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DONNA M. EVON (Orcid ID : 0000-0002-1414-1846)
MANDANA KHALILI (Orcid ID : 0000-0001-9178-9139)

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Liver disease symptoms are associated with higher risk of adverse clinical outcomes: A longitudinal study of North American adults with chronic Hepatitis B

Donna M. Evon¹†, Hsing-Hua S. Lin²†, Robert J. Fontana³, Mandana Khalili⁴, Colina Yim⁵, Abdus S. Wahed², Jay H. Hoofnagle⁶ for the Hepatitis B Research Network (HBRN)*

† Donna Evon and Hsing-Hua Lin should be considered joint first authors

¹Department of Medicine, University of North Carolina at Chapel Hill

²Departments of Epidemiology and Biostatistics, University of Pittsburgh

³Department of Internal Medicine, University of Michigan

⁴Department of Medicine, University of California at San Francisco

⁵Toronto Centre for Liver Disease, University of Toronto

⁶Liver Disease Research Branch, NIDDK, NIH

Corresponding Author

Donna M. Evon, PhD
Professor of Medicine
Division of Gastroenterology and Hepatology
University of North Carolina
Chapel Hill, NC 27599
Telephone: (919) 966-6732
Email: Donna_evon@med.unc.edu

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***The HBRN: Harvard Consortium:** Daryl T-Y Lau, MD, MPH (Beth Israel Deaconess Medical Center, Boston, MA), Raymond T. Chung, MD (Massachusetts General Hospital, Boston, MA). **Minnesota Alliance for Research in Chronic Hepatitis B Consortium:** Lewis R. Roberts, MB, ChB, PhD (Mayo Clinic Rochester, Rochester, MN), Mohamed A. Hassan, MD (University of Minnesota, Minneapolis, MN). **Midwest Hepatitis B Consortium:** Adrian M. Di Bisceglie, MD, (Saint Louis University School of Medicine, St Louis, MO), Mauricio Lisker-Melman, MD (Washington University School of Medicine, St. Louis, MO). **University of Toronto Consortium:** Harry L. A. Janssen, MD, PhD (Toronto General Hospital, Toronto, Ontario), David K. Wong, MD (Toronto General Hospital, Toronto, Ontario), Joshua Juan, MD (Toronto General Hospital, Toronto, Ontario), Jordan Feld, MD, MPH (Toronto General Hospital, Toronto, Ontario), Keyur Patel, MD (Toronto General Hospital, Toronto, Ontario). **HBV CRN North Texas Consortium:** William M. Lee, MD (Division of Digestive and Liver Diseases, University of Texas Southwestern Medical Center at Dallas, Dallas, TX), Carol S. Murakami, MD (Division of Digestive and Liver Diseases, University of Texas Southwestern Medical Center at Dallas, Dallas, TX), Robert Perrillo, MD, (Baylor University Medical Center, Dallas, TX), Son Do, MD (University of Texas Southwestern, Dallas, TX). **Los Angeles Hepatitis B Consortium:** Steven-Huy B. Han, MD (David Geffen School of Medicine, UCLA, Los Angeles, CA), Tram T. Tran, MD (Cedars Sinai Medical Center, Los Angeles, CA). **San Francisco Hepatitis B Research Group Consortium:** Norah A. Terrault, MD, MPH (University of California-San Francisco, San Francisco, CA and Keck Medicine at the University of Southern California, Los Angeles, CA), Stewart L. Cooper, MD (Division of General and Transplant Hepatology, California Pacific Medical Center, San Francisco, CA). **Michigan Hawaii Consortium:** Anna Suk-Fong Lok, MD (University of Michigan, Ann Arbor, MI), Naoky Tsai, MD (The Queen's Medical Center, University of Hawaii, Honolulu, HI), Barak Younoszai, DO (The Queen's Medical Center, University of Hawaii, Honolulu, HI). **Chapel Hill, NC Consortium:** Michael W. Fried, MD, (University of North Carolina at Chapel Hill, Chapel Hill, NC), Andrew Muir, M.D. (Duke University Medical Center, Durham, NC), Jama M. Darling, MD (University of North Carolina at Chapel Hill, NC). **PNW/Alaska Clinical Center Consortium:** Robert C. Carithers, MD (University of Washington Medical Center, Seattle WA), Margaret Shuhart, M.D. (Harborview Medical Center, Seattle WA), Kris V. Kowdley, MD (Virginia Mason Medical Center, Seattle WA), Chia C. Wang, MD (Virginia Mason Medical Center, Seattle WA). **Virginia Commonwealth University Medical Center:** Richard K. Sterling, MD, MSc (Virginia Commonwealth University Health System, Richmond, VA), Velimir A. Luketic, MD (Virginia Commonwealth University Health System, Richmond, VA). **Liver Diseases Branch, NIDDK:** Marc G. Ghany, MD, MHsc (National Institutes of Health, Bethesda, MD) T. Jake Liang, MD (National Institutes of Health, Bethesda, MD). **Liver Disease Research Branch, NIDDK:** Edward Doo, MD (National Institutes of Health, Bethesda, MD). **Immunology Center:** Kyong-Mi Chang, MD, (University of Pennsylvania Perelman School of Medicine, Philadelphia, PA), Jang-June Park, PhD (University of Pennsylvania Perelman School of Medicine, Philadelphia, PA). **Data Coordinating Center:** Steven H. Belle, PhD, MSChyg (Graduate School of Public Health, University of Pittsburgh, Pittsburgh, PA), Wendy C. King, PhD (Graduate School of Public Health, University of Pittsburgh, Pittsburgh, PA). **Central Pathology:** David Kleiner, MD, PhD. (Center for Cancer Research, National Cancer Institute, NIH, Bethesda, MD)

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Structured Summary

Background: Symptoms of chronic hepatitis B (CHB) are not well characterized.

Aims: To evaluate CHB symptoms and associations with disease activity and clinical outcomes.

Methods: Longitudinal data from 1,576 participants in the Hepatitis B Research Network Cohort Study who completed symptom assessments were analyzed. A composite symptom score was calculated using a Symptom Checklist (0=none to 40=extreme). Multivariable mixed models assessed variables associated with symptom change over time. Latent class symptom trajectories were evaluated. The cumulative probability of long-term clinical outcomes (new onset cirrhosis, hepatic decompensation, hepatocellular carcinoma, liver transplantation, death) was examined by baseline symptom groups.

Results: Participants median age was 42 (range:18-80), 51% were male, 75% Asian, (68% of whom were born outside North America) with a median follow-up of 4.2 years. On average, symptoms did not significantly change over time. The multivariable model identified several variables associated with higher symptoms during follow-up: being female, non-Asian, born in the US/Canada, lower education, higher AST, lower platelets, and more comorbidities. Two patient subgroups were identified based on longitudinal symptom trajectories: a low symptom group (92%, n=1,451) with symptom scores averaging 2.4 over time and a moderate symptom group (8%, n=125) with symptom scores averaging 11.5. During follow-up, 7.3% in the moderate symptom group, but only 3.2% of the low symptom group, developed adverse outcomes ($p=0.02$).

Conclusions: In this large cohort of CHB patients, symptoms were generally mild and stable over time. However, in some patients with moderate symptoms at baseline, deleterious clinical outcomes were more frequent in follow-up.

Keywords: viral infection; liver; cirrhosis; quality of life; instrument; questionnaire; survey; patient-reported outcome

ClinicalTrials.gov Identifier: NCT01263587

Introduction

Over one million people living in the United States and Canada are affected by chronic hepatitis B infection (CHB) and the burden of disease is significantly higher among those who are foreign-born(1, 2). CHB is a dynamic disease as the course may change and fluctuate over time. Longitudinal studies are needed to better understand the natural history of CHB and evolution of patients' experiences with the disease. While several studies have evaluated the health-related quality of life of patients with CHB, only a few cross-sectional studies have explored patient-reported symptoms of CHB (3-6).

We previously published a cross-sectional analysis of data from 876 adults living in the US and Canada who participated in the Hepatitis B Research Network (HBRN) Adult Cohort Study(7). Overall, this multi-ethnic North American cohort had mild liver disease and reported favorable HRQoL on the SF-36 and minimal CHB symptoms on a 10-item Symptom Checklist developed by the NIDDK. One quarter of patients reported no symptoms. The most frequent symptoms among those who had symptoms were fatigue (60%), irritability (32%) and itching (32%) but most symptoms were only mildly bothersome.

An unexpected and interesting finding was that the Symptom Checklist score explained substantial variation in SF-36 HRQoL scores after controlling for several patient, clinical, and disease-related variables. These preliminary findings suggested that CHB-associated symptoms may have unrecognized impact on patient-reported outcomes and CHB clinical management, worthy of investigation, in and of themselves.

Furthermore, whether CHB symptoms are associated with viral fluctuation, CHB flares, disease progression, antiviral treatment, or long-term clinical outcomes, remains unknown.

The HBRN Adult Longitudinal Cohort Study provides an ideal opportunity to evaluate the trajectory of CHB symptoms and their associations with patient, virological, disease and long-term clinical outcomes(8). The objectives of this longitudinal analysis were to characterize CHB-associated symptoms over time and explore associations with changes in virological and clinical outcomes during seven years of follow-up.

Methods

Study design

This was a prospective longitudinal study of patients diagnosed with CHB infection and enrolled in the Hepatitis B Research Network (HBRN) Adult Cohort study. The Hepatitis B Research Network is a NIH-funded consortium of investigators from 21 geographically diverse adult clinical centers across the United States and one clinical center in Canada (8). All protocols were approved by the HBRN Steering Committee, the Institutional Review Boards or Research Ethics Boards of the participating sites, as well as a Data Safety and Monitoring Board appointed by the NIDDK to oversee the Network. All participants provided written informed consent. The HBRN adult cohort study is registered on ClinicalTrials.gov (NCT01263587).

Participants

From 2011 to 2018, the HBRN Adult Cohort study enrolled 2,032 adults with CHB who were *not* on HBV therapy at enrollment, of whom 2,018 were HBsAg+ eligible participants (Supplemental Figure 1). Participants were excluded from this analysis if they had acute HBV infection or co-infection with human immunodeficiency virus,

hepatitis C virus, or hepatitis D virus. Participants who were on HBV therapy within 24 weeks prior to their first symptom assessment were excluded. Participants were evaluated at baseline, week 12 and every 24 weeks. Follow-up visits were censored for women who were pregnant at that visit or within 24 weeks after giving birth. Follow-up visits were also censored after entering one of the HBRN clinical treatment trials. Participants were excluded if they did not have at least two eligible symptom assessments at protocol follow-up visits. Participants who were put on HBV treatment by their local hepatologist after their first symptom assessment were included in the analysis. The final analytic sample included 1,576 participants who were HBsAg (+) at first assessment and who collectively completed 14,966 symptom assessments over the course of the 7-year cohort study with a median follow-up of 4.2 years.

Primary outcome

Symptoms were assessed using a National Institute of Diabetes and Digestive and Kidney Diseases (NIDDK) Liver Disease Symptom Checklist (SCL) at enrollment and every 24 weeks during follow-up. The SCL is a 10-item instrument originally developed by the NIDDK to quantify symptoms associated with chronic liver disease. It has been modified for utilization in several NIDDK-funded research networks of various liver disease populations(7, 9). Participants were asked, “*During the last month, how much have you been bothered by the following symptom: fatigue, itching, pain over liver, irritability, depression/sadness, nausea, poor appetite, weight loss, dark urine, jaundice.*” For each symptom, the participant marked a box to indicate how bothered they were by the symptom in the last month: None at all (0), A little bit (1), Moderately (2), Quite a bit (3), or Extremely (4). If they did not have the symptom, they marked “None at all”. For this analysis, a total CHB symptom score was created at each study

visit by summing the 10 symptom scores with a possible range from 0 to 40, with higher scores indicating worse symptoms (7).

Independent variables

The following baseline patient characteristics were explored: age, sex, race, place of birth, and education. Clinical and virological data collected at the same follow-up visit as the Symptom Checklist were evaluated: serum alanine aminotransferase (ALT, U/L), aspartate aminotransferase (AST, U/L), albumin (g/dL), platelets ($\times 10^3/\text{mm}^3$), AST-platelet-ratio index (APRI), fibrosis-4 (FIB-4) index, cirrhosis status, CHB phenotypes, HBV DNA (\log_{10} IU/mL), HBeAg (positive, negative), quantitative HBsAg (qHBsAg \log_{10} IU/mL), number of medical comorbidities (0,1,2+), number of medications (0,1,2+), and HBV treatment status. HBV phenotypes were defined based upon HBeAg status and HBV DNA and ALT levels as previously defined by the HBRN and included inactive carriers, immune active HBV, immune tolerant HBV and an indeterminate HBV phenotype (10). Upper limit of normal for ALT was set at 30 U/L for males and 20 U/L for females (2, 11, 12).

Clinical outcomes

Clinical outcomes in this analysis included development of new onset or diagnosis of cirrhosis, hepatic decompensation, hepatocellular carcinoma, liver transplantation, or death during follow-up. All outcomes were pre-defined in the study protocol and the occurrence and timing of each clinical event were adjudicated by a committee of HBRN clinical investigators to determine if criteria for these outcomes were met. Diagnosis of cirrhosis at baseline and during follow-up was based on histology, hepatic decompensation or CTP score ≥ 7 ; and in the absence of the above criteria by 2 of the following: splenomegaly or nodular liver on radiological imaging, or platelet count $< 120,000/\text{mm}^3$ (13). At time of first symptom assessment, 18 patients had a diagnosis of

cirrhosis and were not concurrently on HBV therapy. These 18 patients were excluded from analysis of predictors of subsequent clinical outcomes. A binary variable for the incidence of clinical outcomes (Yes=1) was created for patients who developed any of the clinical events noted above after their first symptom assessment.

Statistical analyses

Data were summarized using frequencies (percentages) for categorical variables and medians (25th and 75th percentiles) for continuous variables. The total SCL score was analyzed as a continuous outcome. A mixed-effects model was used to identify demographics and clinical markers that were associated with the total SCL score over time at the population level. Because the total symptom score was right-skewed and not normally distributed, regular linear mixed models could not be used; negative binomial mixed models with random intercepts to account for within-participant correlation across time were used⁽¹⁴⁾ The statistical methods used for variable selection using LASSO (least absolute shrinkage and selection operator) and mixed-effects models are presented in the supplementary materials. Secondly, latent class mixed models were used to examine individual symptom trajectories over time and to identify subgroups of participants with different patterns of change in symptom scores. The best latent class mixed model was selected based on the smallest Bayesian information criterion (BIC) and with at least 5% of participants in each class. The Kruskal-Wallis test or Pearson's chi-square test was used to test whether distributions of continuous or categorical characteristics at baseline differed by trajectory groups. The cumulative probability of clinical outcomes by Kaplan-Meier method and incidence rates per 100 person-years of clinical outcomes by Poisson regression models were evaluated. All analyses conducted in statistical software packages SAS (version 9.4; SAS Institute Inc., Cary,

NC) and R (version 3.5.3; R Foundation for Statistical Computing, Vienna, Austria). All tests were two-sided and a p-value <0.05 was considered statistically significant.

Results

Participant characteristics

The study flowchart of patients included and excluded in this analysis is shown in Supplemental Figure 1. Patients who were excluded from this analysis included those with acute HBV or co-infection with human immunodeficiency virus, hepatitis C virus, or hepatitis D virus; those with incomplete or ineligible symptom assessments; and those who were on treatment for HBV prior to the first symptom assessment. The majority of patients (93%) completed their first symptom assessment at week 0 (baseline) into the cohort study.

The characteristics of the 1,576 participants are summarized in Table 1. The median age was 42 years (range: 18-80). Men and women were equally represented. Patients were predominantly Asian (75%), and 83% were born outside of the United States and Canada. The median HBV DNA level was $3.8 \log_{10}$ IU/mL, and the majority of patients (73%) had HBeAg-negative status. In regard to HBV phenotype, 22% were inactive carriers, 40% had active disease, 4% were immune tolerant, and 35% had indeterminant phenotypes. Five percent of participants had APRI scores ≥ 1.5 and 3% had FIB-4 scores ≥ 3.25 suggestive of advanced fibrosis but less than 1% ($n=18$) were clinically diagnosed as having cirrhosis at baseline. Most patients had no medical comorbidities (74%).

CHB symptoms over time

Figure 1 depicts average symptom scores over the course of 18 research visits for the entire cohort. On average, patients reported minimal symptoms of CHB (a total symptom score averaging < 4) at baseline and during the 7-year follow-up. While symptom scores for some individuals varied over time, the average score for the total cohort did not change significantly during the course of the study nor did average scores vary by age or time since baseline. (Supplemental Table 1).

Associations between longitudinal symptom score and patient characteristics and HBV disease markers

Univariable associations between total symptom score and independent variables in the total cohort ($n=1,576$) are shown in Supplemental Table 1. The final multivariable model (Table 2) found six variables independently associated with variability in longitudinal total symptom scores: sex, race/place of birth, education, AST levels (expressed as times ULN), platelet counts, and number of comorbidities. (The process of variable selection for the multivariate model is described in the supplemental information). These six variables helped to explain some of the variability in symptom scores shown for the total population (Figure 1). For instance, symptom scores were 16% higher in females compared to males ($p=0.01$; Table 2). Non-Asian patients born in the US/Canada had 54% higher symptom scores compared to Asians born outside the US/Canada ($p<0.01$). Patients with lower education levels had 35% higher symptom scores than those with college degrees or higher ($p<0.01$). Having an AST level of > 5 times ULN during follow-up was associated with a 41% higher total symptom score compared to AST levels ≤ 1 ULN ($p<0.01$). ALT levels > 5 times ULN was also associated with higher symptom scores in the LASSO model but AST was chosen for the final model due to larger effect size. Lower platelet counts ($< 150 \times 10^3/\text{mm}^3$) were associated with an 11% higher average symptom score compared to higher counts

($p=0.01$). Participants with two or more comorbidities had 17% higher total symptom scores compared to patients with no comorbidities ($p=.002$). We conducted a sensitivity analysis excluding patients with comorbidities from prediction of worse symptom scores, higher AST ($p=0.02$) and lower platelet counts ($p<0.01$) remained significantly associated with worse symptoms (data not shown). Notably, HBV treatment, HBV phenotype, and change in HBV DNA, HBsAg, and albumin during follow-up were *not* selected in the final multivariate model as significant predictors that explained symptom variability.

In a secondary mixed effects model informed by LASSO, AST levels and platelet counts were replaced with FIB-4 while age, sex, race/place of birth, education, and comorbidities were retained from the initial multivariable model. In this secondary model, a FIB-4 score of > 3.25 (reflecting advanced fibrosis) was associated with a 29% higher total symptom score compared to FIB-4 score < 1.45 ($p=0.001$) (Supplemental Table 2).

Longitudinal symptom trajectories

Whereas the mixed effects regression models helped to identify factors that explained variability in symptom scores over time at the *population level*, latent class trajectory models were used to examine symptom trajectories over time at *the individual level*. A two-class latent trajectory model was selected that identified two clusters of patients who had different types of symptom trajectories over time (Figure 2). Most patients (92%; $n=1451$) reported low symptom scores at baseline and throughout follow-up (average symptom score over time = 2.4) (herein referred to as the “low symptom group”). The second cluster of patients (8%; $n=125$) reported moderate symptoms at baseline and during follow-up (average symptom score = 11.5 over time) (herein referred to as the “moderate symptom group”). Figure 3 shows all 10 individual

symptoms rated as “Moderately”, “Quite a bit” or “Extremely” bothersome over time stratified by the two symptom groups. The moderate symptom group consistently experienced worse symptom scores compared to the low symptom group.

Baseline characteristics associated with symptom trajectories

Baseline characteristics associated with the moderate symptom group, relative to the low symptom group, are shown in Table 1. At baseline, patients in the moderate symptom group were more frequently non-Asian (38% vs. 24%), with lower education levels (74% vs. 50%), having been born in the US/Canada (27% vs. 16%), and having cirrhosis (3% vs. 1%), abnormal AST levels (33% vs. 23%), higher APRI scores >1.5 (10% vs. 5%), higher FIB-4 scores > 3.25 (9% vs. 3%), and a higher number of comorbidities ≥ 2 (18% vs. 10%) and concomitant medications ≥ 2 (15% vs. 7%) (all p-values < 0.05).

Baseline symptom group and probability of adverse clinical outcomes

Because low and moderate symptom trajectories were relatively stable from baseline through follow-up, we re-classified patients based solely on their baseline symptom scores and used these baseline groups to estimate the cumulative probability of having a first adverse clinical outcome during follow-up using Kaplan-Meier survival analysis (Figure 4). After excluding 18 patients who had cirrhosis at baseline, 54 patients experienced at least one adverse clinical outcome. The moderate symptom group at baseline included 112 patients (symptom scores ranged from 10 to 26), of whom 8 (7.3%) developed at least one adverse clinical outcome during follow-up, while the low symptom group at baseline included 1,446 patients (symptom scores ranged from 0 to 9), of whom 46 (3.2%) developed at least one adverse clinical outcome during a median follow up time of 4 years ($p=0.02$; Figure 4). Overall, the incidence of clinical outcomes

was 1.81 (0.91-3.63) per 100 person-years in the moderate symptom group and 0.76 (0.57-1.01) in the low symptom group ($p=0.02$).

Of the 54 patients who experienced at least one adverse event, five experienced a second, and one patient a third clinical event during follow-up. The most frequent initial clinical outcome was new-onset diagnosis of cirrhosis which accounted for 40 cases (74%) followed by death in 11 cases (20%: none was considered HBV-related), while HCC accounted for 2 and hepatic decompensation accounted for 1 initial clinical outcome. Events arising after the initial clinical outcome included 1 case of hepatic decompensation, 2 HCC, 3 deaths (2 of unknown cause but suspected to be liver-related), and 1 liver transplant.

Exploratory Analyses

We conducted several additional exploratory analyses to evaluate perturbations to the Kaplan-Meier analyses relative to the initial results in Figure 4. First, we evaluated time to first HBV-related outcome and only HBV-related deaths (rather than all-cause death) (Supplemental Figure 2). The results were similar; the probability of HBV clinical outcomes in the moderate symptom group was twice as high as the low symptom group. Secondly, we explored the probability of adverse clinical outcomes after excluding patients with comorbidities at baseline (Supplemental Figure 3). The total number of patients with clinical outcomes during follow-up decreased from 54 to 18; however, the results were similar: the moderate symptom group had significantly higher risk of HBV-related adverse clinical outcomes compared to the low symptom group at the 4th year of follow-up (5% vs 1%; Log-rank $p=0.003$). Next, we grouped patients into low and moderate symptom clusters based upon symptom scores collected (i) during the first year of follow up and (ii) those obtained during the entire follow up period.

These analyses produced similar results to those obtained using just the baseline

symptom data alone (Supplemental Table 3). Finally, we evaluated each of the 10 individual symptoms, rather than the Total SCL score to see which symptoms were most associated with adverse outcomes (Supplemental Table 4). We found that liver-related symptoms (i.e., weight loss, nausea, pain over the liver, dark urine) that were rated “A little bit” or above, were significantly associated with adverse clinical outcomes. More non-specific symptoms such as fatigue and poor appetite rated as “Moderately” or above, were significantly associated with clinical outcomes. On the other hand, the relatively non-specific symptoms of irritability, depression, and itch were not predictive of adverse clinical outcomes.

Discussion

Chronic HBV infection is a dynamic and clinically variable disease that may impact quality of life and aspects of functioning and life satisfaction(15). Although there have been many studies of quality of life in patient cohorts with HBV infection, few have focused upon patient-reported symptoms or attempted to correlate symptoms with virological, biochemical and histologic features of disease or with clinical outcomes. This report represents an attempt to characterize the presence and severity of patient-reported symptoms during the natural history of CHB and expands upon our previous cross-sectional analysis (7). Using seven years of longitudinal data collected from adult patients who participated in the HBRN Adult Cohort Study, several key findings were observed related to population level estimates, symptom trajectories, and patient subgroups at risk for worse symptoms and future adverse events.

Consistent with another cross-sectional analysis(3), we found that CHB symptoms tend to be mild and non-distressing and, on average, did not significantly change over time (median of 4 years) in the total cohort. The mild nature of symptoms in this cohort is

consistent with the low rates of cirrhosis (1%), comorbidities (25%), and concomitant medications (23%) in this population, as well younger age (median age of 42) and potential ethnic-cultural factors that could affect symptom reporting (e.g., 83% born outside the US/Canada)(16). The low rate of cirrhosis in this cohort is due in part to the inclusion criteria to enroll only untreated compensated patients into the HBRN cohort study(8).

While the average number of symptoms did not change substantially over time in the total cohort, the overall variability in symptoms was associated with several virological and clinical factors in unadjusted analyses (i.e., AST, ALT, platelets, APRI, FIB-4, HBeAg, HBV DNA). The most reliable variables associated with symptom variation were AST levels and platelets using a conservative statistical technique that adjusts for high correlations among variables. Patients whose AST levels were greater than 5 times ULN during follow-up had a 41% greater score of total symptoms, and patients whose platelet counts were below $150 \times 10^3/\text{mm}^3$ had an 11% higher in symptom score. An increase in ALT levels greater than 5 times ULN, consistent with HBV flares, was also associated with worsening symptoms during follow-up, although AST was ultimately selected for inclusion in the final model. These results suggest that patients complaining of worsening symptoms may be experiencing changes in HBV disease activity or worsening liver disease; conversely, patients with rising AST and ALT levels and reductions in platelets may experience worsening of physical and emotional symptoms and warrant closer monitoring and management. Symptom assessment tools used as part of the clinical encounter may lead to on-the-spot symptom management rather than waiting for blood work to evaluate objective disease parameters. Patients more likely to experience worsening symptoms over time include women, those born within the

US/Canada and those with more medical comorbidities. It is noteworthy that in this cohort, we did not find that CHB symptoms varied according to HBV phenotypes, being on HBV treatment, or change in HBV DNA or HBsAg levels. We had anticipated that HBV treatment may impact symptoms; however the majority of patients (n=373) were prescribed nucleotides while only a handful (n=8) were treated with peginterferon; indeed, the latter group did experience worse symptoms consistent with previous studies of interferon therapies (Supplemental Table 1) (17, 18).

Our second objective was to identify subgroups of patients who may experience different types or patterns of symptoms over time. Two patient clusters were identified whose symptoms at baseline and during follow-up remained fairly stable over time. While the vast majority of patients reported minimal non-distressing symptoms at baseline and during follow-up; a smaller subset reported elevated, moderately distressing symptoms at baseline that persisted over time. These patients had greater elevations in general symptoms such as fatigue, psychological symptoms such as irritability, and also symptoms of advanced liver disease such as pruritus and poor appetite. These patients tended to be non-Asian, born in the US/Canada, had more advanced liver disease, and a higher number of medical comorbidities and medication use. These findings may help clinicians identify patients at risk for worse symptoms during the course of their CHB; it is also possible that patients' symptoms are aggravated by an interaction of multimorbidity and/or concomitant medications, not solely liver disease(19). However, when we conducted a sensitivity analysis excluding patients with comorbidities from prediction of worse symptoms, higher AST and lower platelet counts remained significantly associated with worse symptoms, thus providing evidence that CHB disease changes are partially responsible for worse symptoms.

An unexpected yet clinically useful discovery was that patient-reported moderate symptoms (SCL score >10) at baseline predicted future adverse clinical outcomes. Notably, this relationship persisted regardless of whether we examined all-cause deaths, HBV-related outcomes/deaths or when patients with other comorbidities were excluded. Moreover, while the total SCL score predicted adverse outcomes, individual symptoms such as “a little bit” of weight loss, nausea, pain over the liver, or dark urine, or a “moderate amount” of fatigue or poor appetite were also predictive of adverse clinical outcomes. We also observed that collecting symptom measurements over the course of one year or more did not significantly enhance predictability, suggesting that an initial assessment of baseline symptoms may be an efficient strategy to predict risk of future adverse events. While the incidence of clinical outcomes was very low in this cohort, these findings may have significant clinical and research implications. A brief 10-item symptom instrument completed by patients during clinic encounters may be an effective tool to identify patients who are suffering, require better symptom management and blood work to evaluate objective disease parameters and who appear at risk for both HBV-related and unrelated adverse clinical outcomes.

We can speculate about the relationship between moderate symptoms at baseline and worse clinical outcomes during follow-up. The relationship between worse CHB liver disease and comorbidities with worse symptoms could be related to physiological mechanisms (e.g., systemic inflammation) underlying liver or other disease processes that lead to symptoms and worse health outcomes. It is also plausible that a larger culture of health behaviors associated with place of birth influences both symptoms and worse health outcomes. Our data suggest that patients reporting worse symptoms at

baseline were more likely to be born in the US/Canada (27% vs 16%) and were White or Black. A previous study by the HBRN found that patients who were White and born in the US/Canada had higher rates of risky health behaviors (risky alcohol and tobacco use)(20), which ostensibly may predispose people to worse health status, more symptoms, and more susceptibility for worse health outcomes. Different rates of lifestyle behaviors may partially explain symptoms and outcomes, but it is very difficult to disentangle factors associated with the larger socio-cultural-ethnic context.

The study has limitations, primarily with regard to the generalizability of findings given that this primarily Asian, North American cohort was relatively healthy and the proportion with cirrhosis and adverse clinical events was low. The negligible rate of cirrhosis is a result of the study eligibility criteria that sought to specifically enroll untreated patients into the HBRN cohort study(8). Therefore, due to the low overall rate of clinical outcomes and patients with moderate symptoms in this primarily untreated cohort, there is a need for additional prospective cohort studies to confirm our findings. A second limitation is that the psychometric properties of the NIDDK SCL instrument have not been properly evaluated and remains an important area of future investigation. Unfortunately, there are no CHB-specific symptom instruments; however, our findings shed light on important symptom domains that should be measured by a CHB-specific instrument. Despite this limitation, the SCL was translated into three languages (Spanish, Chinese, and Korean) for this study and was completed by over 1,500 patients. A final limitation is that other factors that might be associated with symptom-reporting (e.g., psychiatric disorders, anticipated and actualized stigmatization, specific medical conditions, transmission of HBV to offspring, other sociodemographic variables) were not evaluated(15, 21). Study strengths include a large multi-ethnic sample size,

multi-site enrollment, extensive number of serial symptom assessments, and seven years of follow-up. In addition, the robust analytical methods (trajectory analysis, LASSO selection) instill greater confidence in our final results.

To conclude, this study evaluated patient-reported symptoms in a large population of patients followed for chronic hepatitis B in North America. Most patients had few comorbidities, mild liver disease and reported minimal symptoms unless they had more advanced liver disease (higher AST levels, lower platelet count) or more comorbidities. While the majority of patients in this cohort had minimal and stable symptoms, a small subgroup of patients experienced moderate symptoms that endured throughout follow-up. Patients with moderate symptoms were at increased risk to develop adverse clinical outcomes, both HBV and non-HBV related, during follow-up, although the absolute rate of disease progression was low in this relatively healthy cohort. It is difficult to tease apart the underlying causes of symptoms in patients with CHB, some appearing due to the underlying chronic liver disease and some due to other comorbidities. Symptoms should be evaluated in studies of the natural history and treatment of CHB as they may reflect more advanced liver disease or poorer prognosis and should be considered important therapeutic endpoints on par with improvements in aminotransferase levels and HBV DNA. Future research endeavors that seek to develop robust predictive models of poor health outcomes in the CHB population should include a symptom checklist, AST, platelets, comorbidities and demographic variables. If validated in other independent cohorts, a brief symptom checklist might complement objective laboratory values to identify patients at greater risk for adverse clinical outcomes. Symptom assessments may also lead to a better understanding of patient suffering, immediate

symptom management, need for additional testing, and foster a more holistic patient-centered approach.

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Figure Titles and Legends

Figure 1. Boxplot of total symptom score by time points over 7-year follow-up in total cohort

Note: Horizontal axis shows number of weeks into the Adult Cohort Study and sample size included in each SCL total symptom score at each time point. Total Symptom Score is composite of 10 HBV-associated symptoms (fatigue, itching, pain over liver, irritability, depression/sadness, nausea, poor appetite, weight loss, dark urine, jaundice), each rated on 0-4 scale from Not at all bothersome =0 to Extremely bothersome=4. Total SCL score created by summing the 10 symptom scores for possible range =0 - 40 with higher scores indicating worse symptoms. Boxplots: asterisk=mean; bar=median; box=25th and 75th percentiles, black dots=outliers of SCL scores that were greater than 1.5 times interquartile range (75th - 25th).

Figure 2. Total symptom scores over 7-year follow-up for patients clustered by low symptom and moderate symptom trajectories

Note: A two-class latent trajectory model was selected based on the smallest Bayesian information criterion (BIC) and with at least 5% of participants in each class. Latent trajectory 1 represents patients with low symptoms, where black dot represents predicted trajectory and black line represents observed trajectory. Latent trajectory 2 represents patients with moderate symptoms, where red dot represents predicted trajectory and red line represents observed trajectory.

Figure Panel 3. Prevalence of 10 individual symptoms from SCL rated as “moderate” or above over time by moderate and low symptom groups

Note: SCL=Symptom Checklist

Figure 4. Cumulative probability of clinical outcomes (cirrhosis, decompensation, HCC, liver transplant, or all-cause of death) by baseline symptom latent groups

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Authorship Statement:

- (i) Guarantor of the article: Donna M. Evon
- (ii) Specific author contributions: *Performed the research:* Donna Evon, Hsing-Hua S. Lin, Mandana Khalili, Robert J. Fontana, Colina Yim, Abdus S. Wahed, Jay H. Hoofnagle. *Collected the data:* Mandana Khalili, Robert J. Fontana, Colina Yim. *Analysed the data:* Hsing-Hua S. Lin, Abdus S. Wahed. *Designed the research study:* Donna Evon, Hsing-Hua S. Lin, Abdus S. Wahed, Jay H. Hoofnagle. *Contributed to writing the manuscript:* Donna Evon, Hsing-Hua S. Lin, Mandana Khalili, Robert J. Fontana, Colina Yim, Abdus S. Wahed, Jay H. Hoofnagle.

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Contributed to the design of the study: Donna Evon, Hsing-Hua S. Lin, Mandana Khalili, Robert J. Fontana, Colina Yim, Abdus S. Wahed, Jay H. Hoofnagle.

(iii) All authors have approved the final version of this manuscript.

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Table 1. Baseline characteristics associated with low and moderate symptom clusters

| Variable | All n=1576 | Low symptom group n=1451 (92%) | Moderate symptom group n=125 (8%) | p-value |
|---|--------------------|-----------------------------------|--------------------------------------|---------|
| Age at Current Study Visit | n=1576 | n=1451 | n=125 | 0.46 |
| Median(25th:75th) | 41.5 (33.1 : 51.5) | 41.4 (33.1 : 51.4) | 43.3 (33.2 : 54.3) | |
| Sex | n=1576 | n=1451 | n=125 | 0.09 |
| Male | 796 (51%) | 742 (51%) | 54 (43%) | |
| Female | 780 (49%) | 709 (49%) | 71 (57%) | |
| Race | n=1573 | n=1448 | n=125 | 0.003 |
| White | 164 (10%) | 142 (10%) | 22 (18%) | |
| Black | 193 (12%) | 175 (12%) | 18 (14%) | |
| Asian | 1174 (75%) | 1096 (76%) | 78 (62%) | |
| Other/Mixed | 42 (3%) | 35 (2%) | 7 (6%) | |
| Education level | n=1565 | n=1440 | n=125 | <0.001 |
| Below Bachelor | 818 (52%) | 726 (50%) | 92 (74%) | |
| Bachelor or Higher | 747 (48%) | 714 (50%) | 33 (26%) | |
| Born in US or Canada | n=1573 | n=1449 | n=124 | 0.001 |
| No | 1305 (83%) | 1215 (84%) | 90 (73%) | |
| Yes | 268 (17%) | 234 (16%) | 34 (27%) | |
| HBV Phenotype | n=1464 | n=1350 | n=114 | 0.44 |
| Immune tolerant | 52 (4%) | 48 (4%) | 4 (4%) | |
| HBeAg+ CHB | 318 (22%) | 287 (21%) | 31 (27%) | |
| HBeAg- CHB | 270 (18%) | 252 (19%) | 18 (16%) | |
| Inactive carrier | 317 (22%) | 298 (22%) | 19 (17%) | |
| Indeterminant | 507 (35%) | 465 (34%) | 42 (37%) | |
| Cirrhosis prior/at current visit | n=1576 | n=1451 | n=125 | 0.024 |
| No | 1558 (99%) | 1437 (99%) | 121 (97%) | |
| Yes | 18 (1%) | 14 (1%) | 4 (3%) | |
| ALTxULN | n=1543 | n=1422 | n=121 | 0.23 |
| ≤1 ULN | 461 (30%) | 427 (30%) | 34 (28%) | |
| >1 - ≤3 ULN | 860 (56%) | 798 (56%) | 62 (51%) | |
| >3 - ≤5 ULN | 112 (7%) | 100 (7%) | 12 (10%) | |
| >5 ULN | 110 (7%) | 97 (7%) | 13 (11%) | |
| ASTxULN | n=1503 | n=1387 | n=116 | 0.09 |

Table 1. Baseline characteristics associated with low and moderate symptom clusters

| Variable | All n=1576 | Low symptom group n=1451 (92%) | Moderate symptom group n=125 (8%) | p-value |
|---|-----------------|-----------------------------------|--------------------------------------|---------|
| ≤1 ULN | 1147 (76%) | 1069 (77%) | 78 (67%) | |
| >1 - ≤3 ULN | 295 (20%) | 265 (19%) | 30 (26%) | |
| >3 - ≤5 ULN | 33 (2%) | 29 (2%) | 4 (3%) | |
| >5 ULN | 28 (2%) | 24 (2%) | 4 (3%) | |
| ASTxULN (normal vs abnormal) | n=1503 | n=1387 | n=116 | 0.017 |
| Normal AST | 1147 (76%) | 1069 (77%) | 78 (67%) | |
| Abnormal AST | 356 (24%) | 318 (23%) | 38 (33%) | |
| HBeAg | n=1527 | n=1409 | n=118 | 0.10 |
| Negative | 1121 (73%) | 1042 (74%) | 79 (67%) | |
| Positive | 406 (27%) | 367 (26%) | 39 (33%) | |
| HBV DNA (log₁₀ IU/mL) | n=1563 | n=1439 | n=124 | 0.10 |
| Median(25th:75th) | 3.8 (2.7 : 6.2) | 3.8 (2.7 : 6.1) | 4.2 (3.0 : 6.6) | |
| qHBsAg (log₁₀ IU/mL) | n=1395 | n=1286 | n=109 | 0.37 |
| Median(25th:75th) | 3.4 (2.7 : 4.2) | 3.4 (2.7 : 4.2) | 3.5 (2.8 : 4.1) | |
| Albumin (g/dL) | n=1474 | n=1361 | n=113 | 0.015 |
| Median(25th:75th) | 4.3 (4.1 : 4.6) | 4.3 (4.1 : 4.6) | 4.3 (4.0 : 4.5) | |
| APRI (AST-platelet-ratio index) | n=1310 | n=1205 | n=105 | 0.047 |
| ≤0.50 | 971 (74%) | 901 (75%) | 70 (67%) | |
| >0.50-1.50 | 274 (21%) | 249 (21%) | 25 (24%) | |
| >1.50 | 65 (5%) | 55 (5%) | 10 (10%) | |
| FIB-4 | n=1310 | n=1205 | n=105 | <0.001 |
| <1.45 | 1023 (78%) | 954 (79%) | 69 (66%) | |
| 1.45-3.25 | 247 (19%) | 220 (18%) | 27 (26%) | |
| >3.25 | 40 (3%) | 31 (3%) | 9 (9%) | |
| Platelets (x10³/mm³) | n=1316 | n=1211 | n=105 | 0.39 |
| Median(25th:75th) | 217 (179 : 257) | 217 (180 : 257) | 215 (171 : 255) | |
| Number of medical comorbidities | n=1576 | n=1451 | n=125 | 0.023 |
| 0 | 1172 (74%) | 1088 (75%) | 84 (67%) | |
| 1 | 239 (15%) | 220 (15%) | 19 (15%) | |
| 2+ | 165 (10%) | 143 (10%) | 22 (18%) | |

Table 2. Multivariable model predicting total symptom score

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Table 1. Baseline characteristics associated with low and moderate symptom clusters

| Variable | All n=1576 | Low symptom group n=1451 (92%) | Moderate symptom group n=125 (8%) | p-value |
|--------------------------------------|---------------|-----------------------------------|--------------------------------------|---------|
| Number of current medications | n=1576 | n=1451 | n=125 | 0.005 |
| 0 | 1216 (77%) | 1129 (78%) | 87 (70%) | |
| 1 | 237 (15%) | 218 (15%) | 19 (15%) | |
| 2+ | 123 (8%) | 104 (7%) | 19 (15%) | |

| Predictors | Total Symptom Score, mean ratio (95% CI) ^a |
|---|--|
| | Multivariable Model |
| Participants (n) and observations (obs) | n=1492, obs=10,561 |
| Bayesian information criterion (BIC) | BIC=42321 |
| Age (year) | p= 0.79 |
| + per 10 years | 0.99 (0.96, 1.03) |
| Sex | p=0.01 |
| Male | Reference |
| Female | 1.16 (1.04, 1.29) |
| Asian race and place of birth | p<0.01 |
| Asian born outside of the US/Canada | Reference |
| Asian born in the US/Canada | 1.02 (0.82, 1.27) |
| Non-Asian born outside of the US/Canada | 0.79 (0.68, 0.92) |
| Non-Asian born in the US/Canada | 1.54 (1.29, 1.84) |
| Education | p<0.01 |
| Below Bachelor | 1.35 (1.21, 1.50) |
| Bachelor or Higher | Reference |
| ASTxULN | p<0.01 |
| ≤1 ULN | Reference |
| >1-3 ULN | 1.06 (1.00, 1.12) |
| >3-5 ULN | 1.19 (1.01, 1.41) |
| >5 ULN | 1.41 (1.17, 1.69) |
| Platelets (x10³/mm³) | p=0.01 |
| ≥ 150 | Reference |
| < 150 | 1.11 (1.03, 1.20) |
| Number of comorbidities | p=0.002 |
| 0 | Reference |
| 1 | 1.10 (1.03, 1.17) |
| 2+ | 1.17 (1.06, 1.29) |

^a If a 95% confidence interval (CI) for a mean ratio does not include 1, the mean of T-SCL is significantly different between groups or per unit change in a continuous predictor.

Note: Variables used for model selections: sociodemographic variables at baseline (sex, Asian race and place of birth, education) and time-varying variables (age at each visit, ALTxULN, categorical ALTxULN, ASTxULN, categorical ASTxULN, HBeAg, HBV DNA, quantitative HBsAg, albumin, categorical platelets, FIB-4, comorbidities, medications, and currently on HBV treatment).