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Title: The role of tazobactam-based combinations for the management of infections due to Extended-spectrum β -lactamase-producing Enterobacterales

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Keywords: Tazobactam, Piperacillin, Ceftolozane, extended-spectrum β -lactamases, Enterobacterales, pharmacokinetics, pharmacodynamics, microbiome

Abstract (168/300 words): Extended-spectrum β -lactamase (ESBL) producing Enterobacterales are a global threat to public health due to their antimicrobial resistance profile and consequently, their limited available treatment options. Tazobactam is a sulfone β -lactamase inhibitor with *in vitro* inhibitory activity against common ESBLs in Enterobacterales, including CTX-M. However, the role of tazobactam-based combinations in treating infections caused by ESBL-producing Enterobacterales remains unclear. In the United States, two tazobactam-based combinations are available, piperacillin-tazobactam and ceftolozane-tazobactam. We evaluated and compared the roles of tazobactam-based combinations against ESBL-producing organisms with emphasis on pharmacokinetic/pharmacodynamic exposures in relation to MIC distributions and established breakpoints, clinical outcomes data specific to infection site, and considerations for downstream effects with these agents regarding antimicrobial resistance development. While limited data with ceftolozane-tazobactam are encouraging for its potential role in infections due to ESBL producing Enterobacterales, further evidence are needed to determine its place in therapy. Conversely, currently available microbiologic, pharmacokinetic, pharmacodynamic, and clinical data do not suggest a role for piperacillin-tazobactam, and we caution clinicians against its usage for these infections.

I. Introduction (7555 words)

61 First identified in the early 1980s, extended-spectrum β -lactamases (ESBLs) are
62 predominately a group of Ambler molecular class A β -lactamase enzymes that hydrolyze
63 penicillins, oxyimino-cephalosporins and aztreonam, and are typically encoded by plasmid-borne
64 genes.¹ ESBLs have increased in frequency in both inpatient and outpatient settings worldwide.
65 The United States Centers for Disease Control and Prevention considers ESBLs to be a serious
66 threat to public health that were associated with nearly 200,000 cases and 9,100 deaths in 2017
67 with an estimated \$1.2 billion in attributable health costs.² Over 200 ESBLs have been
68 characterized, and are found most commonly in *E. coli* and *K. pneumoniae*, but can be found in a
69 wide range of Enterobacterales and other gram-negative organisms including *Pseudomonas*
70 *aeruginosa*.³

71 Carbapenems have traditionally been viewed as the gold-standard treatment for serious
72 ESBL-producing (ESBL+) Enterobacterales infections, but widespread utilization of
73 carbapenems has driven carbapenem-resistance which poses a serious threat to public health.^{1,4,5}
74 Between 2000 and 2010, data from 71 countries demonstrated that consumption of carbapenems
75 increased by 45%.⁶ While the spread of carbapenem resistance is multifactorial, the potential to
76 use carbapenem-sparing treatments for ESBL+ infections is an antimicrobial stewardship
77 priority. While ceftazidime-avibactam displays potent *in vitro* activity and has demonstrated
78 efficacy against a wide variety of ESBL infections, the use of this agent is generally reserved for
79 carbapenem-resistant Enterobacterales, notably Klebsiella Pneumoniae Carbapenemase (KPC) or
80 OXA-48-like carbapenemase producing strains.⁷⁻¹⁴

81 Tazobactam-containing therapies are of particular interest given tazobactam's more
82 narrow spectrum inhibitory properties and the changing epidemiology of ESBLs. The majority of
83 ESBLs used to be derived from TEM-1, TEM-2 and SHV-1; however, CTX-M-type ESBLs have
84 undergone rapid global spread and are the most prevalent ESBL encountered in *E. coli* and *K.*
85 *pneumoniae* in most settings.^{3,15} CTX-M enzymes are inhibited by tazobactam with almost 10-
86 fold greater activity than clavulanic acid.^{1,3,16,17} The purpose of this article is to understand the
87 potential role of tazobactam-containing combinations for the management of ESBL+
88 Enterobacterales infections. This will be accomplished by a thorough review of the
89 pharmacology, pharmacokinetics, and pharmacodynamics of tazobactam, the clinical data for
90 tazobactam based combinations for ESBL+ Enterobacterales infections, and comparative
91 selective pressure considerations for tazobactam based combinations and carbapenems.

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II. Tazobactam Overview

Pharmacology of Tazobactam

The role of β -lactam- β -lactamase inhibitor combinations (BLBLIs) is for the inhibitor to restore the antimicrobial activity of their partner β -lactam compound when it is labile to hydrolysis by a given β -lactamase. Following Food and Drug Administration (FDA)-approvals of clavulanate and sulbactam, tazobactam was the third BLI brought to market by 1993.

Although structurally similar to β -lactam antimicrobials, traditional β -lactamase inhibitors possess specific structural differences that enhance their ability to inhibit β -lactamase enzymes. Tazobactam is a penicillinate sulfone β -lactamase inhibitor as defined by the sulfone within the five-membered ring (**Figure 1**). This heteroatom serves as the leaving group responsible for the opening of the second ring and creating the intermediate that allows for hydrolysis of the β -lactamase.^{18,19} Furthermore, tazobactam exhibits a triazole group at the C-2 β -methyl position. This structural difference is hypothesized to improve tazobactam's inhibition by decreasing the concentration required to inhibit 50% of the β -lactam mediated hydrolysis by a particular β -lactamase, also known as the 50% inhibitory concentration (IC_{50}), and dissociation rates against Ambler class A and specific class C β -lactamases.^{18,20}

Tazobactam's inhibitory spectrum includes many Ambler class A β -lactamases (TEM-, SHV-, and CTX-M-type) and some class C (AmpC-type) β -lactamases.^{18,21} Notably, not all β -lactamases and inhibitors are created equal, as demonstrated in **Table 1** by the varying IC_{50} s of tazobactam, clavulanic acid, and sulbactam.²²⁻²⁸ While tazobactam demonstrates low IC_{50} values against TEM- and SHV-type enzymes; its enhanced inhibitory activity against CTX-M-15, the most common ESBL present in Enterobacterales, is notable.^{23-25,27,28} Tazobactam lacks meaningful activity against KPC-type and most Ambler class B, C, and D enzymes. While tazobactam's IC_{50} values provide insight into enzyme inhibitory effect, there are limitations associated with the interpretation of these values.¹⁸ Instead, clinical decisions are often influenced by the minimum inhibitory concentration (MIC) and the susceptibility breakpoint of the combination product.

Dosing and susceptibility testing

122 In the United States, tazobactam is available intravenously in combination with piperacillin, a
123 ureidopenicillin, or ceftolozane, an antipseudomonal cephalosporin. Piperacillin-tazobactam is
124 formulated in an 8:1 ratio. Standard, non-renally adjusted doses, range from 3.375 grams (g) to
125 4.5 g every 6 to 8 hours (h). Ceftolozane-tazobactam is available in a 2:1 ratio and dosing ranges
126 from 1.5 g to 3 g every 8 h.^{29,30}

127 Clinically, tazobactam is administered in a predefined ratio with the partner β -lactam and
128 thus, as with any drug, concentrations vary over a dosing interval. However, *in vitro*
129 susceptibilities—as determined by the MIC of the combination product—use a fixed tazobactam
130 concentration of 4 $\mu\text{g}/\text{mL}$, irrespective of fluctuations in the concentration of the partner β -
131 lactam. Consequently, this fixed tazobactam concentration is reflected in the established
132 piperacillin-tazobactam or ceftolozane-tazobactam susceptibility breakpoints.

133 For Enterobacterales, the Clinical & Laboratory Standards Institute (CLSI) susceptibility
134 breakpoints for piperacillin-tazobactam and ceftolozane-tazobactam are $\leq 16/4 \mu\text{g}/\text{mL}$ and $\leq 2/4$
135 $\mu\text{g}/\text{mL}$, respectively.³¹ Notably, the piperacillin-tazobactam breakpoint set by the European
136 Committee on Antimicrobial Susceptibility Testing (EUCAST) is more conservative at an MIC
137 of $\leq 8/4 \mu\text{g}/\text{mL}$. These breakpoints fall at or above the epidemiologic cutoff for these organisms;
138 however, susceptibility defined by the breakpoint does not guarantee a wild-type organism (e.g.
139 the absence of an ESBL-producer).^{32,33}

140 Using these breakpoints, both piperacillin-tazobactam and ceftolozane-tazobactam
141 demonstrate *in vitro* susceptibility against ESBL+ Enterobacterales. In a collection of 63 ESBL+
142 *E. coli* bloodstream infections, with CTX-M-15 and CTX-M-27 representing the majority of the
143 ESBLs, approximately 98% of the organisms demonstrated susceptibility to piperacillin-
144 tazobactam with MICs $< 16/4 \mu\text{g}/\text{mL}$. However, consistent with the inhibitory profile of
145 tazobactam, the percentage of piperacillin-tazobactam susceptible isolates decrease if the isolates
146 co-carry AmpC (Ambler class C) or OXA-1 (Ambler class D) enzymes in addition to the
147 ESBL.³⁴ Likewise, a collection of urine and bloodstream ESBL + *E. coli* isolates demonstrated
148 81% and 70% susceptibility to piperacillin-tazobactam, respectively.³⁵ Overall, the data suggest
149 that the majority of ESBL+ *E. coli* isolates are piperacillin-tazobactam susceptible at current
150 breakpoints; however, this is not the case for *Klebsiella* species.³⁶⁻³⁸ North American data from
151 2010–2014 demonstrated 69% of ESBL+ *E. coli* isolates were piperacillin-tazobactam
152 susceptible compared with only 26.9% of *Klebsiella* spp. isolates.³⁹ Similar trends were

153 observed in the Asia-Pacific region.³⁸ Limited *in vitro* data exists beyond ESBL+ *E. coli* and
154 *Klebsiella* species.

155 Ceftolozane-tazobactam displays potent *in vitro* activity against *E. coli* and *K. pneumoniae*
156 producing CTX-M-14 and CTX-M-15 ESBLs with over 70% of the organisms inhibited at an
157 MIC of $\leq 2/4$ $\mu\text{g/mL}$.⁴⁰ Shortridge D. *et al.* demonstrated that 88% of ESBL positive
158 Enterobacterales displayed MICs of $\leq 2/4$ $\mu\text{g/mL}$.⁴¹ Similar to what is observed with piperacillin-
159 tazobactam, ceftolozane-tazobactam MICs tend to be lower against ESBL+ *E. coli* isolates than
160 against *K. pneumoniae* ESBL+ isolates.⁴²⁻⁴⁴ In general, ceftolozane-tazobactam is more potent
161 than piperacillin-tazobactam with MIC₅₀/MIC₉₀ values against ESBL+ isolates being several
162 dilutions lower (**Table 2**).^{42,45-53} In fact, greater *in vitro* activity is demonstrated with
163 ceftolozane-tazobactam despite having lower susceptibility breakpoints. This is due to
164 ceftolozane demonstrating greater stability to hydrolysis by common ESBLs than piperacillin.
165 Against low, moderate, and high levels of CTX-M-15 production in *E. coli* isolates, the MICs (in
166 the absence of tazobactam) of ceftolozane were 4, 16, and 64 $\mu\text{g/mL}$ compared to 128, >256,
167 >256 $\mu\text{g/mL}$, with piperacillin.^{54,55} In other words, ceftolozane is less reliant than piperacillin on
168 tazobactam's inhibitory properties, and this will be an important pharmacokinetic and
169 pharmacodynamic consideration as described below.

170

171 III. Pharmacokinetic and Pharmacodynamic Considerations

172 Optimization of an antimicrobial's pharmacokinetics (PK) and pharmacodynamics (PD)
173 is an essential component to the clinical success of an agent, as it impacts clinical efficacy and
174 patient safety.⁵⁶ Pharmacokinetics describes the movement of drug throughout the body over
175 time. Pharmacodynamics defines the relationship between drug concentration and pharmacologic
176 or toxicologic effect.⁵⁷ Traditional indices employed to describe this antimicrobial
177 concentration/effect relationship are 1) the ratio of the peak free drug concentration to the MIC
178 ($fC_{\text{max}}/\text{MIC}$); 2) the ratio of the area under the free drug concentration-time curve to the MIC
179 ($f\text{AUC}/\text{MIC}$); or 3) the percentage of the free drug concentration that exceeds the MIC over a
180 defined time period ($fT>\text{MIC}$). However, β -lactamase inhibitor PK/PD is complex and often
181 non-traditional, falling under the shadow of the partner β -lactam's PK/PD.^{58,59} It is important to
182 note that this may or may not be reflected in current susceptibility breakpoints. For example, the
183 piperacillin breakpoint of 16 $\mu\text{g/mL}$ is largely based on PK/PD considerations with commonly

184 applied piperacillin dosing strategies; that is, the ability to achieve piperacillin $fT > MIC$ targets in
185 patients. The piperacillin-tazobactam breakpoint is $16/4 \mu\text{g/mL}$, *solely because the piperacillin*
186 *breakpoint is $16 \mu\text{g/mL}$* , irrespective of whether or not a fixed concentration of $4 \mu\text{g/mL}$ of
187 tazobactam in a test tube is reflective of the restorative ability of commonly employed doses of
188 tazobactam to reestablish the activity of piperacillin if the MIC is $\leq 16/4 \mu\text{g/mL}$. Understanding
189 and application of inhibitor PK/PD is of critical importance to determining the utility of
190 tazobactam based combinations in patients.

191 The limitations of β -lactamase inhibitor PK/PD are multifaceted. First, the ability to dose
192 the inhibitor as an individual agent in the clinical setting is dictated by the partner agent given
193 the compounds are formulated as a single product. For example, optimizing exposures of the β -
194 lactam partner via tactics such as increasing the dose or extending the infusion consequently also
195 impacts the PK/PD of the inhibitor. Second, the partner β -lactam's concentration, and ultimately
196 restorative effect, is highly dependent on the ratio of β -lactamase inhibitor to β -lactamase
197 production, which is a dynamic, fluctuating environment. Unfortunately, the rationale for the
198 products ratio between parent β -lactam and inhibitor with tazobactam based combinations is
199 lacking.^{55,60} Third, there is a lack of consistent methodology for quantifying the inhibitor effect
200 dynamically. Not only to account for the changes in tazobactam concentration, but also the
201 changes in the "concentration" of the β -lactamase. The degree of β -lactamase transcription varies
202 across both individual and populations of bacteria. The BLI effect has been described as direct
203 enzyme inhibition or enhancement of the antimicrobial activity of the partner β -lactam.
204 Additionally, any experiment that assesses the ability of an inhibitor to restore the activity of a
205 parent drug is going to be dependent on the amount of parent drug given, which can further
206 complicate translation of the findings to the patient level if the amount of parent drug given in
207 the experiment is different from the amount given to patients as part of the fixed dose
208 combinations. Current approaches to characterize the PK/PD of β -lactamase inhibitors include
209 normalizing the β -lactamase inhibitor exposures required to the BLBLI combination MIC, a
210 defined "threshold", or a dynamic/instantaneous MIC.⁶⁰⁻⁶³ In this setting, the term "threshold
211 concentration" refers to a serum concentration of the BLI (i.e. tazobactam) that target exposures
212 need to be normalized to that may or may not be reflected in the combination product MIC (e.g.
213 $fT > 1 \mu\text{g/mL}$ of tazobactam or a $fT >$ piperacillin/tazobactam MIC). For the purpose of this
214 review, we will focus on studies utilizing clinically relevant doses and threshold concentrations

215 that can be determined with basic microbiologic susceptibility data that are provided to the
216 treating clinician.

217

218 *Piperacillin-tazobactam PK/PD targets:*

219 The first studies to describe the PK/PD of tazobactam in combination with piperacillin
220 utilized 24-h one-compartment *in vitro* infection models.^{54,64} In the first study by Nicasio and
221 colleagues, three *E. coli* strains with varying levels of CTX-M-15 production (low, moderate,
222 high) were exposed to dose-fractionated, free-drug concentrations of tazobactam. Piperacillin
223 was infused into the model at doses equivalent to exposures in patients with 2 g or 4 g every 6
224 hours. Using Hill-type models and nonlinear least-squares regression, the correlations of change
225 in bacterial density (\log_{10} CFU/mL) to $fAUC$, fC_{max} , and $fT > \text{threshold}$ were determined. The
226 PK/PD index best associated with tazobactam efficacy was $fT > \text{threshold}$ ($r^2 = 0.84$);
227 importantly however, the threshold concentration changed as the CTX-M-15 transcription level
228 increased. These threshold concentrations ranged from 0.25 to 2 $\mu\text{g/mL}$ for the three isolates.
229 Tazobactam $fT > \text{threshold}$ exposures of 45, 63, and 85% were required to restore the ability of
230 piperacillin to achieve net bacterial stasis, 1, and 2 \log_{10} CFU/mL reduction at 24 hours.⁵⁴

231 While this study was informative, three main limitations restrict application to patient
232 care. First, there would be no way of clinically knowing if there was low, medium, or high β -
233 lactamase production occurring; therefore, the threshold tazobactam concentration to target
234 would be unknowable. Second, the investigators did not translate the threshold concentrations to
235 piperacillin-tazobactam MICs, which is the only clinically available threshold concentration to
236 practitioners. Without knowing how to use these thresholds in the context of MIC, clinical
237 decisions cannot be made. Third, the various experiments that developed these threshold
238 concentrations administered two different piperacillin background doses. As previously
239 described, the amount of tazobactam necessary to restore the activity of piperacillin, will depend
240 on how much piperacillin is present. As some of the threshold concentrations described were
241 determined in the backdrop of half (2 g every 6 hours) of the daily dose of piperacillin, it is
242 unclear how to apply these findings to a clinical scenario where twice as much piperacillin is
243 administered.

244 To overcome these limitations, Vanscoy and colleagues sought to determine the “real
245 world” tazobactam exposure required to restore piperacillin’s activity (4 g every 6 hours

246 administered as a 30-minute infusion) against three clinical Enterobacterales isolates that had not
247 only the presence of ESBLs, but also other resistance mechanisms including other β -lactamases
248 and porin/efflux alterations. The authors demonstrated that once again tazobactam's $fT >$
249 threshold was the PK/PD exposure that optimally restored piperacillin's antibacterial activity.
250 Importantly however, they demonstrated that similar to the traditional PK/PD indices, the
251 threshold concentration that was the most predictive threshold was the piperacillin-tazobactam
252 MIC (MIC_{TZP}). Tazobactam $fT > MIC_{TZP}$ exposures of 64 and 77% were required to restore the
253 ability of standard dose piperacillin to achieve bacterial stasis and 1 \log_{10} CFU/mL reduction.
254 This study utilized traditional piperacillin dosing, providing a clean interpretation of the
255 tazobactam effect. Furthermore, the PK/PD index predictive of efficacy is not different than our
256 traditional PK/PD indices, allowing for easy clinical translation.⁶⁴

257

258 *Ceftolozane-tazobactam PK/PD targets:*

259 As the previous studies demonstrated, the isolate and the level of enzyme production can
260 dictate tazobactam target exposures. The partner β -lactam that is paired with tazobactam adds an
261 additional layer of complexity, as the stability of each β -lactam antimicrobial against various β -
262 lactamases differs.⁶⁵ As previously discussed, ceftolozane-tazobactam tends to be more potent
263 than piperacillin-tazobactam against Enterobacterales as ceftolozane is more stable to hydrolysis
264 than piperacillin and therefore, tazobactam PK/PD targets will differ when combined with
265 ceftolozane compared to those with piperacillin.^{42,45,66,67} Using identical *E. coli* producing CTX-
266 M-15 isolates from the *in vitro* study by Nicasio and colleagues, VanScoy and colleagues
267 conducted a similar *in vitro* model with ceftolozane as the partner β -lactam (in place of
268 piperacillin).⁵⁵ In the dose fractionation studies, ceftolozane was administered as 125 mg, 500
269 mg, and 1,000 mg every 8 hours for the isolates with low, moderate, and high- β -lactamase
270 expression, respectively. Similar to piperacillin-tazobactam, $fT >$ threshold was the exposure that
271 best correlated with efficacy ($r^2 = 0.94$). However, both the threshold concentrations (0.05 to
272 0.25 $\mu\text{g/mL}$) and target exposures relative to those thresholds were lower in this model than
273 when combined with piperacillin, reflecting the enhanced stability of ceftolozane. Tazobactam fT
274 $>$ threshold exposures of 35, 50, and 70% were required to restore the ability for ceftolozane to
275 achieve net bacterial stasis, 1, and 2 \log_{10} CFU/mL reduction at 24 hours.⁵⁵ As described above

276 with piperacillin, the lack of a clinically translatable reference point for exposure, and various
277 doses of ceftolozane used in this study limited the clinical applicability of these data.

278 Acknowledging the threshold concentrations varied between isolates, VanScoy and
279 colleagues further attempted to improve the clinical translatability of this measurement by
280 correlating threshold with MIC. Similar to the aforementioned work with piperacillin-
281 tazobactam, seven clinical isolates and one ATCC strain with varying levels of CTX-M-15,
282 AmpC, porin, and efflux expression were used. In the PK/PD analysis, the ceftolozane dose was
283 1,000 mg for isolates with ceftolozane-tazobactam MICs of 0.5/4 and 1/4 $\mu\text{g/mL}$ and 2,000 mg
284 for ceftolozane-tazobactam MICs of 2/4 and 4/4 $\mu\text{g/mL}$. The $f_T >$ threshold of tazobactam
285 required to restore the ability of ceftolozane to achieve bacterial stasis, 1- \log_{10} , and 2- \log_{10} CFU
286 reductions were 65.9, 77.3, and 90.2%. Importantly, the authors were again able to relate the
287 threshold concentration necessary to the ceftolozane-tazobactam MIC provided to clinicians. The
288 threshold concentration that best equated with restoration was the product of 0.5 and the
289 ceftolozane-tazobactam MIC ($\text{MIC}_{\text{CT}} * 0.5$).⁶² For example, if the ceftolozane-tazobactam MIC
290 is 2/4 $\mu\text{g/mL}$, this would mean that the threshold concentration of tazobactam is 1 $\mu\text{g/mL}$.
291 This study improves the clinical applicability of the data given both that the threshold exposure
292 necessary is translated to clinically reported MICs, and since ceftolozane was administered at
293 clinically relevant doses. It is important to note however that some of the isolates had the
294 threshold concentration determined in the background of standard dose (1000 mg every 8 hours)
295 ceftolozane, and thus if high dose is employed, lower thresholds of tazobactam may be
296 demonstrated due to the increased dose of ceftolozane administered.

297
298 *Tazobactam PK/PD target summary:*

299 Based on *in vitro* data, **Table 3** summarizes the thresholds needed for various tazobactam
300 based combinations. Unfortunately, no clinical data exists validating the exposures of
301 tazobactam required for the treatment of infections caused by β -lactamase producing organisms.
302 The available PK/PD data demonstrate that species, type of β -lactamase, quantity of β -lactamase
303 production, and the stability of the partner β -lactam to hydrolysis by these enzymes affect the
304 tazobactam exposures required to optimize efficacy. Unfortunately, based on the standard ratios
305 administered, tazobactam concentrations are inherently lower than piperacillin or ceftolozane;
306 however, the required $f_T >$ threshold exposures to restore bacterial kill are much higher for

307 tazobactam compared with its β -lactam partners, leading to potential issues with susceptibility
308 breakpoints driven by the partner β -lactam.^{67,68}

309 To further understand and translate susceptibility of tazobactam combinations a detailed
310 assessment at tazobactam pharmacokinetics with commonly employed doses is necessary.
311 Unfortunately, data on the pharmacokinetics of tazobactam are extremely limited to healthy
312 volunteer data found in the prescribing information for both piperacillin-tazobactam and
313 ceftolozane-tazobactam and small studies in infected patients. The following section will discuss
314 what is known about tazobactam pharmacokinetics and ultimately try and relate this to the
315 exposures needed and appropriate susceptibility breakpoints.

316

317 *Tazobactam Pharmacokinetics:*

318 *Healthy volunteer pharmacokinetics:*

319 Per the piperacillin-tazobactam package insert after a dose of 4.5 g (4 g of piperacillin
320 500 mg of tazobactam) every 6 hours (30-minute infusion) the tazobactam PK profile is
321 described by a maximum free tazobactam serum concentration (C_{max}) of $\sim 24 \mu\text{g/mL}$, a drug
322 clearance of 12.4 L/h, a volume of distribution of 14.7 L, and a half-life of 0.82 h. In the context
323 of maximizing tazobactam exposure, the greatest PK limitations of tazobactam are its relatively
324 low serum concentrations and short half-life.^{69,70} Using package insert based dosing, the highest
325 MIC at which tazobactam will restore bacterial stasis (tazobactam 64% $fT > MIC_{TZP}$) and 1 log
326 kill (tazobactam 77% $fT > MIC_{TZP}$) of piperacillin are 1/4 and 0.5/4 $\mu\text{g/mL}$, respectively. This is
327 problematic given the piperacillin-tazobactam MIC_{50} against ESBL+ *E. coli* organisms is $\geq 4/4$
328 $\mu\text{g/mL}$ and the CLSI susceptible breakpoint for Enterobacterales is 16/4 $\mu\text{g/mL}$ (**Table 3**).

329 In the FDA approved ceftolozane-tazobactam dose of 3 g (2 g ceftolozane, 1 g
330 tazobactam) every 8 hours (60-minute infusion), tazobactam exposures appear to be more in line
331 with those required to restore activity than with piperacillin-tazobactam due to its higher dose (3
332 g tazobactam/day) longer infusion (60-minute), and lower susceptibility breakpoint (2/4 $\mu\text{g/mL}$).
333 Comparing healthy volunteer PK data, 1000 mg of tazobactam with ceftolozane versus 500 mg of
334 tazobactam with piperacillin has higher clearance (20.9 vs. 12.4 L), larger volume of distribution
335 (23.7 vs. 14.7 L), and slightly longer half-life (1.02 vs. 0.82 h). However, the 1000 mg dose has a
336 lower free C_{max} (20 vs. 24 $\mu\text{g/mL}$), likely reflecting the duration of infusion (60 vs. 30 minutes).
337 Again applying basic pharmacokinetic equations to these values and translating to target

338 ceftolozane-tazobactam exposures of 66% and 77% $fT > (MIC_{CT} * 0.5)$, the highest achievable
339 MIC to restore bacterial stasis and 1-log kill of ceftolozane are 2/4 and 1/4 $\mu\text{g/mL}$, respectively,
340 with high dose ceftolozane-tazobactam demonstrating less of a disconnect between the
341 susceptibility breakpoint and the achievable exposures than with piperacillin.⁶²

342 When reviewing the aforementioned package insert based estimations, it is important to
343 note that these are simply estimations based on average values (i.e. the 50% percentile). PK/PD
344 probability of target attainment (PTA) studies have much higher standards for determining
345 whether or not exposures will be reliably achieved in a population of patients, and a PTA of 90%
346 is considered the standard for whether or not an MIC can be targeted at a given dose. While these
347 robust simulations of the BLI have not been performed with piperacillin-tazobactam or
348 ceftolozane-tazobactam, they have been simulated with healthy volunteer pharmacokinetic data
349 in a phase 1 study of cefepime-tazobactam, and these findings further highlight the concerns with
350 tazobactam doses, exposures, and breakpoints. In this cefepime-tazobactam model, tazobactam
351 doses of 2 g every 8 hours as a 90-minute infusion (twice the daily dose given with ceftolozane
352 and three times the daily dose given with piperacillin) will only have a PTA of ~90% or greater
353 for achieving the threshold exposures associated with restoring stasis or 1- \log_{10} kill with
354 piperacillin or ceftolozane up to a threshold concentration of 0.5 $\mu\text{g/mL}$. This would suggest that
355 even at these higher tazobactam doses administered as a prolonged infusion (which enhances the
356 time above a threshold concentration), appropriate breakpoints for piperacillin-tazobactam and
357 ceftolozane-tazobactam, would be 0.5/4 $\mu\text{g/mL}$ and 1/4 $\mu\text{g/mL}$, respectively.⁷¹

358

359 *Infected patients pharmacokinetics:*

360 While healthy volunteer PK data provide insight into expected drug exposures, these are
361 not the patients who ultimately receive the drug. Therefore, it is essential to understand how PK
362 is altered across different populations, especially infected patients, to better understand if the
363 chosen doses achieve our target PK/PD exposures; or perhaps more importantly, what MIC
364 values can be targeted with the current labeled doses. Tazobactam PK data, in combination with
365 ceftolozane, has been assessed from infected patients, including those with nosocomial
366 pneumonia, as part of the recent drug development program for this combination. Volumes of
367 distribution appear ~2-fold higher in infected patients compared with healthy volunteers, while
368 clearance was consistent regardless of infection status. A higher volume of distribution will

369 ultimately result in lower C_{\max} concentrations and potentially compromise PK/PD target
370 attainment. However, these PK changes will also lead to a longer half-life, which depending on
371 achieved C_{\max} values, might afford longer time above threshold concentrations.^{72,73} Additional
372 data followed by robust pharmacokinetic simulations are needed in these specific patient
373 populations to further appreciate the importance of these changes in PK in relation to optimizing
374 tazobactam exposure in combination with ceftolozane.

375 Tazobactam exposures, in combination with piperacillin, have recently been explored in a
376 real world study in critically ill patients.⁷⁴ Tazobactam plasma samples from eighteen patients in
377 the intensive care unit were used to develop a 1-compartment pharmacokinetic model. While
378 maximal free concentrations were, on average, similar, drug clearance was lower in infected
379 patients with normal renal function when compared with healthy volunteers (5.3 L/h vs. 12.4
380 L/h).⁶⁹ Using the population PK model from this study, we performed a 1,000 patient Monte
381 Carlo Simulation and assessed the tazobactam PTA for 77% $fT >$ various threshold
382 concentrations of tazobactam (the 1-log kill threshold exposures for piperacillin-tazobactam and
383 ceftolozane-tazobactam) with both labeled doses of 500 mg every 6 hours (30-minute infusion)
384 or 1000 mg every 8 hours (60-minute infusion) (**Figure 2**). For both of these tazobactam dosing
385 regimens, the highest threshold concentration where ~90% PTA was achieved was 2 $\mu\text{g}/\text{mL}$.
386 Notably, this threshold is higher than those estimated from PK in healthy volunteers, likely due
387 to the difference in drug clearance. While these data would support current ceftolozane-
388 tazobactam Enterobacteriales susceptibility breakpoints, they would suggest that a more
389 appropriate piperacillin-tazobactam susceptibility breakpoint would be 2/4 $\mu\text{g}/\text{mL}$. Importantly
390 this simulation was based on a small population of critically ill patients. A larger cohort is
391 needed to validate these findings. Furthermore, the PK of tazobactam was only performed in the
392 presence of piperacillin. Future analyses should include patients receiving both ceftolozane or
393 piperacillin in combination with tazobactam as the PK of the BLI is potentially impacted by the
394 partner β -lactam.

395 396 PK/PD summary

397 The differences in tazobactam exposures, in addition to the ESBL stability of the partner
398 β -lactam, must be taken into consideration when evaluating the clinical outcomes of tazobactam-
399 based therapy for the treatment of ESBL+ infections.^{33,75,76} Unfortunately, the rationale for

400 clinically recommended doses and fixed ratios remains largely unsupported by PK/PD. Although
401 limited tazobactam PK/PD data exists, available healthy volunteer PK/PD would suggest
402 breakpoints for piperacillin-tazobactam 4.5 g every 6 hours (30-minute infusion) of 0.5/4 µg/mL
403 and ceftolozane-tazobactam 3 g every 8 hours (60-minute infusion) of 1/4 µg/mL. Small studies
404 in critically ill patients suggest higher MICs may be targeted but more robust data are needed.
405 While ceftolozane-tazobactam's CLSI breakpoint of 2/4 µg/mL may be within reach depending
406 on patient-specific PK, piperacillin-tazobactam's CLSI breakpoint of 16/4 µg/mL makes
407 adequate tazobactam exposure unattainable, highlighting potential clinical failure concerns for
408 "susceptible" β-lactamase producing organisms. Further pharmacokinetic and clinical data are
409 urgently needed to optimize tazobactam's efficacy against β-lactamase producing organisms.

410

411 IV. Clinical Data

412 Early *in vitro* and clinical data hinted that tazobactam-based therapies may be inadequate
413 for ESBL+ organisms, particularly high-inoculum infections. One small retrospective analysis
414 of 21 patients with culture confirmed ESBL+ infections found patients treated with piperacillin-
415 tazobactam had only 56% treatment success rate despite reported *in vitro* susceptibility.⁷⁷ Time-
416 kill studies showed cefepime, imipenem and meropenem demonstrated bactericidal activity
417 against ESBL+ isolates but piperacillin-tazobactam showed bactericidal killing against only 1
418 ESBL+ isolate investigated. At high inoculum, cefepime and piperacillin-tazobactam were
419 unable to maintain activity against any of the ESBL+ isolates unlike the carbapenems.⁷⁸ These
420 data reinforced the paradigm that carbapenems were the drug of choice for invasive ESBL
421 infections. This dogma was not significantly challenged until the early 2010's.

422

423 Piperacillin-tazobactam for ESBL bacteremia

424 Between 2012-2016, a series of retrospective, observational trials comparing β-lactam/β-
425 lactamase inhibitors (largely piperacillin/tazobactam) and carbapenems for the empiric and/or
426 definitive treatment of bacteremia due to ESBL+ Enterobacterales were performed (Table 4)
427 with conflicting results. Interpretation of the findings from these trials is challenging and limited
428 by significant heterogeneity in the source of bacteremia, a range of both piperacillin/tazobactam
429 doses administered and MIC distributions of the Enterobacterales causing infection, significant
430 confounding by indication where sicker or more complicated patients received carbapenems, and

431 a substantial amount of cross-over between treatment arms (empiric piperacillin/tazobactam
432 followed by definitive carbapenem therapy) in some of the publications.⁷⁹⁻⁸⁴

433 In general, piperacillin/tazobactam fared comparably to carbapenems in studies that
434 assessed empiric and definitive therapy cohorts separately,^{79,83} those that primarily included
435 patients with urinary or biliary sources of bacteremia,^{79,80,83} and those where
436 piperacillin/tazobactam dosing was high and MIC distributions were low.^{79,80} Conversely,
437 significant concerns with piperacillin/tazobactam were raised in studies that focused on empiric
438 use,⁸¹ those that had a larger percentage of patients with higher burden sources (e.g. pneumonia
439 and central line),^{81,82} and those that utilized lower piperacillin/tazobactam doses and/or had
440 higher MIC distributions.⁸⁰⁻⁸²

441 The conflicting findings and the significant confounding by indication in these
442 retrospective analyses precluded the ability for conclusive recommendations for piperacillin-
443 tazobactam for ESBL bacteremia. The MERINO trial, a prospective, multi-center, international,
444 open-label, randomized controlled non-inferiority study, was hoped to be the definitive answer to
445 this question. Adult patients with ESBL + bacteremia, defined as ceftriaxone-nonsusceptible
446 *E.coli* or *K. pneumoniae*, were randomized to meropenem (1000 mg every 8 hours as a 30-
447 minute infusion) or piperacillin-tazobactam (4.5 g IV every 6 hours as a 30-minute infusion)
448 within 72 hours of blood culture collection. Isolates had to be susceptible to both study drugs
449 according to local laboratory susceptibility testing protocols. The study set out to enroll 454
450 patients to demonstrate non-inferiority of piperacillin-tazobactam with a primary outcome of 30-
451 day all-cause mortality. However, the trial was stopped early when an interim analysis showed
452 increased mortality in the piperacillin-tazobactam group compared to the meropenem group
453 (12.3% vs 3.7%, risk difference 8.6%; p=0.004).

454 Interestingly this mortality difference was demonstrated despite the study population
455 largely consisting of 'less severe' infections, with <10% of patients in the ICU and a median Pitt
456 bacteremia score of 1 for both groups. The secondary endpoint of clinical and microbiologic
457 success at day 4 also favored meropenem patients (74.6% vs. 68.4%), however the study was
458 underpowered to assess this endpoint. Mortality rates in patients receiving
459 piperacillin/tazobactam were similar in patients with MICs ≤ 2 $\mu\text{g/mL}$ (14.5%) or >2 $\mu\text{g/mL}$
460 (12.7%).⁷⁶

461 A post-hoc analysis re-evaluated outcomes based on MIC after a central lab performed
462 broth microdilution MIC testing for 157/188 patients who received piperacillin-tazobactam and
463 163/191 patients who received meropenem. For isolates that initially tested piperacillin-
464 tazobactam susceptible at the study site, but were confirmed to be piperacillin-tazobactam
465 resistant (MICs >16 µg/mL), mortality was higher in piperacillin-tazobactam treated patients
466 (5/10, 50%) than those with susceptible isolates (13/147, 8.8%); p=0.002.³³ The authors
467 highlighted how the differences in the MERINO trial between piperacillin-tazobactam and
468 meropenem became less pronounced when limited to isolates “susceptible” to both drugs (13/147
469 (8.8%) vs. 6/155 (3.9%). However, it is important to note that mortality rates were still twice as
470 high for patients receiving piperacillin-tazobactam. Furthermore, mortality rates were the highest
471 (9/61; 14.8%) for patients receiving piperacillin-tazobactam with low MICs (≤ 2 mg/L), thereby
472 limiting the relationship demonstrated between MIC and outcome. This study also highlights the
473 potential clinical impact of isolates that co-harbor other β -lactamase enzymes, such as narrow
474 spectrum oxacillinases (OXA). The potential presence of other resistance mechanisms should be
475 considered when evaluating the efficacy of tazobactam-based combinations.

476 Regardless of the role susceptibility testing may have played in amplifying the results, the
477 striking difference in mortality rates, as well as numerically worse clinical and microbiological
478 success rates in this study certainly gives pause to the use of piperacillin-tazobactam for ESBL+
479 gram-negative bloodstream infections, even in the ‘lower-risk’ bacteremic patients with low-
480 inoculum sources of infection.

481
482 Piperacillin-tazobactam for ESBL urinary tract infections

483 Although piperacillin-tazobactam fared poorly for bacteremia, the question remains
484 whether or not it is appropriate for less severe infections without bacteremia. While multiple
485 retrospective studies exist addressing the potential role for piperacillin-tazobactam for the
486 treatment of urinary tract infections, the majority of them are limited by small numbers,
487 diagnostic uncertainty, and/or the inclusion of bacteremic patients. Sharara and colleagues
488 recently performed a retrospective multicenter observational study comparing clinical outcomes
489 of adults hospitalized with pyelonephritis (without bacteremia) caused by ESBL+
490 Enterobacterales who were primarily treated with piperacillin-tazobactam versus carbapenems,
491 using an inverse probability of treatment weighted propensity score analysis. Patients were

492 included if they received study medication within 48 hours of the time of the initial culture and it
493 was continued for at least 72 hours. The primary outcome of recurrent cystitis or pyelonephritis
494 occurred in 9/44 (20%) patients receiving piperacillin-tazobactam compared to 35/141 (25%)
495 patients receiving a carbapenem. Similarly, there was no difference in the secondary outcomes of
496 resolution of symptoms within 7 days (OR 1.79; 95% CI 0.50 – 6.46) or 30-day mortality (OR
497 0.38; 95% CI 0.05 – 3.06) in patients receiving piperacillin-tazobactam or meropenem,
498 respectively. While these data suggest a potential role for piperacillin-tazobactam for ESBL
499 pyelonephritis they suffer from significant limitations, similar to the initial bacteremia data that
500 limit their interpretations. Although adjusted for in propensity score, some important
501 comorbidities were more numerically frequent in the carbapenem group, notably as it related to
502 immunocompromising conditions. Furthermore, patients in the piperacillin-tazobactam group
503 were more likely to be started on study drug within 24 hours (95.5% vs 79.4%), more likely to
504 transition to oral stepdown therapy (20% vs. 7.8%), and received shorter durations of therapy.
505 Moreover, the methods required 72 hours of study drug and disallowed switches to the other
506 treatment arm. Therefore, any patient started on piperacillin-tazobactam and switched to a
507 carbapenem would be ineligible for inclusion in this cohort, biasing the results towards patients
508 responding to empiric piperacillin-tazobactam therapy.⁸⁵ These subtle differences in confounding
509 by indication between the groups are reflected by numerically better results for every study
510 endpoint in piperacillin-tazobactam treated patients compared to those who received the gold-
511 standard carbapenem regimen and limit any inferences that can be made.

512

513 Ceftolozane-tazobactam for ESBL infections

514 Although there are currently no comparative real-world or randomized controlled trial
515 data comparing ceftolozane-tazobactam to carbapenems specifically for ESBL infections, there
516 are some subgroup data from FDA registry trials comparing ceftolozane-tazobactam's efficacy
517 versus levofloxacin (complicated urinary tract infections) and meropenem (intrabdominal
518 infections, and hospital acquired bacterial pneumonia). Popejoy and colleagues reported on the
519 efficacy of ceftolozane-tazobactam (1.5 grams every 8 hours) versus comparators for ESBL+
520 Enterobacterales from the urinary tract and intra-abdominal infection trials. For the endpoint of
521 clinical cure at test of cure, ceftolozane-tazobactam was superior to levofloxacin for complicated
522 urinary tract infections (53/54 (98%) vs. 38/46 (83%); $p = 0.01$) and similar to meropenem for

523 complicated intra-abdominal infections (23/24 (96%) vs. 23/26 (89%); $p > 0.05$) due to ESBL+
524 Enterobacterales.⁸⁶

525 Most recently, ceftolozane-tazobactam (3 g every 8 hours) was studied versus
526 meropenem (1000 mg every 8 hours) for the treatment of nosocomial pneumonia. ESBL+
527 Enterobacterales were isolated from 157 (31%) patients in the study, 54 of which (32%) were
528 resistant (defined as an MIC $>4/4$ $\mu\text{g}/\text{mL}$) to ceftolozane-tazobactam. Twenty-eight-day
529 mortality in patients with ESBL+ Enterobacterales was similar between patients receiving
530 ceftolozane tazobactam (18/84, 21%) and meropenem (21/73 (29%)) (difference 7.3% (-6.1 to
531 20.8). Clinical cure rates at test of cure were also similar between the groups (48/84 (57%) vs.
532 45/73 (62%); - 4.5 (-19.3 to 10.7)) for ceftolozane-tazobactam and meropenem, respectively.
533 Interestingly, clinical cure with ceftolozane-tazobactam was demonstrated in 33/53 (62%)
534 isolates with MICs $\leq 4/4$ mg/L compared with 15/31 (48%) above $4/4$ $\mu\text{g}/\text{mL}$. Similar clinical
535 cure rates (63% and 60%) were seen in patients who received meropenem, regardless of
536 ceftolozane-tazobactam susceptibility.⁷⁵

537 While these initial data are encouraging, more evidence is needed to support the role of
538 ceftolozane-tazobactam for invasive ESBL infections and to ultimately change the current
539 standard of care. The MERINO III trial, comparing ceftolozane-tazobactam and meropenem for
540 bloodstream infections due to ESBL and/or AmpC producing Enterobacterales plans to begin
541 enrolling soon and will help fill this data void.

542

543 V. Considerations for collateral damage

544 One of the principal arguments for consideration of tazobactam-based combinations for
545 infections due to ESBL+ Enterobacterales is the notion that their “carbapenem-sparing” nature
546 will decrease the selective pressure for carbapenem-resistance and thus limit the urgent threat to
547 public health of carbapenem-resistant organisms, most notably CRE. While this would represent
548 an important consideration if supported by evidence, it is of critical importance that this theory is
549 fully vetted and deliberated.

550

551 *Impact of tazobactam-based combinations and carbapenems on the human microbiome*

552 At a surface level, the spectrum of activity of piperacillin-tazobactam, ceftolozane-
553 tazobactam, and the carbapenems are broadly similar, with each having activity against common

554 Enterobacterales, *P. aeruginosa*, as well as gram-positives, and anaerobic organisms for
555 piperacillin-tazobactam and carbapenems. Both piperacillin-tazobactam and carbapenems appear
556 to generally lead to a decrease in the relative abundance of Enterobacterales and increase the
557 relative abundance of *Enterococci*, consistent with their known spectrum of activity.^{87,88} The
558 effects of piperacillin-tazobactam and the carbapenems on anaerobic bacteria, including
559 *Bacteroides* spp., are more variable.⁸⁸ No data are available regarding the effect of ceftolozane-
560 tazobactam on the microbiome. The clinical impact of these microbiome changes, along with the
561 significance of any minor differences between agents, is not known.

562

563 *Mechanistic basis of selection of carbapenem resistance in patients treated with tazobactam-*
564 *based combinations and carbapenems*

565 In general, there are two pathways by which a patient may become infected or colonized
566 with carbapenem-resistant pathogens following treatment for a defined infection. In the first
567 case, a pre-existing organism may develop one or more spontaneous mutations or other genetic
568 changes that are associated with antimicrobial resistance, with no need for acquisition of
569 exogenous resistance elements or colonization by pre-existing antimicrobial-resistant
570 mutants.^{89,90} In the Enterobacterales, spontaneous carbapenem resistance appears to develop
571 primarily as a result of outer membrane porin loss or alterations in patients with previous ESBL
572 or AmpC-producing organisms and subsequent carbapenem exposure.⁹¹⁻⁹⁴ This resistance
573 pathway leads to a phenotypically carbapenem-resistant organism without carbapenemase genes;
574 such organisms typically have lower-level resistance to carbapenems than do carbapenemase
575 producers.⁹⁵ Whether this mechanism is exclusively related to carbapenem exposure or if
576 tazobactam based combinations may exert similar selective pressure is unclear and is an active
577 area of investigation.

578 The second pathway requires host acquisition of a genetically distinct organism harboring
579 a resistance element. Acquisition of this organism may precede antimicrobial exposure, with
580 subsequent antimicrobial use selecting for infection with the organism, or acquisition may occur
581 following administration when an ecologic niche for new organisms has been carved out. In
582 contrast to spontaneous resistance mutations, which cause infections typically limited to a single
583 host, acquisition of foreign antimicrobial resistant pathogens leads to epidemic spread, as was
584 seen with the KPC-harboring ST258 *K. pneumoniae*.⁹⁶⁻⁹⁸ Given the overlapping spectrums of

585 activity of tazobactam based combinations and carbapenems, it would be expected that these
586 agents would have a similar propensity to lead to antimicrobial resistance by these mechanisms.

587

588 *Comparative clinical data for carbapenems and tazobactam based combinations for selection of*
589 *carbapenem-resistant organisms*

590 Unfortunately, data assessing the comparative impact of treatment of ESBL infections
591 with tazobactam-based combinations or carbapenems on the subsequent isolation of CRE or any
592 piperacillin-tazobactam-resistant organism are limited. Two comparative studies have
593 investigated the isolation of resistant organisms on subsequent clinical cultures, however, the
594 data in both cases are incomplete and no analyses have systematically examined colonization
595 with carbapenem-resistant organisms.

596 In the MERINO trial, Harris and colleagues investigated the incidence of secondary
597 infections with a meropenem- or piperacillin tazobactam-resistant organism in patients
598 randomized to either piperacillin-tazobactam or meropenem, which they defined as growth of a
599 meropenem- or piperacillin tazobactam-resistant gram-negative organism from any clinical
600 specimen collected from day 4 after randomization to day 30. The rates of isolation of either a
601 meropenem- or piperacillin tazobactam-resistant gram-negative organism was 12/187 (6.4%) in
602 patients receiving piperacillin-tazobactam and 6/191 (3.1%) in patients receiving meropenem.
603 The authors further stated that rates of carbapenem-resistant organism isolation were not
604 different (3.2% vs 2.1%) between the groups. Furthermore, only four patients in the study had
605 isolation of meropenem- or piperacillin tazobactam-resistant gram-negative organisms from
606 future blood cultures. All four of these patients were in the piperacillin-tazobactam arm (one
607 with a meropenem-susceptible *E. coli*, two with meropenem-resistant *K. pneumoniae* and one
608 with a carbapenem-resistant *A. baumannii*). In addition to the small numbers, an important
609 consideration when interpreting these data is that in the piperacillin-tazobactam cohort 14% of
610 patients received empiric therapy and 20% of patients received “step-down” therapy with a
611 carbapenem, which could influence the selection of future resistant isolates.⁷⁶

612 In the retrospective cohort study by Sharara and colleagues comparing piperacillin-
613 tazobactam and carbapenems for pyelonephritis caused by ESBL+ Enterobacterales a secondary
614 outcome was isolation of a carbapenem-resistant (ertapenem, meropenem, or imipenem)
615 organism in the 30 days following treatment initiation. 1/47 (2%) of piperacillin-tazobactam

616 treated patients had isolation of a carbapenem-resistant organism (*P. aeruginosa*) versus 11/141
617 (8%; $p=0.09$) of those receiving carbapenems (3 *E. coli*, 4 *K. pneumoniae*, 3 *P. aeruginosa*, and
618 1 *A. baumannii*). These data are suggestive that selection of carbapenem resistant organisms may
619 be more common in patients treated with carbapenems, however, there are important limitations
620 to consider in interpreting these data. These include likely confounding by indication biasing
621 against the carbapenems, the lack of clarity of whether a history of carbapenem-resistant
622 organisms prior to the study were considered, and no assessment of isolation of piperacillin-
623 tazobactam resistant organisms.⁸⁵

624 The relative impact of piperacillin-tazobactam versus carbapenems on antimicrobial
625 resistance in general, versus carbapenem resistance specifically, remains unclear. As this section
626 illustrates, there is a lack of a clear theoretical rationale why tazobactam based combinations
627 would be expected to be less likely to select for carbapenem-resistant organisms than
628 carbapenems, and the relative effect of selection of piperacillin-tazobactam or ceftolozane-
629 tazobactam resistant organisms. There are limited clinical data available comparing the two and
630 to date, the only randomized study assessing these therapies failed to demonstrate any signal that
631 decreased selection of carbapenem resistance with piperacillin-tazobactam does occur.

632

633 VI. Conclusions and future directions

634 Continued β -lactam use for the treatment of gram-negative infections is threatened by
635 increasing antimicrobial resistance, including ESBLs. BLIs, like tazobactam, may serve an
636 essential role in reducing carbapenem use by protecting partner β -lactam antibiotics from
637 degradation and ultimately inactivity against these ESBL+ organisms. Optimizing tazobactam's
638 inhibition potential is reliant on many factors, including the partner β -lactam antimicrobial and
639 tazobactam-specific PK/PD.

640 Ceftolozane-tazobactam appears to offer several PK/PD advantages over piperacillin-
641 tazobactam when treating ESBL+ organisms. First, ceftolozane-tazobactam demonstrates more
642 potent *in vitro* activity against ESBL+ Enterobacterales, with lower MIC₅₀ and MIC₉₀ values,
643 which is likely due to ceftolozane's enhanced stability to hydrolysis. Second, more tazobactam is
644 given over a longer infusion when used in combination with ceftolozane based on standard
645 doses. Third, ceftolozane-tazobactam's achievable "threshold" concentrations based on standard
646 doses fall within the realm of the agent's established breakpoints, while piperacillin-tazobactam

647 breakpoints may be several-fold higher than the achievable “thresholds” for ESBL+ organisms.
648 All of these factors play a role in tazobactam’s probability of PK/PD target attainment for
649 susceptible organisms. Based on these differences, tazobactam when combined with ceftolozane
650 may be more reliable in achieving appropriate exposures in respect to potential MICs of ESBL+
651 organisms. The clinical data for ceftolozane-tazobactam, while limited to industry sponsored
652 trials in specific disease states, are supportive of this and are encouraging.

653 For piperacillin-tazobactam, the story is much more concerning. From a PK/PD perspective
654 even use of “high dose” piperacillin-tazobactam raises alarms. Using data from healthy
655 volunteers the highest attainable MIC, where tazobactam can restore the activity of piperacillin is
656 0.5/4 µg/mL. When applying the more favorable PK profile of this dosing regimen that was
657 present in critically ill patients due to a decreased drug clearance, this PK/PD breakpoint
658 increases to 2/4 µg/mL. To put these values into perspective, of the 9,916 ESBL+ phenotype *E.*
659 *coli* listed in the SENTRY online database only 0.6% and 35.1% have piperacillin/tazobactam
660 MIC’s ≤ 0.5/4 and 2/4 µg/mL, respectively.⁹⁹ The situation is even more dire when looking at the
661 8,160 ESBL+ phenotype *K. pneumoniae* isolates in this database where 0.1 and 5.4% are
662 inhibited at MIC values of 0.5/4 and 2/4 µg/mL respectively. When these PK/PD limitations are
663 combined with the failure of piperacillin-tazobactam in the MERINO trial it is difficult to see a
664 clear path forward for piperacillin/tazobactam for systemic infections due to ESBL+ producing
665 Enterobacterales.

666 So the question is where do we go from here? Given the red flags with piperacillin-
667 tazobactam and concerns of what widespread ceftolozane usage may do to *P. aeruginosa*
668 susceptibilities it appears prudent that rather than rushing into decisions based on the current
669 limited data, focus should be placed on appropriate BLBLI drug development. For both agents,
670 more robust pre-clinical PK/PD analyses are urgently needed. The PK/PD target exposures
671 discussed here were only assessed against *E. coli* isolates. It is important to understand if the
672 tazobactam exposure requirements change based on organism and different β-lactamase(s)
673 present. Furthermore, *in vivo* studies validating these exposures currently do not exist. For
674 piperacillin-tazobactam, it will be interesting to understand if extended or continuous infusions
675 of piperacillin-tazobactam can better change the trajectory for that combination. Given that
676 prolonged/continuous infusions will optimize the PK of both the parent beta-lactam and the
677 inhibitor it is possible that a combination of lower thresholds (due to prolonged infusions of

678 piperacillin) and the ability to optimize the time above these thresholds (due to prolonged
679 infusions of tazobactam) might improve PTA at higher MIC values. Additionally, as the analyses
680 by VanScoy and colleagues only determined threshold exposures for isolates with MICs up to
681 4/4 µg/mL, assessment should be performed to determine if threshold exposures of tazobactam
682 translate to the piperacillin-tazobactam MIC in the same way at higher MICs. Work should also
683 be performed to understand tazobactam pharmacokinetics in infected, hospitalized patients. Only
684 if results of these pre-clinical analyses are favorable, should further studies be initiated assessing
685 piperacillin-tazobactam in these patients. Of note, the PETERPEN trial comparing piperacillin-
686 tazobactam and meropenem for bacteremia due to third generation cephalosporin resistant
687 Enterobacterales is ongoing (NCT03751967) and will further inform this discussion.

688 For ceftolozane-tazobactam the path forward has different landmines. While PK/PD and
689 clinical data are encouraging, further PTA analyses of tazobactam exposures in critically ill
690 patients in the FDA registry trials will better describe the ability to achieve threshold exposures
691 at different MIC targets with this agent, and MERINO 3 will provide outcomes data in ESBL+
692 bacteremia. The bigger question for ceftolozane-tazobactam, and even piperacillin-tazobactam
693 should it be able to move forward, is whether or not the “collateral damage” with this
694 combination is superior, inferior, or neutral when compared to the carbapenems. As ceftolozane
695 use is preferred for DTR *P. aeruginosa*¹⁰⁰, careful study of the comparative resistance selection
696 of these agents will be important to critically assess if the desire for carbapenem-sparing
697 therapies will backfire leading to increased resistance to other last line agents. Therefore, in the
698 absence of compelling data that these agents are effective for the treatment of infections due to
699 ESBL + Enterobacterales, appropriate setting of susceptibility breakpoints, and supportive data
700 that there is in fact a collateral damage benefit to tazobactam based combinations, carbapenems
701 should remain the preferred treatment for any ESBL + infection warranting intravenous beta-
702 lactam therapy.

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Figure 1. Structure of Tazobactam

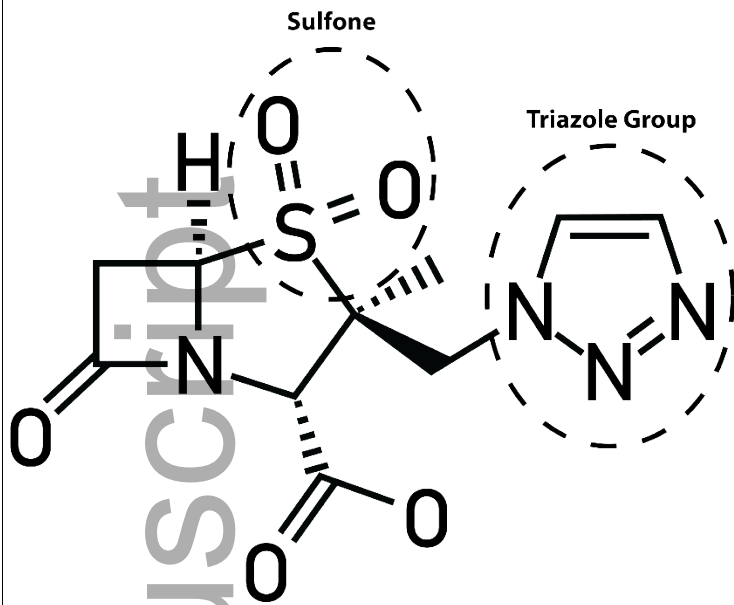
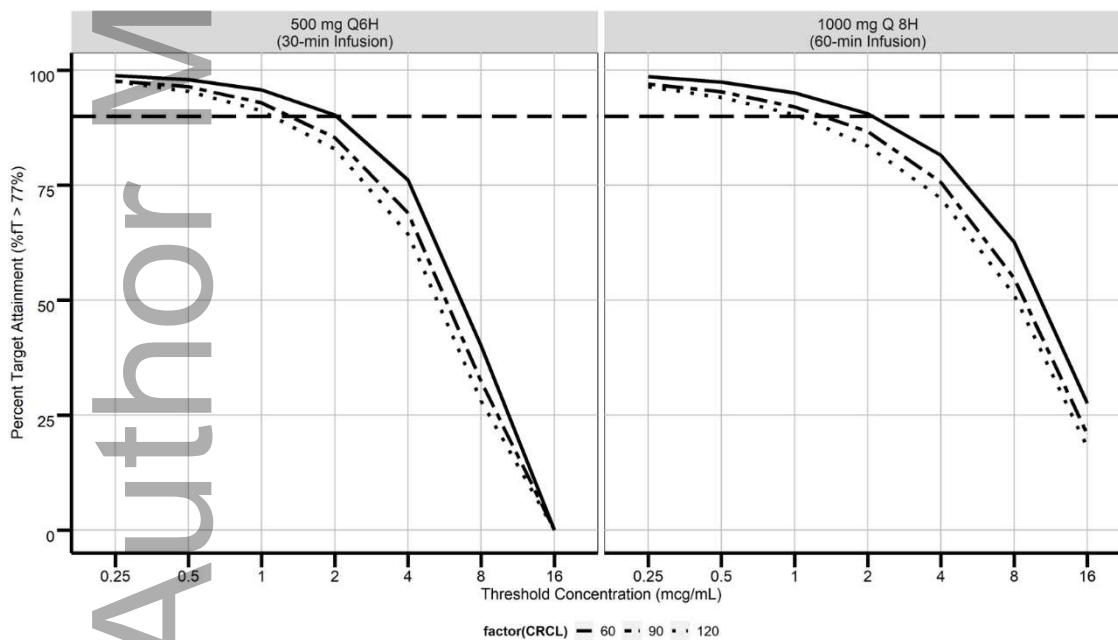


Figure 2. Percent Target Attainment of Tazobactam across Thresholds in Critically Ill Patients



Percent Target Attainment of Tazobactam across Thresholds in Critically Ill Patients

Tazobactam 500 mg every 6 hours

	MIC ($\mu\text{g/mL}$)						
	0.25	0.5	1	2	4	8	16
CrCl 60 mL/min	99	98	96	90	76	40	0
CrCl 90 mL/min	98	96	93	85	69	32	0
CrCl 120 mL/min	98	95	91	83	64	28	0
Tazobactam 1000 mg every 8 hours							
	MIC ($\mu\text{g/mL}$)						
	0.25	0.5	1	2	4	8	16
CrCl 60 mL/min	99	97	95	91	82	63	28
CrCl 90 mL/min	97	95	92	87	76	55	21
CrCl 120 mL/min	96	94	90	84	72	51	18

Table 1. Inhibition of β -lactamases by Tazobactam, IC_{50} (nM)^{16,22–28}

Characteristic Active Site	Molecular Class	β -lactamase	Tazobactam	Clavulanic Acid	Sulbactam	Reference
Serine	A	TEM-1	40	90	610	24
			97	90	900	23
		TEM-2	50	180	8700	24
			17	22	2400	28
		TEM-3	10	30	30	24
			5	11	21	28
		TEM-5	280	30	1200	24
		TEM-6	170	120	450	24
		TEM-7	180	100	620	24
		TEM-9	340	290	900	24
			77	9	270	23
		TEM-10	80	30	340	24
			87	4.4	940	23
		TEM-26	77	8.4	350	23
TEM-E1	20	50	640	24		

		TEM-E2	50	90	1600	24
		TEM-E3	60	20	200	24
		TEM-E4	40	60	790	24
		SHV-1	140	30	170	24
			150	12	12000	28
		SHV-2	130	50	2800	24
		SHV-3	100	40	2700	24
		SHV-5	80	10	630	24
		CTX-M-1	16	80	550	16
		CTX-M-8	10	36	4000	16
		CTX-M-15	1	14	212	27
			6	9	--	16
			1500	3400	5800	25
		CTX-M-14	5-8	33-60	500-34500	16
		CTX-M-16	8	30	4500	16
		CTX-M-55	600	800	1400	25
	CTX-M-190	46200	500	77300	25	
	KPC-2	98790	136930	106090	26	
	C	P99	8.5	>100000	5600	28
		S2	6000	51000	52000	28
		CMY-2	1640	30800	5840	22
		CMY-54	370	186000	757	22
	D	OXA-1	1400	1800	4700	24
		OXA-2	10	1400	140	24
OXA-4		5600	8400	16000	24	
OXA-5		250	3100	18000	24	

		OXA-6	1700	1600	5100	24
		OXA-7	610	360	40000	24
Metallo (Zn ²⁺)	B	CcrA	400,000	>500,000	>500,000	28
		Sme-1	3,000	14,000	3,300	28
		L1	>400,000	>400,000	>400,000	28

nM, nanomolar

Table 2: *In vitro* activity of piperacillin-tazobactam compared with ceftolozane-tazobactam against ESBL+ Enterobacterales⁴⁷⁻⁵²

Organism	Location	Piperacillin-Tazobactam		Ceftolozane-Tazobactam		Reference
		MIC ₅₀ (µg/mL)	MIC ₉₀ (µg/mL)	MIC ₅₀ (µg/mL)	MIC ₉₀ (µg/mL)	
Enterobacterales, ESBL+	United States	4/4	64/4	0.5/4	4/4	48
	United States	8/4	64/4	0.5/4	8/4	49
	United States	8/4	>64/4	0.5/4	4/4	47
	United States	4/4	64/4	0.5/4	2/4	53
	Europe	8/4	>64/4	0.5/4	8/4	52
	Australia and New Zealand	8/4	>64/4	0.5/4	2/4	50
	Latin America	8/4	>64/4	0.5/4	>32/4	51

MIC₅₀, Minimum Inhibitory Concentration required to inhibit the growth of 50% of organisms;

MIC₉₀, Minimum Inhibitory Concentration required to inhibit the growth of 90% of organisms;

ESBL+, ESBL-producing

Table 3: Target PK/PD exposures of tazobactam-based combination therapies in relation to *in vitro* potency against Enterobacterales^{47,62,64}

Combination	CLSI breakpoint, Enterobacterales	MIC ₅₀ , MIC ₉₀ (µg/mL) against Enterobacterales ^a	MIC ₅₀ , MIC ₉₀ (µg/mL) against ESBL+ <i>E. coli</i> ^a	MIC ₅₀ , MIC ₉₀ (µg/mL) against ESBL+ <i>K. pneumoniae</i> ^a	PK/PD Index	Stasis	1-log ₁₀ kill	2-log ₁₀ kill
Piperacillin-Tazobactam ^{41,64}	≤ 16/4, S 32/4-64/4, I ≥ 128/4, R	2/4, 16/4	4/4, 64/4	16/4, >64/4	$fT > \text{threshold}$ (MIC _{TZP})	63.9%	77.4%	100%
Ceftolozane-Tazobactam ^{47,62}	≤ 2/4, S 4/4, I ≥ 8/4, R	0.25/4, 1/4	0.5/4, 2/4	1/4, 16/4	$fT > \text{threshold}$ (MIC _{CT} * 0.5)	65.9%	77.3%	90.2%

ESBL+, ESBL-producing; I, intermediate; MIC, minimum inhibitory concentration; NA, not applicable, R, resistant; S, susceptible

^a Isolates from the United States

Table 4. Clinical outcomes of tazobactam-based combination therapies for the treatment of ESBL+ infections

Reference, year	Study type	Comparator antimicrobial	Most common BLBLI dose (percent of cohort)	Number of patients in BLBLI cohort	Infection type	Percent of patients with urinary source of infection	TZP MIC ₅₀	Outcome(s), BLI vs. carbapenem
Piperacillin-tazobactam								
⁷⁷ 2003 ^A	Retrospective case series	--	N/A	9	Mixed	N/a	N/A	Clinical cure, 56%

⁷⁹ 2012 ^B	Post hoc analysis of six prospective cohort studies	Carbapenem	4.5 g q6h (>90%)	72 (35 TZP)	Blood	72.2 ^c	2/4	Mortality (30 day), ETC 9.7% vs. 19.4% (p=0.1); DTC 9.3% vs. 16.7%, p=0.1
⁸⁰ 2013		--		39	Blood	28.2	4-8/4	BLBLI 30-day mortality 17.9% (overall); 0% (low MIC) vs. 41.1% (intermediate/high MIC), p=0.002
⁸¹ 2015	Retrospective cohort	Carbapenem	3.375 g q6h, 30-min infusion (61%)	103	Blood	19.4	8/4	Mortality (14 day), 17% vs. 8%, HR 1.92 (95% CI 1.07–3.45)
⁸² 2015	Retrospective cohort	Carbapenem	N/A	10	Blood	0	8/4	Mortality (30 day), 60% vs. 34%, p=0.10
⁸³ 2016 ^D	Retrospective cohort	Carbapenem	4.5 g q8h (47%)	170	Blood	45.3	N/A	Mortality (30 day), ETC 17.6% vs. 20% (p =0.6) DTC: 9.8% vs 13.9%, (p = 0.28)
⁸⁴ 2016	Retrospective cohort	Carbapenem	3.375 g q6h, 30-min infusion (61%)	94	Blood	52.1	N/A	Mortality (30 day), 30.9% vs. 29.8%; p=0.89
⁷⁶ 2018	Randomized	Meropenem	4.5 g q6h, 30-	188	Blood	54.8	2/4	Mortality (30 day),

	clinical trial		min infusion (100%)					12.3% vs. 3.7%; p=0.004
⁸⁵ 2020	Retrospective multicenter cohort	Carbapenem	3.375 g q6h (81%)	45	Pyelonephritis	100	2/4	Recurrent infection (30 days), 20% vs. 25%; p=0.52; Mortality (30 day), 4% vs. 7%; p=0.36
Ceftolozane-tazobactam								
⁸⁶ 2017	Post hoc analysis of randomized controlled trials	Meropenem (intra-abdominal), levofloxacin (UTI)	1.5 g q8h (100%)	78	UTI/intra-abdominal	67	0.5/4	Clinical cure, UTI, 98% vs. 83%; p=0.01; intra-abdominal, 96% vs. 89%; p>0.05
⁷⁵ 2019	Post hoc analysis of randomized controlled trial	Meropenem	3 g q8h (100%)	84	Ventilated pneumonia	0	0.5/4 ^E	Mortality (28 day), 21% vs. 29%; 95% CI, -6.1% to 20.8%

--, not applicable; BLBLI, β -lactam- β -lactamase inhibitor; DTC, definitive therapy cohort; ETC, empirical therapy cohort; N/A, not available; q*h; every * hours; TZP, piperacillin-tazobactam; UTI, urinary tract infection

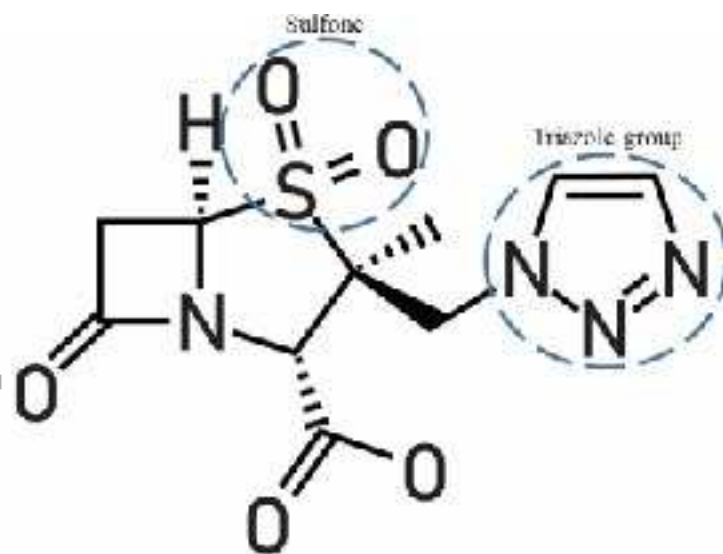
^AAlone or in combination with a fluoroquinolone or an aminoglycoside

^BAmoxicillin-clavulanate include in BLBLI analysis

^CIncludes biliary source

^DAmoxicillin-clavulanate and ampicillin-sulbactam included in BLBLI analysis

^ENot specific to ESBL+ isolates



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