

## Comparison of a Trauma Comorbidity Index with Other Measures of Comorbidities to Estimate Risk of Trauma Mortality

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No conflict of interest

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**ABSTRACT**

**Background** Comorbidities influence the outcomes of injured patients, yet a lack of consensus exists regarding how to quantify that association. This study details the development and internal validation of a trauma comorbidity index (TCI) designed for use with trauma registry data and compares its performance to other existing measures to estimate the association between comorbidities and mortality.

**Methods** Indiana state trauma registry data (2013-2015) was used to compare the TCI with the Charlson and Elixhauser comorbidity indices, a count of comorbidities, and comorbidities as separate variables. The TCI approach utilized a randomly selected training cohort and was internally validated in a distinct testing cohort. The C-statistic of the adjusted models was tested using each comorbidity measure in the testing cohort to assess model discrimination. C-statistics were compared using a Wald test, and stratified analyses were performed based on predicted risk of mortality. Multiple imputation was used to address missing data.

**Results** The study included 84,903 patients (50% each in training and testing cohorts). The Indiana TCI model demonstrated no significant difference between testing and training cohorts ( $p = 0.33$ ). It produced a C-statistic of 0.924 in the testing cohort, which was significantly greater than that of models using the other indices ( $p < 0.05$ ). The C-statistics of models using the Indiana TCI and the inclusion of comorbidities as separate variables – the method used by the American College of Surgeons Trauma Quality Improvement Program – were comparable ( $p = 0.11$ ) but use of the TCI approach reduced the number of comorbidity-related variables in the mortality model from 19 to one.

31 **Conclusions** When examining trauma mortality, the TCI approach using Indiana state trauma  
32 registry data demonstrated superior model discrimination and/or parsimony compared to other  
33 measures of comorbidities.

## 34 35 **INTRODUCTION**

36 Comorbidities influence the detection, prognosis, treatment, and outcomes of disease.<sup>1,2</sup>

37 As the U.S. population continues to age and cases of geriatric trauma become more prevalent, the  
38 influence of comorbidities on the outcomes of the trauma population is likely to grow.<sup>3</sup> Studies  
39 of trauma patient outcomes have long advocated for specific clinical practices, such as the  
40 transfer of certain patients to highly specialized trauma centers based on the presence of  
41 comorbid conditions.<sup>4,5</sup> Moreover, quality improvement efforts, such as those of the American  
42 College of Surgeons Trauma Quality Improvement Program (ACS TQIP), routinely include  
43 comorbidities in the risk-adjusted models used to report patient outcomes and evaluate hospital  
44 quality.<sup>6</sup>

45 Despite widespread recognition that comorbidities influence trauma care and outcomes, a  
46 lack of consensus exists regarding how best to measure that influence. Virtually all U.S. trauma  
47 centers and many non-trauma hospitals maintain detailed clinical registries, which are the  
48 predominant data source for trauma quality improvement initiatives.<sup>6,7</sup> Yet neither of the two  
49 most prevalent composite indices of comorbidities, the Charlson and Elixhauser comorbidity  
50 indices, were designed to leverage trauma registry data; the former was developed using clinical  
51 registry data from patients with non-trauma diagnoses, while the latter employed administrative  
52 data. Current statistical models employed by ACS TQIP include each comorbidity as a separate  
53 variable, an approach that requires considerable statistical power and consumes valuable degrees  
54 of freedom when investigating low prevalence outcomes such as mortality.<sup>6</sup>

55 We postulate that a comorbidity index specifically developed for use with trauma registry  
56 data would improve the predictive modelling of trauma mortality, particularly for hospitals and  
57 patient cohorts whose case volumes cannot support the statistical demands of the ACS TQIP  
58 approach. To test that hypothesis, in this study, we describe an approach to develop and  
59 internally validate such an index, and we compare the model discrimination of that measure with  
60 other, existing comorbidities measures when evaluating the mortality of injured patients.

61

## 62 **METHODS**

### 63 **Study design**

64 We conducted a retrospective cohort study of trauma patients using data from the Indiana  
65 state trauma registry. Primary exposure variables included four different measures of comorbid  
66 disease burden, and the outcome of interest was in-hospital mortality. The study consisted of  
67 three stages: first, we developed and internally validated the trauma comorbidity index (TCI) in  
68 “training” and “testing” cohorts, respectively; second, we compared the predictive value of the  
69 TCI with other comorbidity measures using the testing cohort; and third, we compared model  
70 specification attributable to the TCI and two other comorbidity indices using the testing cohort,  
71 stratified by predicted risk of mortality.

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### 73 **Data Source and Study Population**

74 The study cohort consisted of all patient data (ages  $\geq 16$  years) collected in the state  
75 trauma registry by the Indiana State Department of Health (ISDH) from 2013 through 2015. All  
76 diagnoses are encoded using International Classification of Diseases, Ninth Revision, Clinical  
77 Modification (ICD-9-CM) codes, because ICD-10-CM codes were not included in the Indiana  
78 trauma registry until 2016.<sup>8</sup> The registry includes all data fields of the National Trauma Data  
79 Standards set by the ACS Committee on Trauma, and it consists of data from all hospitals that  
80 submit data in compliance with state rule 410 IAC 34 of the ISDH Trauma Care Committee.<sup>9, 10</sup>  
81 The Indiana trauma registry is inclusive, since the rule applies to all hospitals, including both  
82 trauma centers and non-trauma hospitals. To populate the registry, hospital personnel collect  
83 detailed prehospital, emergency department, operative, intensive care, and hospital data for all  
84 patients with diagnoses encoded as injury and poisoning.<sup>8</sup> These data are provided in an  
85 encrypted fashion through collaboration with ISDH to ensure compliance with the Health  
86 Insurance Portability and Accountability Act.

87 We excluded patients who presented to emergency departments without signs of life,  
88 defined as an initial systolic blood pressure of 0 mmHg, heart rate of 0 beats/min, and Glasgow  
89 Coma Scale motor score of 1.<sup>11</sup>

90 We supplemented the data from the trauma registry with hospital-level data – number of  
91 hospital beds, teaching status, and profit status – obtained from the American Hospital  
92 Association (AHA) by linking the datasets using the name of each hospital identified in both

93 datasets.<sup>12</sup> For hospitals that lacked AHA data, ISDH conducted a hospital survey to directly  
94 acquire that information so that we had comprehensive hospital data from all hospitals included  
95 in the study.

96

### 97 **Pre-existing Comorbid Conditions and Comorbidity Indices**

98 The ISDH trauma registry provides a list of comorbid factors defined by ICD-9-CM/  
99 ICD-10-CM codes consistent with the National Trauma Data Standards.<sup>13</sup> To conduct this study,  
100 we used four different measures of pre-existing comorbid conditions to model trauma outcomes:  
101 the Charlson comorbidity index,<sup>14</sup> the Elixhauser comorbidity index,<sup>15</sup> a count of comorbidities,  
102 all comorbidities included as separate variables (the method used by ACS TQIP),<sup>6</sup> and the TCI.  
103 We accounted for changes that occurred to the comorbidity data collected during the study  
104 period with the following two steps: 1) “pulmonary disease” was changed to “chronic obstructive  
105 pulmonary disease,” so we classified both diseases as “chronic obstructive pulmonary disease;”  
106 and 2) the ACS COT omitted the variable “pre-hospital cardiac arrest” from the National Trauma  
107 Data Standard as a pre-existing comorbid condition in 2015, so we omitted that variable from the  
108 analyses.”<sup>16, 17</sup>

109

### 110 **Charlson Comorbidity Index (CCI)**

111 First described in 1987, the CCI was developed in a training cohort of 559 patients  
112 admitted to the medical service of a single hospital and externally validated in a testing cohort of  
113 685 patients admitted to the medical service in another hospital.<sup>14</sup> The CCI consists of 16  
114 diagnoses that are weighted (1, 2, 3, and 6) based on association of the comorbidity with 1-year  
115 mortality. Greater weights, therefore, represent an increased association with mortality. In order  
116 to generate CCI scores using trauma registry data, we identified all available comorbidity  
117 diagnoses included in the CCI and weighted them accordingly. Five comorbidities included in  
118 the CCI were not present in the trauma registry, and those diagnoses are listed in the Supplement,  
119 eTable 2. The missing comorbidities were assigned with zero weights to compute CCI.

120

### 121 **Elixhauser Comorbidity Index (ECI)**

122 The ECI was first described in 1998, and it was developed using administrative data from  
123 439 hospitals in California.<sup>15</sup> The ECI consists of 30 diagnoses associated with increased hospital

124 length of stay, charges, and in-patient mortality. In the scoring system, all diagnoses are  
125 weighted equally and tabulated to determine a single score.<sup>18</sup> We identified all available  
126 comorbidity diagnoses in the trauma registry that are included in the ECI. Fourteen variables  
127 included in the ECI were not included in trauma registry, and those diagnoses are listed in the  
128 Supplement, eTable 2. The missing comorbidities were assigned with zero weights to compute  
129 ECI.

### 131 **Trauma Count of Comorbidities (TCC)**

132 We calculated a TCC by testing the unadjusted association between each comorbidity  
133 included in the trauma registry and mortality through bivariate logistic regression. We then  
134 tabulated all comorbidities with a  $p \leq 0.25$ . We based this cutoff on previously published  
135 methods for the development of forward stepwise regression models.<sup>19-21</sup>

### 137 **Comorbidities Included Separately**

138 We identified comorbidities that met a minimum threshold association with mortality ( $p$   
139  $\leq 0.25$ ) through bivariate analysis, and we included each variable separately in the mortality  
140 model, an approach consistent with the method used by ACS TQIP.<sup>6</sup>

### 142 **Trauma Comorbidity Index (TCI)**

143 The TCI approach used a 3-step process:

- 144 1. Identify comorbidities associated with mortality ( $p \leq 0.25$ ) based on bivariate analysis
- 145 2. Obtain coefficients for each comorbidity through multivariable regression models
- 146 3. Sum the comorbidity coefficients to create coefficient weighted TCI for each patient

147 Details of the multivariable model are provided below in “Risk Adjustment.” Positive  
148 coefficients derived in Step 2 denote that a comorbidity has an association with increased  
149 mortality, and negative values denote an association with decreased mortality.

### 151 **Risk Adjustment**

152 When modeling the association between comorbidities and trauma outcomes (in-hospital  
153 mortality and LOS), we included the following patient-level covariates: Injury Severity Score  
154 (ISS), Glasgow coma scale (GCS), age, gender, race, initial systolic blood pressure and pulse

155 rate in the emergency department, mechanism of injury, payer type, and transfer status.  
156 Additionally, we controlled for the following hospital-level covariates: American College of  
157 Surgeons trauma verification level, number of hospital beds, teaching status, and profit status.  
158 We directly selected the variables listed above to develop the risk-adjusted mortality models for  
159 this study, since they have been used previously by ACS TQIP as part of its established practice  
160 for risk-adjustment.<sup>6</sup>

## 161 **Analysis**

162 We began by inspecting the graphic distribution of continuous variables (patient age,  
163 systolic blood pressure, and heart rate) and found no skewness of the data. Additionally, we  
164 checked for statistically significant outlying observations and influential datapoints using the  
165 Pregibon's dbeta test and found no evidence of influential observations or datapoints that may  
166 significantly alter our conclusions.<sup>22</sup> To reduce bias and preserve statistical power to compare  
167 the comorbidity indices, we performed multiple imputation using chained equation algorithm (20  
168 iterations) to address missing values.<sup>23</sup> We evaluated the results of imputation by examining  
169 trace plots of the imputed values (means and standard deviations) and found no evidence of  
170 violation of convergence.

171 In order to develop and internally validate the Trauma Comorbidity Index (TCI), we  
172 randomly divided the entire 2013-2015 cohort into training (50%) and testing cohorts (50%), We  
173 performed descriptive statistics to characterize the study cohort using Chi-square and t-test to  
174 calculate p-values for categorical and continuous variables, respectively. We elected to use this  
175 split-sample approach to validation, because the size of our training and testing cohorts was large  
176 enough (> 42,000 patients in each cohort) so the model would not suffer from unmeasured  
177 biases.<sup>24</sup>

178 In the first stage of analysis, we established a baseline estimate of the mortality model by  
179 performing multivariable logistic regression – omitting any comorbidity measure – using both  
180 training and testing cohorts. We clustered at the hospital level to account for any hospital-level  
181 association with mortality and to derive robust standard errors, and we calculated the C-statistic  
182 for the mortality model in each cohort. We tested for difference between the C-statistics of the  
183 two cohorts using the Wald test.<sup>25</sup> Then, we examined how the inclusion of the comorbidity  
184 measures impacted the predictive value of the mortality model. We calculated the TCI using the  
185 method detailed above using the training cohort, included it in the adjusted model, and calculated



186 the C-statistic. We evaluated the internal validity of the TCI by calculating it in the testing cohort,  
187 taking care to apply the coefficients derived from the training cohort, and we tested for  
188 difference between the C-statistics of the two cohorts using the Wald test. Next, we repeated  
189 these steps, substituting the TCI for each of the other measures of comorbidities. In the second  
190 stage of analysis, we used the Wald test to compare the C-statistics of the respective mortality  
191 models with each comorbidity measure in the testing cohort.

192 In the third stage of analysis, we compared the model specification attributable to the CCI,  
193 ECI, and TCI in two different ways, given the prevalence of the two former indices in existing  
194 literature. First, using the testing cohort, we calculated the number of deaths accurately predicted  
195 by each mortality model by 1) calculating the sensitivity of the mortality models for each dataset  
196 (the original and imputed ones) using a posterior predictive command that defines “sensitivity”  
197 as true positives (accurately predicted deaths) divided by all positives; 2) deriving the mean  
198 sensitivity of the datasets; and 3) multiplying that mean value by the total number of deaths in  
199 the unimputed testing cohort. Second, we examined how closely each comorbidity index score  
200 corresponded to observed and expected mortality. We did so by 1) calculating the predicted (i.e.,  
201 expected) mortality for each patient using the three mortality models; 2) dividing patients into  
202 deciles of predicted risk; 3) calculating the percentage of actual (i.e., observed) deaths per decile;  
203 and 4) calculating the mean comorbidity index score within each decile.

204 The study was approved by the Indiana University Institutional Review Board, and all  
205 analyses were performed using Stata 15 software (StataCorp LLC, College Station, TX).

206

## 207 **RESULTS**

208 The cohort consisted of 84,903 patients admitted to 109 hospitals over the study period.  
209 The hospitals included three Level 1 trauma centers, six Level 2 trauma centers, ten Level 3  
210 trauma centers, and ninety non-trauma centers. All trauma centers had ACS verification for their  
211 respective levels. Patients were predominantly elderly, white, and male, and the most commonly  
212 identified mechanism of injury was falls. Patient data – demographics and injury characteristics –  
213 are summarized in Table 1. Approximately 65% of the patient cohort had at least one  
214 comorbidity, including conditions such as “drug abuse disorder” and “current smoker,” and the  
215 maximum number of comorbidities was 9 (median = 1, interquartile range [IQR] = 0-2). Table 2  
216 summarizes patient comorbidities. The incidence of in-hospital mortality was 2.8%.

217 When divided into training and testing cohorts, demographic, injury, and comorbidity  
218 characteristics were evenly distributed between the two groups ( $p > 0.05$ ).<sup>26</sup> The distribution of  
219 demographic and injury characteristics between the cohorts is summarized in Table 1, and Table  
220 2 summarizes the distribution of comorbid conditions used to develop the TCI. Of note, mortality  
221 was also evenly distributed between the training and testing cohorts (2.9% and 2.8% respectively,  
222  $p = 0.82$ ).

223 In the training cohort, we identified 19 comorbidities that met the minimum threshold  
224 association with mortality using bivariate analysis ( $p \leq 0.25$ ), and the coefficients derived from  
225 the multivariable models ranged from -1.0 (drug use disorder) to 1.2 (presence of an advanced  
226 directive limiting care). The TCI ranged from -1.8 to 5.1, with negative values representing a  
227 decreased association with risk-adjusted mortality, relative to a TCI of zero. The p-values and  
228 coefficients for each comorbidity used to develop the TCI, along with the corresponding  
229 coefficient in the CCI and ECI are summarized in Table 3. Comorbidities included in the trauma  
230 registry but not incorporated in the TCI are listed in Supplement, eTable 2.

231 Regarding internal validation of the TCI, we found no significant difference ( $p = 0.33$ )  
232 between the C-statistics of the training (0.918) and testing (0.924) cohorts when we included the  
233 TCI in the mortality model. Similarly, we found no significant difference between cohorts when  
234 using no measure of comorbidities and the alternative comorbidity measures (Table 4).

235 In the testing cohort, all methods of comorbidity measurement significantly increased the  
236 C-statistic above a mortality model that lack any comorbidity measure (0.915). Inclusion of the  
237 CCI and ECI produced C-statistics of 0.921 and 0.920, respectively, which were statistically  
238 comparable to each other ( $p = 0.27$ ). The C-statistic of the TCI model was significantly greater  
239 than models with the CCI and ECI ( $p < 0.05$ ). Models that included the TCI and all nineteen  
240 comorbidities included separately (CIS) yielded the greatest C-statistics, 0.924 and 0.925  
241 respectively. Those C-statistics were comparable ( $p = 0.11$ ), but the CIS model included eighteen  
242 more variables than the TCI model. A summary of the C-statistics for mortality models with each  
243 of the comorbidity measures is summarized in Table 5.

244 When comparing the model specification attributable to the comorbidity indices – CCI,  
245 ECI, and TCI – in the testing cohort, sensitivity was greatest for the model with the TCI (91.1%);  
246 whereas the models with the CCI and ECI had sensitivities of 90.9% and 90.8%, respectively;  
247 and the model that lacked any measure of comorbidity had a sensitivity of 90.3%. Accordingly,

248 out of 1,201 deaths in the testing cohort, the TCI model accurately predicted 1,094; the CCI and  
249 ECI models predicted 1,091 and 1,090 deaths, respectively; and the model without a comorbidity  
250 measure predicted 1,084 deaths. When risk-stratified, each mortality model demonstrated that  
251 observed mortality progressively increased across decile of expected mortality (Figure 1).  
252 However, only the TCI score peaked in the tenth decile (that with the greatest mortality),  
253 whereas the CCI and ECI scores peaked in the ninth decile and decreased in the tenth decile.

254

## 255 **DISCUSSION**

256 In this study of Indiana state adult trauma patients, we found that comorbidities, as  
257 defined by the ACS National Trauma Data Standard, were exceedingly prevalent (65%), and the  
258 measurement of comorbidities using any method significantly improved the statistical modelling  
259 of in-hospital mortality. Inclusion of the TCI increased calibration of the mortality model in a  
260 manner similar to the CCI and ECI, providing concurrent validity to the TCI approach. Although  
261 the previously developed indices accounted for mortality-risk associated with comorbidities, the  
262 TCI improved the model discrimination of that relationship, albeit slightly. That improvement  
263 was evidenced by the increased number of deaths accurately predicted by the TCI model in  
264 comparison to models with the other indices. Moreover, although the benefit of using the TCI  
265 approach over other comorbidity indices was slight, it is notable that only the TCI score  
266 corresponded directly with mortality among patients with the greatest risk, whereas the mean  
267 scores of other comorbidity indices actually decreased from the ninth to the tenth decile of  
268 expected mortality (Figure 1). This finding indicates that the TCI is calibrated so its score  
269 reflects risk of mortality more closely than those of CCI and ECI. Therefore, we submit that at  
270 the very least, investigators should consider the TCI approach to be a viable alternative to  
271 develop a trauma-specific comorbidity index, rather than use more general comorbidity indices  
272 when performing risk-adjustment to examine trauma mortality.

273 The TCI and CIS (the method currently employed by ACS TQIP) estimated the  
274 mortality-risk associated with comorbidities comparably, but the TCI afforded substantially more  
275 parsimony, reducing the required number of comorbidity-related variables from 19 to one. These  
276 findings have notable implications for risk-adjustment when examining both rare outcomes and  
277 small patient cohorts, instances when degrees of freedom must be used sparingly to preserve  
278 statistical power. Whereas in this study, we divided the overall study cohort into training and

279 testing cohorts, future studies need not perform this separate step of internal validation if the  
280 Indiana weights for TCI externally validate in a national dataset. Therefore, future studies that  
281 incorporate the TCI approach could retain all of the statistical power imparted by the full size of  
282 their study cohort.

283 Unlike previously described comorbidity indices, the TCI uses comorbidity selection  
284 specific to trauma registry datasets. As a result, the TCI potentially identifies pre-existing  
285 conditions that one may not consider to be comorbidities in a conventional sense, such as the  
286 presence of an advanced directive limiting care. However, we submit that such diagnoses are  
287 both clinically relevant and designated as comorbidities by the ACS National Trauma Data  
288 Standard. Conversely, the TCI does not include certain well-recognized comorbidities, such as  
289 human immunodeficiency virus (HIV), if they are not included in the data or do not meet a  
290 minimum threshold association with mortality. Specifically, regarding missing comorbidities, the  
291 Charlson and Elixhauser comorbidity indices are widely used for risk-adjustment in trauma  
292 outcomes research, however, those indices include diagnoses such as HIV that are not included  
293 in the ACS National Trauma Data Standard. This discrepancy between the scoring systems and  
294 trauma registry data inherently limits the performance of the scoring systems themselves as they  
295 were originally derived and validated.

296 The flexibility of comorbidity selection of the TCI approach is particularly advantageous  
297 for the study of clinical registry data, which is subject to change over time or vary depending on  
298 whether or not an institution adheres to the ACS National Trauma Data Standard. Moreover, the  
299 TCI approach accounts for potential lapses in data quality, since it would exclude variables with  
300 fields that are consistently omitted, as they would be unlikely to meet the minimum statistical  
301 threshold of association with an outcome. As with other indices, the TCI approach achieves  
302 parsimony by estimating the cumulative effect of multiple factors – comorbidities, in this case –  
303 as a single value. The combination of these attributes (model flexibility and parsimony) make the  
304 TCI approach uniquely well-suited for the study of trauma subpopulations such as patients with  
305 specific mechanisms of injury or hospitals that treat small numbers of injured patients, such as  
306 non-trauma hospitals.

307 Although the TCI has certain advantages over other comorbidity indices, it also has  
308 limitations. Like other comorbidity indices, the TCI has no role in prospectively determining the  
309 expected outcomes of a given patient. Rather, the TCI was designed to enhance risk-adjusted

310 models used to examine trauma mortality retrospectively using clinical registry data. If the  
311 Indiana-derived weights for TCI externally validate on a national dataset, then the Indiana TCI  
312 can be used for future trauma registry risk-adjusted modeling.

313 If the Indiana TCI does not externally validate, we provide detailed methods of how to  
314 conduct the TCI approach to either derive and validate more generalizable TCI weights using a  
315 national dataset or use the TCI approach for project-specific derivation and internal validation.  
316 Compared with other fixed-weight comorbidity indices, the TCI approach requires additional  
317 steps for its calculation, specifically, the identification of statistically relevant comorbidities and  
318 the estimated association between those comorbidities and mortality. As a result, the TCI  
319 approach does not assign fixed coefficients to comorbidities. Instead, the coefficients can be  
320 derived from the particular dataset. Further, the TCI does not test for interaction effects or  
321 collinearity between comorbidities and assumes a cumulative relationship between comorbidities  
322 and mortality. Alternative methods, such as random forest regression, may address those  
323 shortcomings but would also add complexity to the calculation of a comorbidity index.<sup>27</sup> Despite  
324 the limitations of the TCI, it is notable for its improved predictive modelling compared with  
325 previously described comorbidity indices.

326 The results of this study should be interpreted in the context of its limitations. First, the  
327 trauma population in Indiana may not be representative of the national trauma population. As  
328 stated above, we do not propose to apply the coefficients for comorbidities reported in this study  
329 to other populations without external validation. Instead, the purpose of this study is to detail the  
330 approach for deriving the TCI. Further study, using national data, is necessary to externally  
331 validate the Indiana-derived TCI weights, or derive nationally representative TCI coefficients for  
332 comorbidities that can be applied more broadly. Second, the analyses are limited to in-hospital  
333 mortality, a short-term outcome. In the process of deriving the TCI, we found that certain  
334 comorbidities – current smoker, dementia, drug abuse disorder, and major psychiatric illness –  
335 were actually associated with decreased mortality. Since this study is retrospective, the results do  
336 not connote mechanisms for these relationships, and we do not intend to suggest that smoking,  
337 for example, is protective overall, but simply associated with lower in-hospital mortality after  
338 traumatic injury. The cumulative, long-term sequelae of smoking (e.g., peripheral vascular  
339 disease, respiratory disease, and myocardial infarction) are clearly associated with an increased  
340 risk of mortality.<sup>28, 29</sup> Regarding the association between psychiatric illnesses and decreased

341 mortality, our findings are consistent with other previously published work, but the influence of  
342 psychiatric illnesses on long-term mortality following trauma is still unclear.<sup>30</sup>

343 In conclusion, this study provides a critical analysis of several methods previously used  
344 to measure the association of comorbidities and trauma outcomes, and it identifies limitations of  
345 those methods when applied to trauma registry data. In response to those shortcomings, this  
346 study details the development of the TCI approach, a method of measurement specifically  
347 designed for use with clinical registry data. When compared with other methods of measuring  
348 the clinical impact of comorbidities, the TCI approach demonstrated superior model  
349 discrimination and/or parsimony for estimating the risk of trauma mortality using Indiana state  
350 trauma registry data.

351

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355

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Table 1. Patient characteristics

	All patients (N=84,903)	Training Cohort (n=42,451)	Testing Cohort (n=42,452)	p-value*
Age, years (%)				0.37
16-24	10.77	10.69	10.85	
25-34	10.30	10.30	10.30	
35-44	9.17	9.07	9.26	
45-54	11.34	11.41	11.27	
55-64	13.19	13.15	13.23	
65-74	13.03	13.14	12.92	
>=75	32.18	32.21	32.16	
Race (%)				0.20
White	84.88	84.77	84.98	
Black	8.98	8.92	9.03	
Other	1.97	1.98	1.96	
NA/not known	3.55	3.66	3.45	
Female (%)	47.05	46.97	47.12	0.06
Payer type (%)				0.22
Private/commercial	25.29	25.53	25.06	
Medicaid	6.70	6.55	6.86	
Medicare	39.61	39.70	39.51	
Other	20.13	19.98	20.28	
NA/not known	8.17	8.16	8.18	
Mechanism (%)				0.40
Adverse reaction/overdose/poisoning	0.54	0.55	0.53	
Assault	6.30	6.29	6.30	
Burn/electrocution	1.90	1.88	1.92	
Cut/pierce	1.56	1.53	1.59	
Fall	53.74	53.71	53.77	

Firearm	1.11	1.08	1.14	
Hanging/asphyxiation/drowning	0.14	0.13	0.15	
Machinery	0.96	0.95	0.96	
Motor vehicle accident	22.11	22.01	22.20	
Natural	0.04	0.05	0.02	
Other/not known	2.68	2.65	2.70	
Overexertion	0.26	0.29	0.24	
Pedestrian/pedestrian cyclist/ pedestrian struck	2.83	2.92	2.74	
Struck by/against	2.70	2.81	2.60	
Transport	0.68	0.69	0.68	
Injury Severity Score, mean (SD)	8 (7)	8 (7)	8 (7)	0.33
Initial Systolic Blood Pressure, mean (SD)	142 (27)	142 (27)	142 (27)	0.17
Initial Heart Rate, mean (SD)	86 (19)	86 (19)	86 (19)	0.70
Glasgow coma scale, mean (SD)	14 (3)	14 (3)	14 (3)	0.44
Inter-hospital transfer (%)	18.99	19.05	19.94	0.19
American College of Surgeons trauma verification level (%)				0.93
I	16.60	16.61	16.60	
II	30.32	30.27	30.36	
III	7.24	7.19	7.28	
Non-trauma center	45.84	45.93	45.76	
Hospital beds				0.28
<200	56.35	56.02	56.64	
201-400	25.77	25.87	25.66	
401-600	6.41	6.49	6.33	
>600	11.49	11.62	11.36	
Teaching	69.42	69.60	69.25	0.28
Non-profit	91.92	91.95	91.88	0.64

\*Chi-square used to calculate p-values for categorical variables, and t-test used to calculate p-values for continuous variables

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Table 2. Prevalence of comorbidities used to develop trauma comorbidity index and comparison between training and testing cohorts (%)

	All patients (N=84,903)	Training Cohort (n=42,451)	Testing Cohort (n=42,452)	p-value*
Advanced directive	1.23	1.16	1.30	0.06
Bleeding disorder	6.96	7.03	6.89	0.42
Chemotherapy	0.36	0.35	0.36	0.86
Chronic obstructive pulmonary disease	8.08	8.01	8.15	0.48
Chronic renal failure	2.08	2.00	2.15	0.14
Cirrhosis	0.62	0.62	0.62	1.00
Congestive heart failure	5.70	5.66	5.74	0.65
Current smoker	20.21	19.97	20.46	0.08
Dementia	5.75	5.86	5.63	0.17
Diabetes Mellitus	15.84	15.89	15.79	0.69
Disseminated cancer	0.89	0.86	0.93	0.29
Drug use disorder	2.55	2.60	2.49	0.31
Functionally dependent	3.74	3.72	3.75	0.82
History of myocardial infarction	14.01	13.86	14.15	0.21
History of myocardial infarct within last six months	0.85	0.90	0.81	0.18
History of peripheral vascular disease	0.63	0.62	0.63	0.83
Hypertension	24.80	24.96	24.63	0.28
Major psychiatric illness	4.69	4.60	4.78	0.21
Steroid use	0.61	0.62	0.60	0.79

\*Chi-square used to calculate p-value

Table 3. p-values from bivariate regression and risk-adjusted coefficients used to develop the trauma comorbidity index (TCI) with mortality as the outcome and coefficients for the Charlson and Elixhauser comorbidity indices (CCI, and ECI) for corresponding comorbidities

	p-value	Coefficient		
		TCI	CCI	ECI
Advanced directive	<0.001	1.24	--	--
Bleeding disorder	<0.001	0.86	--	1
Chemotherapy	0.02	1.02	--	--
Chronic obstructive pulmonary disease	<0.001	0.45	1	1
Chronic renal failure	0.07	0.44	2	1
Cirrhosis	0.05	0.91	3	1
Congestive heart disease	<0.001	0.87	1	1
Current smoker	<0.001	-0.41	--	--
Dementia	0.003	-0.01	1	--
Diabetes Mellitus	0.05	0.22	1	1
Disseminated cancer	0.003	0.75	6	1
Drug use disorder	0.17	-1.04	--	1
Functionally dependent	<0.001	0.32	--	--
History of myocardial infarction	0.05	0.15	1	--
History of myocardial infarct within last six months	0.20	0.53	1	--
History of peripheral vascular disease	0.20	0.75	1	1
Hypertension	0.11	0.09	--	1
Major psychiatric illness	0.22	-0.31	--	--
Steroid use	0.002	0.78	--	--

-- not included in index

\* Coefficients with positive values denote an association with increased mortality and negative values indicate an association with decreased mortality.

Table 4. Comparison of mortality models with different comorbidity measures between training and testing cohorts

Method of measurement	Training cohort, c-statistic	Testing cohort, c-statistic	p-value
NCI	0.909	0.915	0.32
CCI	0.913	0.921	0.16
ECI	0.914	0.920	0.29
TCC	0.914	0.920	0.25
CIS	0.918	0.925	0.23
TCI	0.918	0.924	0.33

\*NCI = no comorbidities included; CCI = Charleson comorbidity index; ECI = Elixhauser comorbidity index; TCC = cumulative count of trauma comorbidities; CIS = comorbidities included separately; TCI = trauma comorbidity index

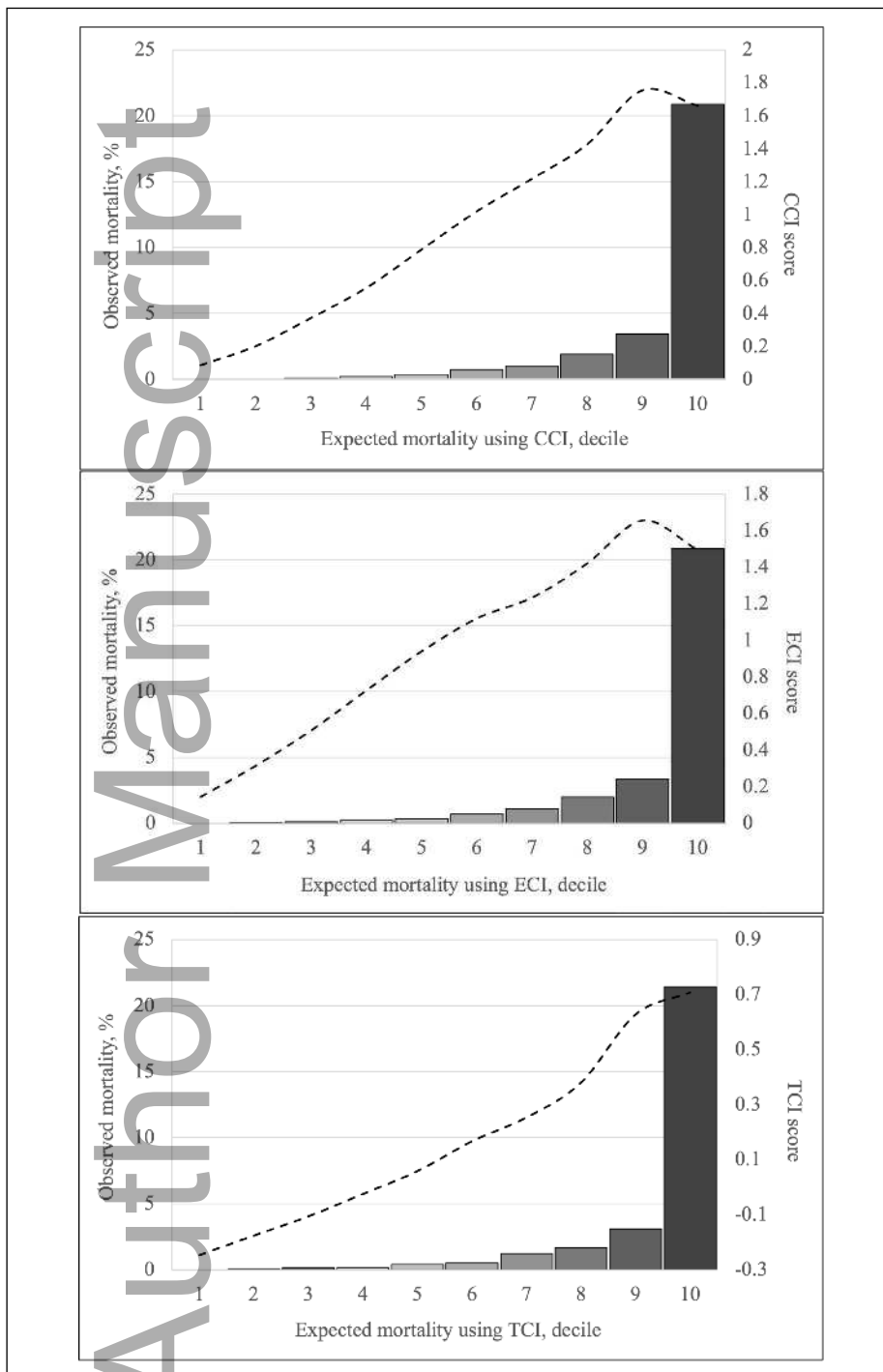
Table 5. Comparison of c-statistic of mortality models with different comorbidity measures in the testing cohort, p-value

Method of measurement (c-statistic)	NCI (0.915)	CCI (0.921)	ECI (0.920)	TCC (0.921)	CIS (0.925)	TCI (0.924)
NCI (0.915)	--					
CCI (0.921)	<0.001	--				
ECI (0.920)	<0.001	0.27	--			
TCC (0.921)	<0.001	0.42	0.72	--		
CIS (0.925)	<0.001	0.005	0.001	0.001	--	
TCI (0.924)	<0.001	0.03	0.003	0.003	0.11	--

\*NCI = no comorbidities included; CCI = Charleson comorbidity index; ECI = Elixhauser comorbidity index; TCC = cumulative count of trauma comorbidities; CIS = comorbidities included separately; TCI = trauma comorbidity index



Comparison of observed and expected mortality using different comorbidity indices with corresponding comorbidity index scores



\* CCI = Charlson comorbidity index; ECI = Elixhauser comorbidity index; TCI = trauma comorbidity index

\*\* Expected mortality is stratified by decile of risk-adjusted, predicted mortality

“----” = Comorbidity index score, mean calculated per decile of expected mortality