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7	Article type : Original Article
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10	Systemic antifungal therapy with isavuconazonium sulfate or other agents in adults
11	with invasive mucormycosis or invasive aspergillosis (non-fumigatus): A multicentre,
12	non-interventional registry study
13	Running title: Isavuconazole in mucormycosis/aspergillosis
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This is the author manuscript accepted for publication and has undergone full peer review but has not been through the copyediting, typesetting, pagination and proofreading process, which may lead to differences between this version and the <u>Version of Record</u>. Please cite this article as <u>doi: 10.1111/MYC.13412</u>

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## **39 ACKNOWLEDGEMENTS**

40 The authors acknowledge support from a number of colleagues who assisted with the study,

41 including Beth Plummer, Debbie Plummer, Dana Hargis and Malissia VanHook from the

42 University of Kentucky ID Clinical Research team, and Karen Vigil, MD from the University

43 of Texas in Houston.

44 These data were accepted as an oral presentation to the 30th European Congress of Clinical
45 Microbiology & Infectious Disease (ECCMID), Paris, France, 18–21 April 2020.

# 46 FUNDING

This work was initiated and supported by Astellas Pharma Global Development, Inc. Medical
writing support was provided by Michael Lappin, PhD, Sarah Whitfield, PhD, and Iona
Easthope, DPhil, for Cello Health MedErgy, funded by Astellas Pharma Inc.

#### 50 TRANSPARENCY DECLARATIONS

### 51 **Potential conflicts of interest**

- 52 G.R.T. has received research grants and consultancy fees from Astellas, Amplyx, Cidara and
- 53 Mayne, and was on the Data Review Committee (DRC) for Pfizer.
- 54 M.H.M. has received investigator-initiated research grants and consultancy fees from Astellas55 and Scynexis.
- 56 M.H.N. has received research grants from the National Institutes of Health, grants for
- 57 investigator-initiated research from Astellas, Scynexis, Mayne, Pulmocide, T2Biosystems
- 58 and Cidara, and consulting fees from Scynexis, Mayne and Pulmocide.
- L.O-Z. has received grants from Astellas, Cidara, Scynexis and Amplyx, and personal fees
  from Astellas, Pfizer, Therapeutics Inc., Viracor, Octapharma, Mayne, F2G, Gilead and
  Cidara.
- J-A.H.Y. has received research support for the University of Minnesota from Cidara andScynexis, and grants from Astellas and Mayne.
- 64 C.F. has received research support from Karius.
- 65 N.M.C. has received a research grant from Astellas Pharma.
- A.S. has received a grant from Astellas Pharma Global Development, Inc., and personal feesfrom Scynexis.
- 68 L.K. and R.C-D. are employees of Astellas Pharma Global Development, Inc.
- D.P.K. has received research support from Astellas and honoraria from Merck, Astellas,
  Gilead, Cidaras, Pulmocide, and Mayne Pharmaceuticals. DPK is member of the Data
  Review Committee of Cidaras, Inc and AbbVie, Inc. He acknowledges the Robert C Hickey
  Chair endowment.
- 73 J.G-D. and R.N.G. have none to declare.
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- 75

# 76 Ethics

The Institutional Review Boards from each centre approved the study before it was conducted. The study was conducted in accordance with Good Clinical Practice (GCP), the International Council for Harmonisation (ICH) guidelines, applicable regulations and guidelines governing clinical study conduct and the ethical principles of the Declaration of Helsinki.

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83 Word count (max. 3500): 3585 (after peer-review revisions)

### 84 ABSTRACT

#### 85 [248/250 words]

Background: Isavuconazole, administered as isavuconazonium sulfate (ISAVUSULF), is a
broad-spectrum triazole agent for the treatment of invasive fungal disease. In phase 3 studies,
ISAVUSULF showed comparable efficacy to voriconazole and amphotericin B for the
treatment of invasive aspergillosis (IA) and invasive mucormycosis (IM), respectively.

**Objectives**: To determine all-cause mortality and safety outcomes among adults with IM
and/or IA non-*fumigatus* (nf) treated with ISAVUSULF or other antifungal therapies (AFT).

Patients and methods: This multicentre, non-interventional registry enrolled patients aged
≥18 years with IM or IA-nf who received systemic AFT January 2016–November 2018.
Patients received primary ISAVUSULF, non-primary ISAVUSULF, or other AFT, as
monotherapy or combination therapy. The primary endpoint was all-cause mortality at Days
42 and 84; safety outcomes were adverse drug reactions (ADRs) to ISAVUSULF.

97 Results: Of 204 patients enrolled, 74 received primary ISAVUSULF, 30 non-primary 98 ISAVUSULF and 100 other AFT. All-cause mortality through Day 42 was numerically lower 99 in the non-primary ISAVUSULF group than in the primary ISAVUSULF and other AFT 100 groups, for patients with IM (20% vs 33.3% and 41.3%, respectively) or IA-nf (0% vs 14.8% 101 and 17.8%). All-cause mortality tended to be lower with combination therapy than 102 monotherapy, except for patients with IM receiving primary ISAVUSULF. Of 111 patients receiving ISAVUSULF, 14 (12.6%) reported ADRs, of whom 3 (2.7%) developed serious 103 104 ADRs. There were no drug-related deaths.

105 Conclusions: This study supports the effectiveness and tolerability of ISAVUSULF in
106 clinical practice. Further research is required to confirm the value of ISAVUSULF
107 combination therapy over monotherapy.

108 Keywords: antifungals, azoles, invasive aspergillosis, invasive mucormycosis,
109 isavuconazonium sulfate, non-*fumigatus Aspergillus*

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- 111

#### 112 INTRODUCTION

Aspergillus fumigatus is the most common cause of invasive mould infections;<sup>1</sup> however, other opportunistic moulds including *Aspergillus* non-*fumigatus* spp. and the Mucorales have emerged as significant causes of human infection.<sup>2–4</sup> These organisms can potentially lead to severe disease, including dissemination or invasion of contiguous sites.<sup>5,6</sup> Limited biomarkers are available for the diagnosis of non-*Aspergillus* moulds and these organisms may exhibit resistance to multiple antifungal therapies (AFT).<sup>4,7–9</sup>

The evaluation of antifungal efficacy in the treatment of these less common opportunistic mould infections is challenging. A number of factors, including the degree of host immunosuppression, time to diagnosis, penetration of AFT at the site of infection, and availability of adjunctive therapy (e.g. surgical intervention), influence clinical outcomes. The correlation between *in vitro* susceptibility and observed *in vivo* responses to AFT is thus difficult to demonstrate,<sup>7</sup> and robust evidence on their clinical efficacy is scarce in patients with these less common infections.<sup>7,10,11</sup>

Isavuconazonium sulfate (ISAVUSULF) is the prodrug of isavuconazole, a broad-126 spectrum mould-active triazole AFT.<sup>12</sup> ISAVUSULF has shown similar activity to 127 128 amphotericin B in adults with invasive mucormycosis (IM) in a single-arm, open-label trial (VITAL study) with a case-control analysis.<sup>13</sup> ISAVUSULF was also non-inferior to 129 130 voriconazole in a phase 3, double-blind study of adults with proven, probable or possible invasive aspergillosis (IA) and other mould infections (the SECURE study).<sup>14</sup> On the basis of 131 132 these data, oral or intravenous ISAVUSULF has been approved for the treatment of adults with IM or IA.<sup>15,16</sup> However, the use of ISAVUSULF for prophylaxis is considered off label 133 for high-risk patients and is supported by minimal data.<sup>17</sup> 134

Despite the increasing incidence of IM and non-*fumigatus* IA (IA-nf), the availability of clinical outcomes data remains limited.<sup>18,19</sup> We report the all-cause mortality and safety outcomes from a U.S. registry study (INQUIRE) of adults with IM or IA-nf who were treated with ISAVUSULF or other systemic AFT.

### **139 PATIENTS AND METHODS**

140 Ethics

141 The Institutional Review Board (IRB) from each centre approved the study before it was conducted. The study was conducted in accordance with Good Clinical Practice, the 142 143 International Council for Harmonisation guidelines, applicable regulations and guidelines 144 governing clinical study conduct and the ethical principles of the Declaration of Helsinki. 145 Patients, or their guardian/legal representative, signed informed consent forms (ICFs) prior to participation, unless consent requirements were waived by the local ethics committee (EC). 146 147 For the majority of cases of retrospective data collection (from patients who had already completed treatment), IRB/EC waivers to ICFs were granted. 148

# 149 Study design and participants

150 This was a multicentre, non-interventional registry study. The aim was to assess data 151 from 33 U.S. centres for patients treated with systemic AFT for IM or IA-nf between January 152 2016 and November 2018. The study was to include patients aged  $\geq 18$  years at the time of systemic AFT with proven or probable IM or IA-nf according to the 2008 European 153 Organisation for Research and Treatment of Cancer Invasive Fungal Infections Cooperative 154 Group/Mycoses Study Group (EORTC/MSG) criteria.<sup>20</sup> Those with multiple fungal 155 pathogens were eligible for inclusion into the study cohort; for these patients, the hierarchy 156 157 for categorisation was IM then IA if both were present. Patients were excluded from the study 158 if they received surgical treatment only for IM or IA-nf, had participated previously in this 159 registry, or had received an investigational drug for an invasive fungal disease (IFD) within 160 30 days of starting treatment with an approved AFT. There were no exclusions based on 161 liver/renal dysfunction. The study-defined data collection period began on the first date of 162 systemic AFT initiation through Day 84, or for 84 days after ISAVUSULF initiation, 163 whichever was longer. Data could be collected prospectively or retrospectively, provided that 164 the patient had a known vital status at Day 42.

### **165** Treatment procedures

Enrolled patients were treated by their physicians as per standard clinical practice. For the analysis, participants were split into three groups according to systemic AFT use: primary ISAVUSULF, non-primary ISAVUSULF, and other AFT. The 'primary ISAVUSULF' group received ISAVUSULF as the primary AFT for IM or IA, either as monotherapy, or in combination or sequentially with another systemic AFT. The 'non-primary ISAVUSULF' group received primary therapy with a non-ISAVUSULF systemic AFT (as monotherapy, or 172 in combination or sequentially with another systemic AFT) and then received  $\geq 1$  dose of 173 ISAVUSULF due to refractory infection, AFT intolerance or oral step-down/maintenance 174 during treatment for the same IM or IA infection. The 'other AFT' group received non-ISAVUSULF systemic AFT (as monotherapy, or in combination or sequentially with 175 additional AFT) as primary therapy and did not receive ISAVUSULF at a later date for their 176 177 infection. Individuals who received ISAVUSULF as prophylaxis or empirical therapy for <4 178 days, with no additional ISAVUSULF treatment administered, were included in the other 179 AFT group.

Primary therapy was defined as the initial AFT administered to treat IFD. Therapy was considered 'primary' if the patient received ≤4 cumulative days of alternative mouldactive therapy within 7 days prior to initiation of the systemic AFT. If a patient received only one mould-active therapy and died within 7 days of initiating treatment, that therapy was considered primary. Patients could receive multiple non-primary therapies concomitantly or sequentially.

186 Non-primary therapy was classified according to the reason for treatment as refractory infection, AFT intolerance, or oral step-down/maintenance. Refractory infectionwas defined 187 as the need for additional or alternative systemic AFT as a result of disease progression (i.e., 188 189 worsening or new clinical signs or symptoms or radiological findings attributable to IFD as a result of non-response to primary mould-active therapy).<sup>21</sup> Intolerance was defined as 190 switching to an alternative systemic AFT due to a patient's inability to tolerate previous 191 192 mould-active therapy. Oral step-down/maintenance was defined as oral systemic AFT received after a patient had received >4 cumulative days of mould-active systemic 193 194 intravenous AFT, unless the reason for oral step-down was classified as refractory infection 195 or intolerance.

#### **196 Outcomes/endpoints**

197 The primary endpoint was all-cause mortality at Days 42 and 84 (Day 84 rates were 198 cumulative). For patients who received ≥1 dose of ISAVUSULF, Day 1 was the first day of 199 dosing of ISAVUSULF as primary, refractory, intolerant, or oral step-down/maintenance 200 treatment. For patients who did not receive ISAVUSULF, Day 1 was the first day of dosing 201 of non-ISAVUSULF primary systemic AFT. Adverse events suspected by the investigator to be possibly or probably causally related to ISAVUSULF were summarised by group as all adverse drug reactions (ADRs); serious ADRs (defined as an ADR that resulted in death, was life threatening, caused persistent or significant disability/incapacity, a congenital abnormality or a birth defect, led to hospitalisation or prolongation of hospitalisation, or was another medically important event); or ADRs leading to permanent treatment discontinuation.

Due to the retrospective nature of this study, the location of IFD was determined on clinical grounds only, as there was no autopsy or systemic imaging to document the site of disease in these registry participants.

## 211 Statistical analyses

A sample size calculation was not performed as this was a non-interventional study for rare diseases that was not designed to make statistical inference. The planned minimum enrolment for ISAVUSULF-treated patients was 35 patients with IM and 30 patients with IAnf, with  $\geq$ 50% for each infection type expected to receive ISAVUSULF as primary therapy. To achieve this and based on the assumption that approximately one-third of the patients enrolled would have received ISAVUSULF, it was estimated that up to 195 patients would need to participate.

The full analysis set (FAS) comprised all patients who received  $\geq 1$  dose of systemic AFT for IM or IA. All-cause mortality and demographic and baseline characteristics were assessed in the FAS. The safety analysis set (SAF) comprised all patients who received  $\geq 1$ dose of ISAVUSULF and was used to describe safety findings. Continuous variables were summarised by group as means with standard deviation (SD). Categorical data were summarised by group as frequencies with percentages.

#### 225 **RESULTS**

## 226 Patient disposition and baseline characteristics

Patient disposition is shown in Fig. 1. In total, 204 patients were enrolled and
included in the FAS (104 ISAVUSULF and 100 other AFT). Of those receiving
ISAVUSULF, 74 (71.2%) patients received ISAVUSULF as primary AFT (24 monotherapy,
50 combination therapy) and 30 (28.8%) as non-primary AFT (11 monotherapy, 19

231 combination therapy). Of the 30 patients receiving non-primary AFT, five were intolerant to 232 prior therapy, 12 were refractory to prior therapy, and 13 received ISAVUSULF as oral step-233 down/maintenance therapy. A list of any concurrent AFT is provided in Table 1. The SAF 234 included all the enrolled patients in the primary and non-primary ISAVUSULF groups (n = 235 104), and those from the other AFT group who received ISAVUSULF as prophylaxis, 236 empirical therapy or for <4 days (n = 7). One patient with an unknown pathogen was 237 included in the overall study data; this patient was not included in the IM or IA subgroups. 238 Three patients with only Aspergillus fumigatus as the causative organism were enrolled and 239 included in the IA group, despite not meeting the entry criteria for the study; the IA group 240 also included five patients with non-speciated Aspergillus. The IA-nf group included only 241 patients with Aspergillus non-fumigatus species.

There were 108 patients with IM in the FAS. The mean age ( $\pm$  SD) of these patients was 54.0 ( $\pm$  15.3) years and 63 (58.3%) were male (Table 2). Baseline characteristics were similar across the primary ISAVUSULF, non-primary ISAVUSULF, and other AFT groups with the exception of incidence of non-haematological malignancies, which was highest in the primary ISAVUSULF group. Four patients with IM had diabetic ketoacidosis at baseline: two in the non-primary ISAVUSULF group and two in the other AFT group.

There were 95 patients with IA in the FAS. The mean age ( $\pm$  SD) of these patients was 58.3 ( $\pm$  14.6) years and 52 (54.7%) were male (Table 2). The primary ISAVUSULF group had a higher proportion of patients with allogeneic bone marrow transplant, neutropaenia, and haematological malignancy, compared with the non-primary ISAVUSULF or other AFT groups.

This study included a high proportion of significantly immunosuppressed patients (Table 2). Overall, 56.5% and 67.4% of patients in the IM and IA groups, respectively, received corticosteroids, and 37.0% of IM and 52.6% of IA patients received T-cell immunosuppressive agents.

## 257 Pathogens causing IFD and sites of infection

Overall, 176 of 204 (86.3%) patients in the FAS had a single pathogen causing IFD (Table 3). The proportions of patients with only a Mucorales species (88/204 [43.1%]) or only an IA-nf species (80/204 [39.2%]) were similar. IM caused by a single pathogen was more common than IA-nf in the non-primary ISAVUSULF group (18/30 [60.0%] vs 8/30 [26.7%]). Mixed fungal pathogens accounted for 27 (13.2%) of the IFD in the FAS. In the
primary ISAVUSULF group, mixed pathogens occurred only in patients with Mucorales spp.
(6/74 [8.1%]),and were more common than *Aspergillus* mixed infections in the other AFT
group (12.0% vs 5.0% of patients, respectively).

Mucorales species included *Cunninghamella* spp., *Lichtheimia corymbifera*, *Rhizopus*spp., *Rhizomucor* spp., *Syncephalastrum* spp., *Apophysomyces elegans*, *Apophysomyces variabilias* and Mucor spp. IA-nf species included *Aspergillus calidoustus*, *A. clavatus*, *A. flavus*, *A. glaucus*, *A. lentulus*, *A. nidulans*, *A. niger*, *A. ochraceus*, *A. tamarii*, *A. terreus*, *A. ustus* and *A. versicolor*. Susceptibility data were not available for the majority of organisms.

271 In the INQUIRE registry, 46.1% of patients had extrapulmonary IFD, 29.4% had 272 pulmonary IFD and 23.0% had disseminated IFD (defined as IFD in >1 non-contiguous site 273 or in the blood) (Table 4). Overall, the proportions of patients with pulmonary, 274 extrapulmonary, and disseminated IFD were similar across the primary ISAVUSULF, non-275 primary ISAVUSULF, and other AFT groups. IM was more common than IA-nf in 276 extrapulmonary IFD (26.5% vs 11.8%) and IA-nf was more common than IM in pulmonary 277 IFD (19.1% vs 7.4%). IM and IA-nf accounted for similar proportions of disseminated IFD (7.8% vs 8.3%). 278

## 279 Treatment

Of the 108 patients with single pathogen or mixed pathogen IM, 68 (63.0%) underwent surgical treatment for IFD (primary ISAVUSULF n = 26, non-primary ISAVUSULF n = 15 and other AFT n = 27). Of the 87 patients with single pathogen or mixed pathogen IA-nf, 22 (25.3%) underwent surgical treatment for IFD (primary ISAVUSULF n = 7, non-primary ISAVUSULF n = 2 and other AFT n = 13).

In patients with IM, the mean (SD) duration of systemic AFT was 66.0 (83.4) days with primary ISAVUSULF, 77.6 (41.9) days with non-primary ISAVUSULF and 49.9 (32.9) days with other AFT. In patients with IA (including patients with *A. fumigatus* only and patients with non-speciated *Aspergillus*), the mean (SD) duration of systemic AFT was 65.1 (43.5) days with primary ISAVUSULF, 85.0 (28.6) days with non-primary ISAVUSULF and 57.0 (31.4) days with other AFT.

## **291 All-cause mortality**

All-cause mortality rates through Day 42 for patients with IM in the primary 292 293 ISAVUSULF, non-primary ISAVUSULF and other AFT groups were 33.3%, 20.0% and 294 41.3%, respectively (Table 5). All-cause mortality rates through Day 42 for patients with IA-295 nf in the primary ISAVUSULF, non-primary ISAVUSULF and other AFT groups were 296 14.8%, 0% and 17.8%, respectively (Table 5). For patients with either IM and/or IA receiving 297 non-primary ISAVUSULF, the mortality rate was higher among patients with refractory IFI (5/12, 41.7%) than those who were intolerant to previous treatment (0/5, 0%) or who were 298 299 receiving oral step-down or maintenance therapy (1/13, 7.7%) (Table 5).

300 Cumulative all-cause mortality rates through Day 84 for patients with IM were 40.5% 301 in the primary ISAVUSULF group, 25.0% in the non-primary ISAVUSULF group, and 302 50.0% in the other AFT group (Table 5). Cumulative all-cause mortality rates through Day 84 303 for patients with IA-nf were similar in the primary ISAVUSULF and other AFT groups 304 (29.6% and 28.9%, respectively), and lower in the non-primary ISAVUSULF group (12.5%) 305 (Table 5). However, patients with either IM or IA in the non-primary ISAVUSULF group 306 who were receiving ISAVSULF as oral step-down or maintenance therapy had lower 307 mortality rates (1/13, 7.7%) than those who were refractory (6/12, 50.0%) or intolerant (1/5, -1)308 20.0%) to prior treatment (Table 5).

For patients with IM, all-cause mortality rates through Day 42 were similar between primary ISAVUSULF monotherapy and primary ISAVUSULF combination therapy (Table 6); however, for the other AFT groups, all-cause mortality through Day 42 was 8% lower among patients receiving combination therapy versus monotherapy (Table 6).

For patients with IA, those receiving primary ISAVUSULF combination therapy had a 28.2% lower rate of all-cause mortality through Day 42 than those receiving primary ISAVUSULF monotherapy, while those receiving other AFT combination therapy had a 10.9% lower mortality rate than those receiving other AFT monotherapy (Table 6).

By Day 84, the differences in all-cause mortality between monotherapy and combination therapy among patients with IM were 5% and 4.9% for the primary ISAVUSULF and other AFT groups, respectively (Table 6). For patients with IA, differences in all-cause mortality between monotherapy and combination therapy were 4.7% and 11.1% for the primary ISAVUSULF and other AFT groups, respectively (Table 6).

### 322 Adverse events

Fourteen of 111 ISAVUSULF-treated patients experienced ADRs (primary 323 ISAVUSULF: 7/74 [9.5%]; non-primary ISAVUSULF: 7/30 [23.3%]; and ISAVUSULF for 324 325 prophylaxis, empirical therapy, for <4 days: 0/7 [0%]) (Table 7). Most ADRs were reported 326 by one patient per group. ADRs reported by >1 patient per group were nausea and vomiting 327 (primary ISAVUSULF 2/74 [2.7%] and non-primary ISAVUSULF 1/30 [3.3%] patients for 328 each ADR), and liver function test increased (primary ISAVUSULF 2/74 [2.7%]). There were no drug-related deaths. ADRs leading to ISAVUSULF discontinuation were 329 experienced by 4/74 (5.4%) patients in the primary ISAVUSULF group and 3/30 (10.0%) 330 331 patients in the non-primary ISAVUSULF group.

332 Three (2.7%) patients developed serious ADRs. In the primary ISAVUSULF group, 333 one patient experienced non-cardiac chest pain and increases in aspartate aminotransferase 334 and alanine aminotransferase, which were all moderate in severity and considered probably 335 related to ISAVUSULF. Treatment with ISAVUSULF was permanently discontinued based 336 on the chest pain. All serious ADRs resolved for this patient. In the non-primary 337 ISAVUSULF group, one patient experienced leukopaenia of moderate intensity that resolved, and one patient experienced hypoaesthesia and paraesthesia of severe intensity that did not 338 339 resolve; all three events were considered to be probably related to ISAVUSULF and led to permanent discontinuation of ISAVUSULF treatment. 340

#### 341 DISCUSSION

This U.S. registry study analyses outcomes following the use of currently available AFT to treat IM or IA-nf in clinical practice. Our data expand the experience of ISAVUSULF for IM or IA-nf based on the VITAL and SECURE trials,<sup>13,14</sup> and is the largest assessment of treatment and outcomes for these uncommon infections. The registry included seriously ill patients with high rates of renal impairment, haematological malignancies, neutropaenia, and disseminated infections.

The outcomes of this study were all-cause mortality and the frequency of ADRs. Allcause mortality is an objective outcome, but effects of AFT on mortality rates are difficult to assess in seriously ill patients with comorbid conditions. In a prior study of patients with haematological malignancies, solid organ transplantation, or underlying immunosuppression, 352 deaths during the first 6 weeks of treatment were considered to be the best indicator of AFT 353 efficacy, while deaths occurring after 6 weeks were presumed secondary to the patient's 354 underlying disease and its treatment rather than to IFD.<sup>22</sup> For patients enrolled in our registry, we observed a lower all-cause mortality rate in the non-primary ISAVUSULF group (0–20%) 355 356 than in the primary ISAVUSULF group (15-33%) through Day 42. However, almost half of 357 the patients in this group were receiving ISAVUSULF as oral step-down or maintenance 358 therapy, while the remainder received ISAVUSULF to treat refractory infection or due to 359 intolerance to other AFT. Once the reason for non-primary treatment was accounted for, it 360 could be seen that mortality rates were lower among patients receiving oral stepdown/maintenance therapy and those who were intolerant to prior treatment, compared with 361 362 patients with refractory infection – in this last group, mortality rates were higher than rates 363 with primary ISAVUSULF or other AFT. Our results also concur with those of a previous publication that showed that patients intolerant to prior AFT have a better prognosis than 364 patients with infections refractory to prior AFT.<sup>23</sup> Possible confounding factors in our study 365 may be the composition of the non-primary ISAVUSULF group in terms of underlying 366 367 conditions and the effect of combination therapy in this treatment group. However, without 368 randomization or case matching, it is difficult to control for these factors. Selection bias may have also occurred in this registry study and may impact the generalizability of our findings 369 370 to other patients with the same mould infections.

ADR rates were low in our registry and no unexpected safety issues were identified. There were no ISAVUSULF-related deaths, while serious ADRs were reported in 3 (2.7%) patients receiving ISAVUSULF. Overall, 12.6% of patients in this study experienced ADRs that were considered related to ISAVUSULF, versus 42% in SECURE, while ADRs leading to permanent discontinuation affected 6.3% in this study and 8.0% in SECURE.<sup>14</sup> The VITAL study reported treatment-emergent adverse events rather than ADR, so it is not possible to compare those data with this study.<sup>13</sup>

Despite the similarities in the efficacy and safety of ISAVUSULF compared with other studies, we would caution against uncritical comparisons between a registry study focusing on IM and IA-nf, and the results of clinical studies in patients with IFD, such as the SECURE study.<sup>14</sup> SECURE was a phase 3 non-inferiority study that assessed the efficacy and safety of isavuconazole versus voriconazole in patients with IFD caused by *Aspergillus* spp. or other filamentous fungi. In both SECURE and our registry study, IFD was diagnosed using EORTC/MSG criteria; however, inclusion in our registry was restricted to those with known

causative *Aspergillus* spp, primarily focused on non-*fumigatus* spp. In contrast, most cases of
 IA in the SECURE study were diagnosed based on cytology and serum galactomannan, with
 very few patients having culture-documented IA and even fewer having IA-nf.<sup>14</sup>
 Furthermore, unlike our study, patients with hepatic or renal dysfunction were excluded from
 the SECURE study.

It is of interest that better outcomes were seen in patients in the combination therapy treatment groups. Prior studies have similarly suggested improved outcomes from the treatment of mould infections with more than one AFT.<sup>24</sup> However, definitive statements regarding the potential superiority of combination therapy cannot be made due to the lack of proven benefit in prospective clinical trials, and the limitations of prior observational studies that have not been reproducible.<sup>19,25,26</sup>

396 As with any registry dataset, there are limitations that need to be taken into consideration when interpreting the findings. In this registry, treatment decisions, sample 397 398 collection and laboratory testing were at the discretion of the treating clinical team. These 399 factors have the potential to introduce bias. Controlling for baseline factors that may 400 contribute to patient outcomes cannot be performed in a single-arm retrospective registry 401 study. For example, unresolved neutropaenia is associated with all-cause mortality and may limit the effects of AFT, contributing to poor patient outcomes.<sup>27</sup> Only one-third of patients in 402 our study were reported to have neutropaenia, and the relatively low number may have 403 404 contributed to a higher rate of favourable outcomes. As these data are from a non-405 interventional registry without any statistical testing, any hypotheses suggested by these data 406 would need to be tested in a clinical trial setting. Nevertheless, this study presents outcomes 407 from the largest registry of 'real-world' data to date.

408 In conclusion, our study demonstrates the efficacy and tolerability of ISAVUSULF in clinical practice, supporting the results from clinical trials.<sup>13,14</sup> ISAVUSULF combination 409 410 therapy was generally associated with lower rates of all-cause mortality than ISAVUSULF monotherapy; however, the number of events within these subgroups was relatively small, 411 412 and further studies are required to confirm the value of combination therapy in the setting of IM and IA-nf. Additionally, in conjunction with data from other studies,<sup>13,14</sup> ISAVUSULF 413 414 demonstrated similar efficacy to other AFT and may be better tolerated compared with some 415 other AFT.

416

## 419 DATA SHARING

420 Researchers may request access to anonymized participant level data, trial level data and 421 protocols from Astellas-sponsored clinical trials at <u>www.clinicalstudydatarequest.com</u> For 422 the Astellas criteria on data sharing see: https://clinicalstudydatarequest.com/Study-

423 Sponsors/Study-Sponsors-Astellas.aspx.

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# TABLES

	Primary	Non-primary	Other AFT as
	<b>ISAVUSULF</b> with	ISAVUSULF with	combination therapy
	other AFT	other AFT	
IM	N = 33	N = 11	N = 31
Amphotericin B			
Conventional	2 (6.1)	2 (18.2)	4 (12.9)
Lipid complex (Abelcet <sup>®</sup> )	1 (3.0)	0	0
Liposomal (AmBisome®)	9 (27.3)	3 (27.3)	7 (22.6)
Anidulafungin	1 (3.0)	0	0
Caspofungin	0	0	2 (6.5)
Isavuconazonium sulfate	13 (39.4) <sup>†</sup>	10 (90.9)†	0
Micafungin	2 (6.1)	4 (36.4)	5 (16.1)
Posaconazole	8 (24.2)	6 (54.5)	14 (45.2)
Terbinafine	0	0	1 (3.2)
Voriconazole	4 (12.1)	2 (18.2)	4 (12.9)

# Table 1. Second systemic AFT received by patients on combined treatment (FAS)

IA‡	N = 17	N = 8	N = 38
Amphotericin B			
Conventional	1 (5.9)	2 (25.0)	2 (5.3)
Lipid complex (Abelcet)	0	0	1 (2.6)
Liposomal (AmBisome)	3 (17.6)	3 (37.5)	4 (10.5)
Anidulafungin	2 (11.8)	0	1 (2.6)
Caspofungin	1 (5.9)	0	4 (10.5)
Isavuconazonium sulfate	4 (23.5)†	8 (100)†	2 (5.3) <sup>§</sup>
Itraconazole	0	0	2 (5.3)
Micafungin	2 (11.8)	5 (62.5)	5 (13.2)
Posaconazole	4 (23.5)	3 (37.5)	3 (7.9)
Voriconazole	0	3 (37.5)	7 (18.4)
Unknown pathogen	N = 0	N = 0	N = 1¶
Amphotericin B			
Liposomal (AmBisome)	0	0	1 (100.0)
Posaconazole	0	0	1 (100.0)

AFT, antifungal therapy, FAS, full analysis set (all patients who received  $\geq 1$  dose of systemic AFT for IM or IA); IA, invasive aspergillosis; IM, invasive mucormycosis; ISAVUSULF, isavuconazonium sulfate. Patients taking multiple non-primary therapies are counted once for each non-

primary therapy. Other systemic AFT may have been taken either concomitantly or sequentially with the initial primary therapy. Values are n (%). <sup>†</sup>Patients in the primary ISAVUSULF and non-primary ISAVUSULF groups could receive ISAVUSULF as empirical therapy, oral stepdown/maintenance therapy, or to treat infection refractory to prior AFT, in addition to their primary ISAVUSULF course. <sup>‡</sup>Includes two patients with non-speciated IA; excludes patients with only *Aspergillus fumigatus* as the causative organism. <sup>§</sup>Two patients with IA in the 'Other AFT' group received ISAVUSULF as empirical therapy. <sup>¶</sup>One patient with an unknown pathogen received other AFT as combination therapy.

Characteristic		IM				ΙA <sup>†</sup>			Unknown
	Primary ISAVUSULF <sup>‡</sup>	Non-primary ISAVUSULF§	Other AFT¶	Overall	Primary ISAVUSULF <sup>‡</sup>	Non-primary ISAVUSULF§	Other AFT <sup>¶</sup>	Overall	Other AFT
Mean age (SD), years	(n = 42) 55.8 (14.8)	(n = 20) 54.4 (15.9)	(n = 46) 52.3 (15.6)	(n = 108) 54.0	(n = 32) 54.6 (14.7)	(n = 10) 62.8 (10.1)	(n = 53) 59.8	(n = 95) 58.3	(n = 1) 71.0 ()
Male, n (%)	26 (61.9)	14 (70.0)	23 (50.0)	(15.3) 63 (58.3)	18 (56.3)	5 (50.0)	(15.0) 29 (54.7)	(14.6) 52 (54.7)	1 (100.0)
eGFR <60 mL/min/ 1.73 m <sup>2</sup> , n (%)	16 (38.1)	4 (20.0)	14 (30.4)	34 (31.5)	15 (46.9)	6 (60.0)	17 (32.1)	38 (40.0)	1 (100.0)
Allogeneic BMT recipient, n (%)	8 (19.0)	4 (20.0)	9 (19.6)	21 (19.4)	6 (18.8)	0	5 (9.4)	11 (11.6)	0
Neutropaenia, n (%)	15 (35.7)	7 (35.0)	19 (41.3)	41 (38.0)	16 (50.0)	1 (10.0)	15 (28.3)	32 (33.7)	0
Haematological malignancy, n (%)	18 (42.9)	9 (45.0)	23 (50.0)	50 (46.3)	17 (53.1)	2 (20.0)	16 (30.2)	35 (36.8)	0
Other malignancy, n (%)	8 (19.0)	2 (10.0)	4 (8.7)	14 (13.0)	5 (15.6)	2 (20.0)	11 (20.8)	18 (18.9)	0

Characteristic		IM				$\mathbf{IA}^\dagger$			Unknown pathogen
	Primary ISAVUSULF <sup>‡</sup> (n = 42)	Non-primary ISAVUSULF <sup>§</sup> (n = 20)	Other AFT¶ (n = 46)	Overall (n = 108)	Primary ISAVUSULF <sup>‡</sup> (n = 32)	Non-primary ISAVUSULF§ (n = 10)	Other AFT <sup>¶</sup> (n = 53)	Overall (n = 95)	Other AFT (n = 1)
Use of corticosteroids, n (%)	25 (59.5)	12 (60.0)	24 (52.2)	61 (56.5)	23 (71.9)	6 (60.0)	35 (66.0)	64 (67.4)	1 (100.0)
Use of T-cell immunosuppressants, n (%)	19 (45.2)	7 (35.0)	14 (30.4)	40 (37.0)	18 (56.3)	3 (30.0)	29 (54.7)	50 (52.6)	1 (100.0)
Diabetic ketoacidosis, n (%)	0	2 (10.0)	2 (4.3)	4 (3.7)	0	0	0	0	0

AFT, antifungal therapy; BMT, bone marrow transplant; eGFR, estimated glomerular filtration rate; FAS, full analysis set (all patients who received  $\geq 1$  dose of systemic AFT for IM or IA); IA, invasive aspergillosis; ISAVUSULF, isavuconazonium sulfate; IM, invasive mucormycosis; SD, standard deviation. <sup>†</sup>Includes three patients with only *Aspergillus fumigatus* as the causative organism plus five patients with non-speciated IA. <sup>‡</sup>Patients received ISAVUSULF as primary therapy against IM or IA. <sup>§</sup>Patients received non-ISAVUSULF systemic AFT as primary therapy and received  $\geq 1$  dose of ISAVUSULF against IM or IA after primary AFT due to refractory infection, AFT intolerance or oral step-down/maintenance. <sup>¶</sup>Patients received non-ISAVUSULF systemic AFT as primary therapy and received non-ISAVUSULF against IM or IA after primary therapy and received no ISAVUSULF against IM or IA after primary therapy and received no ISAVUSULF against IM or IA after primary therapy and received no ISAVUSULF against IM or IA after primary therapy and received non-ISAVUSULF against IM or IA after primary therapy and received no ISAVUSULF against IM or IA after primary AFT due to refractory infection, AFT intolerance or oral step-down/maintenance; patients who received ISAVUSULF as prophylaxis or empirical therapy for <4 days were included in this group.

# Table 3. Pathogens causing invasive fungal disease (FAS)

	Primary	Non-primary		
Pathogen causing IFD, n (%)	<b>ISAVUSULF</b> <sup>†</sup>	ISAVUSULF <sup>‡</sup>	Other AFT§	Overall
	(n = 74)	(n = 30)	(n = 100)	(n = 204)
Single pathogen	68 (91.9)	26 (86.7)	82 (82.0)	176 (86.3)
Mucorales spp. only	36 (48.6)	18 (60.0)	34 (34.0)	88 (43.1)
Aspergillus non-fumigatus spp. only	27 (36.5)	8 (26.7)	45 (45.0)	80 (39.2)
Aspergillus fumigatus only <sup>¶</sup>	1 (1.4)	0	2 (2.0)	3 (1.5)
Aspergillus non-speciated	4 (5.4)	0	1 (1.0)	5 (2.5)
Mixed pathogens	6 (8.1)	4 (13.3)	17 (17.0)	27 (13.2)
Mucorales with Aspergillus non-	6 (8.1)	2 (6.7)	12 (12.0)	20 (9.8)
fumigatus				
Aspergillus non-fumigatus with	0	2 (6.7)	5 (5.0)	7 (3.4)
another organism				
Unknown pathogen	0	0	1 (1.0)	1 (0.5)

AFT, antifungal therapy; IA, invasive aspergillosis; FAS, full analysis set (all patients who received  $\geq$ 1 dose of systemic AFT for IM or IA); IFD, invasive fungal disease; IM, invasive mucormycosis; ISAVUSULF, isavuconazonium sulfate. For mixed pathogens, the hierarchy for categorisation was IM then IA if both were present. †Patients received ISAVUSULF as primary therapy against IM or IA. ‡Patients received non-ISAVUSULF systemic AFT as primary therapy and received  $\geq$ 1 dose of ISAVUSULF against IM or IA after primary AFT due to refractory infection, AFT intolerance or oral step-down/maintenance. <sup>§</sup>Patients received non-ISAVUSULF systemic AFT as primary AFT due to refractory infection, AFT intolerance or oral step-down/maintenance or oral step-down/maintenance; patients who received ISAVUSULF as prophylaxis or empirical therapy for <4 days were included in this group. <sup>¶</sup>Three patients with only *Aspergillus fumigatus* as the causative organism were enrolled and included in the registry, despite not meeting the entry criteria for the study.

# Table 4. Site of infection (FAS)

	Primary	Non-primary		
Site/pathogen causing IFD, n	<b>ISAVUSULF</b> <sup>†</sup>	ISAVUSULF <sup>‡</sup>	Other AFT§	Overall
(%)	(n = 74)	(n = 30)	(n = 100)	(n = 204)
Pulmonary	27 (36.5)	6 (20.0)	27 (27.0)	60 (29.4)
Single pathogen				
Mucorales spp. only	9 (12.2)	3 (10.0)	3 (3.0)	15 (7.4)
<i>Aspergillus</i> non <i>-fumigatus</i> spp. only	14 (18.9)	3 (10.0)	22 (22.0)	39 (19.1)
Mixed fungal pathogens	2 (2.7)	0	2 (2.0)	4 (2.0)
Disseminated	19 (25.7)	5 (16.7)	23 (23.0)	47 (23.0)
Single pathogen				
Mucorales spp. only	5 (6.8)	1 (3.3)	10 (10.0)	16 (7.8)
<i>Aspergillus</i> non <i>-fumigatus</i> spp. only	7 (9.5)	2 (6.7)	8 (8.0)	17 (8.3)
Mixed fungal pathogens	4 (5.4)	2 (6.7)	4 (4.0)	10 (4.9)
Unknown pathogen	0	0	1 (1.0)	1 (0.5)
Extrapulmonary	27 (36.5)	17 (56.7)	50 (50.0)	94 (46.1)
Single pathogen				
Mucorales spp. only	21 (28.4)	12 (40.0)	21 (21.0)	54 (26.5)
<i>Aspergillus</i> non <i>-fumigatus</i> spp. only	6 (8.1)	3 (10.0)	15 (15.0)	24 (11.8)
Mixed fungal pathogens	0	2 (6.7)	11 (11.0)	13 (6.4)
Site unknown	1 (1.4)	2 (6.7)	0	3 (1.5)

AFT, antifungal therapy; FAS, full analysis set (all patients who received  $\geq 1$  dose of systemic AFT for IM or IA); IA, invasive aspergillosis; IFD, invasive fungal disease; IM, invasive mucormycosis; ISAVUSULF, isavuconazonium sulfate. Data include three patients with only *Aspergillus fumigatus* as the causative organism. <sup>†</sup>Patients received ISAVUSULF as primary

therapy against IM or IA. <sup>‡</sup>Patients received non-ISAVUSULF systemic AFT as primary therapy and received  $\geq 1$  dose of ISAVUSULF against IM or IA after primary AFT due to refractory infection, AFT intolerance or oral step-down/maintenance. <sup>§</sup>Patients received non-ISAVUSULF systemic AFT as primary therapy and received no ISAVUSULF against IM or IA after primary AFT due to refractory infection, AFT intolerance or oral step-down/maintenance; patients who received ISAVUSULF as prophylaxis or empirical therapy for <4 days were included in this group. <sup>¶</sup>One additional patient in the primary ISAVUSULF group had a possible central nervous system infection (based on magnetic resonance imaging finding of septic emboli), with probable pulmonary infection caused by *Lichtheimia corymbifera* and *A. fumigatus*; the patient was treated with combination therapy with ISAVUSULF and liposomal amphotericin B (AmBisome) and later died of septic shock after 14 days.

	Primary ISAVUSULF <sup>†</sup> (n = 74)		Non-primary (n	Other AFT <sup>§</sup> (n = 100)		
		Refractory infection (n = 12)	Intolerance (n = 5)	Oral step-down/ maintenance (n = 13)	Total (n = 30)	
Day 42 <sup>¶,#</sup> , no. deaths/group total (%)	22/74 (29.7)	5/12 (41.7)	0/5 (0.0)	1/13 (7.7)	6/30 (20.0)	30/100 (30.0)
IM IA <sup>††</sup> IA-nf only	14/42 (33.3) 8/32 (25.0) 4/27 (14.8)	3/7 (42.9) 2/5 (40.0) 0/3 (0.0)	0/3 (0.0) 0/2 (0.0) 0/2 (0.0)	1/10 (10.0) 0/3 (0.0) 0/3 (0.0)	4/20 (20.0) 2/10 (20.0) 0/8 (0.0)	19/46 (41.3) 10/53 (18.9) 8/45 (17.8)
Unknown pathogen Day 84 <sup>¶, #</sup> no. deaths / group total (%)	0/0 29/74 (39.2)	0/0 6/12 (50.0)	0/0 1/5 (20.0)	0/0 1/13 (7.7)	0/0 8/30 (26.7)	1/1 (100.0) 41/100 (41.0)

 Table 5. All-cause mortality by invasive fungal disease categories (FAS)

	Primary ISAVUSULF <sup>†</sup> (n = 74)			Non-primary ISAVUSULF <sup>‡</sup> (n = 30)				
		<b>Refractory</b> infection	Intolerance (n = 5)	Oral step-down/ maintenance (n =	Total (n = 30)			
		(n = 12)		13)				
IM	17/42 (40.5)	3/7 (42.9)	1/3 (33.3)	1/10 (10.0)	5/20 (25.0)	23/46 (50.0)		
$\mathrm{IA}^{\dagger\dagger}$	12/32 (37.5)	3/5 (60.0)	0/2 (0)	0/3 (0.0)	3/10 (30.0)	17/53 (32.1)		
IA-nf only	8/27 (29.6)	1/3 (33.3)	0/2 (0)	0/3 (0.0)	1/8 (12.5)	13/45 (28.9)		
Unknown pathogen	0/0	0/0	0/0	0/0	0/0	1/1 (100.0)		

AFT, antifungal therapy; FAS, full analysis set (all patients who received ≥1 dose of systemic AFT for IM or IA); IA, invasive aspergillosis; IAnf, invasive aspergillosis caused by a non-*fumigatus* species; ISAVUSULF, isavuconazonium sulfate; IM, invasive mucormycosis <sup>†</sup>Patients received ISAVUSULF as primary therapy against IM or IA. <sup>‡</sup>Patients received non-ISAVUSULF systemic AFT as primary therapy and received ≥1 dose of ISAVUSULF against IM or IA after primary AFT due to refractory infection, AFT intolerance or oral stepdown/maintenance. <sup>§</sup>Patients received non-ISAVUSULF systemic AFT as primary therapy and received no ISAVUSULF against IM or IA after primary AFT due to refractory infection, AFT intolerance or oral step-down/maintenance; patients who received ISAVUSULF as prophylaxis or empirical therapy for <4 days were included in this group. <sup>¶</sup>For the ISAVUSULF and other AFT groups, the day number is relative to the first

day of dosing of ISAVUSULF or the primary AFT; Day 84 mortality rates are cumulative. <sup>#</sup>Includes patients whose survival status was unknown. <sup>††</sup>Includes three patients with only *Aspergillus fumigatus* as the causative organism, plus five patients with non-speciated IA.

Table 6. All-cause mortality invasive fungal disease categories for monotherapy versus combination therapy (FAS)

	Primary	Primary ISAVUSULF <sup>†</sup>		Other AFT <sup>§</sup>		
	ISAVUSULF <sup>†</sup> monotherapy (n = 24)	combination therapy (n = 50)	Primary difference <sup>‡</sup>	Other AFT <sup>§</sup> monotherapy (n = 30)	combination therapy (n = 70)	Other AFT difference <sup>‡</sup>
Day 42 <sup>¶, #</sup> , no. deaths/group total (%)	9/24 (37.5)	13/50 (26.0)	11.5%	11/30 (36.7)	19/70 (27.1)	9.6%
IM	3/9 (33.3)	11/33 (33.3)	0.0%	7/15 (46.7)	12/31 (38.7)	8.0%
$\mathrm{IA}^{\dagger\dagger}$	6/15 (40.0%)	2/17 (11.8)	28.2%	4/15 (26.7)	6/38 (15.8)	10.9%
Day 84 <sup>¶, #</sup> , no. deaths/group total (%)	10/24 (41.7)	19/50 (38.0)	3.7%	14/30 (46.7)	27/70 (38.6)	8.1%
IM	4/9 (44.4)	13/33 (39.4)	5.0%	8/15 (53.3)	15/31 (48.4)	4.9%
$\mathrm{IA}^{\dagger\dagger}$	6/15 (40.0)	6/17 (35.3)	4.7%	6/15 (40.0)	11/38 (28.9)	11.1%

AFT, antifungal therapy; FAS, full analysis set (all patients who received  $\geq 1$  dose of systemic AFT for IM or IA); IA, invasive aspergillosis; IAnf, invasive aspergillosis caused by a non-*fumigatus* species; IM, invasive mucormycosis; ISAVUSULF, isavuconazonium sulfate. <sup>†</sup>ISAVUSULF as primary monotherapy or combination therapy against IM or IA. <sup>‡</sup>Monotherapy (%) – Combination therapy (%). <sup>§</sup>Patients

received non-ISAVUSULF systemic AFT as primary mono- or combination therapy and received no ISAVUSULF against IM or IA after primary AFT due to refractory infection, AFT intolerance, or oral step-down/maintenance; patients who received ISAVUSULF as prophylaxis or empirical therapy for <4 days were included in this group. <sup>¶</sup>For the ISAVUSULF and other AFT groups, the day number is relative to the first day of dosing of ISAVUSULF or the primary AFT; Day 84 mortality rates are cumulative. <sup>#</sup>Includes patients whose survival status was unknown. <sup>††</sup>Includes three patients who had only *Aspergillus fumigatus* as the causative organism, plus five patients who had non-speciated IA.

# Table 7. Adverse drug reactions to ISAVUSULF (SAF)

	Primary	Non-primary			
ADD number of nationts (0/)	<b>ISAVUSULF</b> <sup>†</sup>	<b>ISAVUSULF</b> <sup>‡</sup>	Other AFT§	Overall	
ADR, number of patients (%)	(n = 74)	(n = 30)	(n = 7)	(n = 111)	
ADRs	7 (9.5)	7 (23.3)	0	14 (12.6)	
Fatal drug reaction	0	0	0	0	
Serious ADR	1 (1.4)	2 (6.7)	0	3 (2.7)	
ADR leading to permanent discontinuation of study drug	4 (5.4)	3 (10.0)	0	7 (6.3)	
anscentiniaation of study and					

ADR, adverse drug reaction; AFT, antifungal therapy; ISAVUSULF, isavuconazonium sulfate; SAF, safety analysis set (all patients who received  $\geq 1$  dose of ISAVUSULF).

<sup>†</sup>Patients received ISAVUSULF as primary therapy against IM or IA. Includes one patient who had only *Aspergillus fumigatus* as the causative organism. <sup>‡</sup>Patients received non-ISAVUSULF systemic AFT as primary therapy and received ≥1 dose of ISAVUSULF against IM or IA after primary AFT due to refractory infection, AFT intolerance or oral step-down/maintenance. <sup>§</sup>Only patients who received ISAVUSULF were included in the SAF, so only those who received ISAVUSULF against or empirical therapy for <4 days were included in this group.

# FIGURES

# Figure 1. Patient disposition

Registered $N = 204$											
$\begin{array}{l} \text{ISAVUSULF} \\ \text{N} = 104 \end{array}$						Other AFT <sup>*</sup>					
<b>Primary ISAVUSULF</b> N = 74				<b>Non-primary ISAVUSULF</b> N = 30				N = 100			
Primary IS (mono N =	rimary ISAVUSULF (monotherapy)Primary ISAVUSULF (combined with other AFT) $N = 24$ $N = 50$		Non-p ISAVU (monot N=	orimary JSULF therapy) = 11	Non-primary ISAVUSULF (combined with other AFT) N = 19		Other AFT (as monotherapy) N = 30		Other AFT (as combination therapy) <sup>‡</sup> N = 70		
IM N=9	$IA^{\$}$ $N = 15$	IM N=33	IA¶ N=17	IM N=9	IA N=2	IM N=11	IA N=8	IM N=15	IA# N=15	IM N=31	IA** N=38

AFT, antifungal therapy; IA, invasive aspergillosis; ISAVUSULF, isavuconazonium sulfate; IM, invasive mucormycosis. Bold text indicates treatment groups. <sup>†</sup>Includes seven patients who received ISAVUSULF for prophylaxis or empirical therapy for <4 days. <sup>‡</sup>Includes one patient with an unknown pathogen. <sup>§</sup>Includes one patient with only *Aspergillus fumigatus* as the causative organism, and two patients with non-speciated IA. <sup>¶</sup>Includes two patients with non-speciated IA. <sup>#</sup>Includes one patient with only *Aspergillus fumigatus* as the causative organism, and two patients with only *Aspergillus fumigatus* as the causative organism.

myc <u>R 34st 2ref</u> l.pdf N = 204											
ISAVUSULF N = 104						Other AFT <sup>†</sup>					
<b>Primary ISAVUSULF</b> N = 74				<b>Non-primary ISAVUSULF</b> N = 30				N = 100			
Primary ISAVUSULF (monotherapy) N = 24 Primary ISAVUSULF (combined with other AFT) N = 50			Non-primary ISAVUSULF (monotherapy)Non-primary ISAVUSULF (combined with other AFT)N = 11N = 19			ISAVUSULF ith other AFT) = 19	$\begin{array}{ c c c } \hline & O ther AFT \\ (as monotherapy) \\ N = 30 \\ \hline & N = 70 \end{array} \qquad $			AFT ion therapy) <sup>‡</sup> = 70	
IM N = 9	IA <sup>§</sup> N = 15	This IM N = 33	article is IA <sup>¶</sup> N = 17	protecto IM N=9	ed by contract of the second s	opyright. IM N=11	All rights I IA N=8	reservee IM N = 15	d IA# N=15	IM N = 31	$IA^{\dagger\dagger}$ $N = 38$