

# FTA: A Feature Tracking Empirical Model of Auroral Precipitation

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## Key Points:

- The AE-based FTA Model provides the energy flux and the average energy using 1.5 years of Polar Ultraviolet Imager data.
- The FTA model's grid is tied to auroral boundaries and spatial distribution: tracking a cumulative energy grid in each MLT sector.
- For the March 17, 2013 event, the FTA model had the most confined patterns and agreed best with SSUSI observations of auroral power.

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**Abstract**

The Feature Tracking of Aurora (FTA) Model was constructed using 1.5 years of Polar Ultraviolet Imager data and is based on tracking a cumulative energy grid in 96 magnetic local time (MLT) sectors. The equatorward boundary, poleward boundary, and 19 cumulative energy bins are tracked with the energy flux and the latitudinal position. With AE increasing, the equatorward boundary moves to lower latitudes everywhere, while the poleward boundary moves poleward in the 2300-0300 MLT region and equatorward in other MLT sectors. This results in the aurora getting wider on the nightside and becoming narrower on the dayside. The peak intensity of the aurora in each MLT sector is almost linearly related to AE, with the global peak moving from pre-midnight to post-midnight as geomagnetic activity increases. Ratios between the Lyman-Birge-Hopfield-long and -short models allow the average energy to be calculated. Predictions from the FTA and two other auroral models were compared to the measurements by the Defense Meteorological Satellite Program Special Sensor Ultraviolet Spectrographic Imagers (SSUSI) on 17 March 2013. Among the three models, the FTA model specified the most confined patterns with the highest energy flux, agreeing with the spatial and temporal evolution of SSUSI measurements better and predicted auroral power (AP) better during higher activity levels (SSUSI AP > 20 GW). The Fuller-Rowell and Evans (1987) and FTA models specified very similar average energy compared with SSUSI measurements, doing slightly better by  $\sim 1$  keV than the OVATION Prime model.

**1 Introduction**

The aurora is induced by collisions between precipitating energetic electrons or ions and the atmosphere. These precipitating particles are accelerated by or diffused from different regions of the magnetosphere and act as a window into dynamical processes of the solar wind-magnetosphere-ionosphere system. The aurora is highly dynamic both spatially and temporally, especially under geomagnetically active conditions. On a large scale, the auroral oval is the footprint of magnetospheric boundaries, which experience great changes in shape and location (Feldstein, 1973; Akasofu, 1966; Milan, 2009; Milan et al., 2009, etc). Within the aurora, meso-scale (with scale sizes of 10s to 100s of kilometers) to small-scale (with spatial scales down to tens of meters and temporal scales down to fractions of a second) structures appear as arcs, spots, patches, etc. of visual emissions with different luminosities and behaviors, most of which represent complex energy

47 transport between magnetosphere and ionosphere (Maggs & Davis, 1968; Borovsky et al.,  
48 1991; Frey, 2007; Sandahl et al., 2008; Paschmann et al., 2012; Forsyth et al., 2020;  
49 Nishimura et al., 2020, etc.). The energy flow and structure within the auroral oval are  
50 therefore complicated and dynamic under different geomagnetic conditions.

51 Recent observational studies have shown that the upward field-aligned currents (FACs)  
52 coincide with the aurora both spatially and temporally (e.g., Murphy et al., 2013; Carter  
53 et al., 2016). Murphy et al. (2013) demonstrated that the upward FAC elements of the  
54 structured substorm current wedge were spatially correlated with discrete aurora during  
55 the substorm expansion phase and that discrete changes in the FAC topology were  
56 observed in the late growth phase before auroral substorm expansion phase onset.

57 Statistical comparisons between simultaneous observations by the constellation of Iridium  
58 satellites and the Global Ultraviolet Imager (GUVI), performed by Korth et al. (2014),  
59 found that the electron precipitation inferred from the auroral emission occurred primarily  
60 within and near the large-scale upward current regions under southward interplanetary  
61 magnetic field (IMF) conditions, while the correlation was smaller for northward IMF,  
62 presumably due to the lack of enough statistical events. Also, the correspondence was  
63 evident at dusk where a larger fraction of the electron precipitation is accelerated  
64 downward by a field-aligned potential difference. However, it was uncorrelated from  
65 pre-midnight through dawn to noon, consistent with diffuse electron precipitation  
66 dominating the incident energy flux. Korth et al. (2004) examined FACs observed by the  
67 Iridium constellation for 25 events of prolonged steady northward IMF and found that the  
68 occurrence of high-latitude dayside aurora is generally restricted to solar wind proton  
69 densities below  $4 \text{ cm}^{-3}$  and peak current densities higher than  $0.7 \mu\text{A m}^{-2}$  under all  
70 observed solar wind conditions. Carter et al. (2016) found that the FACs and auroral oval  
71 move to lower latitudes as the IMF becomes both increasingly stronger in magnitude and  
72 increasingly southward. However, the lack of coincidence between the R1 FAC and the  
73 auroral oval in the dusk sector is contrary to expectation, since the discrete electron  
74 aurora on the duskside was thought to be associated with the R1 FAC and thus the  
75 downward precipitating electrons (e.g., Elphic et al., 1998; Newell et al., 2009; Korth et  
76 al., 2014).

77 For both understanding and simulating the high-latitude thermosphere-ionosphere system,  
78 auroral precipitation is a dominant source of energy and needs to be well specified. In  
79 addition, auroral precipitation drives ionization, which controls the electron and ion

80 densities, leading to composition, momentum, and energy exchange between the  
81 ionosphere and thermosphere. The auroral precipitation therefore plays a crucial role in  
82 the dynamics of the winds, heating, and composition of the upper atmosphere. Great  
83 efforts have been made to establish various auroral precipitation models based on both  
84 images and particle measurements organized by geomagnetic indices like Kp (Hardy et al.,  
85 1985; Zhang & Paxton, 2008), SuperMAG auroral electrojet (Mitchell et al., 2013), and  
86 solar wind (Brautigam et al., 1991; Newell et al., 2010a, 2014). See Newell et al. (2015)  
87 for a review of different methods of constructing auroral precipitation models.

88 Traditionally, these empirical precipitation models bin data based on a latitude grid in  
89 fixed geomagnetic coordinates. This smooths the latitudinal distribution, since the auroral  
90 oval is highly dynamic in location, size, and latitudinal energy structure, as described  
91 above, especially during higher magnetospheric activity. To overcome this smoothing  
92 issue, previous studies about the high-latitude ionospheric electrodynamics have binned  
93 the convection/potential (Heppner & Maynard, 1987; Rich & Hairston, 1994; Weimer,  
94 2005; Chisham, 2017; Zhu et al., 2020), the particle precipitation (e.g., Sotirelis & Newell,  
95 2000; Redmon et al., 2010; Zhu et al., 2020), subauroral polarization (Landry &  
96 Anderson, 2018), FACs (Kilcommons et al., 2017), etc. in dynamic coordinates, which  
97 were basically with a "boundary-oriented" binning approach, though the reference  
98 boundaries and latitudinal bins varied.

99 Sotirelis and Newell (2000), based on measurements by the Defense Meteorological  
100 Satellite Project (DMSP) satellites, presented a global configuration of electrons  
101 precipitating from 32 eV to 30 keV, ordering precipitation relative to 5 auroral boundaries  
102 on the nightside and 3 on the dayside. They concluded that the resulting model more  
103 closely resembles instantaneous observations. Redmon et al. (2010) devised an algorithm  
104 to identify the aurora regions using precipitation electron observations from the DMSP  
105 Special Sensor Version 4 (SSJ4) based on a figure of merit and then mapped the  
106 observations to its fractional position within the auroral zone or polar cap referring to the  
107 boundaries. This method was improved by Kilcommons et al. (2017) utilizing coincident  
108 data from the DMSP precipitating electrons and ions instrument (SSJ5). To investigate  
109 the differences between the static and the "boundary-oriented" binning approaches, Zhu  
110 et al. (2020) binned the electron precipitation data from DMSP SSJ5 with both methods  
111 for moderately strong and dominantly southward IMF conditions. They concluded that

112 the "boundary-oriented" binning approach provided a more confined and intense electron  
113 precipitation pattern.

114 In this study, a new auroral electron precipitation model is presented. This model uses an  
115 adaptive coordinate system, like the works discussed above. However, instead of using  
116 latitude bins (as Sotirelis and Newell (2000), Redmon et al. (2010), and Zhu et al. (2020)  
117 do), the Feature Tracking of the Aurora (FTA) Model uses cumulative energy bins as a  
118 latitudinal coordinate. The FTA model is keyed off of the AE index, which captures the  
119 dynamics of the auroral state, and was produced using data from Polar Ultraviolet Imager  
120 (UVI) N<sub>2</sub> Lyman-Birge-Hopfield-long (LBHL) and -short (LBHs) emission images between  
121 1 January 1997, and 30 June 1998. This study presents initial results from this model as  
122 well as a comparison of three precipitation models and measurements by the Special  
123 Sensor Ultraviolet Spectrographic Imagers (SSUSIs) on the DMSP satellites on 17 March  
124 2013.

## 125 **2 Methodology**

### 126 **2.1 Data Used to Create the FTA Model**

127 The UVI instrument onboard the Polar spacecraft started operation in 1996, providing  
128 imagery of the global aurora in 4 far ultraviolet (FUV) filters covering spectral regions  
129 from 130 nm to 190 nm for at least 9 hours of the 18-hour elliptical orbit. Images were  
130 integrated over about 37 s and had an angular resolution of 0.036° (Torr et al., 1995).

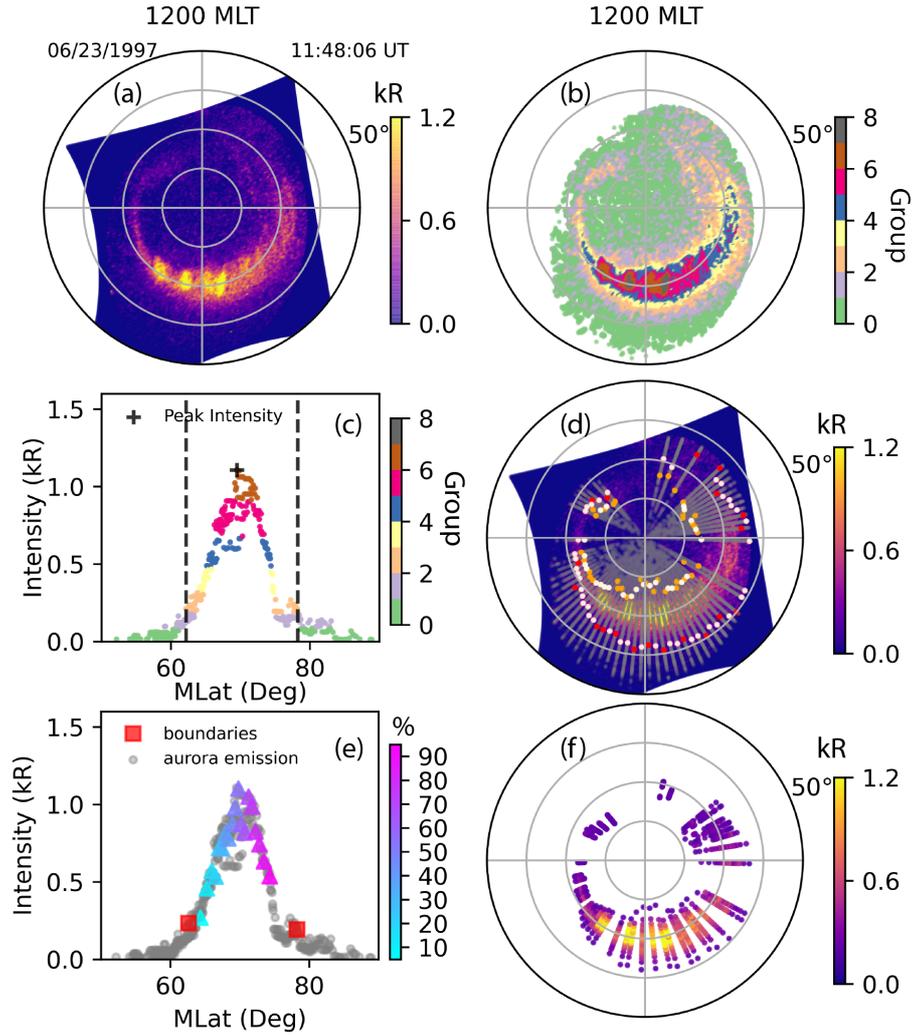
131 The characteristics of the precipitation can be obtained from emissions in N<sub>2</sub> LBH bands.  
132 The energy flux can be inferred from the LBHL emissions, while the average energy can be  
133 calculated from the ratio of the LBHL to the LHBs (Strickland et al., 1983; Germany et  
134 al., 1994; Brittnacher et al., 1997). In this study, the auroral brightness in LBHL was  
135 converted to the energy flux with the proportionality constant of 110  $R/(erg\ cm^{-2}\ s^{-1})$   
136 (Germany et al., 1994; Brittnacher et al., 1997). The average energy was derived based on  
137 the relationship with the emission ratio indicated by Germany et al. (1994). Each LBHL  
138 or LBHs image was processed by first removing the dayglow and then following the  
139 procedure described below.

140 The AE index utilized to organize the image measurements was extracted from  
141 NASA/GSFC's OMNI data set through OMNIWeb with 1-min resolution.

## 2.2 Background Subtraction and Boundary Identification

Several approaches of determining the boundaries from auroral images have been described previously, including simple methods that involve using a constant threshold of auroral brightness (Frank & Craven, 1988; Brittnacher et al., 1999) and a fixed ratio to the maximum of the auroral oval within a local time slice (Kauristie et al., 1999; Baker et al., 2000). More complex approaches considered the spatial information to segment the auroral oval, such as the neural network-based method (Germany et al., 1998), the clustering-based method (Wang et al., 2011; Ding et al., 2017), and functional fitting of the global auroral oval (Carbary et al., 2003; Ding et al., 2017). In this study, when the aurora was weak, the boundaries were identified using a constant threshold of 110 R, as was done in previous studies (e.g., Brittnacher et al., 1999; Carbary, 2005; Baker et al., 2000). However, with increasing activity, the noise of the background exceeded 110 R, so that a fixed threshold was not appropriate (Baker et al., 2000). Therefore, for intense aurora, the k-means clustering algorithm (Hartigan & Wong, 1979) was applied in the determination of the equatorward boundary (EB) and the poleward boundary (PB).

The k-means clustering method classified the emissions into several groups in which each data point belonged to the group with the nearest mean, i.e., the auroral image was clustered into different intensity levels. For intense aurora, the k-means clustering method differentiated the oval from the background. Visually, with 8 clusters of increasing intensity levels, the background of the emission was included in groups 0 and 1. The training set contained two components: the brightness and the magnetic latitude (MLat). Figure 1a shows an example of an image of the UVI/LBHI emission from the northern hemisphere at 11:48:06 UT on 23 June 1997, with the dayglow-removal and smoothing processes applied. Some of the background was larger than 110 R. Using the k-means clustering algorithm, the image was clustered into different intensity levels, as shown in Figure 1b. The background (groups 0 and 1) was distinct from the auroral oval. Thus the group 1-group 2 boundary then set the equatorward and poleward edge locations of the oval. The luminosities in groups 2 and above were typically larger than 110 R for intense aurora, but for lower activity times, they usually included brightnesses lower than this value (not shown here) and then the threshold 110 R was utilized to determine the boundaries.



**Figure 1.** An example of dealing with one image. (a) the result after dayglow-removal and smoothing; (b) k-means clustering method applied to the image; (c) in 0000-0015 MLT sector, the grouped emission data as a function of MLat and the boundaries marked by the two black dashed lines; (d) boundaries of the auroral oval in each MLT bin. The grey lines represent the medians of the MLT bins, and the white, red, and orange dots together denote the boundaries determined, and the red and orange are data far away from their neighbors; (e) the 19 levels of the cumulative energy (blue to magenta triangles) and the auroral boundaries (red squares) in 0000-0015 MLT sector; (f) the auroral oval reconstructed with the brightness and the location in the valid MLT bins and the 21 cumulative energy bins. The AE index was 257 nT. All the dial plots are in MLat and MLT coordinates with noon (1200 MLT) at the top and dusk (1800 MLT) at the left. The MLat range is from 50° to 90° and the grey circles represent MLat at 80°, 70°, and 60°.

173 For each auroral image, the data was binned in  $\frac{1}{4}$  h magnetic local time (MLT) bins  
174 (0000-0015 MLT, 0015-0030 MLT, ..., 2345-2400 MLT) for a total of 96 bins. Figure 1c  
175 presents the EB and PB (two dashed lines) identified in the MLT bin of 0000-0015 MLT.  
176 The emission data belonged to k-means clustering 7 groups. Figure 1d shows boundaries  
177 of the auroral oval in each MLT bin. Some MLT bins were discarded for a variety of  
178 reasons, including: (1) the cutoff of the field of view was falsely identified as the EB; (2)  
179 the auroral width was too small ( $< 21$  points, less than  $\sim 2^\circ$  in latitude); and (3) the  
180 location of the determined EB varied too much from its four nearest neighbors. In the  
181 second situation, the aurora was usually very weak. The gaps on the dayside occurred  
182 where the MLT bins were discarded due to weak intensity or small width, while those on  
183 the dawnside were due to the cutoff of the field of view, which was too close to the auroral  
184 oval. At points where the color is red or orange, the latitude of the boundary in that MLT  
185 bin fell more than one standard deviation outside of the four neighbors. In general, the  
186 EB location varied relatively smoothly as a function of MLT. However, when the auroral  
187 intensity was perturbed (see the nightside MLT bins) or the aurora was too weak to be  
188 distinguished from the background (see the dayside MLT bins), it was identified too far  
189 away from its neighbors. Unlike the EB, the PBs latitudinal location was more dynamic  
190 and irregular with a larger uncertainty. The PB outside of the one standard deviation of  
191 its neighbors would be set to a null value, but would not be used to determine whether  
192 the MLT bin was discarded or not. Note that these conditions were designed to be  
193 conservative and exclude non-auroral observations as much as possible. Future work will  
194 include more weak intensities and perturbations of the aurora in the model by loosening  
195 these restrictions.

196 The sources of error in the boundary locations can come from the k-means method  
197 throwing away data (groups 0 and 1). To estimate a possible uncertainty on the location  
198 of the EB, if the aurora constructed by the FTA model was projected down to the 110 R  
199 level at the boundary (for AE=300, MLT=0300), then the EB would be about  $1^\circ$  lower  
200 latitude (total width of the aurora for these conditions was about  $9.5^\circ$ ), but that is well  
201 within the  $\sim 3^\circ$  standard deviation. As another validation of the boundary selection  
202 technique, the ratio of the intensity at the PB to the peak intensity for each MLT bin was  
203 calculated and compared with results by Baker et al. (2000). In Figure 2, for different  
204 MLT regions (0000-0600 MLT, 0600-1200 MLT, 1200-1800 MLT, and 1800-2400 MLT),  
205 the median and interquartile values of the ratio are shown as a function of AE. The ratios

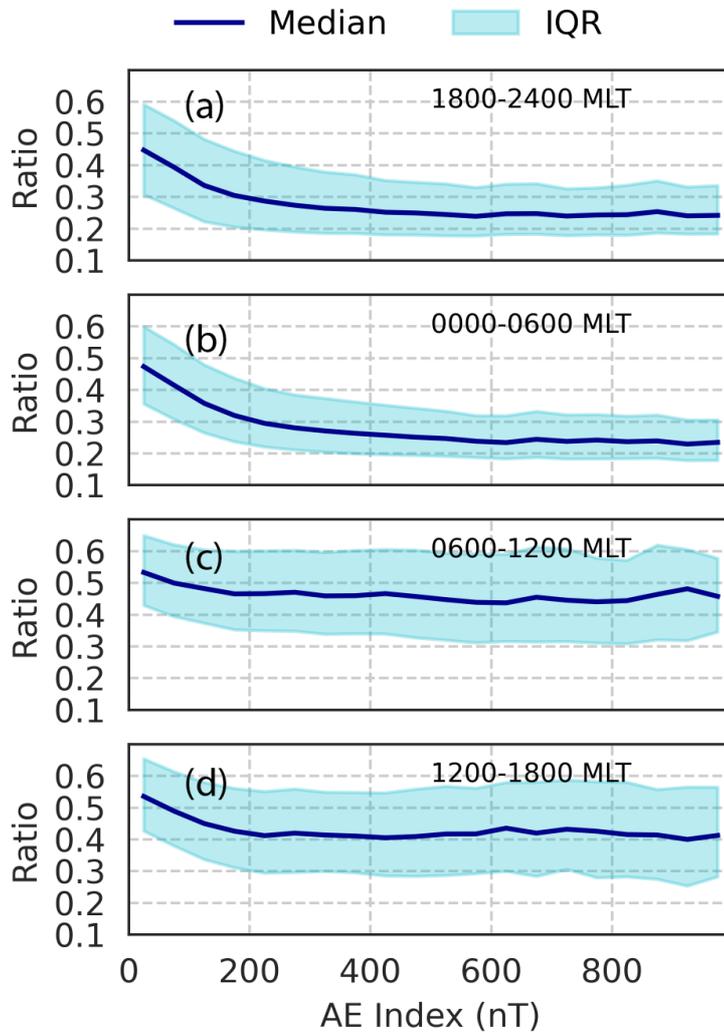
were higher for lower activity and roughly stayed constant for AE larger than 200 nT. Using the same data source, Baker et al. (2000) used a ratio threshold of 0.3 between the boundary and peak intensity. In Figure 2, on the nightside for the FTA model, the ratio was about 0.25 with the interquartile range of  $\sim 0.1$ - $0.15$ . On the dayside the ratio was  $\sim 0.45$  in the morning sector and  $\sim 0.4$  in the afternoon sector, due to the fact the peak brightness was lower. Therefore, the k-means method (throwing out groups 0 and 1) possibly gives an error, but it is consistent with other studies, and the error is less than the variability in the equatorward edge location. It may therefore be better to try to determine the cause of the variability than to come up with a better way of eliminating the background noise.

After processing, the numbers of the LBHL and LBHs images were 114,970 and 116,058 in total. Figure 3 shows the distribution of the EB and PB events in each AE/MLT bin for the LBHL emission measurements. For AE lower than 500 nT, both the EB and PB had above  $\sim 100$  counts in each MLT/AE bin and above  $\sim 700$  counts in each grid on the nightside. As activity increases, the points in each grid become less than 100 on the dayside, and when AE was above 900 nT, the PB event dropped below 100 at dawn. The data distribution for the LBHs images is similar (not shown).

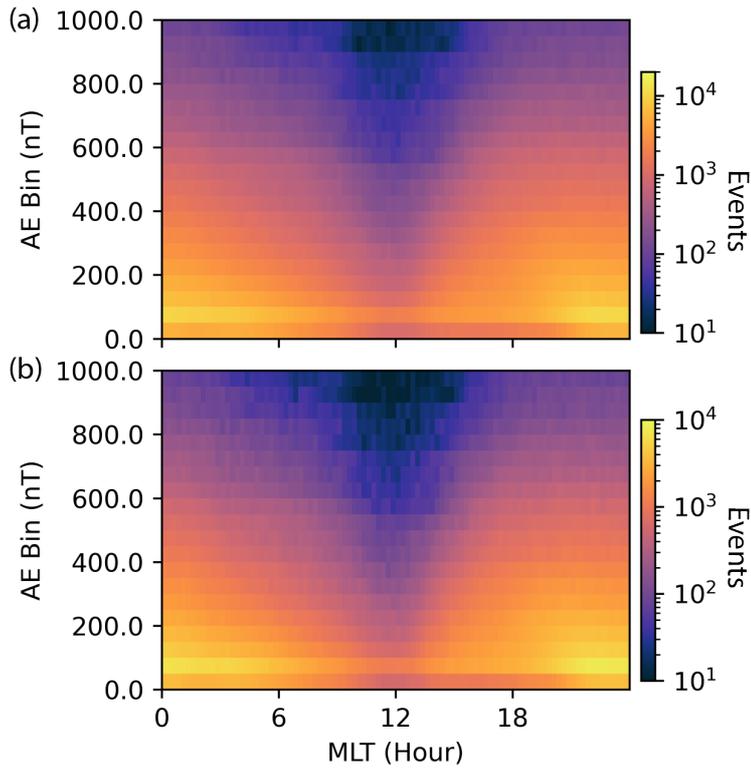
### 2.3 Cumulative Energy Binning

For each LBHL/ LBHs image, in each MLT sector, the aurora was binned using cumulative energy bins. The emission data in each independent MLT sector was integrated latitudinally from the EB to the PB, resulting in a total intensity cumulative distribution function. Then 19 levels (5%, 10%, 15%, ..., 95%), as well as the boundaries (i.e., the EB and PB, respectively), were sampled as 21 grid points to track intensity and latitude. For example, in Figure 1e, for the MLT bin of 0000-0015 MLT, the 21 grid points (the auroral boundaries and the 19 levels) are shown. Figure 1f shows the auroral oval reconstructed with the brightness and the location in the valid MLT bins and the 21 cumulative energy bins. This was then the information that was used to develop the FTA model.

The cumulative energy distribution ranged from 0-100% and could be scaled in latitude easily, therefore it was considered to be a good feature to track with a built-in grid system. Because the data was sampled based on the energy distribution, the brightest



**Figure 2.** The median and interquartile values of the ratio of the intensity at the poleward boundary to the peak intensity in each MLT bin for different MLT sectors.



**Figure 3.** Distribution of EB (a) and PB (b) events in each AE/MLT bin for the LBHI emission. The AE bins are from 0 to 1000 nT with an interval of 50 nT. For EB, the maximum count is 11,014 (AE: 50-100 nT, 2215-2230 MLT) and the minimum count is 8 (AE: 900-950 nT, 1000-1015 MLT); for PB, the maximum count is 7,521 (AE: 50-100 nT, 2215-2230 MLT) and the minimum count is 5 (AE: 900-950 nT, 1200-1215 MLT).

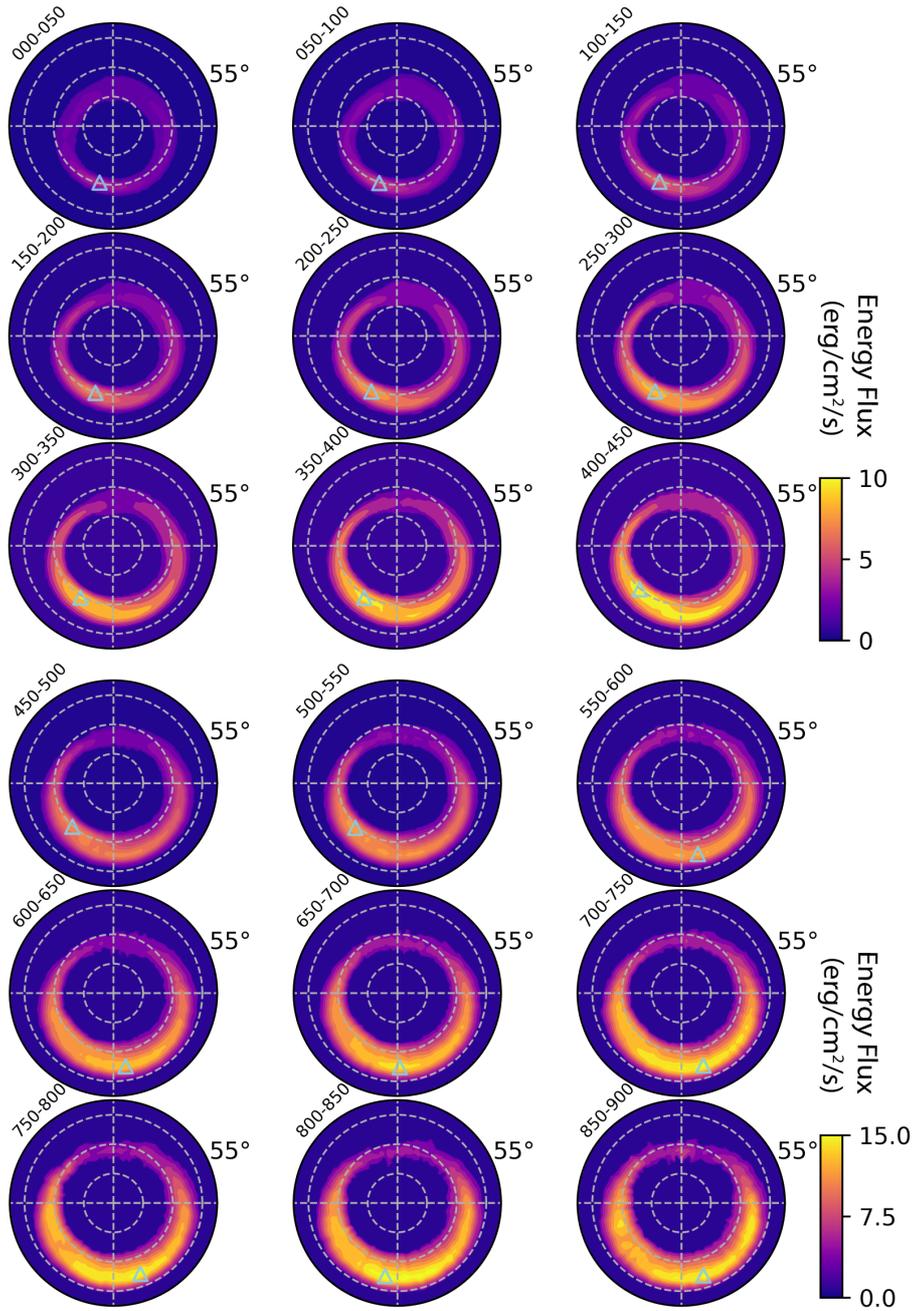
237 emission region contributed more to the cumulative energy and therefore was sampled at  
238 finer resolution. As shown in Figure 1e, more data were selected near the EB than at the  
239 PB where the auroral emission was weaker. Consequently, while the Sotirelis and Newell  
240 (2000) model presented appropriate sharp transitions of different regions, including the  
241 polar cap, due to more sophisticated boundaries, the FTA model with the cumulative  
242 energy binning method should be able to better capture the intense auroral emissions  
243 adaptively. In addition, since the Sotirelis and Newell (2000) model was based on 5  
244 boundaries on the nightside and 3 boundaries on the dayside, which resulted in the  
245 discontinuity between the dayside and the nightside, and the DMSP measurements used  
246 in the model had poor coverage in the post-noon and post-midnight regions, from a global  
247 perspective, the FTA model based on auroral images by Polar UVI with the cumulative  
248 energy bins should be more zonally consistent.

### 249 **3 FTA Model**

250 After binning each series of LBHI/LBHs images in the 96 MLT bins and the 21  
251 cumulative energy bins, the data was organized by the AE index from 0 to 1000 nT with  
252 an interval of 50 nT. For each AE bin,  $96 \times 21$  pairs of averaged brightness and MLat  
253 were obtained. With the average MLat information in each AE bin, the global emission  
254 could be projected to the MLT/MLat coordinates. The energy flux (Figure 4) and the  
255 average energy (Figure 5) were then calculated based on the LBHI and LBHs emissions  
256 for each AE bin.

257 Compared to the traditional MLT/MLat binning method, the energy flux of the FTA  
258 model has the following characteristics:

- 259 1. The auroral morphology of the FTA model looks thinner than traditional models:  
260 the width of the energy flux pattern is less than  $11^\circ$  with AE smaller than 450 nT  
261 and does not exceed  $14^\circ$  when the geomagnetic activity becomes high. When the  
262 AE index is  $\sim 450$  nT, the corresponding Kp index is roughly from 1.5 to 5  
263 (Rostoker, 1991). Under this condition, the width of the energy flux pattern  
264 exceeds  $\sim 15^\circ$  in the Fuller-Rowell and Evans (1987) (FRE) model and the Zhang  
265 and Paxton (2008) model. In the study by Zhu et al. (2020), it was found that the  
266 "boundary-oriented" method reduced the auroral width by about 50% on the  
267 duskside compared to the static binning approach.



**Figure 4.** Patterns of the energy flux. The AE bin (unit: nT) was labeled on the upper left increasing from the left to the right and from the top to the bottom. Note that different color scales were applied with AE index larger and smaller than 450 nT. The triangle denotes the location of the global maximum of the energy flux. All the dial plots are in MLat and MLT coordinates with noon (1200 MLT) at the top and dusk (1800 MLT) at the left. The MLat range is from 55° to 90° and the white dashed circles represent MLat at 80°, 70°, and 60°.

- 268 2. The FTA model presents a different dawn-dusk asymmetry. Current models, like  
269 the FRE model and the Zhang and Paxton (2008) model, described the energy flux  
270 on the dawn side being higher than that on the duskside under weak and moderate  
271 magnetic activity, while as the activity increases, it was roughly symmetric in the  
272 dawn-dusk direction. The FTA model is consistent with these models during quiet  
273 times. However, with AE from 200 nT to 450 nT, the aurora from post-noon  
274 through pre-midnight becomes intense and has a larger energy flux than the  
275 dawnside, which indicates opposite dawn-dusk asymmetry to the models mentioned  
276 above. Based on the comparison of the static and the "boundary-oriented" binning  
277 methods for the moderately strong IMF, Zhu et al. (2020) found that the  
278 enhancements of the energy flux are more substantial in the 1400-2400 MLT sector  
279 than those in 0200-1200 MLT sector for the dynamic binning pattern with the peak  
280 magnitude in the 1400-2400 MLT sector exceeding 50% of that in the static binning  
281 results. This is consistent with the present results. As known, the dusk to  
282 pre-midnight sector is relatively active: it is dominated by monoenergetic aurora  
283 and the substorm onsets (e.g., Akasofu, 1966; Newell et al., 2009). Therefore, this  
284 different dawn-dusk asymmetry may be explained by better capturing of the intense  
285 and dynamic auroral features due to the new binning method.
- 286 3. Fine features are revealed as the aurora evolves with AE. The FTA model presents  
287 typical features at various activity levels, which are consistent with previous studies  
288 (e.g., Lui et al., 1989; Liou et al., 1997, 2001; Luan et al., 2018) and other  
289 precipitation models (e.g., Fuller-Rowell & Evans, 1987; Sotirelis & Newell, 2000;  
290 Zhang & Paxton, 2008). However, more details seem to be revealed in this study.  
291 As known, the aurora should follow upward FACs, which means that there should  
292 be a step change in the location of the aurora across midnight, with pre-midnight  
293 being poleward of post-midnight. This can be qualitatively observed in the FTA  
294 model at AE of 350-450 nT, as a relatively rapid change in contour location across  
295 midnight. In the quantitative analysis sector below, it is described in more detail.

296 Different magnetospheric modes may be indicated in the FTA model. At lower activity  
297 levels (AE  $< \sim 400$  nT), the peak is in the pre-midnight sector, while at higher activity  
298 levels, the aurora becomes broader in MLT and the peak moves to midnight or  
299 post-midnight. This is consistent with the transition from isolated substorms to  
300 storm-time substorms or sawtooth events (Pytte et al., 1978; Henderson, 2004; DeJong et

301 al., 2009; DeJong et al., 2018; Cai & Clauer, 2013; Walach et al., 2017). However,  
302 different modes cannot be distinguished from each other clearly in the FTA model,  
303 because the data has not been divided by the type of magnetospheric mode. Further work  
304 is needed to determine the dependencies of the aurora on different drivers, which may be  
305 possible to parameterize using AU, AL, and Dst.

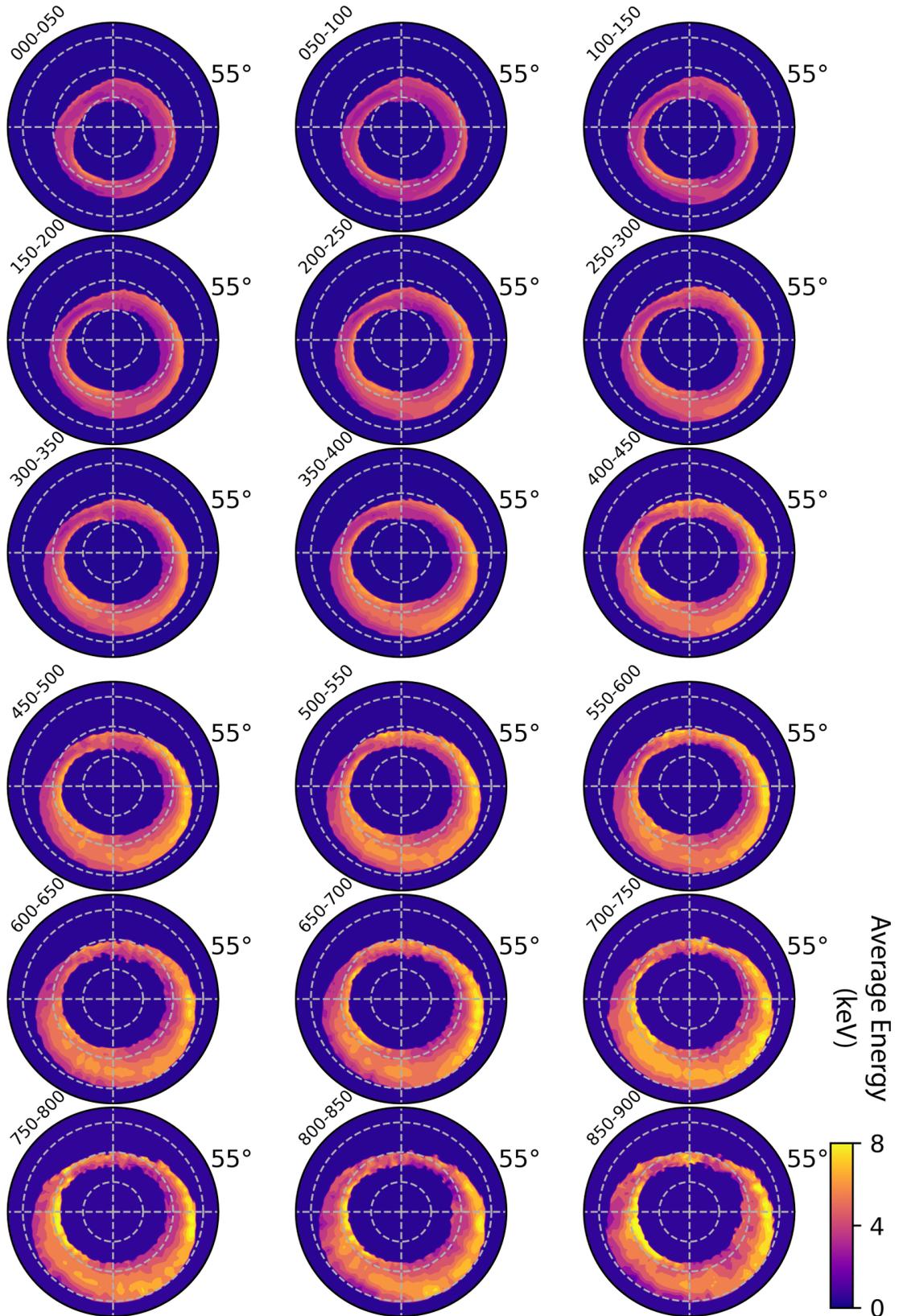
306 Figure 5 presents the average energy within the FTA model. The average energy is larger  
307 on the equatorward edge of the oval on the dawnside through pre-noon, while it is  
308 enhanced closer to the poleward edge on the duskside through post-noon. The pattern is  
309 consistent with the average energy pattern by Sotirelis and Newell (2000) and  
310 Fuller-Rowell and Evans (1987). For higher activity, an intensified region occurs across the  
311 nightside located at the equatorward of the enhancement in the pre-midnight sector and  
312 consistent with the latitudinal location of the enhancement in the post-midnight sector.  
313 This variation seems to agree with that of the characteristic energy in Fuller-Rowell and  
314 Evans (1987).

## 315 4 Results

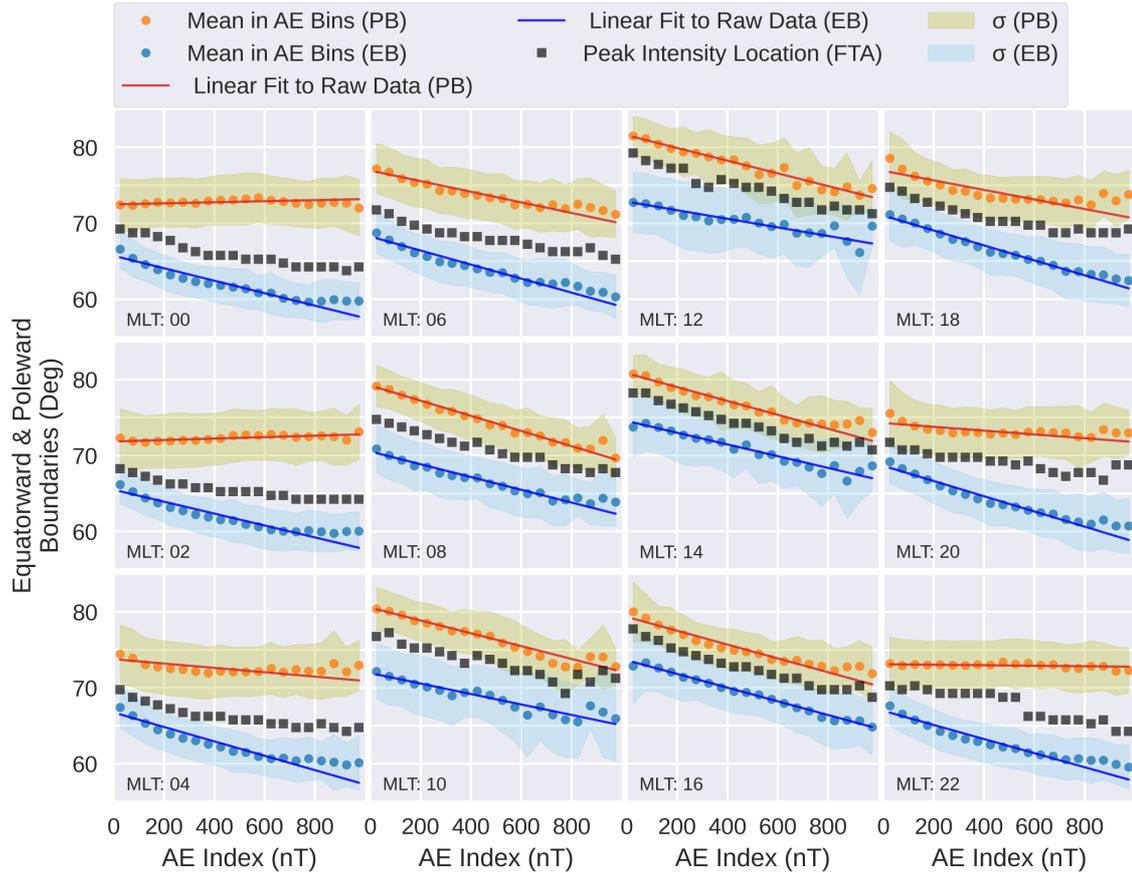
316 Since the LBHL emission is proportional to the energy flux, the auroral boundaries (EB  
317 and PB locations) and the peak intensity (magnitude and latitudinal location) of the  
318 LBHL emission in each MLT bin are investigated in this section. The hemispheric power  
319 (HP) of the FTA model is also presented.

### 320 4.1 Boundary Movement

321 To investigate the motion of the boundaries as activity increases, for each MLT bin, the  
322 mean locations of EB and PB were plotted as a function of AE. The boundary locations  
323 vary almost linearly with AE up to 800 nT. The change in boundary location shows a  
324 strong dependence on MLT: the EB shifts to lower latitudes at different rates, while the  
325 direction of the PB movement can be equatorward or poleward as activity increases. To  
326 investigate further, linear least-squares fittings were calculated between the raw data and  
327 the AE index for each MLT bin. The slopes of the fitted lines, i.e., the change in MLat  
328 per 50 nT AE bin as a function of MLT, are shown in Figure 7a. The slope of the EB  
329 shows that it moves to lower latitudes at a faster rate on the nightside with a value of  
330  $-0.38 \sim -0.51^\circ/\text{AE}$  (50 nT) bin and has a slower rate close to noon. Two peaks occur

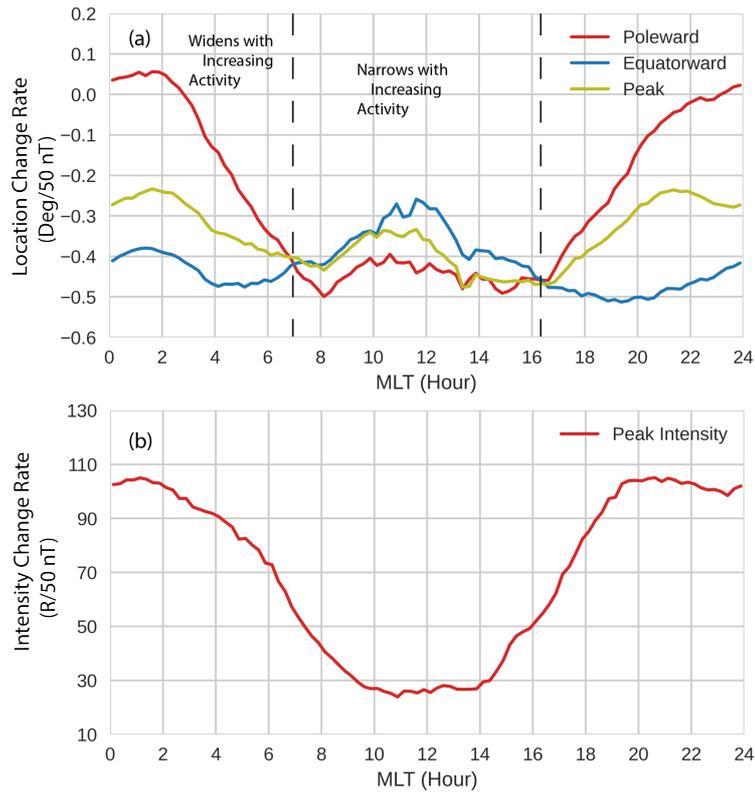


**Figure 5.** Similar to Figure 4, but for the average energy.



**Figure 6.** Mean of the EB and PB locations in each AE bin as a function of AE for twelve MLT bins (every other hour). The light blue (EB) and olive (PB) shaded regions represent one standard deviation ( $\sigma$ ) in each MLT bin. The dark blue (EB) and dark red (PB) lines represent the linear fittings between the raw data and the AE index in each MLT bin. The black square represents the peak intensity location derived from the FTA model for each MLT/AE bin.

331 before sunrise and after sunset with the values of  $-0.48^\circ/50\text{ nT}$  and  $-0.51^\circ/50\text{ nT}$ , while  
 332 a minimum of  $-0.26^\circ/50\text{ nT}$  occurs around noon. The PB, on the other hand, moves  
 333 equatorward faster than the EB in most sectors on the dayside with two peaks of  $-0.5^\circ/$   
 334  $50\text{ nT}$  around 0800 MLT and 1500 MLT, then becomes slower and slower towards  
 335 midnight, ceases at  $\sim 0300$  MLT and  $\sim 2300$  MLT, and moves poleward with a peak of  
 336  $0.06^\circ/50\text{ nT}$  at  $\sim 0200$  MLT. The width of the auroral oval (PB location minus EB  
 337 location), consequently increases on the nightside, decreases on the dayside, and remains  
 338 the same in the transmission zone between the two (roughly post-dawn and pre-dusk,  
 339 denoted by dashed lines).



**Figure 7.** (a) For EB, PB, and the peak intensity location, the change in magnetic latitude per 50 nT AE bin as a function of MLT. The dashed lines represent the MLTs where the rate of change for EB equals that for PB. (b) The change of peak intensity per 50 nT AE bin as a function of MLT.

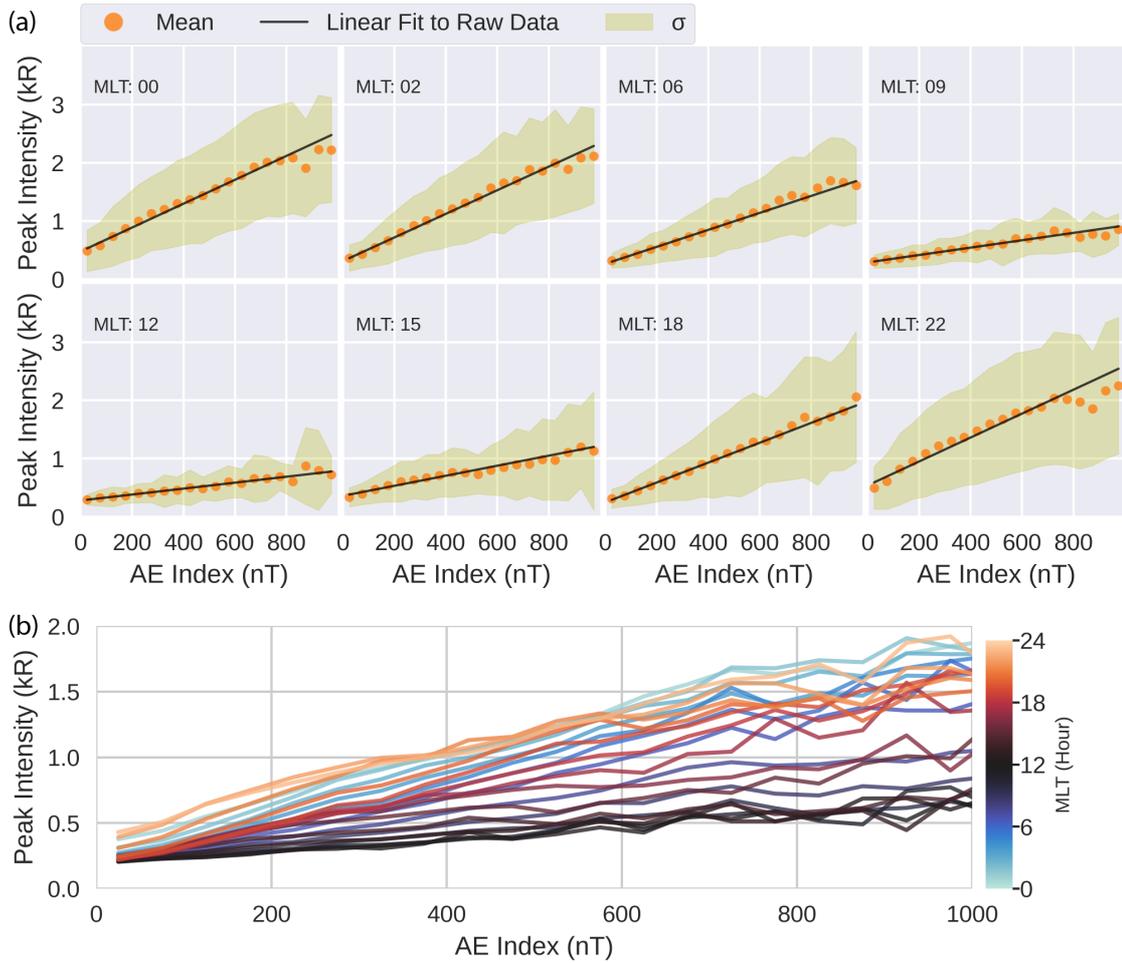
340 These results are generally consistent with but show different details from other studies.  
341 For example, based on 4 months of Polar UVI measurements, Carbary (2005) constructed  
342 a simple model of the auroral boundaries organized by Kp. They found linear  
343 relationships between the boundaries and the geomagnetic activity, which failed at higher  
344 activity levels, consistent with the conclusions in this study. However, the previous study  
345 indicated that with activity increasing, the EB moved to lower latitudes at a faster rate in  
346 the post-noon sector, while the PB moved equatorward in the post-noon sector and  
347 poleward on the entire nightside statistically, which is different from the results here.  
348 Recently, Mooney et al. (2020) studied the open-closed field line boundary (OCB)  
349 movement during substorms based on IMAGE FUV images. It was found that within  $\sim 10$   
350 min of the beginning of the substorms, in the MLT prior to the onset MLT sectors (MLT  
351 difference approximately from -4 to -1 h), the OCB moved equatorward due to the  
352 increasing open flux during the growth phase, while in the MLT sectors near and after the  
353 onset MLT (MLT differences from 0 to 4 h), the OCB tended to contract poleward or  
354 showed no changes in the latitude location. This is consistent with the PB movements in  
355 Figure 7a. As shown, the PB stays in a fixed latitude at  $\sim 2300$  MLT where the substorm  
356 onsets mostly occur, while it moves equatorward in earlier MLT sectors and poleward in  
357 later MLT sectors. However, for a longer time after the substorm onsets, in the MLT  
358 region within  $\pm 3$  h from the onset sectors that were determined by locations of the center  
359 of the sudden brightness at the beginning of the expansion phase and had MLT  
360 distribution peaking at  $\sim 23$  MLT and MLat distribution peaking at  $\sim 66^\circ$  (Liou et al.,  
361 2001; Frey et al., 2004), the OCB moved poleward, and the statistical movement of PB in  
362 this study does not agree with it. This may indicate a need to differentiate between  
363 substorm expansion phase (i.e., AE increasing) and recovery phase (i.e., AE decreasing).

364 Figure 6 shows that, when the geomagnetic activity becomes high enough (i.e., AE > 800  
365 nT), the aurora does not move to lower latitudes at the linear rate. This could be a result  
366 of a saturation effect or the lack of enough statistical data at high activity levels. It is  
367 difficult to determine the reason for this behavior without more data. Due to this  
368 limitation, the FTA model was not extrapolated with linear fits beyond the geomagnetic  
369 activity range of 0-1000 nT, which covers roughly 99.18% of the time.

## 4.2 Peak Intensity of the Emission

Figure 1c shows an example of the peak identified in one MLT sector, denoted as a cross. The magnitude and location of the peak intensity for each MLT bin were investigated with the same approach applied for the EB and the PB. As shown in Figure 8a, the peak intensity increases with AE. The rate of change in the magnitude, which is represented by the slope of the fitted line, was calculated and is shown in Figure 7b, while the rate of the change in the location was over-plotted in Figure 7a so that it can be compared with the auroral oval boundary motion rates. The rate of the intensity change (Figure 7b) shows a diurnal pattern with the peak on the nightside of  $\sim 105$  R/50 nT and the trough of  $\sim 26$  R/50 nT at noon. An asymmetry between dusk and dawn exists, with dusk aurora increasing at a faster rate than dawn. As indicated by the rate of change in the location (Figure 7a), the peak moves to lower latitudes slower than the EB on the nightside and faster than the EB on the dayside as geomagnetic activity increases. In addition, a dawn-dusk asymmetry is observed in the motion of the peak location of the oval, with the 1600-1800 MLT sector moving equatorward faster than the 0600-0800 MLT sector, consistent with the EB.

The peak intensity for each MLT bin as a function of AE was plotted in Figure 8b. The intensity increases with AE at different rates for different MLT sectors. In general, it increases linearly for lower AEs and tends to saturate for higher AEs with the nightside rate of increase greater than the dayside as indicated above. In addition, at lower activity levels, the maximum of the peaks occurs pre-midnight, but switches to post-midnight at around 500 nT, which is consistent with the global peak intensity denoted by the blue triangle in Figure 4. The latitudinal location of the peak intensity of the 21 cumulative energy bins in each MLT bin was over-plotted in Figure 6. The change of the MLat is approximate  $5^\circ$  equatorward with AE increasing from 0 to 1000 nT both in the pre- and post-midnight sectors. However, there is a slight difference between the two regions. In the post-midnight region (0200-0400 MLT), the peak moves equatorward faster as AE increases and then stays at the same latitudes for AE larger than 500-600 nT, while the motion in the pre-midnight (2000-2200 MLT) is relatively slower at lower AE levels, but the peak keeps moving to lower latitude for AE larger than 600 nT. This may be associated with the "FAC-like" structures described in Figure 4, indicating that the difference of the latitudinal locations of the peak in the pre- and post-midnight regions becomes larger and then smaller as AE increases. The similar asymmetric aurora pattern

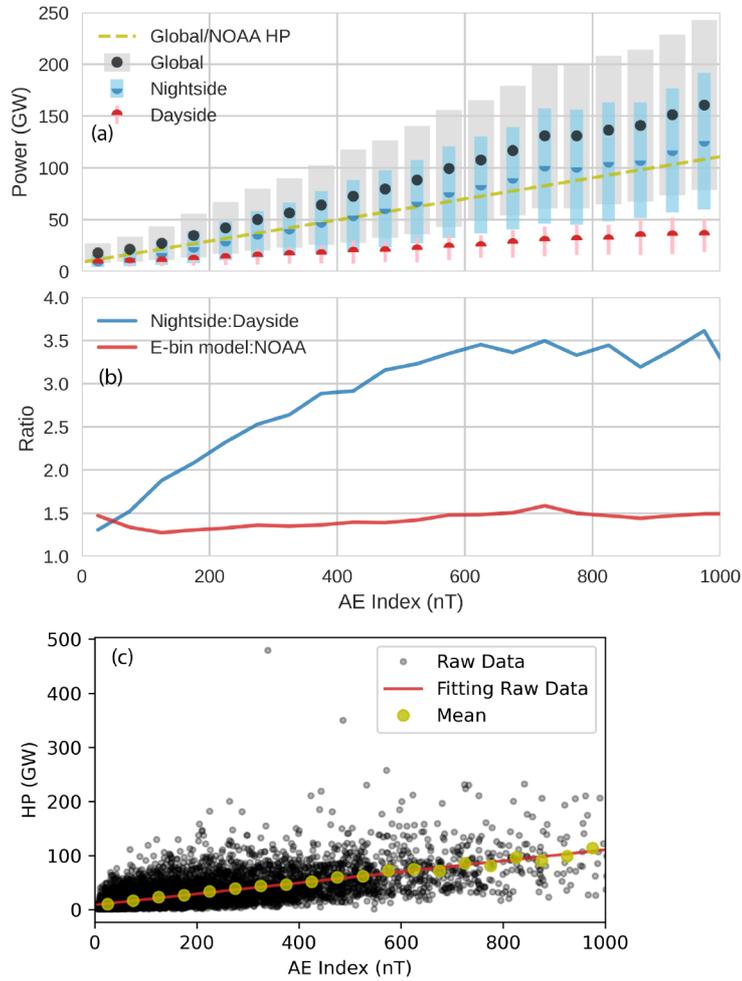


**Figure 8.** (a) Similar to Figure 6, but for the peak intensity and only eight MLT bins are shown to save space; (b) the peak intensity derived from the FTA model for different MLT bins as a function of AE.

403 was reported by Korth et al. (2014). They compared the statistical distributions of the  
404 large-scale Birkeland currents from the Iridium constellation with a composite distribution  
405 of simultaneously obtained electron precipitation inferred from the auroral images by  
406 GUVI and found that for southward IMF, the electron precipitation occurs primarily  
407 within and near the upward current regions. This implied that the asymmetric pattern of  
408 the aurora near midnight is likely to be associated with upward currents, which are a part  
409 of the higher latitude, R1 current system on the dusk (pre-midnight) side and a part of  
410 the lower-latitude, R2 current system on the dawn (post-midnight) side. For the AE of  
411 300-500 nT, the nightside data points exceed 1000 for each MLT/cumulative-energy/AE  
412 bin, indicating that it has good data coverage and probably is a significant feature.  
413 However, the possibility that it is contributed from the uneven data coverage or  
414 insufficient smoothing cannot be excluded and needs further study.

### 415 **4.3 Hemispheric Power**

416 The HP is the rate of the total energy deposition integrated over the northern/southern  
417 auroral zone and thus can be applied in the configuration of energy inputs of ionospheric  
418 and thermospheric models and can serve as an indicator of the large-scale predictive  
419 capability of auroral precipitation models (Zhang & Paxton, 2008; Newell et al., 2010b;  
420 Newell et al., 2014). Figure 9a shows the FTA model HP as a function of the AE index.  
421 Note the energy flux was integrated on the dayside, the nightside, and the entire northern  
422 hemisphere, respectively, so that the auroral power over different regions could be  
423 compared. The uncertainty of the auroral power was calculated based on the standard  
424 deviation of the energy flux in each grid. As shown in Figure 9a, the HP increases almost  
425 linearly with the AE index, having a larger slope on the nightside than on the dayside,  
426 though having a possible non-linear relationship when AE becomes larger than 750 nT,  
427 which may be due to lack of enough data or a saturation effect at higher AE levels. In  
428 Figure 9b, the ratio of the nightside power to the dayside power increases from  $\sim 1.3$  to  
429  $\sim 3.4$  when AE is smaller than 650 nT, while it stays around 3.4 after that. This indicates  
430 that under lower activity conditions, the energy deposited on the nightside increases faster  
431 than the dayside with AE increasing. However, as geomagnetic activity increases, the rate  
432 of energy input on the nightside slows down and gradually equals 3.4 times that on the  
433 dayside.



**Figure 9.** (a) Dayside, nightside, global HP of the FTA model, and the linear-fitting NOAA HP as a function of the AE index bin. Errorbars (the grey, blue, red are for the global, nightside, and dayside regions) are added. (b) HP ratios of the nightside aurora to the dayside aurora and the global HP of the FTA model to the linear-fitting NOAA HP, respectively. (c) The NOAA HP as a function of the AE index.

434 The National Oceanic and Atmospheric Administration (NOAA) HP (Emery et al., 2006,  
 435 and references therein) was used to determine whether the global energy input from the  
 436 FTA model was roughly correct. NOAAs Polar Orbiting Environmental Satellites system  
 437 (formerly known as TIROS for Television and InfraRed Observation Satellite) continually  
 438 monitored the energy flux carried by precipitating protons and electrons during every pass  
 439 from 1978 through 2013, except for 1989 and 1990. With the energy flux observations  
 440 during these passes, the actual total energy over a polar region was estimated based on  
 441 the satellite data compared to statistical patterns of auroral energy flux for 10 levels of  
 442 the auroral activity constructed from historical data (Fuller-Rowell & Evans, 1987). To  
 443 get the relationship between NOAA HP and AE index, a linear least-squares fitting was  
 444 applied to fit the datasets in 1997, as shown in Figure 9c. The derived equation was:

$$HP [GW] = 0.102 [GW nT^{-1}] \times AE [nT] + 8.953 [GW] \quad (1)$$

445 Figure 9a shows Equation 1 as a yellow dashed line. The HP of the FTA model is  
 446 significantly larger than the predicted NOAA HP. The ratio between the global FTA HP  
 447 and the predicted NOAA HP, shown in Figure 9b, is roughly constant at around 1.5. This  
 448 difference is likely due to the fact that the NOAA derivation of HP relies on the statistical  
 449 patterns of the FRE model and single dawn-dusk cuts of the auroral oval. However, since  
 450 the NOAA satellites use particle instruments while the FTA model is built based on  
 451 image data, the offset between FTA HP and NOAA HP could also be contributed from  
 452 instrumental difference: Emery et al. (2006) adjusted 28 years of HP estimated from 11  
 453 NOAA and 11 DMSP satellites and all 22 satellite adjustments were within a factor of 2.  
 454 The ratio of FTA HP to NOAA HP is 1.5, indicating that the two datasets are about as  
 455 consistent as other measurements.

## 456 5 Comparisons for the March 2013 Storm

### 457 5.1 Data and Models for Comparisons

458 The SSUSI instruments are hosted on the F16, F17, F18, and F19 of the DMSP-Block  
 459 5D3 satellites in near-polar, sun-synchronous orbits at an altitude of approximately 850  
 460 km with periods of roughly 101 min. The F19 spacecraft was launched in April 2014, and  
 461 therefore only F16, F17, and F18 spacecrafts had measurements for the March 2013 storm  
 462 that was investigated in this study. SSUSI records the auroral images in five FUV bands

463 with a cross-track spectrographic imager across the plane of the orbit as the spacecraft  
464 orbits, taking  $\sim 20$ -30 min to capture the entire auroral oval in one hemisphere (Paxton et  
465 al., 1992, 1993; Paxton & Meng, 1999; Paxton et al., 2017).

466 Fuller-Rowell and Evans (1987) constructed statistical global patterns of Pedersen and  
467 Hall conductances for 10 discrete levels of aurora activity, established using indices  
468 generated from the energy deposited into a single hemisphere from particle observations.  
469 The model also provides the total energy flux and the average energy. Newell et al.  
470 (2010a) constructed the OVATION Prime (OP) model (consisting of four types of aurora:  
471 diffuse, monoenergetic, broadband, and ion aurora) from electron and ion flux  
472 measurements by the DMSP satellites. For each type of aurora, separate linear regression  
473 fits to the Newell coupling function (Newell et al., 2007), were applied for each MLT-MLat  
474 bin. The OP model provides the energy flux, the number flux, and the average energy for  
475 each type of aurora, which was extended to higher disturbance levels by using images  
476 from the GUVI instrument (Newell et al., 2014).

477 The spatial resolutions of the FRE and the OP models were  $2^\circ$  (MLat)  $\times$  0.8 h (MLT)  
478 and  $0.25^\circ$  (MLat)  $\times$  0.25 h (MLT), respectively, while the FTA model was binned with 96  
479 MLT bins (0.25 h each) and 21 cumulative energy bins. The MLat resolution of the FTA  
480 model varied with MLT and AE. For example, on the nightside, it was less than  $0.1^\circ$   
481 under lower activity conditions or larger than  $2^\circ$  during higher activity; the median  
482 spacing increased from  $0.27^\circ$  to  $0.53^\circ$  with higher activity. To compare the predictions of  
483 the three models and the observations from SSUSI consistently, the results of the models  
484 and the measurements were linearly interpolated to a resolution of  $0.5^\circ$  (MLat)  $\times$  0.25 h  
485 (MLT). Since SSUSI did not measure the aurora instantaneously and polar pass covered  
486  $\sim 20$ -30 min, the auroral image was segmented into 96 MLT bins and the UT at  $65^\circ$  MLat  
487 was estimated. This was done by taking the cartesian coordinate of the satellite in the  
488 dawn-dusk direction and assigning the time in which the satellite passed through the  
489 projection of the cell position at  $65^\circ$  latitude. This way, the MLT bins in which the  
490 satellite did not pass through could be visualized.

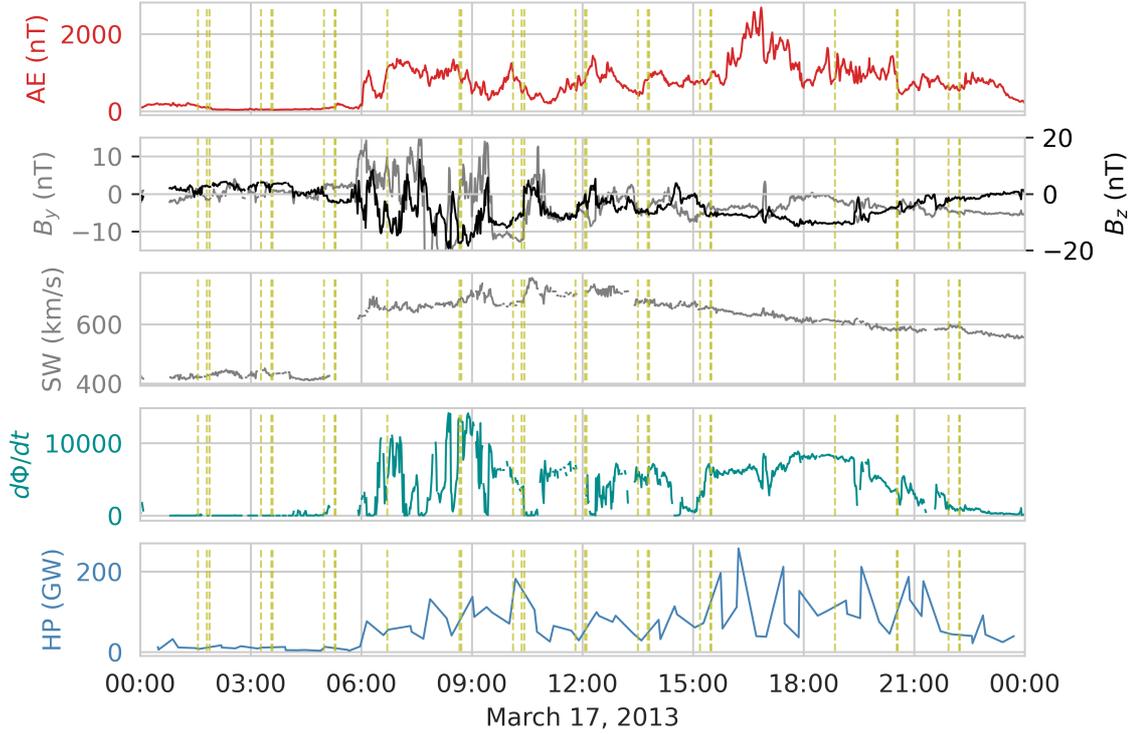
491 The FRE and OP models were developed with particle data, while the FTA model is  
492 based on auroral image data. Though there are differences between the measurements of  
493 auroral precipitations (e.g., Mende, 2016, and references therein), for statistical models,  
494 some large-scale characteristics of the aurora, like the auroral boundaries, the peak

495 intensity in each MLT sectors, and the dawn-dusk asymmetry, etc., should be comparable.  
 496 Baker et al. (2000) found a systematic latitudinal offset of  $1^\circ$  between the DMSP b5e  
 497 boundaries and the PBs that were identified by a fixed ratio to the maximum in each  
 498 MLT sector from Polar UVI images in the evening sector. Zhang and Paxton (2008)  
 499 indicated that the NOAA and DMSP HP were bounded by the HP estimated based on  
 500 GUVI auroral images and a rough correlation among the HP was found as they tended to  
 501 reach their peaks/minimums around the same time. Since this study is an introduction to  
 502 the FTA model, a case comparison involving the large-scale characteristics was conducted  
 503 aiming to obtain some general conclusions. More comprehensively comparative studies  
 504 will be carried out in the future with more details of the aurora, analysis of instrumental  
 505 difference, and more events with other magnetospheric modes, taken into consideration.  
 506 For simplicity,  $1.0 \text{ erg cm}^{-2} \text{ s}^{-1}$  was used to locate the auroral boundaries in the three  
 507 models, as well as in the SSUSI measurements. In addition, because there is low energy  
 508 electron precipitation on the poleward edge of the aurora that cannot be detected by the  
 509 UVI under normal circumstances, the PBs of different instrument-based models are likely  
 510 to have a larger difference and are not compared in this study.

## 511 5.2 March 2013 Storm Event

512 On 17 March 2013, there was a geomagnetic storm that provided a good opportunity to  
 513 investigate the capability of different auroral precipitation models. To make a comparison,  
 514 the results calculated by the FRE, OP, and FTA models were compared to DMSP SSUSI  
 515 measurements.

516 Figure 10 shows variations of the AE index, the IMF  $B_y$  and  $B_z$ , the solar wind velocity,  
 517 the Newell coupling function ( $\frac{d\Phi}{dt}$ , defined by Newell et al. (2007)), and the NOAA HP on  
 518 17 March 2013. The AE index and the HP drive the FTA model and the FRE model,  
 519 respectively, while  $\frac{d\Phi}{dt}$ , calculated from the IMF and solar wind parameters, drives the OP  
 520 model. At the beginning of the day,  $B_y$  and  $B_z$  were weak, with small solar wind velocity,  
 521 and  $\frac{d\Phi}{dt}$  was accordingly small. The AE index and the HP were weak too. At  $\sim 6:00$  UT,  
 522 the AE index started to increase rapidly, exceeding 1000 nT in a few minutes, coinciding  
 523 with an increase of HP, and then AE decreased. Subsequently, there came several  
 524 decreases and increases of  $B_z$  and a long-lasting negative  $B_z$  between 15:00 and 22:00 UT  
 525 with an increased  $\frac{d\Phi}{dt}$  due to the large velocity and southward IMF. The AE index was  
 526 perturbed with most of the values above 500 nT and occasionally exceeded 1000 nT and



**Figure 10.** Variations of the AE index, the IMF- $B_y$ , the IMF- $B_z$ , the solar wind velocity, the rate magnetic flux is opened at the magnetopause ( $\frac{d\Phi}{dt}$ ), and the NOAA HP. The AE index, the solar wind velocity, the IMF- $B_y$ , and the IMF- $B_z$  were extracted from NASA/GSFC's OMNI data set through OMNIWeb. The yellow dashed lines represent the times that were investigated.

527 even 2000 nT. The HP was large during this disturbed time but with more variability. In  
 528 order to explore how well the FTA model performed, the 38 times indicated by the yellow  
 529 dashed lines were examined. Due to poor statistical results of the FTA model during  
 530 higher geomagnetic conditions, only times with AE no larger than 900 nT were compared  
 531 in this study.

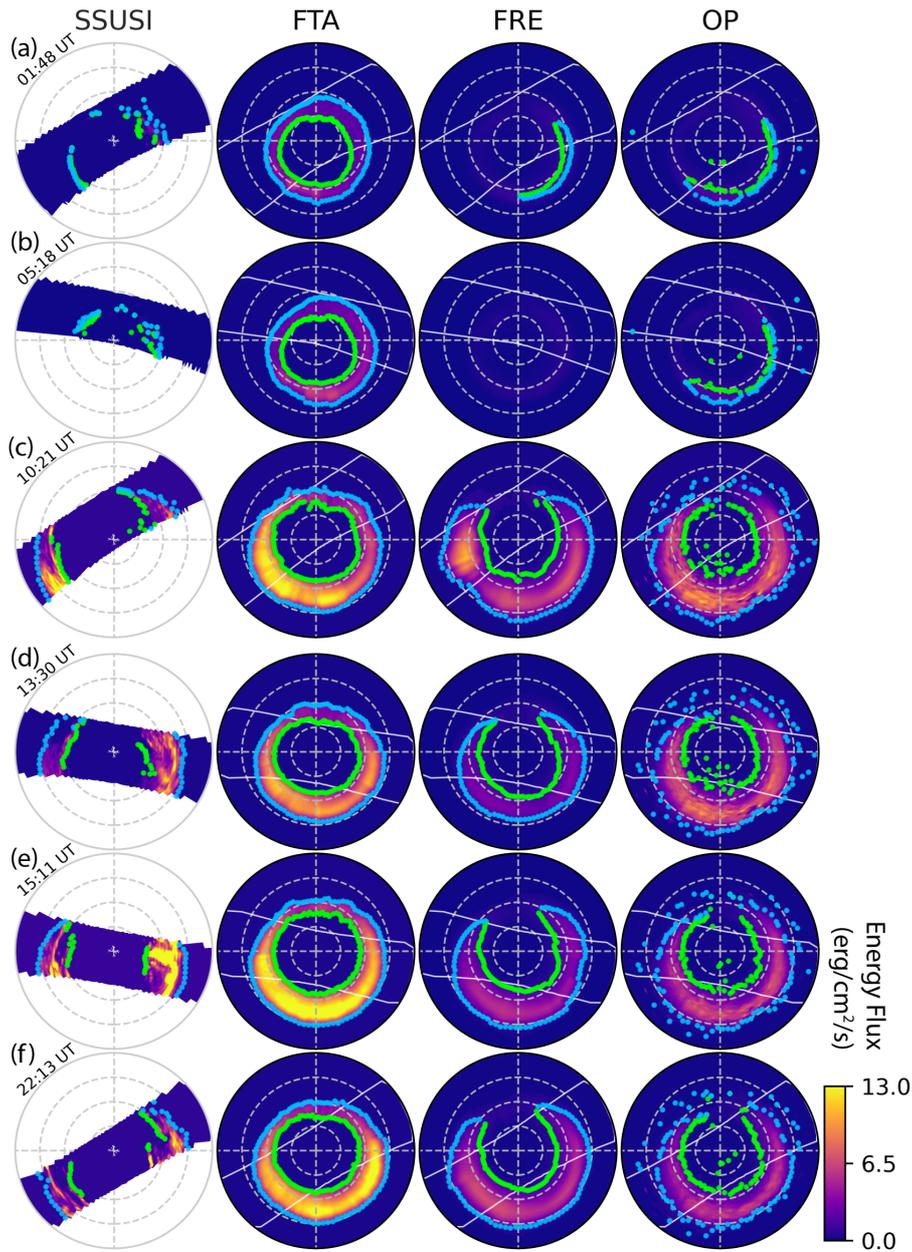
### 532 5.3 Energy Flux and Average Energy

533 Figures 11 and 12 show patterns of the energy flux and the average energy from the three  
 534 models and the SSUSI measurements. The average energy for the models are shown in  
 535 Figure 12 if the energy flux is larger than  $1.0 \text{ erg cm}^{-2} \text{ s}^{-1}$  to avoid significant noise.

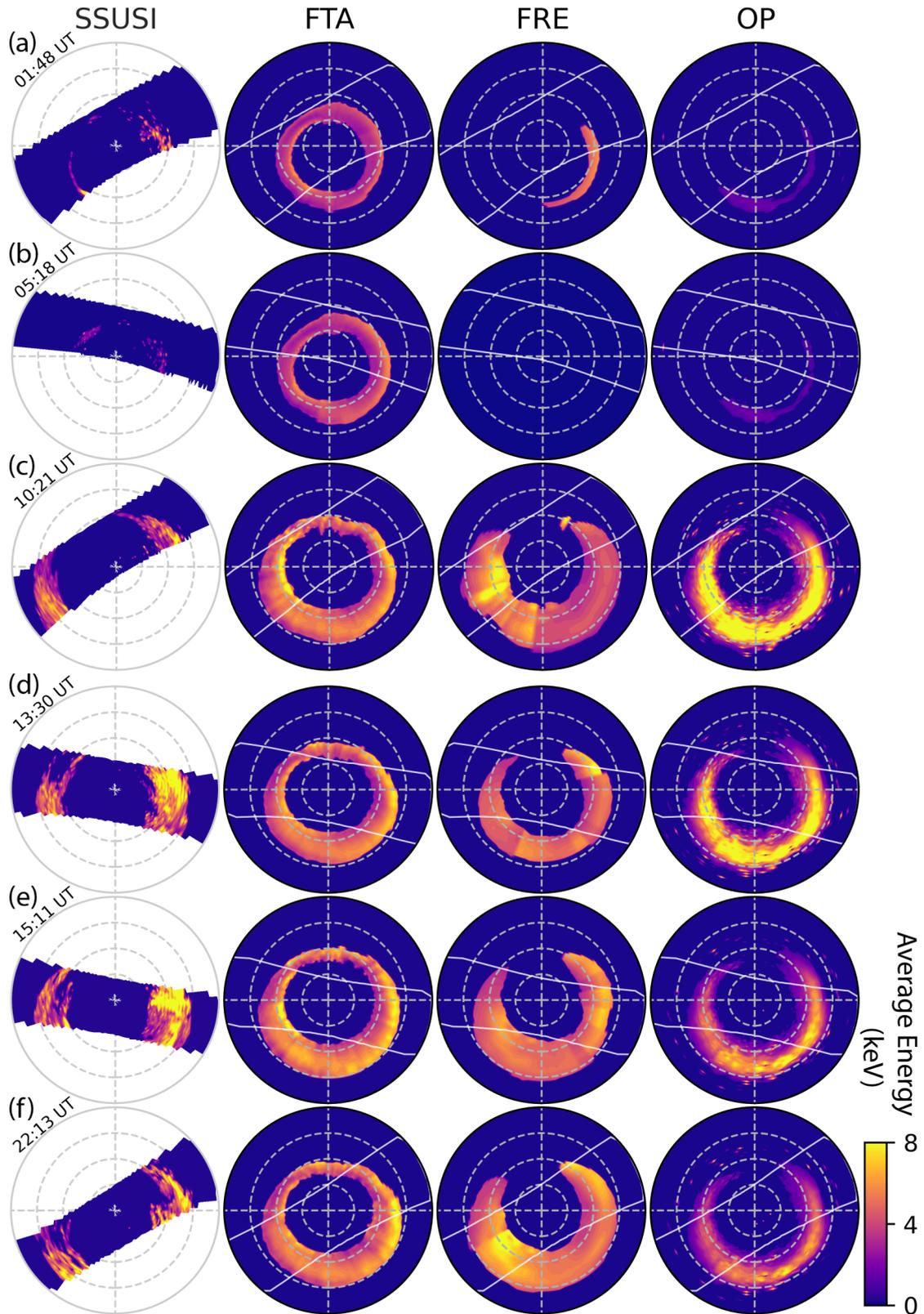
536 Images from 6 of the 38 orbits are presented in Figures 11 and 12, including two times of  
537 lower activity and 4 times of higher activity.

538 The energy flux predicted by the FTA model had the most confined patterns with the  
539 highest energy flux, as expected due to the new binning approach. At lower activity, the  
540 FRE and OP models specified little aurora on the dayside and weak emissions on the  
541 dawnside, while the FTA model had intenser aurora globally with local enhancements.  
542 From the SSUSI measurements, there existed intense regions of aurora on the dayside and  
543 the duskside, agreeing more with the enhancements specified by the FTA model, except  
544 for the weak response in the morning sector at 01:48 UT. During the active time, the  
545 aurora was highly dynamic and structured. The duskside measurements by SSUSI  
546 strengthened at 10:21 UT and 15:11 UT and were relatively weak at 13:30 UT and 22:13  
547 UT, while in the dawn and morning regions, the aurora was most enhanced at 15:11 UT.  
548 When confined to the regions corresponding to the SSUSI measurements, the FRE model  
549 predicted that the energy flux was more intense at 10:21 UT and 22:13 UT on the  
550 duskside and at 22:13 UT in the morning sector. The energy flux of the OP model was  
551 larger at 10:21 UT and 13:30 UT on the duskside and at 13:30 UT on the dawnside.  
552 Compared to the two models, the FTA model agreed more with the evolution of SSUSI  
553 energy flux temporally with enhancements at 10:21 UT and 15:11 UT in the duskside  
554 region and at 15:11 UT in the dawnside region.

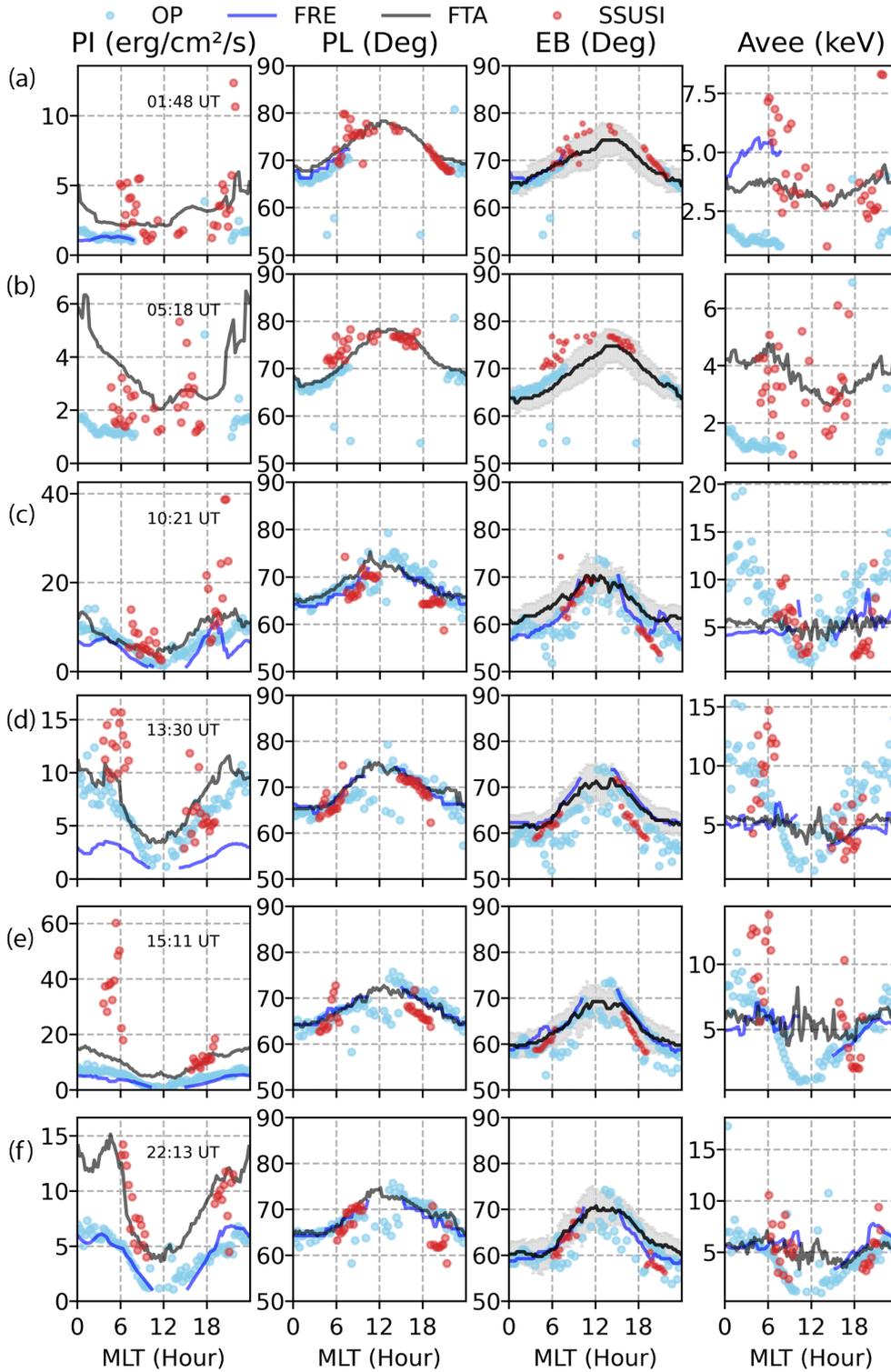
555 Figure 13 shows the peak intensity (first column) as a function of MLT for the three  
556 models and the SSUSI measurements. The peak intensity by SSUSI was larger than  
557 model predictions generally and exceeded  $20 \text{ erg cm}^{-2} \text{ s}^{-1}$  at higher activity levels. It  
558 seems difficult for models to realistically capture intense aurora. Relatively, the FTA  
559 model specified higher energy flux and was consistent with some peaks in the  
560 measurements, especially at 22:13 UT. This can be partially explained by the AE index  
561 organizing the FTA model so that it more closely controlled the auroral patterns directly.  
562 In the second and third columns, the EB and the peak intensity locations in each MLT  
563 sector are compared. The three models and the measurements were relatively consistent  
564 on the dawnside. On the duskside, the three models put the peak intensity location at too  
565 high of latitude at 15:11 UT and 22:13 UT. In terms of the EB, the observations were at  
566 lower latitudes than the predictions, but the FRE and OP models were closer to SSUSI  
567 measurements at 10:21 UT and 22:13 UT.



**Figure 11.** Comparisons of the SSUSI measurements and the predictions of the three models (energy flux  $> 0$ ). From the left to the right, the four columns represent the energy flux from SSUSI, the FTA model, the FRE model, and the OP model. The bright blue and green dots represent the  $1.0 \text{ erg cm}^{-2} \text{ s}^{-1}$  locations in the measurements and models. The cutoff of the field view of SSUSI was superimposed on the predictions. All the dial plots are in MLat and MLT coordinates with noon (1200 MLT) at the top and dusk (1800 MLT) at the left. The MLat range is from  $50^\circ$  to  $90^\circ$  and the white dashed circles represent MLat at  $80^\circ$ ,  $70^\circ$ , and  $60^\circ$ .



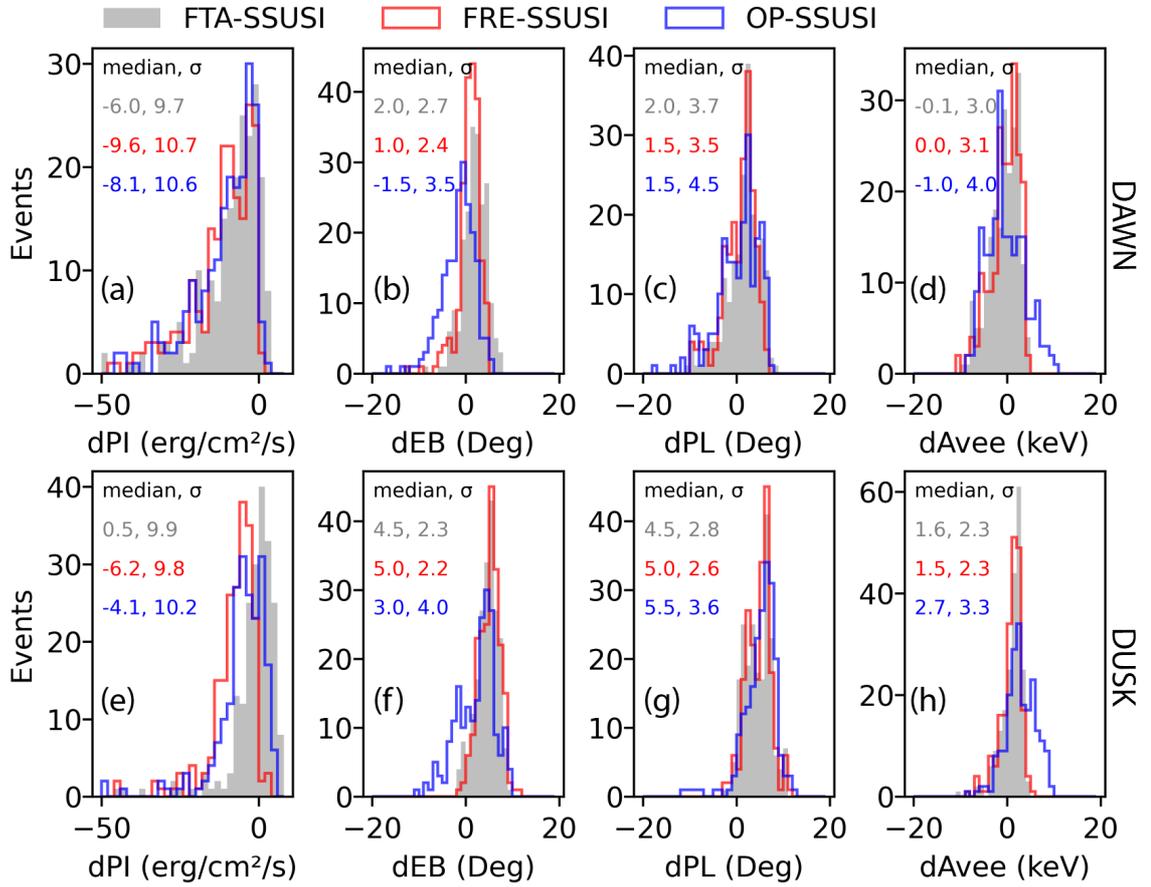
**Figure 12.** Similar to Figure 11, but for the average energy. The average energy is only shown if the energy flux is larger than  $1.0 \text{ erg cm}^{-2} \text{ s}^{-1}$ .



**Figure 13.** The peak intensity (first column), the peak intensity location (second column), the EB (third column), and the average energy at the peak intensity location (fourth column) as a function of the MLT for the SSUSI measurements and the models. The 6 orbits are the same as those in Figures 11 and 12.

568 For all the 38 orbits of DMSP on 17 March 2013, when AE was lower than 900 nT, the  
569 differences of the peak intensity, the EB, and the peak intensity location for each MLT  
570 bin between the models and the measurements were calculated. The distributions of the  
571 differences are shown in Figure 14. For each orbit, only if all three models had  
572 predictions, the data were included. Since the DMSP satellites flew mostly in the  
573 dawn-dusk direction, the distribution was calculated separately for the dawnside  
574 (0000-1200 MLT) and the duskside (1200-2400 MLT). The FTA model showed the lowest  
575 difference median in the peak intensity for each MLT bin, especially on the duskside. The  
576 locations of the peak intensity and the EB, were similar for the three models statistically  
577 given the latitudinal resolution was  $0.5^\circ$ , but the OP model had a relatively smaller  
578 median and a larger uncertainty on the duskside for the EB. At dawn, all models were  
579 almost unbiased in the locations of the peak intensity and EB, while at dusk the models  
580 were about  $3^\circ$ - $5.5^\circ$  poleward in both the EB and peak intensity location.

581 Figure 12 shows that at lower activity levels, the OP model specified very low average  
582 energy, while the FTA and the FRE models had higher energy if there were auroral  
583 emissions. For higher activity, the average energy of the OP model varied more in  
584 magnitude and tended to have larger values on the nightside overall, while the enhanced  
585 regions in FRE and FTA models were on the equatorward edge on the morning side and  
586 at higher latitudes on the duskside. In Figure 13, for the 6 orbits, the average energy (the  
587 fourth column) at the location of the peak of the energy flux for each MLT bin is shown.  
588 For the two times at lower activity levels, the average energy of the OP model was lower  
589 than the observations and other model predictions. At higher activity times, the FTA  
590 model predicted the average energy of approximate 5 keV, consistent with the FRE  
591 model. The OP model predicted higher average energy on the nightside, which decreased  
592 toward noon. This matched the SSUSI data better on the nightside than the other  
593 models. For all 38 orbits, the distribution of the differences in the average energy between  
594 the models and the measurements is shown in the fourth column in Figure 14.  
595 Statistically, the models estimated the average energy better on the dawnside and  
596 overestimated it on the duskside. The average energy from the FRE and FTA models  
597 were similar to a great degree, doing slightly better than the OP model by about 1 keV.



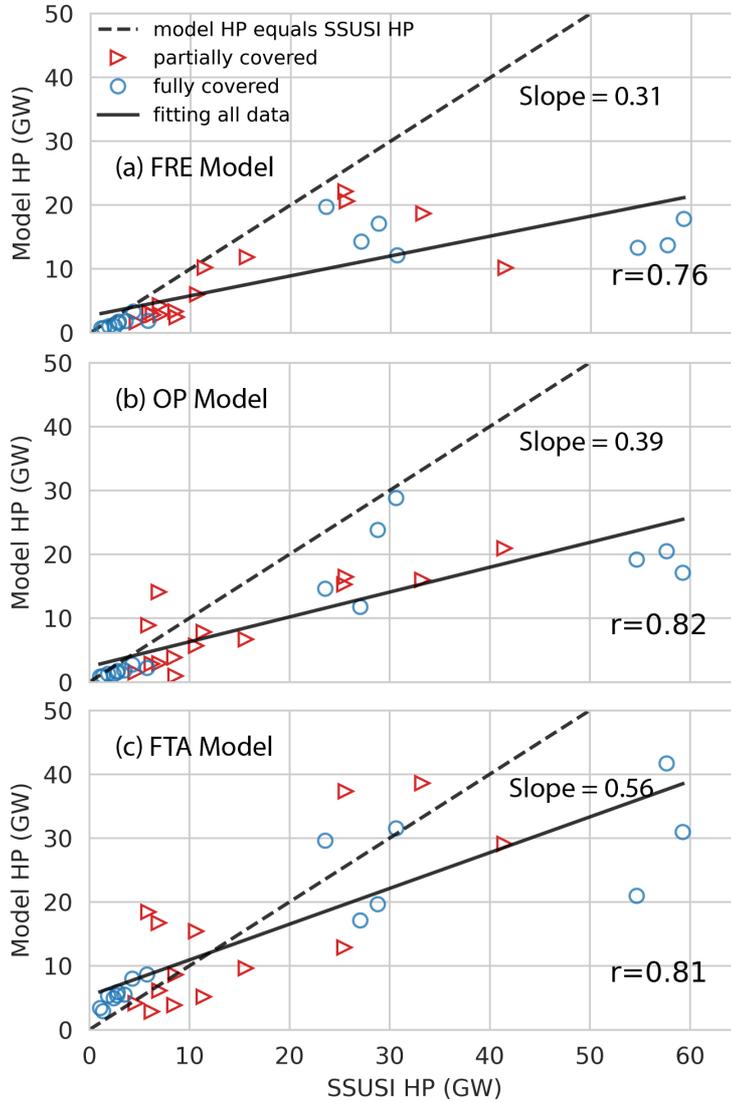
**Figure 14.** The distributions of the differences of the peak intensity (first column), the peak intensity location (second column), the EB (third column), and the average energy at the peak intensity location (fourth column), between the three models and the SSUSI measurements. The first and the second rows are for dawnside (0000-1200 MLT) and duskside (1200-2400 MLT), respectively. The grey, the red, and the blue bars represent FTA-SSUSI, FRE-SSUSI, OP-SSUSI, respectively. The median and one standard deviation ( $\sigma$ ) for each parameter were denoted.

#### 5.4 Auroral Power (AP) Comparison

The APs of the measured and modeled aurora in the region observed by SSUSI were calculated and the correlation coefficients between the measurements and the predictions were compared. Given that the comparisons were limited to periods with AE no larger than 900 nT, as mentioned above, there were situations where some MLT regions were excluded in the individual auroral image of SSUSI, under which we denoted the AP of this auroral image as "partially covered". Conversely, if the entire swath was used, the AP was denoted as "fully covered". In Figure 15, the coefficients between the SSUSI measurements and the FRE, OP, and FTA models were 0.76, 0.82, and 0.81, respectively. Linear least-squares fittings were also performed and the slopes of the fitted lines for the FRE, OP, and FTA models were 0.31, 0.39, and 0.56, respectively. When the measured AP was lower than about 20 GW, the FRE and OP models predicted the AP better than the FTA model, though most of the time they underestimated the AP, while the FTA model overestimated it. This is because during lower activity, when the aurora was very weak, like in Figures 11a and 11b, the FTA model predicted the intense region but the size of the dim region was overestimated, while the FRE and OP models underestimated both, resulting in a better prediction of the integrated energy flux (for low activity). As can be seen in Figure 11, the FTA model's width from dawn to noon on the dayside was large for low activity. While there was relatively weak aurora in this region, it was broad, providing too large of an AP for low activity levels. However, as geomagnetic activity increased with SSUSI AP > 20 GW, the results of FRE and OP models deviated from SSUSI measurements more due to their underestimation, whereas the results of the FTA model were relatively close to the measurements. Figure 15 shows that the model predictions seemed to deteriorate more for all of the models when the total energies were high enough (SSUSI AP > 50 GW), although the FTA model was closest to the measurements at these higher levels of activity.

## 6 Summary

A new auroral electron precipitation model, the FTA model, was constructed using cumulative energy bins instead of latitude bins, based on the Polar UVI N<sub>2</sub> LBHI and LBHs emission data from 1 January 1997 to 30 June 1998, and parameterized by the AE index. A summary of the findings of this model and study are as follows:



**Figure 15.** Correlation coefficients between the measurements and the FRE (a), OP (b), and FTA (c) models. The solid line represents the linear fitting between the observation and the prediction, while the dashed is the linear line with the data and model being equal, serving as a comparison with the former.

- 629 1. Compared with traditional MLT/MLat models, the FTA model specified narrower  
630 patterns of the energy flux with different dawn-dusk asymmetries and fine  
631 structures as geomagnetic activity increases. The behavior of the auroral patterns  
632 seems to indicate different levels of driving causing the aurora to behave differently,  
633 with the aurora during lower activity times indicating possible characteristics of  
634 individual substorms with the peak in auroral brightness occurring pre-midnight;  
635 while medium levels of driving show the peak in the aurora moving to the midnight  
636 and post-midnight sectors; and finally intense driving indicates general strong  
637 auroral activity everywhere. Further work is needed to determine the dependencies  
638 of the aurora on different drivers, which may be able to be parameterized by AU,  
639 AL, and Dst.
- 640 2. The auroral boundaries and the peak intensity were investigated statistically. As  
641 AE increases, the equatorward boundary moves to lower latitudes everywhere, while  
642 the poleward boundary moves poleward in the 2300-0300 MLT region and  
643 equatorward in other MLT sectors. Combined, this means that the aurora widens  
644 on the nightside and narrows on the dayside with increasing activity. The peak  
645 intensity for each MLT bin increases almost linearly with AE. The change rate of  
646 intensity with AE has a diurnal pattern, with the peak on the nightside and the  
647 trough at noon. At lower activity levels, the maximum of the peaks occurs  
648 pre-midnight, but switches to post-midnight at around 500 nT.
- 649 3. Predictions from the FRE, OP, and FTA models were compared with  
650 measurements by SSUSI on 17 March 2013. Among the three models, the FTA  
651 model had the most confined pattern with the highest energy flux, agreeing with  
652 the spatial and temporal evolution of SSUSI measurements better with an  
653 improvement of the peak intensity especially on the duskside statistically. The EB  
654 and peak intensity locations of the three models were more consistent with SSUSI  
655 on the dawnside but were too poleward on the duskside. The FTA and FRE models  
656 specified very similar average energy differences with the SSUSI measurements,  
657 doing slightly better by  $\sim 1$  keV than the OP model. While the correlation  
658 coefficients between the AP measurements and the model results were very similar,  
659 the FRE model had the lowest slope (slope=0.31), under-predicting the AP  
660 significantly at higher activities, while the OP model still under-predicted the AP  
661 (slope=0.39), but was higher than the FRE model. Finally, the FTA model

662 over-predicted the AP for weak driving (SSUSI AP < 20 GW), and under-predicted  
663 it for strong driving, but was still larger than the other two models (slope=0.56),  
664 and closest to the actual measured AP.

665 Since the global imagers focused on the northern hemisphere, the new precipitation model  
666 did not contain the southern hemisphere. To address this limitation, we are working on  
667 extending this model to include tilt-angle effects and incorporating the measurements by  
668 multiple SSUSIs to calculate independent models for the northern and southern  
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677 website of Special Sensor Ultraviolet Spectrographic Imager (<https://ssusi.jhuapl.edu/>).  
678 The OVATION Prime model can be obtained from  
679 <https://sourceforge.net/projects/ovation-prime/>. The Fuller-Rowell and Evans (1987)  
680 model has been incorporated in the Global Ionosphere-Thermosphere Model, which can  
681 be obtained from <https://github.com/aaronjridley/GITM> (specifically the file  
682 `util/EMPIRICAL/srcIE/ihp.f90`). A Python implementation of the FTA model can be  
683 obtained from <https://github.com/FTAModel/FTA>. The GitHub data will be linked to  
684 Zenodo if the article is eventually accepted.

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