

# Multi-component topology optimization of functionally-graded lattice structures with bulk solid interfaces (MTO-L)

Bing Yi<sup>a,1</sup>, Kazuhiro Saitou<sup>b</sup>

<sup>a</sup>*School of Traffic and Transportation Engineering, Central South University, Changsha, China*

<sup>b</sup>*Department of Mechanical Engineering, University of Michigan, Ann Arbor, USA*

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## Abstract

This paper presents a topology optimization method for structures consisting of multiple lattice components under a certain size, which can be manufactured with an additive manufacturing machine with a size limit and assembled via conventional joining processes, such as welding, gluing, riveting, and bolting. The proposed method can simultaneously optimize overall structural topology, partitioning to multiple components and functionally-graded lattices within each component. The functionally-graded lattice infill with guaranteed connectivity is realized by applying the Helmholtz PDE filter with a variable radius on the density field in the Solid Isotropic Material with Penalization (SIMP) method. The partitioning of an overall structure into multiple components is realized by applying the Discrete Material Optimization (DMO) method, in which each material is interpreted as each component, and the size limit for each component imposed by a chosen additive manufacturing machine. A gradient-free coating filter realizes bulk solid boundaries for each component, which provide continuous mating surfaces between adjacent components to enable the subsequent joining. The structural interfaces between the bulk solid boundaries are extracted and assigned a distinct material property, which model the joints between the adjacent components. Several numeral examples are solved for demonstration.

*Keywords:* Topology optimization, lattice infill, multi-component

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*Email address:* [bingyi@csu.edu](mailto:bingyi@csu.edu). (Bing Yi)

<sup>1</sup>Corresponding Author: Prof. Bing Yi

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## 1. Introduction

Lattice structures exhibit superior structural properties such as low stiffness-to-weight ratio, robustness for random direction loads, damage resistance against defects, and extreme physical properties such as large energy absorption [1, 2], negative Poisson's ratio [3, 4], large thermal expansion [5, 6], and special acoustic absorption [7, 8]. Owing to the recent advancement in additive manufacturing processes, especially those in metals, the fabrication and testing of engineered lattice structures have become much more accessible to researchers. Thompson *et al.* [9] reviewed recent work on design for additive manufacturing including the design of engineered lattice structures. They pointed out there was still a lack of systematic design method to overcome the complexity of lattice structures whose dimension spans from the micro/meso-scale to macro-scale. In addition to the structural complexity, additively-manufactured lattice structures for industrial applications would be subject to the physical and economical constraints imposed by additive manufacturing processes. In particular, the maximum printing size for the available additive manufacturing machines (within budget) can be a significant design constraint. While a large scale additive manufacturing machines (*e.g.*, [10]) are being developed, it still suffers from low precision, large distortion, and limitation of compatible materials.

A remedy to the size limitation of additive manufacturing is to print multiple smaller components and then assemble them to a large structure, as commonly done in the conventional manufacturing processes. For engineered lattice structures, the idea is analogous to the multi-domain crystal structures that were proposed to enhance the mechanical behaviour of engineered crystalline materials [11]. The difference, however, is that the lattice components produced separately by additive manufacturing would have to be assembled subsequently using joining processes such as welding, gluing, riveting, and bolting. Since it would be practically infeasible to reliably and economically join *each* of numerous meso-scale geometric features that make up individual lattices (Fig. 1 (a)), each lattice component should have bulk solid boundary that provide adjacent components with continuous mating surfaces that enable the subsequent joining (Fig. 1 (b)).

This paper presents a topology optimization method for structures consisting of multiple lattice components, which can be manufactured via ad-

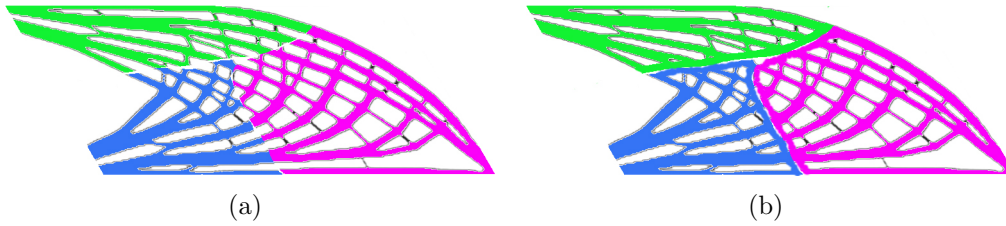


Figure 1: Two types of interface between lattice components: (a) no bulk solid interface (extremely difficult to join) and (b) bulk solid interface (straightforward to join).

36 ditive manufacturing and then assembled via conventional joining processes.  
 37 Each component has functionally-graded lattice infill surrounded by a bulk  
 38 solid boundary, which greatly facilitates its assembly via the conventional  
 39 joining processes such as welding, gluing, riveting, and bolting. The pro-  
 40 posed method can simultaneously optimize overall structural topology, its  
 41 partitioning to multiple components, and functionally-graded lattice infill  
 42 within each component. **Structural compliance is considered as the objective**  
 43 **function, and constraints are imposed on the volume of the entire structure,**  
 44 **the size of each component, and the amount of the bulk solid boundaries**  
 45 **around and the joints between components.** Based on our previous work  
 46 on the topology optimization of the assemblies of additively-manufactured  
 47 solid components [12] and functionally-graded monolithic lattice structures  
 48 [13] (**which, in turn, is based on [14]**), the novelty of the proposed method  
 49 beyond these works is three-fold: it realizes 1) multiple functionally-graded  
 50 lattice components with guaranteed connectivity of lattices therein, 2) the  
 51 bulk solid boundaries for each component, which provide continuous mat-  
 52 ting surfaces between adjacent components, and 3) the structural interfaces  
 53 between the bulk solid boundaries with a distinct material property, which  
 54 model the joints (*eg.*, weld, glue, rivets, and bolts) between the adjacent  
 55 components.

56 The paper is organized as follows. Section 2 discusses related work and  
 57 Section 3 describes the mathematical formulation of the optimization prob-  
 58 lem. Several numerical examples are presented in Section 4. Finally, Sec-  
 59 tion 5 concludes the paper with discussion of possible future work. The  
 60 sensitivities of the

## 61 2. Related work

### 62 2.1. Optimal design of multi-component structures

63 Most structural products are made as assemblies of components with  
64 simpler geometry. Despite the sacrifice in structural performances due to  
65 the introduction of joints, multi-component assemblies are preferred, or of-  
66 ten the only choices in industry, primarily due to economical reasons – the  
67 manufacturing and assembly of multiple components with simpler geometry  
68 is often far less costly than of a monolithic structure with complex geometry.  
69 *Assembly synthesis* is a process of partitioning a structure into multiple com-  
70 ponents, each with simpler geometry, to enhance the ease of manufacturing.

71 By viewing the problem as the optimal balance between structural per-  
72 formance and manufacturing cost, computational optimal assembly synthesis  
73 for structural products were attempted in [15, 16] for stamped sheet metal  
74 structures and in [17] for extruded space frame structures. In the field of  
75 computer graphics, there also is recent work addressing the partitioning of  
76 product geometry into smaller components, so each can fit within the max-  
77 imum printer size for additive manufacturing [18, 19, 20]. However, these  
78 work only deal with manufacturability-driven partitioning of prescribed fixed  
79 geometries without considering the optimization of the overall product ge-  
80 ometries.

81 Early work on topology optimization of multi-component structures, On  
82 the other hand, was the optimization of the overall product geometry with  
83 prescribed fixed partitioning, where each component is optimized within the  
84 prescribed design domain, and joints are optimized within the overlaps among  
85 these domains [21, 22, 23, 24, 25]. In these work, therefore, an optimized  
86 structure must be an assembly of prescribed number of components with  
87 prescribed adjacency. Considering that joints are usually structurally in-  
88 ferior to components and therefore should be introduced only if justifiable  
89 by performance-cost balance, this formulation can only explore very small  
90 subset of all possible multi-component structures.

91 Multi-component topology optimization (MTO) was motivated by the  
92 need of automatically generating optimal structures made as assemblies of  
93 multiple ready-to-manufacture components, each of which conforms geomet-  
94 ric constraints imposed by a chosen manufacturing process, such as compo-  
95 nent sizes, undercuts, and uniform wall thickness. Lyu *et al.* [26], Yildiz *et*  
96 *al.* [27], and Guirguis *et al.* [28] formulated MTO as discrete optimization  
97 problems for (2D approximations of) stamped sheet metal assemblies and

98 solved them by genetic algorithms. However, it is extremely time consum-  
99 ing and hence can only solve simple “toy” problems. Zhou and Saitou [29]  
100 proposed a continuous relaxation of MTO for 2D stamped sheet metal as-  
101 semblies, which enabled the use of efficient gradient-based optimization al-  
102 gorithms. Zhou *et al.* [30] extended the formulation to composite structures,  
103 which is capable of simultaneously optimizing the overall topology, compo-  
104 nent partitioning, and tailored material orientation for each component. By  
105 considering the size constraint of the additive manufacture machines, Zhou  
106 *et al.* [12] presented a MTO formulation for additive manufacturing with a  
107 build volume constraint. Despite its promise, MTO is still at an infancy and  
108 yet to become robust enough for industry applications. These researches, in  
109 particular, have only considered bulk solid structures or 2D approximation  
110 of thin-wall structures.

111 Recently, Francesco *et al.* [31] presented a method to optimize the dis-  
112 tribution of the lattice infill in multiple domains by using two-step method  
113 consisting of domain boundary optimization followed by infill lattice opti-  
114 mization. Gao *et al.* [32] also proposed a multi-scale topology optimization  
115 method for the design of porous composites composed of the multi-domain  
116 material microstructures. In these work, however, multiple domains are de-  
117 fined within a single structure that is assumed to be produced as one piece,  
118 with no considerations of manufacturing constraints. To the best of the au-  
119 thor’s knowledge, there is no previous research work considering the topology  
120 optimization of structural assemblies consisting of multiple lattice compo-  
121 nents driven by the manufacturability of each component and the assem-  
122 bleability of multiple components, such as the ones addressed in this paper.

## 123 2.2. Interface modeling in multi-domain structures

124 While a model of structural interfaces between adjacent components (*i.e.*,  
125 joints) were included in the discrete formulation of MTO [26, 27, 28], it  
126 was based on the discrete representations of component boundaries, which  
127 required the use of inefficient non-gradient optimization algorithms.

128 A related problem of modeling interfaces between distinct materials has  
129 been discussed in the area of multi-material topology optimization. Most  
130 work utilizes level set based topology optimization, since it has an advantage  
131 of representing explicit boundaries between material phases at each iteration  
132 of optimization. Vermaak *et al.* [33] proposed a framework for the model-  
133 ing of material interface properties in multi-phase elastic and thermoelas-  
134 tic structures, which can model the material interfaces with monotonic and

135 non-monotonic property variations. Faure *et al.* [34] extended this method  
136 for the modeling of smooth and graded transitions for micro-structures and  
137 investigated the influence of graded interfaces in multi-material topology op-  
138 timization. Liu *et al.* [35] presented monolithic topology optimization of  
139 structures that embed prescribed components with fixed geometry, with the  
140 interface model between the embedding structure and embedded component.

141 Little work has been published on material interface modeling based on  
142 the Solid Isotropic Material with Penalisation (SIMP) method, where the  
143 “gray” zones that always exist between two material phases pose challenges  
144 in modeling material interfaces. Francesco *et al.* [31] proposed the framework  
145 for the modeling of solid internal interface for lattice infill structures by  
146 using the artificial threshold for the density field. Chu *et al.* [36] proposed  
147 the graded interface modeling of multi-material topology optimization [36],  
148 which employs the coating filter proposed by Clausen *et al.* [37]. The filter,  
149 however, requires computing the maximum of the norm of the gradient vector  
150 of the density field, which poses numerical challenges both in efficiency and  
151 accuracy. To overcome this challenge, Yoon *et al.* [38] proposed simple two-  
152 step filtering for the topology optimization of coated structures without the  
153 need of density gradient.

### 154 3. Design model

#### 155 3.1. Overview

156 Three fields are defined to represent the design model for a structural  
157 assembly of functionally-graded lattice components with continuous compo-  
158 nent interface: material density  $\rho$ , radius  $r_l$  for local density averaging, and  
159 component membership vector  $\mathbf{m} = (m^{(1)}, m^{(2)}, \dots, m^{(K)})$ , where  $K$  is the  
160 prescribed maximum allowable number of components. Figure 2 illustrates  
161 an instance where  $K = 3$ . The overall structure with functionally-graded  
162 lattice is represented as filtered density field  $\rho$  by variable-radius Helmholtz  
163 PDE-filter with radius  $r_l$  [13]. The multiple components within the overall  
164 structure are represented as a fractional membership  $m^{(k)}$  to each compo-  
165 nent  $k$ , where  $k = 1, 2, \dots, K$  in a similar manner DMO represents multiple  
166 material orientations [12] (Fig. 2 (a)). The bulk solid boundaries for each  
167 component are obtained by applying the coating filters in [38] for each ele-  
168 ment  $m^{(k)}$  of the component membership vector filed  $\mathbf{m}$  (Fig. 2 (b)). With  
169 the carefully controlled filter radii, the joints between the mating boundaries

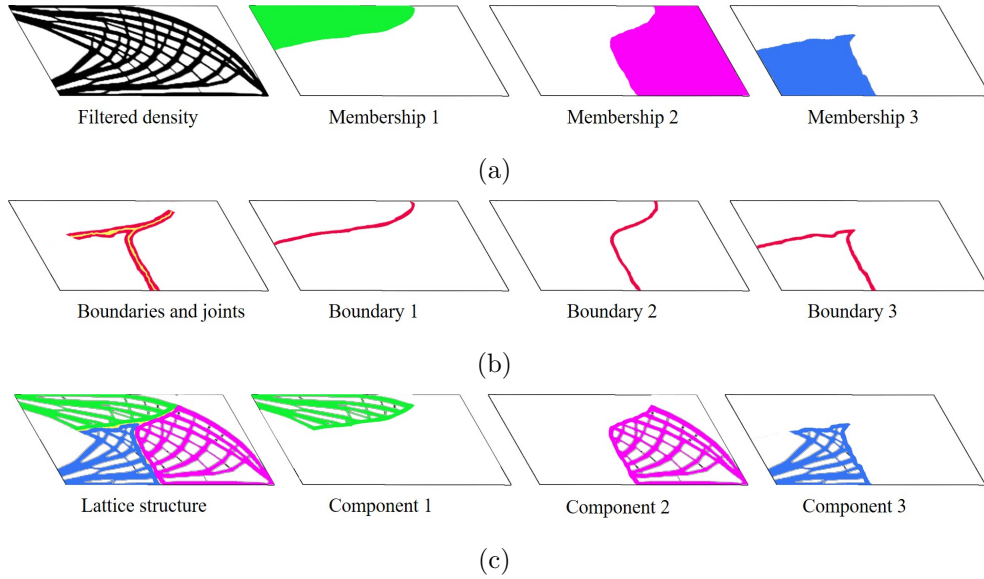


Figure 2: overview of the design model: (a) design fields, (b) bulk solid boundaries and joints, and (c) the compiled design model.

170 are extracted as the overlap region of the coatings of two adjacent com-  
 171 ponents. Finally, the design model is constructed by compiling the overall  
 172 lattice structure, component membership, and the bulk solid boundaries and  
 173 joints (Fig. 2 (c)).

174 *3.2. Functionally-graded lattice structures*

175 Let  $\phi : D \rightarrow [-1, 1]$  be the (un-regularized) design variable, where  $D$  is  
 176 a fixed design domain. To avoid checkerboard patterns and achieve mesh-  
 177 independent results, design variable  $\phi$  is regularized by the Helmholtz PDE-  
 178 filter [39]:

$$-r_\rho^2 \nabla^2 \bar{\phi} + \bar{\phi} = \phi \tag{1}$$

179 where  $r_\rho$  is the filter radius for smoothing, and  $\bar{\phi}$  is the smoothed design  
 180 variable. Then, density field  $\rho : D \rightarrow [0, 1]$  is obtained by using a smoothed  
 181 Heaviside function  $H_s : \mathbb{R} \rightarrow [0, 1]$  for the regularized design variable as  
 182 follows:

$$\rho = H_s(\bar{\phi}) \tag{2}$$

183 Functionally-graded lattices can be realized by imposing an upper bound  
 184 on density values  $\rho$  averaged over a small neighborhood, and letting the up-

185 per bound vary at each design point in  $D$  [13]. To compute locally regular-  
 186 ized, “average” material density field  $\rho_l$ , the Helmholtz PDE-filter is adopted  
 187 again:

$$-r_l^2 \nabla^2 \rho_l + \rho_l = \rho \quad (3)$$

188 where  $r_l$  is the (variable) filter radius for averaging density around a design  
 189 point. If the lower bound of  $r_l$  is set to be larger than the (constant) filter  
 190 radius  $r_\rho$  for the regularization of the density field, the functionally-graded  
 191 lattices can be obtained by imposing the upper bound  $P_{max}$  on locally aver-  
 192 aged density  $\rho_l$ :

$$\rho_l \leq P_{max} \quad (4)$$

193 Equation 4 should be defined for each design point, which may cause  
 194 numerical difficulty during optimization. Hence, it can be rewritten equiva-  
 195 lently as:

$$\max_{x \in D}(\rho_l) \leq P_{max} \quad (5)$$

196 and further approximately as:

$$\left( \int_D \rho_l^p dx \right)^{\frac{1}{p}} \leq P_{max} \quad (6)$$

197 which is differentiable with respect to  $\phi$  and  $\rho_l$ . As power  $p$  of the p-norm  
 198 approximation goes to infinity, Eq.6 becomes equivalent to Eq.4. In this  
 199 paper,  $p = 10$  is used since larger values will increase numerical instability  
 200 during optimization.

### 201 3.3. Multi-component partitioning

202 Similar to the density field, component membership is represented by a  
 203 (un-regularized) design variable  $\mu^{(k)} : D \rightarrow [0, 1]$ . To achieve mesh inde-  
 204 pendency of component boundary, design variable  $\mu^{(k)}$  is regularized by the  
 205 Helmholtz PDE filter:

$$-r_\mu^2 \nabla^2 \bar{\mu}^{(k)} + \bar{\mu}^{(k)} = \mu^{(k)} \quad (7)$$

206 where  $r_\mu$  is the filter radius for the controlling of the maximum width of the  
 207 bulk solid boundaries and the joints, as discussed in the following section.

208 To encourage that each design point belongs to a unique component at  
 209 the convergence of the optimization, the DMO projection [40] is applied to  
 210 the smoothed membership field  $\bar{\mu}^{(k)}$  as follows:



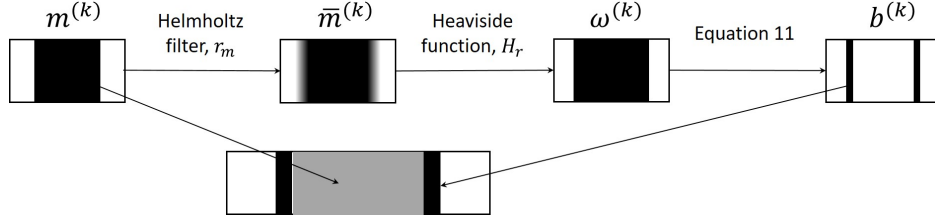


Figure 3: Simple two-step filtering approach for modeling bulk solid component boundaries.

$$m^{(k)} = \{\bar{\mu}^{(k)}\}^{p_m} \prod_{i=1, i \neq k}^K [1 - \{\bar{\mu}^{(i)}\}^{p_m}] \quad (8)$$

211 where  $p_m$  is the penalization parameter to drive each membership vector  
 212 converge to 0 or 1. As can be seen in Eq.8, an increase in one component  
 213 membership always leads to a decrease in all the other component member-  
 214 ships. With the DMO projection, the membership vector at a design point  
 215 will converge to a sparse vector with at most one element being 1 and all the  
 216 other element being 0, which represents the partition of design domain  $D$  to  
 217 up to  $K$  components.

### 218 3.4. Bulk solid component boundaries

219 Our modeling of bulk solid boundaries for each lattice component is in-  
 220 spired by the gradient-free coating filter for SIMP-based (monolithic) topol-  
 221 ogy optimization [38]. Instead of density field  $\rho$  that represents the entire  
 222 structure, however, the filter is applied to each element  $m^{(k)}$  of the component  
 223 membership vector field, as illustrated in Fig.3.

224 First, the Helmholtz PDE filter with filter radius  $r_m$ , which controls the  
 225 thickness of the bulk solid boundary, is applied on each element  $m^{(k)}$  of  
 226 component membership vector field:

$$-r_m^2 \nabla^2 \bar{m}^{(k)} + \bar{m}^{(k)} = m^{(k)} \quad (9)$$

227 where  $r_m$  controls the thickness of the bulk solid boundary, and hence should  
 228 be  $r_m < r_\mu$ . Then, a smoothed Heaviside function is applied to the filtered  
 229 component membership  $\bar{m}^{(k)}$  to obtain the field with “crisp” edges:

$$\omega^{(k)} = H_r(\bar{m}^{(k)}) \quad (10)$$

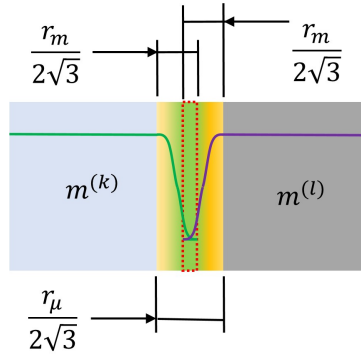


Figure 4: Joint modeling between each component membership with bulk solid boundaries.

230 and finally, the membership for bulk solid boundary can be obtained as:

$$b^{(k)} = \{1 - m^{(k)}\} \omega^{(k)} \quad (11)$$

231 It should be noted that due to its construction,  $b^{(k)}$  is bounded between 0  
 232 and 1, and therefore will effectively avoid the need of normalization, which  
 233 is subject to numerical errors for the gradient-based coating filter in [37].

### 234 3.5. Joints

235 We consider joints as to model the outcome of joining processes such as  
 236 welding, gluing, screwing, and riveting, which mechanically connects the bulk  
 237 solid boundaries of two adjacent components. A separate modeling is needed  
 238 for the joint regions, since they have different, often inferior, material prop-  
 239 erty from the component material. This can be accomplished by extracting  
 240 a narrow overlap between the two adjacent bulk solid boundaries.

241 Figure 4 illustrates a (2D) close-up view of the interface between two  
 242 adjacent components  $k$  and  $l$  at the optimization convergence, overlaid with  
 243 the corresponding values of smoothed component membership  $m^{(k)}$  and  $m^{(l)}$   
 244 in Eq. 8. The regions with rapid decrease in  $m^{(k)}$  and  $m^{(l)}$ , colored with the  
 245 gradation from yellow to green for each of component, represent the bulk  
 246 solid boundaries as defined by  $b^{(k)}$  and  $b^{(l)}$  in Eq. 11. The characteristics of  
 247 the Helmholtz PDE filter [39] suggests this region approximately has width  
 248  $r_m/2\sqrt{3}$  for each component, where  $r_m$  is the filter radius in Eq.9. Similarly,  
 249 the entire interface region consisting the (potentially) overlapping regions of  
 250 bulk solid boundaries (and any space in-between), approximately has width  
 251  $r_\mu/2\sqrt{3}$ , where  $r_\mu$  is the filter radius in Eq.7. Under an appropriate setting

252 of these filter radii satisfying  $r_\mu/2 < r_m < r_\mu$ , there will be a small overlap  
 253 between two regions of bulk solid boundaries  $b^{(k)}$  and  $b^{(l)}$ , which can be  
 254 extracted as a joint. Since this overlapping region would have near zero  
 255 component membership values, joint membership  $J_{kl}$  is obtained by scaling  
 256 up  $b^{(k)}$  and  $b^{(l)}$ :

$$J^{(kl)} = \{m_0 + b^{(k)}(1 - m_0)\} \{m_0 + b^{(l)}(1 - m_0)\} \quad (12)$$

257 where  $l \neq k$  and  $m_0$  is a small positive number, that defines the lower bound  
 258 for the scaling. For notational convenience,  $J^{(kl)}$  is defined as 0 for  $l = k$ .

### 259 3.6. Interpolation functions

260 For simplicity as an initial attempt, the infill lattices, bulk solid bound-  
 261 aries, and joints are all assumed to be isotropic in this paper. Similar to  
 262 conventional SIMP method, the Young's modulus of lattice infill for compo-  
 263 nent  $k$ , excluding the bulk solid boundaries and joints, is given as:

$$E_\rho^{(k)} = E \left\{ \rho^{p_\rho} m^{(k)} - b^{(k)} - \sum_{l=1}^k J^{(kl)} \right\} \quad (13)$$

264 where  $E$  is the Young's modulus of the component material and  $p_\rho$  is the  
 265 SIMP penalization parameter. The sum for  $J^{kl}$  is taken over  $l = 1, 2, \dots, k$   
 266 instead of  $l = 1, 2, \dots, K$  to avoid "double counting" of the joint region  
 267 when the contribution of all  $K$  components are summed together. The bulk  
 268 solid boundaries of component  $k$ , excluding the overlapping regions which  
 269 are considered as joints, are also made from the component material:

$$E_b^{(k)} = E \left\{ b^{(k)} - \sum_{l=1}^K J^{(kl)} \right\} \quad (14)$$

270 In this case, the sum for  $J^{kl}$  is taken over  $l = 1, 2, \dots, K$  since the joints  
 271 are defined in the *overlapping* region of  $b^{(k)}$  and  $b^{(l)}$ . The Young's modulus  
 272 for the joint between components  $k$  and  $l = 1, 2, \dots, K$  is given as:

$$E_J^{(k)} = \eta E \sum_{l=1}^k J^{(kl)} \quad (15)$$

273 where  $\eta$  is the ratio of the Young's modulus of the joint material to the  
 274 one for the structural material. Similar to Eq. 13, the sum is taken over

275  $l = 1, 2, \dots, k$ . Finally, the the Young's modulus for each point in the  
 276 design domain can be defined as:

$$E_t = \sum_{k=1}^K \left\{ E_\rho^{(k)} + E_b^{(k)} g(\rho_l) + E_J^{(k)} \right\} \quad (16)$$

277 where  $g(\rho_l)$  is an interpolation function to enable a smooth transition from  
 278 infill lattices to bulk solid boundaries. Using the fact that  $\sum_{k=1}^K \sum_{l=1}^K =$   
 279  $2 \sum_{k=1}^K \sum_{l=1}^k$ , Eq. 16 can be rewritten as:

$$E_t = E \sum_{k=1}^K \left[ \rho^{p_\rho} m^{(k)} - \{1 - g(\rho_l)\} b^{(k)} - \{1 + 2g(\rho_l) - \eta\} \sum_{l=1}^k J^{(kl)} \right] \quad (17)$$

### 280 3.7. Optimization model

281 The optimization model is formulated as compliance minimization sub-  
 282 ject to constraints on structural volume, component size, maximum allow-  
 283 able local average density, component interface cost, and maximum allowable  
 284 number of component:

$$\text{minimize}_{\phi, \mu, r_l} \quad \mathbf{U}^T \mathbf{K} \mathbf{U}$$

$$\text{subject to:} \quad \mathbf{K} \mathbf{U} = \mathbf{F}$$

$$\begin{aligned} \int_D \sum_{k=1}^K \rho m^{(k)} dx &\leq V_{max} \\ \left( \int_D \rho_l^p dx \right)^{\frac{1}{p}} &\leq P_{max} \end{aligned} \quad (18)$$

$$R^{(k)} \leq R_{max}; \quad k = 1, 2, \dots, K$$

$$C \leq C_{max}$$

$$\phi \in [-1, 1]^D$$

$$\mu^{(k)} \in [0, 1]^D; \quad k = 1, 2, \dots, K$$

$$r_l \in [r_\rho, 5r_\rho]^D$$

285 where  $\mathbf{K}$ ,  $\mathbf{U}$ , and  $\mathbf{F}$  are the stiffness matrix, the displacement vector, and  
 286 the force vectors of the finite element mesh of domain  $D$ , respectively;  $V_{max}$ ,  
 287  $R_{max}$ , and  $C_{max}$  are the maximum allowable volume of the entire structure,

288 the maximum radius of the printable sphere for the additive manufacturing  
 289 machine, and the maximum allowable amount for the bulk solid boundaries  
 290 and joints within the structure, respectively.

291 Instead of rectangular (prismatic) bounding boxes adopted in [29, 12], the  
 292 components sizes are approximated by their bounding spheres for the sake of  
 293 computational simplicity. The radius of the bounding sphere of component  
 294  $k$  is given as:

$$R^{(k)} = \max_{x \in D} \|\rho m^{(k)} \{x - x_c^{(k)}\}\| \approx \left[ \int_D \rho m^{(k)} \{x - x_c^{(k)}\}^p dx \right]^{\frac{1}{p}} \quad (19)$$

295 where  $x_c^{(k)}$  is the centroid of component  $k$ :

$$x_c^{(k)} = \frac{\int_D \rho m^{(k)} x dx}{\int_D \rho m^{(k)} dx} \quad (20)$$

296 The total amount of the bulk solid boundaries and joints within the structure  
 297 is approximated as:

$$C = \sum_{k=1}^K \left[ \int_D g(\rho_l) \left\{ m^{(k)} - \sum_{l=1}^k J^{(kl)} \right\} dx \right] \quad (21)$$

298 This amount needs to be constrained by the maximum allowable amount in  
 299 Eq. 18, since otherwise the optimizer tends to exploit the **solid** bulk bound-  
 300 aries to minimize the compliance objective and place them everywhere in the  
 301 structure.

302 For the examples in the next section, the optimization model in Eq. 18  
 303 is implemented with MATLAB and COMSOL is used for solving FEM and  
 304 optimization. The method of moving asymptotes (MMA) [41] is adopted  
 305 as the optimization algorithm. The derivations of the sensitivities of the  
 306 objective function and constraints are outlined in Appendix A.

#### 307 4. Examples

308 This section presents two simple examples on a cantilever beam and an  
 309 MBB and an industry example on a railcar body profile for high-speed trains.  
 310 In all examples, the design domains are discretized with identical square four-  
 311 node elements with size  $r_e = 0.02$ , and filter radius  $r_\rho$  in Eq. 1 is set as  $r_e$ .

Table 1: Common parameter values in the examples

symbol	definition	value
$p_\rho$	SIMP penalty	3
$p_m$	membership penalty	6
$p$	p-norm power	10
$E$	Young's modulus	1
$\nu$	Poisson's ratio	0.3
$P_{max}$	max. local density	0.6

Table 2: Other input parameters for the examples

symbol	definition
$K$	maximum allowable number of components
$E_{joint}$	Young's modulus of the joint material ( $= \eta E$ in Eq. 15)
$r_\rho$	filter radius for density (Eq. 1)
$r_m$	filter radius for membership vector (Eq. 9)
$V_{max}$	max. volume of the entire structure (Eq. 18)
$R_{max}$	max. radius of the printable sphere (Eq. 18)
$C_{max}$	max. amount for bulk solid boundaries and joints (Eq. 18)

312 The design variables are initialized as  $\phi = 0$  and  $\mu^{(k)} = 0.5$  uniformly in the  
 313 design domain. Tables 1 summarizes the parameter values common in the  
 314 examples. As a recap, Table 2 lists the other input parameters that can take  
 315 various values in the examples.

#### 316 4.1. Cantilever beam

317 The design domain is a rectangle area of unit thickness with width  $w = 2$   
 318 and height  $h = 1$ , and a concentrated load  $f = 1$  is applied at the lower right  
 319 corner of the rectangle, as shown in Fig. 5.

320 The iteration snapshots are shown in Fig.6 for the case with  $K = 2$ ,  
 321  $E_{joint} = 0.5$ ,  $r_\mu = 3r_e$ ,  $r_m = 1.75r_e$ ,  $R_{max} = 0.55$ , and  $C_{max} = 0.12$ . In each  
 322 of the sub-figure, the first row shows the density and membership fields for  
 323 each component, the second row shows the joints and bulk solid boundaries  
 324 for each component, and the third row show the optimized structure and  
 325 components. Since initially  $\phi = 0$  and  $\mu^{(k)} = 0.5$  everywhere, density  $\rho$  is 0.5,  
 326 and membership  $m^k$  is almost zero due to the DMO projection. As a result,  
 327 the bulk solid boundary and joints are also almost zero, so are the overall

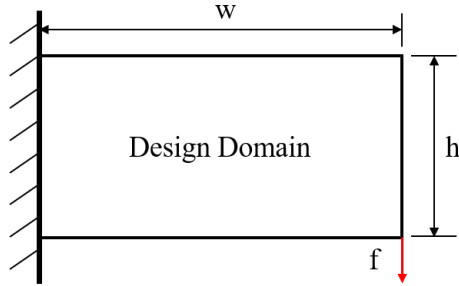
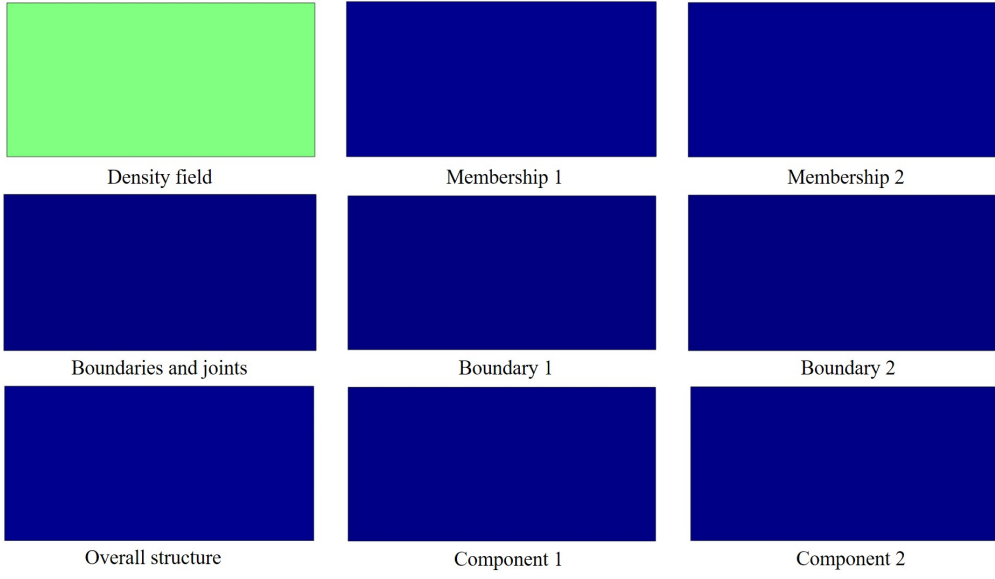


Figure 5: Design domain and boundary conditions for the cantilever problem.

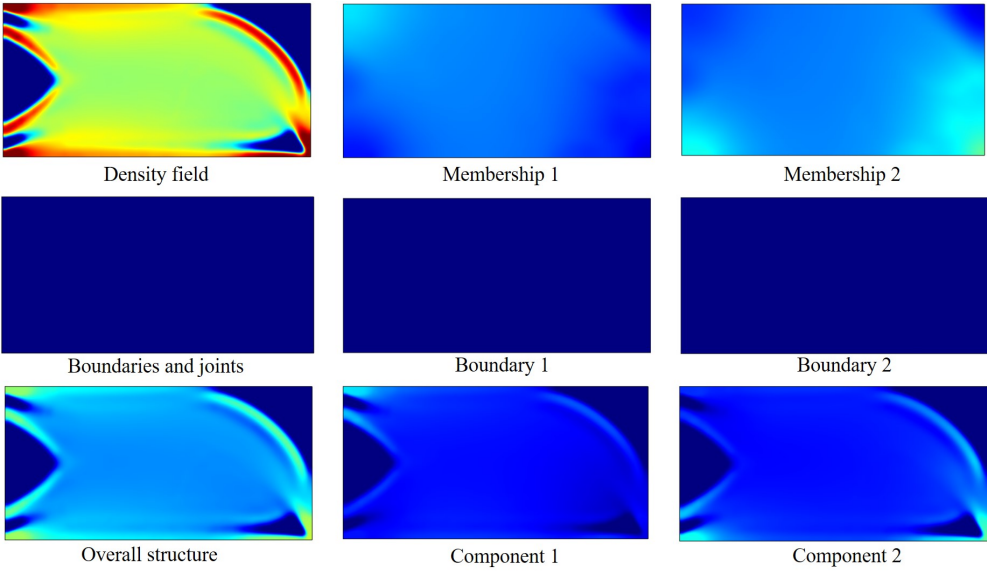
328 structure and components (Fig.6 (a)). As the iteration proceeds, the overall  
 329 structure and memberships becomes clearer, but the bulk solid boundaries  
 330 and the joints remains unclear (Fig.6 (b)). Then, the memberships quickly  
 331 become clear between iteration 200 and 400 and so is the solid interface and  
 332 joints (Fig.6 (c)). The overall structures and other quantities appear to reach  
 333 local optima at iteration 1200 (Fig.6 (d)).

334 Figures 7, 8, and 9 show the results for different joint stiffness with  
 335  $E_{joint} = 0.25, 0.5,$  and  $0.75,$  respectively. For these runs,  $K = 2,$   $r_{\mu} = 3r_e,$   
 336  $r_m = 1.75r_e,$   $V_{max} = 0.5,$   $R_{max} = 0.55,$  and  $C_{max} = 0.1$  are used. It can  
 337 be seen that, the bulk solid boundary (and the joint in between) is straight  
 338 and short when the Young’s modulus of joints is small, and becomes curved  
 339 and long as the joint becomes stiffer, taking advantage of the more use of  
 340 bulk solid boundary, until the upper bound  $C_{max}$  is reached. In response  
 341 to the changes in the boundary, the lattice patterns also change. The von  
 342 Mises stress of these optimized structures are shown in Fig.10. The maxi-  
 343 mum stress (shown in red) is observed at the periphery of the structures. The  
 344 stress is much smaller (shown in blue) in the regions of bulk-solid boundary  
 345 and joint, since the bulk solid boundaries are much stiffer than the rest of  
 346 lattice structure. While the joints are less stiff than the lattice structure, the  
 347 stress there is still smaller than the lattices, since they are “protected” by  
 348 stiff boundaries.

349 Figures 11 and 12 show the results for different thickness in bulk solid  
 350 boundary with  $(r_{\mu}, r_m) = (4r_e, 2.25r_e)$  and  $(5r_e, 2.75r_e),$  respectively. For  
 351 these runs,  $K = 2$  and  $E_{joint} = 0.5,$   $V_{max} = 0.5,$   $R_{max} = 0.55,$  and  $C_{max} =$   
 352  $0.12$  are used. Similar to the results of different joint stiffness, the lattice  
 353 patterns change in response to the changes in the boundary thickness. In-  
 354 terestingly, both structures show inter-component gaps formed by bulk solid

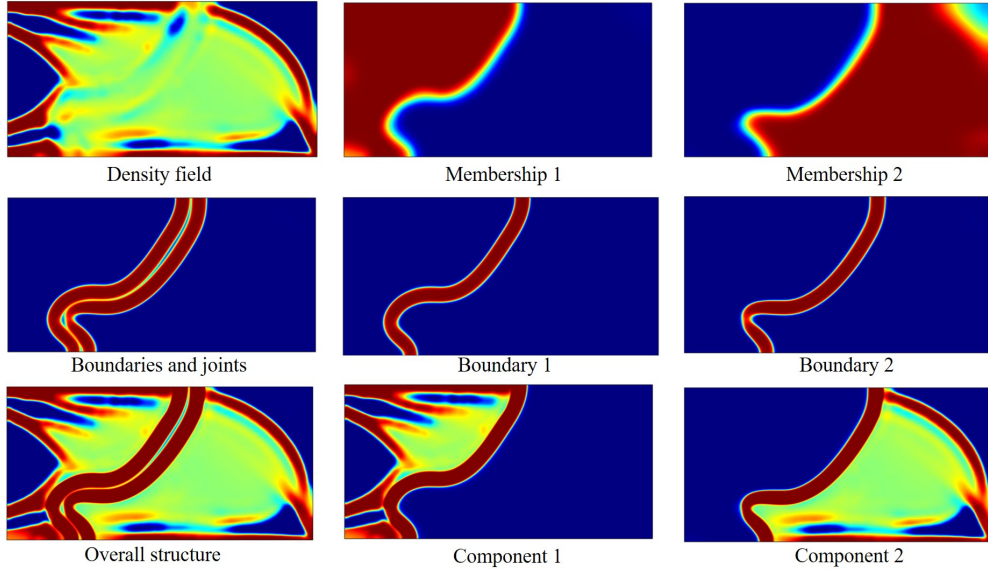


(a)

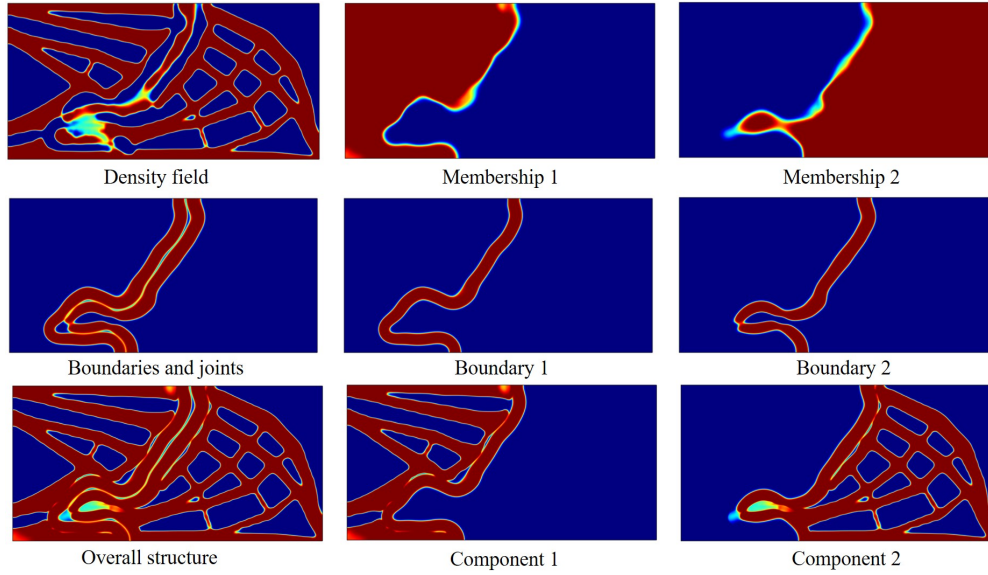


(b)





(c)



(d)

Figure 6: Iteration snapshots of cantilever beam with  $K = 2$ ,  $E_{joint} = 0.5$ ,  $r_\rho = 3r_e$ ,  $r_\mu = 3r_e$ ,  $r_m = 1.75r_e$ ,  $V_{max} = 0.5$ ,  $R_{max} = 0.55$ , and  $C_{max} = 0.12$ : (a) 1st, (b) 200th, (c) 400th, and (d) 1200th iterations. Its optimized structural compliance is 17.671.

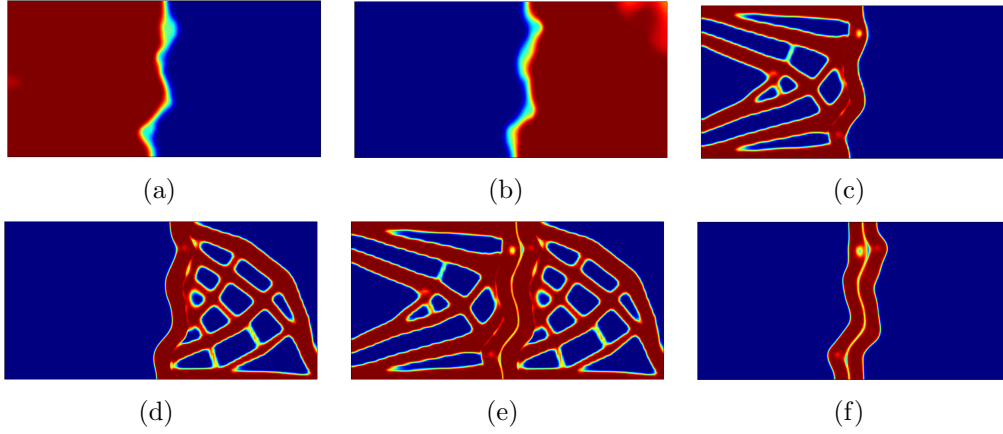


Figure 7: Cantilever beam with  $K = 2$  and  $E_{joint} = 0.25$ ,  $r_{\mu} = 3r_e$ ,  $r_m = 1.75r_e$ ,  $V_{max} = 0.5$ ,  $R_{max} = 0.55$ , and  $C_{max} = 0.1$ : (a) membership 1, (b) membership 2, (c) component 1, (d) component 2, (e) overall structure, and (f) bulk solid boundary and joint. Its optimized structural compliance is 19.450.

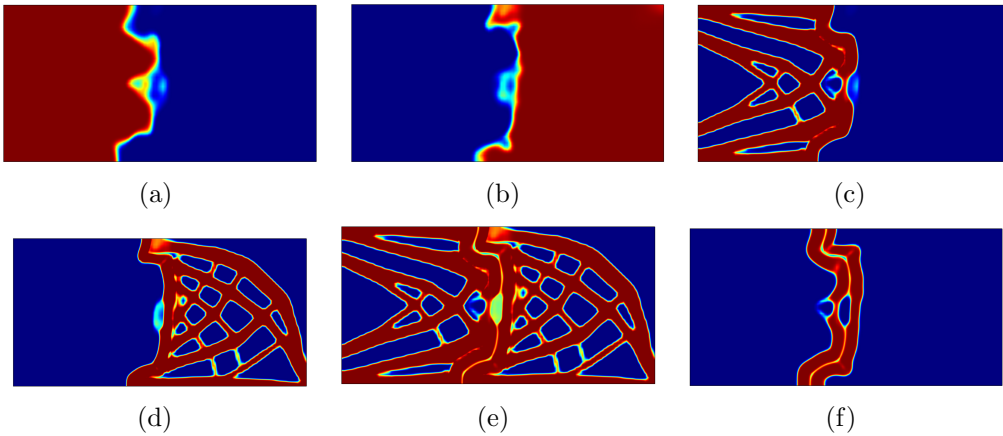


Figure 8: Cantilever beam with  $K = 2$  and  $E_{joint} = 0.5$ ,  $r_{\mu} = 3r_e$ ,  $r_m = 1.75r_e$ ,  $V_{max} = 0.5$ ,  $R_{max} = 0.55$ , and  $C_{max} = 0.1$ : (a) membership 1, (b) membership 2, (c) component 1, (d) component 2, (e) overall structure, and (f) bulk solid boundary and joint. Its optimized structural compliance is 19.228.

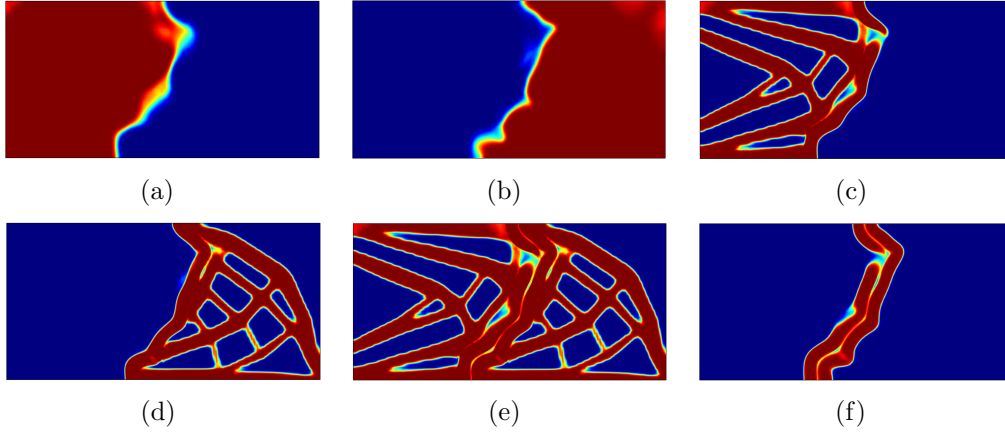


Figure 9: Cantilever beam with  $K = 2$  and  $E_{joint} = 0.75$ ,  $r_{\mu} = 3r_e$ ,  $r_m = 1.75r_e$ ,  $V_{max} = 0.5$ ,  $R_{max} = 0.55$ , and  $C_{max} = 0.1$ : (a) membership 1, (b) membership 2, (c) component 1, (d) component 2, (e) overall structure, and (f) bulk solid boundary and joint. Its optimized structural compliance is 18.489.

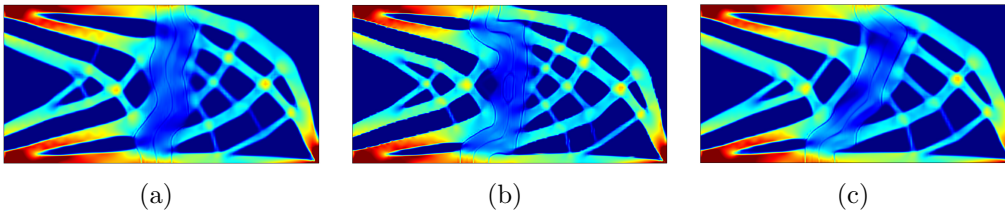


Figure 10: Stress of cantilever beam with  $K = 2$  and (a)  $E_{joint} = 0.25$ , (b)  $E_{joint} = 0.5$ , and (c)  $E_{joint} = 0.75$ .

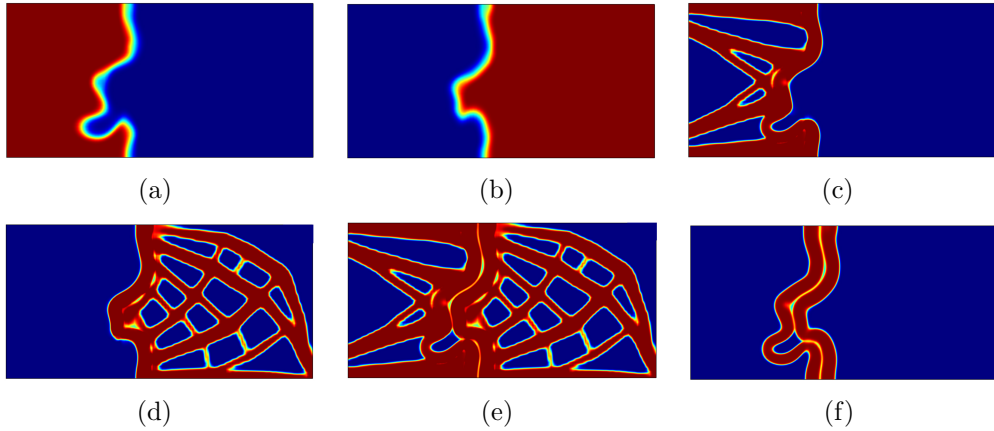


Figure 11: Cantilever beam with  $K = 2$  and  $E_{joint} = 0.5$ ,  $r_{\mu} = 4r_e$ ,  $r_m = 2.25r_e$ ,  $V_{max} = 0.5$ ,  $R_{max} = 0.55$ , and  $C_{max} = 0.14$ : (a) membership 1, (b) membership 2, (c) component 1, (d) component 2, (e) overall structure, and (f) bulk solid boundary and joint. Its optimized structural compliance is 18.671.

355 boundaries without joint material, effectively creating a “lattice” by utiliz-  
 356 ing bulk solid boundaries. This is because the large thickness of the bulk  
 357 solid boundaries makes them stiff enough to bear the load by themselves  
 358 even without forming joints. Indeed, the von Mises stress in the bulk-solid  
 359 boundaries near the inter-component gaps is much smaller (blue) than the  
 360 rest of the structure in Fig. 13. While mathematically making sense, such  
 361 inter-component gaps may not be desirable in industry applications. In that  
 362 case, the gaps can be easily eliminated by setting a lower value of  $r_m$  and/or  
 363  $C_{max}$ , as shown in Fig. 9.

364 Figures 14 and 15 show the results for different sizes of bounding spheres  
 365 of each component with  $R_{max} = 0.4$  and  $0.55$ , respectively. For these runs,  
 366  $K = 3$ ,  $E_{joint} = 0.5$ ,  $r_{\mu} = 4r_e$ , and  $r_m = 2.25r_e$ ,  $V_{max} = 0.5$ , and  $C_{max} = 0.12$   
 367 are used. For a smaller bounding sphere, the optimized structure is made of  
 368 3 components as shown in Fig. 14, whereas the optimizer decides to virtually  
 369 eliminate one component for a larger bounding sphere (Fig. 15). This appears  
 370 rational, since the joint material is less stiff than the structural material and  
 371  $K$  only specifies the maximum allowable number of components. Both results  
 372 also show the inter-component gaps formed by bulk solid boundaries without  
 373 joint material due to a relatively large value of  $r_m$  and  $C_{max}$ .

374 Figures 16 and 17 show the results for different upper limits of the vol-  
 375 ume of entire structure and the amount of bulk solid boundaries and joints,

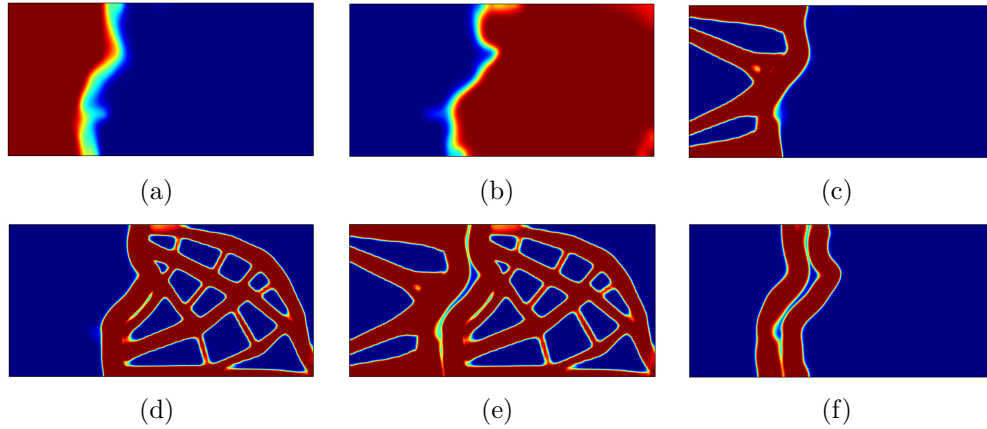


Figure 12: Cantilever beam with  $K = 2$  and  $E_{joint} = 0.5$ ,  $r_{\mu} = 5r_e$ ,  $r_m = 2.75r_e$ ,  $V_{max} = 0.5$ ,  $R_{max} = 0.55$ , and  $C_{max} = 0.16$ : (a) membership 1, (b) membership 2, (c) component 1, (d) component 2, (e) overall structure, and (f) bulk solid boundary and joint. Its optimized structural compliance is 18.317.

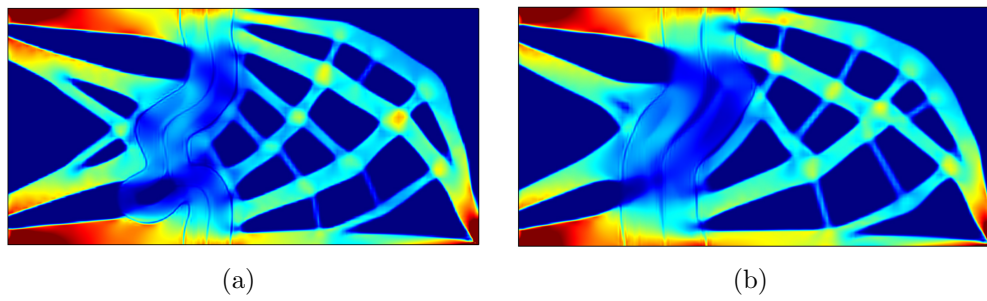


Figure 13: Stress of cantilever beam with  $K = 2$  and (a)  $r_{\mu} = 4r_e$ ,  $r_m = 2.25r_e$  and (b)  $r_{\mu} = 5r_e$ ,  $r_m = 2.75r_e$ .

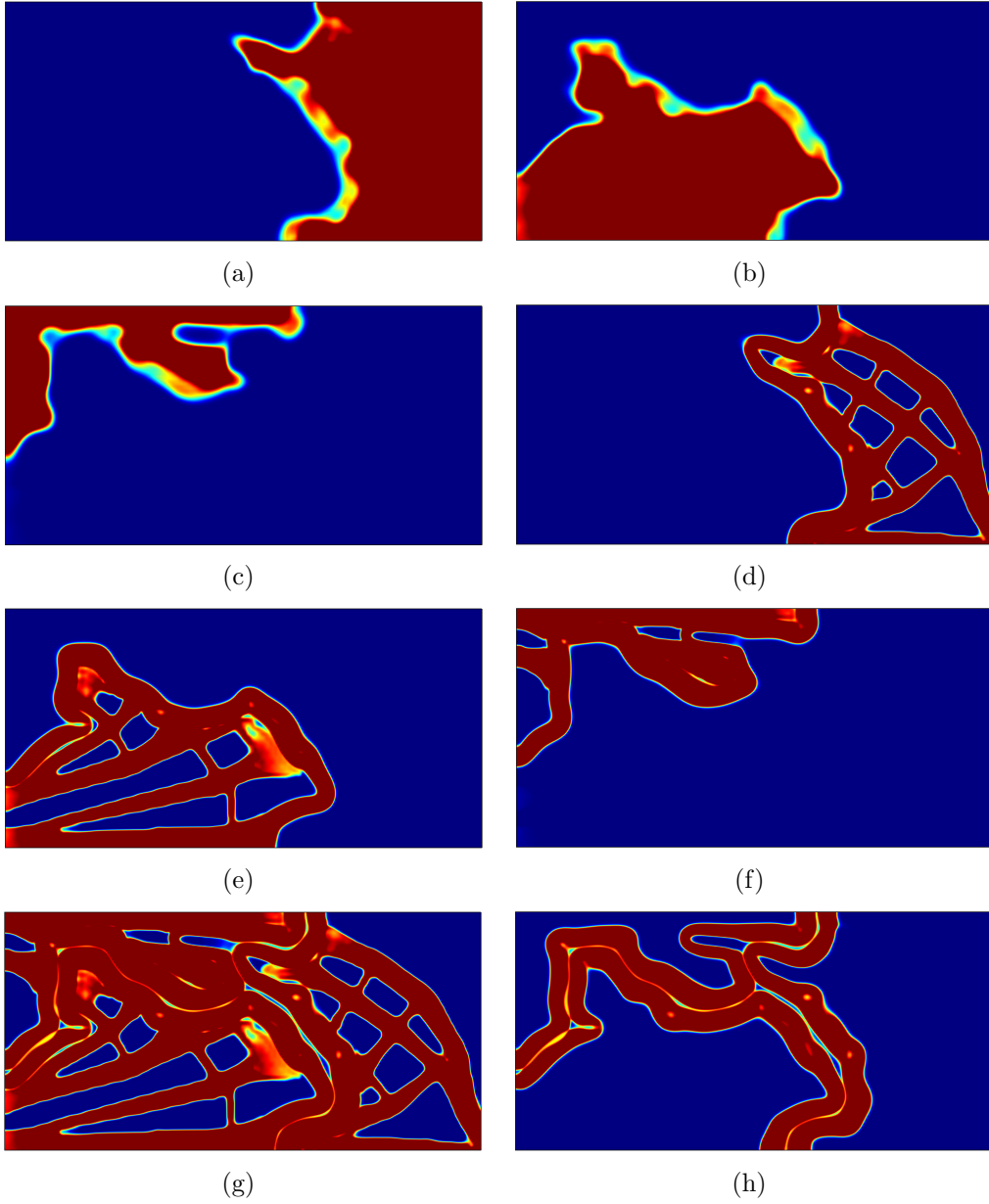


Figure 14: Cantilever beam with  $K = 3$ ,  $E_{joint} = 0.5$ ,  $r_{\mu} = 4r_e$ ,  $r_m = 2.25r_e$ ,  $V_{max} = 0.5$ ,  $R_{max} = 0.40$ , and  $C_{max} = 0.18$ : (a) membership 1, (b) membership 2, (c) membership 3, (d) component 1, (e) component 2, (f) component 3, (g) overall structure, and (h) bulk solid boundary and joint. Its optimized structural compliance is 17.949.

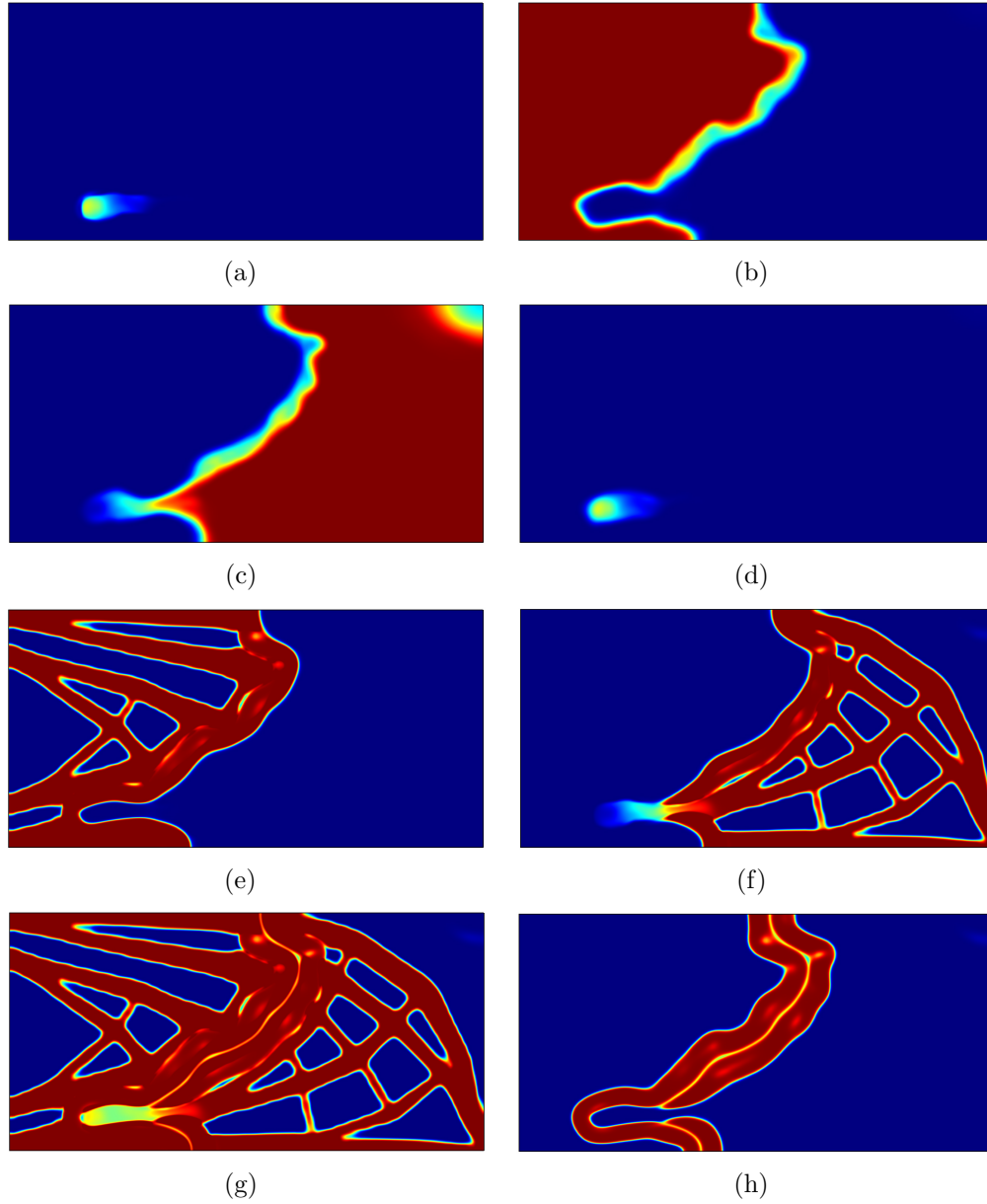


Figure 15: Cantilever beam with  $K = 3$ ,  $E_{joint} = 0.5$ ,  $r_{\mu} = 4r_e$ ,  $r_m = 2.25r_e$ ,  $V_{max} = 0.5$ ,  $R_{max} = 0.55$ , and  $C_{max} = 0.18$ : (a) membership 1, (b) membership 2, (c) membership 3, (d) component 1, (e) component 2, (f) component 3, (g) overall structure, and (h) bulk solid boundary and joint. Its optimized structural compliance is 17.542.

376 with  $(V_{max}, C_{max}) = (0.5, 0.2)$  and  $(0.45, 0.25)$ , respectively. For these runs,  
 377  $K = 3$ ,  $E_{joint} = 0.5$ ,  $r_\mu = 3r_e$ ,  $r_m = 1.75r_e$ , and  $R_{max} = 0.40$  are used.  
 378 With large structural volume and small interface amount, the optimal struc-  
 379 ture consists of more lattice infill (Fig. 16), whereas the optimizer utilizes  
 380 more bulk solid boundaries with smaller structural volume and large inter-  
 381 face amount (Fig. 17). Despite this difference in the strategy to minimize  
 382 the compliance objective, the compliance values are comparable:  $c = 18.030$   
 383 and  $c = 18.260$ , respectively. Owing to large  $C_{max}$ , both structures show  
 384 the inter-component gaps, similar to the results in Figs. 11 and 12. The  
 385 von Mises stress of these optimized structures are shown in Fig. 18. Similar  
 386 to the earlier results, the stress is much smaller in the regions of bulk-solid  
 387 boundary and joint, as well as the inter-component gaps.

#### 388 4.2. MBB

389 The design domain is a rectangle area of unit thickness with width  $w =$   
 390  $2$  and height  $h = 1$  with a symmetry constraint on the left edge, and a  
 391 concentrated load of  $f = 1$  is applied at the upper left corner of the rectangle,  
 392 as shown in Fig. 19.

393 Figures 20, 21, and 22 show the results for different joint stiffness with  
 394  $E_{joint} = 0.25, 0.5,$  and  $0.75$ , respectively. For these runs,  $K = 2$ ,  $r_\mu = 3r_e$ ,  
 395  $r_m = 1.75r_e$ ,  $V_{max} = 0.5$ ,  $R_{max} = 0.55$ , and  $C_{max} = 0.1$  are used. Similar to  
 396 the cantilever example, the bulk solid boundary (and the joint in between)  
 397 is straight and short with compliant joint and curved and long with stiff  
 398 joint, with varying lattice patterns. The von Mises stress in Fig.23 shows  
 399 small stress (shown in blue) in the interface regions, similar to the cantilever  
 400 example.

401 Figures 24 and 25 show the results for different thickness in bulk solid  
 402 boundary with  $(r_\mu, r_m) = (4r_e, 2.25r_e)$  and  $(5r_e, 2.75r_e)$ , respectively. For  
 403 these runs,  $K = 2$  and  $E_{joint} = 0.5$ ,  $V_{max} = 0.5$ ,  $R_{max} = 0.55$ , and  $C_{max} =$   
 404  $0.12$  are used. Similar to the cantilever case, the lattice patterns and the  
 405 inter-component gaps change in response to the changes in the boundary  
 406 thickness. The von Mises stress of these optimized structures in Fig.26 shows  
 407 low stress in the interface regions including the inter-component gaps.

408 Figures 27 and 28 show the results for different sizes of bounding spheres  
 409 of each component with  $R_{max} = 0.4$  and  $0.55$ , respectively. For these runs,  
 410  $K = 3$ ,  $E_{joint} = 0.5$ ,  $r_\mu = 4r_e$ , and  $r_m = 2.25r_e$ ,  $V_{max} = 0.5$ , and  $C_{max} = 0.12$   
 411 are used. Similar to the cantilever case, the resulting structure is made of 3  
 412 components for a smaller bounding sphere, and of 2 components for a larger



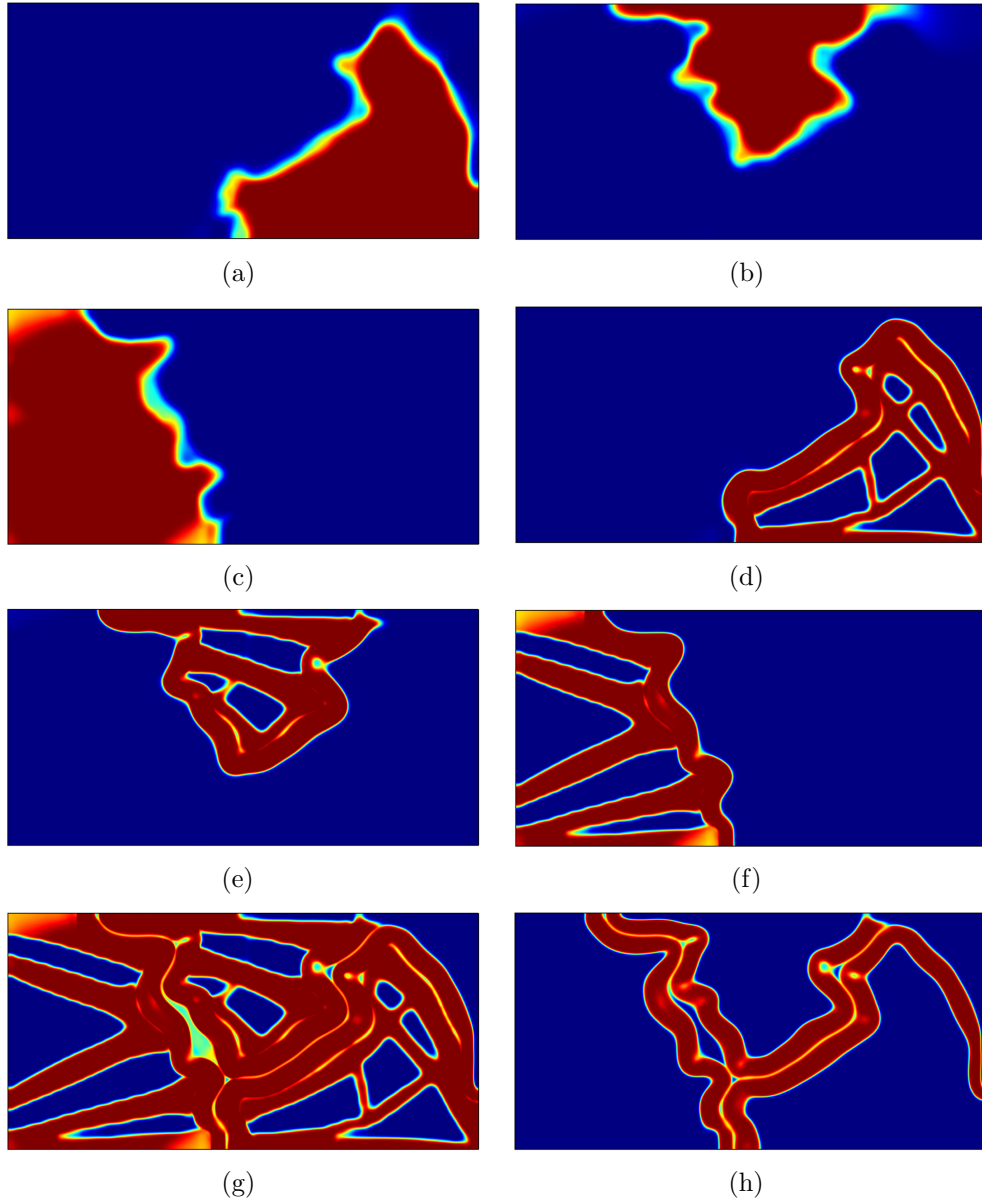


Figure 16: Cantilever beam with  $K = 3$ ,  $E_{joint} = 0.5$ ,  $r_{\mu} = 3r_e$ ,  $r_m = 1.75r_e$ ,  $V_{max} = 0.5$ ,  $R_{max} = 0.40$ , and  $C_{max} = 0.2$ : (a) membership 1, (b) membership 2, (c) membership 3, (d) component 1, (e) component 2, (f) component 3, (g) overall structure, and (h) bulk solid boundary and joint. Its optimized structural compliance is 18.260.

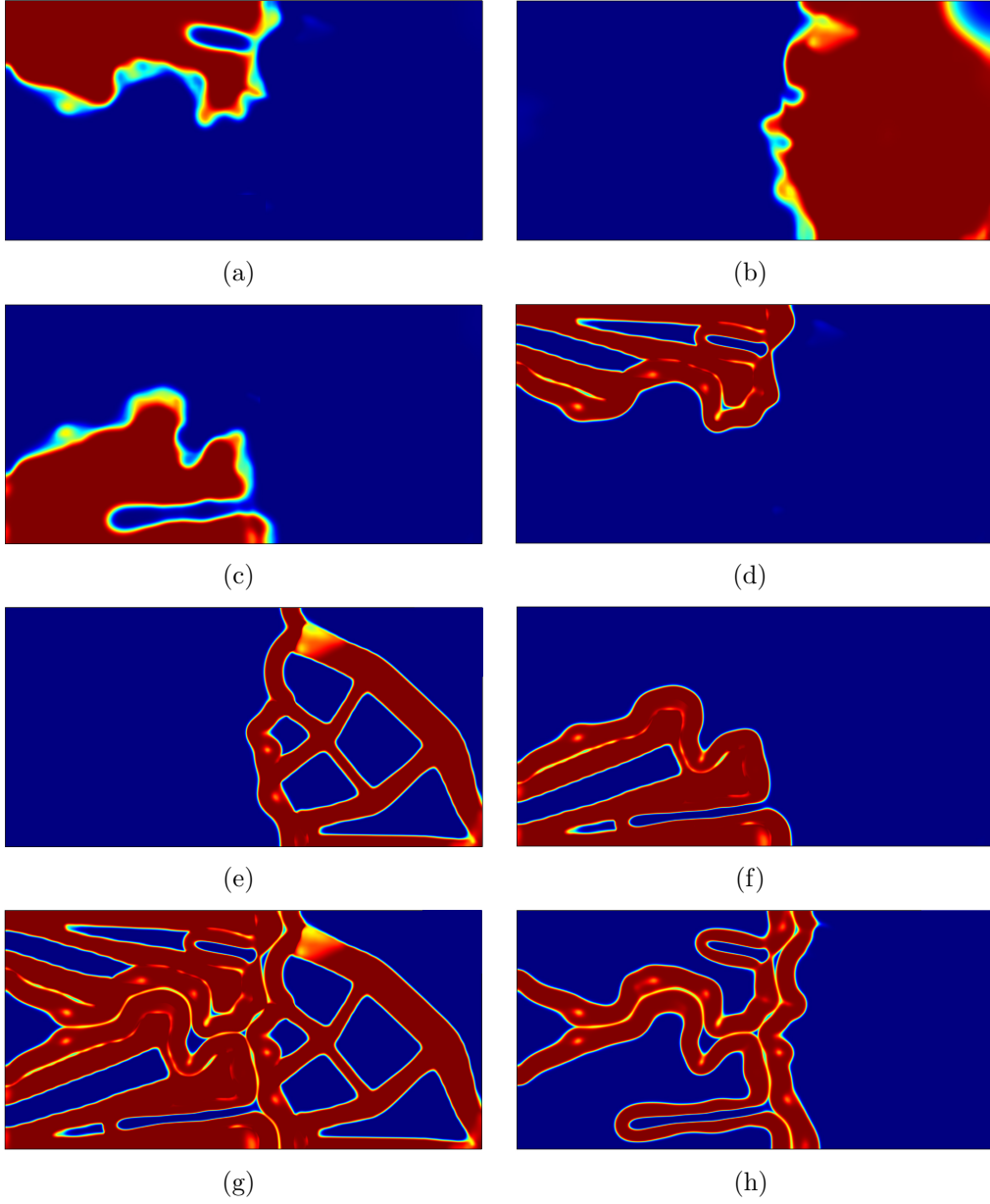


Figure 17: Cantilever beam with  $K = 3$ ,  $E_{joint} = 0.5$ ,  $r_{\mu} = 3r_e$ ,  $r_m = 1.75r_e$ ,  $V_{max} = 0.45$ ,  $R_{max} = 0.40$ , and  $C_{max} = 0.25$ : (a) membership 1, (b) membership 2, (c) membership 3, (d) component 1, (e) component 2, (f) component 3, (g) overall structure, and (h) bulk solid boundary and joint. Its optimized structural compliance is 18.030.

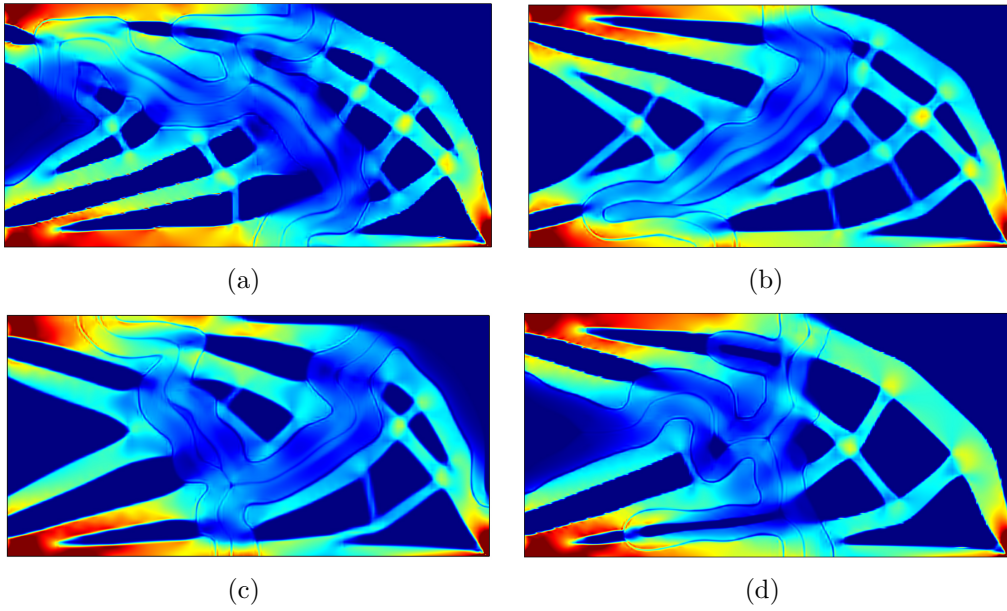


Figure 18: Stress of cantilever beam with  $K = 3$  and (a)  $R_{max} = 0.40$ , (b)  $R_{max} = 0.55$ , (c)  $(V_{max}, C_{max}) = (0.5, 0.2)$ , and (d)  $(V_{max}, C_{max}) = (0.45, 0.25)$ .

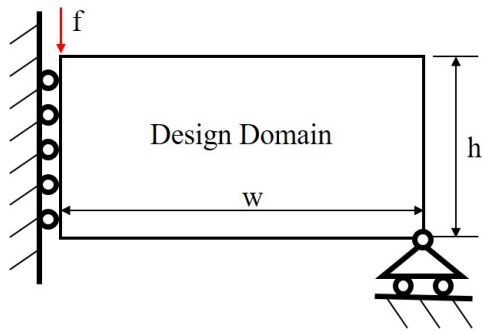


Figure 19: Design domain and boundary conditions for the MBB problem.

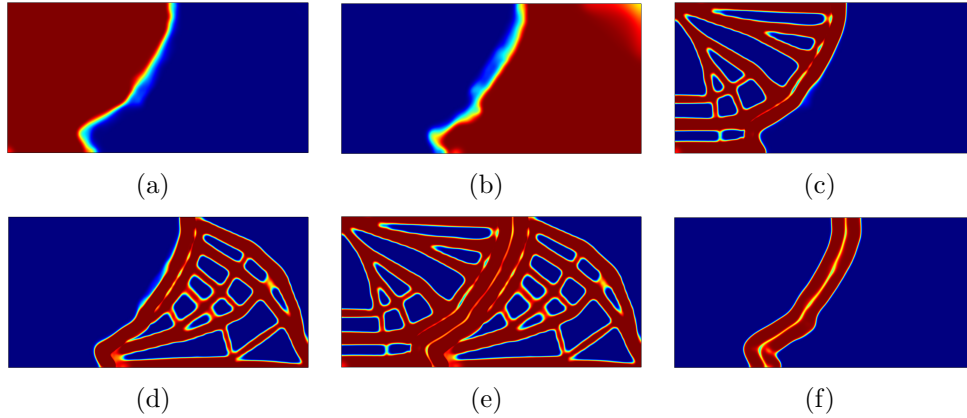


Figure 20: MBB with  $K = 2$  and  $E_{joint} = 0.25$ ,  $r_{\mu} = 3r_e$ ,  $r_m = 2r_e$ ,  $V_{max} = 0.5$ ,  $R_{max} = 0.55$ , and  $C_{max} = 0.1$ : (a) membership 1, (b) membership 2, (c) component 1, (d) component 2, (e) overall structure, and (f) bulk solid boundary and joint. Its optimized structural compliance is 20.689.

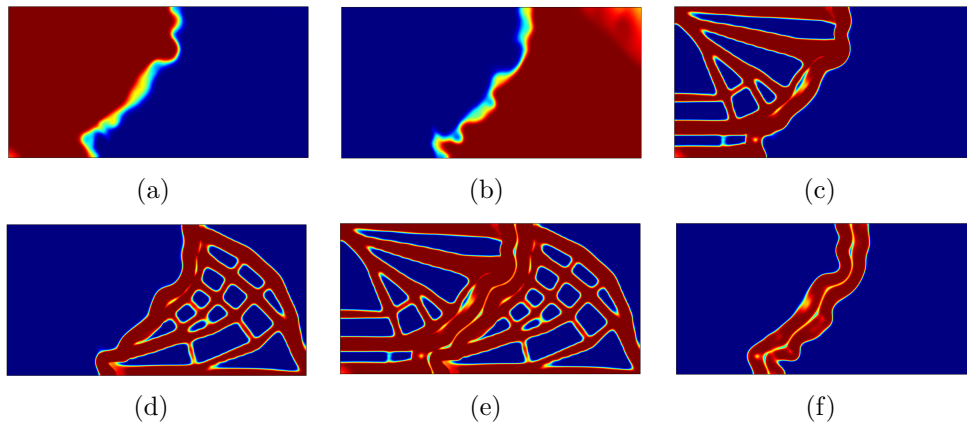


Figure 21: MBB with  $K = 2$  and  $E_{joint} = 0.5$ ,  $r_{\mu} = 3r_e$ ,  $r_m = 2r_e$ ,  $V_{max} = 0.5$ ,  $R_{max} = 0.55$ , and  $C_{max} = 0.1$ : (a) membership 1, (b) membership 2, (c) component 1, (d) component 2, (e) overall structure, and (f) bulk solid boundary and joint. Its optimized structural compliance is 20.514.

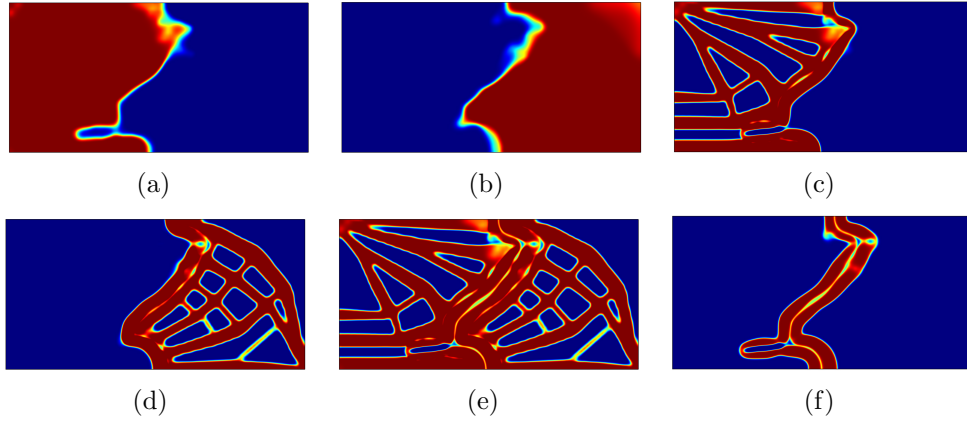


Figure 22: MBB with  $K = 2$  and  $E_{joint} = 0.75$ ,  $r_{\mu} = 3r_e$ ,  $r_m = 2r_e$ ,  $V_{max} = 0.5$ ,  $R_{max} = 0.55$ , and  $C_{max} = 0.1$ : (a) membership 1, (b) membership 2, (c) component 1, (d) component 2, (e) overall structure, and (f) bulk solid boundary and joint. Its optimized structural compliance is 20.210.

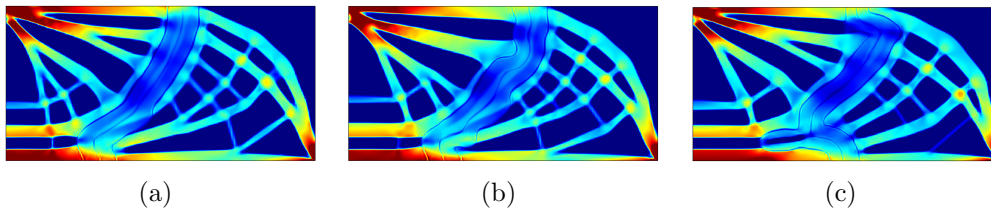


Figure 23: Stress of MBB with  $K = 2$  and (a)  $E_{joint} = 0.25$ , (b)  $E_{joint} = 0.5$ , and (c)  $E_{joint} = 0.75$ .

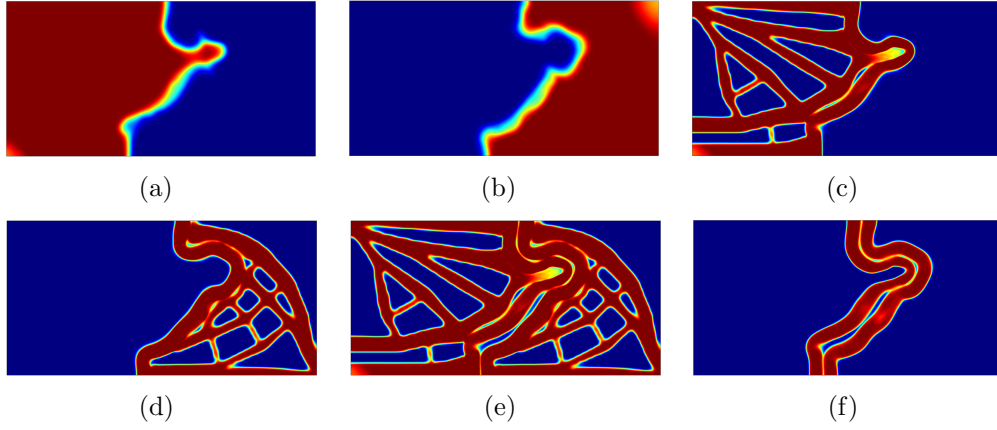


Figure 24: MBB with  $K = 2$  and  $E_{joint} = 0.5$ ,  $r_{\mu} = 4r_e$ ,  $r_m = 2.25r_e$ ,  $V_{max} = 0.5$ ,  $R_{max} = 0.55$ , and  $C_{max} = 0.14$ : (a) membership 1, (b) membership 2, (c) component 1, (d) component 2, (e) overall structure, and (f) bulk solid boundary and joint. Its optimized structural compliance is 19.703.

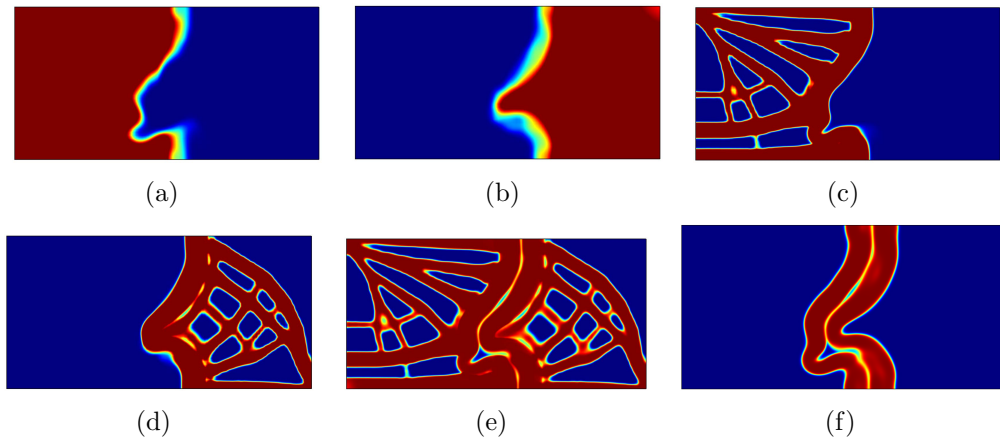


Figure 25: MBB with  $K = 2$  and  $E_{joint} = 0.5$ ,  $r_{\mu} = 5r_e$ ,  $r_m = 2.75r_e$ ,  $V_{max} = 0.5$ ,  $R_{max} = 0.55$ , and  $C_{max} = 0.16$ : (a) membership 1, (b) membership 2, (c) component 1, (d) component 2, (e) overall structure, and (f) bulk solid boundary and joint. Its optimized structural compliance is 19.108.

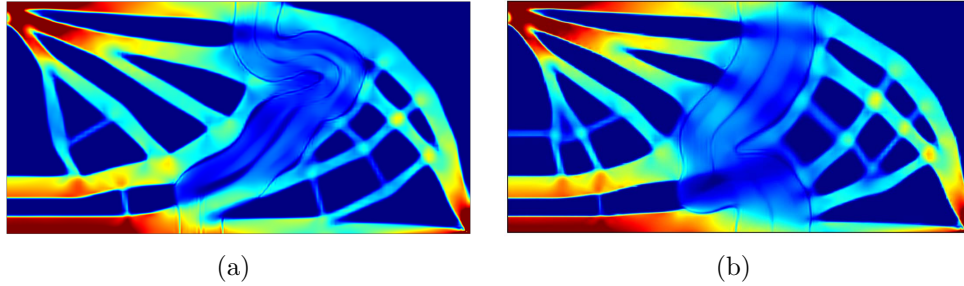


Figure 26: Stress of MBB with  $K = 2$  and (a)  $r_\mu = 4r_e, r_m = 2.25r_e$  and (b)  $r_\mu = 5r_e, r_m = 2.75r_e$ .

413 bounding sphere, and both with the inter-component gaps due to a relatively  
 414 large value of  $r_m$  and  $C_{max}$ .

415 Figures 29 and 30 show the results for different upper limits of the volume  
 416 of entire structure and the amount of bulk solid boundaries and joints, with  
 417  $(V_{max}, C_{max}) = (0.5, 0.25)$  and  $(0.45, 0.35)$ , respectively. For these runs,  $K =$   
 418  $3, E_{joint} = 0.5, r_\mu = 3r_e, r_m = 1.75r_e,$  and  $R_{max} = 0.40$  are used. Similar  
 419 to the cantilever case, the optimal structure consists of more lattice infill  
 420 With large structural volume and small interface amount, (Fig. 29), and the  
 421 optimizer utilizes more bulk solid boundaries with smaller structural volume  
 422 and large interface amount (Fig. 30). The compliance of these structures  
 423 are  $c = 19.470$  and  $c = 20.910$ . Owing to large  $C_{max}$ , both structures show  
 424 long and curved component interfaces with many inter-component gaps. The  
 425 von Mises stress of these optimized structures are shown in Fig. 31. Similar  
 426 to the earlier results, the stress is much smaller in the regions of bulk-solid  
 427 boundary and joint, as well as the inter-component gaps.

428 *4.3. Lightweighting design of a railcar body profile for high-speed trains*

429 This section presents the lightweighting design of a railcar body profile  
 430 for high-speed trains. The body is manufactured as an assembly of multi-  
 431 ple functionally-graded lattice components due to the size limitation of the  
 432 manufacturing equipment. The design domain shown in Fig. 32, where the  
 433 maximum width  $w = 1.8$  and the maximum height  $h = 2.9$  with a symmetry  
 434 constraint on the left edge, and a fixed support on the right bottom, and  
 435 a distributed load of  $p_1 = 1$  is applied in the floor and  $p_1 = 0.2$  for the  
 436 outside surface. In this example,  $r_e = 0.01,$  the joint stiffness  $E_{joint} = 0.5,$   
 437  $K = 3, r_\mu = 8r_e, r_m = 4r_e, V_{max} = 0.4, R_{max} = 0.8,$  and  $C_{max} = 0.02$  are

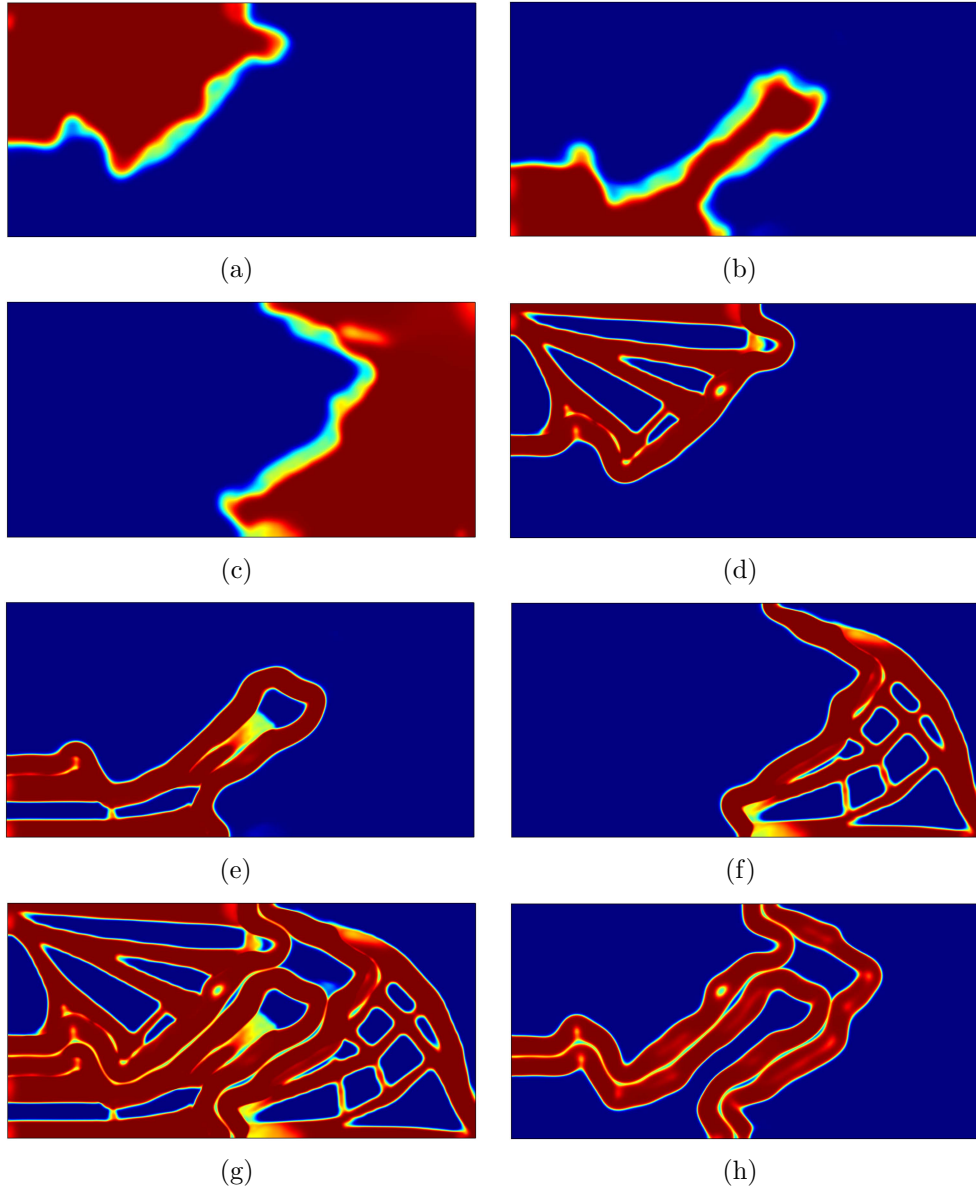


Figure 27: MBB with  $K = 3$ ,  $E_{joint} = 0.5$ ,  $r_\mu = 4r_e$ ,  $r_m = 2.25r_e$ ,  $V_{max} = 0.5$ ,  $R_{max} = 0.4$ , and  $C_{max} = 0.18$ : (a) membership 1, (b) membership 2, (c) membership 3, (d) component 1, (e) component 2, (f) component 3, (g) overall structure, and (h) bulk solid boundary and joint. Its optimized structural compliance is 20.108.



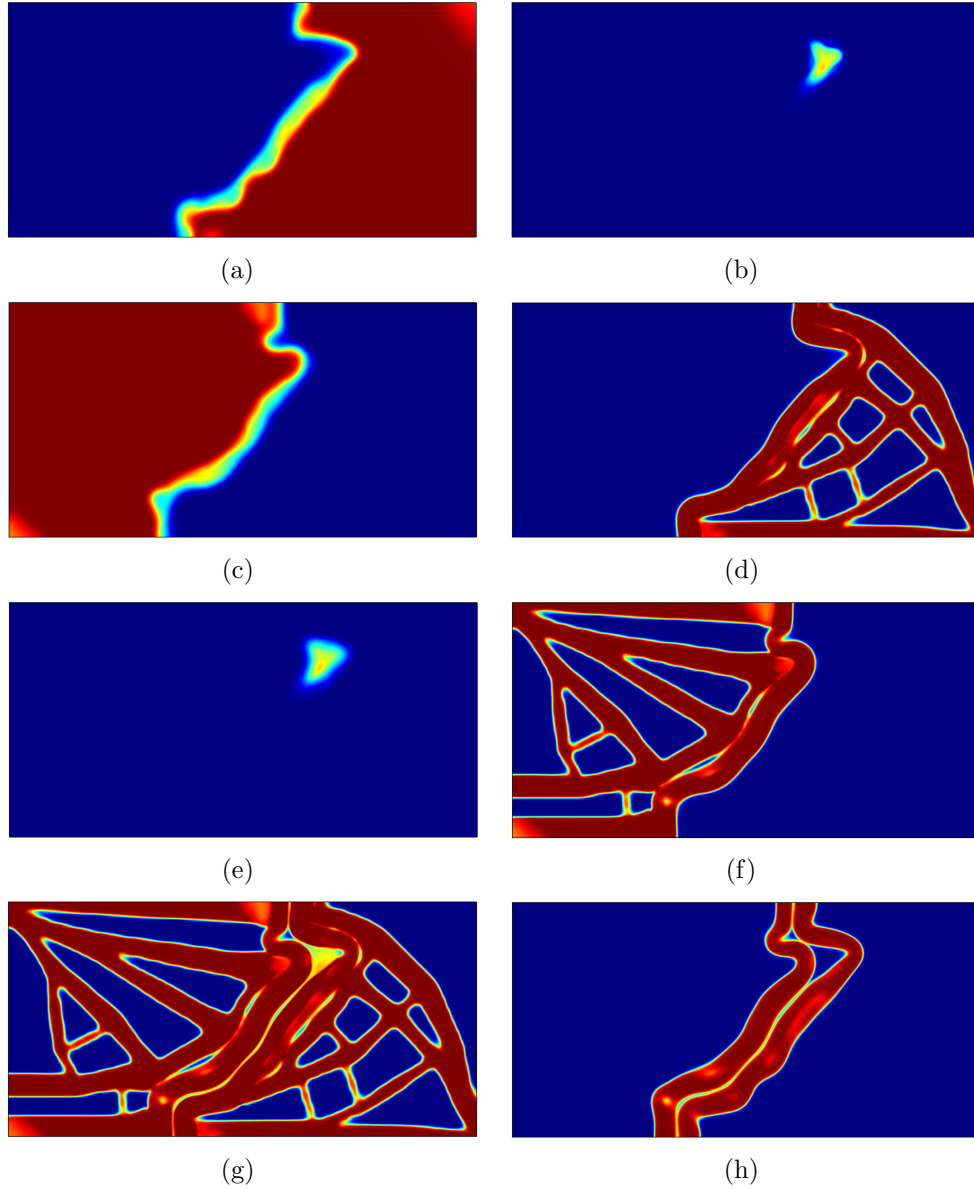


Figure 28: MBB with  $K = 3$ ,  $E_{joint} = 0.5$ ,  $r_{\mu} = 4r_e$ ,  $r_m = 2.25r_e$ ,  $V_{max} = 0.5$ ,  $R_{max} = 0.55$ , and  $C_{max} = 0.18$ : (a) membership 1, (b) membership 2, (c) membership 3, (d) component 1, (e) component 2, (f) component 3, (g) overall structure, and (h) bulk solid boundary and joint. Its optimized structural compliance is 19.031.

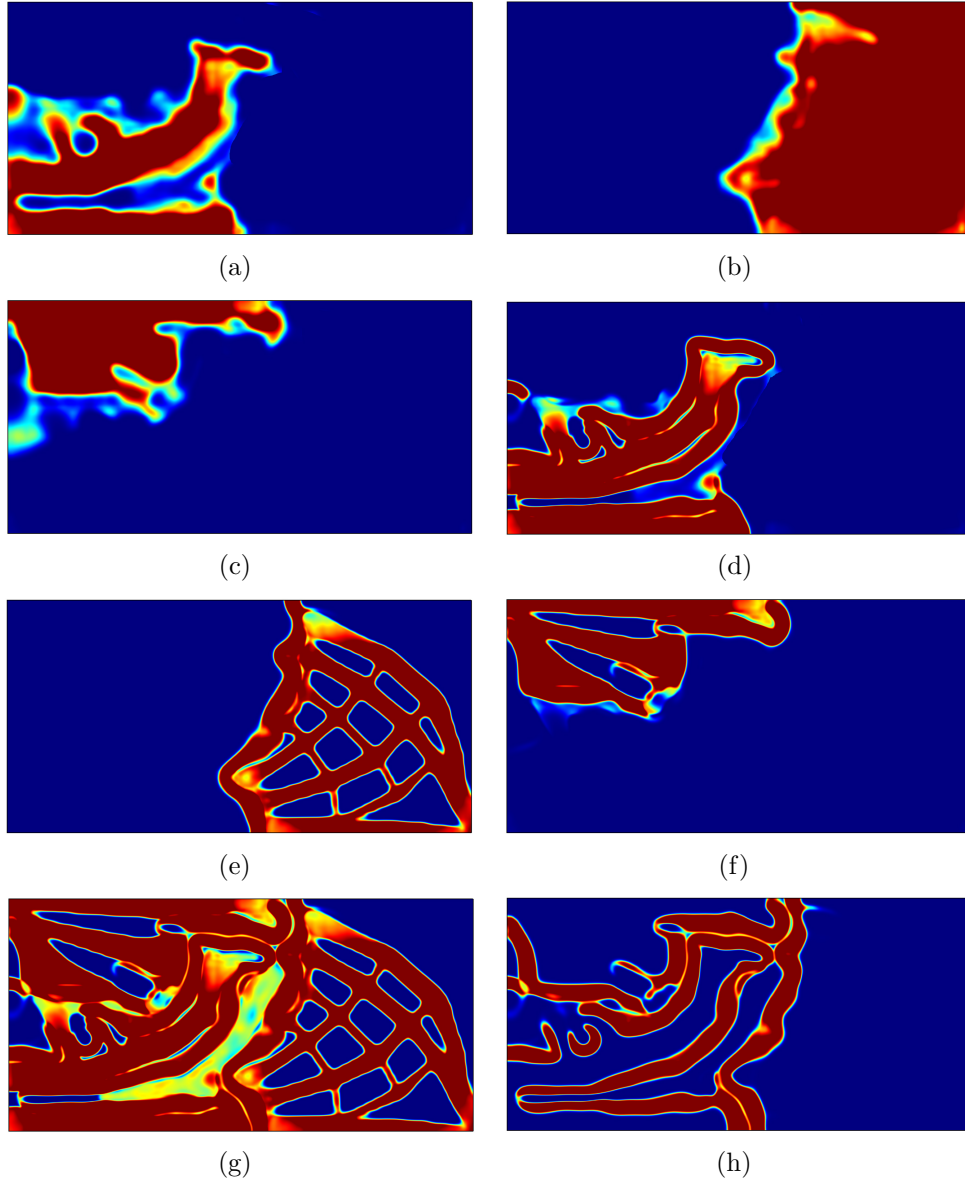


Figure 29: MBB with  $K = 3$ ,  $E_{joint} = 0.5$ ,  $r_\mu = 3r_e$ ,  $r_m = 1.75r_e$ ,  $V_{max} = 0.5$ ,  $R_{max} = 0.40$ , and  $C_{max} = 0.25$ : (a) membership 1, (b) membership 2, (c) membership 3, (d) component 1, (e) component 2, (f) component 3, (g) overall structure, and (h) bulk solid boundary and joint. Its optimized structural compliance is 19.470.

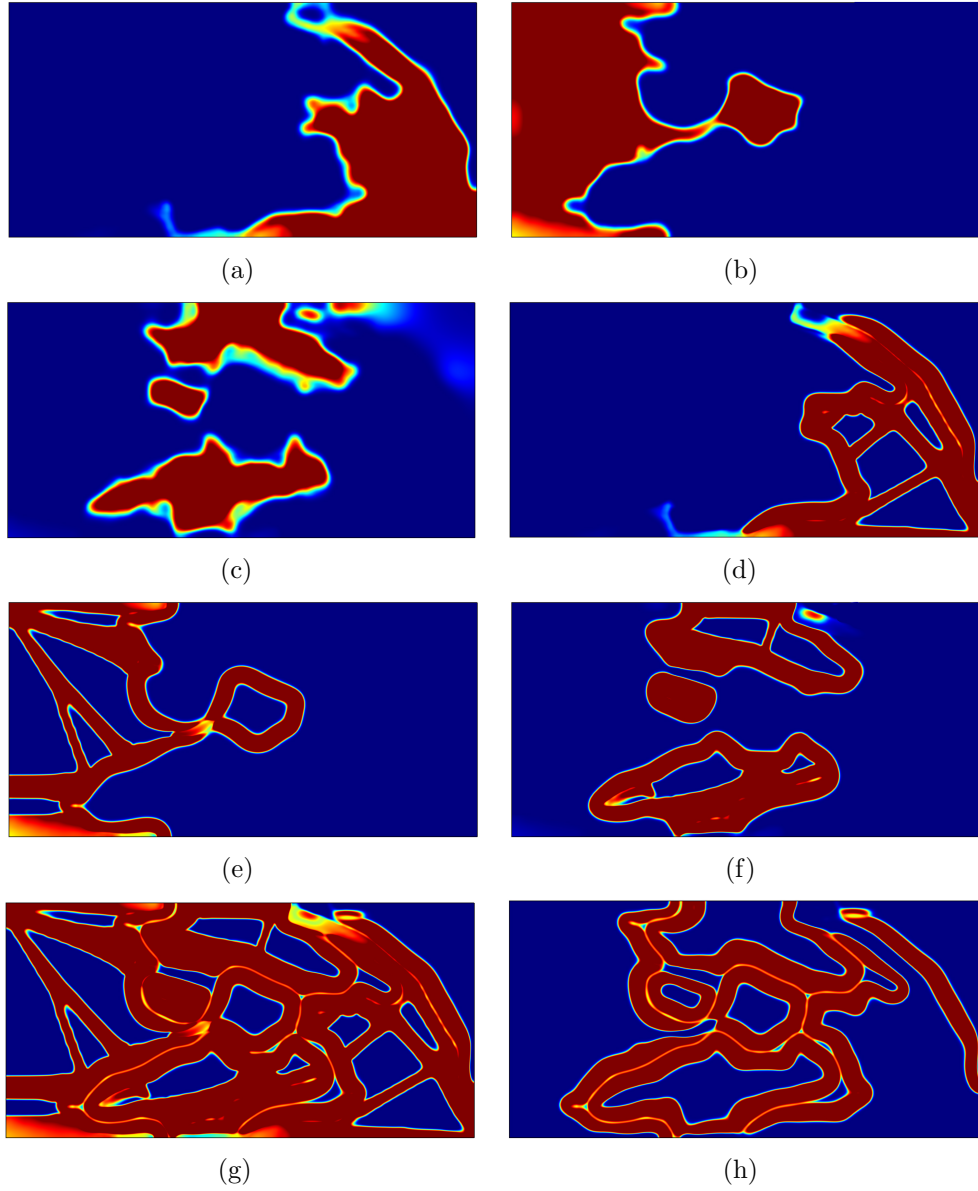


Figure 30: MBB with  $K = 3$ ,  $E_{joint} = 0.5$ ,  $r_{\mu} = 3r_e$ ,  $r_m = 1.75r_e$ ,  $V_{max} = 0.4$ ,  $R_{max} = 0.40$ , and  $C_{max} = 0.35$ : (a) membership 1, (b) membership 2, (c) membership 3, (d) component 1, (e) component 2, (f) component 3, (g) overall structure, and (h) bulk solid boundary and joint. Its optimized structural compliance is 20.910.

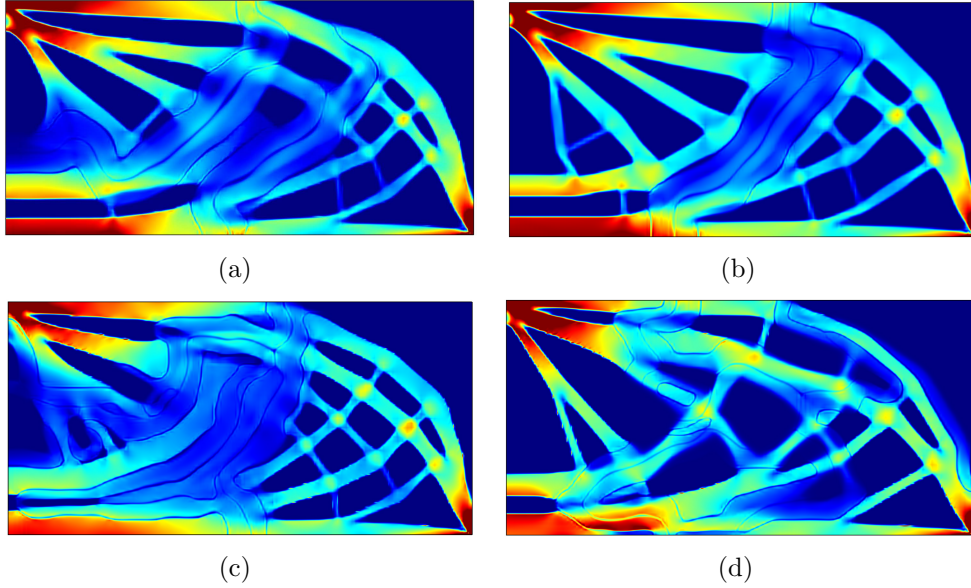


Figure 31: Stress of MBB with  $K = 3$  and (a)  $R_{max} = 0.40$ , (b)  $R_{max} = 0.55$ , (c)  $(V_{max}, C_{max}) = (0.5, 0.2)$ , and (d)  $(V_{max}, C_{max}) = (0.45, 0.25)$ .

438 used. The joint stiffness and cost set to be moderate and small, respectively,  
 439 which reflects the situation of welded train bodies. Figures 33, shows the  
 440 optimization results. Similar to the cantilever and MBB examples, the bulk  
 441 solid boundaries are straight to minimize the length of compliant joints in-  
 442 between. The von Mises stress in Fig.33 (b) shows small stress (shown in  
 443 blue) in the interface regions, which would increase the safety of the structure  
 444 to reduce the probability of fatigue failure of the joints.

## 445 5. Conclusions

446 This paper proposed a topology optimization method for structures con-  
 447 sisting of multiple lattice components under a certain size, which can be man-  
 448 ufactured with an additive manufacturing machine with a size limit. Each  
 449 component has functionally-graded lattice infill surrounded by a bulk solid  
 450 boundary, which greatly facilitates its assembly via the conventional joining  
 451 processes such as welding, gluing, riveting, and bolting. The method simul-  
 452 taneously optimizes overall structural topology, its partitioning to multiple  
 453 components, and functionally-graded lattice infill within each component.  
 454 Based on our previous work on the topology optimization of the assemblies

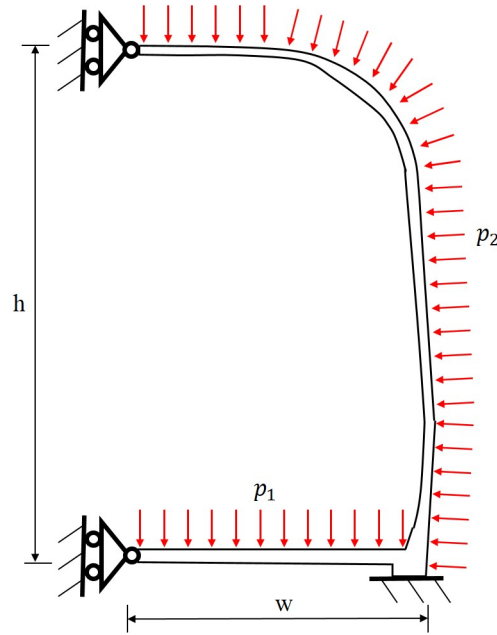


Figure 32: design domain and boundary conditions for the lightweighting design of a high-speed railcar body profile.

455 of additively-manufactured solid components [12] and functionally-graded  
456 monolithic lattice structures [13], the novelty of the proposed method be-  
457 yond these works is three-fold: it realizes 1) multiple functionally-graded lat-  
458 tice components with guaranteed connectivity of lattices therein, 2) the bulk  
459 solid boundaries for each component, which provide continuous mating sur-  
460 faces between adjacent components, and 3) the structural interfaces between  
461 the bulk solid boundaries with a distinct material property, which model the  
462 joints (*eg.*, weld, glue, rivets, and bolts) between the adjacent components.  
463 The functionally-graded lattice infill with guaranteed connectivity was real-  
464 ized by applying Helmholtz PDE-filter with a variable radius, on the density  
465 field in the Solid Isotropic Material with Penalization (SIMP) method. The  
466 partitioning of an overall structure into multiple components was realized by  
467 applying the Discrete Material Optimization (DMO) method, in which each  
468 material is interpreted as each component. A gradient-free coating filter [38]  
469 applied on the component membership field realized the bulk solid bound-  
470 aries for each component, which provide continuous mating surfaces between  
471 adjacent components to enable subsequent joining. The structural interfaces

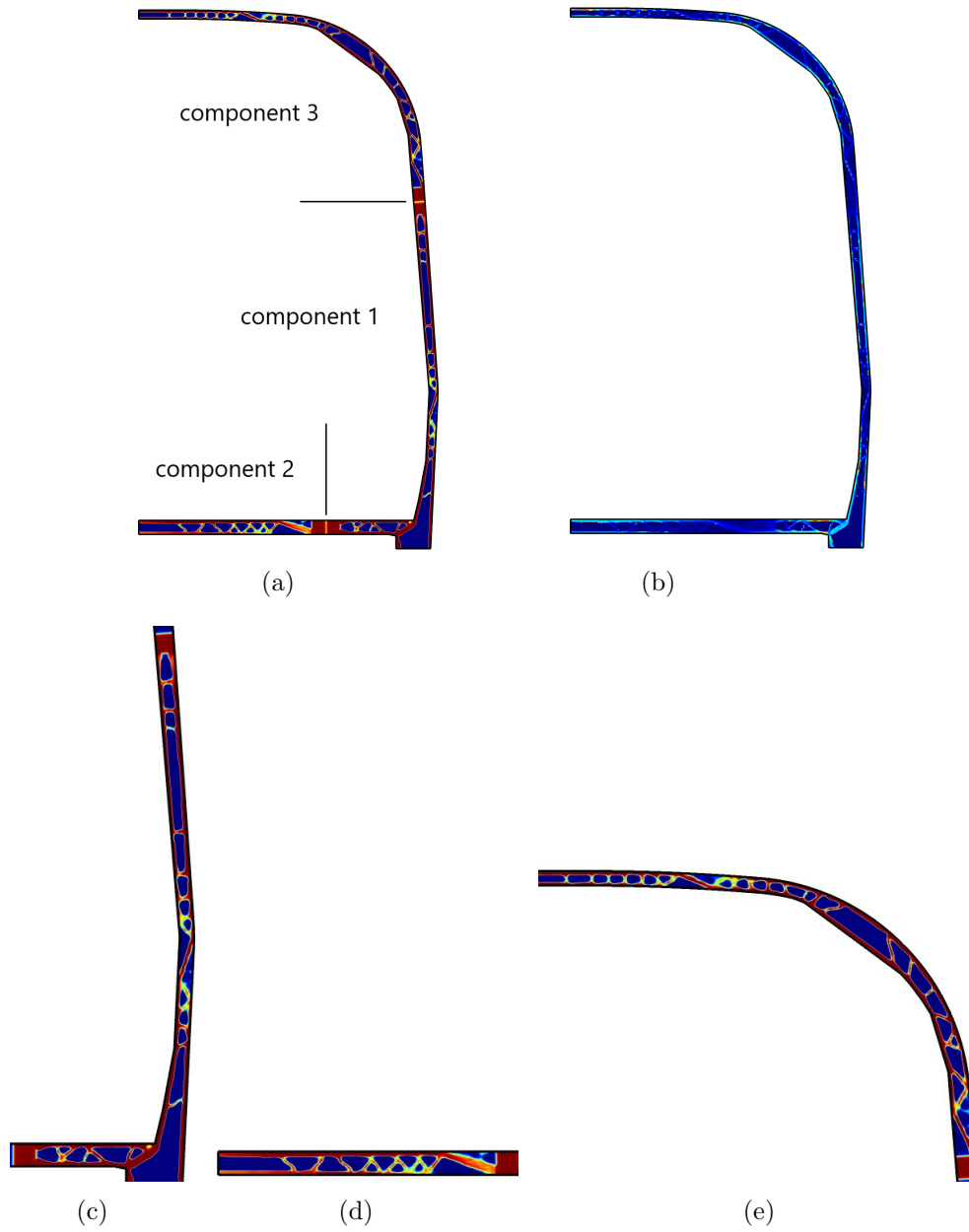


Figure 33: Optimized lightweighting design of a high-speed railcar body profile with  $K = 3$ ,  $E_{joint} = 0.5$ ,  $r_{\mu} = 8r_e$ ,  $r_m = 4r_e$ ,  $V_{max} = 0.4$ ,  $R_{max} = 0.8$ , and  $C_{max} = 0.02$ : (a) overall structure, (b) stress, (c) component 1, (d) component 2, and (e) component 3. Its optimized structural compliance is 80.205.

472 between the bulk solid boundaries were extracted and assigned a distinct  
473 material property, which model the joints between the adjacent components.  
474 Several numeral examples were solved for demonstration.

475 The paper only presented simple 2D examples, although the proposed  
476 formulation is not limited to 2D. Also, joints are idealistically modeled as  
477 isotropic, and the constraint models on component size and joint volume are  
478 admittedly simple. These simplifications are chosen since, to the best of the  
479 authors' knowledge, it is the first time that the manufacturability-driven si-  
480 multaneous partitioning and topology design for functionally-graded lattice  
481 structures is presented in the literature. We expect the simple mathematical  
482 formulations presented in this paper would inform the other researchers to  
483 implement more detailed and realistic models, including constraints on maxi-  
484 mum stress in joints, guard against buckling in lattices, and tool accessibility  
485 to component interfaces for joining.

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#### 493 **Data Availability**

494 Data available on request from the authors.

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## 628 Appendix A. Sensitivities

629 This appendix presents the sensitivities of the objective function and  
 630 constraints in Eq. 18 with respect to design variables  $\phi$ ,  $\mu$ , and  $r_l$ . The  
 631 partial derivatives of intermediate variables  $\rho$ ,  $\rho_l$ ,  $m^{(k)}$ , and  $b^{(k)}$ , which these  
 632 sensitivities depend on, are also presented in Appendix A.5.

### 633 Appendix A.1. Sensitivity of the objective

Using the adjoint method, the sensitivity of the objective function  $f := \mathbf{U}^T \mathbf{K} \mathbf{U}$  subject to the static equilibrium  $\mathbf{K} \mathbf{U} = \mathbf{F}$  is derived as:

$$\frac{df}{d\phi} = -\mathbf{U}^T \frac{\partial \mathbf{K}}{\partial \phi} \mathbf{U} = -\mathbf{U}^T \mathbf{K}^0 \mathbf{U} \frac{\partial E_t}{\partial \phi} \quad (\text{A.1})$$

$$\frac{df}{d\mu^{(k)}} = -\mathbf{U}^T \frac{\partial \mathbf{K}}{\partial \mu^{(k)}} \mathbf{U} = -\mathbf{U}^T \mathbf{K}^0 \mathbf{U} \frac{\partial E_t}{\partial \mu^{(k)}} \quad (\text{A.2})$$

$$\frac{df}{dr_l} = -\mathbf{U}^T \frac{\partial \mathbf{K}}{\partial r_l} \mathbf{U} = -\mathbf{U}^T \mathbf{K}^0 \mathbf{U} \frac{\partial E_t}{\partial r_l} \quad (\text{A.3})$$

634 where  $\mathbf{K} = E_t \mathbf{K}^0$ . Using Equation 17, the partial derivatives of  $E_t$  can be  
 635 given as:

$$\frac{\partial E_t}{\partial \phi} = E \sum_{k=1}^K \left\{ p_{\rho} \rho^{p_{\rho}-1} \frac{\partial \rho}{\partial \phi} m^{(k)} + g'(\rho_l) \frac{\partial \rho_l}{\partial \phi} b^{(k)} - 2g'(\rho_l) \frac{\partial \rho_l}{\partial \phi} \sum_{l=1}^k J^{(kl)} \right\} \quad (\text{A.4})$$

$$\begin{aligned} \frac{\partial E_t}{\partial \mu^{(k)}} = & E \left[ \rho^{p_{\rho}} \frac{\partial m^{(k)}}{\partial \mu^{(k)}} - \{1 - g(\rho_l)\} \frac{\partial b^{(k)}}{\partial \mu^{(k)}} \right. \\ & \left. - \{1 + 2g(\rho_l) - \eta\} (1 - m_0) \frac{\partial b^{(k)}}{\partial \mu^{(k)}} \{m_0 + b^{(l)}(1 - m_0)\} \right] \end{aligned} \quad (\text{A.5})$$

$$\frac{\partial E_t}{\partial r_l} = E \sum_{k=1}^K \left\{ g'(\rho_l) \frac{\partial \rho_l}{\partial r_l} b^{(k)} - 2g'(\rho_l) \frac{\partial \rho_l}{\partial r_l} \sum_{l=1}^k J^{(kl)} \right\} \quad (\text{A.6})$$

636 *Appendix A.2. Sensitivity of global and local volume constraint*

637 The sensitivity of the constraint function on the entire structural volume  
 638  $g_1 := \int_D \sum_{k=1}^K \rho m^{(k)} dx - V_{max}$  is given:

$$\frac{\partial g_1}{\partial \phi} = \int_D \sum_{k=1}^K \frac{\partial \rho}{\partial \phi} m^{(k)} dx \quad (\text{A.7})$$

$$\frac{\partial g_1}{\partial \mu^{(k)}} = \int_D \sum_{k=1}^K \rho \frac{\partial m^{(k)}}{\partial \mu^{(k)}} dx \quad (\text{A.8})$$

$$\frac{\partial g_1}{\partial r_l} = 0 \quad (\text{A.9})$$

641 Similarly, the sensitivity of the constraint function on the locally averaged  
 642 density  $g_2 := \left( \int_D \rho_l^p dx \right)^{\frac{1}{p}} - P_{max}$  is given as:

$$\frac{\partial g_2}{\partial \phi} = \left( \int_D \rho_l^p dx \right)^{\frac{1}{p}-1} \int_D \rho_l^{p-1} \frac{\partial \rho_l}{\partial \phi} dx \quad (\text{A.10})$$

$$\frac{\partial g_2}{\partial \mu^{(k)}} = 0 \quad (\text{A.11})$$

$$\frac{\partial g_2}{\partial r_l} = \left( \int_D \rho_l^p dx \right)^{\frac{1}{p}-1} \int_D \rho_l^{p-1} \frac{\partial \rho_l}{\partial r_l} dx \quad (\text{A.12})$$

645 *Appendix A.3. Sensitivity of bounding sphere*

646 The sensitivity of the constraint function on the bounding sphere  $g_3 :=$   
 647  $R^{(k)} - R_{max}$  is given as:

$$\begin{aligned} \frac{\partial g_3}{\partial \phi} &= \frac{1}{p} \left[ \int_D \rho m^{(k)} \{x - x_c^{(k)}\}^p dx \right]^{\frac{1}{p}-1} \\ &\quad \times \int_D \left[ \frac{\partial \rho}{\partial \phi} m^{(k)} \{x - x_c^{(k)}\}^p - p \rho m^{(k)} \{x - x_c^{(k)}\}^{p-1} \frac{\partial x_c^{(k)}}{\partial \phi} \right] dx \end{aligned} \quad (\text{A.13})$$

$$\begin{aligned} \frac{\partial g_3}{\partial \mu^{(k)}} &= \frac{1}{p} \left[ \int_D \rho m^{(k)} \{x - x_c^{(k)}\}^p dx \right]^{\frac{1}{p}-1} \\ &\quad \times \int_D \left[ \rho \frac{\partial m^{(k)}}{\partial \mu^{(k)}} \{x - x_c^{(k)}\}^p - p \rho m^{(k)} \{x - x_c^{(k)}\}^{p-1} \frac{\partial x_c^{(k)}}{\partial \mu^{(k)}} \right] dx \end{aligned} \quad (\text{A.14})$$

649

$$\frac{\partial g_3}{\partial r_l} = 0 \quad (\text{A.15})$$

650 where

$$\frac{\partial x_c^{(k)}}{\partial \phi} = \frac{\int_D \frac{\partial \rho}{\partial \phi} m^{(k)} dx \times \int_D \rho m^{(k)} dx - \int_D \rho m^{(k)} dx \times \int_D \frac{\partial \rho}{\partial \phi} m^{(k)} dx}{\left\{ \int_D \rho m^{(k)} dx \right\}^2} \quad (\text{A.16})$$

651

$$\frac{\partial x_c^{(k)}}{\partial \mu^{(k)}} = \frac{\int_D \rho \frac{\partial m^{(k)}}{\partial \mu^{(k)}} dx \times \int_D \rho m^{(k)} dx - \int_D \rho m^{(k)} dx \times \int_D \rho \frac{\partial m^{(k)}}{\partial \mu^{(k)}} dx}{\left\{ \int_D \rho m^{(k)} dx \right\}^2} \quad (\text{A.17})$$

652 *Appendix A.4. Sensitivity of joint cost constraint*

653 The sensitivity of the constraint function on the bulk solid boundaries  
654 and joints  $g_4 := C - C_{max}$  is given as:

$$\frac{\partial g_4}{\partial \phi} = \sum_{k=1}^K \left[ \int_D g'(\rho_l) \frac{\partial \rho_l}{\partial \phi} \left\{ m^{(k)} - \sum_{l=1}^k J^{(kl)} \right\} dx \right] \quad (\text{A.18})$$

655

$$\frac{\partial g_4}{\partial \mu^{(k)}} = \sum_{k=1}^K \left[ \int_D g(\rho_l) \left[ \frac{\partial m^{(k)}}{\partial \mu^{(k)}} - \frac{\partial b^{(k)}}{\partial \mu^{(k)}} (1 - m_0) \{ m_0 - b^{(l)} (1 - m_0) \} \right] dx \right] \quad (\text{A.19})$$

656

$$\frac{\partial g_4}{\partial r_l} = \sum_{k=1}^K \left[ \int_D g'(\rho_l) \frac{\partial \rho_l}{\partial r_l} \left\{ m^{(k)} - \sum_{l=1}^k J^{(kl)} \right\} dx \right] \quad (\text{A.20})$$

657 *Appendix A.5. Sensitivity of intermediate variables*

658 The partial derivatives of  $\rho$  and  $\rho_l$  with respect to  $\phi$  are given by Eqs 1,  
659 2, and 3 as:

$$\frac{\partial \rho_l}{\partial \phi} = \frac{\partial \rho_l}{\partial \rho} \frac{\partial \rho}{\partial \phi} \quad (\text{A.21})$$

660

$$\frac{\partial \rho_l}{\partial \rho} = \left( \mathbf{A}_{e=1}^{n_e} \mathbf{N}_e^T \right)^T \left[ \mathbf{K}_f^{-1}(r_l) \mathbf{A}_{e=1}^{n_e} \left( \int_{D_e} \mathbf{N}_e^T dx \right) \right] \quad (\text{A.22})$$

661

$$\frac{\partial \rho}{\partial \phi} = \frac{\partial \rho}{\partial \bar{\phi}} \frac{\partial \bar{\phi}}{\partial \phi} \quad (\text{A.23})$$

662

$$\frac{\partial \rho}{\partial \bar{\phi}} = \frac{\partial H_s(\bar{\phi})}{\partial \bar{\phi}} = \delta(\bar{\phi}) \quad (\text{A.24})$$

663

$$\frac{\partial \bar{\phi}}{\partial \phi} = \left( \mathbf{A}_{e=1}^{n_e} \mathbf{N}_e^T \right)^T \left[ \mathbf{K}_f^{-1}(r_\rho) \mathbf{A}_{e=1}^{n_e} \left( \int_{D_e} \mathbf{N}_e^T dx \right) \right] \quad (\text{A.25})$$

664 where  $\mathbf{A}$  is the standard finite element assembly operator,  $n_e$  is the number  
 665 of elements,  $\mathbf{N}_e$  and  $D_e$  are the vector of the element shape functions and  
 666 the domain of finite element  $e$ , respectively, for solving the Helmholtz filter  
 667 functions, and

$$\mathbf{K}_f(r) = \mathbf{A}_{e=1}^{n_e} \left[ \int_{D_e} \{ -(\nabla \mathbf{N}_e)^T r^2 \nabla \mathbf{N}_e + \mathbf{N}_e^T \mathbf{N}_e \} dx \right] \quad (\text{A.26})$$

668 The details of the derivation is described in [39]. Since both  $\rho$  and  $\rho_l$  do not  
 669 depends on  $\mu^{(k)}$ :

$$\frac{\partial \rho_l}{\partial \mu^{(k)}} = \frac{\partial \rho}{\partial \mu^{(k)}} = 0 \quad (\text{A.27})$$

670 Similarly,  $\rho$  does not depend on  $r_l$ , hence:

$$\frac{\partial \rho}{\partial r_l} = 0 \quad (\text{A.28})$$

671 From Eq. 3,  $\partial \rho_l / \partial r_l$  is given as:

$$\frac{\partial \rho_l}{\partial r_l} = \left( \mathbf{A}_{e=1}^{n_e} \mathbf{N}_e^T \right)^T \left[ \mathbf{K}_f^{-1}(r_l) \mathbf{A}_{e=1}^{n_e} \left( \int_{D_e} (\nabla \mathbf{N}_e)^T 2r_l \nabla \mathbf{N}_e dx \right) \right] \quad (\text{A.29})$$

672 Since  $m^{(k)}$  and  $b^{(k)}$  do not depend on either  $\phi$  or  $r_l$ :

$$\frac{\partial m^{(k)}}{\partial \phi} = \frac{\partial m^{(k)}}{\partial r_l} = \frac{\partial b^{(k)}}{\partial \phi} = \frac{\partial b^{(k)}}{\partial r_l} = 0 \quad (\text{A.30})$$

673 The partial derivatives of  $m^{(k)}$  and  $b^{(k)}$  with respect to  $\mu^{(k)}$  are given by Eqs.  
 674 9, 10, 11, 7, and 8 as:

$$\frac{\partial b^{(k)}}{\partial \mu^{(k)}} = -\omega^{(k)} \frac{\partial m^{(k)}}{\partial \mu^{(k)}} + (1 - m^{(k)}) \frac{\partial \omega^{(k)}}{\partial \mu^{(k)}} \quad (\text{A.31})$$

675 where

$$\frac{\partial \omega^{(k)}}{\partial \mu^{(k)}} = \frac{\partial \omega^{(k)}}{\partial \bar{m}^{(k)}} \frac{\partial \bar{m}^{(k)}}{\partial m^{(k)}} \frac{\partial m^{(k)}}{\partial \mu^{(k)}} \quad (\text{A.32})$$

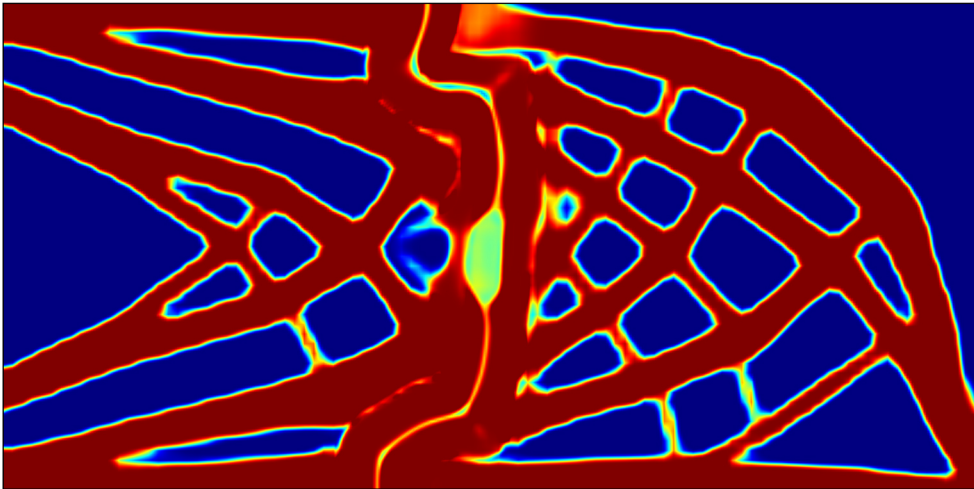
$$\frac{\partial \omega^{(k)}}{\partial \bar{m}^{(k)}} = \frac{\partial H_r(\bar{m}^{(k)})}{\partial \bar{m}^{(k)}} = \delta(\bar{m}^{(k)}) \quad (\text{A.33})$$

$$\frac{\partial \bar{m}^{(k)}}{\partial m^{(k)}} = \left( \mathbf{A}_{e=1}^{n_e} \mathbf{N}_e^T \right)^T \left[ \mathbf{K}_f^{-1}(r_m) \mathbf{A}_{e=1}^{n_e} \left( \int_{D_e} \mathbf{N}_e^T dx \right) \right] \quad (\text{A.34})$$

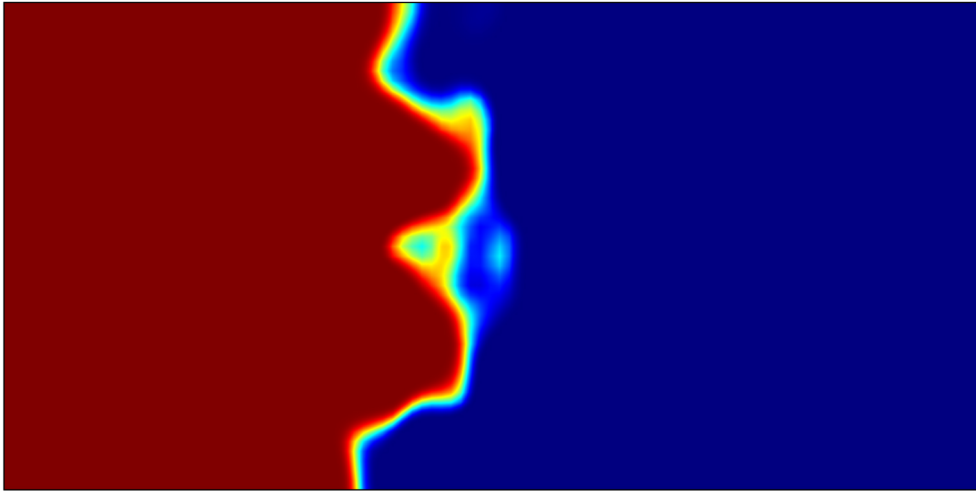
$$\frac{\partial m^{(k)}}{\partial \mu^{(k)}} = P_m \{ \bar{\mu}^{(k)} \}^{P_m-1} \frac{\partial \bar{\mu}^{(k)}}{\partial \mu^{(k)}} \prod_{i=1, i \neq k}^K \left[ 1 - \{ \bar{\mu}^{(i)} \}^{P_m} \right] \quad (\text{A.35})$$

$$\frac{\partial \bar{\mu}^{(k)}}{\partial \mu^{(k)}} = \left( \mathbf{A}_{e=1}^{n_e} \mathbf{N}_e^T \right)^T \left[ \mathbf{K}_f^{-1}(r_\mu) \mathbf{A}_{e=1}^{n_e} \left( \int_{D_e} \mathbf{N}_e^T dx \right) \right] \quad (\text{A.36})$$

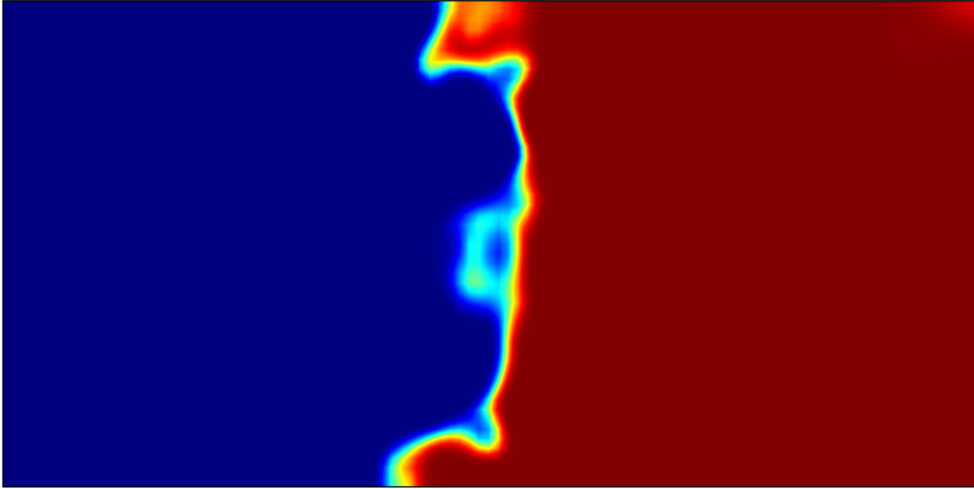




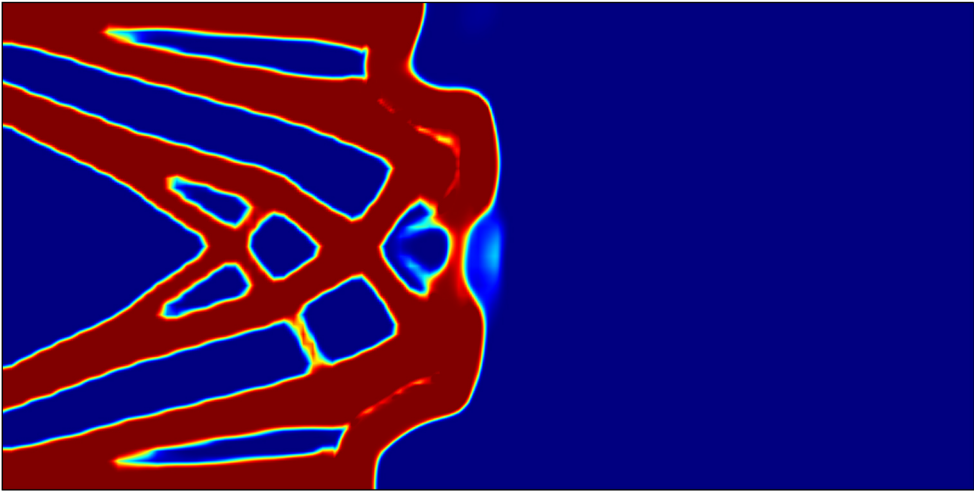
NME\_6700\_caltlever\_3r\_all.jpg



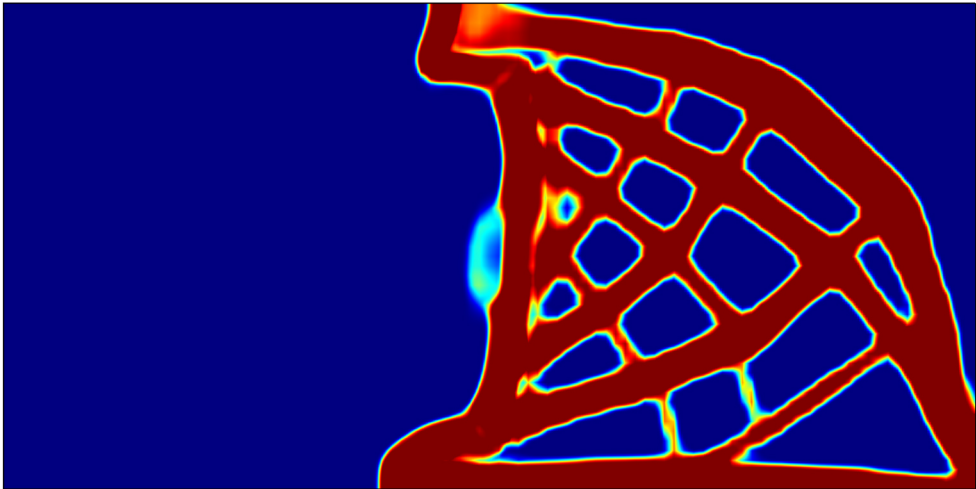
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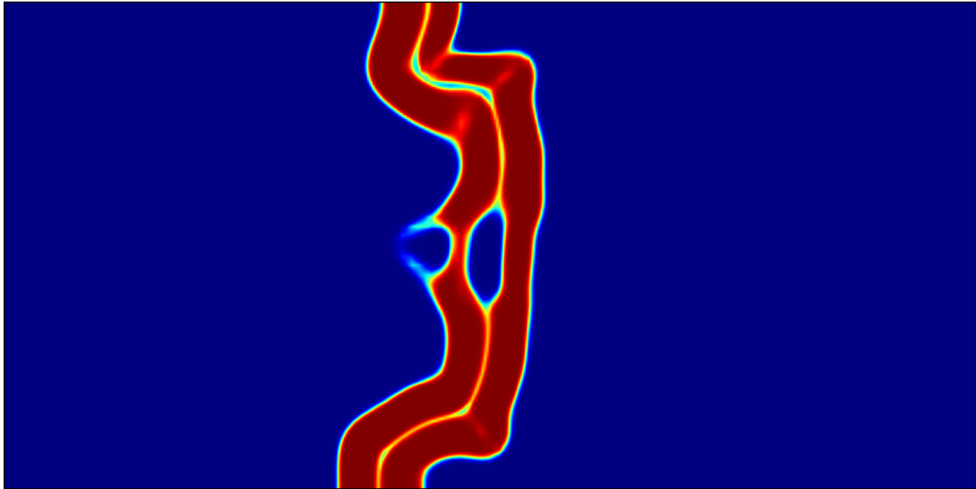
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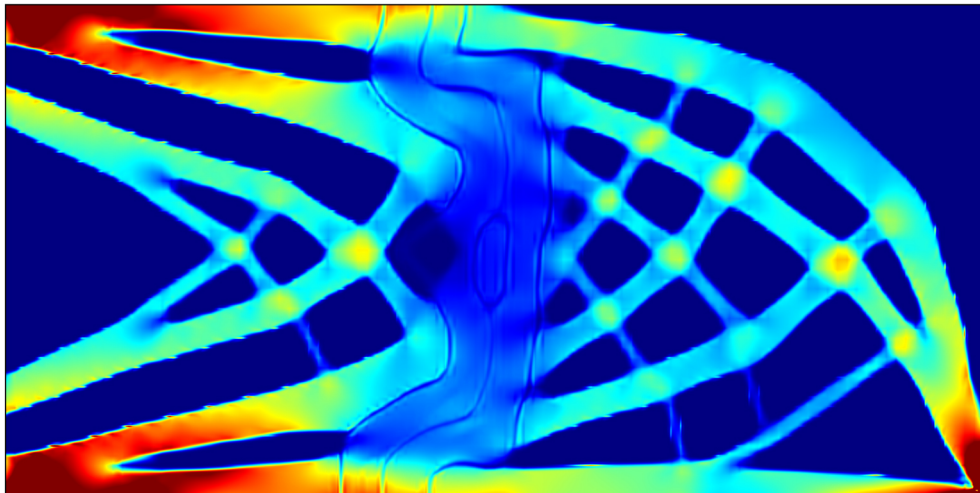
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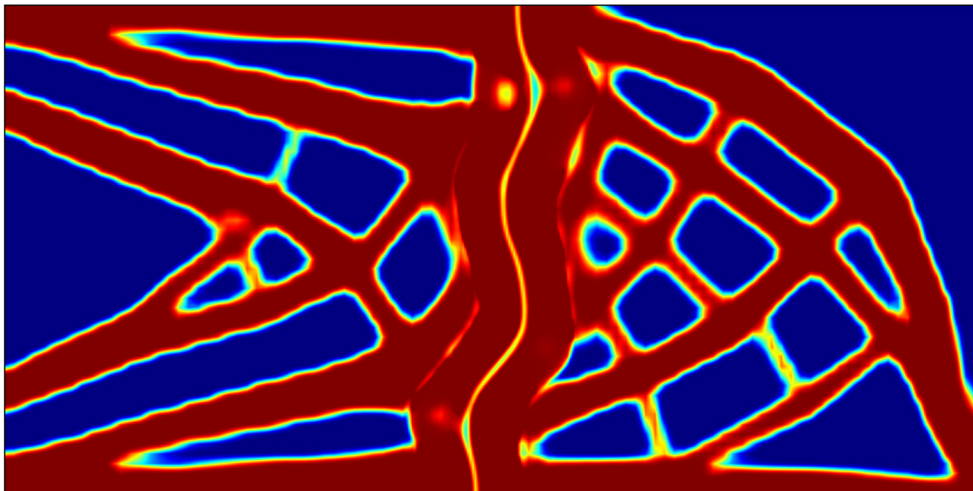
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NME\_6700\_cantilever\_3r\_solid\_joint.jpg

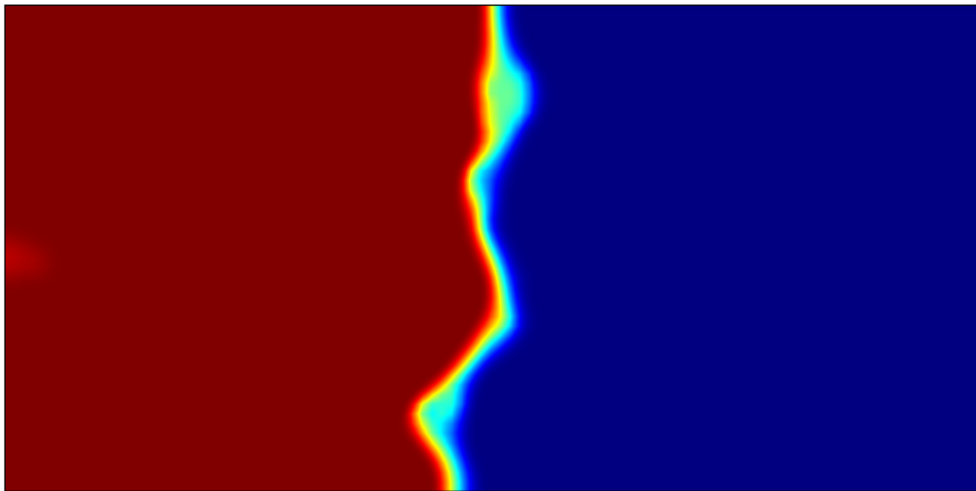


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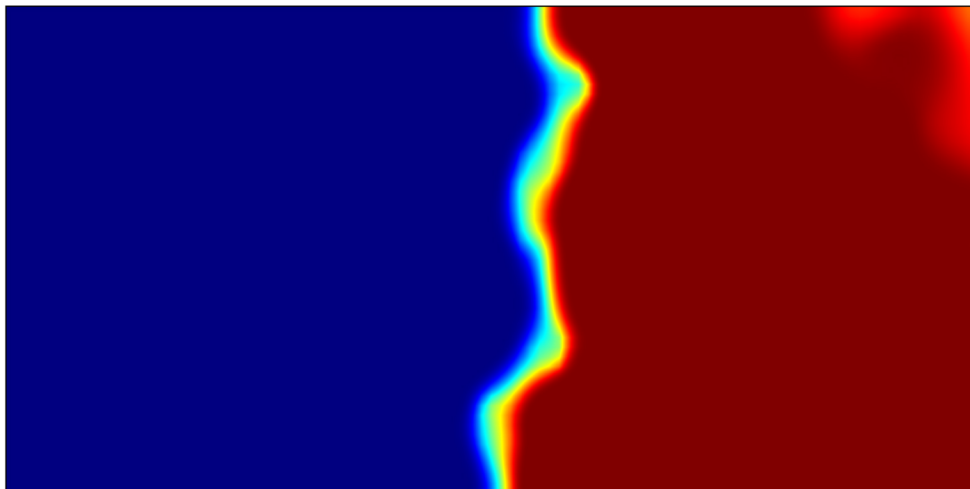


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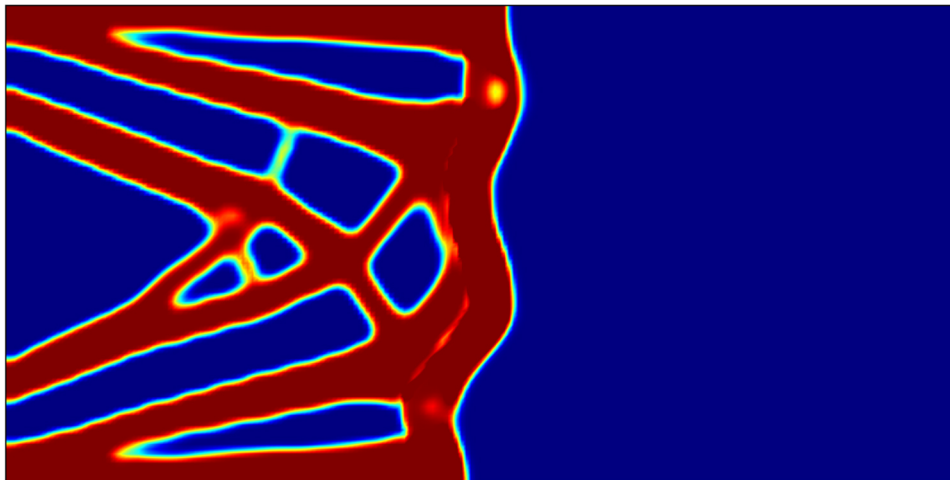




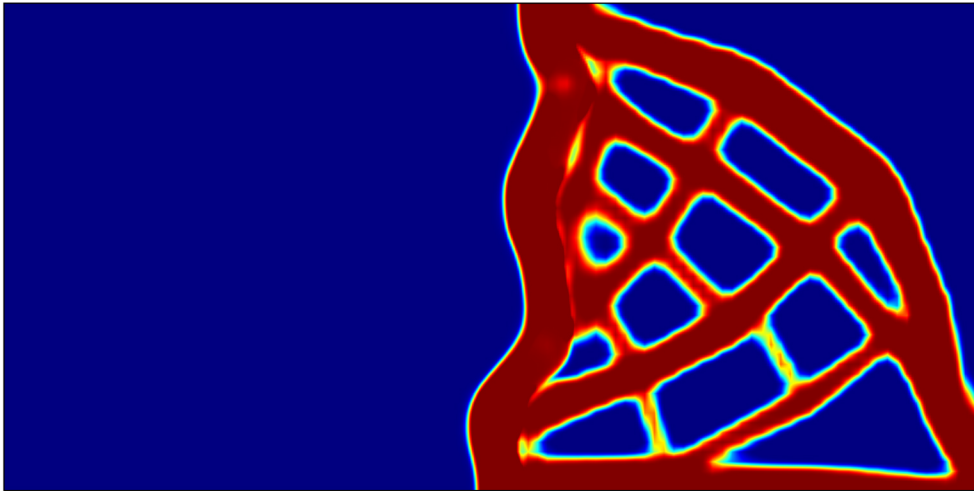
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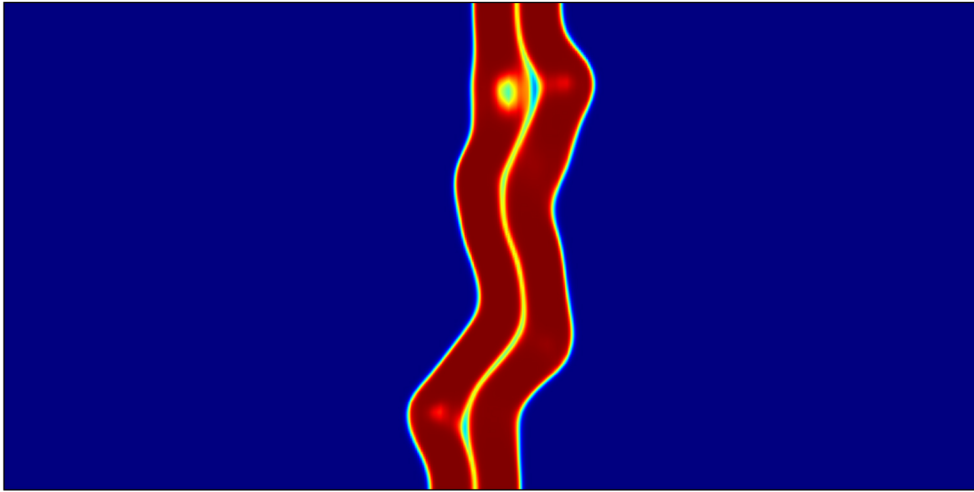
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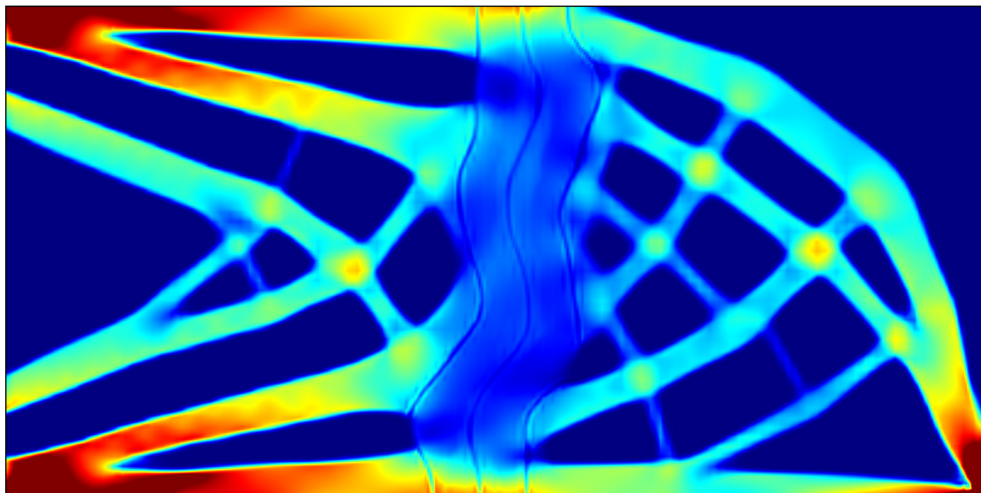
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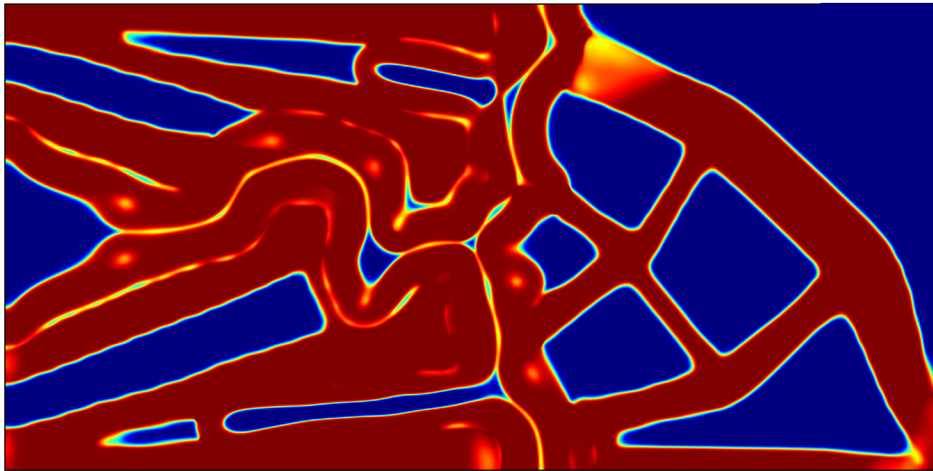
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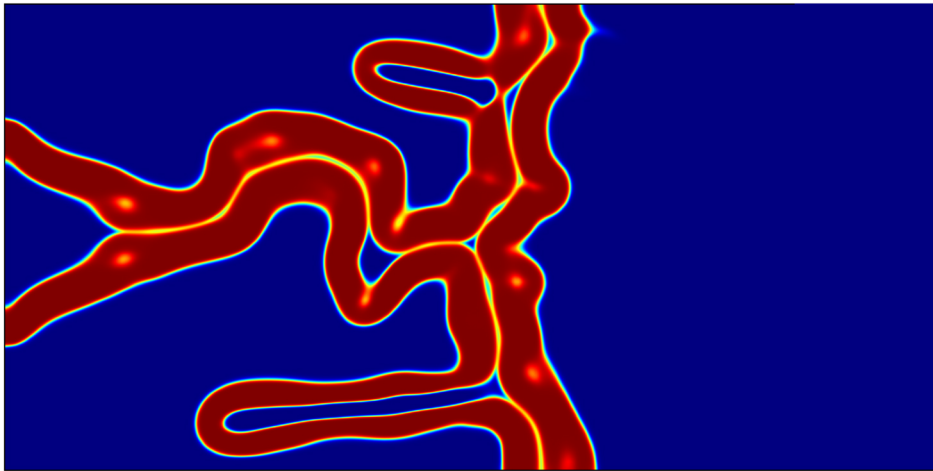
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NME\_6700\_cantilever\_3r\_j75\_stress.jpg

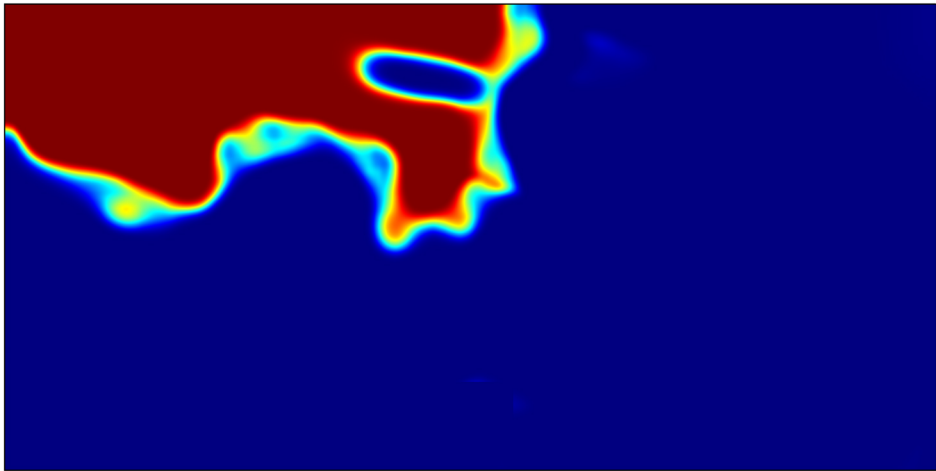


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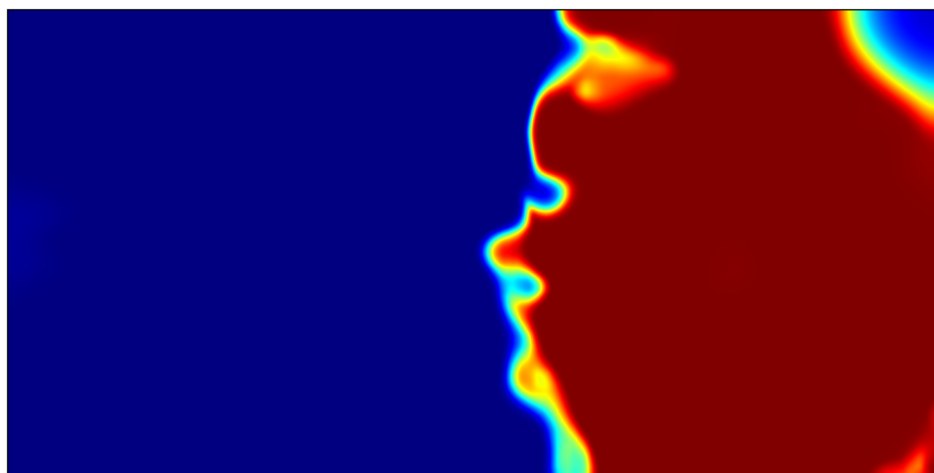


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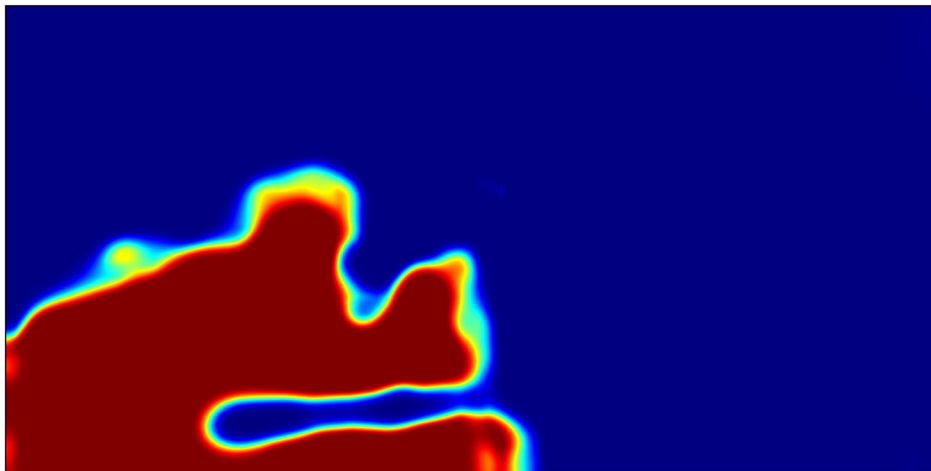




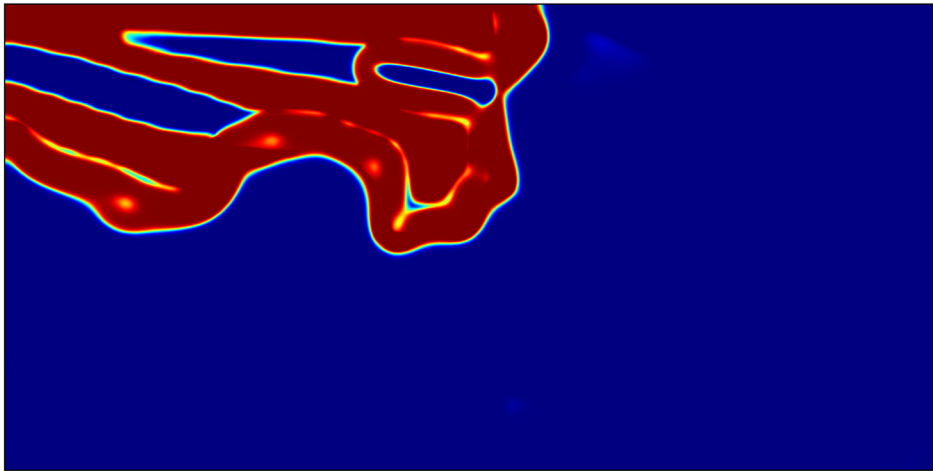
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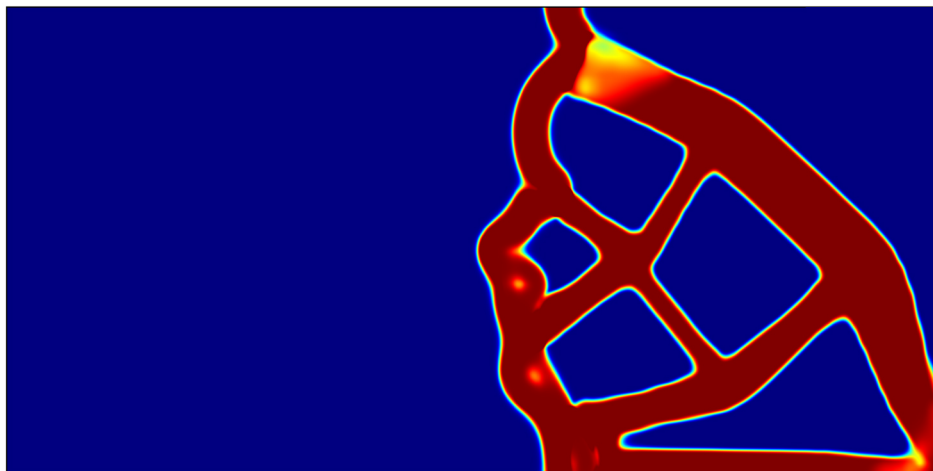
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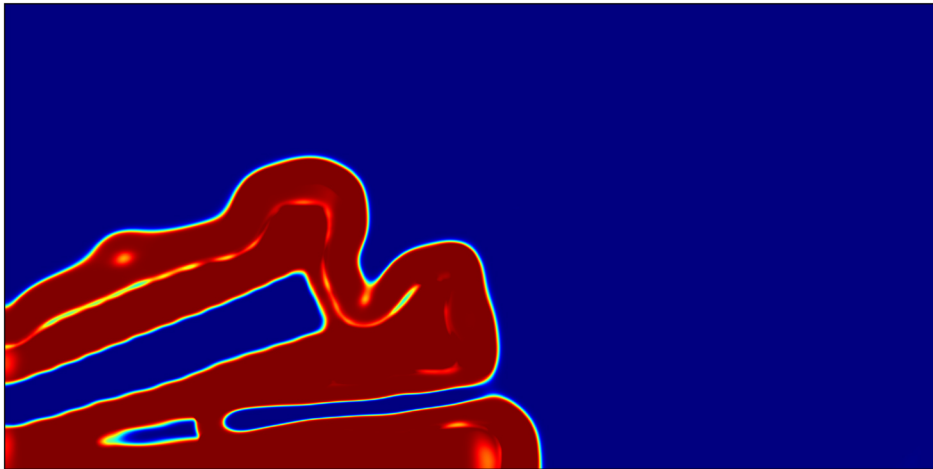
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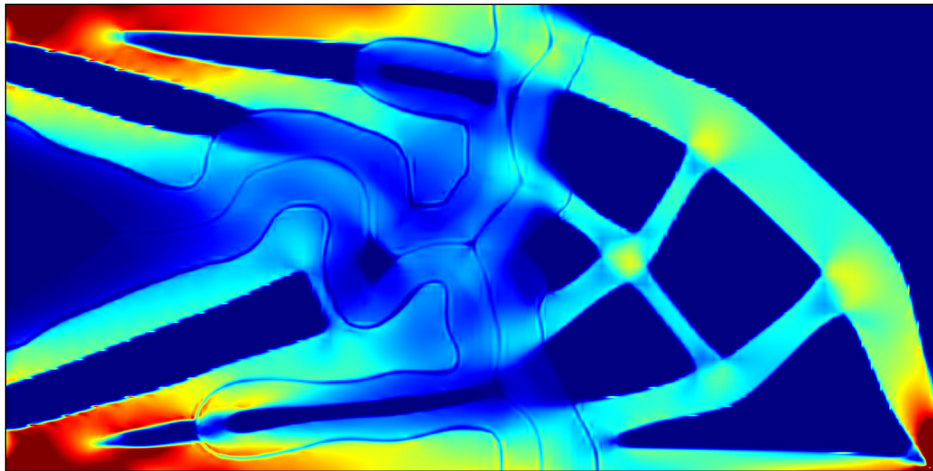
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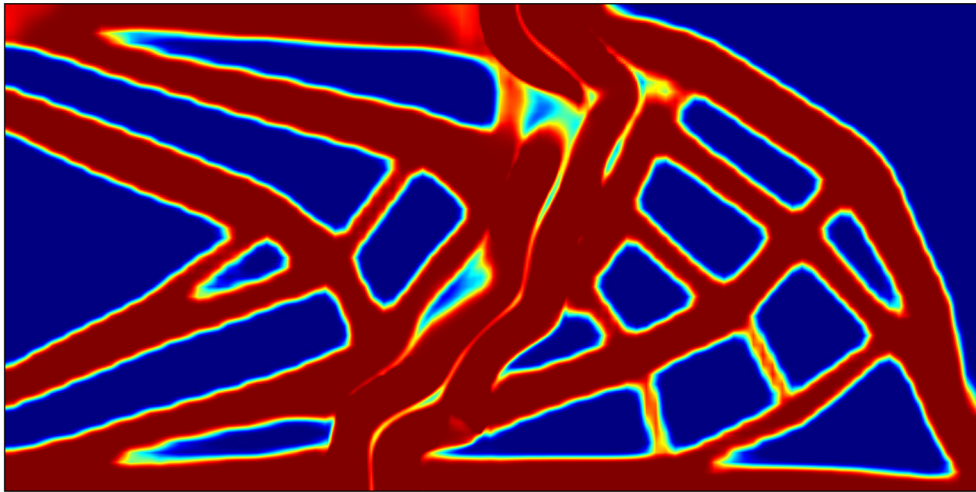
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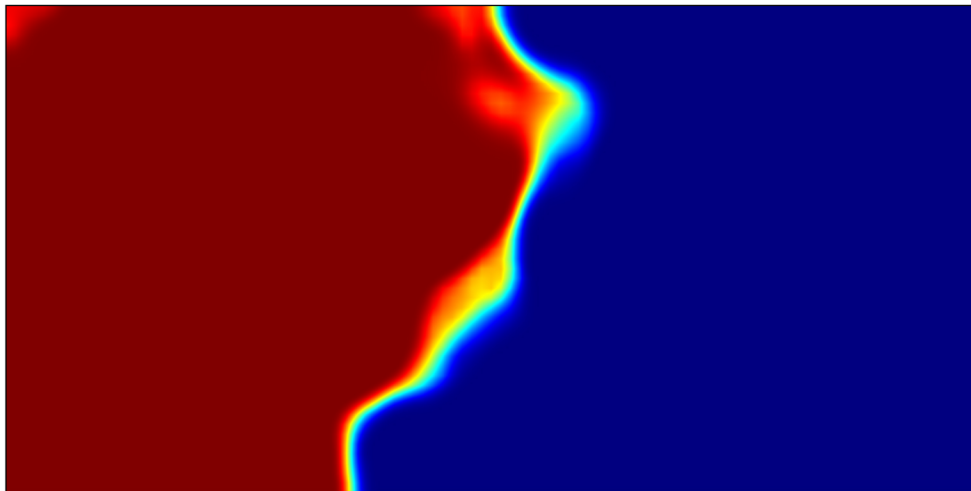


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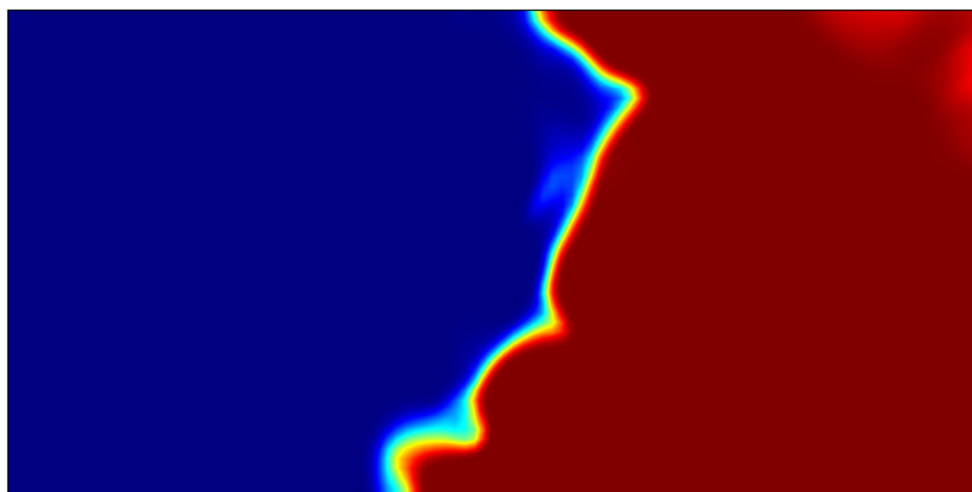


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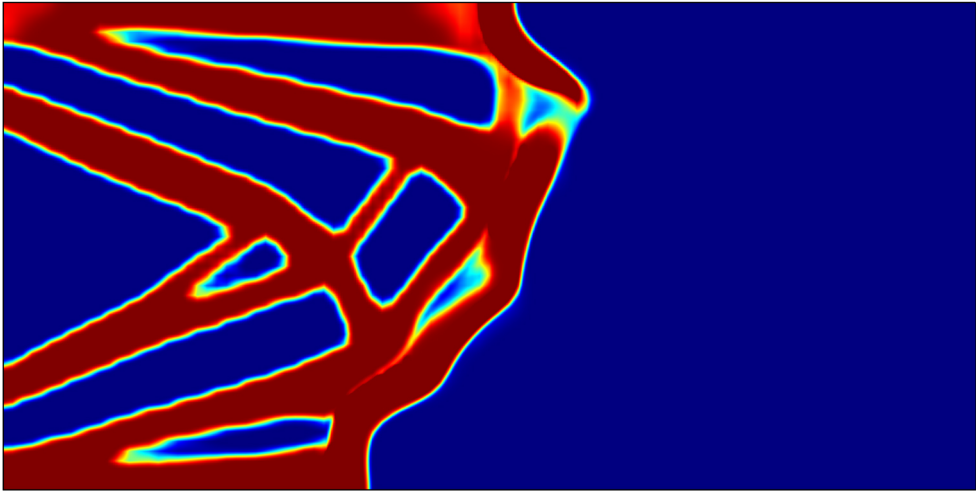




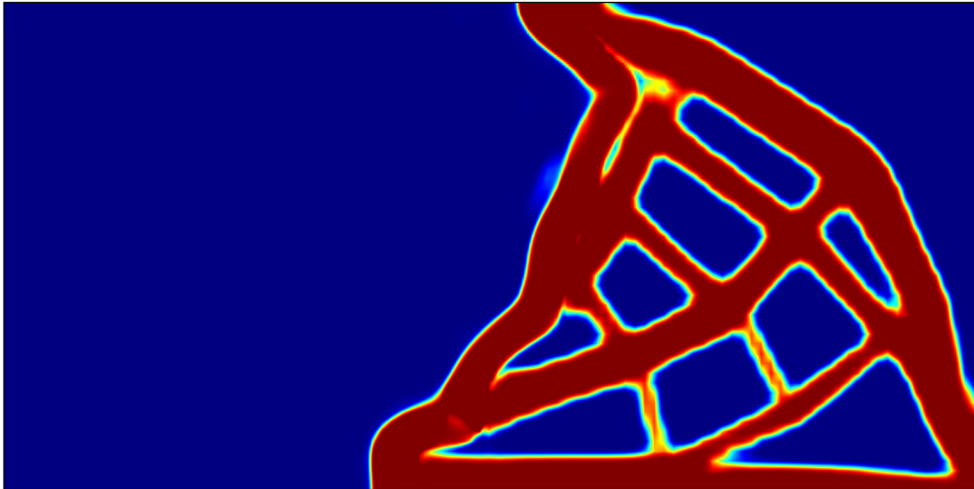
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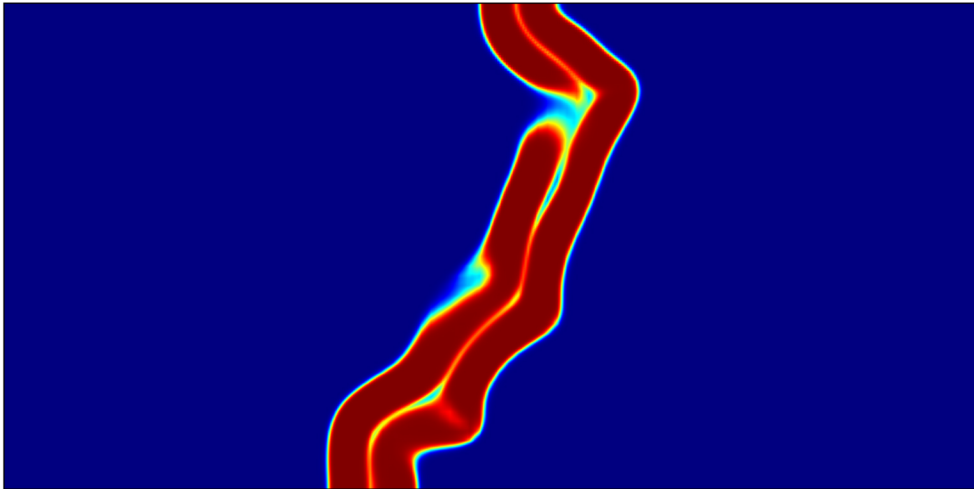
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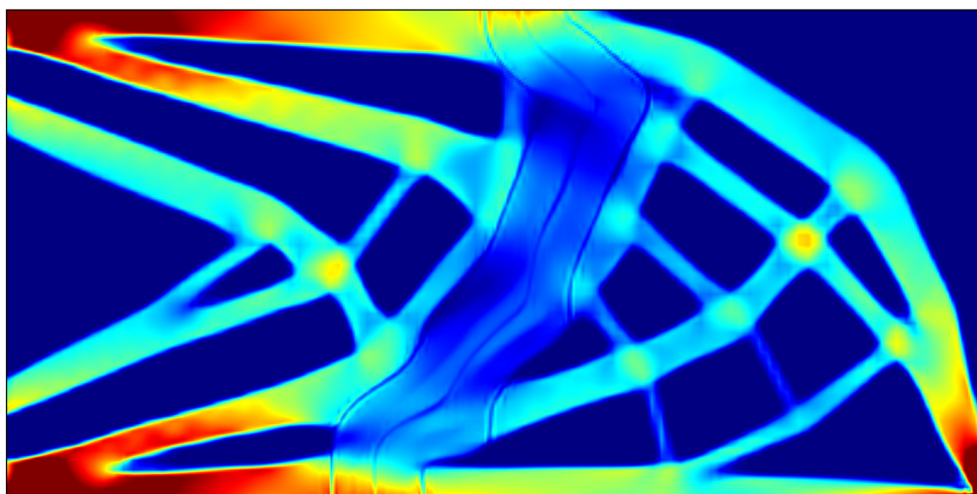
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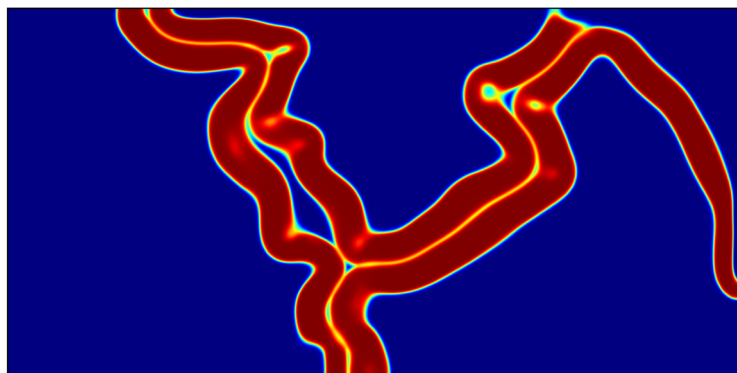
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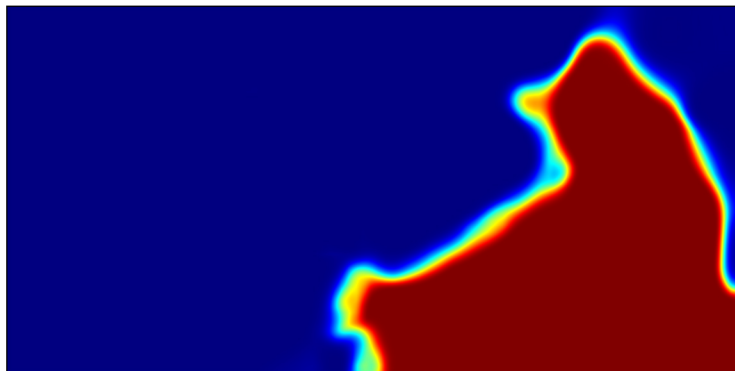
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NME\_6700\_catilever\_3r\_j25\_stress.jpg

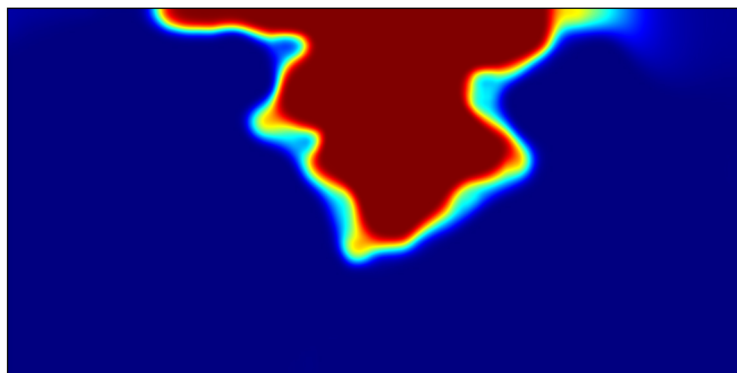


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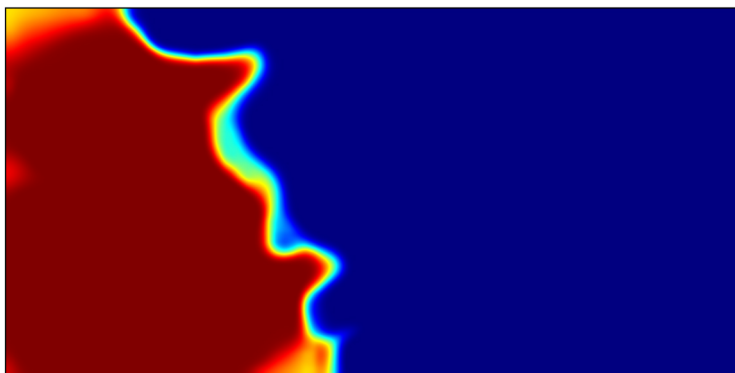


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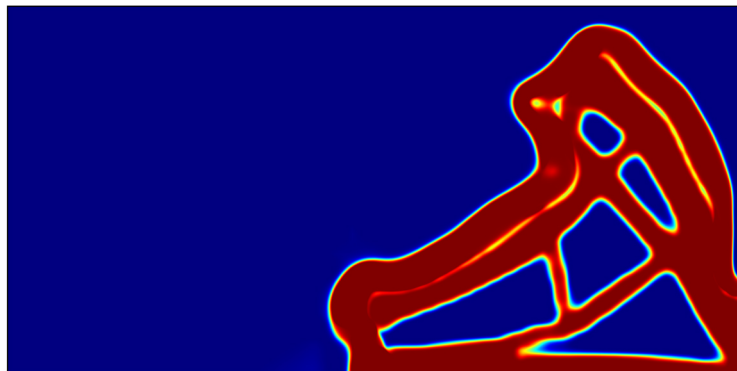




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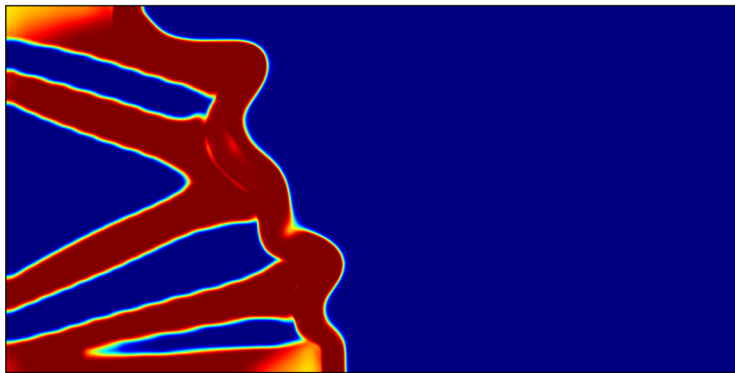
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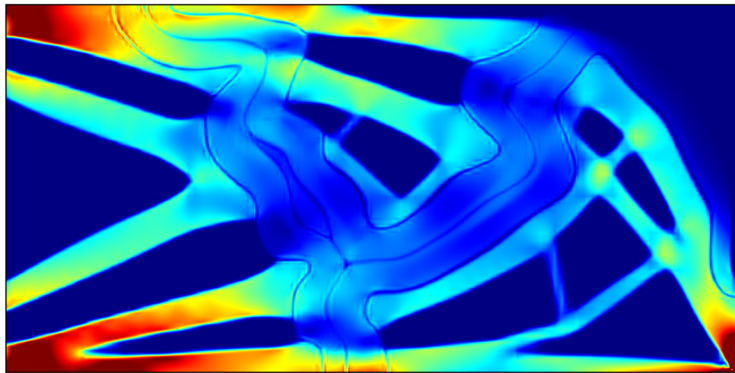
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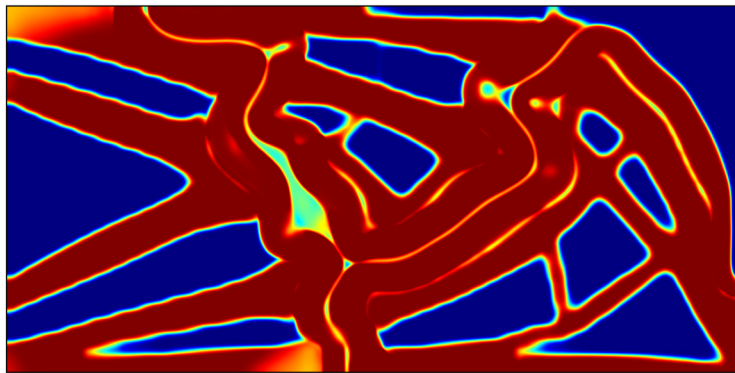
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NME\_6700\_catilver\_3r\_3com\_ma3\_c12.jpg



NME\_6700\_catilver\_3r\_3com\_stress\_c12.jpg



NME\_6700\_catilver\_3r\_3con\_all\_c12.jpg