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**Survival Benefit with Adjuvant Radiotherapy after Resection of Distal  
Cholangiocarcinoma: A Propensity Matched National Cancer Database (NCDB)  
Analysis**

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**Running title:** Adjuvant radiotherapy in distal cholangiocarcinoma

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Author

## 1 **Abstract**

### 2 **Background**

3 No convincing evidence for the benefit of adjuvant radiotherapy (RT) following resection  
4 of distal cholangiocarcinoma (dCCA) exists, especially for lower-risk (margin- or node-  
5 negative) disease. We aimed to evaluate the association of RT on survival after surgical  
6 resection of dCCA compared to no RT (noRT).

### 8 **Methods**

9 Using National Cancer Database (NCDB) data from 2004 to 2016, we identified patients  
10 undergoing pancreatoduodenectomy for non-metastatic dCCA. Patients with neoadjuvant  
11 radiotherapy and chemotherapy and survival <6 months were excluded. Propensity  
12 score matching was used to account for treatment selection bias. A multivariable Cox  
13 proportional hazards model was then used to analyze the association of RT with survival.

### 15 **Results**

16 Of 2,162 (34%) RT and 4,155 (66%) noRT patients, 1,509 RT and 1,509 noRT patients  
17 remained in the cohort after matching. The rates of node-negative (N0), node-positive  
18 disease (N+), and unknown node status (Nx) were 39%, 51%, and 10%, respectively.  
19 After matching, RT was associated with improved survival (median 29.3 vs 26.8 months,  
20  $p < 0.001$ ), which remained after multivariable adjustment (HR 0.86, CI<sub>95%</sub>: 0.80 - 0.93,  
21  $p < 0.001$ ). Multivariable interaction analyses showed this benefit was seen irrespective of  
22 nodal status (N0: HR 0.77, CI<sub>95%</sub>: 0.66 - 0.89,  $p < 0.001$ ; N+: HR 0.79, CI<sub>95%</sub>: 0.71 - 0.89,  
23  $p < 0.001$ ) and margin status (R0: HR 0.58, CI<sub>95%</sub>: 0.50 - 0.67,  $p < 0.001$ ; R1: HR 0.87, CI<sub>95%</sub>:  
24 0.78 - 0.96,  $p = 0.007$ ). Stratified analyses by nodal and margin status demonstrated  
25 consistent results.

### 27 **Conclusion**

28 RT after dCCA resection was associated with a survival benefit in patients, even in  
29 patients with margin- or node-negative resections. RT should be considered routinely  
30 irrespective of margin and nodal status after resection for dCCA.

31

1 **Word Count: 260**

2 **Keywords:** radiotherapy, distal cholangiocarcinoma, resection, survival, chemotherapy

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4

5 **Precis:** RT after dCCA resection was associated with a survival benefit in patients, even  
6 in patients with margin- or node-negative resections. RT should be considered routinely  
7 irrespective of margin and nodal status after resection for dCCA.

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## 1 **Introduction**

2 Despite advances in multimodal treatment, distal cholangiocarcinoma (dCCA) has poor  
3 5-year survival rates ranging from 20% - 50%, even after resection.<sup>1-6</sup> Because local  
4 recurrence rates may be as high as 50%,<sup>7, 8</sup> incorporation of chemotherapy has been the  
5 focus of study, and a recent randomized controlled trial has demonstrated a survival  
6 benefit with adjuvant systemic chemotherapy.<sup>9</sup> However, the benefit of routine adjuvant  
7 radiotherapy (RT) has been questionable.<sup>10</sup> In contrast to pancreatic cancer, in which  
8 accumulating evidence from retrospective series<sup>10-12</sup> suggesting some survival benefit of  
9 RT, the role of RT in biliary tract malignancy remains unclear.

10  
11 To date, high-quality evidence on RT for dCCA is lacking. Firstly, the rarity of dCCA  
12 means recruitment to RCTs is difficult, and no single RCT focused on RT in dCCA exists.  
13 Secondly, current evidence limited to retrospective single-center, multi-institutional series  
14 offer conflicting evidence regarding the benefit of RT.<sup>13-16</sup> As a result, current clinical  
15 practice is guided by evidence from randomized controlled trials (RCTs)<sup>9, 10, 17-22</sup> and  
16 meta-analyses<sup>23-25</sup> drawn from biliary tract cancers (BTC) are conflicting. Because  
17 different BTC subtypes (i.e., intrahepatic, hilar, and distal) have varying prognoses,  
18 genetic profiles, and possibly responses to RT, findings from subgroup analyses for dCCA  
19 within these RCTs are often underpowered. Therefore, the use of RT after resection of  
20 dCCA remains controversial, especially in patients thought to be at lower risk for local  
21 recurrence, such as those with margin-negative resections and node-negative disease.

22  
23 We sought to add evidence to this debate by performing a large, nationwide, high-quality  
24 retrospective study to assess the potential benefit of RT after resection of dCCA. With  
25 contemporary data from the National Cancer Data Base (NCDB), we analyzed the  
26 association of RT with survival after resection of dCCA with landmark analyses performed  
27 in patients surviving >6 months to account for immortal time bias. We used propensity-  
28 matched analysis to address treatment selection bias, and we also assessed survival in  
29 clinically relevant subgroups of patients based on nodal and margin status.

## 1 **Methods**

### 2 *Data Source*

3 The NCDB is a joint project of the Commission on Cancer (CoC) of the American College  
4 of Surgeons and the American Cancer Society.<sup>26, 27</sup> The NCDB gathers information from  
5 approximately 1,500 CoC-accredited hospitals and includes >70 % of all newly diagnosed  
6 malignancies in the USA. It contains specific details about patient demographics (age,  
7 sex, race, payer), facility type and location, tumor characteristics (size, grade, stage,  
8 histology), treatment course (type of surgery, receipt of chemotherapy, and radiation  
9 therapy), and outcomes (resection margins, lymph node status and vital status).

10

### 11 *Study Population*

12 The NCDB was used to identify all patients >35 years old diagnosed with non-metastatic  
13 dCCA undergoing pancreatoduodenectomy between 2004 and 2016. International  
14 Classification of Disease for Oncology, Third Edition (ICD-O-3), classification was used  
15 to select adenocarcinoma histology and exclude mucinous tumors, neuroendocrine  
16 tumors, and other histologies. Patients with other concomitant cancer diagnoses, those  
17 where dCCA were not their first cancer, those who received neoadjuvant chemotherapy  
18 or radiotherapy, those with missing data on lymph node status were excluded. In addition,  
19 patients with a survival <6 months (n=600) were excluded to account for immortal time  
20 bias, as previously described.<sup>11, 28</sup>

21

22 We analyzed the following patient-level characteristics as provided by NCDB: age (36 -  
23 50, 51 - 65, 66 - 80, >80), race (white, black, other), Charlson/Deyo comorbidity score,  
24 year of diagnosis, insurance status (Medicaid / Medicare, Private Insurance, Uninsured),  
25 zip code-level education status (<7%, 7% - 12.9%, 13% - 20.9%, ≥21%), zip code-level  
26 median household income (<\$48,000, \$48,000 - \$62,999, ≥\$63,000), and urban versus  
27 rural area of residence. The zip-code level education status represents the proportion of  
28 adults in the patient's zip code who did not graduate from high school and is categorized  
29 as equally proportioned quartiles among all US zip codes. We also analyzed the following  
30 hospital-level characteristics: facility type (academic, community, other), facility location  
31 (Midwest, Northeast, South, West), and hospital distance from patient (<12.5 miles, 12.5

1 - 49.9 miles,  $\geq 50$  miles). Finally, we analyzed the following clinicopathologic  
2 characteristics: nodal status (N0, N+, Nx), tumor grade/differentiation (well/moderate,  
3 poor/anaplastic, unknown), lymphovascular invasion (absent, present) and margin status  
4 (positive, negative).

5  
6 Finally, we analyzed receipt of RT versus no RT (noRT) as the primary exposure variable.  
7 Coding for adjuvant therapy were derived using start of adjuvant therapy from diagnosis  
8 and surgery to obtain reliable estimates. However, discrimination between RT-sensitizing  
9 chemotherapy was not possible based on the current available data.

10

### 11 *Statistical Analysis*

12 Categorical variables were compared using the chi-squared test. Non-normally  
13 distributed data were analyzed using the Mann-Whitney U test. Survival was estimated  
14 using Kaplan-Meier survival curves and compared using the log-rank test. Multivariable  
15 analyses used Cox proportional hazards models. The conditional probability of receiving  
16 RT, i.e., the propensity score, was estimated using a multivariable logistic regression  
17 model including all patient- and hospital-level variables listed above. Next, we created  
18 balanced cohorts using 1-to-1 nearest-neighbor propensity score matching (PSM) without  
19 replacement (caliper width 0.1 standard deviations).<sup>29</sup> Balance diagnostics were  
20 conducted by using standardized mean differences, with a value  $< 0.1$  indicating good  
21 balance.<sup>29</sup> We then evaluated overall survival (OS) of matched patients with and without  
22 adjuvant chemotherapy. In order to address any residual confounding after PSM,  
23 multivariable Cox proportional hazards models again adjusted for all variables listed  
24 above from the propensity-matched cohort. A stratified survival analysis by lymph node  
25 and margin status and interaction analyses between RT and lymph node and margin  
26 status were performed. Sensitivity landmark analyses were performed in patients  
27 surviving  $> 6$  months to account for immortal time bias. A p-value of  $< 0.05$  was considered  
28 to be statistically significant. Data analysis was performed using R Foundation Statistical  
29 software (R 3.2.2) with TableOne, ggplot2, Hmisc, Matchit and survival packages (R  
30 Foundation for Statistical Computing, Vienna, Austria) as previously described.<sup>11</sup> The  
31 study protocol was deemed exempt from review by the University of Michigan Institutional

1 Review Board.

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## 1 **Results**

### 2 *Patient Demographics and Clinicopathologic Characteristics*

3 This study included 6,317 patients with surgically resected dCCA. Of these patients,  
4 2,162 (34%) received RT and 4,155 (66%) had noRT. Median follow-up was 19 months  
5 (interquartile range 10 - 33 months). Baseline demographics of the unmatched cohort  
6 confirmed that patients receiving RT were younger and had lower comorbidity burden  
7 (Table 1). There was also a variation in the receipt of RT between centres from 0% to  
8 100% (Supplementary Figure 1). The median number of lymph nodes examined in the  
9 entire cohort was 8, with no difference between the groups ( $p = 0.3$ ). They also had more  
10 positive lymph nodes and higher margin-positive resections, consistent with treatment  
11 selection bias. To account for this treatment selection bias, PSM was performed as  
12 described above. This resulted in well-balanced cohorts (Table 1). Standardized mean  
13 differences were calculated for each variable and ranged between 0.01 and 0.05,  
14 indicating good balance.

### 15 16 *Association of Adjuvant Radiotherapy with Survival*

17 For the overall cohort, median survival was 32 months, and 5-year survival was 30%. In  
18 the unmatched cohort, the survival of patients receiving RT was similar to those under  
19 noRT (median: 28 vs 29 months, 5-year: 28% vs 29%,  $p=1.0$ ) (Figure 1A). In the matched  
20 cohort, patients receiving RT had a significant survival advantage (median: 29 vs 27  
21 months, 5-year: 28% vs 25%,  $p=0.017$ ) (Figure 1B). In the PSM multivariable analysis,  
22 factors associated with adverse survival included older age, higher comorbidity score,  
23 advanced tumors, N+ tumors, and positive margin status (Table 3). Patients receiving RT  
24 had improved survival after PSM and multivariable adjustment (HR: 0.86,  $CI_{95\%}$ : 0.79 -  
25 0.94,  $p=0.001$ ) (Table 2).

### 26 27 *Interaction between Adjuvant Radiotherapy and Nodal Status*

28 Further analyses were performed to further understand the impact of RT in subgroups of  
29 nodal status. In unadjusted analysis, there were no significant differences in survival  
30 between RT and noRT patients in patients with N0 disease (median: 40 vs 40 months,  
31  $p=0.6$ ) (Supplementary Figure 2A), but were significantly different in patients with N+

1 disease (median: 25 vs 23 months,  $p=0.03$ ) (Supplementary Figure 2B) and in patients  
2 with Nx disease (median: 25 vs 17 months,  $p=0.013$ ) (Supplementary Figure 2C). In  
3 multivariable analyses modeling the interaction between receipt of RT and nodal status,  
4 a survival benefit again was seen for patients with N0 disease (HR: 0.76,  $CI_{95\%}$ : 0.65 -  
5 0.87,  $p<0.001$ ), N+ disease (HR: 0.78,  $CI_{95\%}$ : 0.72 - 0.90,  $p<0.001$ ), and Nx disease (HR:  
6 0.62,  $CI_{95\%}$ : 0.68 - 0.79,  $p<0.001$ ) (Table 3, Supplementary Table 1). As a sensitivity  
7 analysis, we performed three separate multivariable analyses in cohorts including only  
8 those with N0, N+ and Nx disease, respectively. These analyses confirmed the benefit of  
9 RT in both subgroups (Table 4).

#### 11 *Interaction between Adjuvant Radiotherapy and Margin Status*

12 Interaction analyses were performed to further understand the impact of RT by margin  
13 status. In unadjusted analysis, there were no significant differences in survival between  
14 RT and noRT patients in patients with R0 resections (median: 32 vs 31 months,  $p=0.2$ )  
15 (Supplementary Figure 3A), but survival was significantly different in patients with R1  
16 resections (median: 24 vs 20 months,  $p<0.001$ ) (Supplementary Figure 3B). In  
17 multivariable analyses modeling the interaction between receipt of RT and margin status,  
18 a survival benefit of RT again was seen for patients with R0 (HR: 0.83,  $CI_{95\%}$ : 0.74 - 0.92,  
19  $p<0.001$ ) and R1 margin status (HR: 0.79,  $CI_{95\%}$ : 0.66 - 0.93,  $p<0.001$ ) (Table 3,  
20 Supplementary Table 2). As a sensitivity analysis, we performed two separate  
21 multivariable analyses in cohorts including only those with R0 or R1 margin, respectively.  
22 These analyses confirmed the benefit of AC in both subgroups (Table 4).

#### 24 *Association of Adjuvant Chemotherapy and Radiotherapy with Survival*

25 Interaction analyses were performed to further understand the impact of RT by AC status.  
26 In unadjusted analysis, there were significant differences in survival between RT and  
27 noRT patients in patients with no AC (median: 26 vs 23 months,  $p=0.02$ ) (Figure 2A) and  
28 in patients receiving AC (median: 28 vs 26 months,  $p=0.03$ ) (Figure 2B). In multivariable  
29 analyses modeling the interaction between receipt of RT and AC status, a survival benefit  
30 of RT again was seen for patients with no AC (HR: 0.57,  $CI_{95\%}$ : 0.49 - 0.65,  $p<0.001$ ) and  
31 in patients receiving AC (HR: 0.58,  $CI_{95\%}$ : 0.51 - 0.67,  $p<0.001$ ) (Table 3, Supplementary

1 Table 3). As a sensitivity analysis, we performed two separate multivariable analyses in  
2 cohorts including only those without or with AC, respectively. These analyses confirmed  
3 the benefit of AC in both subgroups (Table 4).

#### 4 5 *Sensitivity Analysis of $\geq 6$ Lymph Nodes Examined*

6 “In this cohort, 61.0% (3,852/6,317) of patients had  $\geq 6$  lymph nodes examined. Of these  
7 patients, 1,271 (33%) received RT and 2,581 (67%) had noRT. In the unmatched cohort,  
8 the survival of patients receiving RT was similar those under noRT (median: 31 vs 30  
9 months, 5-year: 30% vs 29%,  $p=0.7$ ) (Supplementary Figure 4A). In the matched cohort,  
10 patients receiving RT had a similar survival (median: 31 vs 28 months, 5-year: 28% vs  
11 26%,  $p=0.1$ ) (Supplementary Figure 4B). Patients receiving RT had improved survival  
12 after PSM and multivariable adjustment (HR: 0.88,  $CI_{95\%}$ : 0.78 - 0.98,  $p=0.026$ )  
13 (Supplementary Table 4). Interaction analyses performed by nodal and margin status  
14 demonstrated benefit of RT in patients with margin-positive disease only.”

## 1 Discussion

2 Distal CCA remains a relatively uncommon malignancy without broadly accepted  
3 protocols for optimal multimodality management following curative-intent resection.  
4 Despite current NCCN guidelines<sup>30</sup> advocate AC for all dCCA patients, there remains an  
5 ongoing dilemma regarding the role of RT after resection of dCCA, and practice varies  
6 significantly (Supplementary Figure 1). In this large national registry analysis including  
7 8,233 patients, RT after resected dCCA was associated with improved survival after  
8 multivariable adjustment and accounting for treatment selection bias. Subset analyses  
9 revealed that this benefit was maintained irrespective of pathological nodal and margin  
10 status. Although the absolute magnitude of the survival difference (2 months) is modest,  
11 it is noteworthy to point out that the survival differences in several landmark clinical trials  
12 in pancreatic cancer were also < 6 months such as CONKO-001 (2.6 months), ESPAC-1  
13 (4.6 months), and JSAP-2 (3.9 months). These data suggest a benefit of the routine use  
14 of RT for dCCA, even in the absence of nodal involvement or compromised surgical  
15 margins.

16  
17 To date, there are no prospective RCTs that establish the benefit of RT in patients with  
18 completely resected dCCA. This is because previous RCTs<sup>9,10,17-22</sup> include BTC's and no  
19 specific analyses by dCCA. For instance, the phase II SWOG S0809<sup>31</sup> demonstrated the  
20 combination of gemcitabine, capecitabine and concurrent capecitabine with radiotherapy  
21 was well tolerated. Whilst the ongoing phase III SWOG S0809 will improve current  
22 evidence base, the inclusion of GBC may similarly complicate interpretation of results for  
23 dCCA. Therefore, current evidence for RT in resected dCCA is limited to retrospective,  
24 offering conflicting results.<sup>13-16</sup> A Surveillance, Epidemiology, and End Results (SEER)  
25 analysis demonstrated significant survival benefit with RT compared to noRT for Stage  
26 I/II disease (36.0 vs 28.0 months,  $p < 0.001$ ), not Stage III (including nodal involvement)  
27 disease.<sup>13</sup> Previous analyses utilizing the NCDB failed to demonstrate any benefit with  
28 addition to RT to AC (n=411) compared to AC (n=260) (median: 32.1 vs 34.5 months) for  
29 resected dCCA.<sup>14</sup> These findings may reflect type II errors due to insufficient power. In  
30 the present large study, while still retrospective, used robust methods to account for

1 treatment selection bias and still demonstrated survival benefit with RT, irrespective of  
2 the receipt of AC.

3  
4 The presence of high-risk factors, such as nodal involvement or positive margins, is  
5 commonly used to select patients for adjuvant therapy, as evidenced by the distribution of  
6 RT use in the unmatched cohort. To date, no published studies have explored the role of  
7 RT specifically in patients with node-negative disease or margin-negative resections.  
8 Anecdotally, these patients are likely to have better survival outcomes and do not  
9 routinely receive adjuvant treatment. However, local and systemic recurrence in these  
10 patients may be as high as 20%<sup>32-35</sup> and 40%,<sup>32, 34, 36, 37</sup> respectively. Further, risk of nodal  
11 understaging from low lymph nodes examined may also be an issue owing to varying  
12 practices within institutions. For instance, in the present study, only 61.0% (3,852/6,317)  
13 of patients had  $\geq 6$  lymph nodes examined. In addition, our results suggest that patients  
14 with Nx disease have poorer survival than those with N1 disease. Therefore, RT has a  
15 role in these subgroups of patients by reducing or delaying recurrence and prolonging  
16 survival, as these are high-risk patients. However, there may be a subgroup of patients  
17 in whom the benefit of RT does not outweigh the risk<sup>38</sup>, but these have not yet been  
18 defined.

19  
20 Several limitations of our study should be acknowledged. First, despite the use of PSM  
21 to address treatment selection bias, the potential for residual bias remains in this  
22 retrospective cohort study. Second, the duration of adjuvant chemotherapy and the  
23 specific regimens used are not available from NCDB. As a result, we were not able to  
24 assess the role of RT-sensitizing chemotherapy, which may or may not be associated  
25 with a similar survival benefit. Third, we did not assess the role of neoadjuvant RT, which  
26 may or may not be associated with a similar survival benefit. Fourth, patients with survival  
27 of  $< 6$  months were excluded primarily to account for patients who did not survive long  
28 enough to receive RT. However, it is possible that doing so also excluded patients who  
29 died due to RT-related complications, although this is likely to be a small group. Finally,  
30 because NCDB does not include data on recurrence patterns, we can only speculate as  
31 to whether improved survival was associated with local or systemic disease control.

1

**2 Conclusion**

3 In this large nationwide retrospective study, RT was associated with a survival benefit in  
4 patients with resected dCCA, regardless of pathological nodal involvement, resection  
5 margin status and receipt of AC. These data suggest RT should be broadly considered in  
6 the multimodality treatment of dCCA. Broad acceptance of the routine use of RT in dCCA  
7 would also support its use in the neoadjuvant setting, just as in pancreatic cancer, so that  
8 postoperative complications have less impact on the completion of multimodality therapy.

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1 **Figure Legends**

2 Figure 1 Overall survival of adjuvant radiotherapy following resection for distal cholangiocarcinoma in  
3 unmatched and matched cohorts

4 Figure 2 Overall survival of adjuvant radiotherapy following resection for distal cholangiocarcinoma  
5 stratified by adjuvant chemotherapy in matched cohorts (A) No adjuvant chemotherapy (B) Adjuvant  
6 chemotherapy

7

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1 Table 1 Clinicopathologic characteristics of distal cholangiocarcinoma by receipt of adjuvant radiotherapy  
2 in unmatched cohort

		Unmatched Cohort			Matched Cohort		
		No	Yes	p-value	No	Yes	p-value
<b>Hospital Factors</b>							
Center Volume	1 (Lowest)	359 (8.6)	352 (16.3)	<0.001	201 (13.3)	221 (14.6)	0.3
	2	522 (12.6)	397 (18.4)		222 (14.7)	254 (16.8)	
	3	746 (18.0)	416 (19.2)		280 (18.6)	280 (18.6)	
	4	1132 (27.2)	513 (23.7)		381 (25.2)	368 (24.4)	
	5 (Highest)	1396 (33.6)	484 (22.4)		425 (28.2)	386 (25.6)	
Facility Type	Community	985 (23.7)	681 (31.5)	<0.001	445 (29.5)	438 (29.0)	0.4
	Academic	2694 (64.8)	1120 (51.8)		875 (58.0)	855 (56.7)	
	Others	476 (11.5)	361 (16.7)		189 (12.5)	216 (14.3)	
Facility Location	Northeast	1045 (25.2)	530 (24.5)	0.7	388 (25.7)	370 (24.5)	0.7
	South	1387 (33.4)	752 (34.8)		501 (33.2)	520 (34.5)	
	Midwest	1038 (25.0)	536 (24.8)		375 (24.9)	388 (25.7)	
	West	685 (16.5)	344 (15.9)		245 (16.2)	231 (15.3)	
<b>Patient Factors</b>							
Year of Diagnosis	2006-2007	1060 (25.5)	630 (29.1)	<0.001	434 (28.8)	448 (29.7)	0.3
	2008-2009	648 (15.6)	335 (15.5)		197 (13.1)	217 (14.4)	
	2010-2011	741 (17.8)	424 (19.6)		254 (16.8)	265 (17.6)	
	2012-2013	785 (18.9)	390 (18.0)		283 (18.8)	282 (18.7)	
	2014-2016	921 (22.2)	383 (17.7)		341 (22.6)	297 (19.7)	
Age at Diagnosis, years	36-50	295 (7.1)	237 (11.0)	<0.001	142 (9.4)	137 (9.1)	0.9
	51-65	1373 (33.0)	901 (41.7)		593 (39.3)	615 (40.8)	
	66-80	2104 (50.6)	935 (43.2)		704 (46.7)	685 (45.4)	
	>80	378 (9.1)	89 (4.1)		70 (4.6)	72 (4.8)	
Sex	Male	2570 (61.9)	1376 (63.6)	0.1	928 (61.5)	945 (62.6)	0.5
	Female	1585 (38.1)	786 (36.4)		581 (38.5)	564 (37.4)	
CDCC Score	0-1	3852 (92.7)	2061 (95.3)	<0.001	1428 (94.6)	1430 (94.8)	0.9
	≥2	303 (7.3)	101 (4.7)		81 (5.4)	79 (5.2)	
Insurance Status	Uninsured	247 (5.9)	128 (5.9)	<0.001	95 (6.3)	94 (6.2)	1.0
	Private Insurance	1469 (35.4)	989 (45.7)		630 (41.7)	639 (42.3)	
	Medicaid	188 (4.5)	99 (4.6)		74 (4.9)	73 (4.8)	
	Medicare	2251 (54.2)	946 (43.8)		710 (47.1)	703 (46.6)	
Median Household Income	≤\$47,999	1529 (36.8)	753 (34.8)	0.3	547 (36.2)	525 (34.8)	0.7
	\$48,000 - \$62,999	1126 (27.1)	606 (28.0)		402 (26.6)	416 (27.6)	
	≥\$63,000	1500 (36.1)	803 (37.1)		560 (37.1)	568 (37.6)	
<b>Tumor Factors</b>							
Tumor Grade	Well	506 (12.2)	261 (12.1)	0.001	168 (11.1)	157 (10.4)	0.8
	Moderate	1861 (44.8)	1030 (47.6)		714 (47.3)	715 (47.4)	
	Poor	1235 (29.7)	655 (30.3)		458 (30.4)	479 (31.7)	
	Anaplastic	553 (13.3)	216 (10.0)		169 (11.2)	158 (10.5)	
AJCC Pathological T Classification	pTx	939 (22.6)	463 (21.4)	<0.001	302 (20.0)	320 (21.2)	0.8
	pT1	454 (10.9)	106 (4.9)		92 (6.1)	82 (5.4)	

	pT2	994 (23.9)	578 (26.7)		355 (23.5)	363 (24.1)	
	pT3	1571 (37.8)	884 (40.9)		669 (44.3)	652 (43.2)	
	pT4	197 (4.7)	131 (6.1)		91 (6.0)	92 (6.1)	
AJCC Pathological N Classification	N0	2070 (49.8)	850 (39.3)	<0.001	602 (39.9)	585 (38.8)	0.8
	N+	1632 (39.3)	1124 (52.0)		772 (51.2)	782 (51.8)	
	Nx	453 (10.9)	188 (8.7)		135 (8.9)	142 (9.4)	
Margin Status	Negative	3302 (79.5)	1352 (62.5)	<0.001	1065 (70.6)	1034 (68.5)	0.2
	Positive	853 (20.5)	810 (37.5)		444 (29.4)	475 (31.5)	
Lymphovascular Invasion	Absent	3305 (79.5)	1677 (77.6)	0.1	1144 (75.8)	1172 (77.7)	0.2
	Present	850 (20.5)	485 (22.4)		365 (24.2)	337 (22.3)	
Adjuvant Chemotherapy	No	2990 (72.0)	440 (20.4)	<0.001	429 (28.4)	429 (28.4)	1.0
	Yes	1165 (28.0)	1722 (79.6)		1080 (71.6)	1080 (71.6)	

1 \*Abbreviations: AJCC: American Joint Commission on Cancer, CDCC: Charlson-Deyo comorbidity. \*\* Additional variables included  
 2 into the propensity matching omitted from tables were hospital factors (hospital distance), patient factors (race, residence, education  
 3 level)

1 Table 2 Multivariable Cox regression model of survival of patients with resected distal cholangiocarcinoma  
 2 in the matched cohort

		Hazard ratio (CI <sub>95%</sub> )	p-value
<b>Hospital Factors</b>			
Center Volume	1 (Lowest)	REF	0.8
	2	0.96 (0.82-1.13)	
	3	0.97 (0.83-1.14)	
	4	0.87 (0.73-1.03)	
	5 (Highest)	0.87 (0.72-1.04)	
Facility Type	Community	REF	0.9
	Academic	0.97 (0.85-1.10)	
	Others	1.04 (0.90-1.21)	
Facility Location	Northeast	REF	0.1
	South	1.13 (1.00-1.28)	
	Midwest	1.17 (1.03-1.33)	
	West	1.14 (0.99-1.32)	
<b>Patient Factors</b>		REF	
Year of Diagnosis	2006 - 2007	REF	0.3
	2008 - 2009	1.12 (0.96-1.30)	
	2010 - 2011	0.83 (0.71-0.97)	
	2012 - 2013	0.79 (0.67-0.93)	
	2014 - 2016	0.72 (0.60-0.86)	
Age at Diagnosis, years	36 - 50	REF	<0.001
	51 - 65	1.09 (0.93-1.29)	
	66 - 80	1.24 (1.03-1.49)	
	>80	1.72 (1.33-2.22)	
Sex	Male	REF	0.9
	Female	1.02 (0.94-1.12)	
CDCC Score	0 - 1	REF	0.1
	≥2	1.16 (0.96-1.40)	
Insurance Status	Uninsured	REF	0.1
	Private Insurance	1.02 (0.84-1.24)	
	Medicaid	1.14 (0.87-1.50)	
	Medicare	1.05 (0.85-1.28)	
Median Household Income	≤\$47,999	REF	0.05
	\$48,000 - \$62,999	0.93 (0.83-1.06)	
	≥\$63,000	0.97 (0.84-1.12)	
<b>Tumor Factors</b>		REF	
Tumor Grade	Well	REF	<0.001
	Moderate	1.22 (1.05-1.42)	
	Poor	1.36 (1.16-1.59)	
	Anaplastic	1.38 (1.14-1.67)	
AJCC Pathological T Stage	Tx	REF	<0.001
	T1	0.74 (0.59-0.93)	
	T2	1.06 (0.93-1.22)	
	T3	1.12 (0.99-1.27)	

	T4	1.17 (0.96-1.41)	
AJCC Pathological N Stage	N0	REF	<0.001
	N+	1.58 (1.44-1.75)	
	Nx	1.64 (1.40-1.93)	
Margin Status	Negative	REF	<0.001
	Positive	1.58 (1.43-1.73)	
Lymphovascular Invasion	Absent	REF	0.015
	Present	1.18 (1.03-1.34)	
Adjuvant Chemotherapy	No	REF	0.9
	Yes	0.99 (0.87-1.13)	
Adjuvant Radiotherapy	No	REF	0.001
	Yes	0.86 (0.79-0.94)	

1

2 \*Abbreviations: AJCC: American Joint Commission on Cancer, CDCC: Charlson-Deyo comorbidity. \*\* Additional variables included

3 into the propensity matching omitted from tables were hospital factors (hospital distance), patient factors (race, residence, education

4 level)



1 Table 3 Multivariable cox regression model of survival of patients with resected distal cholangiocarcinoma  
 2 in matched cohort, with interactions between radiotherapy and nodal status and margin status

3

		Hazard ratio (CI <sub>95%</sub> )	p-value
<b>Interaction by nodal status</b>			
	N0 * noRT	REF	<0.001
	N0 * RT	0.76 (0.65 - 0.87)	
Adjuvant Radiotherapy * AJCC	N+ * noRT	1.48 (1.30 - 1.69)	
Pathological N Stage	N+ * RT	0.78 (0.72 - 0.90)	
	Nx * noRT	1.79 (1.46 - 2.19)	
	Nx * RT	0.62 (0.68 - 0.79)	
<b>Interaction by margin status</b>			
	R0 * noRT	REF	<0.001
Adjuvant Radiotherapy * Margin	R0 * RT	0.83 (0.74 - 0.92)	
Status	R1 * noRT	1.81 (1.60 - 2.04)	
	R1 * RT	0.79 (0.66 - 0.93)	
<b>Interaction by chemotherapy status</b>			
Adjuvant Radiotherapy *	noRT * No AC	REF	<0.001
Adjuvant Chemotherapy	RT * No AC	0.57 (0.49 - 0.65)	
	noRT * AC	0.67 (0.58 - 0.77)	
	RT * AC	0.58 (0.51 - 0.67)	

4

5 AC: Adjuvant chemotherapy, RT: Adjuvant radiotherapy, AJCC: American Joint Commission on Cancer, CDCC: Charlson Deyo  
 6 Comorbidity score, noRT: No radiotherapy, REF: Reference

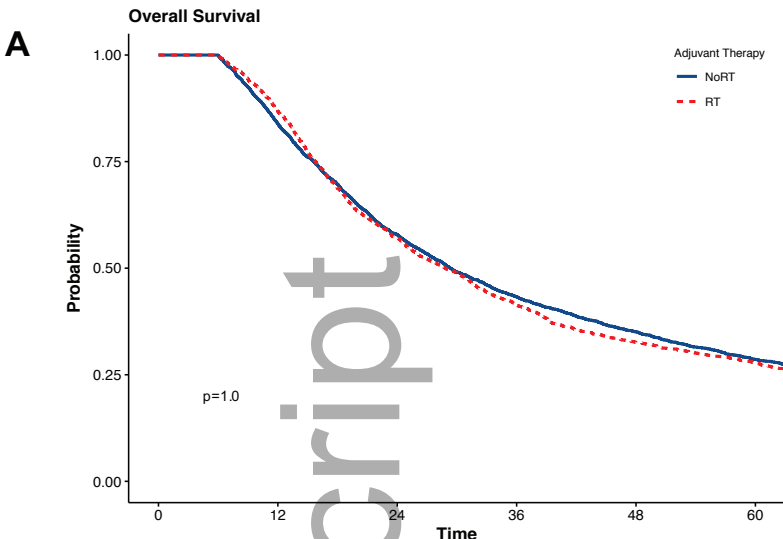
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1 Table 4 Association of adjuvant radiotherapy with overall survival of patients with resected distal  
 2 cholangiocarcinoma in unmatched and matched cohorts and stratified by nodal status and margin status  
 3

Cohort	Radiotherapy	Median survival (IQR), months	Hazard ratio (CI <sub>95%</sub> )	p-value
<b>Stratified by nodal status in matched cohort</b>				
N0	noRT	39.5 (33.3 - 45.0)	REF	0.042
	RT	40.2 (36.6 - 45.5)	0.87 (0.76 - 0.99)	
N+	noRT	22.6 (21.4 - 25.1)	REF	0.021
	RT	24.5 (22.3 - 27.0)	0.87 (0.77 - 0.98)	
Nx	noRT	16.5 (14.4 - 23.3)	REF	0.003
	RT	24.8 (20.3 - 31.5)	0.64 (0.48 - 0.86)	
<b>Stratified by margin status in matched cohort</b>				
R0	noRT	31.4 (28.9 - 34.0)	REF	0.042
	RT	32.1 (30.5 - 36.0)	0.90 (0.81-0.99)	
R1	noRT	19.6 (18.1 - 20.9)	REF	0.002
	RT	23.5 (20.4 - 25.8)	0.78 (0.67-0.91)	
<b>Stratified by receipt of AC in matched cohort</b>				
noRT	noAC	25.2 (21.6 - 28.9)	REF	0.004
	AC	26.1 (23.8 - 30.7)	0.79 (0.68-0.93)	
RT	noAC	27.4 (25.5 - 28.9)	REF	0.049
	AC	30.2 (28.2 - 32.1)	0.90 (0.81-1.00)	

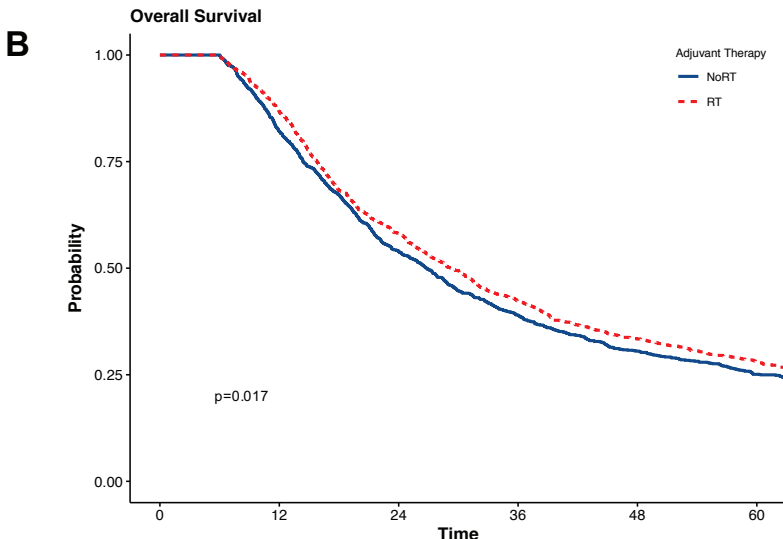
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 5 AC: Adjuvant chemotherapy, RT: adjuvant radiotherapy, CI: Confidence Interval, IQR: Interquartile Range, noAC: no adjuvant  
 6 chemotherapy, noRT: no adjuvant radiotherapy, REF: reference

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Number at risk

NoRT	4155	3405	2154	1384	942	642
RT	2162	1838	1118	729	505	375



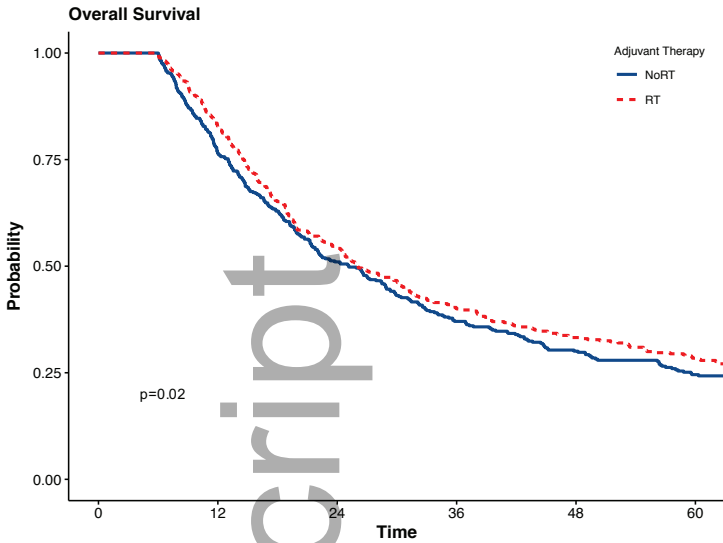
Number at risk

NoRT	1509	1215	735	452	296	209
RT	1509	1280	791	507	349	249

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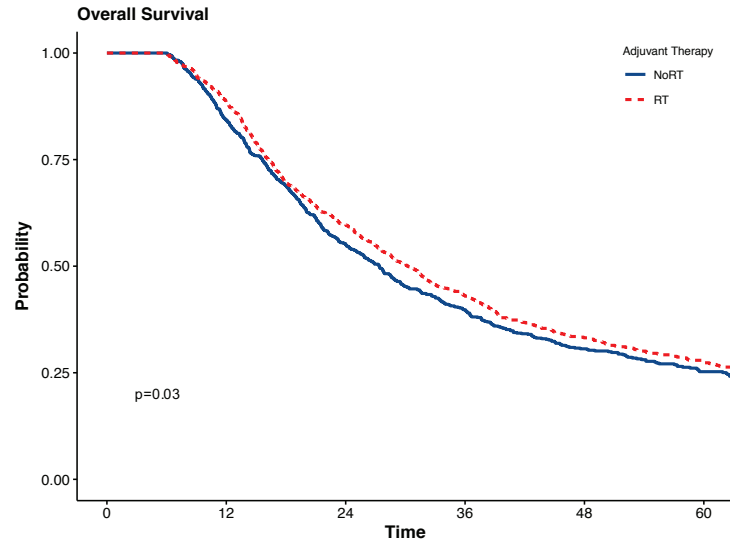
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**A**



	0	12	24	36	48	60
NoRT	429	322	209	145	113	87
RT	429	350	224	162	133	109

**B**



	0	12	24	36	48	60
NoRT	1080	893	526	307	183	122
RT	1080	930	567	345	216	140

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