

**The Role of Krüppel-like Factor 11 in Vascular Homeostasis**

by

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## **Dedication**

To my dear mom, dad, and Da, who support me all the time with unconditional love.

To my mentor, Dr. Y. Eugene Chen, who guides me through this fantastic Ph.D. journey.

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## Abstract

Krüppel-like factors (KLFs) are transcription factors containing three conserved zinc finger motifs in the carboxy-terminus and have shown associations with many diseases, including cancer, diabetes, and vascular disorders. Krüppel-like factor 11 (KLF11), originally identified as the causative gene for maturity-onset diabetes of the young type 7 (MODY7), has been reported to facilitate insulin transcription in pancreatic  $\beta$  cells. Previous studies have found that endothelial KLF11 has a protective effect in sepsis, ischemic stroke, and abdominal aortic aneurysm. Considering the common vascular complications in diabetes patients, in this project, we aim to study further the role of KLF11 in vascular homeostasis, especially thrombosis.

We applied a ferric chloride-induced thrombosis model to investigate the role of KLF11 in arterial thrombosis. We found that the occlusion time was significantly reduced in conventional *Klf11* knockout (*Klf11* KO) mice, while bone marrow transplantation could not rescue this phenotype. Given the increased expression of tissue factor (TF; encoded by the *F3* gene) in the tunica media of aorta in *Klf11* KO mice, we further applied the arterial thrombosis model in vascular smooth muscle cell (VSMC) specific *Klf11* knockout mice and found a significant reduction of occlusion time. In human aortic smooth muscle cells, siRNA-mediated knockdown of KLF11 increased TF expression. Consistent results were observed upon adenovirus-mediated overexpression of KLF11. Mechanistically, KLF11 downregulates *TF* gene expression at the transcriptional level as determined by reporter and chromatin immunoprecipitation assays. In sum, our data demonstrate that KLF11 is a novel transcriptional

suppressor of the *TF* gene in VSMCs, constituting a potential molecular target for inhibition of arterial thrombosis.

To study the role of KLF11 in venous thrombosis, we utilized a stasis-induced murine deep vein thrombosis (DVT) model and cultured endothelial cells (ECs). We identified an increase in KLF11 expression under prothrombotic conditions both *in vivo* and *in vitro*. The expression change of thrombosis-related genes was determined by utilizing gain- and loss-of-function approaches to alter KLF11 expression in ECs. Among these genes, KLF11 significantly downregulated tumor necrosis factor-alpha (TNF- $\alpha$ ) induced TF expression. Using reporter gene assay, chromatin immunoprecipitation assay, and co-immunoprecipitation, we revealed that KLF11 could reduce TNF- $\alpha$ -induced binding of early growth response 1 (EGR1) to the *TF* gene promoter in ECs. In addition, we demonstrated that conventional *Klf11* KO mice were more susceptible to developing stasis-induced DVT. These results suggest that under prothrombotic conditions, KLF11 downregulates *TF* transcription *via* inhibition of EGR1 in ECs. In conclusion, KLF11 protects against DVT, constituting a potential molecular target for treating thrombosis.

In summary, KLF11 inhibits the basal *TF* expression in VSMCs and interrupts the induced EGR1 binding to the *TF* gene promoter under prothrombotic conditions. Thus KLF11 is important in maintaining vascular homeostasis and can be a promising therapeutic target for preventing or treating arterial thrombosis and DVT.

## Chapter 1 Introduction

### Krüppel-like factors (KLFs) overview

#### *KLFs Overview*

Krüppel-like factors (KLFs) are a group of transcription factors that bind at specific DNA regions to regulate the expression of various genes involved in either physiological or pathological processes. The name “Krüppel” means “cripple” in German and was originally derived from its homologous protein in *Drosophila*<sup>1</sup>. KLF1 (EKLF), the first member of KLFs, was identified in 1993 by binding with the  $\beta$ -globin promoter in erythroid cells<sup>2</sup>. Thus far, eighteen isoforms have been discovered in this family, with a common regulation mechanism while different tissue distribution and biology<sup>3</sup>.

#### *KLFs Structure*

The carboxy-terminus (C-terminus) of KLFs contains DNA-binding region and nuclear localization signals. There are three conserved zinc finger motifs (two cysteine and two histidine residues, C<sub>2</sub>H<sub>2</sub> type), which bind to the GC-rich region (also known as Sp1 binding region) widely distributed in promoters<sup>4</sup>. Contrary to the high similarity in C-terminus within this family or among different species, the amino-terminus (N-terminus) is unique, characterized by distinct motifs interacting with various proteins, which can be either transcription regulators or induce KLFs post-translational modification<sup>4</sup>.

Based on the N-terminus structure and the downstream transcriptional regulation mechanism, KLFs can be divided into three groups. The first group (including KLF1, KLF2,

KLF4, KLF5, KLF6, and KLF7) primarily binds to co-regulators with acetyltransferase activity (*e.g.*, cyclic adenosine monophosphate-response element binding protein-binding protein and p300) and thus functions as a transcriptional activator. The second group (including KLF3, KLF8, and KLF12) is defined as capable of binding to transcriptional regulator C-terminal binding protein (CtBP), and the third group (including KLF9, KLF10, KLF11, KLF13, KLF14, and KLF16) binds to the transcriptional repressor Sin3A. As both CtBP and Sin3A can recruit histone methyltransferase and histone deacetylase (HDAC), these last two groups of KLFs primarily inhibit gene expression.

### *KLFs Biology*

At the cellular level, KLFs control the transcription of proteins in cell cycle regulation (*e.g.*, cyclins D1, cyclin-dependent kinase inhibitors) and other proliferation-related transcription factors (*e.g.*, c-myc) to regulate proliferation<sup>5-7</sup>. Apart from cellular growth, KLFs can also regulate apoptosis and contributes to cancer<sup>8, 9</sup>. KLFs also play important roles in differentiation and therefore maintaining tissue homeostasis. These developmental processes include erythroid maturation (KLF1), intestinal epithelium differentiation (KLF4), adipogenesis (KLF2-7, KLF11, KLF15), vascular smooth muscle cell development (KLF2, KLF4, KLF5), *etc*<sup>4, 10-14</sup>. KLFs have distinct functions in various cell types and ensure important cellular functions on proliferation, apoptosis, and differentiation.

At the systemic level, animal models or human studies focusing on genetic KLFs variations further demonstrate their critical roles in tissue development, cancer, metabolic diseases, and cardiovascular diseases.



## **Krüppel-like factor 11 Biology**

### *KLF11 Structure*

Originally cloned from a human pancreatic epithelial cell line, KLF11 was identified as a new Sp1-like zinc finger protein with high homology with the transforming growth factor-beta (TGF- $\beta$ ) inducible immediate early gene (TIEG1 or KLF10), thus also known as TIEG2<sup>15</sup>. Further studies have defined TIEG2/KLF11 as the human isoform and Tg3/KLF11 as the isoform in mice<sup>16</sup>.

In the C-terminus of KLF11, there is a basic tetra peptide within the second and the third highly homologous zinc finger motif that functions as the nuclear localization signal and is critical for its site-specific transcription activity. The DNA binding domain within the zinc finger motif can activate gene transcription<sup>17</sup>. In N-terminus, KLF11 contains three repression domains (*i.e.*, R1, R2, and R3), tethered to the target DNA region and inhibit gene transcription<sup>18</sup>. Among them, the R1 domain is recognized as an essential domain mediating transcription repression. As an alpha-helical repression motif, this R1 domain interacts with Sin3A, which recruits other transcriptional machinery (*e.g.*, HDAC) to inhibit gene transcription<sup>18, 19</sup>. Therefore, KLF11 functions as both a transcription activator and repressor, depending on the different cellular context (cell types and promoter condition)<sup>15, 17</sup>.

### *Regulation of KLF11*

The regulation of KLF11 is mostly studied in the cancer field. First known as TIEG2, KLF11 is a TGF- $\beta$ -inducible gene and potentiates TGF- $\beta$ -mediated anti-proliferation effects<sup>15</sup>. The binding of TGF- $\beta$  ligand to its type 2 receptor and recruit and phosphorylate type 1 receptor, resulting in the formation of Smad complex (R-smad and Smad 4). This heterodimeric complex will translocate to the nucleus and induce KLF11 expression. One of the downstream target

genes of the KLF11-Sin3A-HDAC complex is Smad7, a negative feedback loop of TGF- $\beta$  signaling. Therefore, the TGF- $\beta$ -induced KLF11 can suppress the inhibitory Smad7 and thus increase TGF- $\beta$  signaling<sup>20</sup>.

Phosphorylation is a common post-translational mechanism that inhibits KLF11 activity. It has been reported that phosphorylation of the Sin3 interacting domain in KLF11 by oncogenic signaling ERK/MAPK disrupts the interaction between KLF11 and Smad3, reducing TGF- $\beta$ -induced c-myc repression<sup>21</sup>. Similarly, KLF11 activity is suppressed after phosphorylation of the four serine or threonine sites adjacent to the Sin3 interacting domain by epidermal growth factor (EGF)-Ras-MEK1-ERK2 signaling<sup>22</sup>.

Methylation of the KLF11 promoter has been documented to result in KLF11 downregulation and is involved in various cancers. Hypermethylation of KLF11 was observed in 15% of myelodysplastic syndromes and associated with a high International Prognostic Scoring System score<sup>23</sup>. In breast cancer, KLF11 hypermethylation is correlated with an increased risk of metastasis<sup>24</sup>.

## **KLF11 and Diseases**

### *KLF11 Variants from Human Genetics*

KLF11 has been reported as the causative gene for maturity-onset diabetes of the young, type VII (MODY7, OMIM: 610508) in 2005. Genetic analysis in families of early-onset type II diabetes mellitus discovered the segregation of two rare KLF11 variants (Ala347Ser and Thr220Met)<sup>25</sup>. A more frequent polymorphic KLF11 variant Gln62Arg was found associated with type II diabetes in the North European population<sup>25</sup>. A novel KLF11 variant His418Gln was identified in a Japanese family with early childhood-onset type 1B diabetes<sup>26</sup>. Mechanistically,

KLF11 can activate insulin promoters in pancreatic  $\beta$  cells, while these variants alter the binding capability and thus reduce insulin transcription<sup>25, 27</sup>.

### *KLF11 in Cardiovascular Diseases*

Our lab was among the first to study the role of KLF11 in cardiovascular diseases. Utilizing conventional *Klf11* KO mice, we reported that KLF11 could interact with p65 to inhibit the nuclear factor-kappaB (NF- $\kappa$ B) signaling pathway. KLF11 prevented vascular inflammation and inhibited leukocyte recruitment to the endothelium after lipopolysaccharide administration in mice<sup>28</sup>. We have also demonstrated KLF11 as a new peroxisome proliferator-activated receptor gamma (PPAR $\gamma$ ) co-regulator. KLF11 mediated the cerebral vascular endothelial protection in ischemic stroke by enhancing PPAR $\gamma$  repression of the microRNA-15, a pro-apoptotic gene<sup>29</sup>.

Further studies in the cerebral vascular system found that KLF11 can directly bind to some major cerebral tight junction proteins (*e.g.*, occludin and ZO-1) and thus promote their activity to preserve the blood-brain barrier integrity<sup>30</sup>. Fenofibrate, a diabetes drug functioning as the peroxisome proliferator-activated receptor alpha (PPAR $\alpha$ ) ligand, can suppress endothelin-1 transcription via inducing KLF11 expression. Therefore, KLF11 mediates the beneficial effects of fenofibrate in diabetes-related microvascular complications<sup>31</sup>. Recently, our lab established that endothelial KLF11 protected against abdominal aortic aneurysm by inhibiting MMP9 activity and production of reactive oxygen species<sup>32</sup>. We also reported that KLF11 deficiency in endothelial cells could induce dedifferentiation and apoptosis of smooth muscle cells<sup>32</sup>. In sum, KLF11 plays a beneficial role in maintaining vascular homeostasis.

### *KLF11 in Metabolic Diseases*

KLF11 in glucose metabolism

KLF11 is expressed ubiquitously but is highly enriched in the pancreas. The role of KLF11 in metabolism is also first identified in the pancreas, the key organ regulating glucose metabolism. Apart from the previously mentioned human genetic data indicating the association of KLF11 in the monogenic form of diabetes, following studies further found that KLF11 interacts with the coactivator p300 to activate pancreatic and duodenal homeobox 1 (PDX1), the causal gene for MODY4, and a master regulator for pancreatic  $\beta$  cell development<sup>27, 33</sup>. Conventional *Klf11* KO mice consistently revealed defects in insulin production but maintained normal blood glucose levels, which may due to the enhanced insulin sensitivity in peripheral tissues and interspecies differences<sup>34</sup>.

Besides insulin secretion, KLF11 can also inhibit hepatic gluconeogenesis via directly suppressing the transcription of phosphoenolpyruvate carboxykinase (PEPCK) and PPAR $\gamma$  coactivator-1 alpha (PGC-1 $\alpha$ ). PEPCK is a critical rate-limiting enzyme in gluconeogenesis, and PGC-1 $\alpha$  is an essential transcription factor regulating energy metabolism, including gluconeogenesis<sup>35</sup>. Adenovirus-mediated overexpression of hepatic KLF11 alleviated hyperglycemia and improved glucose intolerance in diabetic db/db mice<sup>35</sup>.

#### KLF11 in Lipid Metabolism

KLF11 can regulate hepatic fatty acid and lipid metabolism. It was reported that hepatic KLF11 expression is reduced in the livers of diet-induced obesity and db/db mice<sup>36</sup>. Hepatic KLF11 overexpression activates PPAR $\alpha$  and its downstream targets involved in lipid oxidation, alleviating lipid accumulation in a diet-induced obesity mice model<sup>36</sup>. PPAR $\alpha$  loss-of-function abolishes the stimulatory effects of KLF11 in fatty acid oxidation and consequently aggravates triglyceride accumulation in the liver<sup>36</sup>.

In a mouse study, global KLF11 deficiency protected against high fat diet-induced obesity and reduced cholesterol and triglyceride level. Genome-wide profiling and pathway-specific qPCR of liver tissue revealed that KLF11 deficiency altered several gene clusters involved in fatty acid metabolism (*e.g.*, fatty acid biosynthetic process), at least partially via disrupting PPAR $\gamma$  target genes<sup>34</sup>.

In white adipose tissue, KLF11 was discovered as a direct transcription activator of uncoupling protein 1 (UCP1), an essential regulator of browning<sup>37</sup>. PPAR $\gamma$  can also induce KLF11, and KLF11 functions as a co-regulator of PPAR $\gamma$  to increase its activity<sup>29</sup>. PPAR $\gamma$  agonist such as rosiglitazone is one of the commonly prescribed diabetes drugs. It has been demonstrated that the interaction between KLF11 and PPAR $\gamma$  is required for the therapeutic effects of PPAR $\gamma$  agonist in improving oxidative capacity and adipose tissue browning<sup>38</sup>.

### *KLF11 in Cancer*

Due to its critical role in growth regulation, KLF11 has been reported in various tumors, including pancreatic cancer, small-cell lung cancer, breast cancer, ovarian cancer, gastric cancer, esophageal cancer, hepatocellular carcinoma, neuroblastoma, myelodysplastic syndrome, and leukemia<sup>39</sup>. Similar to TGF- $\beta$  signaling, which has dual effects in carcinogenesis, KLF11 functions as either a tumor suppressor or an inducer. In breast cancer, microRNA-30d (miRNA-30d) aggravates tumor cell survival by inhibiting apoptosis, increasing migration/invasion, and mediating epithelial-mesenchymal transition. These tumor-promoting effects are mediated by the KLF11-STAT3 pathway<sup>40</sup>. KLF11 can be induced after hyperthermia treatment in non-small-cell lung cancer and elevated intracellular reactive oxygen species, resulting in increased apoptosis and reduced cell proliferation, subsequently improving treatment efficiency<sup>41</sup>. KLF11 was found upregulated in gastric cancer and promoted tumor cell migration and invasion by increasing

TWIST1 expression<sup>42</sup>. Apart from tumor-promoting effects, KLF11 was also identified as a tumor suppressor in pancreatic cancer. The complex of KLF11-Smad3 bound to the promoter of oncogenic gene c-myc and silenced c-myc expression<sup>21</sup>.

## **Summary**

The function of KLF11 in normal cell types or tissues is summarized in **Table 1.1**. Accumulating evidence indicates that KLF11 is a critical transcription regulator in multiple intracellular processes, including suppressing inflammation, inducing cell differentiation, and regulating cell survival/proliferation. All these cellular processes indicate an important role of KLF11 in maintaining systemic homeostasis and contributing to the development of several diseases, such as cardiovascular diseases, metabolic diseases, and cancer. Currently, most of the studies of KLF11 biology are focused on cancer. More studies on the KLF11 biology in the vascular wall and cardiovascular diseases may facilitate understanding the pathophysiology of these diseases and provide us with new therapeutic targets.

**Table 1.1** Summary of KLF11 functions in normal cell types or tissues

Cell types or tissues	Function	Related disease	Reference
Pancreatic $\beta$ cells	KLF11 activates insulin promoters in pancreatic $\beta$ cells. KLF11 variants alter the binding capability and thus reduce insulin transcription.	Diabetes (MODY7)	25, 27 26
Pancreatic epithelial cells	KLF11 mediates the growth inhibition induced by TGF- $\beta$ signaling.	Pancreatic cancer	21
Endothelial cells	KLF11 prevents vascular inflammation and inhibits leukocyte recruitment to the endothelium after lipopolysaccharide administration.	Sepsis	28
	KLF11 maintains the structure and integrity of the blood-brain barrier.	Ischemic stroke	29, 30
	KLF11 inhibits MMP9 activity and the production of reactive oxygen species. KLF11 deficiency induces dedifferentiation and apoptosis of smooth muscle cells.	Abdominal aortic aneurysm	32
Hepatocytes	KLF11 inhibits hepatic gluconeogenesis by directly suppressing the transcription of phosphoenolpyruvate carboxykinase (PEPCK) and PPAR $\gamma$ coactivator-1 alpha (PGC-1 $\alpha$ ).	Diabetes	35
	KLF11 deficiency altered gene clusters involved in the fatty acid biosynthetic process, partially by disrupting PPAR $\gamma$ target genes. KLF11 overexpression activates PPAR $\alpha$ and its downstream targets involved in lipid oxidation, and thus alleviates lipid accumulation.	Steatosis/Fatty liver disease	34, 36

White adipose tissue	<p>KLF11 is a direct transcription activator of uncoupling protein 1 (UCP1).</p> <p>KLF11 functions as a co-regulator of PPAR<math>\gamma</math> to increase its activity (<i>e.g.</i>, enhancing oxidative capacity and adipose tissue browning)</p>	Obesity	29, 37, 38
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## Chapter 2 KLF11 in Vascular Smooth Muscle Cells and Arterial Thrombosis

### Introduction

Thrombosis is a common pathology underlying many cardiovascular diseases: myocardial infarction, stroke and venous thromboembolism, which collectively cause more than one-fourth of deaths worldwide.<sup>43</sup> The primary pathogenic process in arterial thrombosis is the rupture of the atherosclerotic plaque, which promotes platelet recruitment, adhesion, aggregation and activation and results in thrombus growth.<sup>44</sup> Given the importance of vascular smooth muscle cells (VSMCs) in vessel homeostasis and pathogenesis of vascular diseases,<sup>45</sup> it is of great interest to identify molecular signaling pathways that mediate the effects of both physiological and pathophysiological stimuli in VSMCs.

The Krüppel-like factor (KLF) family plays a critical role in maintaining vascular homeostasis and further affects multiple vascular diseases.<sup>3, 46</sup> Maturity-onset diabetes of the young type 7 (MODY7), an early-onset type 2 diabetes mellitus, is caused by mutations in the *KLF11* gene.<sup>25</sup> It was originally identified that KLF11 could regulate *INSULIN* transcription in pancreatic  $\beta$  cells. Apart from causing the metabolic disorder, diabetes accelerates vascular pathology and enhances thrombotic risk,<sup>47, 48</sup> which account for the major causes of morbidity and mortality in diabetic patients.<sup>49</sup>

As a transcription factor, KLF11 is expressed ubiquitously and is abundant in adipose tissue, testis, breast, artery and lung (GTEx database). RNA sequencing data show that the mRNA level of KLF11 is modest in human endothelium and moderate in human aortic smooth

muscle cells (HASMCs).<sup>3</sup> Prior studies from others and our group demonstrated that KLF11 plays an important role in maintaining vascular homeostasis.<sup>28, 50</sup> Specifically, KLF11 inhibits endothelial activation in the presence of inflammatory stimuli and functions as a peroxisome proliferator-activated receptor- $\gamma$  co-regulator to attenuate middle cerebral artery occlusion-induced stroke in mice.<sup>29</sup> These *in vitro* and *in vivo* observations form the basis of the current view that KLF11 is a vessel protective factor. However, the role of KLF11 in VSMC biology and thrombosis has not been explored.

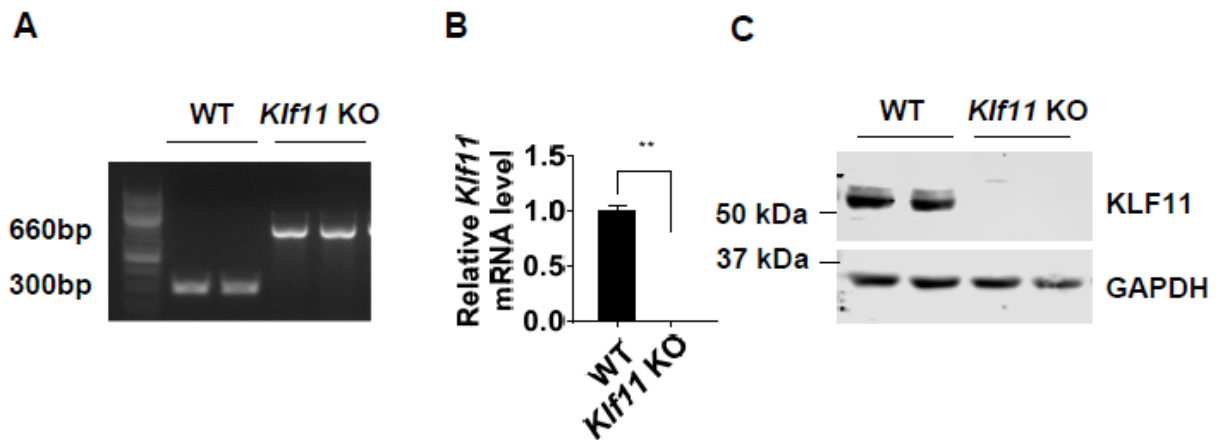
In the present study, we focused on VSMC KLF11 and, using *in vivo* VSMC-specific loss-of-function approaches, demonstrated that this factor is an inhibitor of experimental arterial thrombosis through transcriptional repression of tissue factor (TF, encoded by *F3* gene) in VSMCs.

## Materials and methods

### Animals

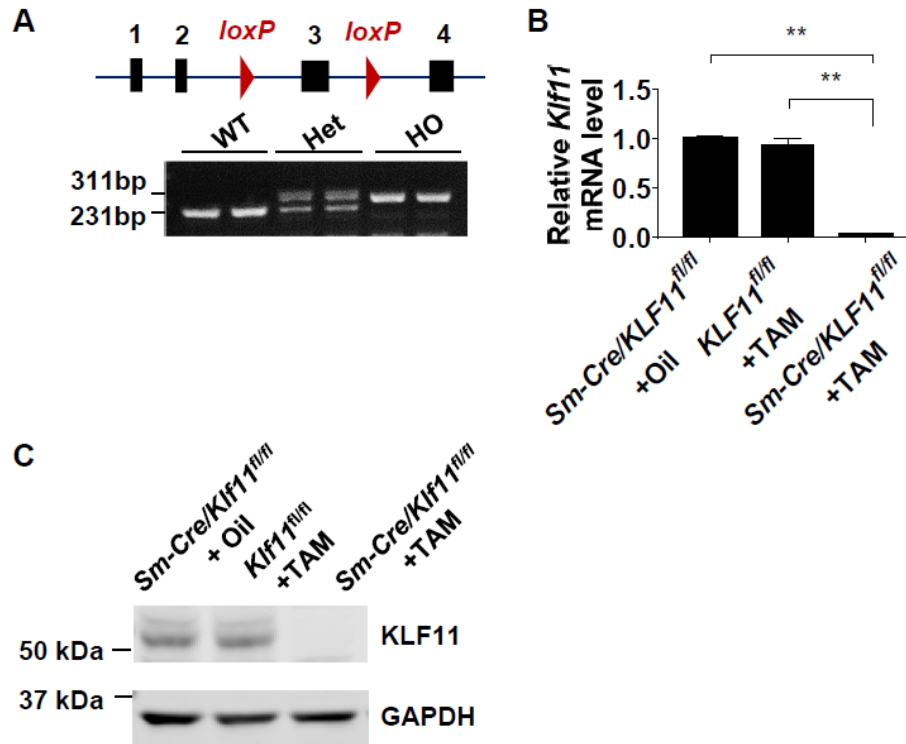
Conventional KLF11 knockout mice (*Klf11* KO) and wild type (WT) mice in the C57BL/6J background were previously described<sup>28</sup> and the KO was confirmed (**Figure 2.1**). The floxed-KLF11 (*Klf11*<sup>fl/fl</sup>) mice were generated by injecting blastocysts developed from *Klf11* targeted ES cells (*Klf11*<sup>tm2a(KOMP)Wtsi</sup>, UC Davis, KOMP) into C57BL/6J mice. Exon 3 of the *Klf11* gene was flanked by *LoxP* elements. The genotype was determined from mouse tail clippings and a pair of PCR primers flanking the downstream *LoxP* region (**Figure 2.2A**). The inducible smooth muscle cell-specific *Klf11* knockout (*Sm-Klf11* KO) mice were generated by cross-breeding *Klf11*<sup>fl/fl</sup> mice with *Myh11-CreER*<sup>T2</sup> mice (019079, Jackson Laboratory).<sup>51</sup> The primer sequences for mouse genotyping are shown (**Table 2.1**). The *Myh11-CreER*<sup>T2</sup>- (+)/*Klf11*<sup>fl/fl</sup> mice (*Sm-Cre/Klf11*<sup>fl/fl</sup> + TAM) and *Myh11-CreER*<sup>T2</sup>-(-)/*Klf11*<sup>fl/fl</sup> mice (*Klf11*<sup>fl/fl</sup>

+TAM) were treated with tamoxifen (intraperitoneal injections, 80mg/kg per day for 5 consecutive days, indicated as +TAM). *Myh11-CreER<sup>T2</sup>-(+)/Klf11<sup>fl/fl</sup>* mice treated with the same volume of vehicle corn oil (*Sm-Cre/Klf11<sup>fl/fl</sup>* + Oil) were used as the control group. Fourteen days after tamoxifen or corn oil treatment, the reduction of KLF11 expression in the aorta was confirmed by real-time quantitative PCR and western blot (**Figure 2.2B-C**). Since the *Myh11-Cre/ER<sup>T2</sup>* transgene is inserted on the Y chromosome,<sup>52</sup> only male *Sm-Cre/Klf11<sup>fl/fl</sup>* mice can be generated using this approach. All animal care and experimental procedures were approved by the University of Michigan Animal Care and Use Committee.



**Figure 2.1** Characterization of the conventional *Klf11* KO (Krüppel-like Factor 11 knockout) mice.

A, Genotyping of wild type (WT) and *Klf11* KO mice by PCR. B, *Klf11* mRNA level was determined in the isolated mouse aorta by real-time quantitative PCR and normalized by 18S. Data are presented as mean  $\pm$  SEM. n=5 per group. \*\* $P < 0.01$  using Student *t*-test. C, Representative western blots of KLF11 protein level in the mouse aorta from WT and *Klf11* KO mice.



**Figure 2.2** Characterization of the conventional *Klf11* KO (Krüppel-like Factor 11 knockout) mice.

A, Genotyping of wild type (WT) and *Klf11* KO mice by PCR. B, *Klf11* mRNA level was determined in the isolated mouse aorta by real-time quantitative PCR and normalized by 18S. Data are presented as mean  $\pm$  SEM.  $n=5$  per group.  $**P<0.01$  using Student *t*-test. C, Representative western blots of KLF11 protein level in the mouse aorta from WT and *Klf11* KO mice.

### **Ferric Chloride-Induced Carotid Artery Thrombosis Model**

Ferric chloride (FeCl<sub>3</sub>)-induced carotid artery thrombosis was conducted as previously described.<sup>53</sup> Briefly, conventional *Klf11* KO mice and WT mice (8-10-week-old male mice; 6-8-week-old female mice) or *Sm-Klf11* KO mice and control mice (11-13-week-old male mice) were anesthetized by intraperitoneal injection of ketamine (100mg/kg)/xylazine (10mg/kg). The left common carotid artery was dissected, and the probe of a transonic flow meter was placed under the carotid artery (Mode T106; Transonic Systems, Ithaca, NY). Ten percent FeCl<sub>3</sub> was applied to a filter paper (1×2mm) that was placed above the carotid artery for 3 minutes. The blood flow was monitored, and the clotting time was recorded and statistically analyzed.

### **Bone Marrow Transplantation (BMT)**

The protocol for syngeneic BMT was previously described.<sup>54, 55</sup> Briefly, recipient mice received 13 Gy (1300 rad) of total body irradiation (137Cs source) delivered in a single dose. Bone marrow (BM) cells were isolated from the femur of WT mice. A cell mixture of 5×10<sup>6</sup> bone marrow (BM) cells was resuspended in Leibovitz's L-15 medium (Thermo Fisher Scientific) and transplanted into syngeneic recipients via tail vein injection (total volume = 0.25 ml). Experiments with BMT mice were performed four weeks after BMT.

### **Preparation of Washed Murine Platelets and Platelet Aggregation Assay**

The collection of washed murine platelets and platelet aggregation assay were performed as previously described.<sup>56</sup> Briefly, whole murine blood was collected from the inferior vena cava and mixed with Tyrode's buffer in equal volumes before centrifuging at 200 g to obtain the platelet-rich-plasma. The platelet-rich-plasma was supplemented with 10x acid citrate dextrose solution and apyrase (0.02 unit/ml) and centrifuged at 2,000 g. The platelet count was adjusted to

$3 \times 10^8$  platelets/ml. Platelet aggregation was measured in a lumi-aggregometer (Chrono-log model 700D) under stirring conditions (1,100 rpm) at 37°C.

### **Isolation of Microvesicles (MV) from Plasma**

We isolated MV following the protocol kindly provided by Dr. Nigel Mackman's lab with minor modifications. Briefly, mouse blood was collected from inferior vena cava (1:10 volume of 4% sodium citrate was used as an anticoagulant) and centrifuged at 5,000 g for 15 minutes at room temperature for collecting platelet-poor-plasma (PPP). PPP (100  $\mu$ l) was washed by adding 1 ml HBSA-Ca<sup>2+</sup>(-) (pH=7.4, 137 mM NaCl, 5.38 mM KCl, 5.55 mM Glucose, 10 mM Hepes, 0.1% BSA) and centrifuging at 20,000 g for 40 minutes at 4°C. After a second wash, the pellet was resuspended vigorously with 100  $\mu$ l HBSA-Ca<sup>2+</sup>(-) and underwent sonication (35% Amplitude, 5 seconds, 2 times).

### **Tissue Factor Activity Assay**

The protocol for detecting tissue factor (TF) activity was kindly provided by Dr. Nigel Mackman's lab. The mouse aorta was homogenized in 50mM Tris-buffered saline (pH 8.0) with 1% Triton X-100 and centrifuged at 14000 g for 20 minutes at 4°C to collect the supernatant. Homogenized protein samples (aorta or MVs) (50  $\mu$ l) were added to duplicate wells in a 96-well plate and incubated with TF 1H1 antibody or rat IgG (final concentration: 200  $\mu$ g/ml) for 1 hour at room temperature. Next, 50  $\mu$ l of HBSA containing 24.4 nM human FVIIa (HFVIIa, Enzyme Research Laboratories), 73 nM human FX (HFX 1010, Enzyme Research Laboratories) and 10 mM CaCl<sub>2</sub> was added to each well and incubated at 37°C for a certain time (80 minutes for aorta samples and 3 hours for MVs). The reaction was stopped by adding 25  $\mu$ l EDTA (25 mM) and incubated at room temperature for 5 minutes. After that, 25  $\mu$ l chromogenic substrate RGR-

XaChrom (4.6 mM, 100-03, Enzyme Research Laboratories) was added, and the plate was incubated at 37°C for 15 minutes. The generation of FXa was measured by reading the absorbance at 405 nm. The concentration was calculated by referring to a standard curve generated by recombinant human TF standard (ab108906, Abcam), at a range of 0.01 pM - 0.1 pM for MVs and 1.0 pM - 25.0 pM for aorta samples. The TF-dependent FXa generation was measured and calculated by subtracting the amount of FXa generated in the presence of TF 1H1 antibody from the total amount of FXa generated in the presence of IgG.

### **Prothrombin Time (PT) and Activated Partial Thromboplastin Time (aPTT)**

#### **Measurements**

Platelet-poor-plasma (PPP) was collected as previously described.<sup>57</sup> Eight to ten-week-old male conventional *Klf11* KO mice and WT mice were used in this experiment. Briefly, whole mouse blood was collected from the tail into tubes containing 3.8% sodium citrate and centrifuged at 1,500g for 15 minutes to collect the PPP. According to the manufacturer's manual, PT and aPTT were determined in a KC-4 Coagulation Analyzer (Sigma-Aldrich, St Louis, MO).

#### **Tail-Bleeding Assay**

Conventional *Klf11* KO mice and WT mice (8-10-week-old male) were used in this experiment. As previously described,<sup>56</sup> a transverse incision at the 5 mm distal end of the tail was performed and the tail was immersed in saline at 37°C. Bleeding time was recorded as the time to cessation of bleeding.

#### **Thrombin-antithrombin (TAT) Complexes Measurements**

Mouse plasma was collected from mice tails using 1:10 volume of 4% sodium citrate as an anticoagulant and centrifuged at 3000 g for 10 minutes. The level of thrombin-antithrombin (TAT) complexes in the plasma was determined by enzyme-linked immunosorbent assay



(ab137994, Abcam) in accordance with the manufacturer's protocol. Briefly, TAT complexes specific antibody has been precoated onto the 96-well plates. Plasma samples or TAT complexes standards were added in triplicate into this plate and incubated at room temperature for 2 hours, followed by adding a TAT complexes specific biotinylated detection antibody and incubating for 2 hours. Streptavidin-Peroxidases conjugate was added to induce an enzymatic reaction. The TAT complexes were visualized by adding chromogen substrate. After adding the stop solution, the absorbance at 450nm was recorded. The concentration was calculated by referring to a standard curve generated with a TAT complexes standard.

### **Adenoviral Constructs**

Adenoviral vectors overexpressing human KLF11 or LacZ control were generated as previously described.<sup>58</sup> Briefly, the coding sequences were amplified by PCR and cloned into Ad-Easy vector via the AdTrack-CMV vector (Agilent Technologies). Adenoviruses were packaged in HEK293 cells and purified by CsCl<sub>2</sub> density gradient ultracentrifugation. The genomic DNA of adenovirus was purified with the NucleoSpin virus kit (Macherey Nagel) and the titration was performed with the Adeno-XTM quantitative PCR titration kit (#632252, Clontech).

### **Cell Culture**

Human aortic smooth muscle cells (HASMCs, CC-2571, Lonza) were cultured in SmGM<sup>TM</sup>-2 medium containing 5% FBS (CC-3182, Lonza) and used within 10 passages. Before thrombin stimulation, the HASMCs were made quiescent with DMEM/F12 with 0.5% fetal bovine serum (FBS) for 48 hours. A7r5 cells (CRL-1444<sup>TM</sup>, ATCC) were cultured in DMEM/F12 supplemented with 10% FBS) and 50mg/ml of a penicillin/streptomycin mix.

Mouse aortic smooth muscle cells (MASMCs) were isolated from the conventional Klf11 KO mice and WT mice (3-4-week-old male) as previously described.<sup>51</sup> Briefly, the aorta was isolated and digested in an enzyme solution: Hank's balanced salt solution containing 1 mg/ml collagenase type 2 (LS004174), 0.744U/ml elastase (LS002279) and 1 mg/ml soybean trypsin inhibitor (LS003570, all enzymes were from Worthington Biochemical Cooperation) for 10 minutes. After the first digestion, the adventitia was removed, and the lumen was scraped to remove endothelium. The remaining aortic media was subjected to final digestion in the same enzyme solution at 37°C for 1 hour. The disaggregated mouse aortic smooth muscle cells were plated on 0.1% gelatin (ES-006-B, Millipore) coated plates and cultured in DMEM/F12 supplemented with 20% FBS and 50 mg/ml of a penicillin/streptomycin mix. The purity of MASMCs was validated by immunostaining for  $\alpha$ -smooth muscle actin (SMA). All cells were cultured in a 5% CO<sub>2</sub> humidified incubator at 37°C. All cells were used within passages 6-10 for the experiments.

### **Reagents and Antibodies**

Thrombin (T1063); for cell culture studies, the control group was treated with the same volume of the vehicle for thrombin [0.1% (w/v) BSA in PBS], Lipopolysaccharide (L3012) and Tamoxifen (T5648) were from Sigma-Aldrich. BAY 11-7082 (10010266) was from Cayman. Thrombin (HT 1002a) used in the platelet aggregation assay was from Enzyme Research Laboratories. For western blot, antibodies against GAPDH (sc-32233, 0.1  $\mu$ g/ml) and tissue factor (sc-374441, 0.2  $\mu$ g/ml) were from Santa Cruz Biotechnology. Antibodies against  $\beta$ -actin (#4967S, 0.014  $\mu$ g/ml), histone 3 (#4499S, 0.01  $\mu$ g/ml), p65 (#8242T, 0.212  $\mu$ g/ml) and I $\kappa$ B $\alpha$  (#4814T, 0.463  $\mu$ g/ml) were from Cell Signaling Technology. Antibody against mouse KLF11 was produced by Syd labs (targeted to the epitope CTKKIPGWQAEVGKLNLR, 1  $\mu$ g/ml).

Monoclonal antibody against human KLF11 was from Abnova (H00008462-M01, 1 µg/ml). The anti-flag antibody (#14793S, 2.82 µg/ml) and rabbit IgG (#2729S, 2.82 µg/ml) for ChIP assay were from Cell Signaling Technology. The rat anti-mouse tissue factor 1H1 antibody, kindly provided by Dr. Daniel Kirchhofer at Genentech,<sup>59</sup> was applied for immunostaining (20 µg/ml) and blocking TF activity (200 µg/ml). The  $\alpha$ -smooth muscle actin ( $\alpha$ -SMA) antibody for immunostaining was from Abcam (ab5694, 1 µg/ml).

### **Messenger RNA (mRNA) Isolation and Quantitative Polymerase Chain Reaction (PCR)**

Total RNA from tissues or cells was extracted with the RNeasy Kit (QIAGEN) and was reverse-transcribed into cDNA with SuperScript III reverse transcriptase (Thermo Fisher Scientific). Gene expression was measured by real-time quantitative PCR with IQ SYBR Green Supermix (Bio-Rad). The primer sequences are listed in Table 2.1.

### **Protein Extracts and Western Blot**

Homogenized tissues or cells were lysed in RIPA lysis buffer at 4°C for 30 minutes and centrifuged at 15000 rpm for 15 minutes to remove the insoluble material. Proteins (30 µg/lane) were separated by 10% SDS-PAGE in running buffer (25mM Tris, 190mM glycine and 0.1% SDS) and electrophoretically transferred to 0.45 µm nitrocellulose membrane in transfer buffer (25mM Tris, 190mM glycine and 20% methanol). Membranes were blocked with 5% non-fat milk in Tris-buffered saline for 1 hour at room temperature. Blots were incubated with primary antibodies diluted in 5% non-fat milk at 4°C overnight (working concentration was described in “Reagents and Antibodies”), followed by incubation with IRDye secondary antibody (0.2 µg/ml, LI-COR) at room temperature for 1 hour. Odyssey infrared imaging system was used to scan the blots at 169 µm resolution. The blot intensity was quantified on a single channel with the Image Studio Analysis Software (LI-COR).

### **Small interfering RNA (siRNA)-mediated gene knockdown**

HASMCs were transfected with siRNA-KLF11 (107176, Thermo Fisher Scientific) or non-targeting siRNA control (AM4611, Thermo Fisher Scientific) using Lipofectamine RNAiMAX Reagent (13778150, Thermo Fisher Scientific) according to the manufacturer's recommendations. Briefly, si-Control or si-KLF11 were diluted in Opti-MEM (final concentration: 40 nM) and incubated with Lipofectamine RNAiMAX (diluted in Opti-MEM) for 20 minutes at room temperature. The mix was added to HASMCs cultured in 6-well plates. After incubation with the reaction mix for 24 hours, the medium was changed to DMEM/F12 with 0.5% FBS for a 48-hours' serum starvation before thrombin stimulation. Data are from triplicates and from at least three independent experiments.

### **Immunostaining**

Murine aortas were fixed in 4% paraformaldehyde and embedded in OCT. Cryostat aortic sections (7  $\mu$ m) were permeabilized with 0.3% Triton-X100 and stained with primary antibody against mouse TF (20  $\mu$ g/ml, Genentech) and  $\alpha$ -SMA (1  $\mu$ g/ml, Abcam) overnight, followed by immunostaining with the Alexa Fluor conjugated secondary antibody (1  $\mu$ g/ml, Jackson ImmunoResearch) for 2 hours. MASHCs seeded on 0.1% gelatin-coated cell culture chamber slides, and grown to 70% confluence, were fixed with 4% paraformaldehyde and permeabilized with 0.3% Triton-X100, followed by incubating with anti-SMA (1  $\mu$ g/ml) overnight and Alexa Fluor secondary antibody (1  $\mu$ g/ml, Jackson ImmunoResearch) for 2 hours. Slides were mounted with ProLong™ Gold Antifade Mountant with DAPI (Thermo Fisher Scientific) and images were taken with an Olympus IX73 microscope. The exposure time was 3 ms for DAPI and  $\alpha$ -SMA staining and 500 ms for TF staining. Quantification of the TF intensity was performed with

Image J. This process included 4 mice for each group and 3 randomly selected 40x objective microscopic fields for each specimen. The immunofluorescence signal of TF was calculated by subtracting nonspecific signal (determined by the control IgG staining) and then the values were divided by the total area of the medial layer (determined by  $\alpha$ -SMA staining). Data were normalized to the control group (basal level of WT mice) set as 1. The individuals performing imaging and analysis were blinded to the identity of the specimens.

### **Chromatin Immunoprecipitation Assay (ChIP)**

ChIP assays were performed using the SimpleChIP Enzymatic Chromatin IP Kit (Magnetic Beads) (9003S, Cell Signaling Technology) in accordance with the manufacturer's protocol. Briefly, serum-starved HASMCs were treated with 1% formaldehyde for 10 minutes at room temperature and the cross-linking was stopped with 0.1% glycine. The nuclei pellets were digested with Micrococcal Nuclease (1000 gel units/ $4 \times 10^6$  cells) at 37°C for 20 minutes, followed by sonication (35% Amplitude, 5 seconds, 3 times). After centrifugation, the supernatants were incubated with 1  $\mu$ g anti-flag antibody or normal rabbit IgG at 4°C overnight. The DNA/protein complexes were immunoprecipitated with Protein G Magnetic Beads with rotation at 4°C for 2 hours, followed by washing in low-salt and high-salt buffer and elution at 65°C for 30 minutes. The cross-link of DNA-protein complexes was reversed at 65°C overnight. DNA was purified and used as a template for real-time quantitative PCR. The primer sequences for ChIP assay are forward: 5'-GGTGAGTCATCCCTTGCAG-3'; reverse: 5'-GGGAGCTCGCAGTCTTG-3' and correspond to the region of -170 to +41 base pairs, relative to the transcription start site of the tissue factor gene.

### **Luciferase Assay**

A7r5 cells were co-transfected with plasmids using Lipofectamine 2000 (11668019, Thermo Fisher Scientific) according to the manufacturer's protocol. Briefly, A7r5 cells were cultured in 48-well plate and grown to approximately 80% confluence before transfection. Both the reporter plasmids (0.5  $\mu\text{g}/\text{well}$ ) and Lipofectamine 2000 Reagent were incubated with Opti-MEM separately and mixed for a 20 minutes' incubation. The mix was added to A7r5 cells cultured in DMEM/F12 containing 10% FBS. Three days after transfection, the *F3* promoter reporter activity was measured by firefly luciferase (Promega) and normalized against Renilla activity, co-transfected as a control.

### **Statistical Analysis**

All quantitative data are presented as mean  $\pm$  SEM. Statistical analysis was performed using the GraphPad Prism 7. All data were first subjected to Shapiro-Wilk normality test and *F* test to evaluate homogeneity of variances. For normally distributed data with similar variances among groups, unpaired Student *t*-test with Welch's correction was used for two-group comparisons and one-way analysis of variance (ANOVA) followed by Tukey's test was used for more than two groups' comparisons. Two-way ANOVA followed by Bonferroni test was applied for comparisons of grouped data under different conditions. A nonparametric Mann-Whitney test was used for data not normally distributed. All results were representative of at least four independent experiments.

**Table 2.1** Primer Sequences Used for Genotyping and Real-time Quantitative PCR

<b>Primers used for real-time quantitative PCR</b>		
<b>Gene*</b>	<b>Primer Sequences</b>	
<i>hKLF11</i>	F	GACACACACCTCACGGACAG
	R	ATCATCTGGCAAAGGACAGG
<i>hF3</i>	F	GTACTIONGGCACGGGTCTTCT
	R	AATTGTTGGCTGTCCGAGGT
<i>hTFPI</i>	F	GTTGGGCTATTCCCAACTGC
	R	TCGCTGCTGTCTGTTAGAGC
<i>hPAR-1</i>	F	CCACAAACGTCCTCCTGATT
	R	TGGGATCGGAACTTTCTTTG
<i>hMCP-1</i>	F	CAATCAATGCCCCAGTCACC
	R	TCGGAGTTTGGGTTTGCTTG
<i>hIL-1<math>\beta</math></i>	F	GGAGAATGACCTGAGCACCT
	R	GGAGGTGGAGAGCTTTCAGT
<i>hGAPDH</i>	F	GTCAGTGGTGGACCTGACCT
	R	TGCTGTAGCCAAATTCGTTG
<i>mKlf11</i>	F	CAGGGAACGTGTGATGCTGGT
	R	GCCATGACACTGGATGGACA
<i>mF3</i>	F	AAAGGGAAGAACACCCCGTC
	R	ATCAGAGCTCTCCGCAACAG
<i>mI8S</i>	F	CGCGGTTCTATTTTGTTGGT
	R	AGTCGGCATCGTTTATGGTC
<b>Primers used for mouse genotyping</b>		
Conventional <i>Klf11</i> KO	F	GAACAAGATGGATTGCACGCAGGTTCTCCG
	R	AGGCGATAGAAGGCGATGCGCTGCGAATCG
Wild type	F	CCTGCAGCTGGGACGGCTGTGAC
	R	CCCACAGGTGACGGATGCCACAG
Floxed <i>Klf11</i>	F	GCCCCTTGCTCCCAGAAATA
	R	GGTGAATCTCCCGCCTGGC
<i>Myh11-Cre</i>	F	TGACCCCATCTCTTCACTCC
	R	AGTCCCTCACATCCTCAGGTT

\* h: human. m: mouse.

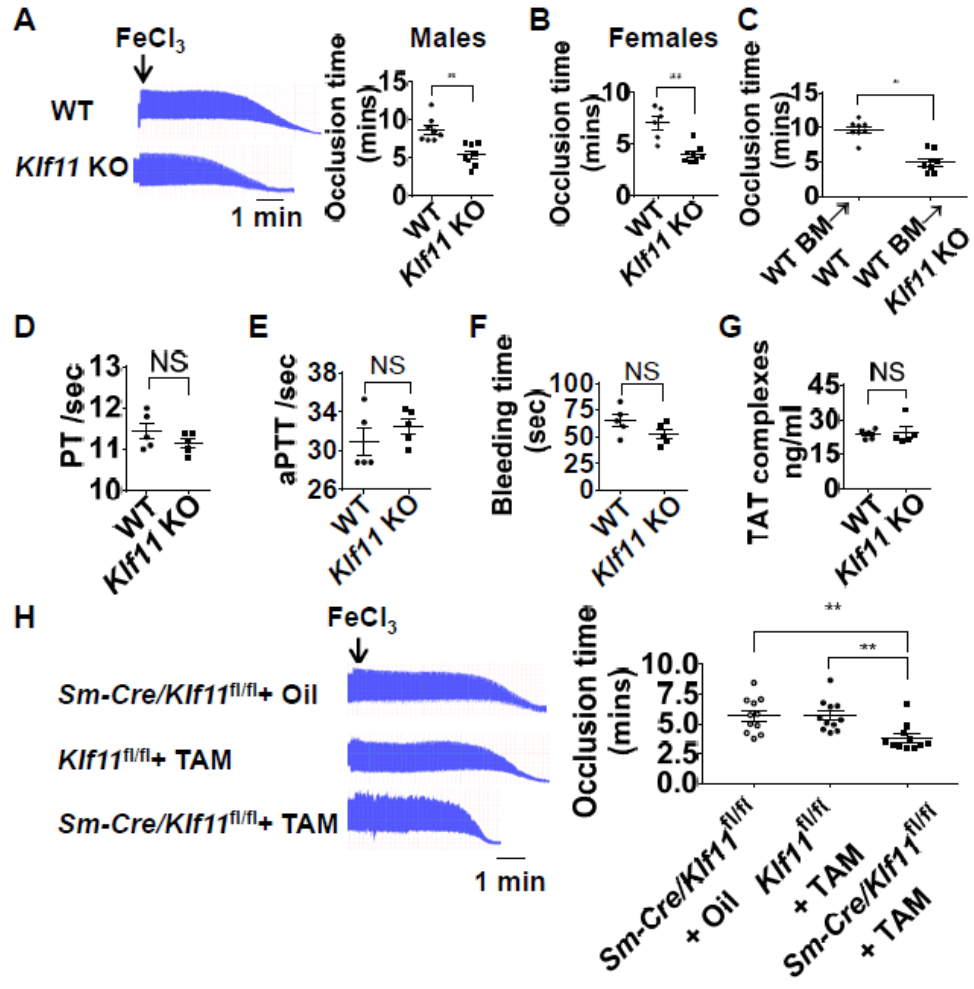
## Results

### *KLF11 Deficiency Aggravates Arterial Thrombosis In Vivo*

To assess the role of KLF11 in arterial thrombosis, we used the conventional *Klf11* KO mice previously reported<sup>28</sup> and applied a FeCl<sub>3</sub>-induced thrombosis model for studying arterial thrombosis.<sup>53</sup> The occlusion time in the *Klf11* KO male mice was significantly reduced to an average of 62% of that in WT C57BL/6J male mice (**Figure 2.3A**). A similar pro-thrombotic phenotype was also observed in *Klf11* KO female mice, with the occlusion time reducing to 56% of that in the WT C57BL/6J female mice (**Figure 2.3B**). To exclude the effects of *Klf11* KO in blood cells (platelets, neutrophils, macrophages, *etc.*) in this pro-thrombotic phenotype, we performed bone marrow transplantation (BMT) in *Klf11* KO and WT mice. The reduced occlusion time in the *Klf11* KO group transplanted with WT bone marrow was not rescued when compared with WT mice transplanted with WT bone marrow (**Figure 2.3C**).

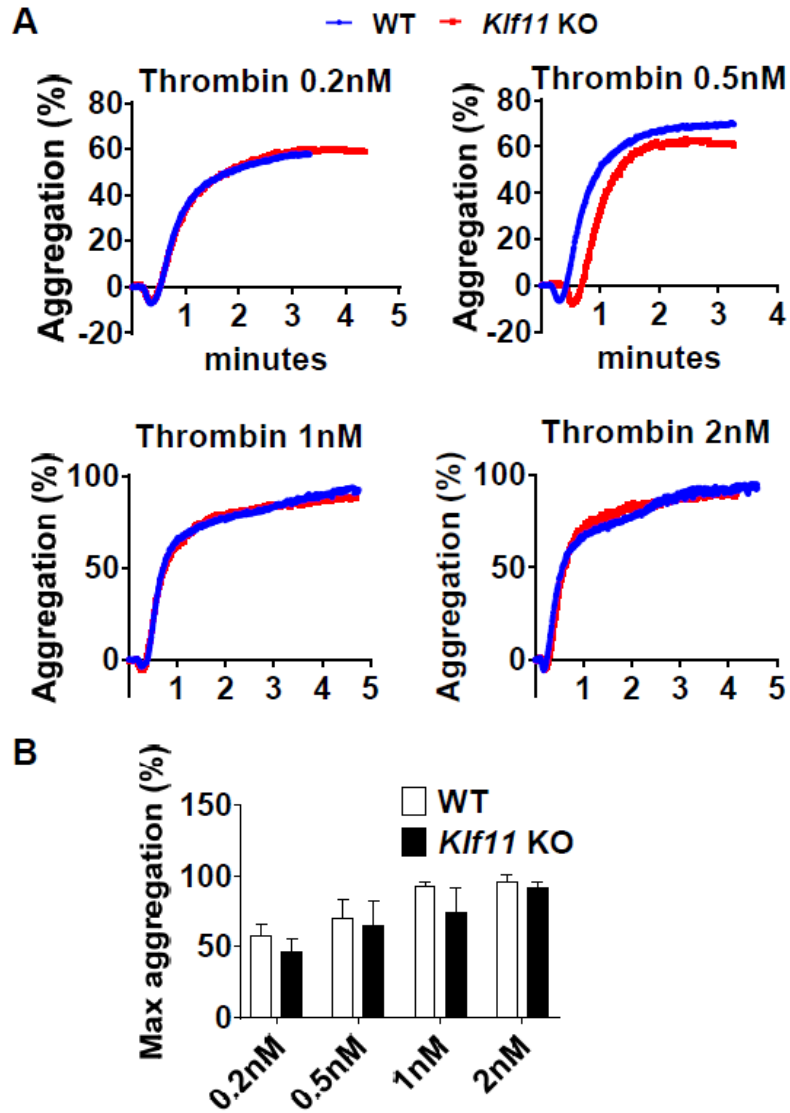
The hemostatic status in the *Klf11* KO male mice was evaluated by measuring the function of coagulation factors and platelets. Our results showed that KLF11 did not alter the prothrombin time (PT) and activated partial thromboplastin time (aPTT), which reflects the function of extrinsic/common or intrinsic coagulation pathways, respectively (**Figure 2.3D-E**). Bleeding time and thrombin-antithrombin (TAT) complexes were also unchanged in the *Klf11* KO mice, indicating that the general hemostatic status was normal in the conventional *Klf11*-deficient mice (**Figure 2.3F-G**). To evaluate whether the deficiency of KLF11 in megakaryocytes can affect the platelet function, we isolated washed platelets and performed a thrombin-induced platelet aggregation assay. The maximum platelet aggregation induced by thrombin did not show significant differences between WT and conventional *Klf11* KO mice (**Figure 2.4**).





**Figure 2.3** KLF11 deficiency aggravates arterial thrombosis.

**A-C**, The left carotid arteries of WT (wild type) and conventional *Klf11* KO (knockout) mice were subjected to 10% FeCl<sub>3</sub> to induce arterial thrombosis. **A**, Representative images of blood flow detected by ultrasound are shown with each division representing 8 seconds (left) and the corresponding occlusion time (right) determined in WT and *Klf11* KO male mice (n=8/group). **B**, Occlusion time in WT and *Klf11* KO female mice (n=6-8/group). **C**, WT male mice transplanted with WT bone marrow were designated as WT BM→WT, *Klf11* KO male mice transplanted with WT bone marrow were designated as WT BM→*Klf11* KO mice. The carotid artery occlusion time after bone marrow transplantation was recorded as in **A** (n=8/group). \*\**P*<0.01 or \**P*<0.05 using unpaired Student *t*-test. **D-G**, PT (prothrombin time), aPTT (activated partial thromboplastin time), bleeding time and TAT (thrombin-antithrombin) complexes were measured from WT and *Klf11* KO male mice (n=5/group). NS, no significance using unpaired Student *t*-test (**D**, **F**, **G**) or nonparametric Mann-Whitney test (**E**). **H**, The left carotid arteries of *Sm-Cre/Klf11<sup>fl/fl</sup>+TAM* (*Myh11-CreER<sup>T2</sup>/Klf11<sup>fl/fl</sup>+tamoxifen*) mice and controls: *Sm-Cre/Klf11<sup>fl/fl</sup>+Oil* (*Myh11-CreER<sup>T2</sup>/Klf11<sup>fl/fl</sup>+corn oil*) and *Klf11<sup>fl/fl</sup>+TAM* (*Klf11<sup>fl/fl</sup>+tamoxifen*) mice, were subjected to 10% FeCl<sub>3</sub> to induce thrombosis. Representative images of blood flow detected by ultrasound are shown and the occlusion time in control and *Sm-Klf11* KO mice was recorded (n=11/group). \*\**P*<0.01 using one-way ANOVA followed by Tukey's test.



**Figure 2.4** Thrombin-induced platelet aggregation response is not altered in *Klf11* KO mice.

Platelets were isolated from WT and conventional *Klf11* KO mice. **A**, Representative tracings of platelet aggregation. **B**, Maximum aggregation percent of platelets stimulated with different concentrations of thrombin.  $n=4$  per group. Data are presented as mean  $\pm$  SEM. Data between two groups were analyzed by two-way ANOVA followed by Bonferroni test.

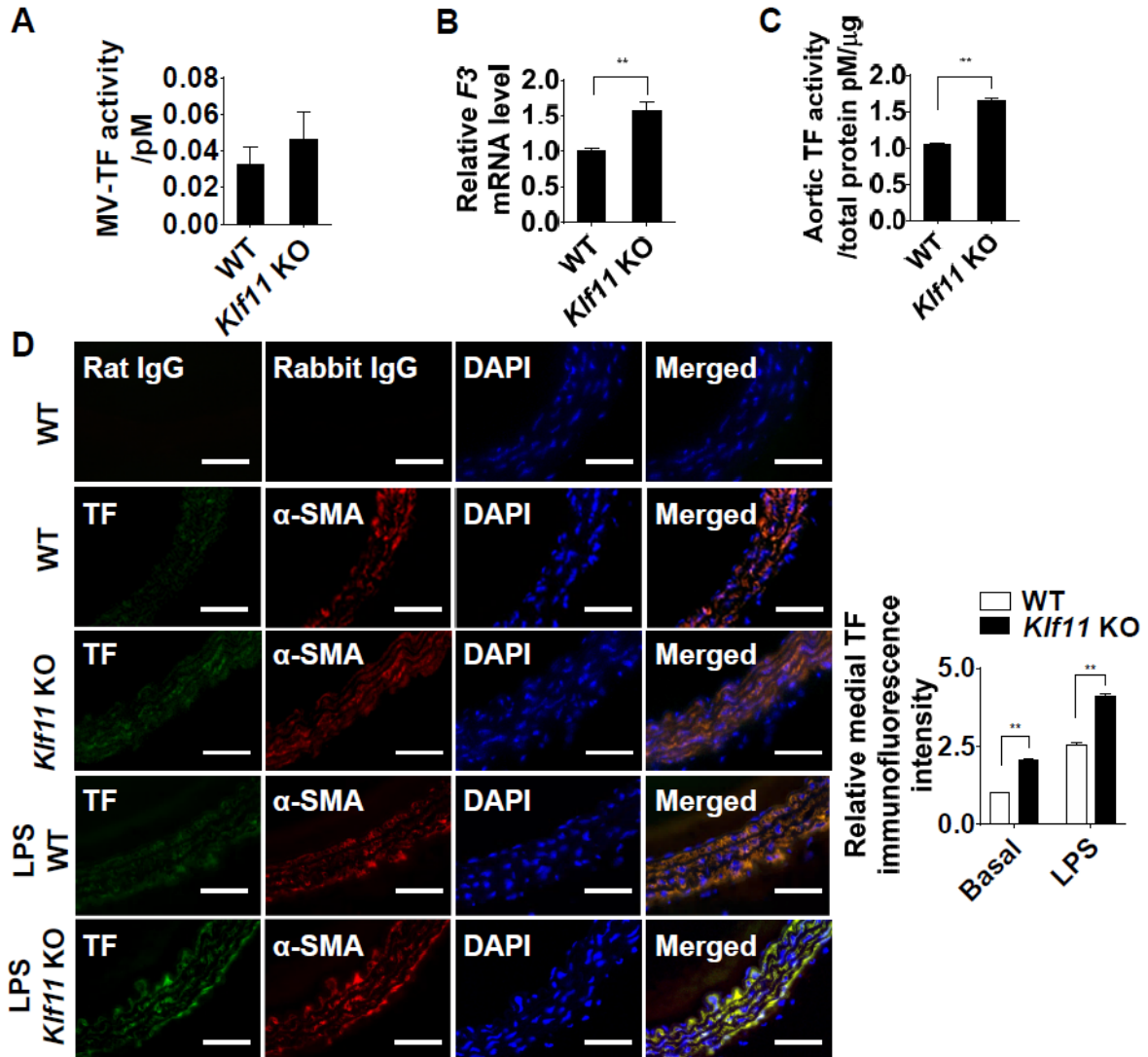
### *Smooth Muscle Cell-Specific KLF11 Deficiency Aggravates FeCl<sub>3</sub>-Induced Arterial Thrombosis*

Considering that the hemostatic status in the circulation was not affected and the bone marrow transplantation cannot rescue the occlusion time in the conventional *Klf11* KO mice, the phenotype in *Klf11* KO mice might result from molecular changes specific to the vascular wall. To further identify the role of the vascular smooth muscle cell (VSMC) KLF11 in thrombosis, we generated tamoxifen-inducible *Sm-Klf11* KO (*Myh11-CreER<sup>T2</sup>/Klf11<sup>fl/fl</sup>* + TAM) mice (**Figure 2.2A**). *Klf11<sup>fl/fl</sup>* mice treated with tamoxifen (*Klf11<sup>fl/fl</sup>* + TAM) and *Myh11-CreER<sup>T2</sup>/Klf11<sup>fl/fl</sup>* mice treated with corn oil (*Sm-Cre/Klf11<sup>fl/fl</sup>* + Oil) were used as controls. KLF11 deficiency in the aortic media of *Sm-Klf11* KO mice was confirmed at the mRNA and protein levels (**Figure 2.2B-C**). The specificity of the *Myh11-CreER<sup>T2</sup>* in the aorta had been confirmed previously, as no expression was detected in cells from the blood and bone marrow.<sup>60</sup> In the FeCl<sub>3</sub> thrombosis model, *Sm-Klf11* KO mice exhibited a similar pro-thrombotic phenotype as that observed in the conventional *Klf11* KO mice. The occlusion time in the *Sm-Klf11* KO mice was significantly reduced to an average of 67% of that in the two control mouse groups (**Figure 2.3H**). Our data suggest that VSMC KLF11 protects against arterial thrombosis.

### *TF Expression is Increased in the Vascular Wall of Conventional Klf11 KO Mice*

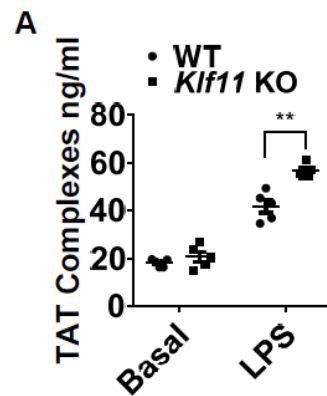
Tissue factor (TF) is an important initiator of the coagulation cascade, which can generate insoluble fibrin and form a thrombus. The vascular wall TF contributes to arterial thrombosis in cardiovascular diseases such as atherosclerotic plaque rupture and myocardial infarction.<sup>61</sup> VSMC TF is critical for arterial thrombus formation in the mouse FeCl<sub>3</sub> thrombosis model.<sup>53</sup> To determine whether TF is an effector mediating the enhanced thrombosis resulting from KLF11 deficiency, we measured TF expression in the aorta of conventional *Klf11* KO mice and WT

mice in basal conditions. First, circulating microvesicle-associated TF (MV-TF) activity showed no significant differences between *Klf11* KO and WT mice (**Figure 2.5A**). We applied anti-mouse TF 1H1 antibody<sup>59</sup> or rat IgG to validate the specificity of this assay. Next, we observed increased *F3* mRNA level and TF activity in the isolated carotid artery of conventional *Klf11* KO mice (**Figure 2.5B-C**). The elevated aortic TF activity can also be blocked by TF 1H1 antibody. Furthermore, the immunofluorescence staining data showed that TF protein was consistently upregulated in the vascular wall and co-localized with  $\alpha$ -smooth muscle actin ( $\alpha$ -SMA), a specific marker of VSMCs. Moreover, the *Klf11* KO mice also showed increased TF expression under lipopolysaccharide (LPS)-induced inflammatory conditions (**Figure 2.5D**). The relative TF intensity in the vascular wall was quantified and statistically analyzed. Collectively, our data suggest that KLF11 negatively regulates TF levels in VSMCs *in vivo*. Similar to the data in **Figure 2.3G**, no significant difference in TAT complexes was observed between WT and *Klf11* KO mice at basal conditions. However, there were higher TAT complexes in *Klf11* KO mice after LPS treatment (**Figure 2.6**).



**Figure 2.5** KLF11 deficiency induces TF (tissue factor) expression in arterial wall.

**A**, Activity of microvesicles-associated tissue factor (MV-TF) in the plasma after preincubation with IgG or TF 1H1 antibody (n=6/group). Data are presented by subtracting the amount of FXa generated in the presence of TF 1H1 antibody from the amount of total FXa generated in the presence of IgG. **B**, *F3* mRNA level of carotid arteries from WT and *Klf11* KO mice. The mRNA level was normalized by *18S* and is presented relative to the WT group set as 1 (n=4/group). **C**, The aortic TF activity was measured and presented as in A, after preincubation with IgG or TF 1H1 antibody and normalized to the total protein quantity (n=6/group). \*\* $P < 0.01$  using unpaired Student *t*-test (A-C). **D**, Expression of TF (Alexa 647, displayed in green) and  $\alpha$ -SMA ( $\alpha$ -smooth muscle actin, Alexa 568, displayed in red) in mouse aorta at basal level or 4 hours after LPS (30  $\mu$ g/kg) tail vein injection was visualized by immunofluorescence staining. Respective IgG staining was used as negative control. Scale bars=50  $\mu$ m. Quantification was performed from 4 mice, randomly selecting 3 different medial regions from each specimen and dividing the TF immunofluorescence intensity by medial area (indicated by  $\alpha$ -SMA positive cells). Data are presented relative to the basal level of WT group set as 1. \*\* $P < 0.01$  using two-way ANOVA followed by Bonferroni test.



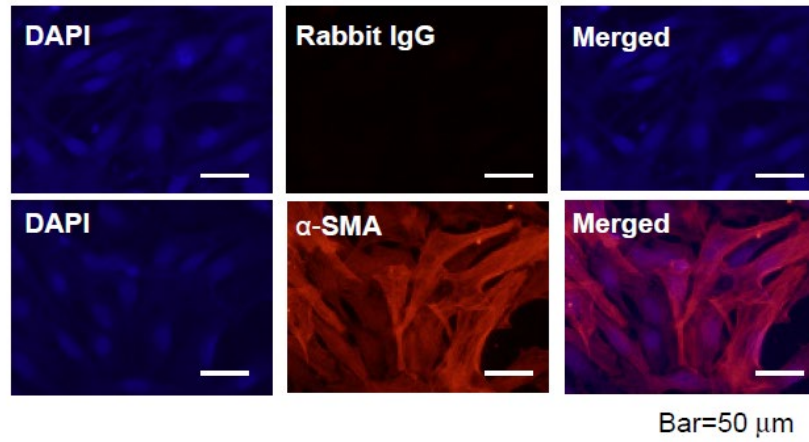
**Figure 2.6** Characterization of the hemostatic condition in the conventional *Klf11* KO mice after LPS (lipopolysaccharides) treatment.

**A,** The TAT (thrombin-antithrombin) complexes were measured from WT and *Klf11* KO male mice, at basal level or 4 hours after LPS (30  $\mu\text{g}/\text{kg}$ ) tail vein injection.  $n=5/\text{group}$ .  $**P<0.01$  using two-way ANOVA followed by Bonferroni test.



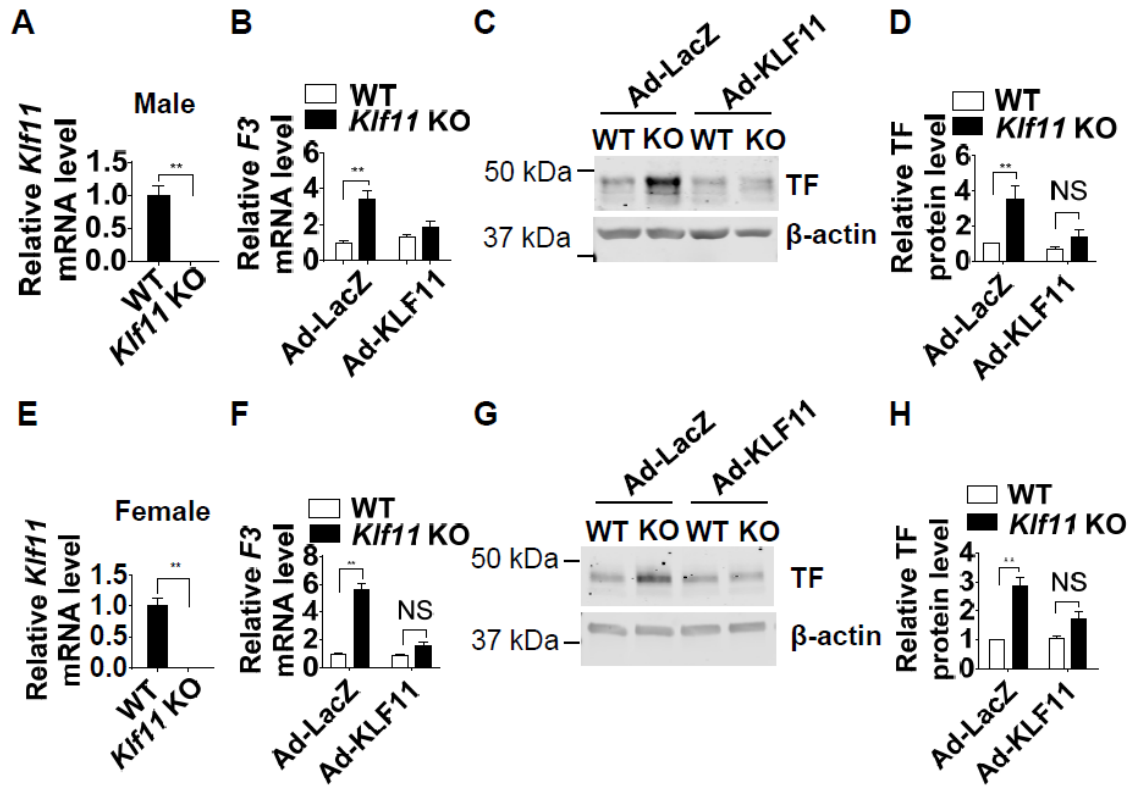
### *KLF11 Overexpression Rescues the TF Upregulation in KLF11-Deficient MASMCs*

As a complementary and necessary approach, mouse aortic smooth muscle cells (MASMCs) were isolated from male and female conventional *Klf11* KO mice and WT mice. The isolated MASMCs were characterized by immunostaining for  $\alpha$ -SMA (**Figure 2.7**). The *Klf11* deficiency was confirmed by real-time quantitative PCR (**Figure 2.8A, E**). A higher TF expression was observed in the MASMCs from both male and female *Klf11* KO mice compared with WT mice (**Figure 2.8B-D, F-H, Ad-LacZ**). In both genders, restoration of KLF11 can rescue the phenotype in *Klf11* KO MASMCs. As expected, the upregulated TF expression was significantly alleviated after KLF11 overexpression at both mRNA and protein levels (**Figure 2.8B-D, F-H, Ad-KLF11**). These results indicate that endogenous KLF11 is required to prevent excessive TF upregulation, a hallmark of VSMC involvement in thrombosis.<sup>53</sup>



**Figure 2.7** Characterization of freshly isolated mouse aortic smooth muscle cells.

Expression of  $\alpha$ -SMA ( $\alpha$ -smooth muscle actin, 1  $\mu$ g/ml, Alexa 568 displayed in red) in mouse aortic smooth muscle cells was visualized by immunofluorescence staining. Rabbit IgG staining was used as the negative control. Scale bars=50  $\mu$ m.



**Figure 2.8** KLF11 overexpression rescues the TF (tissue factor) upregulation in KLF11-deficient MAMSCs (mouse aortic smooth muscle cells).

MAMSCs were isolated from male (A-D) and female (E-H) WT or *Klf11* KO mice. **A and E**, *Klf11* mRNA level of MAMSCs from WT and *Klf11* KO mice. The mRNA level was normalized by 18S and is presented relative to the WT group set as 1 (n=4/group). \*\*P<0.01 using unpaired Student t-test. **B-H**, MAMSCs isolated from WT or *Klf11* KO mice were infected with Ad-LacZ or Ad-KLF11 (50 MOI). **B and F**, *F3* (the gene name of TF) mRNA level of MAMSCs was normalized by 18S and is presented relative to the WT infected with Ad-LacZ group set as 1 (n=4/group). **C and G**, Representative western blot of TF protein level. **D and H**, Band density from 4 independent western blots was quantitatively analyzed and normalized against  $\beta$ -actin. The WT infected with Ad-LacZ group was set as 1. \*\*P<0.01 or NS, no significance using two-way ANOVA followed by Bonferroni test (**B, D, F, H**).

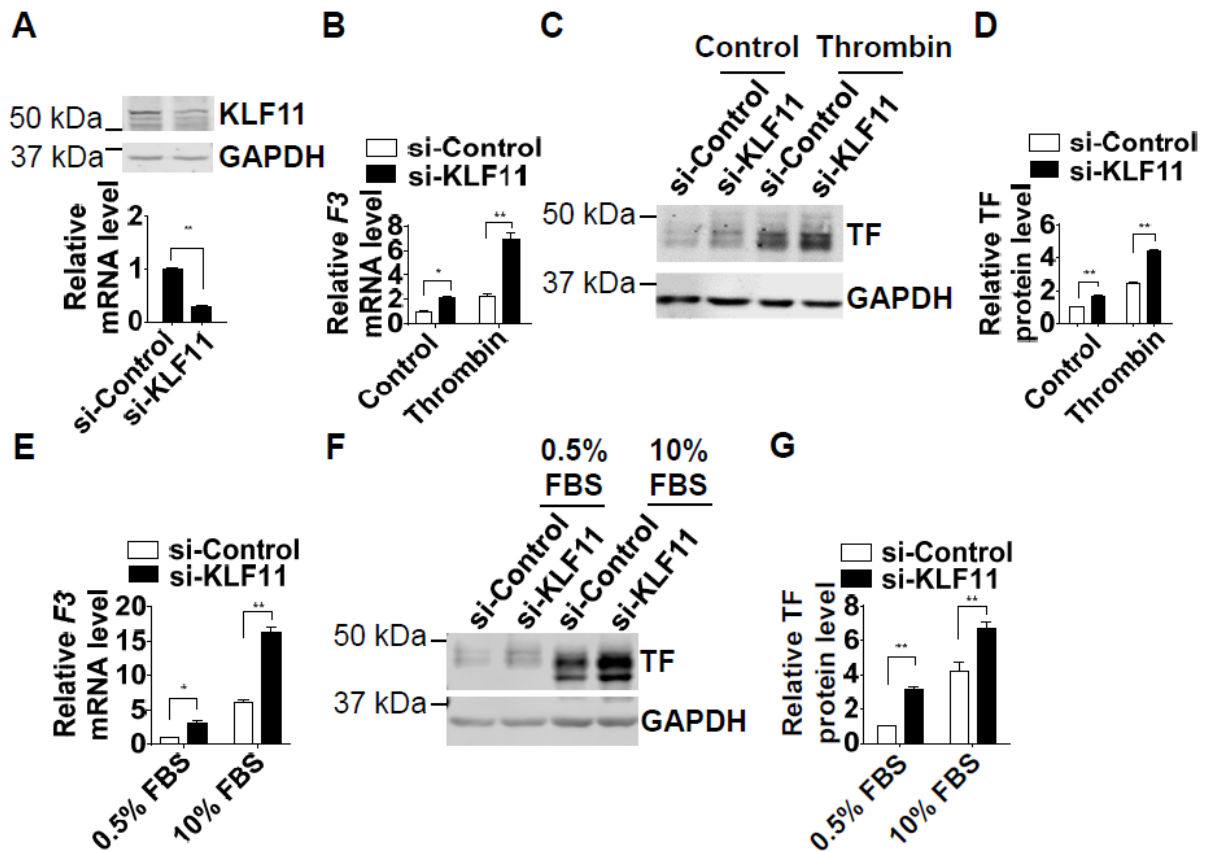
### *KLF11 Inhibits TF Expression in HASMCs*

To determine whether KLF11 is essential to regulate TF in VSMCs, we measured TF expression upon KLF11 knockdown in HASMCs. The efficiency of siRNA-mediated KLF11 knockdown was confirmed at the mRNA and protein levels (**Figure 2.9A**). The KLF11 knockdown in HASMCs increased both the basal and thrombin-induced expression of TF at mRNA and protein levels (**Figure 2.9B-D**). Similar effects were observed in HASMCs stimulated with 10% FBS-containing culture medium (**Figure 2.9E-G**).

Apart from TF, other factors can also affect the formation of arterial thrombus. In HASMCs, the expression of other thrombosis related factors such as tissue factor pathway inhibitor (*TFPI*) and protease-activated receptor-1 (*PAR-1*) and inflammatory genes such as monocyte chemoattractant protein-1 (*MCP-1*) and interleukin 1 beta (*IL-1 $\beta$* ) was not significantly changed in the KLF11-deficient HASMCs (**Figure 2.10**).

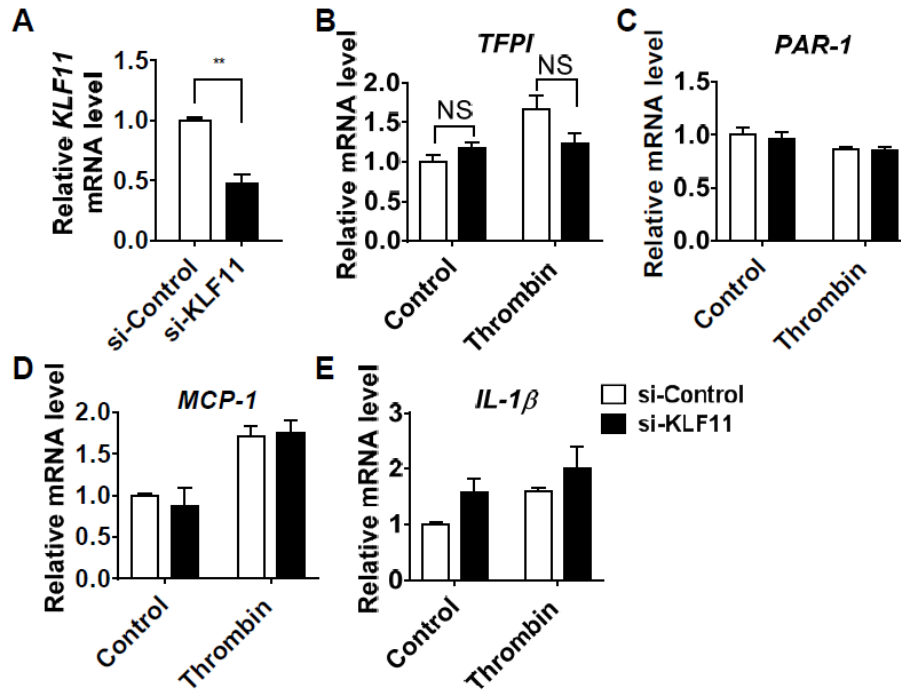
Further, we upregulated KLF11 in primary HASMCs to determine whether KLF11 regulates TF *in vitro*. In HASMCs, adenovirus-mediated overexpression of KLF11 (**Figure 2.11A**) significantly inhibited the thrombin-induced TF expression at both mRNA and protein levels (**Figure 2.11B-D**). Similarly, KLF11 also suppressed TF expression in HASMCs stimulated with 10% FBS-containing culture medium (**Figure 2.11E-G**). Thus, our data suggest that KLF11 potently inhibits TF in human VSMCs under either thrombin stimulation or normal serum conditions.

Interestingly, KLF11 overexpression also decreased tumor necrosis factor alpha (TNF- $\alpha$ )-induced TF expression in human umbilical vein endothelial cells (HUVECs) at both mRNA and protein levels (**Figure 2.12**), implicating that endothelial KLF11 also may have an important role in thrombosis.



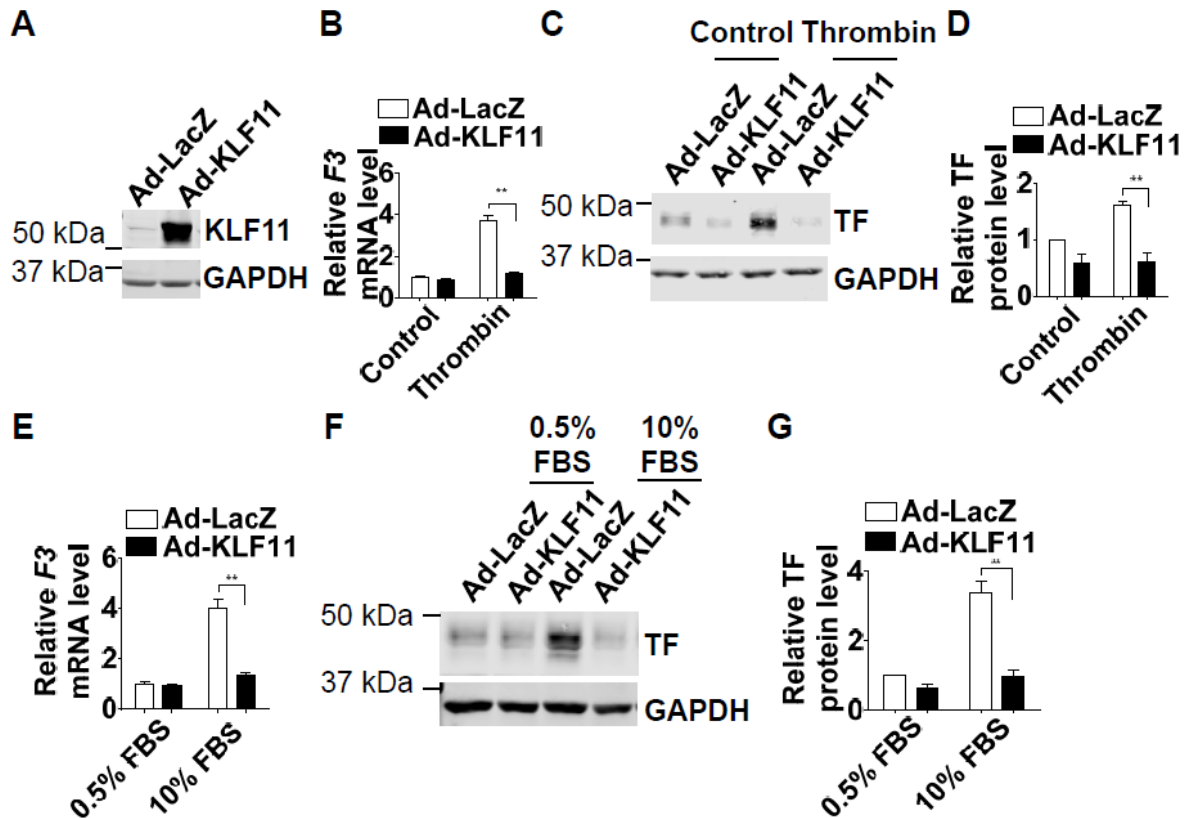
**Figure 2.9** TF (Tissue factor) expression is increased upon KLF11 knockdown in HASMCs (human aortic smooth muscle cells).

HASMCs were transfected with si-Control or si-KLF11 (40 nM) and 24 hours later, serum starved with 0.5% FBS (fetal bovine serum) for 48 hours. Three days after transfection, HASMCs were exposed to thrombin (3.24  $\mu\text{g/ml}$ ) (**B-D**) or 10% FBS (**E-G**) for 4 hours. **A**, The knockdown efficiency of KLF11 was determined by real-time quantitative PCR and western blot. The mRNA level was normalized by GAPDH and is presented relative to HASMCs transfected with si-Control group set as 1 ( $n=4/\text{group}$ ).  $**P<0.01$  using unpaired Student's t-test. **B and E**, *F3* (the gene name of TF) mRNA level of HASMCs was normalized by GAPDH and is presented relative to HASMCs transfected with si-Control group set as 1 ( $n=4/\text{group}$ ). **C and F**, Representative western blot showing the protein level of TF. **D and G**, Band density from 4 independent western blots was quantitatively analyzed and normalized against GAPDH. HASMCs transfected with si-Control group was set as 1.  $*P<0.05$ ,  $**P<0.01$  using two-way ANOVA followed by Bonferroni test (**B, D, E, G**).



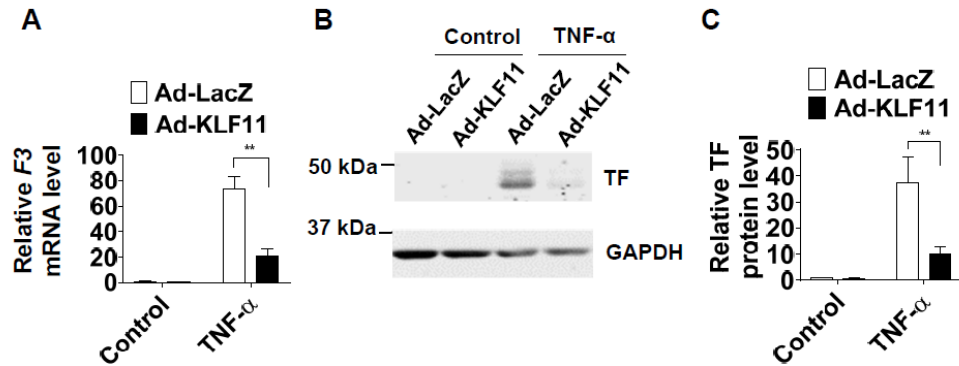
**Figure 2.10** Expression of thrombosis related genes in HASMCs (human aortic smooth muscle cells) upon KLF11 knockdown.

HASMCs were transfected with si-Control or si-KLF11 (40 nM) in 6-well plates and 24 hours later serum starved with 0.5% FBS (fetal bovine serum) for 48 hours. Three days after transfection, HASMCs were treated with thrombin (3.24  $\mu\text{g}/\text{ml}$ ) for 4 hours. **A**, The KLF11 mRNA level was normalized by GAPDH and is presented relative to the si-Control group set as 1. Data are presented as mean  $\pm$  SEM.  $n=4$  per group.  $**P<0.01$  using unpaired Student t-test. **B-E**, The expression of tissue factor pathway inhibitor (TFPI) (**B**), protease activated receptor-1 (PAR-1) (**C**), monocyte chemoattractant protein-1 (MCP-1) (**D**) and interleukin 1 beta (IL-1 $\beta$ ) (**E**) was determined by real-time quantitative PCR and normalized by GAPDH. The data are presented relative to the si-Control group set as 1. Data are from 4 independent experiments and presented as mean  $\pm$  SEM. NS, no significance using two-way ANOVA followed by Bonferroni test.



**Figure 2.11** TF (Tissue factor) expression is decreased upon KLF11 overexpression in HASMCs (human aortic smooth muscle cells).

HASMCs were infected with Ad-LacZ or Ad-KLF11 (50 MOI). Twelve hours after infection, HASMCs were serum starved with 0.5% FBS (fetal bovine serum) for 48 hours and then stimulated to thrombin (3.24  $\mu\text{g/ml}$ ) (**B-D**) or 10% FBS (**E-G**) for 4 hours. **A**, The overexpression of KLF11 was determined by western blot. **B and E**, *F3* (the gene name of TF) mRNA level of HASMCs from each group was normalized by GAPDH and is presented relative to HASMCs infected with Ad-LacZ group set as 1 (n=4/group). **C and F**, Representative western blot showed the protein level of TF. **D and G**, Band density from 4 independent western blots was quantitatively analyzed and normalized against GAPDH. HASMCs infected with Ad-LacZ group was set as 1. \*\*P<0.01 using two-way ANOVA followed by Bonferroni test (B, D, E, G).



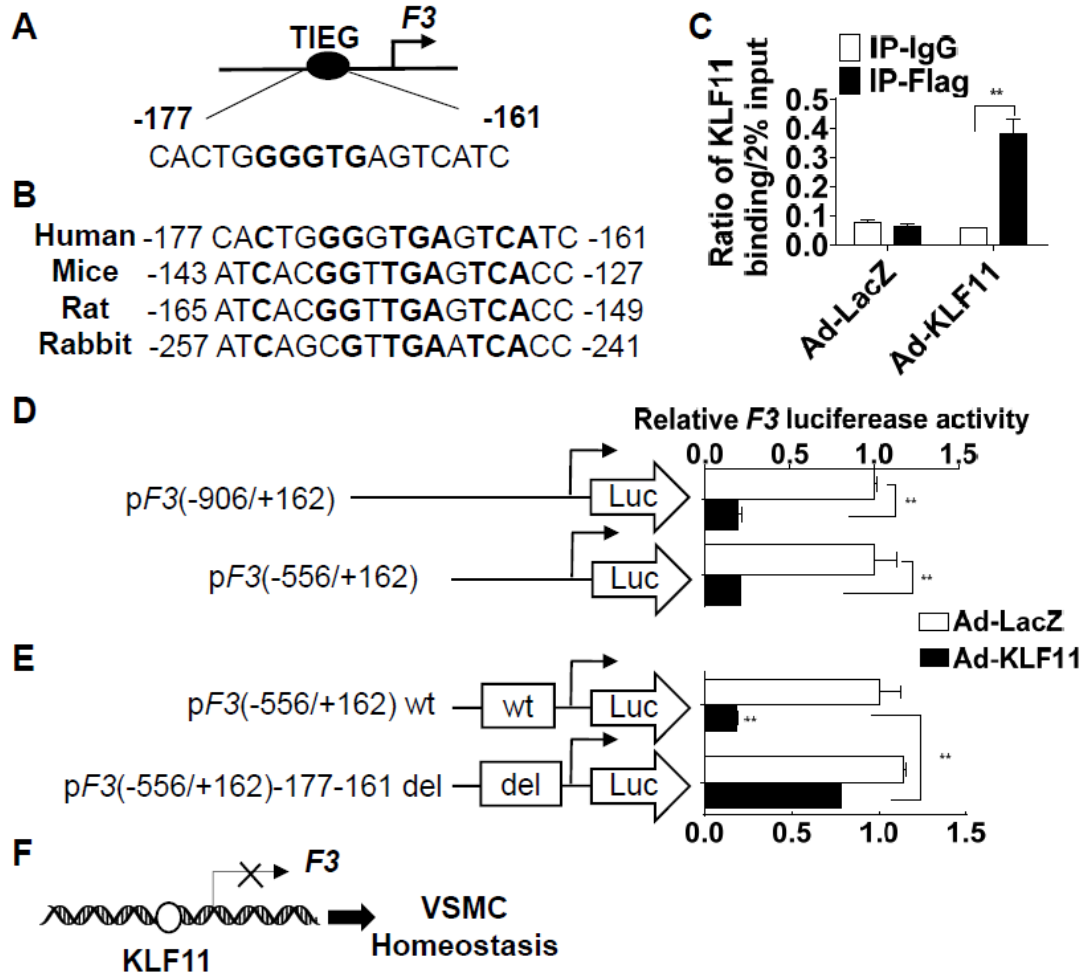
**Figure 2.12** KLF11 inhibits TNF (Tumor necrosis factor)- $\alpha$ -induced *F3* (tissue factor) transcription in HUVECs (human umbilical vein endothelial cells).

HUVECs were infected with Ad-LacZ or Ad-KLF11 (10 MOI). Forty-eight hours after infection, HUVECs were stimulated with TNF- $\alpha$  (5 ng/ml) for 4 hours. **A**, *F3* (the gene name of TF) mRNA expression from each group was normalized by GAPDH and is presented relative to HUVECs infected with Ad-LacZ group set as 1. Data are presented as mean  $\pm$  SEM. n=4 per group. \*\*P<0.01 using two-way ANOVA followed by Bonferroni test. **B**, Representative western blot showed the protein level of TF. **C**, Band density from 4 independent western blots was quantitatively analyzed and normalized against GAPDH. HUVECs infected with Ad-LacZ group was set as 1. Data are presented as mean  $\pm$  SEM. \*\*P<0.01 using two-way ANOVA followed by Bonferroni test.



### *KLF11 Inhibits F3 Transcription*

Next, we determined the mechanism that mediates the regulation of *F3* (the gene name of TF) by KLF11. *KLF11* was originally identified as the transforming growth factor- $\beta$ -inducible early gene 2 (*TIEG2*), with a preference to bind at GC-rich sequences (GGGTG).<sup>62</sup> Transcription factor binding site analysis of the human *F3* gene (Genomatix) revealed a relatively conserved TIEG binding site (-177 to -161 base pairs) upstream of the *F3* transcription start site (**Figure 2.13A**). The TIEG binding site is conserved among human, mouse, rat and rabbit (**Figure 2.13B**). To determine whether this TIEG binding site is a functional KLF11 binding region, we performed chromatin immunoprecipitation (ChIP) assay in the HASMCs infected with Ad-flag-KLF11 or Ad-LacZ. Our data suggest that KLF11 can bind to the region containing this TIEG binding site (**Figure 2.13C**). To determine whether KLF11 regulates *F3* at the transcriptional level, we generated luciferase reporter constructs, which were under the control of different lengths (-906/+162 and -556/+162) of the human *F3* promoter. In A7R5 cells, a rat aortic smooth muscle cell line, transfected with different reporter constructs, KLF11 overexpression significantly reduced the luciferase activity (**Figure 2.13D**). Next, we deleted the TIEG bind site (-177 to -161) in the *F3* promoter-driven luciferase construct. As expected, the deletion of the TIEG binding site significantly attenuated the KLF11 inhibition of *F3* luciferase activity (**Figure 2.13E**) from the reporter plasmid. In conclusion, we identified that KLF11 inhibits *F3* expression at the transcription level through direct binding to the *F3* promoter (**Figure 2.13F**).



**Figure 2.13** KLF11 inhibits *F3* (gene name of tissue factor) transcription.

**A**, A diagram showing the simplified structure of the human *F3* promoter region with an illustration of the TIEG (transforming growth factor-beta-inducible early gene) binding site. KLF binding region is shown in bold. **B**, The bold bases indicate the conservation of the TIEG binding site among species. **C**, HASMCs were infected with Ad-LacZ or Ad-Flag KLF11. Forty-eight hours after infection, the binding of KLF11 to the *F3* promoter was determined by ChIP assays using an antibody against Flag (n=4/group). **D-E**, A7r5 cells were transfected with two different lengths (**D**), or wt (wild type) or del (region deleted) (**E**) luciferase reporter driven by the *F3* promoter and then infected with Ad-LacZ or Ad-KLF11 (50 MOI). Two days later, the luciferase activity was measured and normalized by Renilla activity. The results are presented relative to A7r5 transfected with p*F3* (-906/+162) (**D**) or wt (**E**) and infected with Ad-LacZ group set as 1 (n=4/group). \*\*P<0.01 using two-way ANOVA followed by Bonferroni test (**C, D, E**). **F**, Schematic summary: KLF11 inhibits *F3* transcription by directly binding to the *F3* promoter region.

## Discussion

In the current study, we observed an increase in arterial thrombosis in both genetically engineered conventional *Klf11* KO mice and VSMC-specific *Klf11* KO mice. In cultured human aortic smooth muscle cells, we demonstrated that KLF11 inhibits TF expression.

Mechanistically, KLF11 directly binds to the *F3* promoter region and thereby suppresses the transcription of *F3*. This study demonstrated a potential role for VSMC KLF11 in arterial thrombosis.

The KLF family modulates cardiovascular activity through regulation of metabolism and inflammation in the cardiovascular system.<sup>3, 4, 46</sup> Endothelial KLF2 and KLF4 have been reported to inhibit thrombus formation by inhibiting the transcription of pro-thrombotic factors (*e.g.*, plasminogen activator inhibitor one and TF) and increasing the expression of anti-thrombotic factors (*e.g.*, thrombomodulin) under inflammatory conditions.<sup>3, 63-65</sup>

We previously identified that KLF11 inhibits endothelial activation<sup>28</sup> and attenuates endothelial dysfunction in the mouse middle cerebral artery occlusion-induced stroke model.<sup>29</sup> Our current finding that VSMC KLF11 inhibits arterial thrombosis advances the understanding of the protective role of KLF11 in vascular diseases. Population genetics studies identified that mutations in the *KLF11* gene are positively associated with type 2 diabetes.<sup>25</sup> Cardiovascular events are the major causes of death in diabetes.<sup>66</sup> Our study points to a potentially beneficial effect of KLF11 on cardiovascular complications in diabetic patients. Follow-up studies are warranted to determine the role of VSMC KLF11 in diabetes-associated cardiovascular diseases such as atherosclerosis, thrombosis, and angiogenesis.

In this study, we used the FeCl<sub>3</sub> thrombosis model, a widely used mouse arterial thrombosis model,<sup>67</sup> to study the role of KLF11 in the vascular wall *in vivo*. The penetration of

FeCl<sub>3</sub> from adventitia triggers thrombosis.<sup>68</sup> In this study, we found that conventional *Klf11* KO mice were more pro-thrombotic. The bone marrow transplantation study and the measurement of MV-TF activity excluded a potential involvement of KLF11 from blood cells and circulation-derived TF. A similar pro-thrombotic phenotype was observed in the *Sm-Klf11* KO mice, which further demonstrated the anti-thrombotic effects of KLF11 in the vascular wall under basal conditions.

TF is critical in maintaining the balance between hemostasis and thrombosis.<sup>69</sup> VSMCs in human atherosclerotic plaques express high levels of TF.<sup>70-72</sup> Interestingly, a previous study using a low-TF mouse demonstrated that the vascular wall derived TF, rather than leukocytes derived TF, is responsible for the macrovascular thrombosis.<sup>73</sup> Therefore, the lower expression of TF in the vascular wall, especially in the VSMCs, can limit the initiation of the TF-dependent coagulation cascade and thus be a potentially protective mechanism for the prolonged occlusion time *in vivo*. Compared with endothelial cells (ECs), VSMCs have a higher constitutive expression of TF and are considered the primary TF source in the arterial wall.<sup>74</sup> The expression of TF can be rapidly induced in VSMCs after artery injury<sup>75</sup> and contributes to thrombosis events after plaque rupture.<sup>70, 76</sup> The *SM22*-driven VSMC-specific TF-deficient mice showed an increase in occlusion time in FeCl<sub>3</sub>-induced arterial thrombosis, indicating a key role of VSMC-derived TF in arterial thrombosis.<sup>53</sup> Although TF is not the only key factor in thrombosis formation, inhibition of TF activity by a monoclonal antibody<sup>77</sup> or administration of its counterpart recombinant TFPI<sup>78</sup> showed beneficial effects, which make inhibition of TF a potential pharmaceutical target for thrombosis. In addition, it has been reported that the deficiency of TFPI in smooth muscle cells can reduce the occlusion time in the FeCl<sub>3</sub> model.<sup>79</sup> However, in this study, we found that *TFPI* expression was not significantly changed in the

KLF11-deficient VSMCs. Therefore, the increased TF can at least partially account for the pro-thrombotic phenotype in the KLF11-deficient VSMCs.

It is well known that thrombin causes positive feedback effects on the coagulation cascade, including promoting the contact activation pathway.<sup>80</sup> However, numerous studies indicated that thrombin can also induce TF expression in VSMCs.<sup>81-84</sup> Thrombin can bind to protease-activated receptors (PARs) on human aortic SMCs and activate protein kinase B (PKB), protein kinase C (PKC) and mitogen-activated protein kinase (MAPK) pathways, which could induce TF expression.<sup>85-87</sup> Our data demonstrated that KLF11 directly binds to the *F3* promoter and inhibits its activity in VSMCs, which account for the KLF11-dependent decreased *F3* transcription under thrombin stimulation. The effect of KLF11 on thrombin-activated signaling pathways (*e.g.*, PARs, PKB, PKC, and MAPK) warrants future investigation.

In the present study, we demonstrated that KLF11 binds to the *F3* promoter to inhibit its transcription (**Figure 2.13**). In basal conditions (serum starvation), TF is expressed at a very low level in VSMCs, and endogenous KLF11 is abundant enough to maintain the low level of TF by direct binding to the *F3* promoter. Thus, overexpression of KLF11 did not further inhibit *F3* transcription (**Figure 2.11**). The ChIP (**Figure 2.13C**) and luciferase (**Figure 2.13D-E**) experiments were performed in normal growth conditions (10% FBS). Under these conditions, we observed the inhibitory effect of KLF11 on *F3* transcription (**Figure 2.11**). Upon thrombin or serum stimulation, overexpressed KLF11 may compete with other transcription factors such as activator protein-1 (AP-1),<sup>88</sup> whose binding region (-172-160bp) at the *F3* promoter overlaps with the KLF11 binding region (-177-161bp). On the other hand, KLF11 deficiency may vacate the KLF11 binding site and abolish the repressive effect of KLF11 on *F3* promoter activity.

Therefore, KLF11 deficiency can increase *F3* transcription even under basal conditions (**Figure 2.9**).

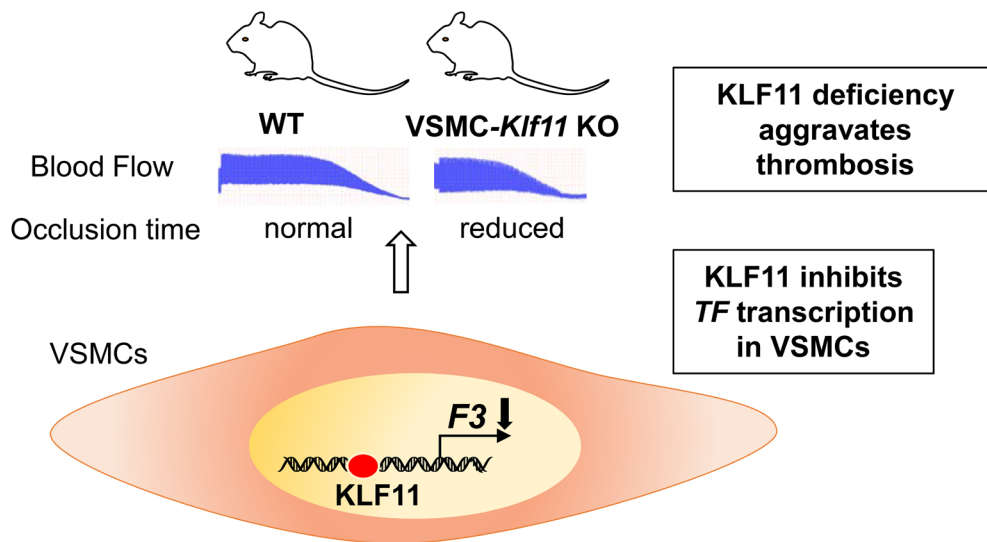
The FeCl<sub>3</sub> model is a commonly used experimental model to study arterial thrombosis.<sup>89</sup> However, whether the endothelium is denuded and internal elastic lamina is intact in this model are still controversial.<sup>90, 91</sup> The mechanisms mediating the VSMC derived effect on arterial thrombosis in the FeCl<sub>3</sub> model remain to be investigated. Noteworthy, despite an intact internal elastic lamina upon FeCl<sub>3</sub> infiltration,<sup>90, 91</sup> VSMC-specific TF KO inhibited the thrombus formation in the FeCl<sub>3</sub> model.<sup>53</sup> In the present study, we found that smooth muscle cell-specific *Klf11* KO mice had a pro-thrombotic phenotype in association with increased TF, indicating that VSMC KLF11 can maintain an anti-thrombotic state through transcriptional control of *F3*. We also found that KLF11 overexpression potently inhibited tumor necrosis factor-alpha (TNF- $\alpha$ )-induced TF expression in HUVECs at both the mRNA and protein levels (**Figure 2.12**), although it should be highlighted that endogenous levels of KLF11 in ECs are low.<sup>3</sup> Since the contribution of endothelium is unclear in the FeCl<sub>3</sub> model,<sup>90, 91</sup> the function of EC KLF11 in thrombosis warrants further investigation independently in other appropriate thrombosis models.

Interestingly, although there was no significant difference in TAT complexes between WT and *Klf11* KO mice at basal conditions, there was a higher TAT complex in *Klf11* KO mice after LPS treatment (**Figure 2.3** and **Figure 2.6**). At basal conditions, TF is constitutively expressed in the vascular wall and expressed much less in circulation.<sup>92, 93</sup> After LPS stimulation, TF expression is significantly induced not only in the vascular wall, but also in monocytes.<sup>94, 95</sup> We have demonstrated that KLF11 inhibits TF expression in VSMCs and ECs. Whether KLF11 also has inhibitory effects on TF expression in monocytes and thereby inhibits the pro-thrombotic status under LPS conditions warrants future investigations. Moreover, the

conversion of prothrombin to thrombin is the common pathway in the coagulation cascade. <sup>93</sup>

Under LPS-treated conditions, apart from the increased TF expression, the abnormality of other coagulation factors may also contribute to the elevated TAT complexes in *Klf11* KO mice.

In summary (**Figure 2.14**), utilizing gain- and loss-of-function strategies, we demonstrated an important homeostatic role of KLF11 as an anti-thrombotic factor in the vascular wall. Furthermore, we uncovered KLF11-dependent transcriptional inhibition of *F3* in VSMCs as the potential mechanism underlying this anti-thrombotic effect. Our findings extend the current understanding of the roles of KLF11 in the vascular system. Manipulation of this novel molecular target could contribute to therapeutic strategies aimed at controlling thrombosis under pathological conditions and diabetic vascular pathologies at large.



WT, wild type mice; VSMC-*Klf11* KO mice, vascular smooth muscle cell specific krüppel-like factor 11 knockout mice; VSMCs, vascular smooth muscle cells; TF, tissue factor.

**Figure 2.14 Summary**

In vascular smooth muscle cells (VSMCs), KLF11 binds at tissue factor (*F3* gene) promoter to inhibit *F3* transcription. This can result in the protection against arterial thrombosis in VSMC-*Klf11* KO mice.



## Chapter 3 KLF11 in Endothelial Cells and Deep Vein Thrombosis

### Introduction

Venous thromboembolism (VTE), ranked as the third leading vascular disease after myocardial infarction and stroke,<sup>96</sup> is a major threat to global health with 10 million cases occurring each year.<sup>97</sup> In the USA, the prevalence of VTE is still on the rise, and its annual economic cost is estimated to be \$7–10 billion.<sup>98</sup> Although VTE contributes to a high disease burden, it is considered a preventable disease, which drives the need for a deeper understanding of the mechanism underlying thrombus initiation and propagation. The endothelium plays a critical role in this process as it functions as the intersection point between inflammation and thrombus formation. Inflammation caused by either local vessel injury or systemic disease conditions (*e.g.*, sepsis, dyslipidemia) can induce many endothelial gene expression changes.

The KLF (Krüppel-like factor) family, a group of transcription factors with Cys2/His2 zinc-finger DNA-binding domain,<sup>4</sup> plays essential roles in the maintenance of vascular homeostasis and the pathology of various vascular diseases.<sup>3, 46</sup> Among them, *KLF11* gene was initially discovered from a human genetic study that identified several *KLF11* gene polymorphisms associated with diabetes.<sup>25</sup> Maturity-onset diabetes mellitus of the young type 7 (MODY7), a particular type of early-onset type 2 diabetes mellitus, is defined by harboring mutations in the *KLF11* gene.<sup>25</sup> Diabetes is commonly complicated with vascular dysfunction, including thrombosis, contributing to one of its leading causes of mortality.<sup>49</sup> Others and our lab proved that KLF11 is critical in maintaining vascular hemostasis. Endothelial KLF11 has been demonstrated to maintain vascular homeostasis in many diseases, including sepsis, stroke,

abdominal aortic aneurysm, and type 2 diabetes mellitus.<sup>28-30, 32, 99, 100</sup> We also recently identified that selective deficiency of KLF11 in vascular smooth muscle cells (VSMCs) aggravated arterial thrombosis formation.<sup>101</sup> In line with the known protective effects of KLF11 in vascular diseases, in this study, we further found that KLF11 inhibits deep vein thrombosis (DVT) formation.

## **Materials and Methods**

### **Mice**

Conventional KLF11 knockout mice (*Klf11* KO) and littermate control mice were generated, and the fidelity of KLF11 deficiency was confirmed as previously described.<sup>101-103</sup> Briefly, the *Klf11* KO mice were backcrossed with C57BL/6J mice (The Jackson Laboratory, Stock No: 000664) for six generations, then heterozygous *Klf11* KO mice were interbred to produce homozygous *Klf11* KO along with wild-type littermate control (Wt) mice for experiments. All experimental procedures were approved by the Institutional Animal Care and Use Committee (IACUC) at the University of Michigan.

### **Reagents**

TNF- $\alpha$  (210-TA) was purchased from R&D Systems. Thrombin (T1063) and lipopolysaccharide (L3012) were purchased from Sigma-Aldrich. BAY 11-7082 (10010266) and SP600125 (10010466) were obtained from Cayman.

### **Deep Vein Thrombosis Mouse Model**

A complete stasis mouse model of inferior vena cava (IVC) was conducted as previously described.<sup>104</sup> Briefly, *Klf11* KO and Wt mice (8-10 weeks old male mice) were anesthetized with 5% isoflurane and placed in a supine position. A ventral midline incision (2 cm) was made

through the skin and peritoneum to expose the abdomen. After laparotomy, the small intestine was exteriorized and covered with a wet sterile pad. Sterile saline was applied during the whole procedure to prevent drying. After gentle separation from the aorta, IVC was ligated by a 7-0 polypropylene suture immediately below the renal vein branch (toward the tail) to obtain complete blood stasis. All visible side branches were ligated or cauterized. After surgery, the peritoneum was closed by monofilament sutures, and the skin was closed by clips. Mice were sacrificed 48 hours after ligation to harvest the thrombus, which was statistically analyzed by weight and length.

### **Histology and Immunohistochemistry of IVC**

Histology and immunohistochemistry were performed as previously described.<sup>105, 106</sup> In stasis-induced deep vein thrombosis mouse model or baseline condition, murine vessels (IVC + abdominal aorta) were harvested, and the thrombus was collected for analysis. The vessels were fixed in 10% buffered formalin in PBS (245685, Fisher Healthcare) overnight, embedded in paraffin, and mounted to slides at 5  $\mu$ m thickness. After deparaffinization and rehydration, the slides were treated with 3% hydrogen peroxide for 15 minutes to block endogenous peroxidase activity and subjected to 10 mM citrate buffer for heat-mediated antigen retrieval. The slides were incubated with diluted rat anti-mouse tissue factor 1H1 antibody (TF, 20  $\mu$ g/ml, Genentech) or control isotypic rat IgG at 4°C overnight, followed by goat anti-rat IgG with HRP conjugated secondary antibody (31470, Invitrogen, 1:500 dilution) at room temperature for 1 hour. The color was developed by DAB staining system (Thermo Fisher Scientific). Slides were counterstained by hematoxylin, examined and photographed in a blinded fashion. The expression of TF was quantified by dividing the area of TF positive endothelial region by the total endothelial area of

IVC in ImageJ software. Data were collected from 3 mice/group and presented with the Wt group at the baseline level set as 1.

### **Immunofluorescence Staining**

The procedures were performed as previously described<sup>32, 101</sup>. In brief, after 48-hour ligation-induced deep vein thrombosis or sham operation, murine vessels (IVC + abdominal aorta) were collected, fixed in 4% paraformaldehyde, and embedded in OCT. Cryostat sections (8 µm thick) were stained with primary antibody against mouse Klf11 (X1710, Sydlab, 1:50 dilution) and PECAM1 (DIA-310, Dianova, 1:100 dilution), or normal rabbit or rat IgG overnight. Alexa Fluor conjugated secondary antibodies (code number 711-585-152 and 112-605-143, Jackson ImmunoResearch Laboratories, 1:500 dilution) were applied for 1 hour. Slides were mounted with ProLong™ Gold Antifade Mountant with DAPI (P36935, Thermo Fisher Scientific), and images were taken with an Olympus IX73 microscope. Quantification of KLF11 expression in endothelial cells was performed with ImageJ software (NIH). Data were collected from 3 mice/group and presented with the comparison to the Sham group.

### **Culture of Human and Bovine Endothelial Cells**

Human umbilical vein endothelial cells (HUVECs) (Lonza) were cultured in M199 medium (Gibco) supplemented with 16% fetal bovine serum (FBS) (Sigma-Aldrich), 24 mM HEPES (Gibco), 0.5 mg/ml penicillin/streptomycin (Gibco), 100 µg/ml heparin (Sagent Pharmaceuticals), and 1 ng/ml recombinant human fibroblast growth factor (Sigma-Aldrich) as previously described.<sup>107</sup> Bovine aortic endothelial cells (BAECs) (American Type Culture Collection) were cultured in Dulbecco's modified Eagle's medium (DMEM) (Gibco) with 10% FBS. All cells were cultured in a 5% CO<sub>2</sub> humidified incubator at 37°C.

## **Isolation and Culture of Mouse Aortic Endothelial Cell**

The mouse aortic endothelial cells (MAECs) were isolated and cultured according to previous protocol.<sup>108</sup> Briefly, mice were anesthetized with ketamine (50 mg/kg) and xylazine (5 mg/kg). The mouse aorta from the aortic arch to the abdominal aorta was dissected and immersed with DMEM containing 20% FBS and 100  $\mu$ g/ml heparin. The surrounding fat and connective tissues were removed, and the lumen was flushed with serum-free DMEM. The aorta was then fused with DMEM containing collagenase Type 2 (2 mg/ml, LS004174, Worthington). After 45-minute incubation at 37°C, the aorta was flushed with DMEM containing 20% FBS to harvest the MAECs. Cells from the same genotype (n=3/group) were mixed, centrifuged (1200 rpm, 5 minutes), re-suspended (DMEM containing 20% FBS), and cultured in Collagen Type 1-coated plate at 37°C for 2 hours. After PBS wash, MAECs were incubated with culture medium (DMEM containing 20% FBS, 2 mM L-Glutamine, non-essential amino acid, 1 mM sodium pyruvate, 25 mM HEPES, 100  $\mu$ g/ml heparin, 0.5 mg/ml penicillin/streptomycin) for about one week. After reaching 80-100% confluence, MAECs were stimulated with TNF- $\alpha$  (2 ng/ml) or vehicle for 4 hours, and protein was extracted to measure TF expression.

## ***Ex Vivo* Clot Assay**

The *ex vivo* clot assay was developed based on a previous report detecting the procoagulant activity of endothelial cells.<sup>109</sup> HUVECs were cultured in a 96-well plate and infected with Ad-LacZ or Ad-KLF11 for 48 hours, followed by stimulated with TNF- $\alpha$  (10 ng/ml) or vehicle for 4 hours. Before coagulation induction, the medium was removed, and cells were washed with warm PBS. Pre-warmed human plasma (50  $\mu$ l, P9523, Sigma) and calcium chloride (25 mM, 50  $\mu$ l) were added to each well. Clot formation was determined by kinetic detection of OD value at 405 nm at 37°C (Molecular Devices, Spectra Max Plus).

### **Messenger RNA (mRNA) Isolation and Quantitative Polymerase Chain Reaction (qPCR)**

Total RNA from tissues or cells was extracted (RNeasy Kit, QIAGEN) and reverse-transcribed into cDNA with SuperScript III reverse transcriptase (Thermo Fisher Scientific). Gene expression was measured by real-time quantitative PCR (qPCR) with IQ SYBR Green Supermix (Bio-Rad). Primers used in qPCR were listed in **Table 3.1**.

**Table 3.1** Primer Sequences Used for Real-time Quantitative PCR and Chromatin Immunoprecipitation

<b>Gene<sup>a</sup></b>	<b>Primer Sequences</b>	
h <i>KLF11</i>	F	GACACACACCTCACGGACAG
	R	ATCATCTGGCAAAGGACAGG
h <i>TF</i>	F	GTACTIONGGCACGGGTCTTCT
	R	AATTGTTGGCTGTCCGAGGT
h <i>TFPI</i>	F	GTTGGGCTATTCCCAACTGC
	R	TCGCTGCTGTCTGTTAGAGC
h <i>THBD</i>	F	CCTAATGACAGTGCCTCCT
	R	TCTCCCGTAACCCACTGGAA
h <i>VWF</i>	F	CAAGCTGGAGTGCAGGAAGA
	R	CCATCCTGGAGCGTCTCATC
h <i>SELP</i>	F	TGAAGAAAAGCACGCATTG
	R	GGGAGCTCAAGTTCTCCACA
h <i>PECAMI</i>	F	CAAGCCTTGAGGGTCAAGAA
	R	TGCTCTTCATGGAGGAGATG
h <i>PLAU</i>	F	GCCATCCCGGACTATACAGA
	R	ACACAGCATTTCGGTGGTGA
h <i>PLAUR</i>	F	TGAAGAACAGTGCCTGGATG
	R	TGTTGCAGCATTTCAGGAAG
h <i>PLAT</i>	F	TCTTACCAAGGTTGCAGCGA
	R	AGGCTGACCCATTCCCAAAG
h <i>SERPINE1</i>	F	TCAGACCAAGAGCCTCTCCA
	R	TTGTGCCCGACCACAAAGAG
h <i>NFKB1</i>	F	CCTGGATGACTCTTGGGAAA
	R	TCAGCCAGCTGTTTCATGTC
h <i>RELA</i>	F	GCGAGAGGAGCACAGATACC
	R	CTGATAGCCTGCTCCAGGTC
h <i>JUN</i>	F	CCCAAGATCCTGAAACAGA
	R	CCGTTGCTGGACTGGATTAT
h <i>FOS</i>	F	AGAATCCGAAGGGAAAGGAA
	R	CTTCTCCTTCAGCAGGTTGG
h <i>EGRI</i>	F	CACCTGACCGCAGAGTCTTT
	R	CTGACCAAGCTGAAGAGGGG
h <i>GAPDH</i>	F	GTCAGTGGTGGACCTGACCT
	R	TGCTGTAGCCAAATTCGTTG
m <i>Klf11</i>	F	CAGGGAACTGTGATGCTGGT
	R	GCCATGACACTGGATGGACA
m <i>TF</i>	F	AAAGGGAAGAACACCCCGTC
	R	ATCAGAGCTCTCCGCAACAG
h <i>TF</i> (ChIP)	F	AGGGTCCCGGAGTTTCCTAC

	R	GGGGAGCTCGCAGTCTTG
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<sup>a</sup> **h: human. m: mouse.**

**Abbreviations of gene names:**

*KLF11*, Krüppel-like factor; *TF*, tissue factor (also known as coagulation factor III); *TFPI*, tissue factor pathway inhibitor; *THBD*, thrombomodulin; *VWF*, von Willebrand factor; *SELP*, selectin P; *PECAMI*, platelet endothelial cell adhesion molecule 1; *PLAU*, plasminogen activator, urokinase (also known as u-PA); *PLAUR*, plasminogen activator, urokinase receptor; *PLAT*, plasminogen activator, tissue type (also known as t-PA); *SERPINE1*, plasminogen activator inhibitor-1 (also known as PAI-1); *NFKB1*, nuclear factor kappa B subunit 1 (also known as p50); *RELA*, RELA proto-oncogene, NF-κB subunit (also known as p65); *JUN*, Jun proto-oncogene, AP-1 transcription factor subunit; *FOS*, Fos proto-oncogene, AP-1 transcription factor subunit; *EGR1*, early growth response 1; GAPDH, glyceraldehyde 3-phosphate dehydrogenase; ChIP, Chromatin Immunoprecipitation Assay.



## **Protein Extracts and Western Blot**

Total protein from cells was extracted as previously reported.<sup>101</sup> Primary antibodies used in Western blot: GAPDH (sc-32233, Santa Cruz Biotechnology, 1:1000 dilution), tissue factor (sc-374441, Santa Cruz Biotechnology, 1:1000 dilution), KLF11 (H00008462-M01, Abnova, 1:1000 dilution) and EGR1 (4153s, Cell Signaling Technology, 1:1000 dilution).

## **Adenovirus-Mediated Gene Overexpression and Small Interfering RNA (siRNA)-Mediated Gene Knockdown**

These were performed as previously reported.<sup>101</sup> For knockdown experiments, si-Control or si-KLF11 were diluted in Opti-MEM (40 nM) and incubated with Lipofectamine RNAiMAX for 20 minutes at room temperature, and then added to cultured HUVECs. After 24 hours of incubation, the medium was changed to M199 with 0.5% FBS for 48 hours of serum starvation before thrombin stimulation. Data were calculated from triplicates and at least three independent experiments.

## **Co-Immunoprecipitation**

HUVECs were co-infected with Ad-EGR1 (5 MOI) and Ad-KLF11 (5 MOI) for 48 hours. The cells were lysed with Pierce IP Lysis Buffer (87788, Thermo Fisher Scientific) and incubated with primary antibody against EGR1 (4153, Cell Signaling Technology, 1:50 dilution), KLF11 (H00008462-M01, Abnova, 1:50 dilution), or normal respective IgG separately at 4°C overnight with rotation. The immunocomplexes were precipitated with Protein A/G PLUS-Agarose (sc-2003, Santa Cruz Biotechnology), followed with Western blot analysis using antibodies against KLF11 and EGR1.

## **Chromatin Immunoprecipitation Assay (ChIP)**

ChIP assays were performed using the SimpleChIP Enzymatic Chromatin IP Kit (9003s, Cell Signaling Technology) following the manufacturer's protocol. Briefly, serum-starved HUVECs were fixed with 1% formaldehyde and quenched before enzymatic digestion. The DNA/protein complex was immunoprecipitated with control immunoglobulin G or anti-Flag antibody (14793, Cell Signaling Technology) conjugated to Protein G magnetic beads. Purified DNA was used as the template for qPCR analysis with primers (listed in **Table 3.1**) flanking the putative EGR1 binding region in the tissue factor gene promoter.

### **Luciferase Assay**

Bovine aortic endothelial cells (BAECs) were co-transfected with plasmids using Lipofectamine 2000 (11668019, Thermo Fisher Scientific) as previously described.<sup>101</sup> Briefly, BAECs were cultured in a 48-well plate and grown to approximately 80% confluence before transfection. The reporter plasmids (0.5 µg/well) and Lipofectamine 2000 Reagent were incubated with Opti-MEM separately and mixed to set 20 minutes. Then, the mix was added to cultured BAECs in DMEM containing 10% FBS. Seventy-two hours after transfection, the *TF* gene promoter activity was measured by firefly luciferase (Promega) and normalized against *Renilla* activity which was co-transfected as a control.

### **Statistical Analysis**

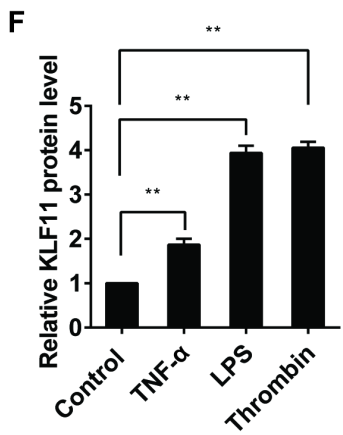
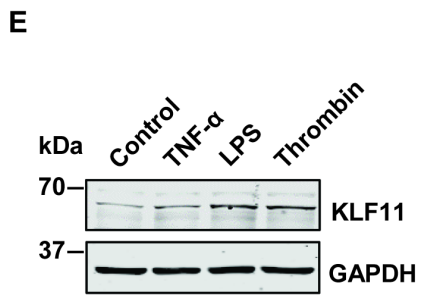
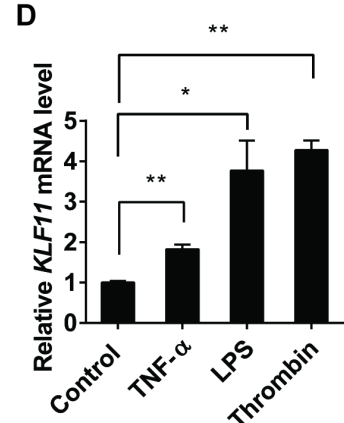
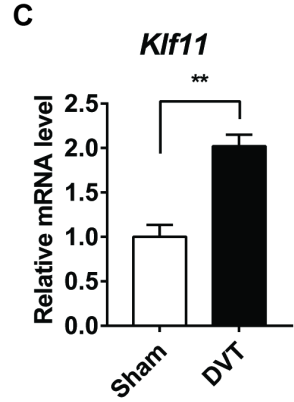
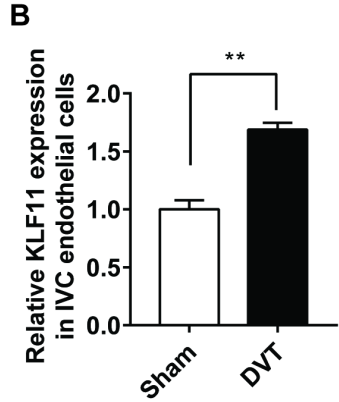
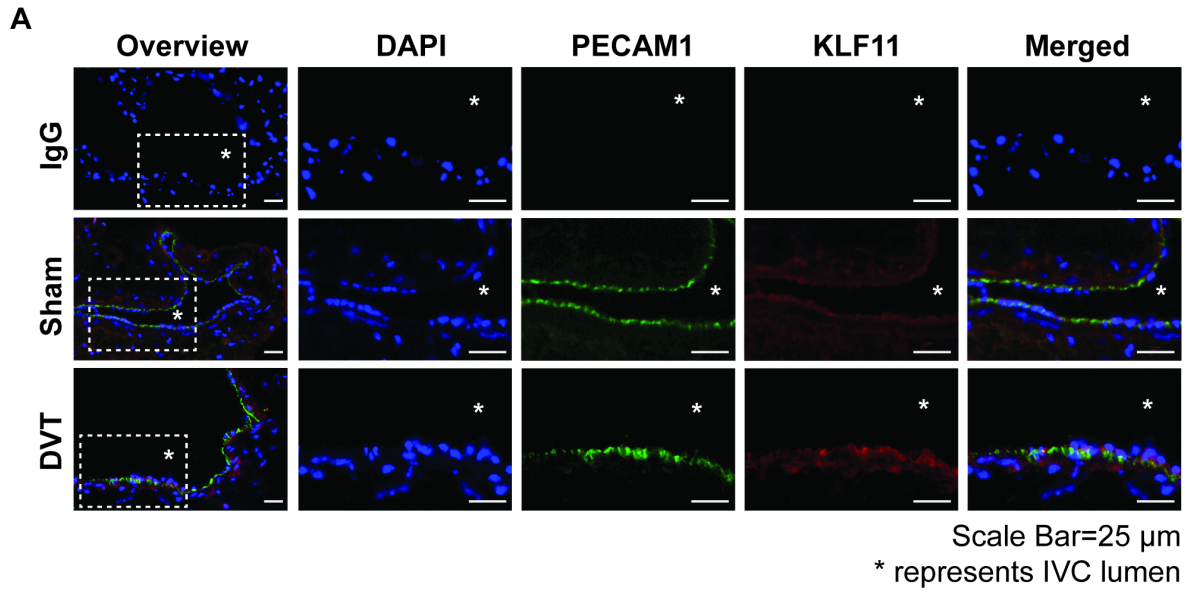
All quantitative data are presented as mean ± SEM. Statistical analyses were performed using the GraphPad Prism 7. The homogeneity of variances was first evaluated by Shapiro-Wilk normality test and *F* test. For data distributed normally with similar variances among groups, unpaired Student *t*-test with Welch's correction was used for two-group comparisons. Comparisons among more than two groups were analyzed by one-way analysis of variance (ANOVA) followed by Tukey's test. Grouped data under different conditions were analyzed

with two-way ANOVA followed by Bonferroni test. All results were representative and collected from at least three independent experiments.

## Results

### *KLF11 Expression is Upregulated in Endothelial Cells under Pro-Thrombotic Conditions*

Firstly, we performed the stasis-induced DVT model in C57BL/6 wild-type (Wt) male mice and compared KLF11 expression in the inferior vena cava (IVC) with that in the sham-operated mice. The immunofluorescence staining shows that the induced KLF11 expression was mainly located in the endothelium (**Figure 3.1A-B**). The mRNA level of KLF11 was significantly doubled in the vascular wall of the DVT group (**Figure 3.1C**). These data suggest that vascular KLF11 may play an important role in venous thrombosis. Consistent with the *in vivo* results, KLF11 expression was also enhanced in the human umbilical vein endothelial cells (HUVECs) treated with different pro-thrombotic stimuli, including tumor necrosis factor-alpha (TNF- $\alpha$ ), lipopolysaccharide (LPS) and thrombin, as was measured by both qPCR and Western blot (**Figure 3.1D-F**). These data indicate that KLF11 is a thrombosis-responsive gene in endothelial cells (ECs).

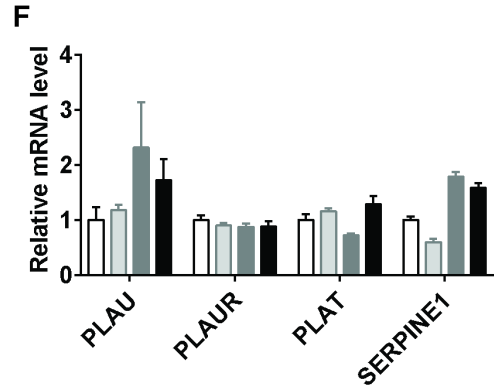
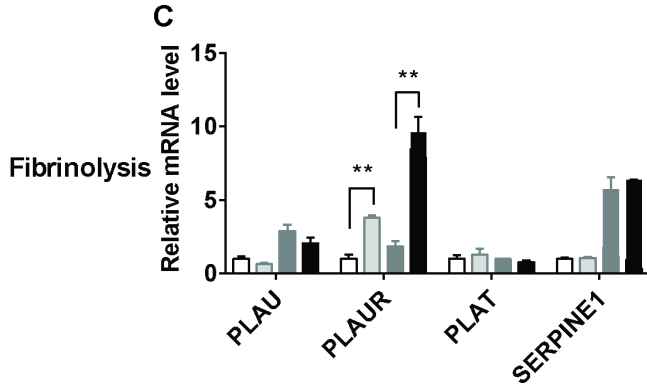
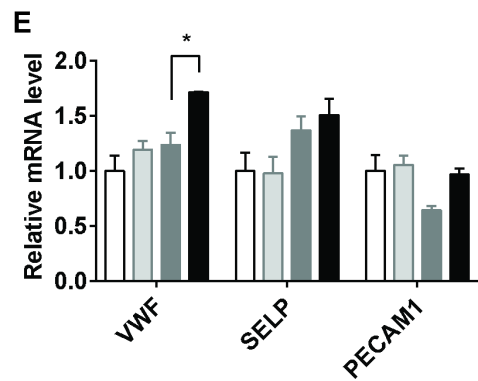
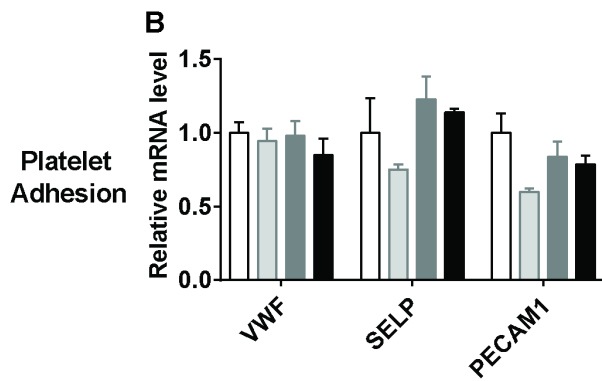
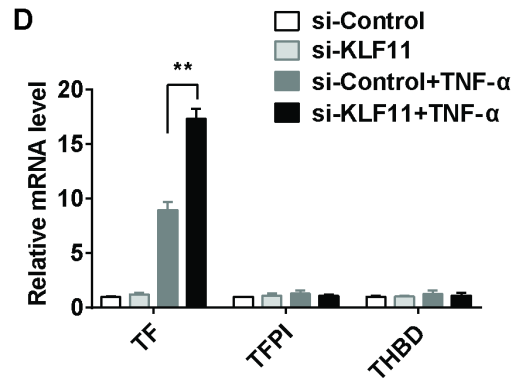
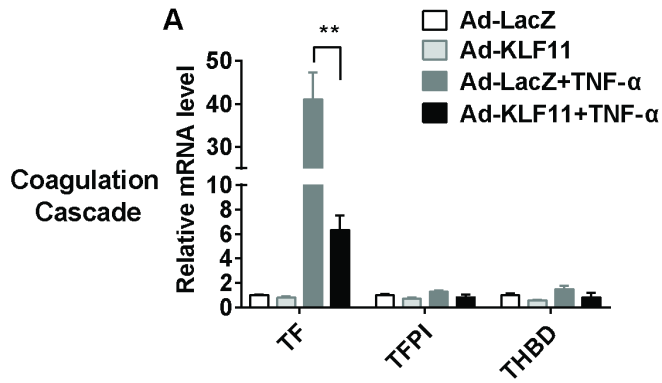


**Figure 3.1** KLF11 expression is upregulated in endothelial cells under pro-thrombotic conditions.

**A-C**, In the stasis-induced deep vein thrombosis model (DVT), the inferior vena cava (IVC) from C57BL/6 male mice were either sham-operated or ligated for 48 hours to induce DVT (n=3/group). The cryostat IVC sections were stained with antibodies against PECAM1 (DIA-310, Dianova, 1:100; Alexa 647, Jackson ImmunoResearch, 1:500, displayed in green) and KLF11 (X1710, Sydlab, 1:50; Alexa 568, Jackson ImmunoResearch, 1:500, displayed in red). DAPI (4',6-diamidino-2-phenylindole) stained for nuclear acid. Respective IgG staining was used as the negative control. Scale bars=25  $\mu$ m. \* represents IVC lumen. The representative images are presented (**A**) and the expression of KLF11 in the endothelial cells of IVC was quantified by Image J (**B**). The vascular wall of IVC was isolated to measure *Klf11* mRNA level (**C**). **D-F**, Human umbilical vein endothelial cells (HUVECs) were treated with different stimuli for 4 hours to induce endothelial inflammation. The stimuli included: TNF- $\alpha$  (10 ng/ml), Lipopolysaccharides (LPS, 100 ng/ml), and thrombin (5 U/ml). The mRNA level of *KLF11* (**D**) was normalized to GAPDH and is presented relative to the control group. The representative Western blot (**E**) and quantification (**F**) of the KLF11 level are presented. N=3/group. Data are presented as mean $\pm$ SEM. \* $p$ <0.05, \*\* $p$ <0.01 using unpaired Student *t*-test.

## *The Expression Profiles of Thrombosis-related Genes in Response to Altered KLF11 Levels in ECs*

Under inflammatory conditions, the endothelium is activated to express a plethora of genes regulating hemostasis and thrombosis.<sup>110, 111</sup> To gain a general view on the role of endothelial KLF11 in this process, adenovirus-mediated KLF11 overexpression and siRNA-mediated KLF11 knockdown were applied to cultured HUVECs, followed with TNF- $\alpha$  stimulation. The expression of the genes that are both highly expressed in ECs and related to thrombosis was measured by qPCR. KLF11 overexpression reduced TNF- $\alpha$ -induced *TF* gene transcription by 84.6%. Consistently, downregulation of KLF11 enhanced *TF* gene transcription by 1.93-fold. However, there was no significant change in anti-coagulative genes, including tissue factor pathway inhibitor (*TFPI*) and thrombomodulin (*THBD*) (**Figure 3.2A, D**). Next, we analyzed genes mediating the interaction between ECs and platelets. KLF11 knockdown increased TNF- $\alpha$ -induced transcription of von Willebrand factor (*VWF*), while there was no significant change after KLF11 overexpression (**Figure 3.2B, E**). For fibrinolysis-related genes, although the transcription of urokinase type plasminogen activator receptor (*PLAUR*) was increased by KLF11 overexpression, it was not regulated by KLF11 knockdown (**Figure 3.2C, F**). The expression of other platelet adhesion-related genes [platelet endothelial cell adhesion molecule 1 (*PECAMI*), selectin P (*SELP*)] (**Figure 3.2B, E**) and fibrinolysis-related genes (**Figure 3.2C, F**) did not show significant change. Altogether, these data indicate that KLF11 is a negative regulator of *TF* gene transcription in ECs, thereby contributing to the anti-thrombotic phenotype.



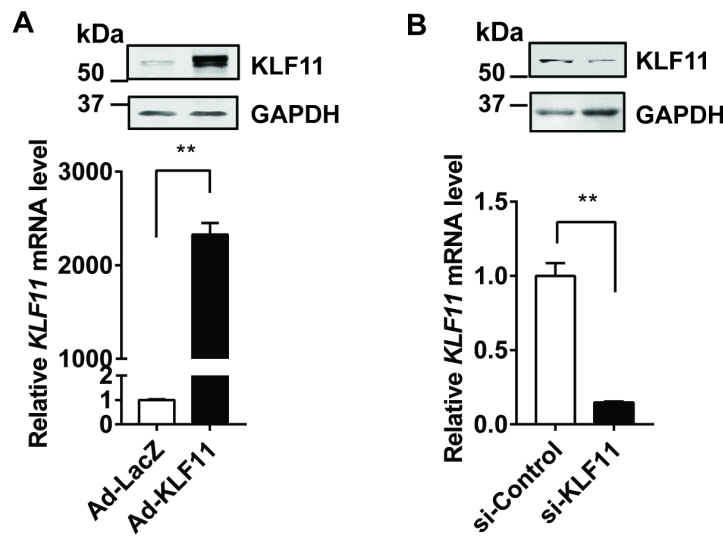
**Figure 3.2** The expression profiles of thrombosis-related genes in response to altered KLF11 levels in endothelial cells.

**A-C**, Human umbilical vein endothelial cells (HUVECs) were infected with Ad-LacZ or Ad-KLF11 (10 MOI) for 48 hours, followed by TNF- $\alpha$  (10 ng/ml) stimulation for 4 hours. **D-F**, HUVECs were transfected with si-Control or si-KLF11 (20 nM). Seventy-two hours after transfection, HUVECs were stimulated with TNF- $\alpha$  (10 ng/ml) for 4 hours. The mRNA level of thrombosis-related genes was normalized to GAPDH and is presented relative to HUVEC infected with Ad-LacZ group. N=3/group. Data are presented as mean $\pm$ SEM. \* $p$ <0.05, \*\* $p$ <0.01 using two-way ANOVA followed by Bonferroni test. Abbreviations of gene names: *TF*, tissue factor; *TFPI*, tissue factor pathway inhibitor; *THBD*, thrombomodulin; *VWF*, von Willebrand factor; *SELP*, selectin P; *PECAMI*, platelet endothelial cell adhesion molecule 1; *PLAU*, plasminogen activator, urokinase (also known as u-PA); *PLAUR*, plasminogen activator, urokinase receptor; *PLAT*, plasminogen activator, tissue type (also known as t-PA); *SERPINE1*, plasminogen activator inhibitor-1 (also known as PAI-1).



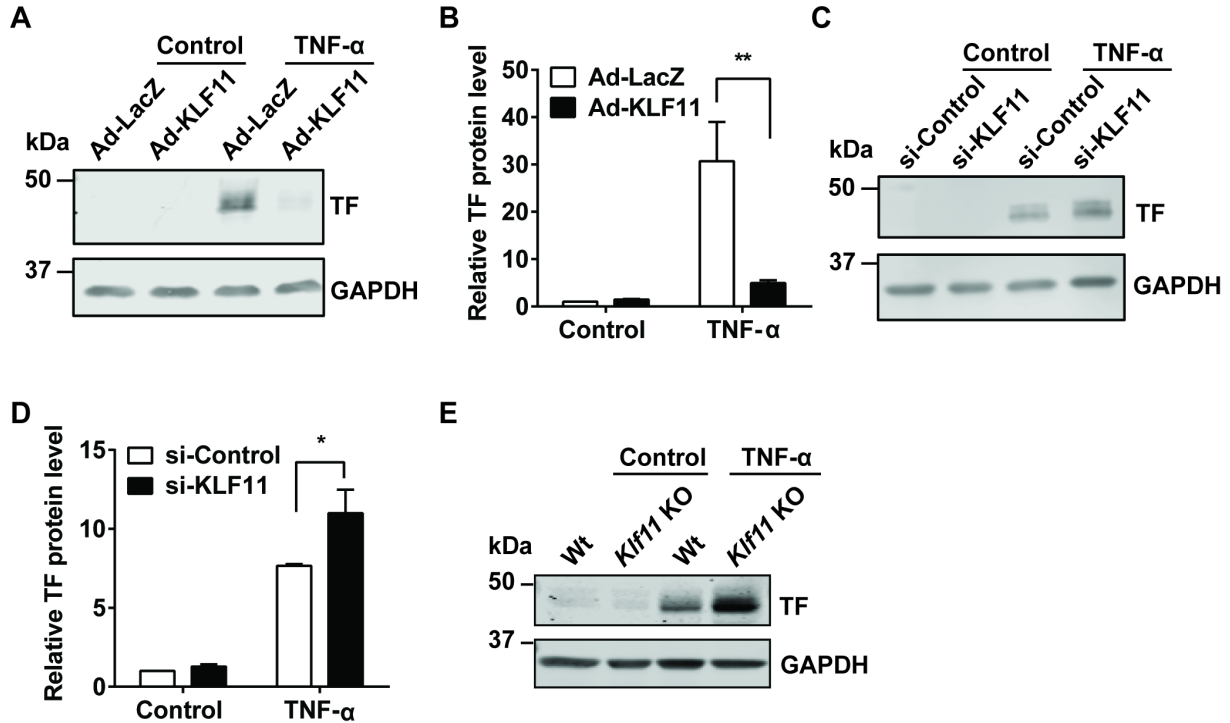
### *KLF11 Negatively Regulates TNF- $\alpha$ -Induced Tissue Factor Protein Expression in ECs*

We further analyzed TF protein expression under a similar experimental setting as was performed in **Figure 3.2**. Adenovirus-mediated KLF11 overexpression was confirmed by qPCR (**Figure 3.3A**). Consistent with the qPCR data, KLF11 overexpression reduced TNF- $\alpha$ -induced TF protein expression by 83.9% in ECs (**Figure 3.4A, B**). Similarly, siRNA-mediated KLF11 knockdown (**Figure 3.3B**) increased TF protein level after TNF- $\alpha$  stimulation by 1.44-fold (**Figure 3.4C, D**). Moreover, a higher TF expression was observed in the isolated mouse aortic endothelial cells (MAECs) from *Klf11* knockout (*Klf11* KO) mice compared with Wt mice (**Figure 3.4E**). Collectively, KLF11 negatively regulates TNF- $\alpha$ -induced TF expression in ECs.



**Figure 3.3** The confirmation of KLF11 expression in the gain-of-function and loss-of-function modified endothelial cells.

Human umbilical vein endothelial cells (HUVECs) were infected with Ad-LacZ or Ad-KLF11 (10 MOI) for 48 hours, followed by TNF- $\alpha$  (10 ng/ml) stimulation for 4 hours. The mRNA level and representative Western blot of KLF11 are presented (**A**). HUVECs were transfected with si-Control or si-KLF11 (20 nM) for 72 hours, followed by stimulation with TNF- $\alpha$  (10 ng/ml) for 4 hours. The mRNA level and representative Western blot of KLF11 are presented (**B**). The mRNA level was normalized to GAPDH and is presented relative to the control group set as 1. N=3/group. Data are presented as mean $\pm$ SEM. \*\* $p$ <0.01 using unpaired Student *t*-test.



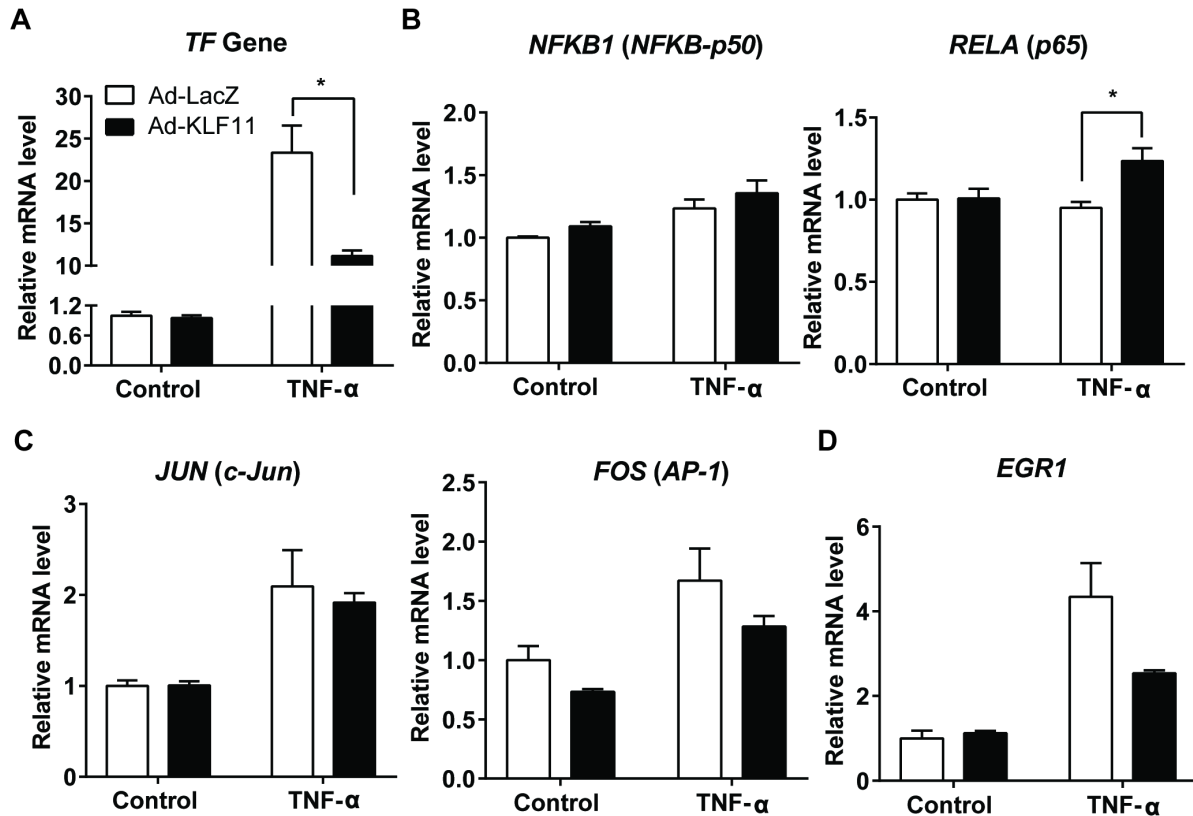
**Figure 3.4** KLF11 negatively regulates TNF- $\alpha$ -induced tissue factor protein expression in endothelial cells.

**A-B**, Human umbilical vein endothelial cells (HUVECs) were infected with Ad-LacZ or Ad-KLF11 (10 MOI) for 48 hours, followed by TNF- $\alpha$  (10 ng/ml) stimulation for 4 hours. The representative Western blot of tissue factor (TF) protein level (**A**) and quantification (**B**) are presented. **C-D**, HUVECs were transfected with si-Control or si-KLF11 (20 nM). Seventy-two hours after transfection, HUVECs were stimulated with TNF- $\alpha$  (10 ng/ml) for 4 hours. The representative Western blot of TF protein level (**C**) and quantification (**D**) are presented. Mouse aortic endothelial cells (MAECs) were isolated from wild type (Wt) or *Klf11* knockout (*Klf11* KO) male mice (n=3/group) and stimulated with TNF- $\alpha$  (2ng/ml) for 4 hours. The representative Western blot of TF expression is presented (**E**). The mRNA level was normalized to GAPDH and is presented relative to the control group. N=3/group. Data are presented as mean $\pm$ SEM. \* $p$ <0.05, \*\* $p$ <0.01 using two-way ANOVA followed by Bonferroni test. Antibodies: GAPDH (sc-32233, Santa Cruz Biotechnology, 1:1000 dilution), tissue factor (sc-374441, Santa Cruz Biotechnology, 1:1000 dilution).

*The Early Growth Response 1, Rather than Nuclear Factor Kappa B and Activating Protein-1, is Responsive to KLF11-Dependent Inhibition of TF gene Transcription*

Under basal conditions, TF expression in the endothelium is undetectable, preventing activation of the extrinsic coagulation cascade. However, endothelial TF expression can be significantly induced upon vascular injury and thus initiates coagulation. Several proinflammatory transcription factors, including nuclear factor kappa B (NF- $\kappa$ B), activating protein-1 (AP-1) and early growth response protein 1 (EGR1), have been well established in this process.<sup>112, 113 114</sup> Accordingly, we first determined whether KLF11 regulates the expression of these pathways. HUVECs infected with Ad-LacZ or Ad-KLF11 were stimulated with TNF- $\alpha$  for 60 minutes to evaluate the rapid gene expression changes in these three pathways. TNF- $\alpha$ -induced *TF* gene expression was increased more than 20-fold at 1-hour, which was significantly reduced in the KLF11 overexpression group (**Figure 3.5A**). These expression levels were either not affected (*p50*, *c-Jun*, *AP-1*) or slightly changed (*p65* increased and *EGR1* reduced) upon KLF11 overexpression compared with the LacZ control (**Figure 3.5B-D**). Therefore, the levels of AP-1, NF- $\kappa$ B, and EGR1 in ECs may not be responsible for the inhibitory effects of KLF11 on the early phase *TF* gene expression (**Figure 3.5A**). We next determined whether KLF11 might affect their function by applying the respective inhibitors of these signal pathways. BAY11-7082 was applied to block TNF- $\alpha$ -induced I $\kappa$ B- $\alpha$  phosphorylation (an essential step for NF- $\kappa$ B activation) (**Figure 3.6A**). SP600125 was used to inhibit c-Jun N-terminal kinase (**Figure 3.6B**). NGFI-A binding protein 2 (NAB2) was known to suppress EGR1-induced transcription (**Figure 3.6C**).<sup>115</sup> Consistent with the data in **Figure 3.4**, overexpression of KLF11 reduced TNF- $\alpha$ -induced *TF* gene transcription in HUVECs (**Figure 3.6A-C, compare the 5<sup>th</sup> and 6<sup>th</sup> column**). Of note, in the conditions of blocking a single signaling, KLF11 overexpression could

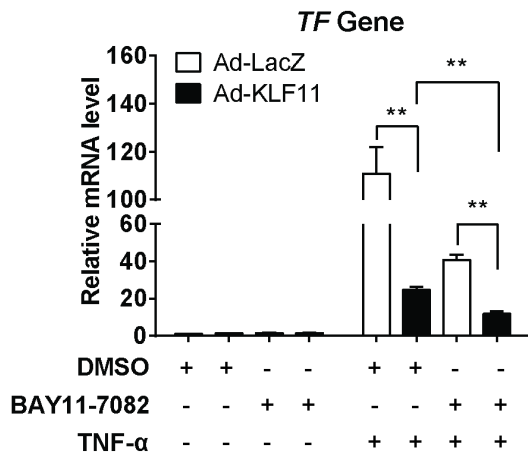
still further inhibit *TF* gene transcription, indicating that KLF11 effects involved multiple signaling pathways (**Figure 3.6A-C, compare the last two columns**). In addition, with KLF11 overexpression, the NF- $\kappa$ B or AP-1 inhibitors but not EGR1 inhibition could further reduce *TF* gene transcription (**Figure 3.6A-C, compare the 6<sup>th</sup> and 8<sup>th</sup> columns**). As EGR1 can induce *TF* gene expression and contribute to thrombus formation,<sup>113, 114</sup> we determined if KLF11 inhibits the inflammation-induced EGR1 signaling. Indeed, KLF11 suppressed EGR1 overexpression-induced *TF* gene expression at both mRNA and protein levels (**Figure 3.6D, E**). Collectively, our data indicate that EGR1, at least partially, mediates the KLF11-dependent inhibition of *TF* gene transcription in ECs under proinflammatory conditions.



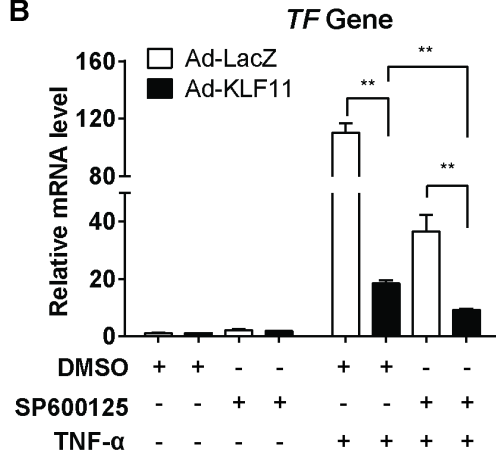
**Figure 3.5** Overexpression of KLF11 cannot reduce the gene expression level of NF- $\kappa$ B, AP-1 and EGR1 signaling.

Human umbilical vein endothelial cells (HUVECs) were infected with Ad-LacZ or Ad-KLF11 (10 MOI) for 48 hours, followed by TNF- $\alpha$  (10 ng/ml) stimulation for 60 minutes. The mRNA level of *TF* gene (A), key factors in NF- $\kappa$ B signaling (*NFKB1* and *RELA*) (B), AP-1 signaling (*JUN*, *FOS*) (C), and *EGR1* (D) is presented. The mRNA level was normalized to GAPDH and is presented relative to the control group. N=3/group. Data are presented as mean $\pm$ SEM. \* $p$ <0.05 using unpaired Student *t*-test.

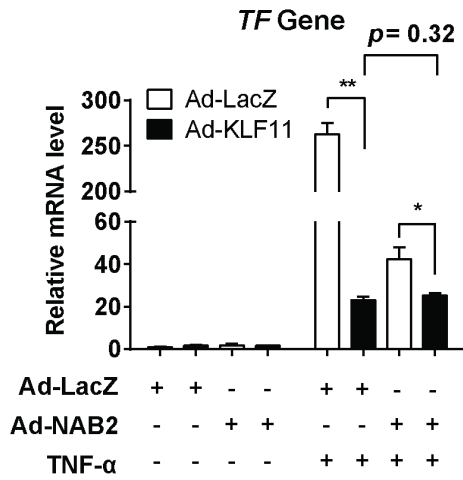
**A**



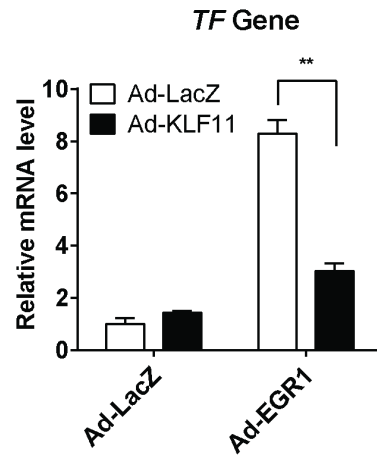
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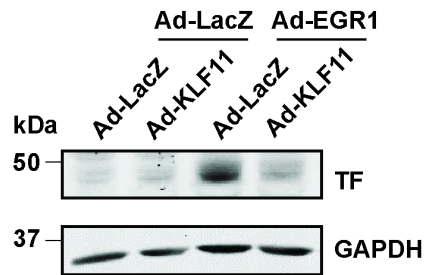
**C**



**D**



**E**



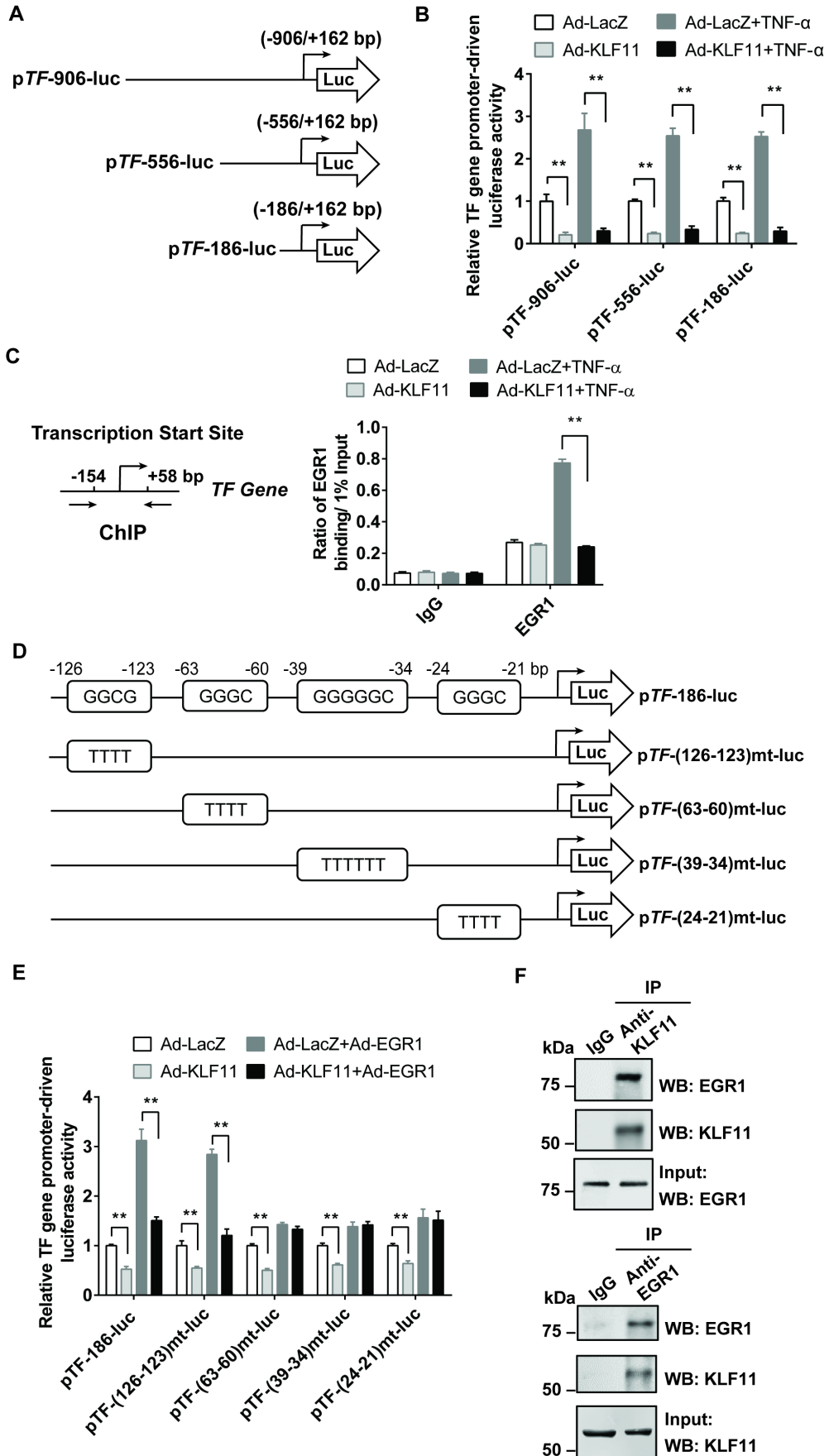
**Figure 3.6** The early growth response 1 (EGR1), rather than nuclear factor kappa B (NF- $\kappa$ B) and activating protein-1 (AP-1), is responsive to KLF11-dependent inhibition of *TF* gene transcription.

**A-B**, Human umbilical vein endothelial cells (HUVECs) were infected with Ad-LacZ or Ad-KLF11 (10 MOI). Forty-eight hours later, cells were treated with different compounds: BAY11-7082 (0.5  $\mu$ M, **A**) or SP600125 (5  $\mu$ M, **B**) for 1 hour, followed by TNF- $\alpha$  (10 ng/ml) stimulation for 4 hours. DMSO serves as the vehicle control for these compounds. **C**, HUVECs were co-infected with Ad-NAB2 (5 MOI) and Ad-LacZ or Ad-KLF11 (5 MOI) for 48 hours, followed by TNF- $\alpha$  (10 ng/ml) stimulation for 4 hours. The transcription of *TF* gene was determined by qPCR. **D-E**, HUVECs were co-infected with Ad-EGR1 (5 MOI) and Ad-LacZ or Ad-KLF11 (5 MOI) for 48 hours, and then the transcription of *TF* gene was determined by qPCR (**D**), the protein level of TF was detected by Western blot and the representative results are presented (**E**). The mRNA level was normalized to GAPDH and is presented relative to the control group. N=3/group. Data are presented as mean $\pm$ SEM. \* $p$ <0.05, \*\* $p$ <0.01 using two-way ANOVA followed by Bonferroni test. Antibodies: GAPDH (sc-32233, Santa Cruz Biotechnology, 1:1000 dilution), tissue factor (sc-374441, Santa Cruz Biotechnology, 1:1000 dilution).

### *KLF11 Inhibits EGR1-Induced TF Gene Transcription*

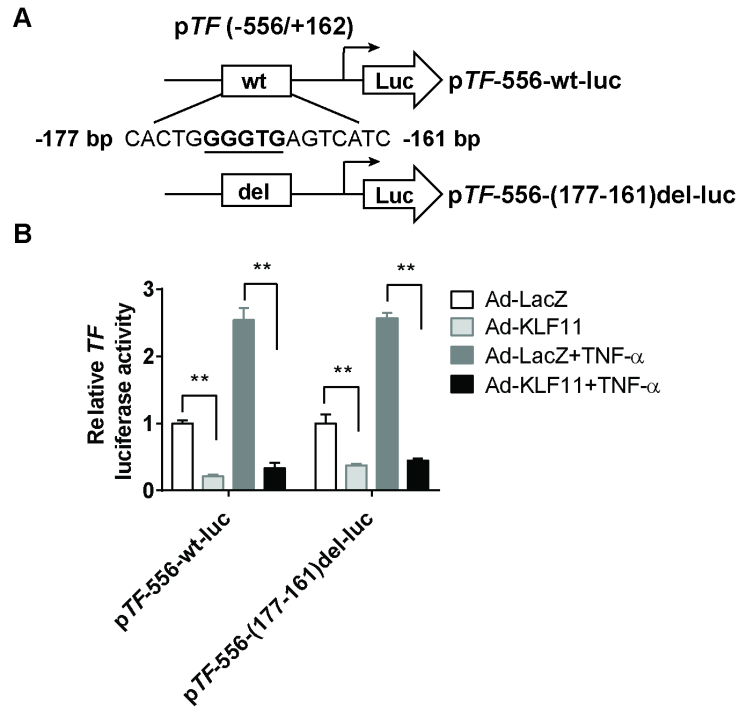
To further determine the underlying mechanism, we transfected luciferase reporter plasmids driven by different lengths of *TF* gene promoter into bovine aortic endothelial cells (BAECs) (**Figure 3.7A**). Under both basal and TNF- $\alpha$  treatment conditions, KLF11 overexpression significantly reduced all three *TF* gene promoter-driven luciferase activities (**Figure 3.7B**). These results suggest that KLF11 downregulates *TF* gene at the transcriptional level. Although our previous study identified that KLF11 directly binds at *TF* gene promoter and inhibits its transcription in VSMCs at basal condition,<sup>101</sup> deletions of the potential KLF11 binding region (-177/-161 bp) failed to abolish the TNF- $\alpha$ -induced *TF* gene promoter activity in ECs (**Figure 3.8**). By using chromatin immunoprecipitation (ChIP) assay, we found that KLF11 overexpression abolished TNF- $\alpha$ -induced EGR1 binding to *TF* gene promoter (-154/+58 bp) (**Figure 3.7C**). To specifically test if KLF11 is important to counteract EGR1-induced *TF* gene transcription, we generated *TF* gene promoter-driven reporter plasmids with mutations at four putative EGR1 binding regions (predicted by online software MatInspector, **Figure 3.7D**, and **Table 3.2**). EGR1 overexpression-induced *TF* gene promoter activity can be inhibited by KLF11 overexpression, while these effects were interrupted when the EGR1 binding site was mutated at -63/-60 bp, -39/-34 bp, or -24/-21 bp, but not at -126/-123 bp region (**Figure 3.7E**). Furthermore, co-immunoprecipitation (Co-IP) followed by Western blot verified that KLF11 binds with EGR1 in ECs (**Figure 3.7F**). Therefore, KLF11 inhibits *TF* gene transcription *via* interacting with and suppressing EGR1.





**Figure 3.7** KLF11 inhibits EGR1-induced TF gene transcription.

**A**, The simplified structure illustration of luciferase reporters driven by different lengths of human *TF* gene promoter (*pTF*). **B**, Bovine aortic endothelial cells (BAECs) were transfected with *pTF*- luciferase reporters with varying sizes of promotor (**A**) for 24 hours and then infected with Ad-LacZ or Ad-KLF11 (10 MOI). Forty-eight hours after infection, BAECs were stimulated with TNF- $\alpha$  (10 ng/ml) for 12 hours. The luciferase activity was measured and normalized by Renilla activity. The results are presented relative to the group infected with Ad-LacZ(**B**). **C**, Human umbilical vein endothelial cells (HUVECs) were infected with Ad-LacZ or Ad-KLF11 (10 MOI) for 48 hours, followed by TNF- $\alpha$  (10 ng/ml) stimulation for 4 hours. The binding of EGR1 to the *TF* gene promoter was determined by chromatin immunoprecipitation (ChIP) assay using an antibody against EGR1. **D**, *TF* gene promoter-driven luciferase reporters *pTF*-186-luc with wild-type (wt) or different EGR1 binding region mutants are shown in the simplified illustration. **E**, BAECs were transfected with *pTF*-wt-luc or several *pTF*-mt-luc luciferase reporters for 24 hours and then co-infected with Ad-EGR1 (5 MOI) with Ad-LacZ or Ad-KLF11 (5 MOI) for 48 hours. The luciferase activity was measured and normalized by Renilla activity. The results are presented relative to the group infected with Ad-LacZ.  $**p < 0.01$  using two-way ANOVA followed by Bonferroni test. **F**, HUVECs were co-infected with Ad-EGR1 (5 MOI) and Ad-KLF11 (5 MOI) for 48 hours. The cell lysates were subjected to immunoprecipitation (IP) with antibodies against KLF11 or IgG (**upper panel**), or EGR1 or IgG (**lower panel**). The precipitates were analyzed by Western blot (WB) using antibodies against KLF11 and EGR1. The co-immunoprecipitated EGR1 or KLF11 are presented. Data are presented as mean $\pm$ SEM.



**Figure 3.8** The inhibition of KLF11 on TNF- $\alpha$ -induced *TF* gene transcription is independent of the direct binding between KLF11 and *TF* gene promoter.

**A**, *TF* gene promoter-driven luciferase reporters with wild-type (wt) or KLF11 binding region deleted (del) are shown in the simplified illustration. The bold and underlined region represents the key KLF11 binding sites. **B**, Bovine aortic endothelial cells (BAECs) were transfected with wt or del *TF* gene promoter-driven luciferase reporters for 24 hours and then infected with Ad-LacZ or Ad-KLF11 (10 MOI). Forty-eight hours after infection, BAECs were stimulated with TNF- $\alpha$  (10 ng/ml) for 12 hours. The luciferase activity was measured and normalized by Renilla activity. The results are presented relative to the group infected with Ad-LacZ. \*\* $p < 0.01$  using two-way ANOVA followed by Bonferroni test.

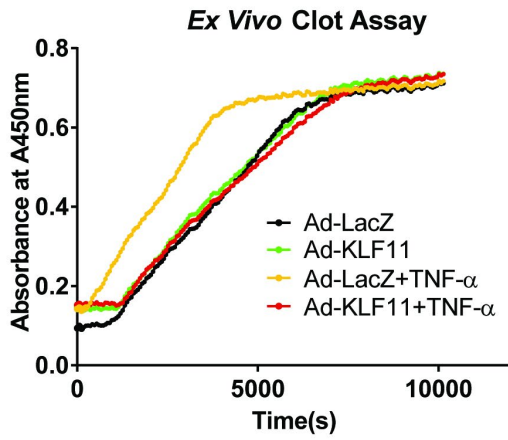
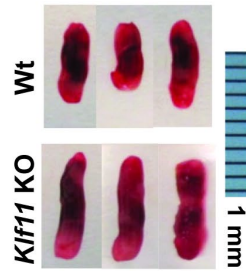
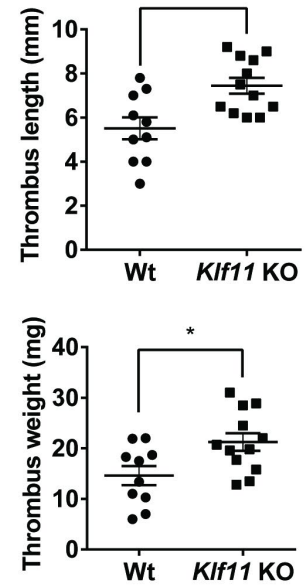
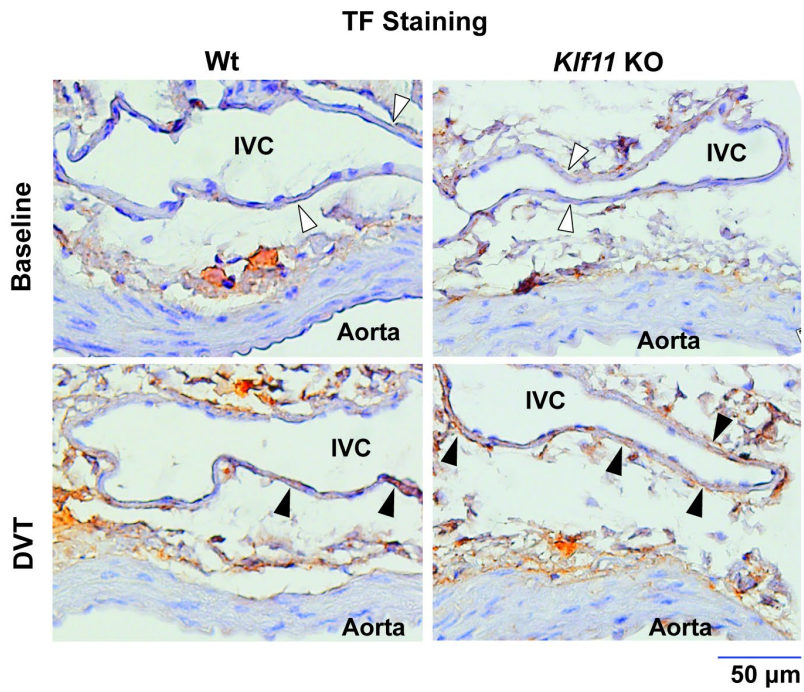
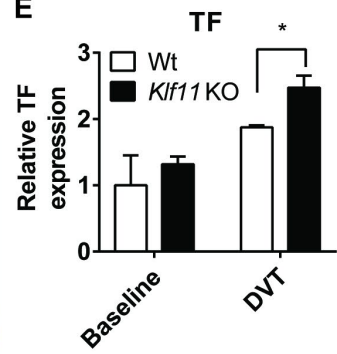
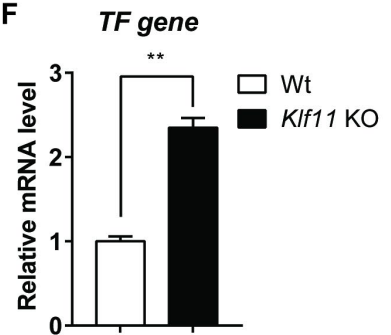
**Table 3.2** Predicted EGR1 Binding Sites and *TF* Gene Promoter-Driven Luciferase Constructs

Five Predicted EGR1 Binding Sites			<i>TF</i> Gene Promoter-Driven Luciferase Constructs		
Start position	End position	Sequence (Capital letters denote the core sequence)	Plasmid (with mutation start and end site)	Original sequence	Mutant sequence
-134	-116	ccgggaggaGGCGg ggcag	p <i>TF</i> -(126-123)mt-luc	GGCG	TTTT
-70	-52	cgggccggGGGCgg ggagt	p <i>TF</i> -(63-60)mt-luc	GGGC	TTTT
-47	-29	aggagcggcGGGGg cgggc	p <i>TF</i> -(39-34)mt-luc	GGGGGC	TTTTTT
-44	-26	agcggcggGGGCgg gcgcc			
-31	-13	ggcgccggGGGCgg gcaga	p <i>TF</i> -(24-21)mt-luc	GGGC	TTTT

The EGR1 binding sites within -154/+58 bp in the human *TF* gene promoter were predicted by online software “MatInspector”. To test if these sites mediate KLF11 function, we generated plasmid containing *TF* gene promoter-driven luciferase construct, with mutation in each binding site (-126/-123 bp, -63/-60 bp, -24/-21 bp) or an overlapped binding site (-39/-34 bp).

### *Endothelial KLF11 Protects against Thrombus Formation*

To explore whether KLF11 affects venous thrombus formation, we performed *ex vivo* clot assay and *in vivo* DVT model in *Klf11* KO mice. With the *ex vivo* clot assay, we found that KLF11 overexpression significantly reduced TNF- $\alpha$ -induced clot formation (**Figure 3.9A**). Next, we investigated the effect of KLF11 on the development of DVT *in vivo*. Compared to Wt mice, *Klf11* KO mice were more susceptible to stasis-induced DVT formation, as was evidenced by increased thrombus length (Wt  $5.51 \pm 0.50$  mm vs. *Klf11* KO  $7.44 \pm 0.36$  mm,  $p=0.004$ ) and weight (Wt  $14.6 \pm 1.86$  mg vs. *Klf11* KO  $21.2 \pm 1.73$  mg,  $p=0.017$ ), after 48-hour IVC ligation (**Figure 3.9B, C**). Moreover, the immunohistochemistry staining showed that *Klf11* KO mice had higher TF expression in the IVC endothelium under stasis conditions than Wt mice (**Figure 3.9D, E**). As expected, there were no significant differences for endothelial TF expression in the baseline (**Figure 3.9D, E**). The increased *TF* gene expression in the ligated IVC of *Klf11* KO mice was further confirmed by qPCR (**Figure 3.9F**).

**A****B****Stasis-Induced DVT****C****D****E****F**

**Figure 3.9** Endothelial KLF11 protects against thrombus formation.

**A**, Human umbilical vein endothelial cells (HUVECs) were infected with Ad-LacZ or Ad-KLF11 (10 MOI). Forty-eight hours after infection, HUVECs were stimulated with TNF- $\alpha$  (10 ng/ml) for 4 hours. Human plasma and calcium chloride were added, and the time of clot formation was measured. **B-C**, The stasis-induced deep vein thrombosis model (DVT) was applied in 8-10 weeks old male wild-type (Wt, n=10) or *Klf11* KO (n=12) mice by ligation of inferior vena cava (IVC) for 48 hours. Representative images of thrombus were shown. Bar=1mm (**B**). The length and weight of thromboses were measured (**C**). **D-F**, The cross-sections of a combination of the abdominal aorta and IVC from Wt and *Klf11* KO mice at baseline and in DVT condition (48-hour-ligation) were prepared. (**D**) The tissue factor (TF) expression was determined by immunohistochemistry staining (filled arrowheads). The lumen of the aorta and IVC are labeled, and the endothelium of DVT was indicated with empty arrowheads. Bar=50  $\mu$ m. (**E**) The expression of TF was quantified by dividing the TF positive endothelial area by the total endothelial area of IVC in ImageJ software. Data were analyzed with the Wt group at the baseline level set as 1. (**F**) The IVC from Wt and *Klf11* KO mice were harvested after 48-hour ligation procedure to detect *TF* gene mRNA level (n=4/group). \* $p$ <0.05, \*\* $p$ <0.01 using the unpaired Student *t*-test.

## Discussion

The endothelium is a dynamically changing barrier that is critically involved in the maintenance of vascular hemostasis. As endothelial injury is one of the major factors leading to thrombosis development, accumulating studies focus on the role of endothelium in DVT pathology. In this study, our data showed that KLF11 is an inflammation-responsive gene in ECs and inhibits DVT *in vivo*. KLF11 downregulates aberrant *TF* gene transcription *via* suppressing induced-EGR1 signaling in ECs. Based on the *in vitro* and *in vivo* data, we identified that KLF11 is a protector against DVT.

As a transcription factor, KLF11 regulates insulin expression in pancreatic  $\beta$  cells,<sup>27, 33</sup> and increases fatty acid oxidation in hepatic cells.<sup>29</sup> Our previous studies demonstrated that KLF11 deficiency upregulates the expression of proinflammatory adhesion molecules (*e.g.* ICAM1, VCAM1) by inhibiting NF- $\kappa$ B in ECs and thus aggravates leukocyte adhesion to vascular wall in mice.<sup>28</sup> In this paper, we discovered that KLF11 expression is induced under pro-thrombotic conditions, indicating KLF11 may modulate the pro-thrombotic response in endothelial cells. To extend our knowledge on the role of KLF11 in endothelial biology and deep vein thrombosis, we grouped the essential genes highly expressed in ECs and involved in thrombus formation into three groups according to their functions: coagulation cascade, platelet adhesion, and fibrinolysis (**Figure 3.2**). Among these genes, only *TF* gene was consistently and significantly downregulated by KLF11 under TNF- $\alpha$ -induced inflammation. Other genes are either not affected or only changed in one condition (*i.e.*, KLF11 overexpression or knockdown). As a whole, we discovered *TF* gene as the potential downstream target of KLF11 that prevents thrombus formation under inflammatory conditions in the endothelium.



In fact, the primary mechanism by which ECs regulate the coagulation cascade lies in its upregulation of TF expression (*i.e.*, *TF* gene transcription), especially upon vascular injury.<sup>110</sup> TF is the initiator of the extrinsic coagulation cascade. Although the expression of endothelial TF is low, it is highly inducible under pathological conditions. The primary transcription factors regulating TF expression in ECs include Sp1 transcription factor (SP1), NF- $\kappa$ B, AP-1, and EGR1.<sup>112, 113, 116</sup> In ECs, SP1 families bind at AP-1 and contribute to the constitutive *TF* gene transcription.<sup>112</sup> Upon stimulation (*e.g.*, inflammation), the NF- $\kappa$ B, AP-1 and EGR1 signaling can be activated and recruited to *TF* gene promoter, inducing TF expression.<sup>113, 117</sup>

However, KLF11 does not downregulate the key factors in NF- $\kappa$ B, AP-1 and EGR1 signaling (**Figure 3.5**), though KLF11 overexpression can significantly inhibit *TF* gene expression as early as one hour after TNF- $\alpha$  stimulation. This early phase transcriptional suppression may result from the altered activity rather than the expression level of these three pathways. To test this, we applied inhibitor studies and found that KLF11 further inhibited *TF* gene transcription in conditions of blocking NF- $\kappa$ B, AP-1 or EGR1 signaling, indicating that KLF11 effects are not mediated by a single pathway (**Figure 3.6A-C, compare the last two columns**). However, after KLF11 overexpression, the inhibition of NF- $\kappa$ B and AP-1 showed additive effects on suppressing *TF* gene transcription (**Figure 3.6A, B, compare the 6<sup>th</sup> and 8<sup>th</sup> columns**), which was not observed in the EGR1 inhibition group (**Figure 3.6C, compare the 6<sup>th</sup> and 8<sup>th</sup> columns**). These drove us to evaluate if KLF11 counteracts EGR1 signaling, which at least partially mediates the inflammation-induced *TF* gene transcription and contributes to thrombus formation.<sup>114</sup>

Employing multiple approaches, we demonstrated that KLF11 inhibits *TF* gene transcription *via* interrupting the binding between EGR1 and *TF* gene promoter. Consistent with

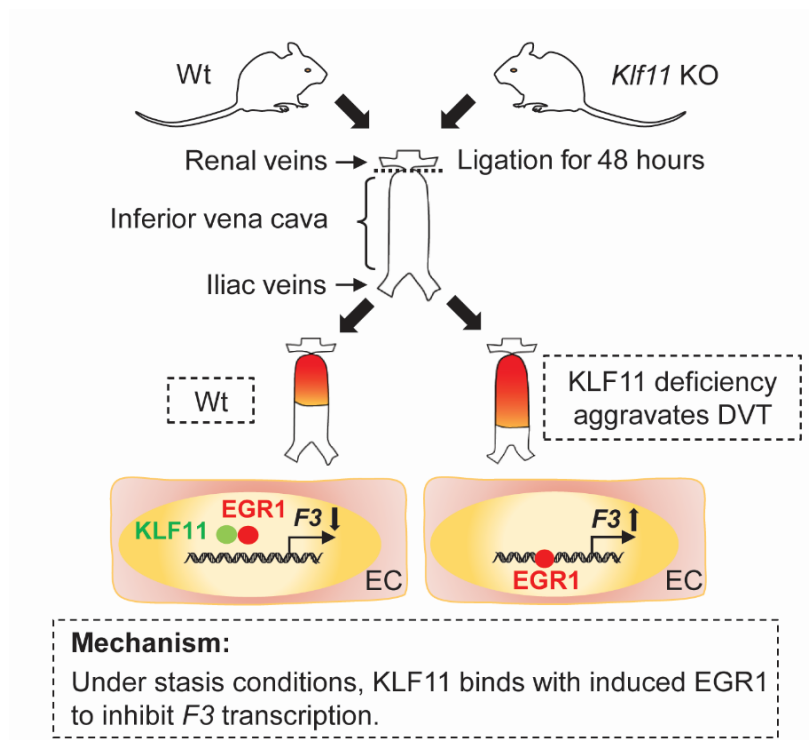
the previous report, there are multiple EGR1 binding sites in the *TF* gene promoter.<sup>117</sup> Although the mutation of three out of four EGR1 binding sites (-63/-60 bp, -39/-34 bp, and -24/-21 bp) cannot entirely abolish EGR1-induced *TF* gene promoter activity, it is enough to abolish KLF11 effects (no further reduction in **Figure 3.7E**, compare the last two columns). Combining this data with ChIP and Co-IP results, we propose that KLF11 can at least partially interrupt the induced-EGR1 binding to the *TF* gene promoter.

Although our previous paper identified that KLF11 binds at *TF* gene promoter (-177/-161 bp) and inhibits *TF* gene transcription in VSMCs,<sup>101</sup> we did not observe similarly direct regulation in ECs (**Figure 3.8**). *TF* is constitutively expressed in VSMCs but is almost undetectable in ECs at baseline. Upon inflammatory stimulation, endothelial *TF* is significantly induced and thus contributes to thrombus formation. Therefore, we demonstrated a novel mechanism in which KLF11, functioning as an inflammation inducible co-repressor *via* binding with EGR1, inhibits EGR1-induced *TF* gene transcription.

DVT, characterized by clot formation in the deep veins (*e.g.*, lower extremities), is triggered by hypercoagulable blood contents, disruption of blood flow, or endothelial damage. Inflammation, initiated by either systemic inflammatory status or local vascular injury, is an important mechanism contributing to thrombus formation.<sup>118</sup> In the present study, we applied this model in *Klf11* KO and Wt mice and found KLF11 deficiency aggravated DVT formation (**Figure 3.9B, C**). This is in line with the data that KLF11 deficiency enhanced *TF* expression in ECs (**Figure 3.4**) and endothelium (**Figure 3.9D-F**). The induced endothelial *TF* initiates extrinsic coagulation cascades and further triggers vascular inflammation (*e.g.*, leukocyte adhesion), all of which can accelerate DVT formation. Apart from ECs, circulating components such as platelets, coagulation factors, monocyte-derived TFs can also contribute to DVT. Our

previous study has shown that platelet activity (evaluated by *ex vivo* platelet activity assay) and coagulation cascade activity (assessed by prothrombin time and activated partial thromboplastin time) were not different between *Klf11* KO and Wt mice.<sup>101</sup> The overall hemostasis condition, measured by bleeding time, was also comparable between the two groups.<sup>101</sup> These indicate that KLF11 deficiency may not affect hemostatic status. Based on our current study, the aggravated DVT formation in *Klf11* KO mice can at least partially resulted from upregulated TF expression in ECs. The function of KLF11 in macrophages and monocyte-derived TF particles warrants further investigations in the future.

In summary, we demonstrated that KLF11 inhibits the EGR1-induced *TF* gene transcription in ECs under inflammatory conditions but not at baseline (**Figure 3.10**). Therefore, modulating endothelial KLF11 will be beneficial in the prevention of DVT.



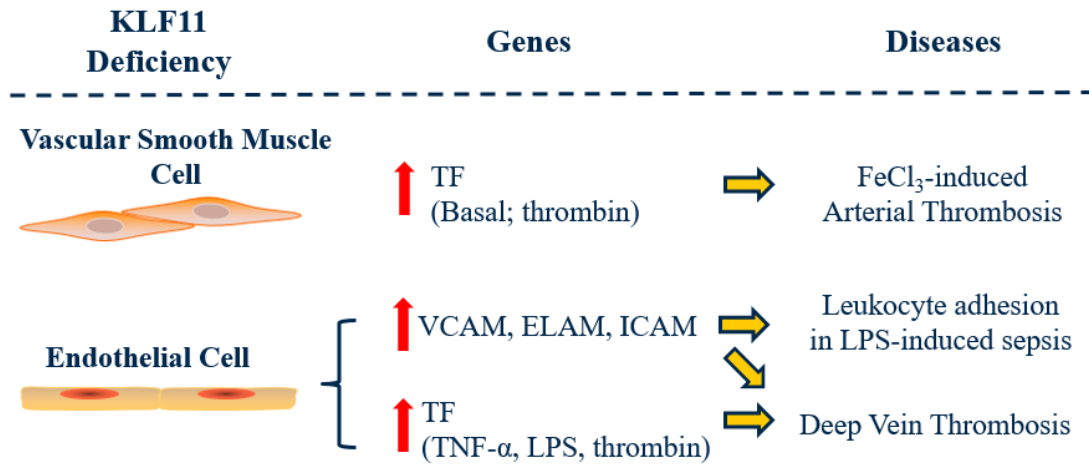
**Figure 3.10** Summary

KLF11 inhibits the EGR1-induced *F3* transcription in endothelial cells (ECs) under inflammatory conditions but not at baseline and thus protects against thrombus formation.

## Chapter 4 Summary and Perspectives

### Summary

Vascular smooth muscle cells (VSMCs) and endothelial cells (ECs) are the two major vascular cell types that maintain vascular homeostasis. In the current study, we identified the importance of KLF11 in regulating the vascular biology in these two cell types (**Figure 4.1**). We found that both conventional and VSMC-selective *Klf11* KO mice were more susceptible to ferric chloride-induced arterial thrombosis *in vivo*, resulting from increased tissue factor expression in the tunica media of *Klf11* KO mice. No change after bone marrow transplantation and the normal plasma hemostasis parameters excluded the involvement of bone marrow-derived cells in this phenotype. In VSMCs, we applied gain- and loss-of-function approaches to demonstrate that KLF11 inhibits tissue factor expression. Chromatin immunoprecipitation (ChIP) assay and tissue factor promoter-driven luciferase assay further verified the direct binding of KLF11 to tissue factor promoter. Therefore, VSMC KLF11 functions as a transcription repressor to suppress tissue factor expression. We also performed the stasis-induced deep vein thrombosis (DVT) model in conventional *Klf11* KO mice. Comparing to the littermate control, *Klf11* KO mice had an increase in DVT. We found that KLF11 can inhibit the pro-thrombotic condition-induced early growth response 1 (EGR1) binding to tissue factor promoter, reducing the induced tissue factor expression in ECs.



**Abbreviations:**

VCAM1, Vascular cell adhesion protein 1  
 ICAM1, Intercellular adhesion molecule 1  
 ELAM, E-selectin  
 TF, Tissue factor  
 LPS, Lipopolysaccharide

**Figure 4.1** Overall Summary: KLF11 deficiency aggravates thrombosis formation.

## Perspective

### *The Role of KLF11 in Other Vascular Diseases*

KLF11 is originally identified as the causal gene of a monogenic subtype of diabetes, MODY7. Previous studies have reported that KLF11 can regulate pancreatic insulin secretion, peripheral insulin sensitivity, hepatic gluconeogenesis, and fatty acid oxidation. Metabolic disorders (*e.g.*, hyperlipidemia, hyperglycemia) can be strong risk factors for thrombotic diseases. Given our current studies that KLF11 inhibits both arterial and venous thrombosis, it would be of great clinical relevance to study if KLF11 dysfunction mediates the detrimental vascular events in metabolic diseases, such as diabetes.

Apart from thrombosis, atherosclerosis is another important pathological process disrupting vascular homeostasis. Endothelial inflammation and smooth muscle cell dysfunction can contribute to atherosclerotic plaque formation and development. In addition, atherosclerosis can also be aggravated under hyperlipidemia or hyperglycemia conditions. Based on these, investigating the role of KLF11 in atherosclerosis would provide more understanding of this common disease.

We recently identified the protective role of endothelial KLF11 in abdominal aortic aneurysms<sup>32</sup>. Besides the anti-inflammation function, endothelial KLF11 can inhibit MMP9 expression, suppress the NADPH oxidase 2-mediated reactive oxygen species production, and prevent the dedifferentiation of VSMCs and apoptosis. Given the high expression of KLF11 in VSMCs and the identified anti-thrombosis function, we will study the role of VSMC KLF11 in aneurysm development in the future.

Although the current studies focus on vascular KLF11, it would be promising to study the function of KLF11 in immune cells, such as macrophages. Our preliminary data indicated that

KLF11 deficiency aggravates lipopolysaccharide-induced inflammatory gene transcription in macrophages. With the success of the “CANTOS” clinical trial using a monoclonal antibody targeting interleukin-1 $\beta$  to prevent adverse cardiac events, a better knowledge of the inflammation regulation machinery in macrophages is in urgent demand<sup>119</sup>. In the future, we will investigate the role of KLF11 in regulating macrophages inflammation and its function in multiple vascular diseases.

### *KLF11 as a New Therapeutic Target*

Considering the essential functions of KLFs in various diseases, therapeutic targeting selective KLFs may achieve desirable biomedical effects. However, some big obstacles, including the highly conserved sequences and the functional redundancy within this family, might impede efforts for drug development. Alternative strategies focusing on directly regulating KLF gene expression or modifying its DNA-binding capacity are applied to solve these problems.

One alternative strategy is to develop a compound that disrupts the DNA-binding of KLFs. For example, a region within the middle zinc finger domain of KLF10 has been identified as a “pocket”. Once a compound blocks this “pocket”, the binding ability of KLF10 is impaired. Based on this discovery, scientists have applied computer-aided drug design screens of chemical libraries and found small molecular compounds inhibiting KLF10-DNA binding activity<sup>120</sup>.

KLFs can also be the downstream targets of several commonly prescribed drugs. For example, KLF2 and KLF4 can mediate the function of statins and facilitates the vascular protective effects of statins<sup>63,121</sup>. Similarly, KLF11 has been identified as required for the therapeutic effects of PPAR $\gamma$  agonist (rosiglitazone, one of the commonly prescribed diabetes drugs) in improving oxidative capacity and adipose tissue browning<sup>38</sup>.



Overall, the accumulating studies on the functions and regulation of KLFs can provide novel therapeutic strategies for treating cardiovascular diseases.

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