

## An efficient $R_{1\rho}$ dispersion imaging method for human knee cartilage using constant magnetization prepared turbo-FLASH

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## ABSTRACT

This work aimed to develop an efficient  $R_{1\rho}$  dispersion imaging method for clinical studies of human knee cartilage at 3T. Eight constant magnetizations ( $M_{prep}$ ) were prepared by tailoring both the duration and amplitude ( $\omega_1$ ) of a fully-refocused spin-lock preparation pulse. The limited  $M_{prep}$  dynamic range was expanded by the measure, equivalent to that with  $\omega_1=\infty$ , from the magic angle location in the deep femoral cartilage. The developed protocol with  $M_{prep}=60\%$  was demonstrated on one subject's bilateral and two subjects' unilateral asymptomatic knees. The repeatability of the proposed protocol was estimated by two repeated scans with a three-month gap for the last two subjects. The synthetic  $R_{1\rho}$  and  $R_2$  derived from  $R_{1\rho}$  dispersions were compared with the published references using the state-of-the-art  $R_{1\rho}$  and  $R_2$  mapping (MAPSS). The proposed protocol demonstrated good (<5%) repeatability quantified by the intra- and inter-subject's coefficient of variations in the femoral and tibial cartilage. The synthetic  $R_{1\rho}$  (1/s) and the references were comparable in the femoral ( $23.0\pm 5.3$  vs.  $24.1\pm 3.8$ ,  $P=.67$ ) and the tibial ( $29.1\pm 8.8$  vs.  $27.1\pm 5.1$ ,  $P=.62$ ), but not the patellar ( $16.5\pm 4.9$  vs.  $22.7\pm 1.6$ ,  $P<.01$ ) cartilage. The same

trends were also observed for the current and the previous  $R_2$ . In conclusion, the developed  $R_{1\rho}$  dispersion imaging scheme has been revealed not only efficient but also robust for clinical studies of human knee cartilage at 3T.

**Key words:** Quantitative  $R_{1\rho}$  dispersion imaging, tailored constant  $R_{1\rho}$  weighting, turbo-FLASH, fully-refocused spin-lock preparation, magic angle effect, human knee articular cartilage.

**Abbreviations:** CV, coefficient of variation;  $CV_{\text{rms}}$ , root-mean-square of CVs; DZ, deep zone; FA, flip angle; FLASH, fast low angle shot; FOV, field of view; HR, hit rate; MA, magic angle; MAPSS, magnetization-prepared angle-modulated partitioned  $k$ -space spoiled gradient echo snapshots;  $M_{\text{prep}}$ , spin-lock prepared magnetization; PG, proteoglycan; REF, internal reference; RF, radio frequency; ROI, region of interest; SENSE, sensitivity encoding; SL, spin-lock; SZ, superficial zone; TSL, spin-lock time;  $\mu\text{s}$ , microsecond;

## 1 | INTRODUCTION

Water proton MR relaxation is not only an important factor governing an exquisite soft-tissue contrast in clinical MR imaging,<sup>1</sup> but it also becomes a powerful tool for studying in detail the structural and dynamic information about biological tissues.<sup>2-4</sup> One of such parameters is the longitudinal relaxation ( $T_{1\rho}=1/R_{1\rho}$ ) in a rotating frame, which has been demonstrated to provide unique insights into water-macromolecule interactions.<sup>5-8</sup> The

observed relaxation rate  $R_{1\rho}$  depends predominantly on a spin-lock (SL) amplitude  $\omega_1$ ; in other words,  $R_{1\rho}$  varies with  $\omega_1$  - a well-known phenomenon referred to as  $R_{1\rho}$  dispersion in the literature.<sup>5,8</sup> As early as 1970s,  $R_{1\rho}$  dispersion had been utilized for investigating pathophysiological changes in biological samples.<sup>5</sup> Two decades later, the first  $R_{1\rho}$  imaging study on articular cartilage degeneration was reported,<sup>9</sup> and since then, considerable efforts have been devoted to developing and standardizing  $R_{1\rho}$  mapping methodology across primary MR system platforms in clinical settings.<sup>8,10-13</sup>

$R_{1\rho}$  could be viewed as a specific transverse relaxation rate ( $R_2$ ) under the influence of an applied SL RF pulse, and it is particularly sensitive to low-frequency water molecular interactions.<sup>5,7,14</sup>  $R_{1\rho}$  mapping of articular cartilage has been motivated by the diagnostic utility of a noninvasive and sensitive imaging method that can detect early cartilage degeneration in the absence of structural changes apparent on standard MR imaging.<sup>11,15-17</sup> Since  $R_{1\rho}$  was first proposed as a promising MR biomarker for characterizing changes in proteoglycan (PG) content, the specificity of its changes with PG alterations has not been well understood.<sup>8,15,18</sup> The existing preclinical and clinical evidences suggest that  $R_{1\rho}$  itself is not specifically sensitive to PG alterations, but rather  $R_{1\rho}$  dispersion is predominantly susceptible to collagen changes, which are characterized by the residual dipolar interaction of some ordered water molecules buried inside of collagen triple-helical microstructures.<sup>7,8,19,20</sup> These observations are in accordance with two previous studies

from early 2000s<sup>21,22</sup> as well as with some recent investigations relevant to the underlying  $R_{1\rho}$  relaxation mechanisms in cartilage.<sup>19,20,23</sup>

Recently, a theoretical framework of  $R_{1\rho}$  dispersion in cartilage has been outlined,<sup>7</sup> suggesting that an orientation-independent MR metric, named order parameter  $S^{24}$ , can be derived for detecting early collagen degeneration in joint osteoarthritis. Traditionally,  $R_{1\rho}$  dispersion profile was obtained by collecting a series of  $R_{1\rho}$  mapping by varying  $\omega_1$ , with each  $R_{1\rho}$  mapping in turn being created from another series of  $R_{1\rho}$ -weighted images with varying SL durations (TSL).<sup>7,8,25</sup> As demonstrated schematically in Figure 1c, the standard  $R_{1\rho}$  dispersion imaging (white dots) takes an unrealistically long acquisition time; thus, it is deemed to be impractical for clinical studies.

Apart from a lengthy acquisition time, it is also challenging to persistently obtain a reliable  $R_{1\rho}$  under various  $\omega_1$  conditions using a magnetization-prepared spoiled turbo-FLASH sequence.<sup>17,26,27</sup> The potential  $R_{1\rho}$  quantification errors could be introduced during SL preparation and/or during imaging readout. It has been well documented that the prepared SL magnetization ( $M_{prep}$ ) is highly susceptible to  $B_0$  and  $B_1$  non-uniform field artifacts.<sup>28-32</sup> Although many advanced SL schemes, including those using adiabatic pulses, have been developed in the past, none of these methods was specifically designed or optimized for  $R_{1\rho}$  dispersion imaging using a broad range of  $\omega_1$ .

The prepared  $M_{prep}$ , on the other hand, could be further compounded by an adverse  $T_1$  relaxation effect, stemmed from the prepared transient signal evolution towards steady-

state during imaging readout.<sup>17,27</sup> To mitigate this detrimental effect, advanced pulse sequences including RF phase cycling and tailored excitation flip angles (FA) have been proposed;<sup>17,33</sup> however, these advanced techniques are not suitable for clinical  $R_{1\rho}$  dispersion imaging because of a twofold increase of acquisition time as well as the complexity in tailoring FA schemes.

To further explore  $R_{1\rho}$  dispersion of articular cartilage in clinical studies, there exists an unmet need to develop a reliable acquisition protocol without substantially increasing the imaging time. Hence, the aim of this work was to develop a practical  $R_{1\rho}$  dispersion imaging method for clinical studies of the human knee cartilage. The proposed protocol was evaluated on four human asymptomatic knees from three adult volunteers at 3T, and the results were compared with those measured with the state-of-the-art  $R_{1\rho}$  mapping sequences (MAPSS) in the literature.<sup>17</sup>

## 2 | METHODS

### 2.1 | Constant $R_{1\rho}$ weighting using tailored TSL and $\omega_1$

An image voxel signal,  $S(TSL, \omega_1)$ , from  $R_{1\rho}$ -weighted image of cartilage<sup>7</sup> can be expressed using Eqs. 1-2,

$$S(TSL, \omega_1) = S_0 \exp(-R_{1\rho} * TSL) \quad (1)$$

$$R_{1\rho} = R_2^i + \frac{R_2^{ex}}{1+4\omega_1^2\tau_{ex}^2} + \frac{R_2^a(\theta)}{1+4\omega_1^2\tau_b^2} \quad (2)$$

where  $S_0$ ,  $R_2^i$ ,  $R_2^a$  and  $R_2^{ex}$  denote respectively an initial signal, an isotropic and an anisotropic dipolar relaxation rates, and a chemical exchange induced relaxation rate. Here, the chemical exchange time and the anisotropic dipolar interaction correlation time are represented by  $\tau_{ex}$  and  $\tau_b$ , respectively. Note,  $R_2^{ex}$  contributes only a few percent to  $R_{1\rho}$  in cartilage at 3T, and thus it can be safely disregarded from Eq. 2.<sup>7,8</sup> Accordingly,  $R_2^{ex}$  was set to zero in this work unless stated otherwise.

$R_2^a(\theta)$  is normally written as  $R_2^a \langle 3\cos^2\theta - 1 \rangle^2 / 4$ , with  $\theta$  an angle between the collagen fiber primary direction in the deep femoral cartilage and  $B_0$ ;<sup>7,34</sup> consequently,  $R_{1\rho}$  will become  $R_2^i$  when  $\theta=54.7^\circ$ , the so-called magic angle (MA). On the other hand, the same result can also be obtained when  $\omega_1=\infty$ . This fact had been exploited herein to increase the limited dynamic range in the prepared  $M_{prep}$ , defined as  $\exp(-R_{1\rho} * TSL)$ . More specifically, the signal derived from  $\theta=54.7^\circ$  in the deep femoral cartilage was treated as that with  $\omega_1=\infty$ . This extra information, i.e.  $S_0 \exp(-R_2^i * TSL)$ , has been referred to as an internal reference (REF) in the literature.<sup>23</sup>

To our knowledge, only two quantitative  $R_{1\rho}$  dispersion investigations on the human knee cartilage *in vivo* at 3T have been reported in the past.<sup>7,25</sup> The so-called inflection point ( $\omega_{ip}$ ) on  $R_{1\rho}$  dispersion curve is determined by setting the second derivative of Eq. 2 to zero, leading to the relationship of  $1/\tau_b = 2\sqrt{3}\omega_{ip}$ . An average  $\tau_b$  of  $262 \pm 58$  ( $\mu s$ ) could be thus obtained based on the reported  $\omega_{ip}$  values,<sup>25</sup> consistent with the previous estimation.<sup>7</sup> Hence,  $\tau_b$  of 300 ( $\mu s$ ) was chosen for numerical simulations in this

work. Additionally,  $R_2^i$  and  $R_2^a$  were estimated to be 20 (1/s) based on the measured  $R_{1\rho}$  dispersion profiles in Figure 3A from the original paper.<sup>25</sup> Given all these assumed values, a specific  $M_{prep}$  can be calculated by a judicious combination of TSL and  $\omega_1$  in Eqs. 1-2 (see Figure 1c). One constant  $M_{prep}$  of 60% was prepared and tabulated in Table 1, containing eight pairs of TSL and  $\omega_1/2\pi$ , with the former ranging from 13 to 24 ms and the latter from 0 to 1 kHz.

## 2.2 | A practical $R_{1\rho}$ dispersion imaging protocol

To ensure a reliable prepared  $M_{prep}$  that can be subsequently measured as much as possible during imaging readout, an improved SL preparation and an optimal FA (see below) in FLASH sequence were implemented for the proposed  $R_{1\rho}$  dispersion imaging method. A pair of refocusing RF pulses ( $180^\circ$ ) were inserted in the middle of two pairs of antiphase rotary-echo pulses as sketched in Figure 1a, leading to fully refocusing the chemical shift ( $\Delta\omega_0$ ) artifacts from non-uniform  $B_0$  even when the FA of the refocusing pulse was not exactly equal to  $180^\circ$  because of  $B_1$  inhomogeneity.<sup>32,35</sup>

$R_{1\rho}$  dispersion imaging was performed on a 3T Ingenia (Philips Healthcare, Best, The Netherlands) in the sagittal plane, using a 16-channel T/R knee coil that was capable of generating a SL amplitude as high as 1150 Hz, i.e. a maximum  $B_1 \sim 27\mu T$ . Each  $R_{1\rho}$ -weighted image was acquired using a pair of TSL and  $\omega_1$  as listed in Table 1. The key acquisition parameters were as follows: SL  $90^\circ/180^\circ$  RF durations = 0.25/0.5 (ms); FOV = 130\*130\*96 (mm<sup>3</sup>); acquired voxel size = 0.6\*0.6\*3.0 (mm<sup>3</sup>); number of slices = 32;



Compressed SENSE<sup>36</sup> factor = 2.5; fat suppression = “binomial (1-2-1) pulse triplet for  $\alpha$  pulse in FLASH readout”. The other relevant FLASH parameters were as follows: number of profiles  $N = 64$ ; TR/TE = 6.8/3.5 (ms); acquisition bandwidth = 573 (Hz/pixel); shot interval = 2 (sec); number of shots (or segments) = 34. An optimal FA of  $13^\circ$  was derived analytically<sup>1,37</sup> given  $M_{prep}=60\%$ ,  $T_1=1240$  ms<sup>17</sup>, TR = 6.8 ms, and  $N = 64$ . The phase-encoding order was segmented elliptical centric with outward spiral. One  $R_{1\rho}$ -weighted 3D image dataset took 1.15 minutes, leading to 9.2 minutes for  $R_{1\rho}$  dispersion imaging using a constant  $M_{prep}$ .

Three consented volunteers, who were recruited for an IRB-approved clinical study on joint cartilage degeneration, participated in this work. The protocol with  $M_{prep}=60\%$  was used for the 1<sup>st</sup> subject with bilateral (asymptomatic) knee scanned, and for the 2<sup>nd</sup> and the 3<sup>rd</sup> subjects with unilateral (asymptomatic) knee imaged. To investigate the repeatability of the proposed imaging method, the last two subjects were rescanned after three months.

For comparative purposes, some key acquisition parameters from our previously used standard  $R_{1\rho}$  dispersion imaging were provided as follows,<sup>7</sup> i.e. five  $\omega_1/2\pi$  settings ranging from 0.125 to 1.0 (kHz); five TSLs from 1 to 40 (ms) per  $\omega_1/2\pi$  setting; SL method = “rotary-echo”.<sup>29</sup> Compressed SENSE<sup>36</sup> factor = 3.0; TR/TE = 8.5/4.3 (ms); acquisition bandwidth = 382 (Hz/pixel); FA =  $10^\circ$ ; acquired voxel size =  $0.4*0.4*3.0$  (mm<sup>3</sup>). Each  $R_{1\rho}$  mapping cost 8.75 minutes, and the total scan time for a complete  $R_{1\rho}$

dispersion imaging took 43.75 minutes. The success fitting rates (see below) for  $R_{1\rho}$  dispersion were respectively 35%, 49%, 36% for the femoral, tibial and patellar cartilage. The fitted model parameters ( $R_2^i$ ,  $R_2^a$ ,  $\tau_b$  and  $S$ ) can be found in Table 3 from the published paper,<sup>7</sup> which were compared with those derived from the current study using the developed  $R_{1\rho}$  dispersion imaging protocol ( $M_{prep}=60\%$ ).

### 2.3 | Nonlinear least-squares curve fitting

Before quantifying  $R_{1\rho}$  dispersion,  $R_{1\rho}$ -weighted 3D images, including an intra- and an inter-series acquired from each subject's unilateral knee, were co-registered using a free software *elastix*<sup>38</sup> following an established protocol.<sup>23</sup> Then, the femoral, tibial and patellar cartilage were manually outlined using another free software ITK-SNAP,<sup>39</sup> followed by an angular-radial segmentation as previously demonstrated.<sup>23</sup> Note, for the tibial and patellar cartilage, the angular segmentations were evenly partitioned into five ROIs horizontally and vertically. Nonetheless, the radial segmentations were the same for all three knee cartilage compartments. The data analysis was performed on the segmented ROIs in the deep (DZ) and superficial (SZ) zones of cartilage.

Eqs. 1-2 were fitted to average  $R_{1\rho}$ -weighted voxel values derived from segmented ROIs. The nonlinear least-squares curve fitting was performed using a publicly available IDL script based on the Levenberg-Marquardt algorithm (<http://purl.com/net/mpfit>).<sup>40</sup> It should be stressed that there were *two independent* variables, i.e.  $TSL$  and  $\omega_1$ , in this unusual data modeling, where four model parameters (i.e.  $S_0$ ,  $R_2^i$ ,  $R_2^a$ ,  $\tau_b$ ) needed to be

optimized. An unweighted fitting was employed in this study, where the uncertainties for each measurable were uniformly set to one. As a result, the output formal 1-sigma fitting errors had to be scaled so that the reduced chi-square  $\chi^2$  values were approximately equal to one.<sup>40</sup>

The fitted model parameters were constrained during  $\chi^2$  optimizations, i.e.  $S_0=[100, 1000]$ ;  $R_2^i=[1, 20]$  (1/s);  $R_2^a=[0.5, 100]$  (1/s) and  $\tau_b=[1, 1000]$  ( $\mu$ s), with initial values set to 500, 10, 20 and 250, respectively. Given the fitted  $R_2^a$  and  $\tau_b$ , an order parameter  $S^7$  was calculated as  $\sqrt{(2R_2^a)/(3d^2\tau_b)}$ , with  $d$  denoting a constant of  $1.028*10^5$  (1/s). The uncertainty in  $S$  was also derived from the uncertainties of  $R_2^a$  and  $\tau_b$  following the basic error propagation rules.<sup>41</sup> In highly ordered biological tissues,  $S$  characterizes an intrinsic property of bound water molecular reorientation anisotropy.<sup>24</sup>

An REF was determined as described before<sup>23</sup> for each of eight  $R_{1\rho}$ -weighted 3D image datasets, and included in the curve fitting to improve the accuracy of the fits due to an enhanced dynamic range of the measured data. Specifically, these REF signals were considered as those measured using the tailored TSLs (ranging from 13 to 24 ms) and  $\omega_1/2\pi=10$  kHz (rather than infinity). As a result, there were 16 measurable data in total for fitting  $R_{1\rho}$  dispersion profile of each of the segmented ROIs.

The goodness of fit was loosely defined by  $R^2$ , showing how much the observed  $R_{1\rho}$  dispersion could be explained by the fitted model.<sup>42</sup> If fitted parameters were within the boundary values and their relative uncertainties did not exceed 100%, the fit was

considered successful otherwise excluded from further analysis. A hit rate (HR%) was defined as the percent of success fits from all the segmented ROIs within each cartilage compartment.

#### 2.4 | Evaluations of $R_{1\rho}$ dispersion quantification

The prepared and the observed  $M_{prep}$  were evaluated for potential discrepancies. The proposed imaging protocol was designed with an assumption of  $R_2^i=R_2^a=20$  (1/s),  $\tau_b=300$  ( $\mu s$ ) for a constant  $M_{prep}=60\%$ . The average fitted values for each of these model parameters over multiple ( $n=6$ ) measurements were calculated. Then, the measured  $M_{prep}$  dynamic ranges in the DZ and the SZ were determined using eight pairs of TSL and  $\omega_1/2\pi$ , and compared with the prepared constant  $M_{prep}$ .

The duplicated  $R_{1\rho}$  dispersion measurements, with a three-month gap on the 2<sup>nd</sup> and the 3<sup>rd</sup> subjects, were used to estimate the repeatability of the proposed imaging protocol. This basic statistical assessment, including an intra-subject and an inter-subject repeatability (see below), was performed only in the DZ on the fitted model parameters.

The state-of-the-art  $R_{1\rho}$  mapping sequence MAPSS can provide an accurate  $R_{1\rho}$  at the cost of doubling scan time.<sup>17</sup> A reference value of  $T_{1\rho}$  ( $\omega_1/2\pi=500$  Hz) at 3T for each of six standardized segmented compartments in healthy knees ( $n=7$ ) was documented in Table 2 from the original paper.<sup>43</sup> These  $T_{1\rho}$  values were converted into their reciprocals and then an average  $R_{1\rho}$  of  $24.1\pm 3.8$  (1/s) was found for the femoral including trochlea,  $27.1\pm 5.1$  (1/s) for the tibial and  $22.7\pm 1.6$  (1/s) for the patellar cartilage.

For comparative purposes, a synthetic  $R_{1\rho}$  was calculated using Eq. 2 with  $\omega_1/2\pi=500$  Hz and average fitted parameters in Table 2 from the current study. To estimate the precision of the synthetic  $R_{1\rho}$ , Monte Carlo simulations were performed 1000 runs, each with average fitted parameters ( $R_2^i$ ,  $R_2^a$  and  $\tau_b$ ) contaminated with Gaussian noises.<sup>44</sup> These normally distributed noises were characterized by zero mean and unit variance corresponding to the propagated errors from the DZ and SZ. The means and the standard deviations of 1000 simulated synthetic  $R_{1\rho}$  values in different cartilage compartments were compared with the reported references. Following the same procedures, the fitted  $R_2$ , i.e.  $R_2^i+R_2^a$ , was also compared with those previously reported  $R_2$  at 3T that were measured using the MAPSS sequence in the same publication.<sup>43</sup>

## 2.5 | Statistical analysis

The coefficient of variation (CV) was used to characterize an intra-subject repeatability of the measureable, calculated for subject  $i$  ( $i=2,3$ ) as  $CV_i = SD_i/M_i$ , where the  $SD_i$  and  $M_i$  denoted the standard deviation and the mean of the measureable from two repeated scans. On the other hand, the root-mean-square of CVs of individual subject, i.e.  $CV_{rms} = \sqrt{\sum_{i=1}^N CV_i^2/N}$ , with  $N=2$ , was used to estimate an inter-subject repeatability.<sup>45</sup> Moreover, the stability of the observed  $M_{prep}$  was also quantified with CV, and an unpaired  $t$ -test was used to assess the differences between two relaxation parameters, with significant difference indicated by  $P < .05$ . All image and data analysis were performed using

customized software developed in IDL 8.5 (Harris Geospatial Solutions, Inc., Broomfield, CO, USA). All measurements are shown as mean  $\pm$  standard deviation (SD) unless stated otherwise.

### 3 | RESULTS

#### 3.1 | An optimized $R_{1\rho}$ dispersion imaging sequence

The proposed  $R_{1\rho}$  dispersion imaging sequence is shown schematically in Figure 1, with a fully-refocused SL preparation scheme (a) implemented for a spoiled turbo-FLASH sequence (b). As previously demonstrated, by the numerical simulations and experimental studies at 3T on phantom and the human knee cartilage *in vivo*, the proposed SL scheme was less prone to  $B_0$  and  $B_1$  non-uniform field artifacts particularly at the lower  $\omega_1/2\pi$ , when compared with the reported SL methods.<sup>35</sup> The prepared constant (red dots)  $M_{prep}$  was highlighted in 2D  $M_{prep}$  map (c), with respect to the previously used varied (white dots)  $M_{prep}$  scheme.

#### 3.2 | Quantitative $R_{1\rho}$ dispersion imaging

The measured (blue filled circles) and modeled (red and green solid lines) representative  $R_{1\rho}$  dispersion profiles are demonstrated in Figures 2b-c. The measured data were obtained, as shown in Figure 2a, from a segmented ROI in the tibial deep cartilage (white arrow) and an REF location in the femoral deep cartilage (yellow arrow) of the 1<sup>st</sup> subject's left knee. The REF data could be easily recognized as the higher signals in Figure 2b and fitted by a straight (green) line because there was hardly any  $R_{1\rho}$  dispersion around the magic angle

locations. These REF data were absent in Figure 2c because they ( $\omega_1/2\pi=10$  kHz) were out of the display range.

The success fitting or hit rates (HR%) using the constant  $M_{prep}$  (60%) were much higher than those with the varied  $M_{prep}$ ;<sup>7</sup> specifically, they were respectively 72% vs. 35% (femoral), 87% vs. 49% (tibial), and 59% vs. 36% (patellar). Figure 3 presents an example of  $R_{1\rho}$  dispersion quantification from the 3<sup>rd</sup> subject's knee. An anatomical T1 $\rho$ W sagittal image slice is shown (Fig. 3a) superimposed with segmented ROIs. The ROI-based parametric color maps, i.e.  $R_2^i$  (Fig. 3b),  $R_2^a$  (Fig. 3c),  $\tau_b$  (Fig. 3d),  $S$  (Fig. 3e) and  $R^2$  (Fig. 3f), were overlaid on the T1 $\rho$ W image.

Around the trochlear cartilage as indicated by a white arrow in Fig. 3f, the decreased  $R^2$  values revealed less reliable  $R_{1\rho}$  dispersion quantification, probably resulting from a vanishing residual dipolar interaction near the magic angle orientation. It was challenging to manually segment the DZ precisely near the calcified cartilage;<sup>46</sup> hence, it was no surprising to observe some abrupt  $R_2^a$  changes as shown in the deep femoral cartilage as shown in Fig. 3c. However, an unusual high  $R_2^a$  in the deep tibial cartilage, as indicated by yellow arrows in Figs. 3a and 3c, might not be well accounted for by an inaccurate segmentation. Both T2W (not shown) and T1 $\rho$ W images showed relatively low signals on that particular tibial cartilage location, suggesting that the corresponding  $R_2^a$  relaxation was enhanced as the  $R_2^i$  relaxation (Fig. 3b) was relatively uniform across the tibial cartilage.

### 3.3 | Developed and previous $R_{1\rho}$ dispersion imaging

Figure 4 compares the average fitted  $R_2^i$  (Fig. 4a),  $R_2^a$  (Fig. 4b),  $\tau_b$  (Fig. 4c) and  $S$  (Fig. 4d) over six measurements in the DZ (red) and the SZ (green) using the developed  $R_{1\rho}$  dispersion imaging protocol on three subjects, with those from the previously reported in the DZ\* (blue) using the standard method on one subject,<sup>7</sup> in the femoral, tibial and patellar cartilage compartments.

The fitted values with the proposed protocol are also tabulated in Table 2, showing that the fits in the DZ were comparable with (i.e.  $R_2^i$ ), smaller than (i.e.  $\tau_b$ ) and larger (i.e.  $R_2^a$  and  $S$ ) than those in the SZ. When compared with the fits (red) from this work, the previously reported (blue)  $R_2^a$  (1/s) was significantly reduced in the femoral ( $11.3\pm 4.9$  vs.  $22.0\pm 3.1$ ,  $P=.01$ ) and tibial ( $8.7\pm 4.1$  vs.  $39.1\pm 8.9$ ,  $P<.01$ ) cartilage while the  $R_2^i$  (1/s) was not significantly ( $P>.33$ ) different across all three cartilage compartments. On average, the previously reported  $\tau_b$  and  $S$  values were respectively about twice and half of those from the current study.

### 3.4 | Measured dynamic range of $M_{prep}$

Given the fitted  $R_2^i$ ,  $R_2^a$  and  $\tau_b$  in Table 2, the measured  $M_{prep}$  was calculated using eight combinations of TSL and  $\omega_1/2\pi$  for  $M_{prep}=60\%$  (Table 1), and plotted for the SZ (Figure 5a) and the DZ (Figure 5b) in the femoral (red), tibial (green) and patellar (blue) cartilage. Although some observed  $M_{prep}$  profiles considerably deviated from an initially designed  $M_{prep}$  (dashed lines), the variations of these measured  $M_{prep}$  were rather relatively small,



e.g. with a CV of 8.3% in the deep tibial cartilage and of 1.3% in the superficial patellar cartilage.

### 3.5 | Precision and accuracy assessments

The values of  $CV$  and  $CV_{rms}$  for the fitted parameters ( $R_2^i$ ,  $R_2^a$ ,  $\tau_b$ ,  $S$ ) were calculated. For Subject 2, the proposed  $R_{1\rho}$  dispersion imaging protocol had provided a relatively precise measurement as indicated by an average intra-subject repeatability of  $CV_2=4.2\pm 2.1\%$  for all fitted parameters over the whole cartilage. This observation was generally in line with an average inter-subject repeatability of  $CV_{rms}=4.6\pm 2.5\%$  as shown in Figure 5c, when excluding those  $\tau_b$  and  $S$  values in the patellar cartilage. It was unclear why  $CV_3$  (from Subject 3) of the fitted parameters for the repeated scans were markedly diversified only in the patellar cartilage.

Nonetheless, the differences between the synthetic  $R_{1\rho}$  (1/s) (gold) and the references<sup>43</sup> from MAPSS (blue), as revealed in Figure 6a, were not statistically significant in the femoral ( $23.0\pm 5.3$  vs.  $24.1\pm 3.8$ ,  $P=.67$ ) and tibial ( $29.1\pm 8.8$  vs.  $27.1\pm 5.1$ ,  $P=.62$ ) cartilage, but that was not the case in the patellar ( $16.5\pm 4.9$  vs.  $22.7\pm 1.6$ ,  $P=.01$ ) cartilage. Meanwhile, the synthetic  $R_{1\rho}$  appeared less precise (i.e. with larger SDs) with respect to the reported. The same trend, as shown in Figure 6b, was also observed when comparing the fitted  $R_2$  (1/s) (gold) with the references<sup>43</sup> (blue), in the femoral ( $29.7\pm 5.1$  vs.  $32.4\pm 4.9$ ,  $P=.34$ , tibial ( $38.7\pm 10.1$  vs.  $36.4\pm 7.4$ ,  $P=.66$ ) and patellar ( $23.5\pm 6.3$  vs.  $32.5\pm 2.2$ ,  $P<.01$ ) cartilage.

## 4 | DISCUSSION

### 4.1 | General comments

An efficient acquisition method for  $R_{1\rho}$  dispersion imaging of the human knee cartilage at 3T has been developed in this work. The basic idea is to prepare the constant  $R_{1\rho}$ -weighting by simultaneously tailoring TSL and  $\omega_1$  in a spoiled turbo-FLASH sequence. This unique method not only markedly reduces the total acquisition time but also alleviates the potential  $T_1$  relaxation artifacts during FLASH imaging readout in the standard  $R_{1\rho}$  mapping. The measurement results from repeated scans and from comparisons with the literature suggest that the proposed imaging method is a promising tool to further explore  $R_{1\rho}$  dispersion in the human knee cartilage in clinical settings.

### 4.2 | Improved acquisition efficiency on $R_{1\rho}$ dispersion imaging

The primary advantage of the proposed method relies on its acquisition efficiency, making it feasible to be employed in clinical studies. Traditionally, it took an unrealistically long scan time to collect multiple series of  $R_{1\rho}$ -weighted images with different  $\omega_1$ . For instance, the first such study of *in vivo* human knee cartilage utilized 12 different  $\omega_1$ , with each  $\omega_1$  setting for  $R_{1\rho}$  mapping lasting more than 5 minutes using 5 different TSLs, resulting in the total scan time of more than 1 hour.<sup>25</sup> Similarly, our previous standard  $R_{1\rho}$  dispersion imaging protocol cost about 45 minutes using 5  $\omega_1$ , with each  $\omega_1$  for 5 TSL settings.<sup>7</sup>

By contrast, the proposed  $R_{1\rho}$  dispersion imaging protocol took only 9.2 minutes for eight constant  $R_{1\rho}$ -weighted images, and this practical acquisition time could be even

shortened further by reducing the number of the acquired  $R_{1\rho}$  weightings. There are only 4 model parameters (i.e.  $S_0$ ,  $R_2^i$ ,  $R_2^a$  and  $\tau_b$ , with  $R_2^{ex}=0$ ) as shown in Eqs. 1-2, and thus two acquisitions would suffice to determine these parameters given two extra REFs. In fact, this concept has been exploited in our previous work to derive an anisotropic  $R_2^a$  from a single  $T_2$ -weighed image.<sup>23</sup> Nonetheless, it would be unwise to characterize  $R_{1\rho}$  dispersion using only two acquisitions just as to quantify  $R_{1\rho}$  itself using two time points. This becomes a question of the trade-off between accuracy and efficiency – a topic beyond the scope of this study.

In principle, the prepared SL magnetization could be readout using any fast imaging sequence, for instance, turbo spin echo sequence (TSE). One possible reason for an argument to favor TSE, rather than FLASH, would be its immunity to the potential  $B_0$  field inhomogeneity. However, the potential SAR constraints at 3T with TSE most likely would slow down its intrinsic speed in clinical applications. Without any concerns of the SAR issues, the employed turbo FLASH sequence in the proposed  $R_{1\rho}$  dispersion acquisition had been demonstrated nearly as efficient as EPI.<sup>37</sup>

While the implemented spin-lock scheme has been demonstrated to be less prone to the image artefacts associated with non-uniform  $B_0$  and  $B_1$  fields,<sup>32,35</sup> the deviations of actual  $B_1$  fields from the prescribed ones might have an adverse effect on the accuracy of  $R_{1\rho}$  dispersion quantification particularly on the edged imaging slices in the human knee where the  $B_1$  inhomogeneity (i.e.  $\Delta B_1$ ) usually becomes deteriorated. In the literature,<sup>47</sup>

$\Delta B_1$  has been demonstrated to be relatively small ( $\sim 5\%$ ) in the human knee cartilage and our previous  $B_1$  mapping (data not shown) was largely consistent with the literature. Nonetheless, it would be of great interest to further investigate to what extent the  $B_1$  mapping could increase the accuracy of  $R_{1\rho}$  dispersion quantification in clinical studies.

### 4.3 | Spin-lock prepared constant $M_{prep}$

The constant  $M_{prep}$  was calculated with the assumed values of  $R_2^i$ ,  $R_2^a$  and  $\tau_b$ , inferred from the literature.<sup>25</sup> It was impossible for the whole cartilage to have a constant  $M_{prep}$  across various locations because of an orientation-dependent  $R_2^a$ . Nonetheless, if the prepared  $M_{prep}$  had been clustered in a narrow range, the expected k-space filtering effect would have been comparable for each segmented acquisition in phase-encoding directions, thus diminishing an adverse  $T_1$  relaxation effect during FLASH imaging readout.<sup>27</sup>

As shown in Figs. 5a-b, some observed  $M_{prep}$  values for different cartilage locations significantly deviated from the designed 60%; however, they were all maintained within a limited dynamic range. It was the variation rather than the absolute value of  $M_{prep}$  that had played a key role in imparting the k-space filtering effect on quantifying  $R_{1\rho}$ . This observation suggests that the precise values of  $R_2^i$ ,  $R_2^a$  and  $\tau_b$  might not be as essential as initially thought for tailoring a constant  $M_{prep}$  in  $R_{1\rho}$  dispersion imaging.

As a reference, the prepared  $M_{prep}$  using the standard  $R_{1\rho}$  dispersion imaging protocol was provided herein, with a range from 98% ( $\omega_1/2\pi=1$  kHz and TSL=1 ms) to 23% ( $\omega_1/2\pi=125$  Hz and TSL= 40 ms) given that  $R_2^i=R_2^a=20$  (1/s),  $\tau_b=300$  ( $\mu s$ ). It was

no surprising then that quantification of  $R_{1\rho}$  dispersion became unreliable even without considering the robustness of spin-lock<sup>7,35</sup> or the possibility of involuntary knee movements when using this lengthy imaging protocol.

#### 4.4 | Quantifying $R_{1\rho}$ dispersion with an REF

The key to the success of  $R_{1\rho}$  dispersion quantification depends on integrating an additional information derived from the MA location in the deep femoral cartilage. This is because the prepared  $M_{prep}$  was intended to be constant; in other words, the dynamic range in  $M_{prep}$  was limited thus leading to unreliable data fitting. Our previous study has documented on how to accurately extract an REF in the deep femoral cartilage,<sup>23</sup> and the defined REF was also applied to the tibial and patellar cartilage in this work, with an assumption of the comparable  $S_0$  and  $R_2^i$  values across the whole knee cartilage.

Based on the comparison results with the gold standards in Figure 6, it was challenging to positively corroborate this assumption in the current work because of inconsistent results observed in the tibial and patellar cartilage. However, the measured  $R_{1\rho}$  and  $R_2$  in the tibial from both methods were constantly higher than those in the femoral and patellar cartilage, reflective of the fact in that the majority of collagen fibers in the tibial cartilage are along with  $B_0$ . This interesting finding is in accordance with the literature albeit unspecified.<sup>48</sup>

#### 4.5 | Precision and accuracy of $R_{1\rho}$ dispersion quantification

When excluding some  $CV_3$  for the fitted values ( $R_2^a$ ,  $\tau_b$  and  $S$ ) in the patellar cartilage of the 3<sup>rd</sup> subject, the precision of  $R_{1\rho}$  dispersion measurements seems reasonably good. However, it was still unclear why the repeated scans on the 3<sup>rd</sup> subject's knee did not produce the comparable results only in the patellar cartilage. This observation could not be fully accounted for by an imperfect acquisition protocol. Nonetheless, an informative test of the proposed  $R_{1\rho}$  dispersion imaging method was to compare its results with those measured using the state-of-the-art  $R_{1\rho}$  mapping sequence (MAPSS).<sup>17</sup>

The observed comparable average  $R_{1\rho}$  in the femoral and tibial cartilage (Fig. 6a) lend strong support to that not only was the proposed method efficient but it was also robust in quantifying  $R_{1\rho}$  dispersion. It is worth emphasizing that the acquisitions and analyses methods used in the two measurements were fundamentally different, yet the comparable  $R_{1\rho}$  values were still attained. The 3<sup>rd</sup> subject had inconsistent measurement results between two repeated scans in the patellar cartilage, which could be partially responsible for the observed  $R_{1\rho}$  deviation from the reference.

Another interesting observation was that the synthetic  $R_{1\rho}$  had a relatively larger variation than those based on the MAPSS sequence. It could be the case that the uncertainty of the synthetic  $R_{1\rho}$  had been overestimated through multiple-step error propagations. At least one parameter (i.e.  $R_2^a$ ) had its variation not completely accounted for with the random Gaussian noises because it was orientation dependent. Further investigations are needed to better understand the observed  $R_{1\rho}$  discrepancies with respect to the reported references.

Although comparable values (Fig. 6b) were also attained between our fitted  $R_2$  and the references, caution should be exercised when interpreting the comparative results. The  $R_2$  references were actually acquired using a combination of CPMG preparation and MAPSS readout.<sup>49</sup> The reported  $R_2$  from healthy control (n=7) knee cartilage at 3T and 7T were comparable<sup>43</sup> indicative of hardly any chemical exchange effect contribution to  $R_2$  at 7T, which is apparently inconsistent with the literature.<sup>50,51</sup> It remains unclear to what extent the previously reported  $R_2$  at 3T had been compromised by the CPMG-based magnetization preparation possibly due to a nontrivial spin-locking effect.<sup>52</sup>

#### 4.6 | Limitations

The current work has some limitations. First, no effort had been devoted to separating the factors contributing to the improved success fitting rates for the measured  $R_{1\rho}$  dispersion profiles. These factors might comprise a fully-refocused SL preparation and a limited dynamic range in the spin-lock prepared magnetizations for turbo-FLASH imaging readout. Second, there was no gold standard for an internal reference used in this study, and thus it became unclear to what extent the reported  $R_{1\rho}$  dispersion parameters could have been compromised. Third, the longitudinal magnetization at the end of FLASH readout was not spoiled, potentially leading to signal inconsistencies among initial spin-lock magnetization preparations. The magnetization reset pulses as employed in MAPSS<sup>17</sup> could be implemented for the developed  $R_{1\rho}$  dispersion pulse sequence. Fourth, only a small number of subjects were involved in this study, and data from additional subjects would

provide an increased statistical power to support the conclusions. Fifth, the analysis of  $R_{1\rho}$  dispersion may be unreliable for some locations in the femoral and patellar cartilage in which the residual dipolar coupling approached zero near the MA orientation. Last, it has been revealed that the contralateral healthy knee may also exhibit molecular changes after ipsilateral knee injury,<sup>53</sup> hence, some changes observed between the repeated scans might be “real” and not attributable to the imaging protocol.

## 5 | CONCLUSIONS

We have developed a practical  $R_{1\rho}$  dispersion imaging protocol for clinical studies of *in vivo* human knee cartilage at 3T, which has been demonstrated not only efficient but also robust. Although this proposed method was developed for joint cartilage, its underlying principle could be applied to other biological tissues with  $R_{1\rho}$  dispersion properties regardless of relaxation mechanisms.

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## DATA AVAILABILITY STATEMENT

Data available on request from the authors.

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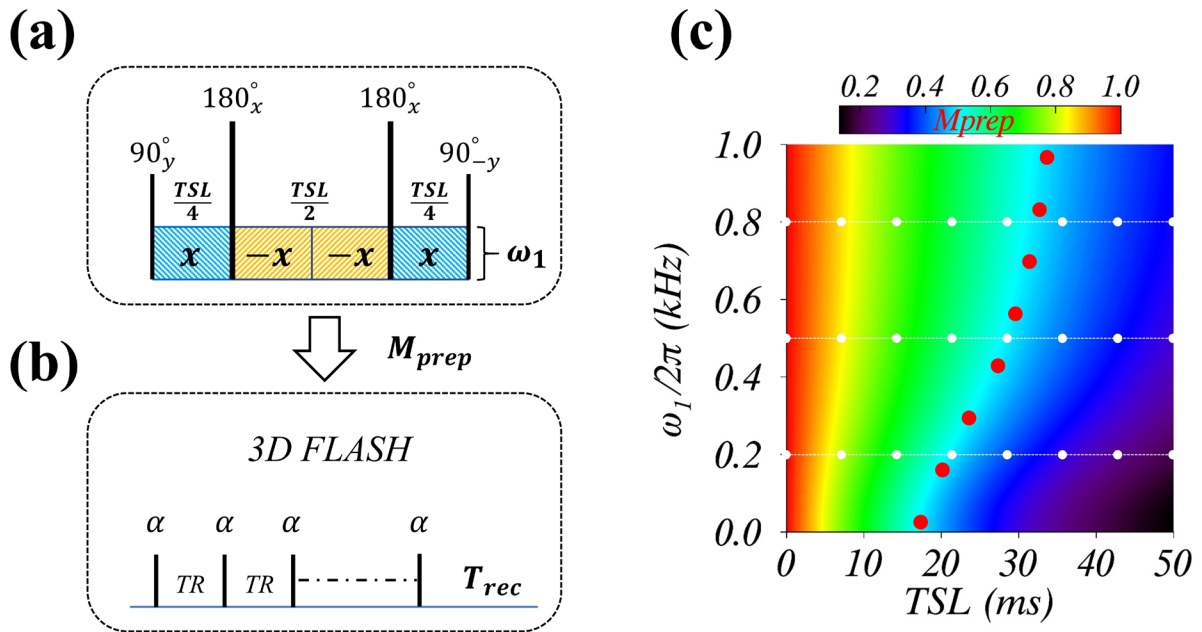
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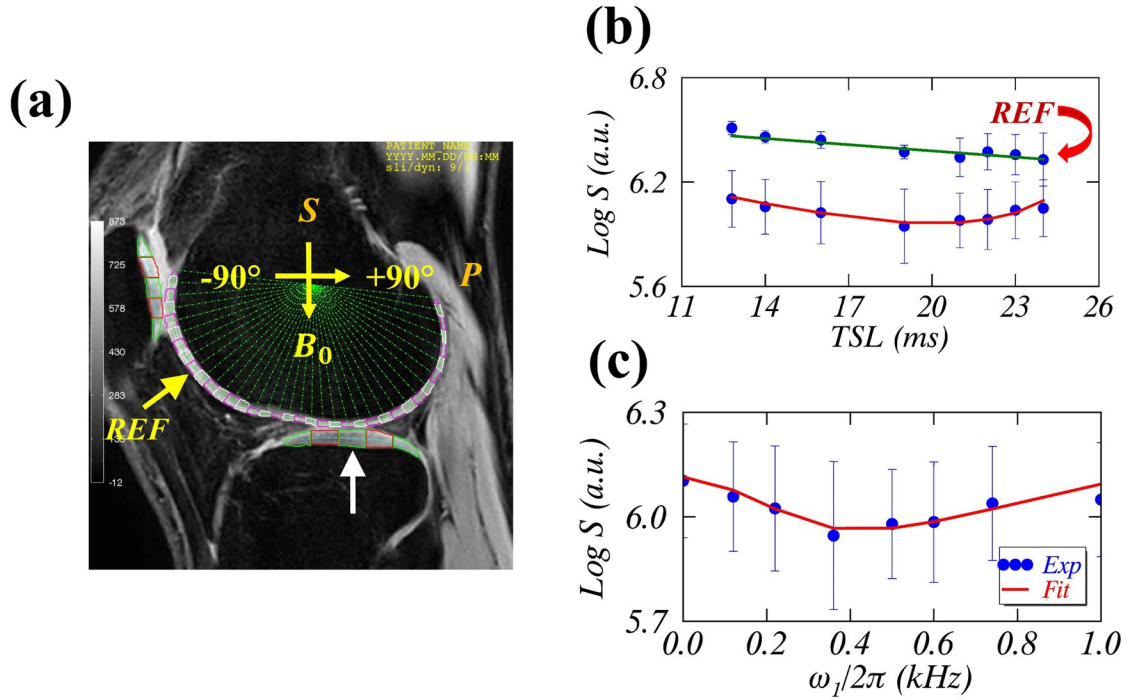
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**SIX FIGURES**

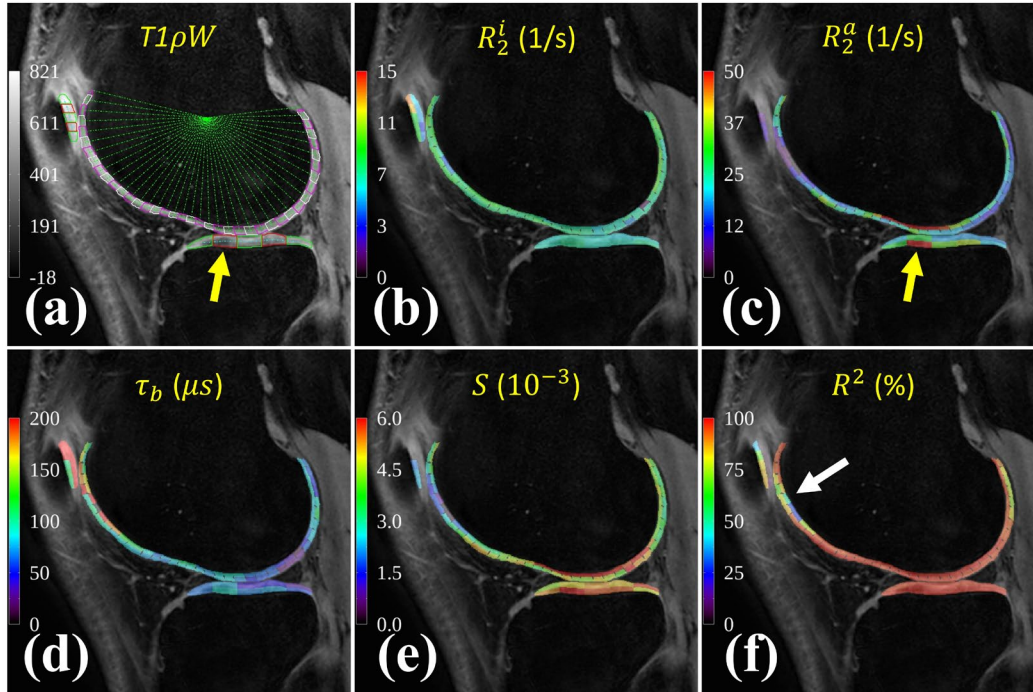


**FIGURE 1.** Schematic of the proposed  $R_{1\rho}$  dispersion imaging sequence including a fully-refocused SL preparation (a) for a spoiled turbo-FLASH readout (b), and a prepared constant (red

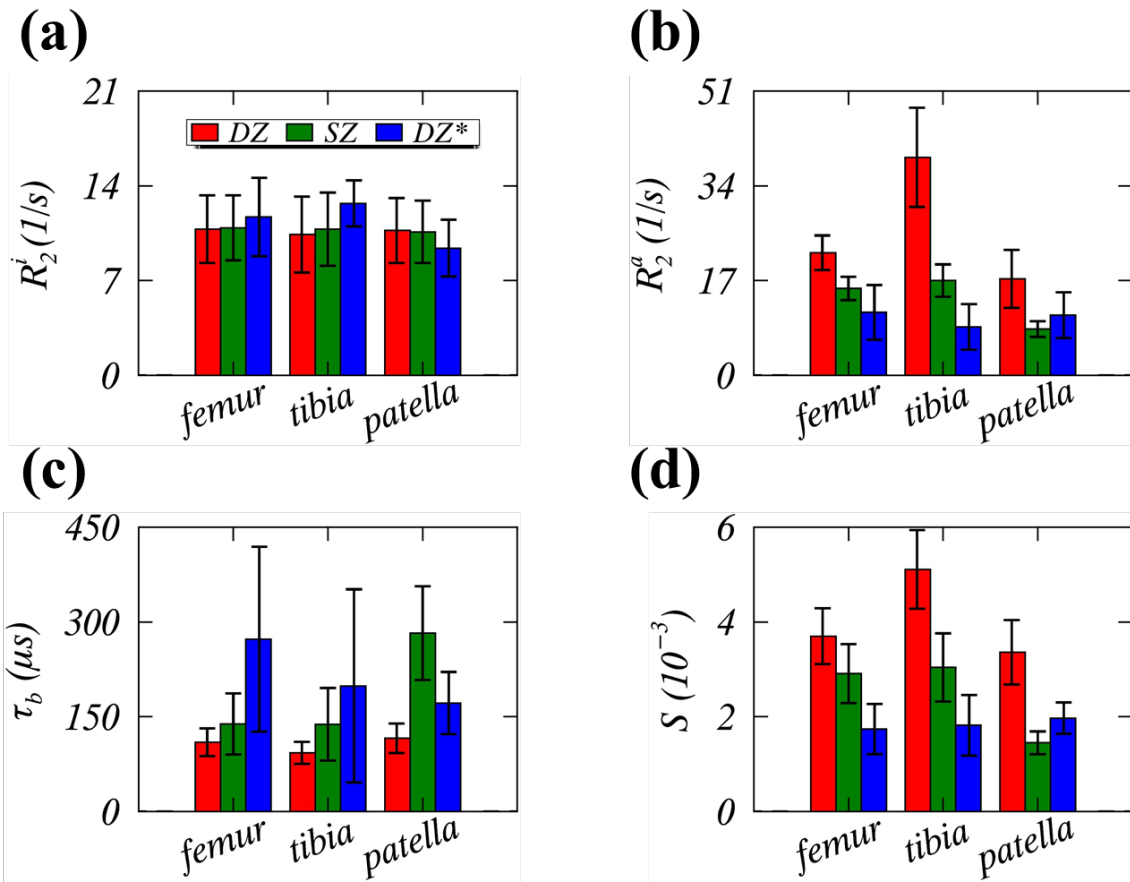
dots) SL magnetizations  $M_{prep}$  (c). FLASH, fast low angle shot; SL, spin-lock; TSL, spin-lock time;  $\omega_1$ , spin-lock RF amplitude.



**FIGURE 2.** Measured (blue filled circles) and fitted (red and green solid lines) exemplary  $R_{1\rho}$  dispersion profile vs. TSL (b) and  $\omega_1/2\pi$  (c). The presented  $R_{1\rho}$ -weighted signals were measured from one segmented ROI in the deep tibial cartilage (white arrow), and the REF data were taken from the deep femoral cartilage (yellow arrow) as shown (a). DZ, deep zone; P, posterior; REF, internal reference; ROI, region of interest; S, superior; TSL, spin-lock time;  $\omega_1$ , spin-lock amplitude.

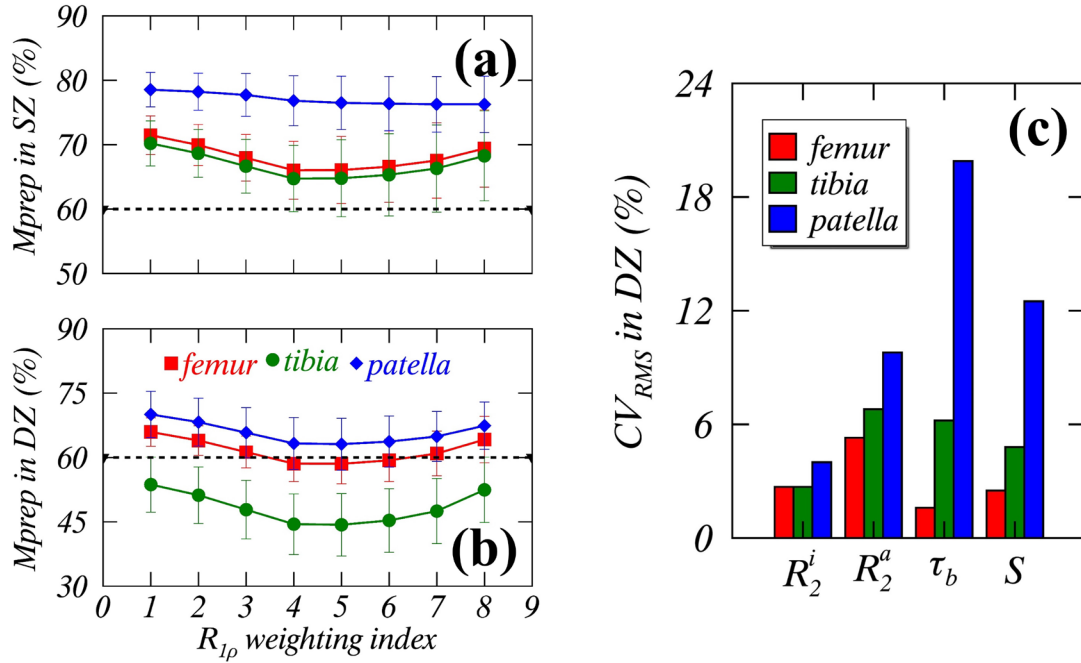


**FIGURE 3.** Representative ROI-based parametric color maps of  $R_2^i$  (b),  $R_2^a$  (c),  $\tau_b$  (d),  $S$  (e) and  $R^2$  (f) derived from  $R_{1\rho}$  dispersion imaging of the 3<sup>rd</sup> subject's knee, each superimposed on one T1ρW sagittal image (a). ROI, region of interest;  $\mu s$ , microsecond.

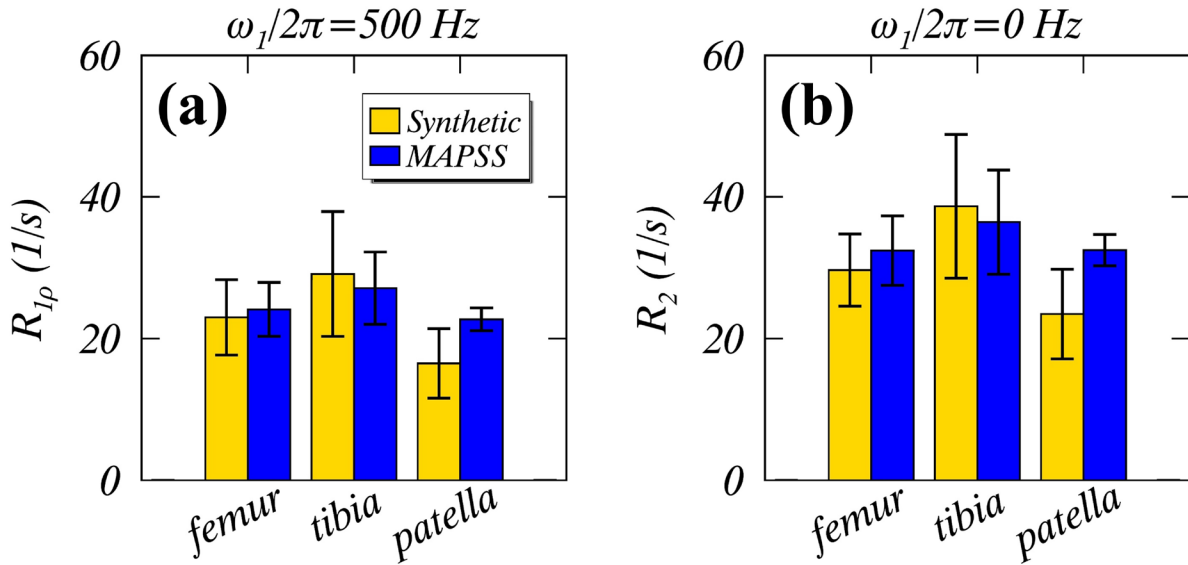


**FIGURE 4.** Averaged over six measurements from three subjects, the fitted parameters of  $R_2^i$  (a),  $R_2^a$  (b),  $\tau_b$  (c),  $S$  (d) were compared in the DZ (red) and the SZ (green) of all three cartilage compartments. Also included were the related values (blue) in the deep zone (DZ\*) derived from the previous standard  $R_{1\rho}$  dispersion imaging. DZ, deep zone; SZ, superficial zone.





**FIGURE 5.** Measured average  $M_{prep}$  in the SZ (a) and the DZ (b) of the femoral (red), tibial (green) and patellar (blue) cartilage compartments. The tailored  $M_{prep}$  was indicated by a horizontal dashed line. Inter-subject repeatability measures were compared (c) for the fitted parameters ( $R_2^i, R_2^a, \tau_b, S$ ) in the deep femoral (red), tibial (green) and patellar (blue) cartilage compartments. DZ, deep zone;  $M_{prep}$ , spin-lock prepared magnetization; SZ, superficial zone.



**FIGURE 6.** Average synthetic (gold)  $R_{1\rho}$  (a) and  $R_2$  (b), compared with the references (blue) measured using MAPSS sequences in all three cartilage compartments. MAPSS, magnetization-prepared angle-modulated partitioned  $k$ -space spoiled gradient echo snapshots;  $\omega_1$ , spin-lock RF amplitude. The presented  $R_{1\rho}$  and  $R_2$  data with MAPSS were extracted from Reference (43)

## TWO TABLES

**TABLE 1.** A constant prepared spin-lock magnetization ( $M_{prep}=60\%$ ) with eight pairs of spin-lock RF durations (TSL) and amplitudes ( $\omega_1/2\pi$ ). These tailored settings were based on  $R_2^i = R_2^a = 20$  (1/s),  $\tau_b=300$  ( $\mu s$ ) and  $R_2^{ex}=0$ .

Scan index	1	2	3	4	5	6	7	8

<b>TSL (ms)</b>	13	14	16	19	21	22	23	24
<b><math>\omega_1/2\pi</math> (Hz)</b>	0	120	220	360	500	600	740	1000

**TABLE 2.** Average success fitting rates (HR%) and average fitted model parameters over six  $R_{1\rho}$  dispersion measurements ( $M_{prep}=60\%$ ) on three subjects in the DZ and the SZ within the femoral, tibial and patellar cartilage compartments. DZ, deep zone;  $M_{prep}$ , spin-lock prepared magnetization; SZ, superficial zone.

Fits	DZ			SZ		
	<i>femoral</i>	<i>tibial</i>	<i>patellar</i>	<i>femoral</i>	<i>tibial</i>	<i>patellar</i>
HR (%)	71.7±12.3	87.2±8.9	58.6±13.5	66.2±10.4	90.1±9.4	21.1±13.6
$R_2^i$ (1/s)	10.8±2.5	10.4±2.8	10.7±2.4	10.9±2.4	10.8±2.7	10.6±2.3
$R_2^a$ (1/s)	22.0±3.1	39.1±8.9	17.3±5.2	15.6±2.1	17.0±2.9	8.3±1.4
$\tau_b$ ( $\mu$ s)	109.7±22.0	92.8±17.3	115.8±23.3	138.7±48.3	138.1±57.4	282.2±74.2
$S$ ( $10^{-3}$ )	3.70±0.59	5.11±0.83	3.36±0.68	2.91±0.62	3.04±0.72	1.45±0.24