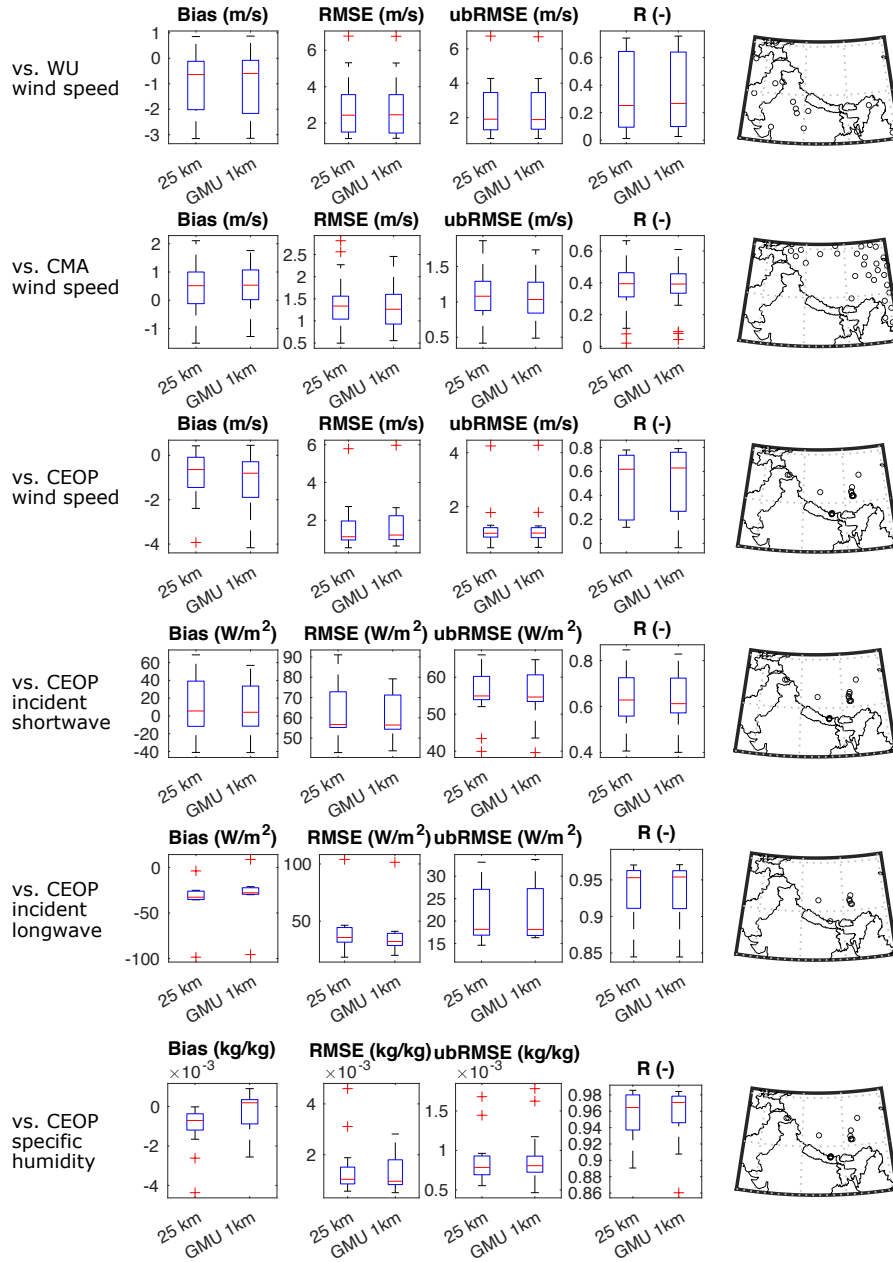




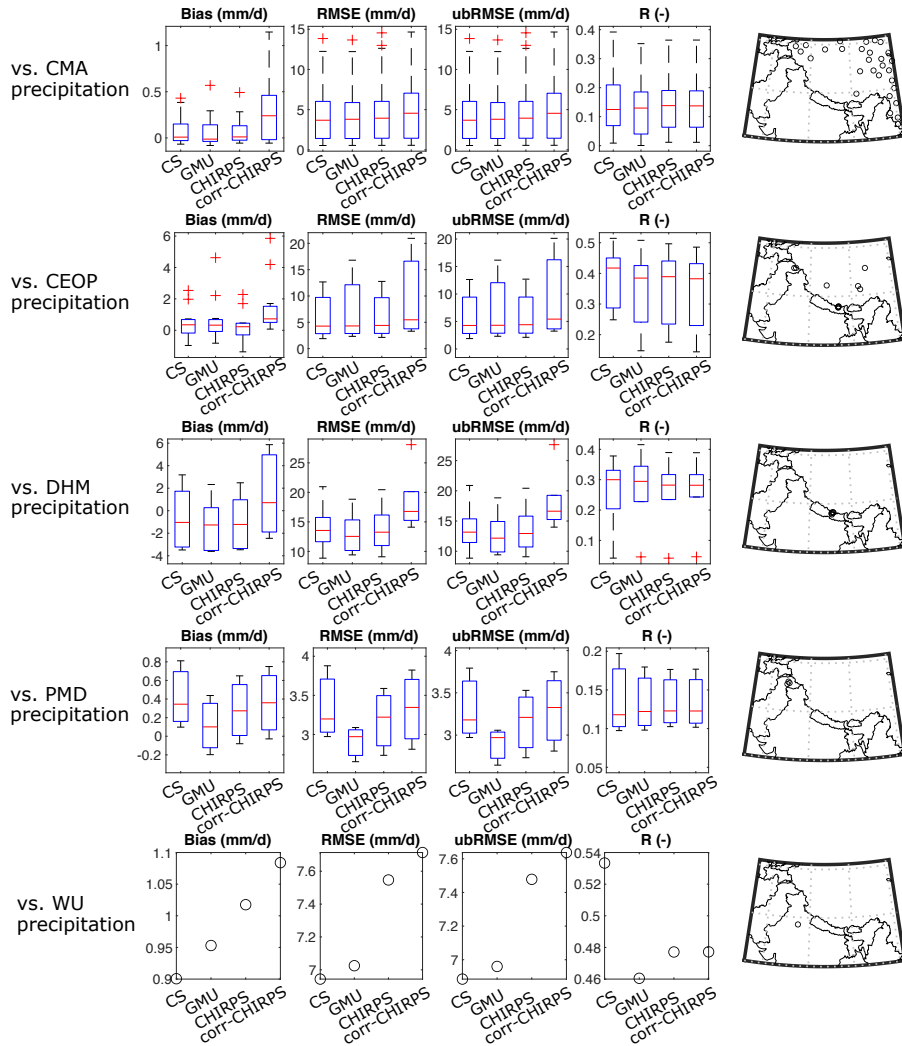
**Figure 2.** a) HMA study domain with gauged basin outlines in black. Gauged Basin #1 through Basin #5 are shown in b) through f) with elevation information and basin outlet locations.



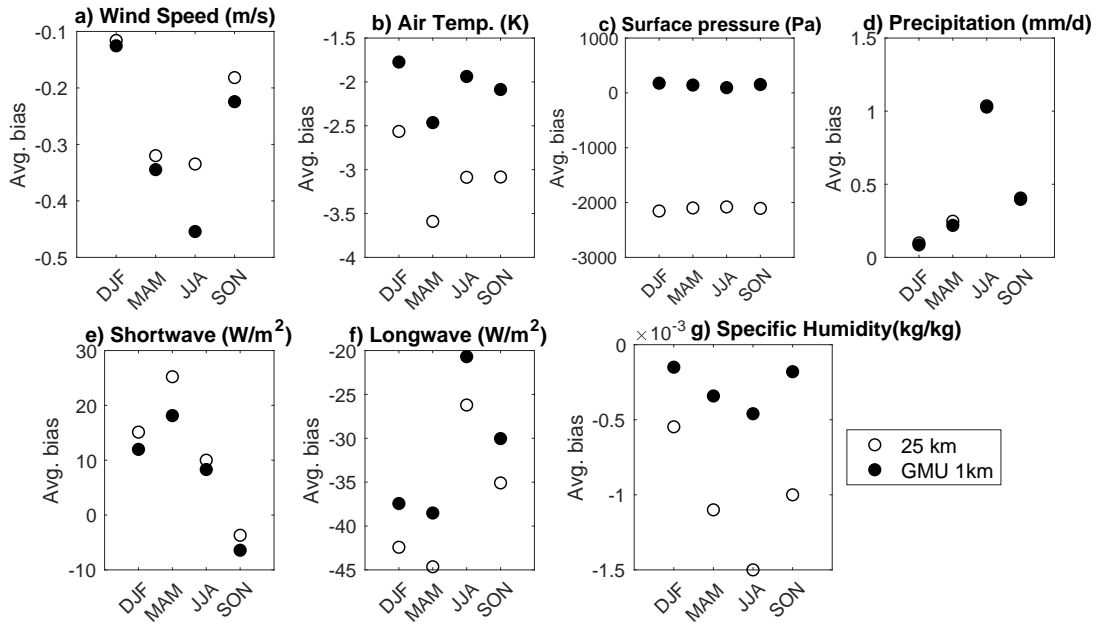
**Figure 3.** Box plots of bias (column 1), RMSE (column 2), ubRMSE (column 3), R (column 4) computed from  $0.25^\circ$  ( $\sim 25$ -km) and downscaled GMU  $0.01^\circ$  ( $\sim 1$ -km) meteorological forcings in the evaluation against ground-based CMA air temperature (row 1), CEOP air temperature (row 2), DHM air temperature (row 3), PMD air temperature (row 4), WU air temperature (row 5), and CMA surface pressure (row 6). The study domain with dots showing ground-based stations for each evaluation source are shown in column 5. The plus signs and red lines in the box plots are shown as outliers and medians, respectively. A close-up sub-figure of the DHM stations is shown in column 6.



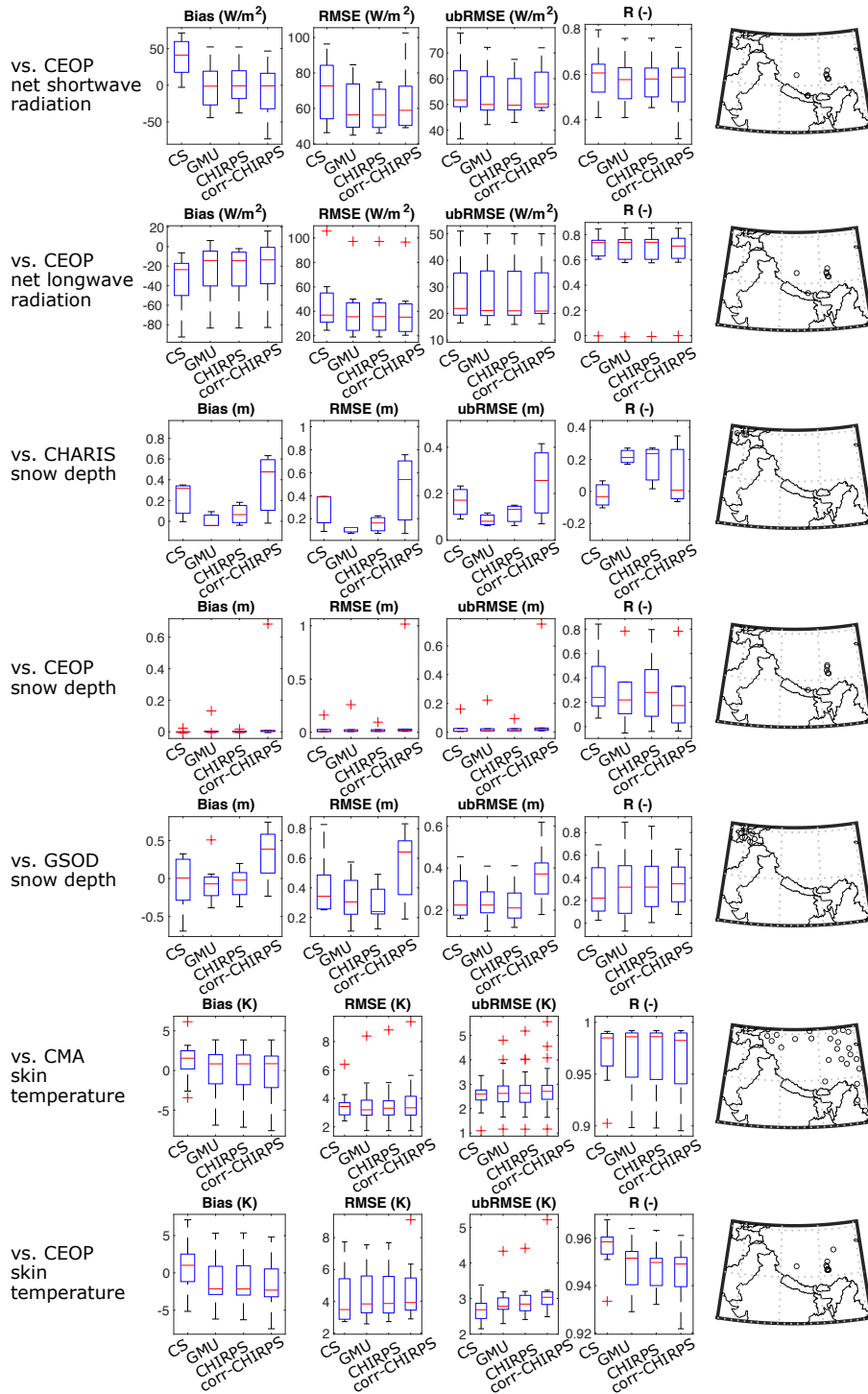
**Figure 4.** Same as Figure 3, but for the evaluation against ground-based WU wind speed (row 1), CMA wind speed (row 2), CEOP wind speed (row 3), CEOP incident shortwave radiation (row 4), CEOP incident longwave radiation (row 5), and CEOP specific humidity (row 6).



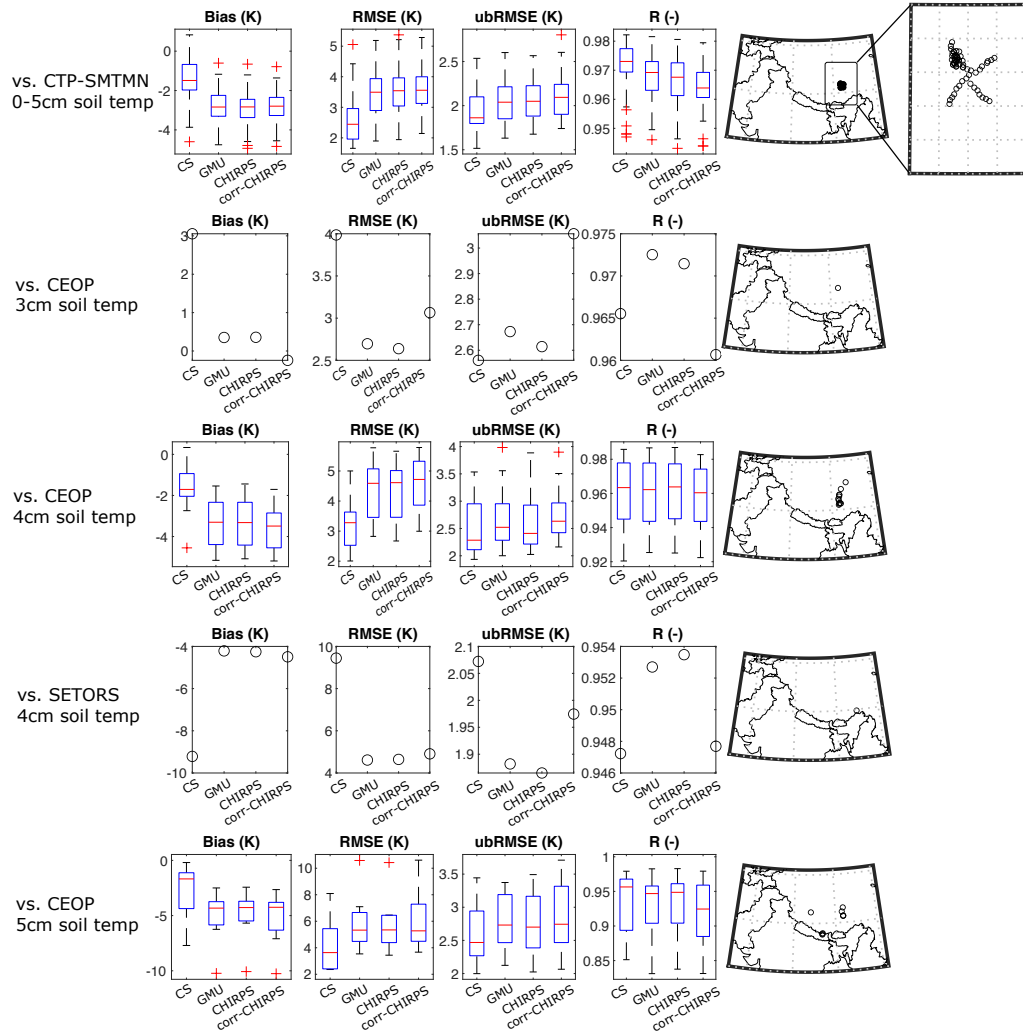
**Figure 5.** Box plots of bias (column 1), RMSE (column 2), ubRMSE (column 3), R (column 4) computed from HMA-Coarse, HMA-GMU, HMA-CHIRPS, and HMA-corr-CHIRPS in the evaluation against ground-based CMA daily precipitation (row 1), CEOP daily precipitation (row 2), DHM daily precipitation (row 3), PMD daily precipitation (row 4), and WU daily precipitation (row 5). The study domain with dots showing ground-based stations for each evaluation source are shown in column 5. The plus signs and red lines in the box plots are shown as outliers and medians, respectively. The prefix of the experimental name of “HMA” is omitted for clarity.



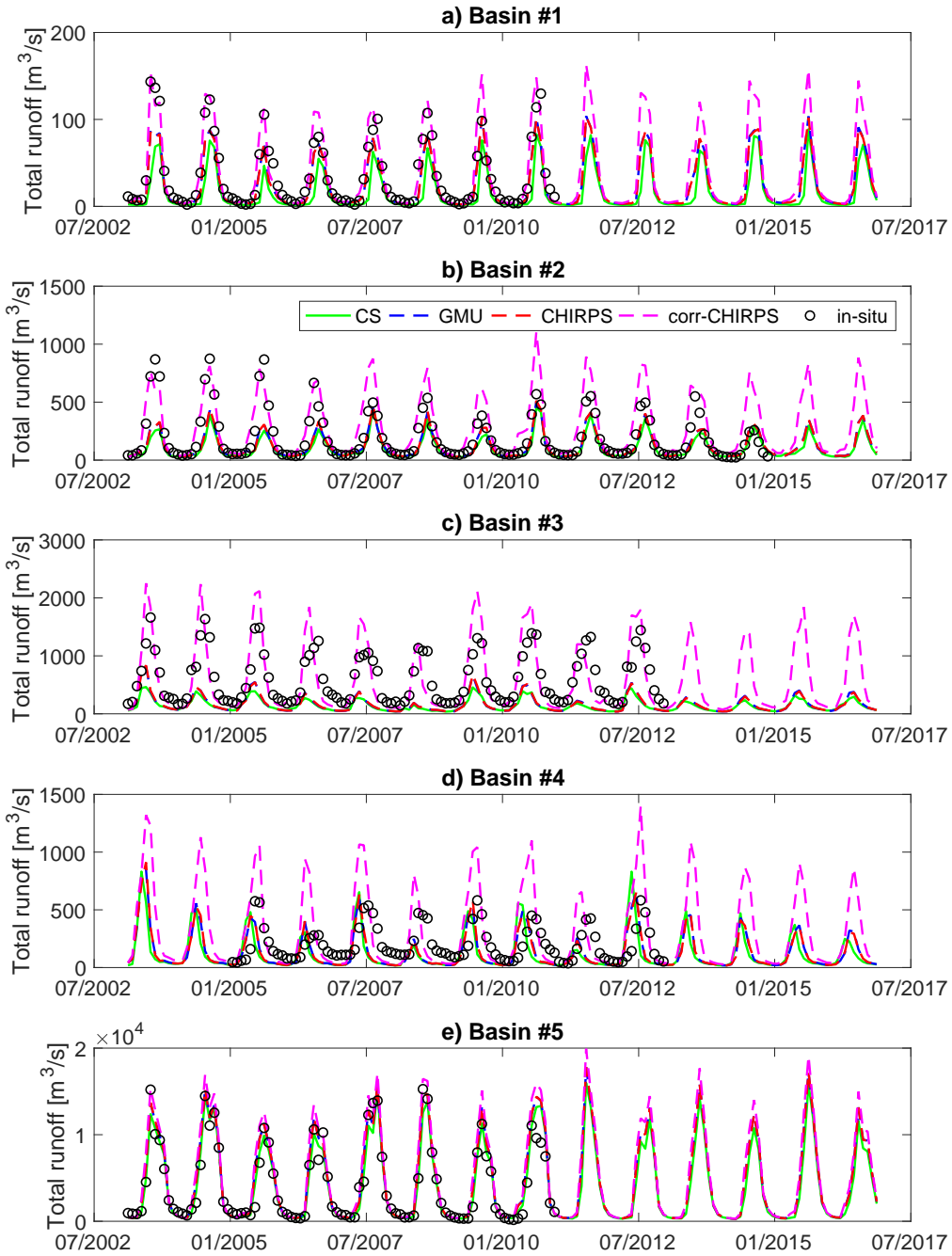
**Figure 6.** Average bias computed for 25-km and GMU 1-km estimates of a) wind speed, b) air temperature, c) surface pressure, d) precipitation, e) incident shortwave radiation, f) incident longwave radiation, and g) specific humidity in the evaluation against all ground-based stations as a function of seasons. DJF= December, January, February; MAM = March, April, May; JJA = June, July, August; SON = September, October, November.



**Figure 7.** Same as Figure 5, but for the evaluation against ground-based CEOP net shortwave radiation (row 1), CEOP net longwave radiation (row 2), CHARIS snow depth (row 3), CEOP snow depth (row 4), GSOD snow depth (row 5), CMA skin temperature (row 6), and CEOP skin temperature (row 7).

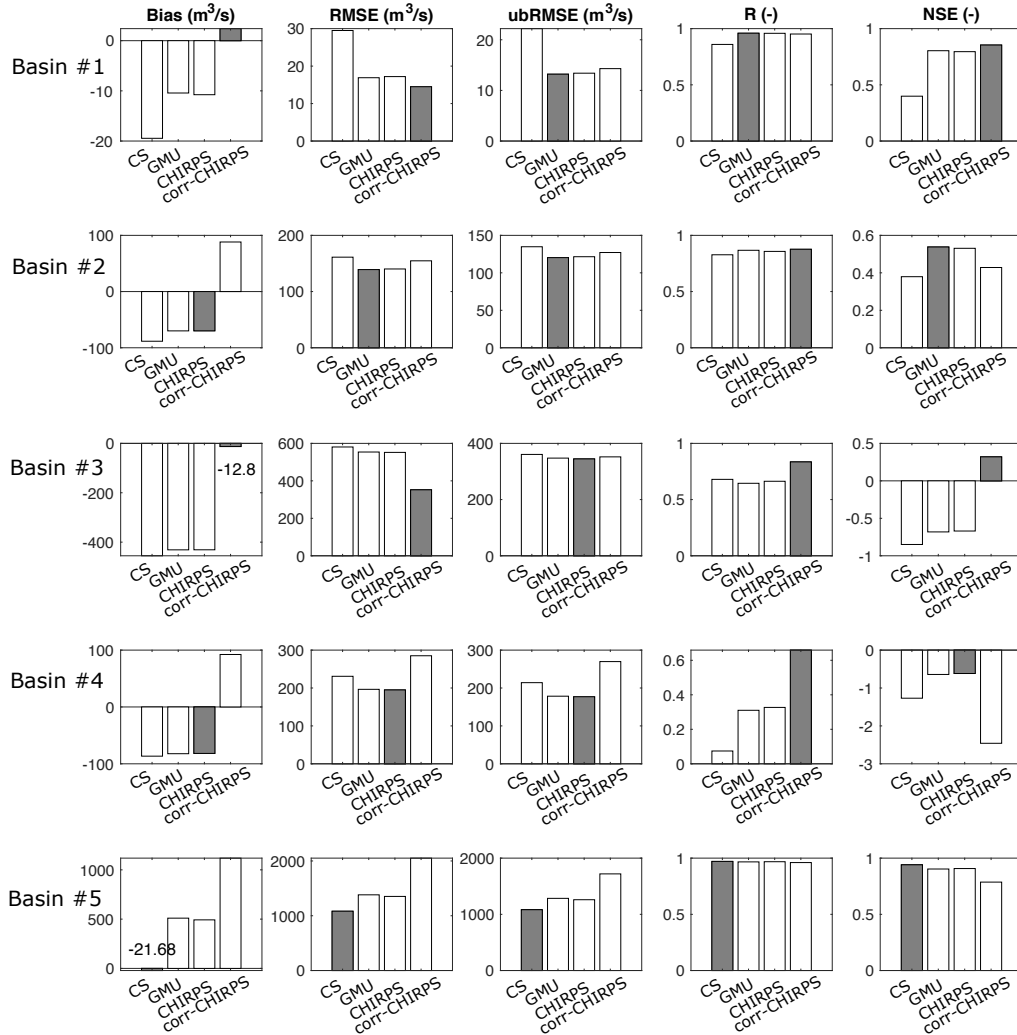


**Figure 8.** Same as Figure 5, but for the evaluation against ground-based CTP-SMTMN 0-5 cm soil temperature (row 1), CEOP 3 cm soil temperature (row 2), CEOP 4 cm soil temperature (row 3), SETORS 4 cm soil temperature (row 4), and CEOP 5 cm soil temperature (row 5). Note there is only one CEOP station measuring 3 cm soil temperature, and there is only one SETORS station. A close-up sub-figure of the CTP-SMTMN stations is shown in column 6.

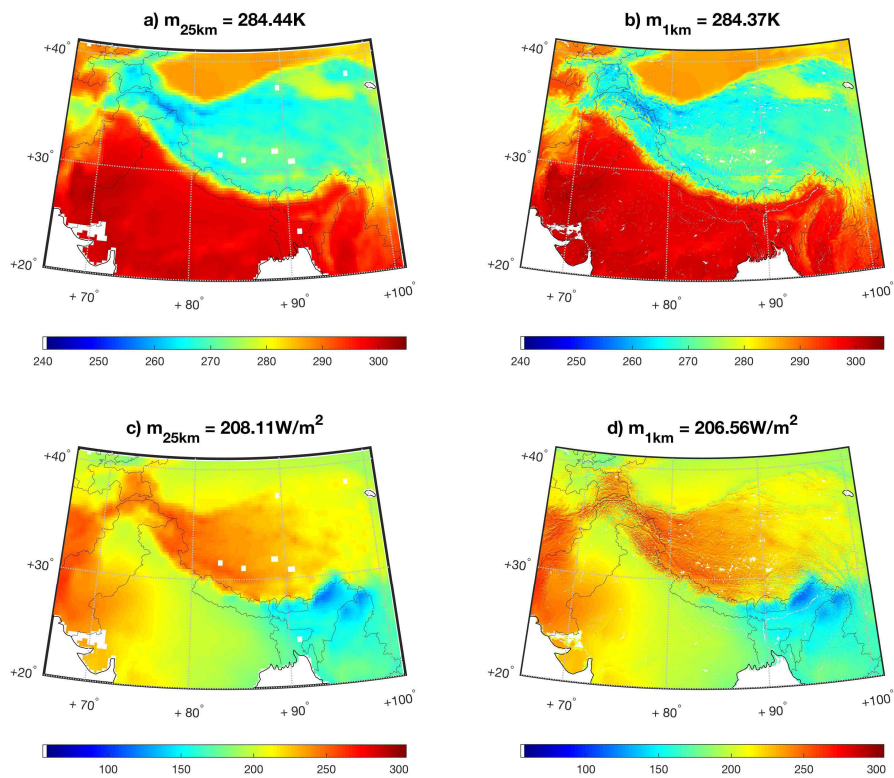


**Figure 9.** Monthly runoff estimates obtained from HMA-Coarse, HMA-GMU, HMA-CHIRPS, and HMA-corr-CHIRPS for the five gauged basins in the evaluation against ground-based measurements.

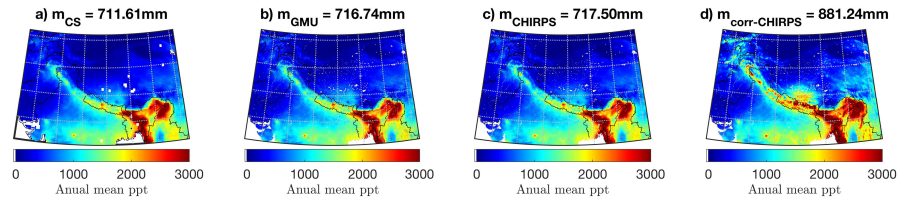




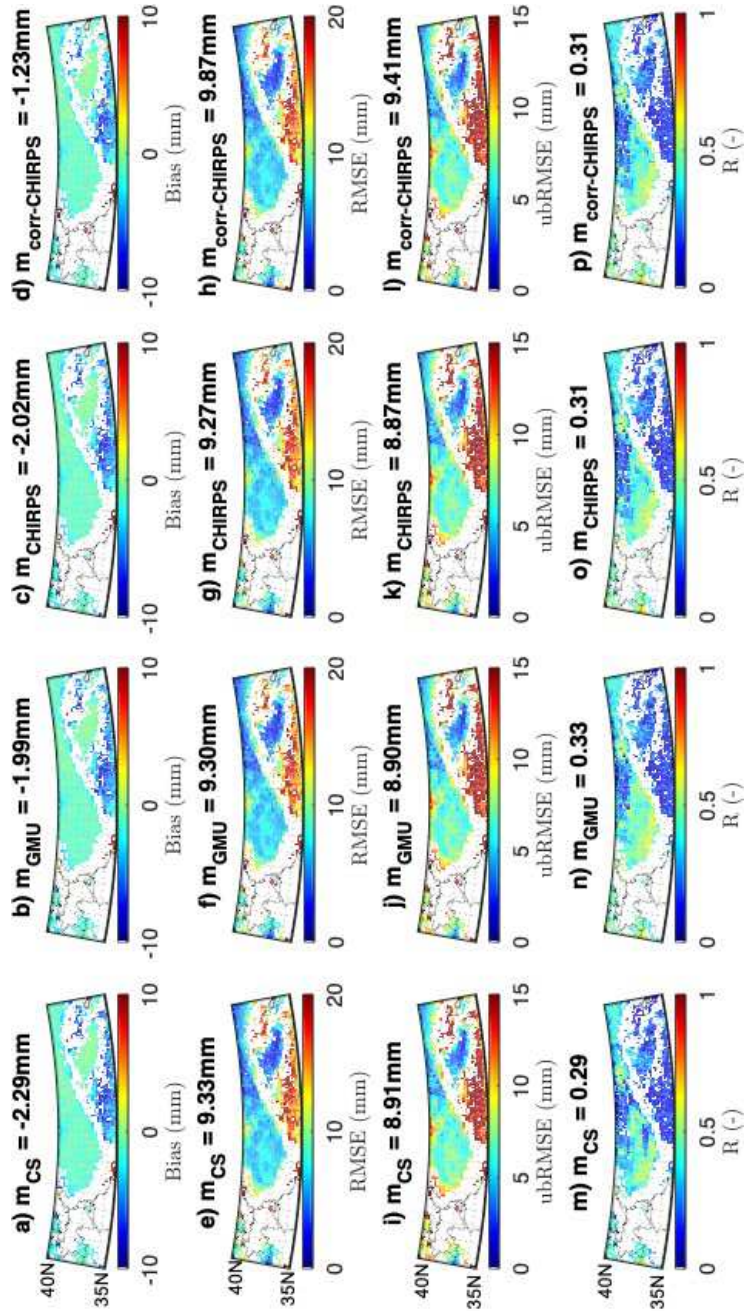
**Figure 10.** Statistics of bias (column 1), RMSE (column 2), ubRMSE (column 3), R (column 4), and NSE (column 5) computed from HMA-Coarse, HMA-GMU, HMA-CHIRPS, and HMA-corr-CHIRPS in the evaluation against five sets of ground-based monthly runoff measurements. Each row represents statistics for each basin. In addition, experiments with the best goodness-of-fit statistics for each basin are marked with grey bars or noted with numbers if their bars are too tiny to visualize.



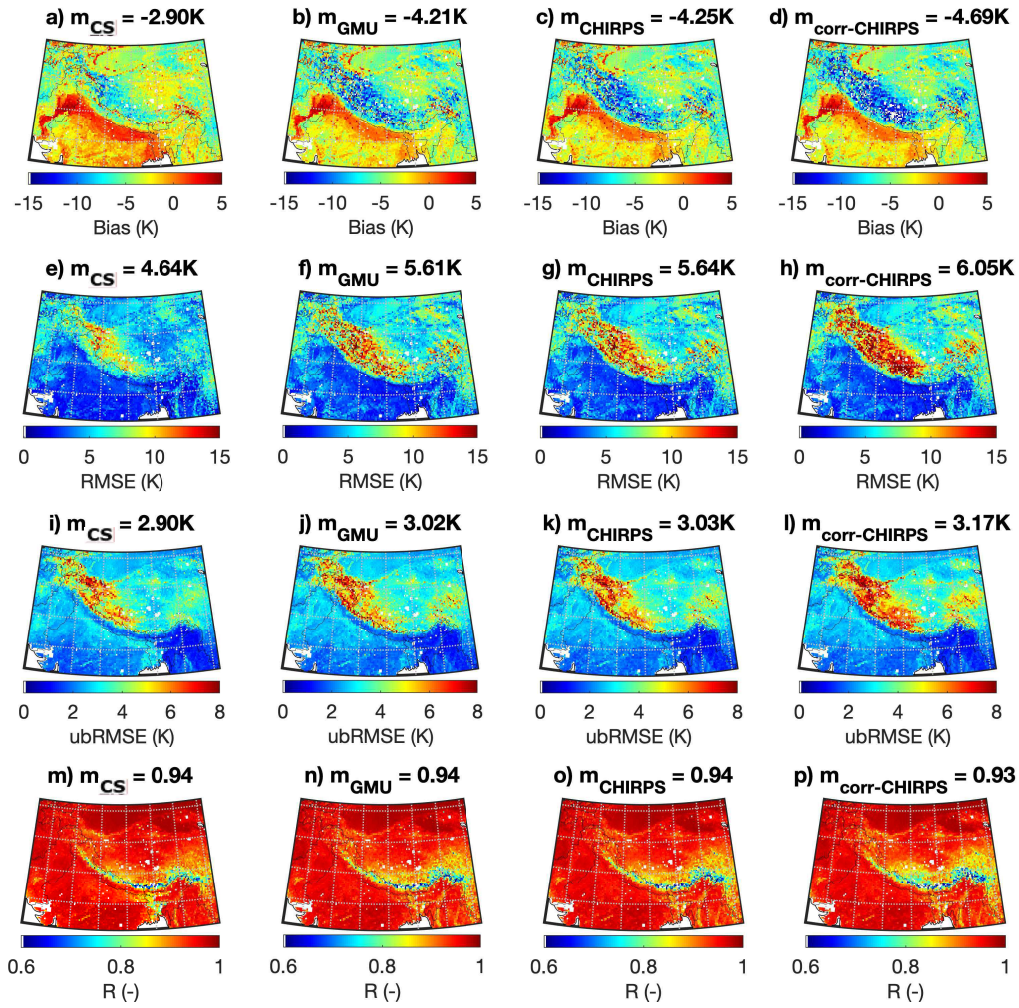
**Figure 11.** Examples of multi-year (2003-2016) average of daily air temperature, and short-wave radiation before and after being downscaled across HMA. m in the title denotes the domain-averaged value.



**Figure 12.** Annual mean total precipitation computed from a) HMA-Coarse, b) HMA-GMU, c) HMA-CHIRPS, and d) HMA-corr-CHIRPS.  $m$  in the title denotes the domain-averaged value.



**Figure 13.** Goodness-of-fit statistics computed for HMA-Coarse (column 1), HMA-GMU (column 2), HMA-CHIRPS (column 3), and HMA-corr-CHIRPS (column 4) at a spatial resolution of  $0.25^\circ$  in the evaluation against the CGLS SWE product. Note the domain is truncated because the CGLS SWE product only covers area above latitude  $35^\circ\text{N}$ . Each row represents one set of goodness-of-fit statistics.  $m$  in the title denotes the domain-averaged value.



**Figure 14.** Goodness-of-fit statistics computed for HMA-Coarse (column 1), HMA-GMU (column 2), HMA-CHIRPS (column 3), and HMA-corr-CHIRPS (column 4) at a spatial resolution of  $0.25^\circ$  in the evaluation against the MODIS skin temperature product. Each row represents one set of goodness-of-fit statistics.  $m$  in the title denotes the domain-averaged value.



**Table 1.** Experiments used for evaluation.

Experiment name	Model output spatial resolution/temporal resolution	Precipitation input source (spatial resolution/temporal resolution)	Other meteorological forcings source (spatial resolution/temporal resolution)
HMA-Coarse (HMA-CS)	0.25°/daily	CHIRPS (0.05°/daily)	ECMWF (0.25°/6-hourly)
HMA-GMU	0.01°/daily	Downscaled CHIRPS (0.01°/6-hourly)	Downscaled ECMWF (0.01°/6-hourly)
HMA-CHIRPS	0.01°/daily	CHIRPS (0.05°/daily)	Downscaled ECMWF (0.01°/6-hourly)
HMA-corr-CHIRPS	0.01°/daily	Bias-corrected CHIRPS (0.05°/daily)	Downscaled ECMWF (0.01°/6-hourly)

**Table 2.** Summary of gauged basins shown in Figure 2. CHARIS = Contribution to High Asia Runoff from Ice and Snow project; DHM = Department of Hydrology and Meteorology in Nepal; GRDC = Global Runoff Data Centre.

Basin name (Figure number)	Drainage area (km <sup>2</sup> )	Data Source	Mean Elevation (m)
Basin #1 (Figure 2b)	654.9	DHM	1637.9
Basin #2 (Figure 2c)	4629.1	DHM	4329.1
Basin #3 (Figure 2d)	10320.6	CHARIS	3092.8
Basin #4 (Figure 2e)	29110.9	CHARIS	3534.2
Basin #5 (Figure 2f)	110350.0	GRDC	680.7

**Table 3.** Summary of meteorological forcings evaluation (except for precipitation; see precipitation evaluation in Table 4) in the comparisons against ground-based stations. Forcing fields from ECMWF before downscaling at  $0.25^\circ$  and after downscaling at  $0.01^\circ$  are evaluated. The final weighted scores are calculated following the method described in Section A and higher weighted scores are bold. CMA = Chinese Meteorological Administration; CEOP = Coordinated Enhanced Observing Period project; DHM = Department of Hydrology and Meteorology in Nepal; PMD = Pakistan Meteorology Department; WU = Weather Underground.

Data Source	Number of stations (Mean elevation)	Variables (temporal scale)	Weighted score by $0.25^\circ$	Weighted score by $0.01^\circ$
CMA	30 (2442.7m)	Air temperature (daily)	3.47	<b>3.76</b>
CEOP	16 (4263.5m)	Air temperature (daily)	3.49	<b>3.94</b>
DHM	6 (2689.7m)	Air temperature (daily)	<b>3.41</b>	3.04
PMD	3 (1360.7m)	Air temperature (daily)	2.83	<b>3.55</b>
WU	15 (393.9m)	Air temperature (daily)	3.56	<b>3.89</b>
CMA	30 (2442.7m)	Surface pressure (daily)	2.29	<b>4.00</b>
WU	14 (414.1m)	Wind speed (daily)	<b>3.97</b>	3.94
CMA	30 (2442.7m)	Wind speed (daily)	3.80	<b>3.86</b>
CEOP	18 (4264.4m)	Wind speed (daily)	<b>3.96</b>	3.71
CEOP	16 (4263.5m)	Incident shortwave (daily)	3.71	<b>3.93</b>
CEOP	7 (4684.8m)	Incident longwave (daily)	3.70	<b>3.98</b>
CEOP	14 (4181.2m)	Specific humidity (daily)	3.38	<b>3.65</b>
Total scores			41.57	<b>45.25</b>



**Table 4.** Summary of precipitation and model states evaluation in the comparisons against ground-based stations. Experiments listed in Table 1 are evaluated. The final weighted scores are calculated following the method described in Section A and higher weighted scores are bold. CHARIS = Contribution to High Asia

Runoff from Ice and Snow project; CMA = Chinese Meteorological Administration; CTP-SMTMN = Central Tibetan Plateau Soil Moisture and Temperature Monitoring Network; CEOP = Coordinated Enhanced Observing Period project; GSOD = Global Summary of the Day; SETORS = Southeastern Tibet Observation and Research Station for the Alpine Environment.

Data Source	Number of stations (Mean elevation)	Variables (temporal scale)	Weighted score by HMA-Coarse	Weighted score by HMA-GMU	Weighted score by HMA-CHIRPS	Weighted score by HMA-corr-CHIRPS
CMA	30 (2442.7m)	Precipitation (daily)	3.75	3.79	<b>3.83</b>	2.91
CEOP	11 (4036.3m)	Precipitation (daily)	3.72	3.15	<b>3.85</b>	2.49
DHM	6 (2689.7m)	Precipitation (daily)	<b>3.59</b>	3.37	3.42	3.12
PMD	3 (1360.7m)	Precipitation (daily)	2.82	<b>3.94</b>	3.05	2.86
WU	1 (250.0m)	Precipitation (daily)	<b>4.00</b>	3.79	3.62	3.53
CEOP	8 (4578.3m)	Net shortwave (daily)	3.16	3.73	<b>3.77</b>	3.22
CEOP	7 (4684.8m)	Net longwave (daily)	3.69	3.74	3.74	<b>3.83</b>
CHARIS	3 (1937.7m)	Snow depth (daily)	1.16	<b>4.00</b>	2.13	0.86
CEOP	6 (4777.9m)	Snow depth (daily)	3.38	2.87	<b>3.50</b>	1.91
GSOD	8 (2303.3m)	Snow depth (daily)	3.01	3.61	<b>3.71</b>	2.46
CMA	24 (2315.6m)	Skin temp (daily)	<b>3.50</b>	2.87	3.32	2.69
CEOP	11 (4587.3m)	Skin temp (daily)	<b>3.74</b>	3.60	3.50	3.45
CTP-SMTMN	63 (4648.3m)	0-5cm soil temp (daily)	<b>3.79</b>	3.26	3.32	3.46
CEOP	1 (5038.6m)	3cm soil temp (daily)	2.73	3.63	3.66	<b>3.69</b>
CEOP	12 (4688.5m)	4cm soil temp (daily)	<b>3.79</b>	3.05	3.07	3.24
SETORS	1 (3326.0m)	4cm soil temp (daily)	2.84	<b>3.99</b>	3.98	3.82
CEOP	9 (4356.2m)	5cm soil temp (daily)	3.48	3.46	<b>3.53</b>	3.05
Total scores			56.15	<b>59.85</b>	59.00	50.59

**Table 5.** Summary of reference satellite-based products used for evaluation. MODIS = Moderate Resolution Imaging Spectroradiometer; CGLS = Copernicus Global Land Service.

Data Source	Temporal coverage	Variables (temporal scale)
MODIS	01 Feb 2003 - 30 Nov 2016	Skin temperature (daily)
CGLS	01 Jan 2006 - 30 Nov 2016	SWE (daily)

**Table 6.** The normalized mutual information (NMI) index computed between 25-km and 1-km multi-year (2003-2016) average of daily forcing estimates (except precipitation), as well as between 5-km and 1-km multi-year average of daily precipitation estimates.

Forcing field	NMI (-)
Air temperature	0.89
Specific humidity	0.95
Surface pressure	0.89
Wind speed	0.96
Downward surface shortwave radiation	0.82
Downward surface longwave radiation	0.93
Precipitation	0.93

## A A scoring system for point-scale evaluations

Many evaluation data sources provide more than one station to compare against (see Tables 3 and 4). Therefore, the mean and the range (or spread) of the goodness-of-fit statistics (including bias, RMSE, ubRMSE, and R) are computed as measures for estimates accuracy and precision, respectively. The range of each set of goodness-of-fit statistics is calculated as the difference between the third quartile and the first quartile (a.k.a., interquartile range (IQR)). The lower the IQR is, the lower the spread is, and the higher the precision is achieved by the corresponding experiment. However, if the number of stations used for evaluation is less than three, the IQRs of goodness-of-fit statistics are not calculated, and only the means of them are calculated. As a second step, for each set of the goodness-of-fit statistics, we normalize the value (either mean or IQR of the goodness-of-fit statistics) with respect to the best statistics obtained across all experiments. Then, for each set of the model estimate, we sum up the normalized scores across all four goodness-of-fit statistics for its accuracy (mean) and precision (IQR) measures, respectively. Third, we give equal weight (50% vs. 50%) to the accuracy and the precision measures to derive the weighted score. Note that in the absence of the precision measure when the number of stations used for evaluation being less than three, we give all weight (100%) to the accuracy measure. Finally, the experiment with the highest weighted score is deemed as the best model.

Using the CEOP air temperature evaluation as an example, through averaging the bias computed via comparing against 16 ground-based stations, the mean bias of the air temperature at  $0.25^\circ$  ( $0.01^\circ$ ) is  $-4.98$  K ( $-3.38$  K). Thus, the normalized score of the  $0.25^\circ$  ( $0.01^\circ$ ) air temperature estimates is  $0.68$  ( $1.00$ ) in terms of mean bias. Similarly, the IQR of bias of the air temperature at  $0.25^\circ$  ( $0.01^\circ$ ) is  $4.04$  K ( $3.46$  K). Thus, the normalized score of  $0.25^\circ$  ( $0.01^\circ$ ) air temperature estimates is  $0.85$  ( $1.00$ ) in terms of the bias IQR. Similar steps were also taken for other goodness-of-fit statistics. Then, the sum of the normalized scores in the mean of the goodness-of-fit statistics for air temperature at  $0.25^\circ$  ( $0.01^\circ$ ) is  $3.44$  ( $3.99$ ). The sum of the normalized scores in the IQRs of the goodness-of-fit statistics for air temperature at  $0.25^\circ$  ( $0.01^\circ$ ) is  $3.54$  ( $3.89$ ). Finally we give equal weight (50% vs. 50%) to the accuracy and the precision measures. As a result, in the evaluation against CEOP air temperature measurements, the weighted score for air temperature at  $0.25^\circ$  ( $0.01^\circ$ ) is  $3.49$  ( $3.94$ ). Since the downscaled air temperature yields a higher weighted score than the original air temperature, we deem that the downscaled

994

air temperature performs better than the air temperature at the coarse spatial resolu-

995

tion.

Author Manuscript

## Acknowledgments

This work was funded by NASA High Mountain Asia (NNH15ZDA001N-HMA) program, grant number NNX16AQ89G. We thank anonymous reviewers, whose comments significantly improved the quality of the manuscript. We thank Shruti Mishra at the Argonne National Laboratory for providing runoff measurements at Basin #1 and Basin #2 obtained from the Department of Hydrology and Meteorology in Nepal. We thank Jing Tao at Lawrence Berkeley National Laboratory for sharing the codes related to shortwave downscaling. The CHIRPS precipitation data are available from <ftp://ftp.chg.ucsb.edu/pub/org/chg/products/>. The bias correction factors as applied to CHIRPS precipitation product is obtained from <http://www.gloh2o.org/pbcor/>. The CHARIS data were obtained from [http://himatmap.apps.nsidc.org/hma\\_insitu.html](http://himatmap.apps.nsidc.org/hma_insitu.html). The WU data were obtained from <https://www.wunderground.com>. The GRDC data were obtained from the Global Runoff Data Centre, 56068 Koblenz, Germany ([https://www.bafg.de/GRDC/EN/01\\_GRDC/grdc\\_node.html](https://www.bafg.de/GRDC/EN/01_GRDC/grdc_node.html)). The GSOD data were obtained from <https://data.noaa.gov/dataset/dataset/global-surface-summary-of-the-day-gsod>. The CMA data were obtained from [https://data.cma.cn/en/?r=data/detail&dataCode=SURF\\_CLI\\_CHN\\_MUL\\_DAY\\_CES\\_V3.0&keywords=daily](https://data.cma.cn/en/?r=data/detail&dataCode=SURF_CLI_CHN_MUL_DAY_CES_V3.0&keywords=daily). The CEOP data were obtained from [https://www.eol.ucar.edu/projects/ceop/dm/insitu/sites/ceop\\_ap/](https://www.eol.ucar.edu/projects/ceop/dm/insitu/sites/ceop_ap/). The CTP-SMTMN data were obtained from <http://dam.itpcas.ac.cn/rs/?q=data>, which was provided by Data Assimilation and Modeling Center for Tibetan Multi-spheres, Institute of Tibetan Plateau Research, Chinese Academy of Sciences. The SETORS data were obtained from <http://en.tpedatabase.cn/portal/MetaDataInfo.jsp?MetaDataId=197>. The SRTM elevation data may be downloaded from <http://srtm.csi.cgiar.org/srtmdata/>. All MODIS products were obtained from <https://earthdata.nasa.gov/>. The MEASUREs landscape freeze/thaw product was obtained from <https://nsidc.org/data/nsidc-0728>. The CGLS SWE product (v1.0.2) was obtained from <https://land.copernicus.eu/global/products/swe>. We thank the entire NASA HiMAT team for sharing dataset and providing useful comments to the study. LIS models were run on ARGO, a research computing cluster provided by the Office of Research Computing at George Mason University, VA (<http://orc.gmu.edu>). The downscaling framework is implemented by functions/codes available via Mei's GitHub at <https://github.com/YiwenMei/AtmDS> and <https://github.com/YiwenMei/PrecipDS>. Dataset used/generated in this study will be uploaded at NASA Distributed Active Archive Center (DAAC) at National Snow and

1029 Ice Data Center. The data uploading process may be delayed due to the maximum file  
 1030 size limitation since hyper-resolution HMA products require extensive amount of stor-  
 1031 age. In any case, all dataset are available from the corresponding author, YX, upon rea-  
 1032 sonable request.

## 1033 References

- 1034 Armstrong, R. L., Rittger, K., Brodzik, M. J., Racoviteanu, A., Barrett, A. P.,  
 1035 Khalsa, S.-J. S., ... others (2019). Runoff from glacier ice and seasonal snow  
 1036 in high asia: separating melt water sources in river flow. *Regional Environmen-  
 1037 tal Change*, *19*(5), 1249–1261.
- 1038 Beck, H. E., Wood, E. F., McVicar, T. R., Zambrano-Bigiarini, M., Alvarez-  
 1039 Garreton, C., Baez-Villanueva, O. M., ... Karger, D. N. (2020). Bias cor-  
 1040 rection of global high-resolution precipitation climatologies using streamflow  
 1041 observations from 9372 catchments. *Journal of Climate*, *33*(4), 1299–1315.
- 1042 Bohn, T. J., & Vivoni, E. R. (2019). Mod-lsp, modis-based parameters for hydro-  
 1043 logic modeling of north american land cover change. *Scientific data*, *6*(1), 1–  
 1044 13.
- 1045 Bookhagen, B., & Burbank, D. W. (2010). Toward a complete himalayan hydro-  
 1046 logical budget: Spatiotemporal distribution of snowmelt and rainfall and their  
 1047 impact on river discharge. *Journal of Geophysical Research: Earth Surface*,  
 1048 *115*(F3).
- 1049 Buck, A. L. (1981). New equations for computing vapor pressure and enhancement  
 1050 factor. *Journal of applied meteorology*, *20*(12), 1527–1532.
- 1051 Cosgrove, B. A., Lohmann, D., Mitchell, K. E., Houser, P. R., Wood, E. F., Schaake,  
 1052 J. C., ... others (2003). Real-time and retrospective forcing in the north  
 1053 american land data assimilation system (nldas) project. *Journal of Geophysical  
 1054 Research: Atmospheres*, *108*(D22).
- 1055 Cover, T. M., & Thomas, J. A. (1991). Entropy, relative entropy and mutual infor-  
 1056 mation. *Elements of information theory*, *2*, 1–55.
- 1057 Dandekhya, S., England, M., Ghate, R., Goodrich, C., Nepal, S., Prakash, A., ...  
 1058 Udas, P. (2017). The gandaki basin: Maintaining livelihoods in the face of  
 1059 landslides, floods, and drought. *HI-AWARE Working Paper*, *9*.
- 1060 Fiddes, J., & Gruber, S. (2014). Toposcale v. 1.0: downscaling gridded climate data

- 1061 in complex terrain. *Geoscientific Model Development*, 7(1), 387–405.
- 1062 Funk, C., Peterson, P., Landsfeld, M., Pedreros, D., Verdin, J., Shukla, S., . . . oth-  
1063 ers (2015). The climate hazards infrared precipitation with stations a new  
1064 environmental record for monitoring extremes. *Scientific data*, 2, 150066.
- 1065 Gafurov, A., Vorogushyn, S., Farinotti, D., Duethmann, D., Merkushkin, A., &  
1066 Merz, B. (2015). Snow-cover reconstruction methodology for mountainous  
1067 regions based on historic in situ observations and recent remote sensing data.  
1068 *The Cryosphere*, 9(2), 451–463.
- 1069 Ghatak, D., Zaitchik, B., Kumar, S., Matin, M., Bajracharya, B., Hain, C., & An-  
1070 derson, M. (2018). Influence of precipitation forcing uncertainty on hydro-  
1071 logical simulations with the nasa south asia land data assimilation system.  
1072 *Hydrology*, 5(4), 57.
- 1073 Grin, E., Schaller, M., & Ehlers, T. A. (2018). Spatial distribution of cosmogenic  
1074 <sup>10</sup>be derived denudation rates between the western tian shan and northern  
1075 pamir, tajikistan. *Geomorphology*, 321, 1–15.
- 1076 Gupta, A. S., & Tarboton, D. G. (2016). A tool for downscaling weather data from  
1077 large-grid reanalysis products to finer spatial scales for distributed hydrological  
1078 applications. *Environmental Modelling & Software*, 84, 50–69.
- 1079 Hannah, D. M., Kansakar, S. R., Gerrard, A., & Rees, G. (2005). Flow regimes of  
1080 himalayan rivers of nepal: nature and spatial patterns. *Journal of Hydrology*,  
1081 308(1-4), 18–32.
- 1082 Immerzeel, W. W., Droogers, P., De Jong, S., & Bierkens, M. (2009). Large-scale  
1083 monitoring of snow cover and runoff simulation in himalayan river basins using  
1084 remote sensing. *Remote sensing of Environment*, 113(1), 40–49.
- 1085 Kollet, S. J., Maxwell, R. M., Woodward, C. S., Smith, S., Vanderborght, J.,  
1086 Vereecken, H., & Simmer, C. (2010). Proof of concept of regional scale hydro-  
1087 logic simulations at hydrologic resolution utilizing massively parallel computer  
1088 resources. *Water resources research*, 46(4).
- 1089 Konzelmann, T., van de Wal, R. S., Greuell, W., Bintanja, R., Henneken, E. A., &  
1090 Abe-Ouchi, A. (1994). Parameterization of global and longwave incoming  
1091 radiation for the greenland ice sheet. *Global and Planetary change*, 9(1-2),  
1092 143–164.
- 1093 Kulmatov, R., Opp, C., Groll, M., & Kulmatova, D. (2013). Assessment of water



- 1094 quality of the trans-boundary zarafshan river in the territory of uzbekistan.  
1095 *Journal of Water Resource and Protection*, 5(01), 17.
- 1096 Kumar, S. V., Peters-Lidard, C. D., Mocko, D., & Tian, Y. (2013). Multiscale  
1097 evaluation of the improvements in surface snow simulation through terrain  
1098 adjustments to radiation. *Journal of Hydrometeorology*, 14(1), 220–232.
- 1099 Kumar, S. V., Peters-Lidard, C. D., Tian, Y., Houser, P. R., Geiger, J., Olden, S.,  
1100 ... others (2006). Land information system: An interoperable framework for  
1101 high resolution land surface modeling. *Environmental modelling & software*,  
1102 21(10), 1402–1415.
- 1103 Latt, Z. Z. (2015). *Flood assessment and improving flood forecasting for a monsoon*  
1104 *dominated river basin: With emphasis on black-box models and gis* (Unpub-  
1105 lished doctoral dissertation). Universitätsbibliothek der Leuphana Universität  
1106 Lüneburg.
- 1107 Lawrence, M. G. (2005). The relationship between relative humidity and the dew-  
1108 point temperature in moist air: A simple conversion and applications. *Bulletin*  
1109 *of the American Meteorological Society*, 86(2), 225–234.
- 1110 Marshall, J., & Plumb, R. A. (1989). *Atmosphere, ocean and climate dynamics: an*  
1111 *introductory text* (Vol. 43). Academic Press.
- 1112 Mei, Y., Maggioni, V., Houser, P., Xue, Y., & Rouf, T. (2020). A nonparametric sta-  
1113 tistical technique for spatial downscaling of precipitation over high mountain  
1114 asia. *Water Resources Research*, 56(11), e2020WR027472.
- 1115 Mishra, S. K., Hayse, J., Veselka, T., Yan, E., Kayastha, R. B., LaGory, K., ...  
1116 Steiner, N. (2018). An integrated assessment approach for estimating the  
1117 economic impacts of climate change on river systems: An application to hy-  
1118 dropower and fisheries in a himalayan river, trishuli. *Environmental science &*  
1119 *policy*, 87, 102–111.
- 1120 Molteni, F., Buizza, R., Palmer, T. N., & Petroliagis, T. (1996). The ecmwf ensem-  
1121 ble prediction system: Methodology and validation. *Quarterly journal of the*  
1122 *royal meteorological society*, 122(529), 73–119.
- 1123 Nash, J. E., & Sutcliffe, J. V. (1970). River flow forecasting through conceptual  
1124 models part ia discussion of principles. *Journal of hydrology*, 10(3), 282–290.
- 1125 Niu, G.-Y., Yang, Z.-L., Mitchell, K. E., Chen, F., Ek, M. B., Barlage, M., ... oth-  
1126 ers (2011). The community noah land surface model with multiparameteriza-

- 1127 tion options (noah-mp): 1. model description and evaluation with local-scale  
1128 measurements. *Journal of Geophysical Research: Atmospheres*, 116(D12).
- 1129 Pulliainen, J. (2006). Mapping of snow water equivalent and snow depth in boreal  
1130 and sub-arctic zones by assimilating space-borne microwave radiometer data  
1131 and ground-based observations. *Remote Sensing of Environment*, 101(2),  
1132 257–269. doi: 10.1016/j.rse.2006.01.002
- 1133 Rouf, T., Mei, Y., Maggioni, V., Houser, P., & Noonan, M. (2019). A physically-  
1134 based atmospheric variables downscaling technique. *Journal of Hydrometeorol-  
1135 ogy*(2019).
- 1136 Ruiz-Arias, J., Alsamamra, H., Tovar-Pescador, J., & Pozo-Vázquez, D. (2010).  
1137 Proposal of a regressive model for the hourly diffuse solar radiation under all  
1138 sky conditions. *Energy Conversion and Management*, 51(5), 881–893.
- 1139 Singh, R., Reager, J., Miller, N., & Famiglietti, J. (2015). Toward hyper-resolution  
1140 land-surface modeling: The effects of fine-scale topography and soil texture  
1141 on cfm 4.0 simulations over the southwestern us. *Water Resources Research*,  
1142 51(4), 2648–2667.
- 1143 Strehl, A., & Ghosh, J. (2002). Cluster ensembles—a knowledge reuse framework for  
1144 combining multiple partitions. *Journal of machine learning research*, 3(Dec),  
1145 583–617.
- 1146 Takala, M., Luojus, K., Pulliainen, J., Derksen, C., Lemmetyinen, J., Kärnä, J. P.,  
1147 ... Bojkov, B. (2011). Estimating northern hemisphere snow water equiva-  
1148 lent for climate research through assimilation of space-borne radiometer data  
1149 and ground-based measurements. *Remote Sensing of Environment*, 115(12),  
1150 3517–3529. doi: 10.1016/j.rse.2011.08.014
- 1151 Tao, J., & Barros, A. P. (2018). Multi-year atmospheric forcing datasets for hydro-  
1152 logic modeling in regions of complex terrain—methodology and evaluation over  
1153 the integrated precipitation and hydrology experiment 2014 domain. *Journal  
1154 of hydrology*, 567, 824–842.
- 1155 Wan, Z., Hook, S. J., & Hulley, G. C. (2015). Modis/terra land surface temper-  
1156 ature/emissivity daily l3 global 1km grid, version 6. *NASA EOSDIS LP  
1157 DAAC*.
- 1158 Xue, Y., Houser, P. R., Maggioni, V., Mei, Y., Kumar, S. V., & Yoon, Y. (2019).  
1159 Assimilation of satellite-based snow cover and freeze/thaw observations over

- 1160 high mountain asia. *Frontiers in Earth Science*, 7, 115. Retrieved from  
1161 <https://www.frontiersin.org/article/10.3389/feart.2019.00115> doi:  
1162 10.3389/feart.2019.00115
- 1163 Yang, K., Qin, J., Zhao, L., Chen, Y., Tang, W., Han, M., ... others (2013). A  
1164 multiscale soil moisture and freeze–thaw monitoring network on the third pole.  
1165 *Bulletin of the American Meteorological Society*, 94(12), 1907–1916.
- 1166 Yang, Z.-L., Niu, G.-Y., Mitchell, K. E., Chen, F., Ek, M. B., Barlage, M., ... oth-  
1167 ers (2011). The community noah land surface model with multiparameteri-  
1168 zation options (noah-mp): 2. evaluation over global river basins. *Journal of*  
1169 *Geophysical Research: Atmospheres*, 116(D12).
- 1170 Yoon, Y., Kumar, S. V., Forman, B. A., Zaitchik, B., Kwon, Y., Qian, Y., ... others  
1171 (2019). Evaluating the uncertainty of terrestrial water budget components over  
1172 high mountain asia. *Frontiers in Earth Science*, 7, 120.
- 1173 You, Q., Min, J., Zhang, W., Pepin, N., & Kang, S. (2015). Comparison of multiple  
1174 datasets with gridded precipitation observations over the tibetan plateau. *Cli-*  
1175 *mate Dynamics*, 45(3-4), 791–806.
- 1176 Yuan, F., Zhang, L., Win, K., Ren, L., Zhao, C., Zhu, Y., ... Liu, Y. (2017). As-  
1177 sessment of gpm and trmm multi-satellite precipitation products in streamflow  
1178 simulations in a data-sparse mountainous watershed in myanmar. *Remote*  
1179 *Sensing*, 9(3), 302.
- 1180 Zhang, C., Tang, Q., Chen, D., van der Ent, R. J., Liu, X., Li, W., & Haile, G. G.  
1181 (2019). Moisture source changes contributed to different precipitation changes  
1182 over the northern and southern tibetan plateau. *Journal of Hydrometeorology*,  
1183 20(2), 217–229.
- 1184 Zhao, W., & Li, A. (2015). A review on land surface processes modelling over com-  
1185 plex terrain. *Advances in Meteorology*, 2015.