

Turning instrument background into science data for structural features of radiation belts

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Key Points:

- Instrument background counts reflect radiation belt features including temporal phenomena such as the storage ring
- Telemetry counts register signals which increase due to penetrating particles and can be used for radiation belt boundaries
- Data with indirect effects from energetic particles become of high value when no particle measurements are available

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Abstract

Approaches regarding how to turn the instrument background counts into scientifically valuable data are presented in this Technical Report on Methods. The background counts due to penetrating energetic particles of radiation belts detected on Cluster CIS HIA and CODIF instruments and the Double Star HIA instrument are used in these approaches. In HIA spectrograms, the background counts are seen simultaneously in all energy channels marking the entry and exit of the radiation belts by the spacecraft, therefore, the locations of the boundaries of the outer and inner belts can be determined. In the case when HIA measurements are not readily available, a new method is proposed in which supplementary data streams within the CODIF telemetry is exploited. It employs separate counts that register “start”, “stop” and “non-valid” signals increasing in the presence of penetrating particles even when no corresponding increase are shown in the energy-time spectrograms. The locations of the radiation belt boundaries are defined by following the changes in counts gradients with time and visual inspection of all the available measurements. The July-August 2007 and September-October 2012 time periods are analyzed for method demonstration on a presence of a third radiation belt, or storage ring.

1 Introduction

Earth’s radiation belts have been the observational target on numerous satellites starting from Explorer 1 and 3 and Sputnik 2 and 3 to the most recent missions, the Van Allen Probes (called earlier Radiation Belt Storm Probes (RBSP)) twin spacecraft and the Japanese Exploration of energization and Radiation in Geospace “Arase” (ERG) probe. The direct measurements of energetic particles and other quantities of radiation belts provide the most information on the complexity of the radiation belts dynamics. In case when no mission is operating and no direct observations of radiation belts are available, other types of measurements become of critical importance. Data containing indirect effects from the energetic particles in the radiation belts detected as background counts by the instruments not designed to measure radiation belts particles can be the only source for further development and validation of the radiation belts models.

Using the advantage of changes in Cluster orbit in 2007-2009 which brought it very close to the Earth (perigee at around $L = 2$, where L is the McIlwain parameter) and availability of the Double Star data, Ganushkina et al. (2011) provided the direct evidence that the background counts in HIA (Hot Ion Analyzer) and CODIF (COMposition and DIstribution Function) instruments due to penetration of energetic particles of radiation belts can determine the locations of the boundaries of the outer and inner belts. The assumption that the measured background is due to > 2 MeV (megaelectronvolt) electrons was proven by the simulations using the Casino (Monte Carlo Simulation of Electron Trajectory In Solids, <http://www.gel.usherbrooke.ca/casino/>). At the same time, this 2 MeV threshold is not an absolute one, since the contamination can also come from the gammarays emitted by incoming electrons via the Bremsstrahlung process (e.g., Kasahara et al., 2009). For the proton background, 30 MeV as the low threshold energy was proved using the SRIM (The Stopping and Range of Ions in Matter, <http://www.srim.org/>) simulation software. Keeping in mind the above mentioned assumptions, it was nevertheless possible to study the variations of the determined radiation belt boundaries with time and solar wind driving and draw conclusions on the slot region widening due to weaker inward radial diffusion and weak local acceleration.

Background counts can define specific features in the radiation belt fluxes as, for example, the discovery of a storage ring (Baker et al., 2013) following the launch of the Van Allen Probes. This transient feature was observed as a ring of energetic (>2 MeV) electrons located between L -shells of 3 and 3.5 separate from the traditional radiation belts. This additional belt was formed on September 2, 2012 following the arrival of an

67 interplanetary shock, it was present for about four weeks and it disappeared on Octo-
68 ber 1, 2012.

69 In later studies, storage ring feature was also called a third belt and, more recently,
70 a remnant belt as more understanding of the nature of it has appeared. Turner et al. (2013)
71 analyzed THEMIS (Time History of Events and Macroscale Interactions during Substorms)
72 SST (Solid State Telescope) data and reported 13 events when two peaks were observed
73 in the electron phase space density (with energies from ~ 400 keV to several MeV) in the
74 outer radiation belt region. Yuan and Zong (2013) found eight events when the radia-
75 tion belts exhibited three belts using data from the low-altitude SAMPEX (Solar Anoma-
76 lous and Magnetospheric Particle Explorer) spacecraft. Kellerman et al. (2014) reana-
77 lyzed the available data during the March 1991 storm and found clear presence of a stor-
78 age ring. As more Van Allen Probes data became available, Baker et al. (2016) reported
79 the signatures of storage ring during two storms in 2015 and later Pinto et al. (2018) iden-
80 tified 30 three-belt events between September 2012 and November 2017 with energy peaked
81 at 5.2 MeV and the lifetime ranged from days at 1.8 MeV up to months at 6.3 MeV. The
82 most recent study by Hao et al. (2020) found double peaks at $L = 3.5$ and $L = 4.5$ in
83 500800 keV electron spectrograms present for 23 days during September 2017 storm. Baker
84 et al. (2013) suggested that the appearance of the storage ring was related to the loss
85 of the electrons in the outer radiation belt, but not to the freshly injected particles. The
86 formation of such three-belt structure was attributed by Mann et al. (2013) to radial trans-
87 port of electrons driven by ULF (ultra low frequency) waves. Later simulation studies
88 by Shprits et al. (2013, 2018) demonstrated the role of scattering by electromagnetic ion
89 cyclotron waves to the Earth's atmosphere. They showed that the storage ring electrons
90 can remain trapped for a long time due to the absence of resonant interactions with very-
91 low-frequency plasma waves at low latitudes and, as a consequence, no scattering. Pinto
92 et al. (2019) using Van Allen Probes measurements inside the plasmasphere found a good
93 agreement between the observed decay rates with theoretical lifetimes of ultrarelativis-
94 tic electrons for losses due to hiss waves based on recent statistics of hiss waves and plas-
95 maspheric density.

96 In the present paper, we will use the original name “storage ring” as was given in
97 Baker et al. (2013). This particular noticeable feature is used here to demonstrate based
98 on Cluster data the strength of the method to determine the finer specifics and tempo-
99 ral features in the radiation belts from the measured background, not only the usually
100 well-observed locations of the outer and inner belts. This method is rather straightfor-
101 ward and applicable when the data from electrostatic analyser instrument HIA onboard
102 Cluster are available and the penetrating electron background can be identified in the
103 energy-time spectrograms as a sharp increase in the counting rates appearing simulta-
104 neously in all energy channels.

105 At the same time, the applicability of such a method meets with a difficulty when
106 HIA data are not available, as has occurred during the later Cluster years. Moreover,
107 the Double Star mission was a rather short mission. Regardless of the absence of HIA
108 data, we suggest a new approach to define the locations of radiation boundaries using
109 the background information when only the CODIF instrument data are in use.

110 Despite its designed lifetime of two years, Cluster has been providing the data for
111 more than 20 years now. As of October 2020, its mission has been extended, at least,
112 until the end of 2022. The new approach presented in the current paper aims towards
113 the full usage of this unprecedented repository of data to reveal the radiation belts struc-
114 ture and dynamics. Section 2 briefly describes the instrumentation and data used in the
115 paper. A new approach to define the locations of radiation belts boundaries using only
116 CODIF data together with further applications of our previously developed method when
117 both HIA and CODIF data are available are demonstrated in Section 3. Two time pe-
118 riods are analyzed in detail as two examples when a storage ring was clearly observed
119 on Cluster (both HIA and CODIF instruments) and Double Star (HIA instrument) data

120 on July-August 2007 and only on Cluster CODIF data on September-October 2012. We
121 discuss both methods and draw conclusions in Section 4. The wider purpose of the pa-
122 per is to demonstrate how to turn the instrument background into scientifically valuable
123 data.

124 2 Instrumentation

125 The Cluster mission was launched in 2000 and it consists of four identical space-
126 craft on similar elliptical polar orbits with an initial perigee at about $4 R_E$ ($R_E=6371$
127 km is the Earth's radius) and an apogee at $19.6 R_E$ (Escoubet et al., 2001). During perigee
128 passes, Cluster traverses the inner magnetosphere providing its latitudinal profiles al-
129 most along the same flux tube (Dandouras et al., 2005, 2009; Dandouras, 2013) and cov-
130 ering all magnetic local times (MLTs) when crossing the equator due to the annual pre-
131 cession of its orbit.

132 The Cluster orbit perigee moved closer to the Earth, from about $4 R_E$ in the year
133 2000 to about $1.3 R_E$ in the year 2010 due to SunMoon gravitational perturbations and
134 to manoeuvres (Escoubet et al., 2015). In November 2009, the orbit period was reduced
135 to about 54 hours 35 minutes due to the lowering of the apogees of the four Cluster space-
136 craft by about 5,000 km. The orbit inclination had deviated from its original 90° and
137 the apogee moved to the Southern Hemisphere. In 2013, the perigee altitude increased
138 again and apogee started returning back to the Northern Hemisphere. In 2017/2018, the
139 gravitational perturbations resulted even in an increase of perigee up to $67 R_E$.

140 CODIF and HIA are two complementary spectrometers of the Cluster Ion Spec-
141 trometry (CIS) experiment with the time resolution of 4 seconds (one spacecraft spin)
142 (Rème et al., 2001). The mass-resolving spectrometer CODIF provides the threedimen-
143 sional ion distribution functions for the major magnetospheric species (H^+ , He^+ , He^{++}
144 and O^+), with energies from ~ 25 eV/e to about 40 keV/e. The CODIF instrument com-
145 bines ion energy per charge selection, by deflection in a rotationally symmetric toroidal
146 electrostatic analyser, with a subsequent time-of-flight analysis. An MCP (microchan-
147 nel plate) detector ring is used to detect both the incoming ions and the secondary elec-
148 trons, provided by the time-of-flight system. The detector ring is segmented in 16 an-
149 odes, each anode covering 22.5° . These anodes are grouped in two sections of 180° each,
150 and only one of the two sections is operated at a time. The HIA sensor does not pro-
151 vide mass resolution but instead detects ions in the energy range of 5 eV/e to 32 keV/e
152 with an angular resolution of 5.6° . The magnetic field data are from the FGM (Flux-
153 gate Magnetometer) experiment (Balogh et al., 2001).

154 The Double Star TC-1 was the joint mission of the European Space Agency (ESA)
155 and China National Space Administration (CNSA) launched into an elliptical orbit of
156 1.09 to $13.4 R_E$ with 28.5° inclination in 2004 (Liu et al., 2005). It reentered the atmo-
157 sphere on October 2007. The Cluster and Double Star orbits were in almost the same
158 meridian. The HIA instrument on board the Double Star TC-1 spacecraft, which was
159 nearly identical to the CIS HIA sensor on board Cluster, measured the 3-D ion distri-
160 bution functions without mass discrimination with energies between 5 eV/q and 32 keV/q
161 (Rème et al., 2005). The magnetic field data come from the FGM experiment (Carr et
162 al., 2005).

3 Turning instrument background into science data

3.1 Boundaries of the MeV Radiation from keV measurements by both Cluster CIS HIA and CODIF and Double Star HIA

3.1.1 Method to determine the boundaries of radiation belts

Decreasing of perigee resulted in Cluster entering deep in to the radiation belts region, where MeV particles can penetrate through the walls of electrostatic-analyser plasma instruments and directly affect their MCP detectors. Such penetrating particles induce a counting background that can be used to identify the presence of these very energetic populations (Ganushkina et al., 2011; Delory et al., 2012). Figure 1 (see Figures 1 and 4 in (Ganushkina et al., 2011)) presents example energy-time spectrograms in counts/second measured by (a) HIA and (b) CODIF instruments on June 30, 2008 with Cluster going as low as $L = 2.6$ to illustrate the method to identify the inner and outer radiation belt boundaries out of the background counts. The counts appearing simultaneously in all energy channels as seen in HIA spectrogram strongly indicate that they come from the penetrating particle background, insensitive to the particle energy selection by the electrostatic analyser. Entering and leaving the outer and inner radiation belts which can be seen in the presence or absence of the background counts in all energy channels provide the locations of the boundaries of the outer and inner radiation belts (marked by dashed vertical lines). The background seen in CODIF spectrogram provides additional information but it cannot be used in a similar way as HIA spectrogram for determining the boundaries. The double signal coincidence technique used in CODIF to eliminate most of the penetrating particles results in the reduced background without clear appearance of simultaneous counts in all energy channels. Figure 1 demonstrates an example of an energy-time spectrogram (c) in counts/second measured by the Double Star HIA instrument on August August 8, 2007 together with (d) L-values. The white gap on the spectrogram corresponds to the spacecraft being in eclipse. Since the background measured by the Double Star HIA instrument is rather similar to that of Cluster HIA, the same method was applied to identify the boundaries. When Double Star leaves the outer belt moving inbound, it crosses the inner boundary of the outer radiation belt. It then detects the slot region between this boundary and the outer boundary of the inner radiation belt. Close to its perigee, Double Star leaves the inner belt (inner boundary of the inner radiation belt). When moving outbound, the outer boundary of the inner belt and the inner boundary of the outer belt are again crossed.

The Double Star data plot reveals also another feature: as seen in the spectrogram, when the spacecraft exits the inner belt and approaches perigee, and before the data gap due to the eclipse, the HIA instrument detects the ionospheric particles as a very intense population below 15 eV/q. This population is detected only in the tailwards looking and in the dawnward looking sectors: this is due to the relative motion of the spacecraft with respect to the ambient plasma ($V_x < 0$ and $V_y < 0$ for the spacecraft) which "scoops" the cold plasma into the instrument.

We employ the same method of determining the boundaries of radiation belts from the background measurements on the keV instruments not covering the MeV energies of the radiation belts for identifying not only usually well-observed locations of the outer and inner belts but temporal boundaries of the storage ring.

3.1.2 Example event on July - August 2007

During the first event on July-August 2007, signatures of a storage ring were clearly visible. This event was selected from the previously analyzed (Ganushkina et al., 2011) periods of Cluster data (April 2007 - June 2009) and of Double Star data (May 15 - September 28, 2007). Both Cluster CIS HIA and CODIF data were available with Double Star HIA data in addition.

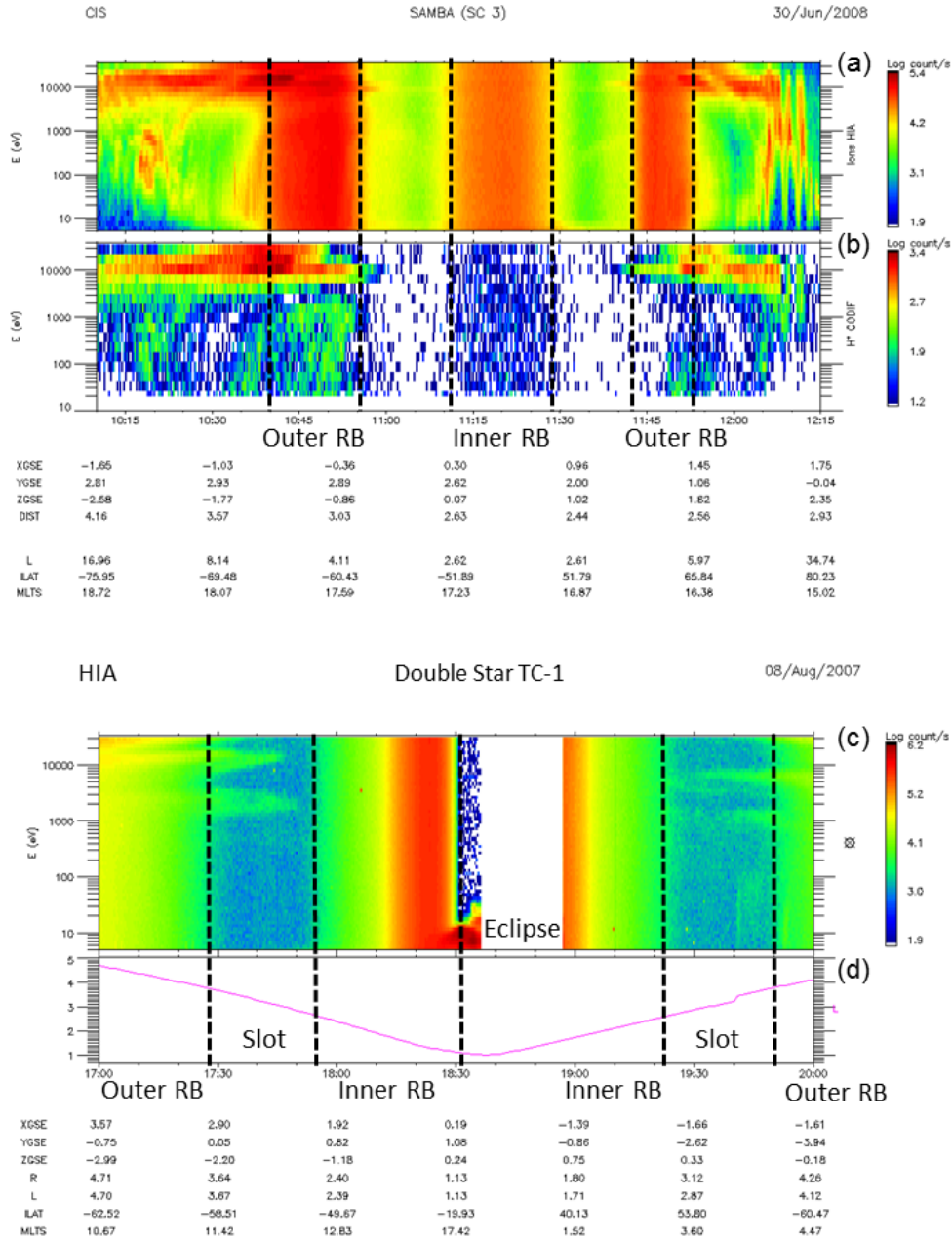


Figure 1: Example of boundaries (dashed vertical lines) of outer and inner radiation belts based on the measured background seen in the energy versus time ion spectrograms in counts/second by the Cluster (a) HIA and (b) CODIF instruments on June 30, 2008, and (c) Double Star TC1 HIA energy-time spectrogram in counts/second together with (d) L-values on August August 8, 2007 (reproduced from (Ganushkina et al., 2011)).

Day	Month	UT interval	Satellite	Storage ring	MLT
2	July	1000-1300	Cluster	no	16.5-16.8
3	July	0600-0800	Double Star	no	14-20, 02-05
7	July	0500-0730	Cluster	yes	15.4-17.4
11	July	0600-0800	Double Star	yes, inbound	13-16, 03-05
13	July	1200-1500	Double Star	yes	12-14, 3-6
14	July	0800-1030	Cluster	yes	15-16
16	July	1630-2000	Cluster	unclear/yes	16
18	July	0100-0400	Double Star	yes	13-15, 4-6
19	July	0200-0500	Cluster	yes	15-16
19	July	0400-0700	Double Star	yes	13-15, 3-5
21	July	1130-1400	Cluster	yes/weak	15
21	July	1200-1300	Double Star	unclear	13-16
23	July	2000-2330	Cluster	no	15-16
24	July	2100-2400	Double Star	yes	12-14, 4-6
26	July	0600-0830	Cluster	yes	15-16
26	July	0100-0300	Double Star	yes	13-15, 3-5
27	July	0400-0600	Double Star	unclear	13-15, 3-5
28	July	1400-1730	Cluster	yes/weaker	15-16
31	July	2300-0230	Cluster	yes/weaker	15-16
2	August	0900-1200	Cluster	yes/weak	15
4	August	1700-2030	Cluster	no	15
7	August	0300-0600	Cluster	no	14-15
7	August	1400-1700	Double Star	no	11-14, 2-4

Table 1: List of available Cluster and Double star observations of a storage ring during July-August 2007 period with dates discussed in detail marked by bold.

Table 1 presents the list of all days with UT intervals when data were available, listing whether or not the boundaries of storage ring were noticeable and MLTs crossed by the satellites. The dates of observations that we discuss in more detail in the present paper are marked bold. Due to the type of the orbit, Cluster crossed the inner magnetosphere regions at 14-16 MLT on both inbound and outbound passes for all the days. Double Star was at 12-16 MLT on the inbound and at 02-06 MLT on the outbound passes.

The overview of the solar wind and geomagnetic activity during the period of interest from July 1 to August 10, 2007 is presented in Figure 2. High resolution (5 minutes) data were obtained from OMNIWeb (<https://omniweb.gsfc.nasa.gov/>). Variations of (a) IMF B_z , (b) solar wind speed V_{sw} , (c) solar wind dynamic pressure P_{sw} are shown together with the (d) AE and (e) SYM-H indices.

Figures 3- 6 present the sequence of selected measurements from Cluster and Double Star for the first half of July 2007 when the storage ring has appeared. Figures 8-10 show the selected measurements from Cluster and Double Star for the second half of July 2007 and the beginning of August 2007 when the storage ring has disappeared. The corresponding times are marked with bold font in Table 1 and with vertical solid lines in Figure 2.

Each plot of Cluster data (data from C3 satellite) in Figures 3, 4, 6, 8 and 9 shows in the top panel the energy-time ion spectrogram of counts per second from CIS HIA instrument where entering and leaving the regions with penetrating electron flux (>2

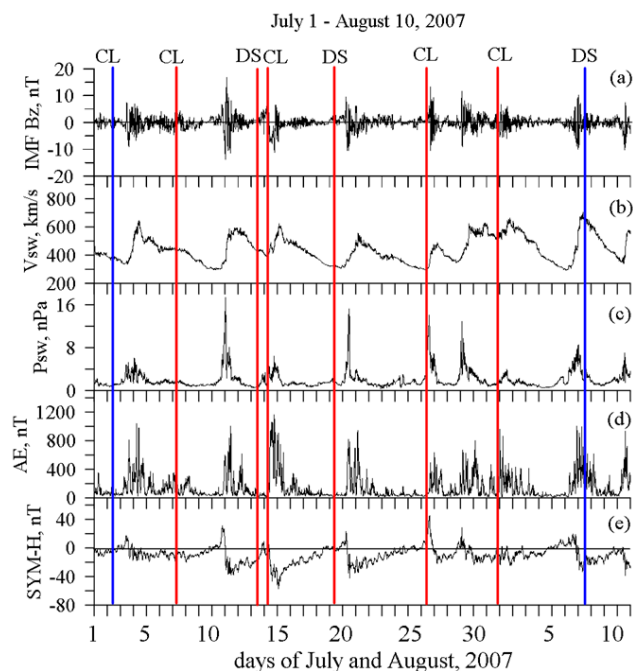


Figure 2: Overview of solar wind and geomagnetic activity during the July 1 - August 10, 2007 period with vertical lines marking selected times for Cluster and Double Star when a storage ring was observed (red) or not (blue).

233 MeV for Cluster) can be identified as a sharp increase/decrease in the counting rates ap-
 234 pearing/disappearing simultaneously in all energy channels.

235 The next panel presents the energy-time H^+ spectrogram of counts per second mea-
 236 sured at CIS CODIF instrument. The CODIF instrument is the time-of-flight ion mass
 237 spectrometer with onboard processing which uses the detection of two signals, i.e. a "start"
 238 signal and a "stop" signal separated in time by a valid time-of-flight interval (correspon-
 239 ding to the ion velocity) to validate an ion detection. This double signal coincidence tech-
 240 nique helps in eliminating most of the penetrating particles, which produce only "sin-
 241 gle" signals, substantially reducing the background "ion counts" in the radiation belts.
 242 While the background can be still seen, the CODIF energy-time H^+ ion spectrograms
 243 are not best suited for the identification of the radiation belt boundaries.

244 A partial exception to this is the O^+ ion counts, shown in the next panel (third from
 245 the top). Due to the greater time-of-flight of these heavy ions (smaller velocity for a given
 246 energy), the valid time window for the detection of both a "start" signal and a "stop"
 247 signal is longer. This increases the probability of detecting during this time window two
 248 uncorrelated penetrating particles generating two signals (one each), one penetrating par-
 249 ticle mimicking a "start" signal and the other mimicking a "stop" signal, and generat-
 250 ing thus a false (background) O^+ ion count. The fourth panel from the top presents the
 251 HIA ion counts per second integrated over all energies, whereas the fifth panel shows CODIF
 252 O^+ counts per second integrated over all energies. The spikes on the plot are data arte-
 253 fact. Variations in CODIF O^+ counts reflect the appearance of the background as seen
 254 in HIA spectrograms. The sixth and last panel in the bottom contains the L values which
 255 Cluster crossed.

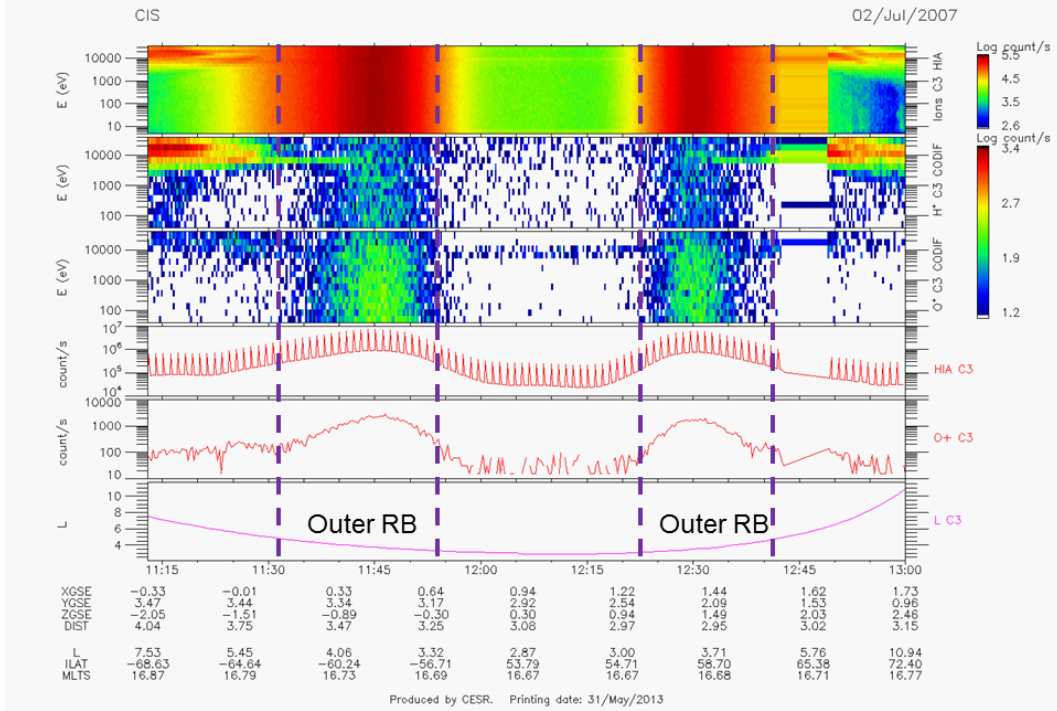


Figure 3: Cluster CIS data, July 2, 2007: energy-time spectrograms of counts/second for (top panel) ions from CIS HIA instrument, (second panel) H⁺ and (third panel) O⁺ ions from CIS CODIF instrument, integrated over all energies counts/second of (fourth panel) HIA ions and (fifth panel) CODIF O⁺ ions with (bottom panel) L values which Cluster crossed. The spikes in fourth panel are data artefact. Regions of outer radiation belts are marked by vertical dashed lines. No storage ring, intense radiation belt.

256 The top panel at each plot of Double Star measurements in Figures 5, 7, and 10
 257 contains instrument operation data (instrument mode and MCP high-voltage settings),
 258 whereas the following five panels show ion energy-time spectrograms in counts per sec-
 259 ond units. The first four of them show ions arriving in the 90°×180° sector with a field-
 260 of-view pointing in the sun (second panel from the top), dusk (third panel), tail (fourth
 261 panel), and dawn (fifth panel) direction respectively, whereas the sixth panel shows the
 262 omni-directional data. These allow the confirmation of the isotropic nature of the plasma
 263 outside the background areas of the radiation belts. Note that, due to a thicker shield-
 264 ing on Double Star than on Cluster, the background due to the penetrating particles is
 265 reduced allowing to identify the plasma populations even in the outer radiation belt and
 266 in the storage ring. This is not, however, the case in the inner belt, where the count rates
 267 due to the penetrating particles are extreme and saturate the detector. The data gap,
 268 at the middle of the inner belt, is due to a loss of data acquisition when the satellite gets
 269 in the Earth’s shadow (loss of Sun-reference pulse). The seventh panel presents the plasma
 270 density as calculated from the measured ion distribution functions. Outside the radia-
 271 tion belts, e.g. in the slot region, this gives the density of the ion populations that are
 272 in the instrument energy range (5 eV/q - 32 keV/q). However, in the areas where back-
 273 ground due to penetrating particles is present, this density value is artificially boosted
 274 by the penetrating particle counts. The bottom, eight panel shows the L-shell value evo-
 275 lution.

276 We will analyze Figures 2 and 3-10 together with Table 1 simultaneously when de-
 277 scribing the appearance, persistence and disappearance of a storage ring during the July-

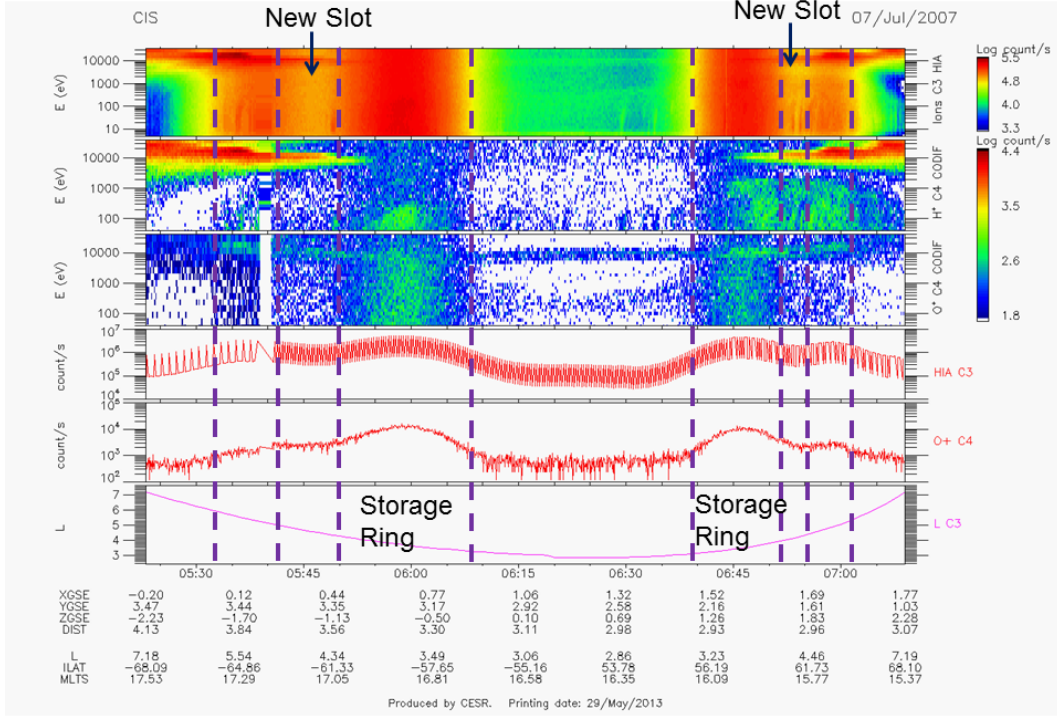


Figure 4: Cluster CIS data (similar to Figure 3), July 7, 2007: Appearance of storage ring.

278 August 2007 time period as seen in Cluster and Double Star spectrograms. As can be
 279 seen in Figure 2, the beginning of July (July 1-3) was rather quiet. Cluster observations
 280 on July 2, 11-13 UT (marked as blue vertical line in Figure 2) did not contain any in-
 281 dications of a storage ring presence (Figure 3). We can see Cluster entering and leav-
 282 ing the outer radiation belt as it is shown in the background counts in the spectrograms
 283 and in the peaks of the integrated over all energies HIA ion and CODIF O⁺ counts.

284 During the period of July 3-6, large oscillations in IMF B_z , a gradual increase of
 285 V_{sw} to 640 km/s indicated the occurrence of a small CIR (Corotating Interaction Re-
 286 gion) storm with HSS (high speed stream) feature. The first signs of a storage ring ap-
 287 peared (Figure 4) on July 7, 05-08 UT (red vertical line in Figure 2). A new slot was formed
 288 in the HIA spectrogram with the reduced background counts between two areas with the
 289 increased background counts. CODIF spectrograms are less definite but both integrated
 290 over all energies HIA ion and CODIF O⁺ counts curves show two peaks correspond-
 291 ing to the increased background counts with a dip between them corresponding to a newly
 292 formed slot. The background counts at distances closer to Cluster perigee are the indi-
 293 cators of a storage ring presence. If this storage ring was formed due to the small CIR
 294 storm described above, Cluster observed it 3.5 days after the storm maximum.

295 End of July 10th when IMF B_z reached about -10 nT was the initial phase of a small
 296 CME storm with SYM-H dropping to -30 nT in the beginning of July 11th. Double Star
 297 observed a clear signature of a storage ring (Table 1, data only from inbound pass, not
 298 shown) during the 06-08 UT interval which was at around the main phase of the storm.
 299 Very distinct signatures of a storage ring were seen on Double Star at 12-15 UT of July
 300 13th (Figure 5). Several boundaries are seen in Figure 5: the inner boundary of the outer
 301 radiation belt (first vertical dashed line on Double Star inbound pass) and outer bound-
 302 ary of the inner radiation belt (last vertical dashed line on Double Star inbound pass)

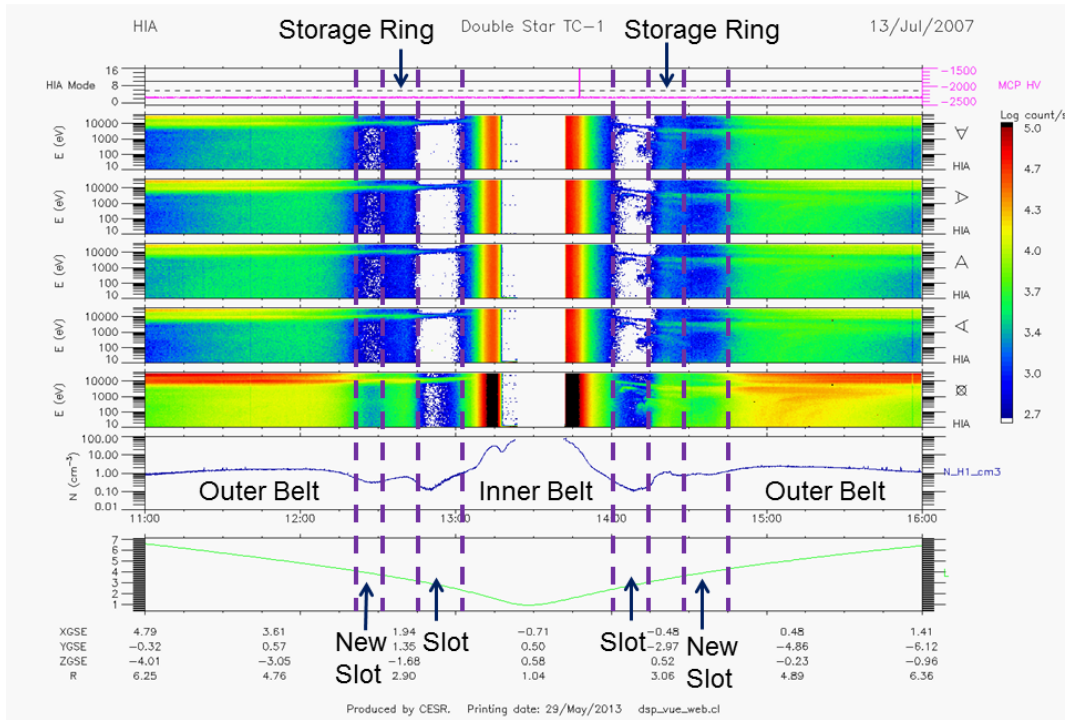


Figure 5: Double Star HIA data, July 13, 2007: (top panel) instrument operation data as instrument mode and MCP high-voltage settings, ion energy-time spectrograms in counts/second with ions arriving in the $90^\circ \times 180^\circ$ sector with a field-of-view pointing in the sun (second panel from the top), dusk (third panel), tail (fourth panel), and dawn (fifth panel) direction respectively with omni-directional data (sixth panel), (seventh panel) plasma density as calculated from the measured ion distribution functions, and (eighth panel) L-shell values. The data gap is due to a loss of data acquisition when the satellite gets in the Earth's shadow. Regions of radiation belts are marked by vertical dashed lines. Clear storage ring.

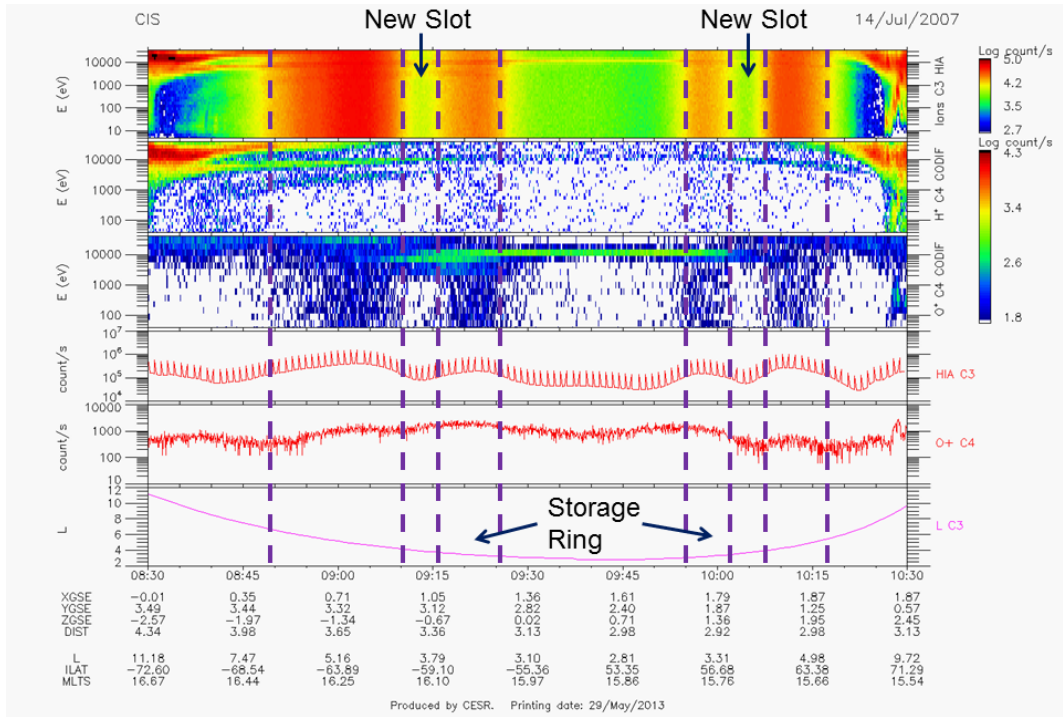


Figure 6: Cluster CIS data (similar to Figure 3), July 14, 2007: Clear storage ring.

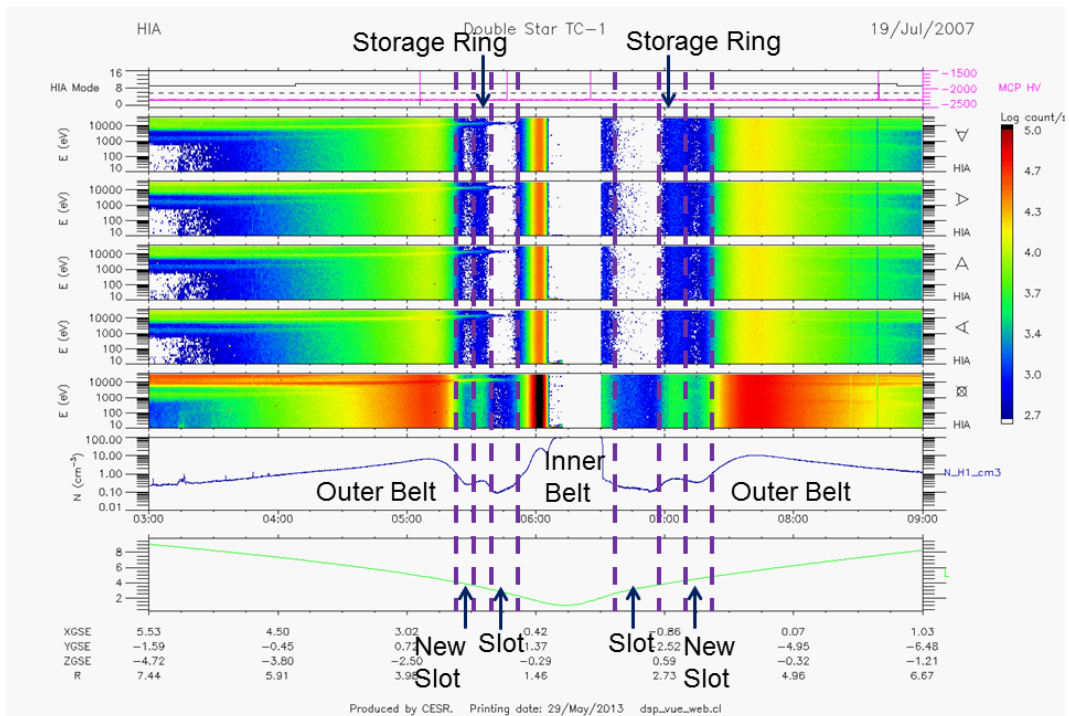


Figure 7: Double Star HIA data (similar to Figure 5), July 19, 2007: Clear storage ring.

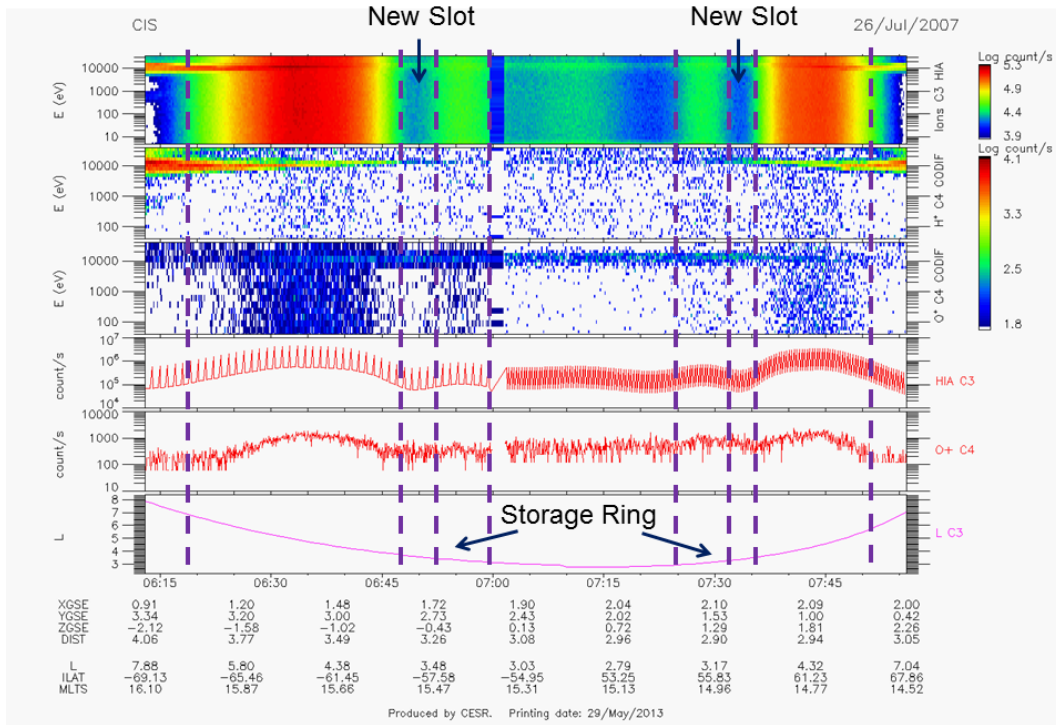


Figure 8: Cluster CIS data (similar to Figure 3), July 26, 2007: Clear storage ring.

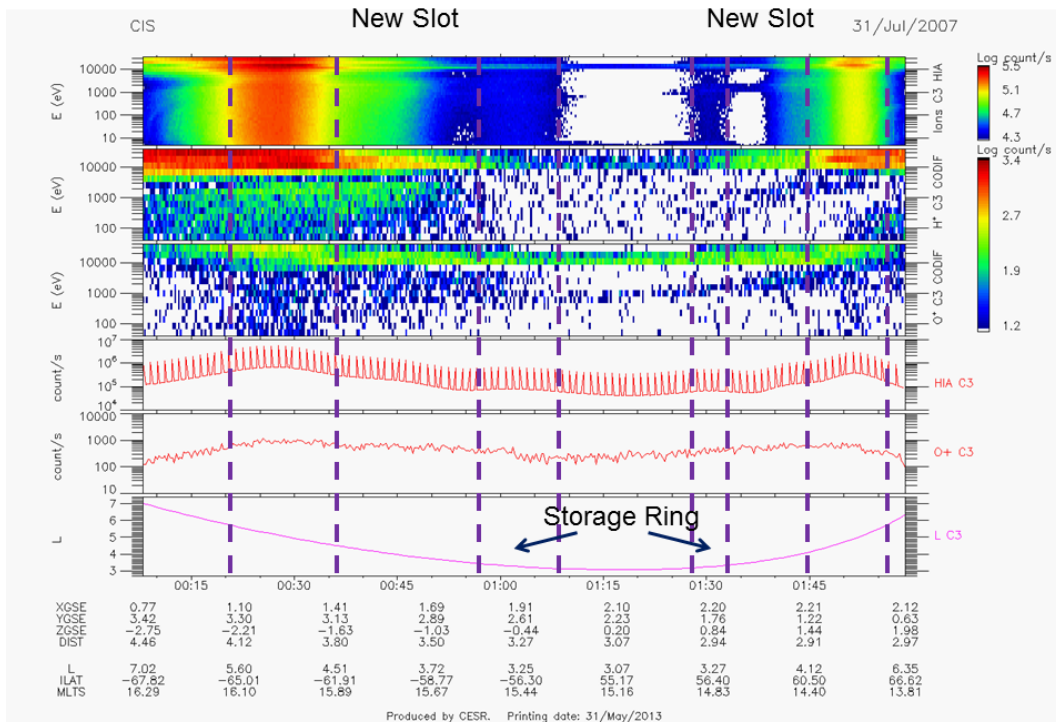


Figure 9: Cluster CIS data (similar to Figure 3), July 31, 2007: Weaker storage ring.

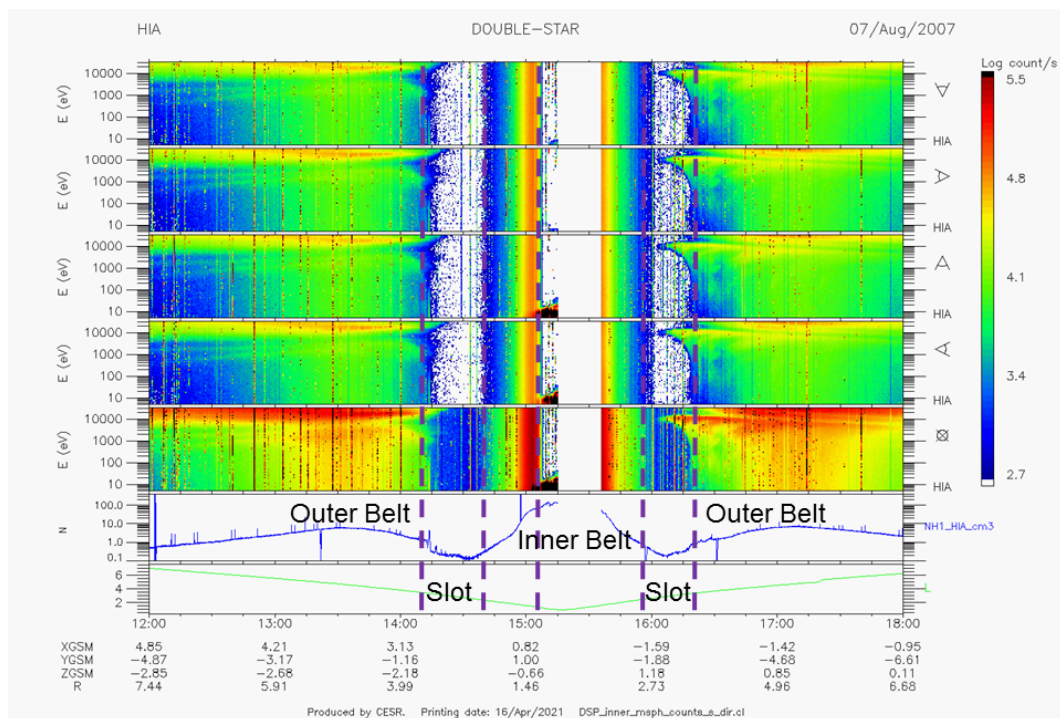


Figure 10: Double Star HIA data (similar to Figure 5 but without instrument operation data), August 7, 2007: No storage ring.

and the same boundaries in the reverse order on the outbound pass. In addition to the boundaries described above, in Figure 5, we see a new, second slot formed between the inner boundary of the outer radiation belt and a new area of background counts. This new area is the storage ring seen in Double Star data, especially distinct in the omnidirectional spectrogram (third panel from the bottom). The plasma density presented at the second panel from the bottom shows a clear increase due to penetrating particles when Double Star enters the radiation zones and decreases when it goes into slot regions. The storage ring was observed at the maximum of a small CME storm and after two days of storm recovery. It is hard to say whether we see the same, previously formed storage ring after the small CIR storm on July 4th or the new one formed at the small CME storm.

The next CME-type storm occurred on July 14th with an initial southward turning of the IMF B_z at around 09 UT (-11 nT at about 18 UT). Cluster observed very clear signatures of a storage ring during 09-10 UT on July 14th, right at the beginning of the main phase of the storm (Figure 6). We can see a very distinct new slot between the inner boundary of the outer radiation belt and outer boundary of the storage ring. The next two observations (Table 1, data not shown) also contained storage ring signatures. While July 19th was a rather quiet day, Cluster observed clear signatures of a storage ring during 03-04 UT (data not shown) and Double Star saw them, too, during 05-08 UT on that day (Figure 7) with a second slot and a new area of background counts. After that, no clear signatures of a storage ring were seen until July 24th when Double Star (data not shown) spectrograms showed the distinct increases in the background counts representing the presence of a storage ring. Two days later on July 26th, while geomagnetic conditions were still not disturbed, Double Star (data not shown) still saw a storage ring present on 01-03 UT and later Cluster observed still clear signatures on 06-0830 UT (Figure 8).

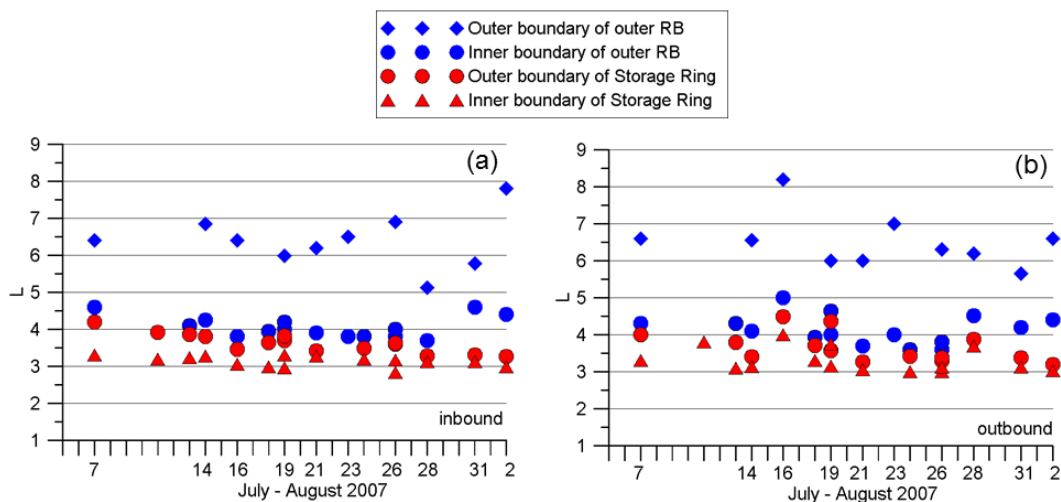


Figure 11: L-values for radiation belt boundaries determined from the background counts from (a) inbound and (b) outbound passes of both Cluster and Double Star satellites during the July - August 2007 event.

Cluster observed storage ring features on the end of July 31st- beginning of August 1st, 2300-0230 UT (Figure 9), but they were considerably weaker than those on August 2nd, 09-12 UT (data not shown). Observations from Cluster on August 4th, 17-2030 UT, and August 7th, 03-06 UT (data not shown), and Double Star on August 7th, 14-17 UT (Figure 10, the thin vertical stripes in the spectrograms are telemetry glitches), did not show any storage ring signatures.

The conducted analysis of the locations of boundaries determined from the background counts is able to provide the L-values for each boundary detected during the July - August 2007 event (Figure 11) on both satellites. On the inbound pass, the satellites first cross the outer boundary of the outer belt (blue rhombuses) which locations varies from 5 to 8 in L being on average at $L = 6$. The inner boundary of the outer belt is marked by the blue circles (located at about $L = 4$), the outer boundary of the storage ring is marked by the red circles and the gap between them is the new slot. Red triangles depict the locations of the inner boundary of the storage ring. The mean width of the new slot and new storage ring in L is about 0.3-0.5. So, the storage ring is usually located at Ls between 3 and 4. Thus, background information can be of high use, especially, if no actual particle data are available.

3.2 Boundaries of the MeV Radiation from keV measurements from Cluster CIS CODIF only

3.2.1 Adaptation of Method to determine the boundaries of radiation belts

As was presented above, if the data from the simple electrostatic analyser instrument HIA onboard Cluster and Double Star are available, the radiation belt boundaries can be identified in the energy-time spectrograms as a sharp increase in the counting rates appearing simultaneously in all energy channels. No data from the Double Star spacecraft, however, have been available after October 2007. Since October 2012, HIA instrument operations onboard Cluster have been reduced to 1 hour per orbit due to an instrument issue. For the events where no HIA data were available (HIA instrument switched

off onboard Cluster), we have to apply a new method here, so as to use the data of only the CODIF instrument. As was mentioned in the descriptions of CODIF spectrograms shown in in Figures 3, 4, 6, 8 and 9, the double signal coincidence technique used in the CODIF instrument significantly reduces the background counts. Energy-time ion spectrograms from CODIF alone cannot be directly used in a similar way as HIA spectrograms.

The O^+ ion counts, due to their longer time-of-flight as compared to H^+ and longer time window for both the "start" and "stop" signal, have increased probability to detect the generated false background as was explained above in Section 3.1.2. When defining the boundary position from CODIF spectrograms, we analyze the changes in O^+ ion counts/sec with time $\frac{\Delta counts}{\Delta t}$ for all 31 energy channels step by step in time. Whenever possible, we determine the first time moment, when the $\frac{\Delta counts}{\Delta t}$ is the largest and the same for all energy channels (sharpest gradient) and put a boundary there. We compare two time steps before and two time steps after of each time moment. We always conduct a visual inspection of the defined boundary locations.

In the new approach, additional information for penetrating particles comes from the CODIF full telemetry data set, which besides the valid ion detection events ("start" signal plus "stop" signal plus valid detection position for each event, i.e. valid ion detected) includes also count rates of "single" events. These are separate counters that register (a) the total of the "start" signals detected, independently of whether a start signal was accompanied by a stop signal or not ("start" rates), (b) the total of the "stop" signals detected, independently of whether a stop signal was preceded by a start signal or not ("stop" rates), and also (c) the "non-valid" event rates (Kistler et al., 2013). These additional data streams are not energy dependent but rather summed over all energy channels and look directions.

"Start" and "stop" rates give thus each a measure of the sum of the detected ions (valid detections), plus the ions that generated only a "start" or only a "stop" signal respectively (due to the finite detection efficiency of the MCPs), plus the penetrating particles. In the presence of penetrating particles the "start", "stop" and "non-valid" event rates increase, but this increase is not accompanied by a corresponding increase of the valid events that are shown in the energy-time spectrograms, particularly for the light ions as H^+ . To define the boundary position from telemetry signals, we follow the evolution of the telemetry counts/sec with time $\frac{\Delta t counts}{\Delta t}$ for all 3 signals described above. We determine all the time moments, when the $\frac{\Delta t counts}{\Delta t}$ changes its sign and attribute them with local minima and maxima. The same procedure is applied to CODIF O^+ counts per second integrated over all energies. It is thus the combined information from these CODIF data, i.e. O^+ ion count rates, "start" count rates and "non-valid" event count rates that is used here to identify and locate the boundaries of the radiation belts and of the storage ring. It includes the quantitative determination of directions of changes in counts gradients along the orbit and qualitative visual inspection of all the available measurements taking also into account the exact locations of Cluster in the magnetosphere (L, latitude etc.). This is demonstrated below for the September-October 2012 event, an interval with a documented presence of a storage ring by Baker et al. (2013).

3.2.2 Example event on September-October 2012

As an example to demonstrate the validity of the new approach to determine the boundaries of radiation belts when only Cluster CODIF data were available, the September-October 2012 period was selected which has been extensively analyzed starting with the Baker et al. (2013) study. Table 2 contains the list of available Cluster observations during the September-October 2012 period with corresponding UT intervals, presence or absence of storage ring features, determined L-values and MLT sectors for storage ring (when present). Not many observations were available from Cluster during that period but the

Day	Month	UT interval	Storage ring (SR)	L_{SR}	MLT_{SR}
2	September	0300-0600	no		
8	September	2100-0100	yes	3.0-3.4	0840-0815
11	September	0300-0800	yes	2.9-3.3	0835-0810
15	September	1600-2000	yes	3.0-3.4	0810-0745
17-18	September	2200-0200	yes	3.0-3.4	0810-0745
20	September	0500-0800	yes, weak	3.1-3.4	0750-0730
22	September	1100-1500	yes, very weak		
1	October	1230-1530	no		
3	October	1830-2200	no		
6	October	0100-0400	no		
8	October	0600-1000	no		
10	October	1200-1700	no		
12	October	1800-2300	no		

Table 2: List of available Cluster observations during the September-October 2012 period

408 presence of a storage ring detected from them is in agreement with what was shown in
 409 Baker et al. (2013) (see their Figure 3A). According to Baker et al. (2013), a "storage
 410 ring" of high-energy electrons emerged after September 2nd (Cluster saw first signatures
 411 of a storage ring on September 8th) and disappeared on October 1st (Cluster did not
 412 observe any clear signatures of a storage ring starting from October 1st).

413 Figure 12 presents the overview of solar wind and geomagnetic activity during the
 414 September 1 – October 15, 2012 period with vertical lines marking times when Cluster
 415 observed the storage ring (red), the observed storage ring was weak (dashed red) and
 416 not observed (blue). As in Figure 2, the time series of (a) IMF B_z , (b) solar wind speed
 417 V_{sw} , (c) solar wind dynamics pressure P_{sw} are shown together with (d) AE and (e) SYM-
 418 H indices. An interplanetary shock wave has passed on September 3d which can be seen
 419 as a sharp increase in V_{sw} (Figure 12b) and change in the IMF B_z (Figure 12a). The ap-
 420 pearance of a new population of relativistic electrons at a around $L = 4$ was associated
 421 with the high value of V_{sw} on September 5th. Cluster saw signatures of a storage ring
 422 on four passes (from September 8th to September 17th). Another high speed solar wind
 423 stream was observed on September 20th-21st which was also related to another period
 424 of high-energy electron flux (Cluster observed weaker storage ring on September 20th
 425 and 22nd). One more interplanetary shock wave passed on October 1st and SYM-H in-
 426 dex dropped to -150 nT indicating the occurrence of a moderate geomagnetic storm (Fig-
 427 ure 12e) but no signatures of a storage ring were observed.

428 We will concentrate on two time intervals of Cluster data in the present paper, Septem-
 429 ber 11th, 04-07 UT, and October 6th, 0040-0430 UT. They are marked bold in Table 2.
 430 Figure 13 presents the observations on September 11th when the storage ring features
 431 were seen. Three upper panels show the energy-time spectrograms of fluxes of three ion
 432 species measured by Cluster CODIF instrument, H^+ , He^+ and O^+ , respectively. The back-
 433 ground counts on the H^+ spectrogram (Figure 13a) do not indicate any storage ring sig-
 434 natures. The same is true to the counts on He^+ spectrogram (Figure 13b). O^+ spectro-
 435 gram provides the possibility to detect the background more clearly (Figure 13c). It is
 436 obvious from Figure 13d, where CODIF O^+ counts per second integrated over all ener-
 437 gies are presented. O^+ counts show an increase and a decrease at the UT interval from
 438 0605-0617 which correspond to a storage ring. A storage ring is also present in the in-
 439 bound pass as well, at around 0500 UT, however, on the outbound pass, the signature
 440 is more prominent, therefore, we concentrate our analysis on the outbound pass only. The

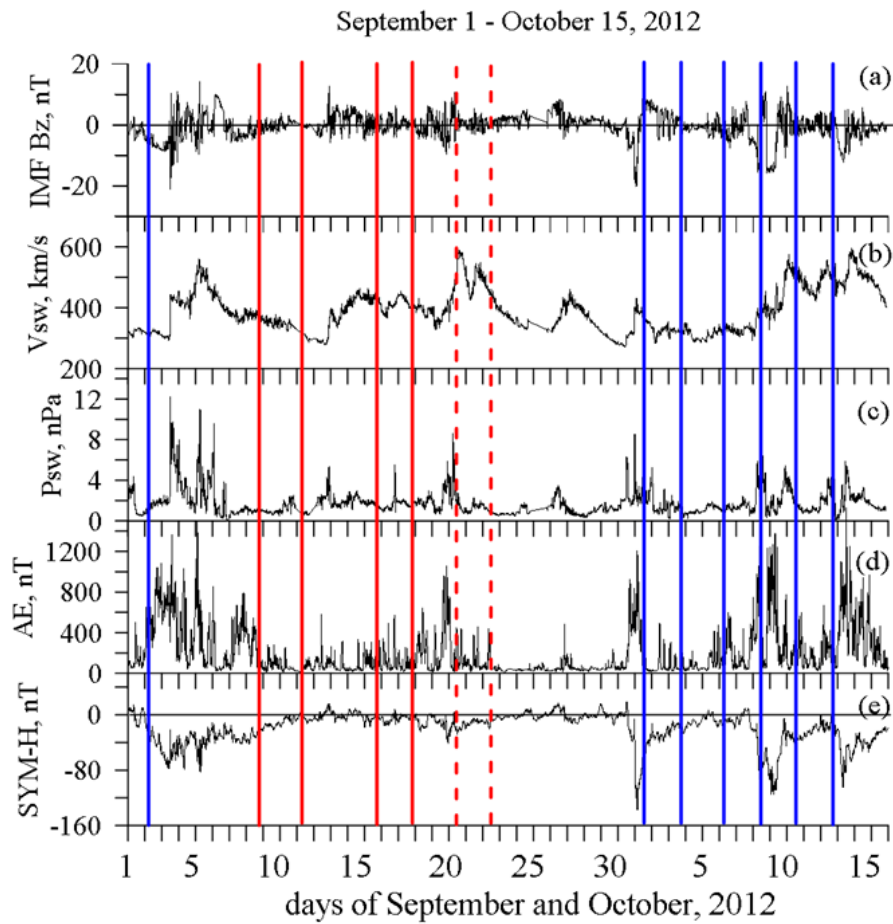


Figure 12: Overview of solar wind and geomagnetic activity during the September 1 - October 15, 2012 period with vertical lines marking times when Cluster observed the storage ring (red), the observed storage ring was weak (dashed red) and not observed (blue).

441 lower panels in Figure 13 present count rates for the (e) start signal, (f) stop signal and
442 (g) start plus stop signals for "non-valid" event count rates without valid detection po-
443 sition. Panels (a)-(d) present the particle counts transmitted in the "normal" science teleme-
444 try products with a high time resolution, whereas panels (e)-(g) show the "monitor rates"
445 products, which are diagnostic telemetry products transmitted with a lower time reso-
446 lution. We can see similar to Figure 13d peaks in all three count rates, so that it is pos-
447 sible to detect the location of the storage ring from these background counts (marked
448 as vertical dashed lines). Cluster was going from dusk to dawn via noon during September-
449 October 2012 event. Storage ring signatures were detectable mainly on the outbound pass,
450 in the morning hours. L-values and MLTs for the observed storage ring background counts
451 were obtained (shown in Table 2). At around 08 MLT, the storage ring is located be-
452 tween L-shells of 2.9 and 3.4 with the width ΔL of about 0.4.

453 Figure 14, in the same format as Figure 13, shows the Cluster CODIF energy-time
454 spectrograms of fluxes of (a) H^+ , (b) He^+ and (c) O^+ , (d) O^+ counts per second inte-
455 grated over all energies, and the count rates for CODIF (e) start signal, (f) stop signal
456 and (g) start plus stop signals for "non-valid" events for October 6th. After September
457 22nd, Cluster did not see any signatures of the storage ring and October 6th is a good
458 example of the absence of those signatures. Cluster saw a shrunken outer radiation belt
459 (which was also noticed by Baker et al. (2013)), and the outskirts of the inner belt but
460 no peaks in the background counts which could indicate to a storage ring presence. Thus,
461 combined O^+ ion counts and start, stop and "non-valid" event count rates from the CODIF
462 instrument can be directly used to locate the permanent and transient features in the
463 radiation belts, including their boundaries.

464 4 Discussion and Conclusions

465
466 This Technical Report on Methods paper has described the approach on how to
467 turn the instrument background to scientifically valuable data. For this purpose, we have
468 used the background counts due to penetrating energetic particles of radiation belts de-
469 tected on Cluster CIS HIA and CODIF instruments. Appearance and disappearance of
470 such background counts mark entering and leaving the radiation belts by the Cluster space-
471 craft. Thus, the locations of the boundaries of the outer and inner belts can be deter-
472 mined. In HIA spectrograms the counts are seen simultaneously in all energy channels
473 and it is rather straightforward to define the boundaries. In the case when HIA measure-
474 ments are not readily available, the double signal coincidence technique used in CODIF
475 instrument does not allow to use the CODIF energy-time ion spectrograms alone for the
476 same procedure of determining the locations of radiation belts. Therefore, a new approach
477 was proposed in which CODIF full telemetry data is exploited. CODIF telemetry data
478 employs separate counts that register "start", "stop" and "non-valid" signals which al-
479 ways increase in the presence of penetrating particles even when no corresponding in-
480 crease are shown in the energy-time spectrograms. July-August 2007 and September-
481 October 2012 time periods were used for method demonstration on a presence of a third
482 radiation belt, or storage ring.

483 During July-August 2007 period, the signatures of the storage ring have persisted
484 for almost a month clearly seen in Cluster HIA measurements. Among the previously
485 analyzed data from April 2007 to June 2009, six periods were detected with clear
486 presence of a storage ring (April 19-24, May 20 and November 19 in 2007 and May 23-
487 30, June 1-13 and October 29 in 2008). The storage ring signatures can be easily seen
488 in Cluster CIS spectrograms at <http://cluster.irap.omp.eu/public/spectro/>. During the
489 September-October 2012 event, appearance, presence and disappearance of the storage
490 ring observed by Cluster using the method of combination of CODIF O^+ spectrograms
491 and telemetry signals are in agreement with figures from Baker et al. (2013). As com-

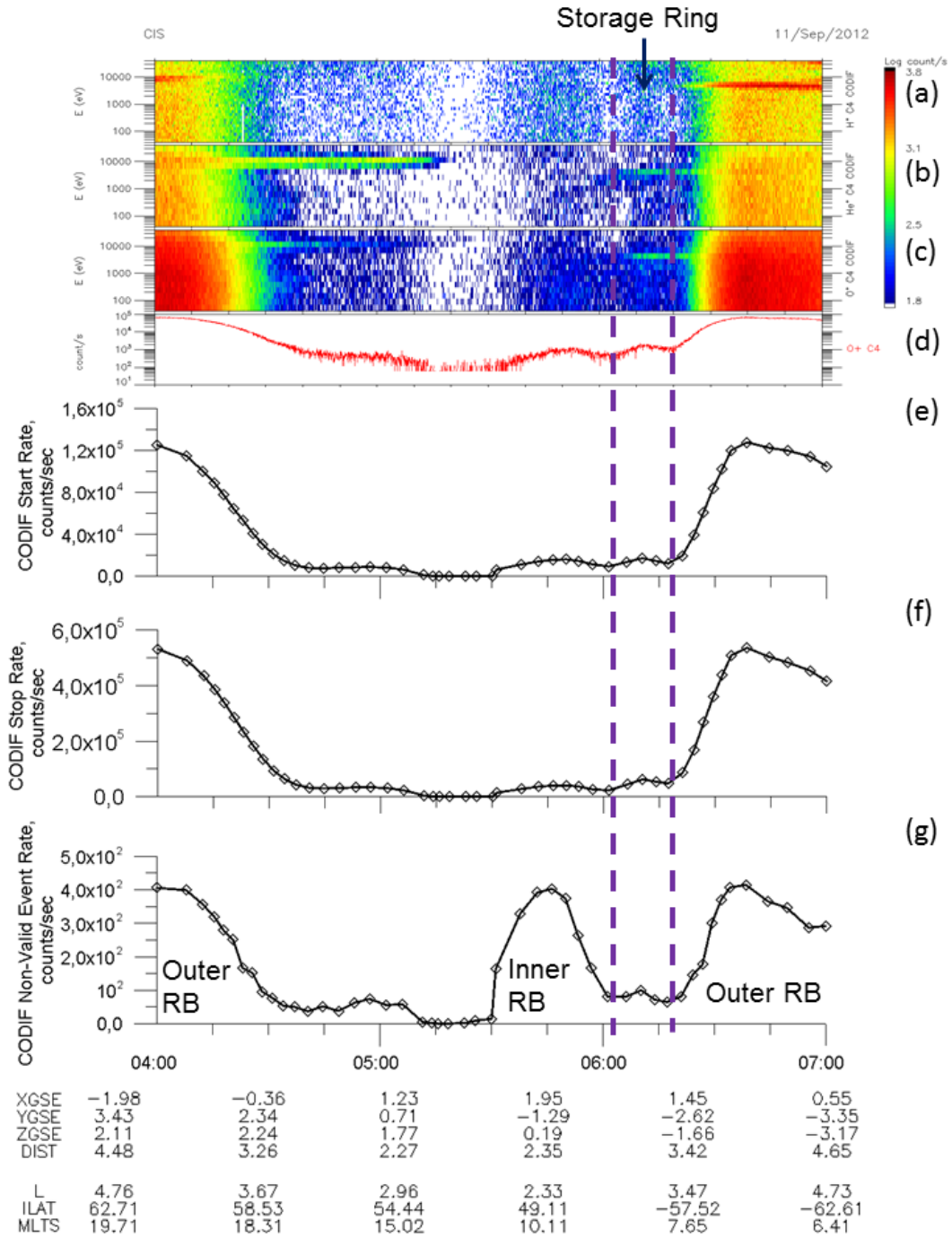


Figure 13: Presence of storage ring signatures as seen on Cluster CODIF data and in telemetry data (start, stop and non-valid event rates) on September 11, 2012.

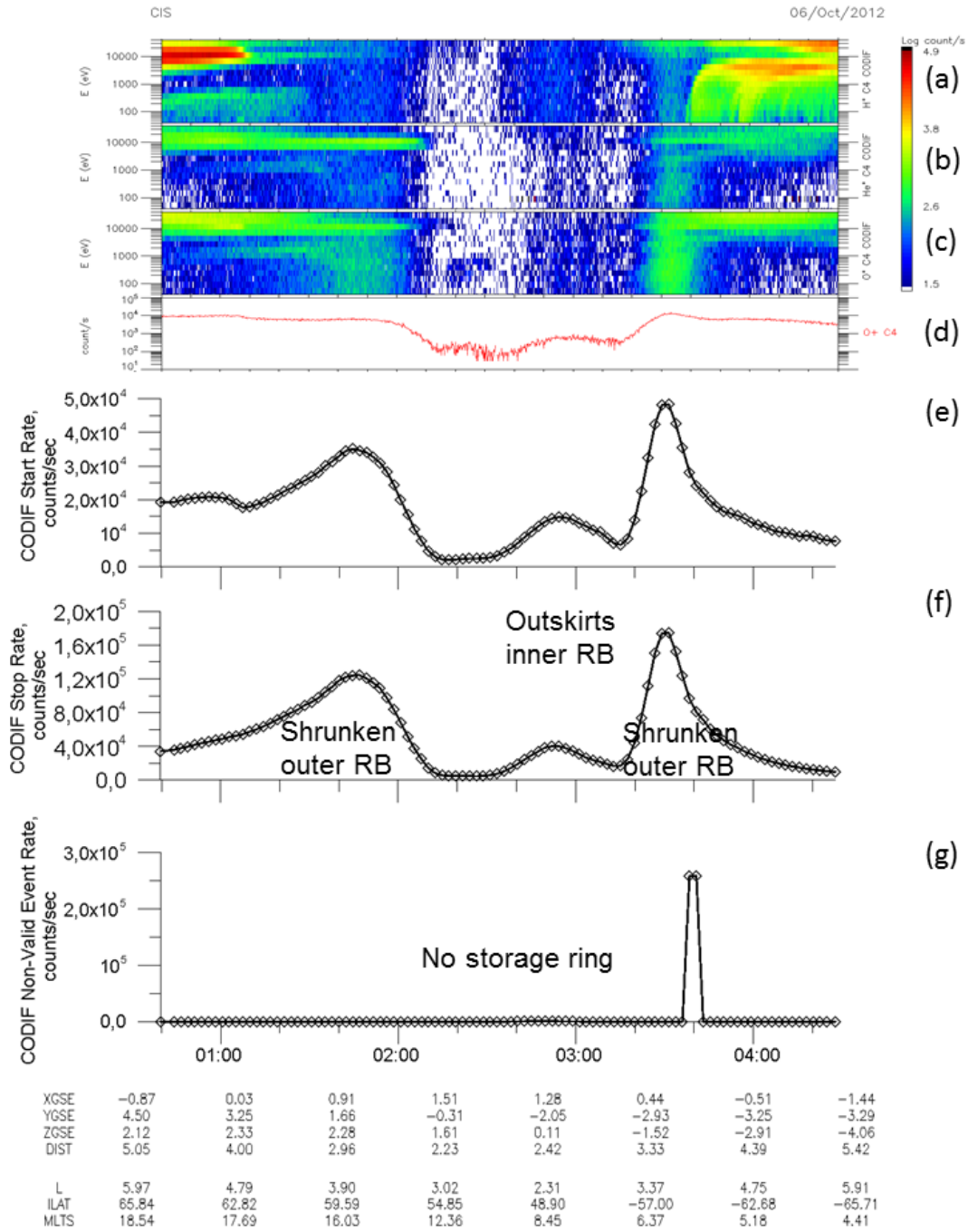


Figure 14: Absence of storage ring signatures as seen on Cluster CODIF data and in telemetry data (start, stop and non-valid event rates) on October 6, 2012.

SR on THEMIS data	SR on Cluster data
26 March 2008	no data
02 May 2010	no data
03 August 2010	06 August 2010, 15-18 UT (HIA + CODIF)
04 February 2011	no data
01 March 2011	no data
27 May 2011	no data
17 September 2011	17 (12-18 UT), 19 (18-24 UT) September 2011 (HIA + CODIF)
24 October 2011	21 October 2011, 12-18 UT (HIA + CODIF)
24 January 2012	no data
09 March 2012	14 March 2012, 06-12 UT (CODIF)
04 April 2012	10 April 2012, 06-12 UT (CODIF)
12 April 2012	12 (12-18 UT), 14 (18-24 UT) April 2012 (CODIF)
23 April 2012	21 April 2012, 12-18, 18-24 UT (CODIF)
01 September 2012	shown in present paper
12 December 2012	no signatures
13 January 2013	09 January 2013, 00-06 UT (CODIF)

Table 3: List of storage ring events obtained from THEMIS data (Turner et al., 2013), provided by D. Turner with corresponding events from Cluster

pared to the storage ring events determined from THEMIS data (Turner et al., 2013), out of 16 events provided by D. Turner and listed in Table 3 all had signatures also in Cluster data (when data were available), except for one on December 12, 2012. Starting from 2012, only CODIF data were used to determine the storage ring presence. Thus, this demonstrates that both methods, with and without HIA data, can serve as an additional source of information about radiation belts structure.

The obtained locations of the storage ring also provided its width. For the July-August 2007 period, the storage ring was situated at $3.0 < L < 4.2$ with its width ΔL between 0.1 and 0.9 as detected by Cluster and at $2.8 < L < 3.9$ and ΔL between 0.3 and 0.9 as seen by Double Star. For September-October 2012 event, the storage ring was located at $2.9 < L < 3.4$ with ΔL of 0.3-0.4. Baker et al. (2013) stated that the observed storage ring during that event stayed unchanged at $L \sim 3-3.5$ for four weeks. Yuan and Zong (2013) gave the locations of the third radiation belt at $3 < L < 4$ with one event at $2 < L < 3$. Similar positions at L s between 3 and 4 were obtained by Turner et al. (2013), Kellerman et al. (2014) and Hao et al. (2020). Pinto et al. (2018) gave the range of L s between 2.8 and 3.8 for 30 identified three-belt events. L -shells and widths of the storage ring provided by our methods are in agreement with all previous studies. It is necessary to stress that the proposed methods are not meant to substitute particle measurements in the analysis of the radiation belts structure. The methods cannot be easily used in an automatic manner but requires some visual inspection. The detected background varies significantly depending on the satellite orbit, geomagnetic conditions, state of the instrument etc. In case of Cluster observations, telemetry raw data are also important. Telemetry raw data are not usually considered as a helpful addition and it requires more detailed knowledge of the satellite's characteristics. Nevertheless, the strength of these methods is the ability to provide a source of information on the radiation belts features when no other particle measurements are available at all but only background counts were detected.

Summarizing the results discussed above, the conclusions are the following:

520 1. Instrument background counts as seen in the case of the Cluster and Double Star
 521 satellites provide highly valuable information about the radiation belt features includ-
 522 ing temporary ones such as the storage ring.

523 2. As a new approach, telemetry raw counts on Cluster used when no HIA data
 524 were available and CODIF shielding restricts direct background analysis, register signals
 525 which increase due to penetrating particles even when no corresponding increases are
 526 shown in the CODIF energy-time spectrograms.

527 3. Applications of the background and telemetry combination approaches to the
 528 analysis of two periods when the storage ring was detected between the traditional outer
 529 and inner belts resulted in the agreement of the obtained L-shells and widths of storage
 530 with those of the previous studies. The presented approaches are able to provide valu-
 531 able information on the radiation belts features when no other particle measurements
 532 are available but only background counts.

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 536 Double Star are available at the Cluster Science Archive (CSA) ([https://csa.esac.esa.int/csa-
 537 web/](https://csa.esac.esa.int/csa-web/)). CODIF telemetry data are all available at CSA (CIS ion spectrometer, Ancil-
 538 lary, Monitor Rates, product *CP_CIS-CODIF_HS_RATES*, parameter SF for CODIF
 539 Start Rate, SR for Stop Rate and NVE for Non-Valid Event Rate), processed by IFSI-
 540 IDL software which has been developed by Giuseppe Pallocchia, of IAPS - INAF (Rome,
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