

1 **Interprofessional practitioners’ opinions on features and services for an Augmentative and**
2 **Alternative Communication-Brain-Computer Interface device**

3 Running Head: PRACTITIONER FOCUS GROUP ON AAC-BCI

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Abstract

Background: Brain-computer interface (BCI) technology is an emerging access method to augmentative and alternative communication (AAC) devices.

Objectives: To identify, in the early stages of research and development, the perceptions and considerations of inter-professional practice (IPP) team members regarding features and functions for an AAC-BCI device.

Design: Qualitative research methodology applying a grounded theory approach using focus groups with a follow-up survey of participants using NVivo analysis software supporting inductive coding of transcription data.

Setting: Focus groups held at university, clinic, and industry conference rooms. Discussion was stimulated by a 14-minute video on an AAC-BCI device prototype. The prototype hardware and electroencephalogram (EEG) gel and dry electrode headgear were on display.

Participants: Convenience sample of practitioners providing rehabilitation or clinical services to individuals with severe communication disorders and movement impairments who use AAC and/or other assistive technology.

Interventions: Not applicable

Main Outcome Measures: Descriptive statistics using thematic analysis of participants' opinions, input, and feedback on the ideal design for a non-invasive, EEG-based P300 AAC-BCI device.

Results:

Interrater and interjudge reliability were at 98% and 100% respectively for transcription and researcher coding. Triangulation of multiple data sources supported theme and subtheme identification that included design features, set-up and calibration, services, and effectiveness. An AAC device with BCI access was unanimously confirmed (100%) as a desirable commercial product. Participants felt that the AAC-BCI prototype appeared effective to meet daily communication needs (75%). Results showed that participants' preference on headgear types would change based on accuracy (91%) and rate (83%) of performance. A data logging feature was considered beneficial by 100% of participants.

Conclusions: IPP teams provided critical impressions on design, services, and features for a commercial AAC-BCI device. Expressed feature and function preferences showed dependence on communication accuracy, rate, and effectiveness. This provides vital guidance for successful clinical deployment.

Keywords: Stakeholder feedback, Complex Communication Needs, Augmentative and alternative communication, brain-computer interface, focus group, survey, Amyotrophic Lateral Sclerosis

Introduction

Augmentative and alternative communication (AAC) is a field of assistive technology (AT) that uses a range of low-to-high expressive communication technology that includes speech generating devices (SGDs) to support voice output and written communication. Properly matched AAC interventions enhance the participation of individuals with complex communication needs (CCN) in daily-living activities, increase their independence, and improve their quality-of-life.^{1,2} Individuals with CCN co-occurring with severe movement impairment

81 frequently require alternative access methods as the control interface for their SGD technology.³
82 Today's interprofessional practitioners provide clinical services to individuals with diagnoses
83 that include, but are not limited to, amyotrophic lateral sclerosis, brainstem stroke and locked-in
84 syndrome, cerebral palsy and traumatic brain injury.² Alternative access may include selection
85 methods such as switch scanning, head tracking, or eye-gaze.⁴ Brain-computer interfaces (BCIs)
86 offer an exciting innovation as a future commercial AAC alternative access method for SGDs.⁵
87

88 **Background**

89 BCI for communication are advancing toward commercialization that will expand their
90 availability and accessibility as an AAC option. BCIs have been used independently in homes
91 for everyday communication needs^{6,7} and for artistic expression.^{8,9} A current commercial BCI
92 system sold by a hardware manufacturer (IntendiX, Guger Technologies) offers letter-by-letter
93 spelling features, but little AAC functionality. Research and development to move BCIs out of
94 the laboratory as an access method as a commercial AAC product is growing.^{5,10} The prototype
95 for this National Institutes of Health (NIH) funded study uses a non-invasive
96 electroencephalogram (EEG)-based P300 BCI design¹¹ because it has been one of the most
97 successful and least cognitively taxing, non-invasive BCI designs for communication.^{6,12} In the
98 AAC-BCI prototype, the P300 BCI provides access to the AAC device software displays of the
99 industry partner.

100 BCI end-users should be involved in user-centered design efforts.^{8,13} Surveys and focus
101 groups provide insights into the requirements for a clinically useful BCI.^{14,15,16,17,18} New
102 algorithms support independent usage by enabling self-paced selections and automatic detection
103 of when the BCI is available to the user but not actively in use.^{19,20,21} Small Business
104 Technology Transfer Research (STTR) projects such as this study are providing consumer-
105 centered evidence to support commercialization of an AAC-BCI system.

106 AAC clinical services are provided as interprofessional practice (IPP) as defined by the
107 World Health Organization.²² IPP occurs when multiple service providers provide
108 comprehensive healthcare by working with individuals and their families/caregivers to deliver
109 the highest quality of care across settings.²³ IPP teams delivering AAC services include speech
110 language pathologists (SLPs), occupational and physical therapists, AT specialists, and
111 rehabilitation engineers. In the United States, the SLP is designated as the team member
112 responsible for conducting the comprehensive evaluation and trial for an SGD for funding by the
113 Centers of Medicare and Medicaid Services (CMS).²⁴ IPP collaboration is pivotal for successful
114 treatment. However, the trend toward increasing end-user involvement in BCI research has
115 (with only one known exception²⁵) not been extended to IPP professionals. This study recruited
116 IPP team members working in AAC technology to provide feedback and opinions on AAC-BCI
117 design during the research and development phases and thus contribute to technology transfer
118 and commercialization.

119 **Methods**

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121 Qualitative research methods based on a grounded theory (GT) approach^{26,27} were used
122 to gather field opinions from IPP AAC practitioners who provide clinical services to individuals
123 who might benefit from an AAC-BCI system. GT applies systematic inductive reasoning and
124 researcher-based coding of data to identify as many categories as possible to stimulate
125 conceptual ideas. Data were collected using focus groups with a follow-up survey as a
126 triangulation method.^{28,29} Participants were recruited through notices posted on professional

127 discussion lists, a distribution list provided by the industry partner, and local clinics near the
128 universities.

129

130 **Focus group procedures**

131 Focus groups were held at two university settings and a location of the industry partner.
132 Informed consent was obtained prior to a one-hour discussion. Inclusion criteria for participants
133 were: 1) therapists or AT professionals who provide AAC services to people with significant
134 physical impairments; and 2) native English language speakers. Participants who had a history
135 of hearing loss were excluded.

136 The moderator started the discussion with a prepared script describing the research
137 project, discussion process, and ground rules for contributing to the discussion. After
138 participants agreed to the procedures, a 14-minute video on the AAC-BCI project, prototype, and
139 proposed services was shown with the prototype, gel cap and supplies on the conference table.
140 The video covered the following aspects of prototype development: overview of
141 commercialization stages, how EEG BCIs work, prototype hardware and software, gel and dry
142 electrode headgear, calibration, and proposed services. Figure 1 depicts images from the video
143 representing the design of the AAC-BCI at the time of this study.

144 The moderator opened the discussion with the first open-ended prompt: “I’m going to
145 start the discussion by asking your thoughts about the AAC-BCI device” and promoted
146 comments, critiques and discussion among participants, allowing for a type of “structured
147 eavesdropping.” Also, the moderator employed typical techniques^{30, 31} to encourage
148 contributions related to the prompts such as “what do you consider a primary feature?”, “what
149 else could you add to that comment?” For example, moderator pausing was timed to allow all
150 participants at least one opportunity to add input, which helps in building group dynamics that
151 elicit more critiques.³² The moderator ensured that no one participant dominated the discussion
152 or shifted the discussion away from a topic introduced by another participant (despite possible
153 relevance to AAC service delivery).

154 At the end of the focus group, participants were given an access code to complete a
155 Qualtrics survey (Qualtrics, Provo, UT). The Likert-type scale survey contained 4 questions
156 about the participants’ background and 24 questions about the AAC-BCI prototype and topics
157 covered in the video. A comment field was provided after each question and at the end of the
158 survey to reinforce the value of participant opinions.

159

160 **Data Analysis**

161 Focus groups were video recorded and transcribed. Researchers were trained in language
162 sampling word-by-word transcription procedures and achieved intrarater (agreement among
163 repeated measures by single rater)^{33, 34} reliability at 98% or above prior to working on research
164 data. The video recordings were transcribed for each focus group. Transcribers wore earphones
165 to cancel out background noise in the laboratory and improve the audibility of the recorded
166 discussions during transcription. The transcriptions from each focus group were merged into one
167 document in no particular order and then loaded into NVivo Quantitative Data Analysis Software
168 (QSR International Pty Ltd. Version 12, 2018) for further analysis.

169 Researchers were trained in NVivo to conduct a thematic analysis^{35, 36} to identify
170 patterns and emerging themes or topics that appeared in the merged transcript. NVivo allows for
171 inductive methods and coding to identify themes using word search strategies with an annotation
172 tool to record impressions. General themes were further divided into more specific subthemes

173 based on the hierarchical relationships found during analysis. As results were evaluated,
174 researchers used the text search and visualization features to support findings.

175 Researchers were trained in survey development, survey data analysis and use of the
176 Qualtrics (Qualtrics, Provo, UT) program. A different research team worked on survey
177 development than the team performing the NVivo coding to avoid survey questions influencing
178 theme and subtheme coding. Only the principal investigator was common to both teams.
179 Although Qualtrics automatically calculated the results for each survey question, researchers
180 were able to select the methods of statistical calculations reported once the NVivo coding was
181 completed. Researchers also selected how the data were visualized to compare survey results
182 with the analysis of themes to support triangulation and interpretation of the data.

183

184 **Results**

185 Reliability

186 Interrater reliability and interjudge reliability were calculated for the transcription and
187 theming processes.^{33,34} Interrater reliability among three researchers was 98% for 20% of the
188 transcripts for word-by-word agreement. In the case of a disagreement, interjudge reliability was
189 used to establish 100% reliability. The same process was used with NVivo for identifying
190 themes and subthemes. All themes and comments were reviewed and discussed among three
191 judges, one of whom was not one of the data coders. Agreement of 100% was achieved for
192 interjudge reliability of the themes and subthemes. Survey results were automatically compiled
193 in Qualtrics and compared with the coded NVivo results. The study theme and survey results
194 were reviewed by the principal investigator and commercial partner not involved in the
195 reliability and data analysis processes as a final peer review step.

196

197 General focus group participant information

198 The three focus groups had a total of 12 individuals who also completed the Qualtrics
199 survey (three males and nine females, ages from 20-30 year-olds to over 60). Table 1
200 summarizes participants' demographic and professional background information. The
201 participants included nine professional SLPs, two professional AT specialists, and one
202 rehabilitation engineer.

203 Participants represented a range of experience providing AAC services with 50% (6/12)
204 having 0-7 years, 8% (1/12) having 8-15 years and 42% (5/12) having more than 16 years of
205 AAC service experience. Most participants reported spending more than half their weekly
206 clinical service time on AAC (Table 1 and Figure 2).

207

208 **AAC-BCI response themes**

209 Six (6) major themes were identified and ranked by NVivo automatically based on the
210 amount of discussion: 1) design; 2) services; 3) headgear; 4) set-up and calibration; 5)
211 effectiveness; 6) ethics. Each major theme was divided into subthemes to clarify the
212 perspectives of the participants.

213

214 Design

215 **Design** had the largest number of comments and was divided into five subthemes: 1)
216 device appearance; 2) comfort; 3) durability; 4) ease-of-use; and 5) software. **Appearance**
217 described the appearance of the AAC-BCI device (concerns about the user's appearance focused
218 on the headgear and are discussed below). Appearance concerns first centered on the extra

219 components needed by an SGD to provide BCI access such as the EEG amplifier, which were
220 thought to increase size and decrease portability. Secondly, participants wanted a design that
221 accommodated access both in bed and from a wheelchair. Overall, participants wanted a
222 smaller, lighter, portable, integrated AAC-BCI system.

223 All comments regarding *comfort* were related to the headgear. Regardless of type, the
224 headgear should not place any pressure on the head, be itchy, or cause friction or rubbing to
225 create discomfort. Participants felt that the headgear should be comfortable for a long wearing
226 time in various positions, i.e. resting the head on a pillow in bed or against the headrest of a
227 wheelchair were mentioned. Although expected wearing time was not mentioned by participants.

228 Participants commented that a *durable* commercial AAC-BCI product should be
229 expected to last the five (5) years required before seeking to upgrade an SGD based on current
230 CMS funding policies. Participants also expressed durability concerns about the security or
231 stability of positioning and mounting the device to a bed or wheelchair.

232 *Ease-of-use* was identified as a subtheme within Design, with varied opinions about what
233 constitutes ease-of-use. Although most participants agreed that the AAC-BCI device may be
234 intimidating at first encounter, they indicated that the device did not appear to be hard to use
235 after training. Participant comments focused on the requirement of learning and the need for
236 training. Participants did not separate learning to use the communication software from learning
237 the procedures for BCI access. Two relevant comments were the need for the system to be
238 intuitive and that the device should be useable by the least capable caregiver.

239 The communication *software* used by the AAC speaker was identified as a design
240 component. Consensus occurred across focus groups on the principle that the language software
241 should remain consistent as alternative access methods change for AAC speakers experiencing
242 progressive degeneration of abilities. In addition, participants agreed that communication
243 software should have flexible options (language representation methods). Specifically, letter-by-
244 letter spelling should not be the only language representation method to generate messages, but
245 the software should include other methods such as symbol/icon representation. In addition,
246 flexibility of the software should allow for use by populations with different ability levels. To
247 quote one participant, “always lean on the side of having more (software) options.” Finally,
248 participants had design and feature recommendations to improve the user interface or software
249 display (Table 6).

250 Several survey questions related to design qualified the opinions expressed by focus
251 group participants. In general, participants responded to the survey by providing agreement and
252 neutral responses to questions which was consistent to feedback and opinions provided during
253 the discussions. Table 2 represents the response frequency for design features. While 67% of
254 respondents agreed that the appearance of the AAC-BCI headgear (see Figure 3) was pleasing
255 and consistent with other high-quality technology, 17% gave a neutral response. While 67% of
256 respondents agreed that the durability (endurance, resistance to breakage) appeared consistent
257 with other high-quality computer-based technology, still 17% remained neutral on this question.
258 Survey responses showed mixed results regarding ease-of-use. For example, 58% of respondents
259 agreed that the AAC-BCI appeared as easy to use as other computer-based technology.
260 However, 25% remained neutral and 17% disagreed with this statement. In addition, 50% of
261 respondents agreed on the ease of making adjustments to the software while 42% remained
262 neutral and 8% strongly disagreed. Only the opinion that the AAC-BCI appeared to be safe and
263 secure once set-up achieved 100% agreement.
264

265 Service

266 **Service** feedback was categorized into five subthemes: data logging, training,
267 professional services, follow-up, and repair. Participants all agreed on the *data logging*
268 subtheme (Figure 4), that is on the importance of monitoring and measuring communication
269 performance not only for persons using the AAC-BCI devices, but any AAC technology. One
270 participant identified log file data as “hard” data and others noted that these data supported
271 evidence-based practice, therapy planning, reinforcement, and encouragement

272 **Training** was a key subtheme for a commercial SGD with BCI access. All focus groups
273 agreed that different training programs were needed for practitioners and for caregivers and
274 users. Further, participants were convinced that training on the language software is separate and
275 distinct from training on BCI as the access method. To quote one participant, “knowing the
276 language system...is first and foremost.” Participants were convinced that the SLP should teach
277 the device and that resources for training and practice should be available for clinical services.
278 Finally, they agreed that competency in operational skills should be evaluated to ensure
279 independence of the user and the caregiver team. Comments on who conducts trainings were
280 included in the ethics theme.

281 The **professional services** subtheme overlapped with the training subtheme with
282 comprehensive services emphasized as critical. However, participants did not clearly
283 differentiate the roles of the manufacturer and practitioners. Participants felt that contacting a
284 manufacturer should result in quick access to support. A critical feature of practitioner services
285 was to verify that set-up, installation, and training was properly done for long-term use.

286 Comments verified that the **follow-up** and **repair** subthemes are needed services after
287 purchase. Participants expected follow-up to be provided by the manufacturer with an emphasis
288 on the qualifications of employees providing the service. Specific services mentioned included
289 warranties and access to a help desk. Video calls were suggested for live chats. Suggestions
290 related to repairs included the need for easy access to quality technical support with loaner
291 devices. A participant quote that summarizes the comments on these subthemes was “with high
292 tech solutions come high tech problems.”

293 The **survey** responses (Table 3) did not offer new insights into these service
294 areas. Respondents (75%) agreed that the proposed training program (3-4 day intensive hands-
295 on workshop for clinicians with continuing education units available, 1 day intensive workshop
296 for family members) appeared well-planned to develop the necessary skills of the trainee. That
297 the proposed repairs and maintenance servicing appeared convenient for problem resolution was
298 agreed with by 75% of respondents and the proposed technical support services appeared easy to
299 access was agreed with by 67% of respondents. Monitoring performance using the data logging
300 feature was agreed as beneficial and important by 100% of respondents.

301
302 Headgear

303 Participant opinions on the **type of headgear** considered an EEG gel cap and a dry
304 electrode headset (Figure 3). Overall, the gel cap was a bigger concern for participants who
305 mentioned set-up challenges, cleaning, care, and durability. Participants felt that the dry
306 electrode headset appeared “less medical” and had futuristic appeal. Suggestions were offered to
307 increase acceptance by enhancing the attractiveness of the headgear.

308 The survey results showed a strong trend toward dislike of the gel cap’s appearance. Yet,
309 a trend in agreement was found that the set-up for the gel-cap was acceptable. The likelihood of
310 using the gel-cap with an AAC-BCI user was rated from 5 and above (on a 10-point

311 scale). Similarly, the likelihood of using the dry electrode helmet was rated 5 or above with
312 slightly higher scores. However, for both types of headgear, performance (accuracy and rate)
313 superseded preference in headgear. In other words, professionals would select or recommend the
314 highest-performing headgear.

315 Set-up and Calibration

316 Opinions on the **set-up and calibration** of the AAC-BCI prototype focused on time
317 requirements, positioning of the user, and dependence on the system operator. Participants
318 repeatedly commented that the initial set-up and calibration process appeared quite time-
319 consuming before the person could use the AAC-BCI system for independent
320 communication. However, positive comments were repeated about not having to re-calibrate for
321 every use.

322
323 Survey results reinforced that both setup and calibration appeared time consuming and
324 required training from a well-trained practitioner. However, some survey responses reflected
325 split opinions. The responses were spread among the various ranges for both the question on
326 whether the set-up process appeared complicated (Table 4) and on whether the calibration
327 process appeared difficult. However, slightly more people felt it would not be difficult. Written
328 survey comments reflected concern for the time required to train a person and suggested having
329 both face-to-face and written instructions.

330 Effectiveness

331 Discussion about the **effectiveness** of the AAC-BCI focused on type of use and
332 performance outcomes. The participants' comments identified the importance of face-to-face
333 communication while performance was identified as rate of communication and reducing
334 keystrokes. Although environmental control features remain unfunded, participants expressed
335 the value environment controls have to overall quality-of-life and independence at no added
336 cost. One remark ranked environmental control over email and even communication. Survey
337 results indicated a strong trend in agreement that the AAC-BCI would meet the needs for daily
338 communication, email, and environmental control (Table 5).

340 Ethics

341 The participants expressed concerns related to **ethical** issues for practitioners providing
342 BCI-related clinical services. Participants agreed that the SLP needed to be independent from an
343 AAC manufacturer and fully inform the user of available options. Consensus was not achieved
344 on the roles and responsibilities of various providers. The idea of independent "centers of
345 excellence" for conducting trainings, evaluations and guiding treatment was mentioned as ethical
346 considerations related to beneficence and non-maleficence with careful attention to avoid
347 perceptions of manufacturer bias. The survey did not contain questions related to ethics.

349 Summary of recommendations

350 Table 6 lists focus group participant recommendations within each theme for improving
351 specific features and support services for commercialization of an AAC-BCI device based on our
352 prototype. Identified items are from both focus group discussion and open-ended survey
353 questions and thus are not prioritized. Items considered proprietary to the industry partner were
354 removed to arrive at a group of features that could be considered essential for any commercial
355 AAC-BCI product.

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Discussion

Focus group participants expressed overwhelming support for the commercialization of an AAC-BCI device. Enthusiasm for an AAC-BCI available in the near future as an alternative access option for clients was tempered by realistic expectations of improving the current prototype based on testing. Although each focus group suggested improvements to the prototype or associated services, survey responses indicated satisfaction with the overall direction of the prototype. Focus group participants reached strong agreement on satisfaction and suggestions. Participants' survey responses showed a wider range of opinions upon reflection.

Focus groups reached consensus that the preferred AAC-BCI hardware would be a commercial AAC system with added BCI components similar to how eye-gaze (camera) components are added to current AAC systems. This approach is gaining acceptance in BCI research^{37,38} and some testing has been performed.^{39,40,41} However, 75% of the survey responses reflected agreement on the dimensions (size, height) of the prototype (a laptop computer with separate EEG amplifier) as appropriate and acceptable. This suggests that the prototype is acceptable, but not the preferred final solution for a commercial product. In addition, 50% of respondents agreed on the ease of making adjustments to the software while 42% remained neutral and 8% strongly disagreed. Based on focus group discussions, we interpreted these data to reflect the suggestions for improving displays to guide independent set-up and calibration by the user.

Participants expressed a strong trend toward agreement about services, however, neutral responses surfaced on the survey. During the discussion, participant comments reflected an expectation of services typically provided by AAC manufacturers such as technical support, warranties, loaner devices, and training. However, participants may have been more cautious in survey responses about services since details were not provided. Comments related to ethics were tied closely to clinical and manufacturer services. The ethical principles of beneficence and non-maleficence were associated with services provided by IPP clinicians. For example, beneficence requires that the clinician develops and maintains a high level of knowledge and skills and is trained in the most current and best practices to maximize benefit to the AAC-BCI user. Non-maleficence was associated with comments related to doing no harm or showing no manufacturer bias or conflicts of interest in decision-making.

Introduction of a new commercial alternative access product would require training on the AAC-BCI device prior to trialing the device with a user. Access and availability of AAC training has been identified as a limitation for clinical AAC providers.² Training to build knowledge and skills of AAC-BCI technology and clinical practices is even more limited. Notably, the proposed 3-4 day workshop to train clinicians exceeds the training available for most AAC devices. However, training availability must be considered when selecting an AAC-BCI device for an individual.⁵ Without proper training practitioners may rely too heavily on the manufacturer, creating bias toward a particular device or access method offered by the manufacturer. One participant summarized the overall focus group opinions, "Providing ethical practices and services is critical. Manufacturers should not be providing the overall BCI training and their role needs to be clearly defined with barriers to manufacturers conducting assessments".

Interactive daily communication and email communication with family were the primary goals expressed by participants. Effectiveness and competence were considered the standards for performance measurement, especially for the language program. One participant commented

403 “keep in mind we (society) are moving away from face-to-face communication for the internet.”
404 Overall, communication effectiveness was perceived as a critical quality. This echoed the
405 opinion of end-users.¹⁸
406

407 **Study Limitations**

408 Focus group participants represent a convenience sample from a limited geographical region of
409 the United States. Although all participants represent professions frequently represented in IPP
410 AAC teams, a majority were SLPs. Given recruitment procedures, SLPs were the earliest
411 respondents and available on the target dates. The high response rate for SLPs may reflect
412 increased motivation related to their role and responsibility in the AAC assessment process
413 required by CMS in the United States. Participants did not use the AAC-BCI but responded to a
414 video demonstrating its use with some components (prototype, gel cap and supplies) available to
415 view/handle during the discussion.
416

417 **Conclusions**

418 Clinical practitioners working with individuals using current SGDs are enthusiastic about BCIs
419 as a new access method. Many of their comments on AAC-BCI design mirror those of end-users,
420 including the importance of communication performance and effectiveness as an overriding
421 concern that supersedes some inconveniences of device usage. However, their experience in
422 AAC service delivery leads them to identification of the crucial importance of durability,
423 warranties, and prompt and reliable support services for the sustainability of BCI as a clinical
424 device. They also raise unique ethical concerns regarding appropriate training not only of end-
425 users and their caregivers, but also of practitioners so that AAC-BCI provision is insulated from
426 the potential self-interest of manufacturers. These insights provide valuable guidance to support
427 the research and development of AAC-BCI products toward market readiness.

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Suppliers

NVivo Quantitative Data Analysis Software (QSR International Pty Ltd. Version 12, 2018)
 Qualtrics (Qualtrics, Provo, UT)

Tables

Table 1. Demographic summary of focus group participants

Variable		n	%
Sex	Male	3	25
	Female	9	75
Age range	20-30 years	5	42
	31-40 years	1	8
	41-50 years	2	17
	51-60 years	3	25
	>60 years	1	8
Professional background	SLP	9	75
	Assistive Technology (AT) Specialist	2	17
	Rehabilitation Engineer	1	8
AAC service experience range	0-7 years	6	50
	8-15 years	1	8
	>16 years	5	42
AAC clinical effort range per week	0-25%	2	17
	25-50%	1	8
	50-75%	5	42
	>75%	4	33

Table 2: Survey responses related to design

Question	Strongly Disagree	Disagree	Neutral	Agree	Strongly Agree
Q3. Finding a permanent home location and storage of supplies for the AAC-BCI will be difficult for most families.	5 (41.67%)	3 (25%)	1 (8.33%)	1 (8.33%)	2 (16.67%)
Q4. Internet for the AAC-BCI is likely to be unavailable for most families.	2 (16.67%)	4 (33.33%)	3 (25%)	2 (16.67%)	1 (8.33%)
Q6. The dimensions (size, height, length, width) of the AAC-BCI appeared appropriate and acceptable.	0 (0%)	0 (0%)	3 (25%)	7 (58.33%)	2 (16.67%)
Q7. The overall appearance of the AAC-BCI hardware appeared pleasing and consistent with other high quality technology.	0 (0%)	2 (16.67%)	2 (16.67%)	6 (50%)	2 (16.67%)
Q10. The ease of making adjustments (customizing for an individual) to the communication software of the AAC-BCI appeared acceptable.	1 (8.33%)	0 (0%)	5 (41.67%)	2 (16.67%)	4 (33.33%)
Q11. The AAC-BCI appeared to be safe and secure once set-up for the individual.	0 (0%)	0 (0%)	0 (0%)	5 (41.67%)	7 (58.33%)
Q12. The durability (endurance, wear and tear, resistance to breakage) of the AAC-BCI appeared consistent with other high quality computer-based technology.	0 (0%)	2 (16.67%)	2 (16.67%)	5 (41.67%)	3 (25%)
Q13. The AAC-BCI appears as easy to use as other computer-based technology for an individual.	0 (0%)	2 (16.67%)	3 (25%)	4 (33.33%)	3 (25%)
Q14. The AAC-BCI appears to be comfortable for an individual to use.	0 (0%)	1 (8.33%)	4 (33.33%)	5 (41.67%)	2 (16.67%)

Table 3. Survey responses related to service.

Question	Strongly Disagree	Disagree	Neutral	Agree	Strongly Agree
Q18. Monitoring the performance using the data logging feature would be beneficial and important.	0 (0%)	0 (0%)	0 (0%)	4 (33.33%)	8 (66.67%)
Q19. The proposed training program (procedures, length of time to learn) appeared well planned and would develop necessary knowledge and skills.	0 (0%)	1 (8.33%)	2 (16.67%)	4 (33.33%)	5 (41.67%)
Q20. The proposed repairs and servicing (maintenance) would be convenient to have problems resolved.	0 (0%)	0 (0%)	3 (25%)	2 (16.67%)	7 (58.33%)
Q21. The proposed professional services (regional consultants, information, attention) would be comprehensive and beneficial.	0 (0%)	0 (0%)	2 (16.67%)	3 (25%)	7 (58.33%)
Q22. The proposed follow-up services (technical and continuing support services) will be easy to access.	0 (0%)	0 (0%)	4 (33.33%)	2 (16.67%)	6 (50%)

Table 4. Survey responses related to AAC-BCI set-up and headgear

Question	Strongly Disagree	Disagree	Neutral	Agree	Strongly Agree
Q1. The set-up process for the AAC-BCI did not appear complicated	1 (8.33%)	3 (25%)	3 (25%)	2 (16.67%)	3 (25%)
Q2. The calibration process for the AAC-BCI appeared difficult.	3 (25%)	4 (33.33%)	2 (16.67%)	1 (8.33%)	2 (16.67%)
Q5. Washing the current gel-based AAC-BCI headgear peripherals appears acceptable.	0 (0%)	5 (41.67%)	2 (16.67%)	2 (16.67%)	3 (25%)
Q8. The appearance of the current gel-based AAC-BCI headgear peripherals appeared pleasing.	1 (8.33%)	6 (50%)	3 (25%)	2 (16.67%)	0 (0%)
Q9. The ease of making adjustments (setting up) the current gel-based AAC-BCI headgear appeared acceptable.	1 (8.33%)	2 (16.67%)	2 (16.67%)	5 (41.67%)	2 (16.67%)
Q26. My preference for headgear peripheral would remain the same even if they resulted in less accurate performance.	3 (25%)	8 (66.67%)	1 (8.33)	0 (0%)	0 (0%)
Q27. My preference for headgear peripheral would remain the same even if they resulted in slower performance.	3 (25%)	7 (58.33%)	1 (8.33)	1 (8.33)	0 (0%)

Table 5. Survey responses related to overall effectiveness.

Question	Strongly Disagree	Disagree	Neutral	Agree	Strongly Agree
Q15. The AAC-BCI system appears to be effective (the degree to which the AAC-BCI meets communication needs) for an individual to use for daily interactive communication.	0 (0%)	0 (0%)	3 (25%)	4 (33.33%)	5 (41.67%)
Q16. The AAC-BCI system appears to be effective (the degree to which the AAC-BCI meets written communication needs) for an individual to use for daily written and email communication.	0 (0%)	1 (8.33%)	2 (16.67%)	5 (41.67%)	4 (33.33%)
Q17. The AAC-BCI system appears to be effective (the degree to which the AAC-BCI functions to control electronic appliances) for an individual to use as an environmental controller.	0 (0%)	4 (33.33%)	2 (16.67%)	1 (8.33%)	5 (41.67%)

Table 6. Summary of recommendations to evaluate and/or add to a commercial AAC-BCI product.

DESIGN: HARDWARE	DESIGN: SOFTWARE/USER INTERFACE	HEADGEAR: GEL & DRY ELECTRODE	TRAININGS	SERVICES
<ul style="list-style-type: none"> • Smaller • Lighter • Portable • Integrated components • Alternative mounting options 	<p><i>Suggested displays to enhance useability and user preferences</i></p> <ul style="list-style-type: none"> • Calibration display • Status display (active/pause indicator) • Performance display (usage measures) • Warning notice • Undo key • Variety of software options to select. 	<ul style="list-style-type: none"> • Comfort • Attractiveness – color choices, head cover choices • Wig solutions • Wear in bed & in wheelchair • Doesn't rub • Doesn't itch 	<ul style="list-style-type: none"> • Trainings based on role • Less training time for caregiver/user • Evaluations of knowledge & skills based on training. • Multiple types of training, face-to-face, webinar, etc. • Resource materials • Independence from manufacturer 	<ul style="list-style-type: none"> • Manufacturer & clinical services • Video chat for technical support • Warranties • Repairs • Technical support • 24/7 technical support • Identify qualifications of technical support • Centers of excellence to conduct evaluations

Figure Legend

Figure 1. Images from the video that represent the design of the AAC-BCI as presented to the focus group participants

Figure 2. Pie chart representing percentage of focus group participants' weekly clinical service time dedicated to AAC

Figure 3. Photo comparing BCI headgear types: gel electrode cap (left) and dry electrode headset (right)

Figure 4. Bar graph representing responses to importance of data logging feature (Q18)