Article type : Neighborhood Watch Advances in understanding the interplay between adaptive and innate immunity in experimental venous thrombus resolution Peter Henke MD and Andrea Obi MD Corresponding author: Peter K. Henke, MD University of Michigan Health System 1500 E. Medical Center Drive, Frankel Cardiovascular Center Ann Arbor, MI 48109-5867 Email: henke@med.umich.edu Phone: (734) 763-0250 FAX: (734) 647-9867 Conflicts of Interest: None Word count: 1221

This is the author manuscript accepted for publication and has undergone full peer review but has not been through the copyediting, typesetting, pagination and proofreading process, which may lead to differences between this version and the <u>Version of Record</u>. Please cite this article as <u>doi:</u> 10.1111/JTH.15249

This article is protected by copyright. All rights reserved

Keywords: venous thrombosis / animal models / inflammation / leukocytes / matrix metalloproteinases

cript

Much has been learned over the last 2 decades about experimental venous thrombosis (VT) pathophysiology. The role of innate immune cells are central to both thrombogenesis and resolution^{1, 2}. In murine VT, peak thrombus size is achieved 24–48 hours post VT induction ^{3, 4}. The process of VT resolution closely mimics sterile tissue injury: neutrophils infiltrate the vein wall and thrombus during early VT and monocytes/macrophages (Mos/Mos) predominate throughout the course of prolonged thrombus resolution ^{5, 6}, with the thrombus and vein wall, ultimately forming a collagenrich fibrotic scar^{7,8}. While leukocyte inhibition has been identified as a strategy for thromboprophylaxis ^{9, 10}, no strategy exists to harness the role of the leukocyte in thrombus clearance once a DVT has already occurred. Mos/Mos have been implicated directly as playing predominant roles in thrombus clearance by several mechanisms: (1) as the major source of urokinase plasminogen activator (uPA), accelerating fibrinolysis (2) as the major source of matrix metalloproteases (predominantly MMP-2) in chronic thrombus resolution and (3) by directing the formation of endothelial lined channels during thrombus recanalization ^{1, 11-16}. Mos/Mo phenotype (pro-healing or proinflammatory), can play a critical role in the timing and amount of recanalization ¹⁶. Until recently, little was understood about the role, if any in adaptive immune cells played in thrombus clearance.

The mainstay of therapies for the clinical treatment of DVT rely on treating only the thrombotic component via inhibition of coagulation or exogenous fibrinolysis ¹⁷. The incompletely understood cellular and molecular determinants of thrombus resolution and vein wall injury, have limited additional therapeutic options to improve thrombus clearance and limit venous inflammation¹⁸. For this reason, despite a clear role of cell mediated inflammation in the pathogenesis of DVT, no immune targeted therapy exists today. In this review, we explore the newly described concept of adaptive and innate immune cell crosstalk in thrombus resolution, first described in a seminal publication from the Becker lab. In this manuscript, antigen independent activation of T effector memory cells is show to delay thrombus resolution via altering monocyte phenotype, a key determinant in thrombus recanalization ¹⁹. A more nuanced understanding between T cells, Mos/Mos, and the complex process of thrombus resolution is revealed in the highlighted study.

Profs Shahneh and colleagues, in a series of sophisticated experiments, elaborate on another previously understudied adaptive immune cell in venous thrombus resolution: the regulatory T cell (Treg).²⁰ Tregs, identified as CD4+, CD25+ cells with selective expression of Foxp3, function as immunomodulators, essential for preventing exuberant inflammation. Utilizing a diphtheria toxin FoxP3 depletion transgenic reporter mouse (Foxp3-DTR/eGFP) and Treg expansion utilizing IL-2/anti-IL-2 complexes, they demonstrate a direct correlation between thrombus Treg presence, post thrombotic monocyte recruitment, monocyte MMP activity and thrombus clearance. Transcriptomic Treg analysis revealed production of ostenectin (SPARC) transcripts, not previously described in Treg cells. In a series of experiments utilizing both *ex vivo* whole IVC thrombus culture and adoptive transfer of SPARC+ and – Tregs into *Rag^{-/-}* mice they demonstrate two mechanisms by which Treg SPARC directly modulates the monocyte response: (1) via direct activation of monocyte MMP activity and (2) via alterations in monocyte differention, with SPARC- Tregs recruiting a higher proportion of Ly6C^{Hi} monocytes.

This work builds upon previously limited knowledge of the relative importance of T cells and monocyte cooperation in intravascular thrombus clearance, in addition to the monocyte functions described above. Global CD4+/CD8+ depletion has been previously linked to late impairment of thrombus clearance, characterized by marked reduction of CD68+ monocyte infiltrate, uPA and MMP9 activity.²¹ Antigen independent activation of

T effector memory cells results in recruitment or differentiation of monocytes to Ly6C^{hi} subtype.¹⁹ In these previous experiments, global T cell depletion strategies precluded the selective study of Tregs, and the role they may play in monocyte recruitment. From this most recent study, we can infer that the Treg is the "yin" to the T effector memory cell "yang:" shifting monocyte differentiation preferentially to Ly6C^{lo} subtype, a phenotype which has been shown to be essential to normal thrombus clearance.²² To date, the exact mechanism by which the Ly6C^{lo}/Ly6C^{hi} balance directs clot lysis is somewhat unclear but likely involves MMP activation, chemokine/cytokine release and intrinsic uPA expression.^{19, 22}

A technical issue of this study does bear discussion. The way to measure thrombus resolution is usually by at harvest thrombus weight, length, or both.²³ However, Shahneh and coworkers evaluate VT resolution in a slightly different way than others have, using sequential high resolution duplex ultrasound over time. It would be important to correlate this method with actual thrombus weights and lengths, as well as confirm with histological area measurements. They used a stenosis IVC model of thrombus generation that provides a narrow flow channel right below the renal veins. This is important because the stenosis model and its variance in thrombus development may confer a different impact on the vein wall and thrombus resolution as compared to a complete IVC stasis model, as well as compared with an electrolytic non-stenotic VT model.²³ Finally, the recurrent VT model was created with a vicryl tie, which is braided and has the potential to induce peri-venous inflammation independently from inflammation induced by the process of thrombosis. The issue of VT models are important because we really don't know in humans what the exact nidus or tipping point factor is in manifesting a subclinical to clinical DVT, outside of the fact that the valve sulcus is where the thrombi most likely begin, and is due to flow and local endothelial changes.²⁴ Nonetheless, this stenosis model is well accepted, and has been shown to be a neutrophil extracellular trap (NET) dependent model, in contrast to the complete IVC ligation model.²⁵ This factor may also play a role in how Treg lymphocytes interact with monocyte/macrophages and their role in thrombus resolution.

While over half of the top 10 grossing global pharmaceuticals currently belonging to immunomodulating therapies, no such immune therapy yet exists for DVT.²⁶ In the final set of experiments, Prof Shahneh and colleagues utilize IL-2 complex therapy to expand Treqs post thrombosis in an effort to more effectively clear the thrombus. This strategy is successful when precisely administered within a narrow therapeutic window: 8-12 days' post thrombosis. Earlier administration delays thrombus resolution and prior treatments provide no advantage when a second DVT is formed. Such therapies have been gaining interest translationally in other disease states. For example, CD4+ T cell inflammation is a pathological hallmark of abdominal aortic aneurysms and immunomodulation via injection of Tregs as a strategy is currently being pursued in the Aortic Aneurysm Repression with Mesenchymal Stem Cells (ARREST) phase 1 clinical trial [NCT02846883]. Prior to initiation in humans, important further details of the influence of Treg lymphocytes in the post thrombotic state must be elucidated. For example, ex vivo analysis of intrathrombus Treqs identified TGF- β as essential to SPARC production. In turn, SPARC production was the determinant of optimal monocyte differentiation and increased MMP activity. However, TGF- β and MMP activity (as is the result of SPARC production) are both implicated in vein wall fibrosis which can lead to suboptimal valvular function and post thrombotic symptoms.^{14, 27} Therefore, a thorough evaluation of Treg and IL2 influence on vein wall injury and postthrombotic fibrosis would represent a critical area of further investigation. Further studies, such as this series of investigations are essential to gain mechanistic insight into new immune-based therapeutic strategies for DVT.

<u>Author contributions:</u> Both Drs Henke and Obi drafted, did the background review and literature searches, and wrote the article.

References

1. Saha P, Humphries J, Modarai B, Mattock K, Waltham M, Evans CE, Ahmad A, Patel AS, Premaratne S, Lyons OT and Smith A. Leukocytes and the natural history of deep vein thrombosis: current concepts and future directions. *Arterioscler Thromb Vasc Biol*. 2011;31:506-12. 2. von Bruhl ML, Stark K, Steinhart A, Chandraratne S, Konrad I, Lorenz M, Khandoga A, Tirniceriu A, Coletti R, Kollnberger M, Byrne RA, Laitinen I, Walch A, Brill A, Pfeiler S, Manukyan D, Braun S, Lange P, Riegger J, Ware J, Eckart A, Haidari S, Rudelius M, Schulz C, Echtler K, Brinkmann V, Schwaiger M, Preissner KT, Wagner DD, Mackman N, Engelmann B and Massberg S. Monocytes, neutrophils, and platelets cooperate to initiate and propagate venous thrombosis in mice in vivo. *J Exp Med*. 2012;209:819-35.

3. Diaz JA, Hawley AE, Alvarado CM, Berguer AM, Baker NK, Wrobleski SK, Wakefield TW, Lucchesi BR and Myers DD, Jr. Thrombogenesis with continuous blood flow in the inferior vena cava. A novel mouse model. *Thromb Haemost*. 2010;104:366-75.

4. Diaz JA, Obi AT, Myers DD, Jr., Wrobleski SK, Henke PK, Mackman N and Wakefield TW. Critical review of mouse models of venous thrombosis. *Arterioscler Thromb Vasc Biol*. 2012;32:556-62.

5. Wakefield TW, Myers DD and Henke PK. Mechanisms of venous thrombosis and resolution. *Arterioscler Thromb Vasc Biol.* 2008;28:387-91.

6. Alvarado CM, Diaz JA, Hawley AE, Wrobleski SK, Sigler RE and Myers DD, Jr. Male mice have increased thrombotic potential: sex differences in a mouse model of venous thrombosis. *Thromb Res*. 2011;127:478-86.

7. Gallagher KA, Obi AT, Elfline MA, Hogikyan E, Luke CE, Henke S, Coleman D and Henke PK. Alterations in macrophage phenotypes in experimental venous thrombosis. *J Vasc Surg Venous Lymphat Disord*. 2016;4:463-71.

8. Henke PK, Pearce CG, Moaveni DM, Moore AJ, Lynch EM, Longo C, Varma M, Dewyer NA, Deatrick KB, Upchurch GR, Jr., Wakefield TW, Hogaboam C and Kunkel SL. Targeted deletion of CCR2 impairs deep vein thombosis resolution in a mouse model. *J Immunol*. 2006;177:3388-97.

9. Culmer DL, Dunbar ML, Hawley AE, Sood S, Sigler RE, Henke PK, Wakefield TW, Magnani JL and Myers DD, Jr. E-selectin inhibition with GMI-1271 decreases venous thrombosis without profoundly affecting tail vein bleeding in a mouse model. *Thromb Haemost*. 2017;117:1171-1181.

10. Wun T, Styles L, DeCastro L, Telen MJ, Kuypers F, Cheung A, Kramer W, Flanner H, Rhee S, Magnani JL and Thackray H. Phase 1 study of the E-selectin inhibitor GMI 1070 in patients with sickle cell anemia. *PLoS One*. 2014;9:e101301.

11. Baldwin JF, Sood V, Elfline MA, Luke CE, Dewyer NA, Diaz JA, Myers DD, Wakefield T and Henke PK. The role of urokinase plasminogen activator and plasmin activator inhibitor-1 on vein wall remodeling in experimental deep vein thrombosis. *J Vasc Surg*. 2012;56:1089-97.

This article is protected by copyright. All rights reserved

12. Singh I, Burnand KG, Collins M, Luttun A, Collen D, Boelhouwer B and Smith A. Failure of thrombus to resolve in urokinase-type plasminogen activator gene-knockout mice: rescue by normal bone marrow-derived cells. *Circulation*. 2003;107:869-75.

 Sood V, Luke CE, Deatrick KB, Baldwin J, Miller EM, Elfline M, Upchurch GR, Jr., Wakefield TW and Henke PK. Urokinase plasminogen activator independent early experimental thrombus resolution: MMP2 as an alternative mechanism. *Thromb Haemost*. 2010;104:1174-83.

14. Deatrick KB, Luke CE, Elfline MA, Sood V, Baldwin J, Upchurch GR, Jr., Jaffer FA, Wakefield TW and Henke PK. The effect of matrix metalloproteinase 2 and matrix metalloproteinase 2/9 deletion in experimental post-thrombotic vein wall remodeling. *J Vasc Surg*. 2013;58:1375-1384 e2.

15. Ali T, Humphries J, Burnand K, Sawyer B, Bursill C, Channon K, Greaves D, Rollins B, Charo IF and Smith A. Monocyte recruitment in venous thrombus resolution. *J Vasc Surg*. 2006;43:601-8.

16. Kimball AS, Obi AT, Luke CE, Dowling AR, Cai Q, Adili R, Jankowski H, Schaller M, Holinstadt M, Jaffer FA, Kunkel SL, Gallagher KA and Henke PK. Ly6CLo Monocyte/Macrophages are Essential for Thrombus Resolution in a Murine Model of Venous Thrombosis. *Thromb Haemost*. 2019.

17. Kearon C, Akl EA, Ornelas J, Blaivas A, Jimenez D, Bounameaux H, Huisman M, King CS, Morris TA, Sood N, Stevens SM, Vintch JR, Wells P, Woller SC and Moores L. Antithrombotic Therapy for VTE Disease: CHEST Guideline and Expert Panel Report. *Chest*. 2016;149:315-52.

18. Cushman M, Barnes GD, Creager MA, Diaz JA, Henke PK, Machlus KR, Nieman MT, Wolberg AS, American Heart Association Council on Peripheral Vascular D, Council on Arteriosclerosis T, Vascular B, Council on C, Stroke N, Council on Clinical C, Council on E, Prevention, the International Society on T and Haemostasis. Venous Thromboembolism Research Priorities: A Scientific Statement From the American Heart Association and the International Society on Thrombosis and Haemostasis. *Circulation*. 2020;142:e85-e94.

19. Luther N, Shahneh F, Brahler M, Krebs F, Jackel S, Subramaniam S, Stanger C, Schonfelder T, Kleis-Fischer B, Reinhardt C, Probst HC, Wenzel P, Schafer K and Becker C. Innate Effector-Memory T-Cell Activation Regulates Post-Thrombotic Vein Wall Inflammation and Thrombus Resolution. *Circ Res*. 2016;119:1286-1295.

20. Shahneh F, Alexandra G, Klein M, Frauhammer F, Bopp T, Schafer K, Raker V and Becker C. Specialized regulatory T cells control venous blood clot resolution through SPARC. *Blood*. 2020.

21. Mukhopadhyay S, Gabre J, Chabasse C, Bromberg JS, Antalis TM and Sarkar R. Depletion of CD4 and CD8 Positive T Cells Impairs Venous Thrombus Resolution in Mice. *Int J Mol Sci.* 2020;21.

22. Kimball AS, Obi AT, Luke CE, Dowling AR, Cai Q, Adili R, Jankowski H, Schaller M, Holinstadt M, Jaffer FA, Kunkel SL, Gallagher KA and Henke PK. Ly6CLo Monocyte/Macrophages are Essential for Thrombus Resolution in a Murine Model of Venous Thrombosis. *Thromb Haemost*. 2020;120:289-299.

23. Diaz JA, Saha P, Cooley B, Palmer OR, Grover SP, Mackman N, Wakefield TW, Henke PK, Smith A and Lal BK. Choosing a Mouse Model of Venous Thrombosis. *Arterioscler Thromb Vasc Biol*. 2019;39:311-318.

24. Welsh JD, Hoofnagle MH, Bamezai S, Oxendine M, Lim L, Hall JD, Yang J, Schultz S, Engel JD, Kume T, Oliver G, Jimenez JM and Kahn ML. Hemodynamic regulation of perivalvular endothelial gene expression prevents deep venous thrombosis. *J Clin Invest*. 2019;129:5489-5500.

25. Kimball AS, Obi AT, Diaz JA and Henke PK. The Emerging Role of NETs in Venous Thrombosis and Immunothrombosis. *Front Immunol*. 2016;7:236.

26. Monaco C, Nanchahal J, Taylor P and Feldmann M. Anti-TNF therapy: past, present and future. *Int Immunol.* 2015;27:55-62.

27. Deatrick KB, Obi A, Luke CE, Elfline MA, Sood V, Upchurch GR, Jr., Jaffer F, Wakefield TW and Henke PK. Matrix metalloproteinase-9 deletion is associated with decreased mid-term vein wall fibrosis in experimental stasis DVT. *Thromb Res.* 2013;132:360-6.

Author N