

1
2
3
4
5
6
7
8
9
10
11
12
13
14
15
16
17
18
19
20
21
22
23
24
25
26
27
28
29

DR. JUAN JAVIER-DESLOGES (Orcid ID : 0000-0003-1120-9144)

DR. ITHAAR H. DERWEESH (Orcid ID : 0000-0002-8673-0711)

Article type : Original Article

**Disparities and Trends in the Participation of Minorities, Women and the Elderly
of Breast, Colorectal, Lung and Prostate Cancer Clinical Trials**

Juan Javier-DesLoges¹, MD, MS; Tyler J. Nelson², B. S; James D. Murphy², MD, Rana
R. McKay³, MD, Elizabeth Pan³, MD, J. Kellogg Parsons⁵, MD, MHS; Christopher J.
Kane, MD², A. Karim Kader², MD, PhD², Ithaar H. Derweesh, MD², Jesse Nodora⁴,
PhD, MPH, Sandip P. Patel³, MD, Maria Elena Martinez⁴, PhD., and Brent S. Rose¹,
MD

1 – Department of Urology, University of California San Diego School of Medicine, La
Jolla, CA, USA

2 – Department of Radiation Medicine and Applied Science, University of California San
Diego School of Medicine, La Jolla, CA, USA

3 – Department of Hematology and Medical Oncology, University of California San
Diego School of Medicine, La Jolla, CA, USA

4 – Herbert Wertheim School of Public Health and Longevity Science, University of
California San Diego, La Jolla, CA USA

5 – University of Michigan, Department of Urology

Corresponding Author:

Brent S. Rose, MD and Juan Javier-DesLoges, MD

University of California, San Diego

This is the author manuscript accepted for publication and has undergone full peer review but has not been through the copyediting, typesetting, pagination and proofreading process, which may lead to differences between this version and the [Version of Record](#). Please cite this article as [doi: 10.1002/CNCR.33991](https://doi.org/10.1002/CNCR.33991)

This article is protected by copyright. All rights reserved

30 Department of Radiation Medicine and Applied Science
31 UC San Diego Moores Comprehensive Cancer Center
32 3855 Health Sciences Dr., La Jolla, CA 92037
33 619-341-3899
34 bsrose@health.ucsd.edu
35 jjavierdesloges@health.ucsd.edu

36
37 Word Count: Abstract: 250 Manuscript: 2765
38 Tables: 5 Figures: 1 References: 23

39
40 **Running Head:**Trends in Clinical Trials over 20 years

41 **Keywords:** disparities , clinical trials , prostate cancer , breast cancer , colorectal
42 cancer , lung cancer

43 **Precis:** Minority, women, and the elderly remain underrepresented in clinical trials in
44 recent years. However, some minority participation has increased in recent years.

45
46 Acknowledgement:

47 Access to Data statement: Dr. Rose and Dr. Javier-DesLoges had full access to all the
48 data in the study and takes responsibility for the integrity of the data and the accuracy of
49 the data analysis.

50
51 Role of Funder statement: The Department of Defense was not involved in the design
52 and conduct of the study; collection, management, analysis, and interpretation of the
53 data; preparation, review, or approval of the manuscript; and decision to submit the
54 manuscript for publication.

55
56 Contributions/COI:

57 Juan Javier-DesLoges, MD, MS, Writing – Conceptualization, Data curation, Formal
58 analysis, Investigation, Methodology, Writing – original draft, Writing – review & editing -
59 – No Conflict of Interest

60 Tyler Nelson, BS, Data curation – No Conflict of Interest

61 James Murphy, MD - Writing – review & editing – No Conflict of Interest
62 Rana R. McKay, MD - Writing – review & editing – No Conflict of Interest
63 Elizabeth Pan, MD - Writing – review & editing – No Conflict of Interest
64 J. Kellogg Parsons, MD, MHS - Writing – review & editing – No Conflict of Interest
65 Christopher J. Kane, MD - Writing – review & editing – No Conflict of Interest
66 Karim Kader, MD, PhD - Writing – review & editing – No Conflict of Interest
67 Jesse Nodora, PhD, MPH - Writing – review & editing – No Conflict of Interest
68 Ithaar H. Derweesh, MD - Writing – review & editing – No Conflict of Interest
69 Sandip P. Patel, MD - Writing – review & editing – No Conflict of Interest
70 Elena Maria Martinez, PhD - Writing – review & editing – No Conflict of Interest
71 Brent S. Rose, MD - Conceptualization, Project administration, Resources, Supervision
72 – No Conflict of Interest – Funding Department of Defense, grant number W81XWH-17-
73 PCRP-PRA

74 **Abstract:**

75 **Background:** To determine the representation of minorities, women, and the elderly in
76 National Cancer Institute (NCI) clinical trials.

77 **Methods:** This is an analysis in the NCI Clinical Data Update System. We evaluated
78 patients in breast, colorectal, lung, and prostate cancer trials between 2000-2019. We
79 determined the representation in a trial by race/ethnicity, sex, and age. Secondly, we
80 evaluated the change in trial participation by multivariable analysis by comparing years
81 2000-2004 to 2015-2019.

82 **Results:** The cohort included 242,720 participants, 197,320 (81.3%) Non-Hispanic
83 White, 21,190 (8.7%) Black, 11,587 (4.8%), and Hispanic, 6,880 (2.8%). Black and
84 Hispanic patients were underrepresented for colorectal [Odds Ratio (OR) 0.58, 95%
85 Confidence Interval (CI) 0.50-0.67, $p < 0.001$] and (OR 0.74, 95%CI 0.64-0.87, $p < 0.001$)
86 respectively, lung (OR 0.83, 95% CI 0.76-0.91, $p < 0.001$), and (0.66, 95% CI 0.57-0.77,
87 $p < 0.001$) respectively, and prostate cancer trials (OR 0.85, 95% CI 0.79-0.92, $p < 0.001$)
88 and (OR 0.58, 95% CI 0.51-0.66, $p < 0.001$) between 2015-2019. The odds of
89 participation in 2015-2019 increased among Black patients in breast (OR 2.19, 95% CI
90 2.07-2.32, $p < 0.001$), lung (OR 1.54, 95%CI 1.38-1.73, $p < 0.001$), and prostate cancer
91 trials (OR 1.14, 95% CI 1.04-1.26, $p < 0.001$). The odds of participation in a trial among

92 Hispanic patients increased for breast (OR 3.32, 95% CI 3.09-3.56, p<0.001), colorectal
93 (OR 2.46, 95% CI 2.04-2.96, p<0.001), lung (OR 3.88, 95%CI 3.20-4.69, p<0.001), and
94 prostate cancer (OR 1.70, 95%CI 1.42-2.04, p=0.005).

95 **Conclusions:** In this study, we identified that Blacks and Hispanic patients remain
96 underrepresented in trials, but in recent years participation increased. These findings
97 indicate that minority participation has increased over time but that further efforts are
98 needed.

99

100

101

102

103

104

105

106

107

108

109

110

111

112

113

114

115

116

117

118

119

120 **Introduction**

121 The National Institutes of Health (NIH) first enacted the Revitalization Act in
122 1993, the goal of which was to encourage participation of women and minority patients

123 in NIH-sponsored research [1]. This act was subsequently amended in 2001 and most
124 recently amended in 2017 [1]. The National Cancer Institute (NCI) has instituted
125 multiple initiatives to address concerns about the heterogeneity of clinical trial
126 participation [2]. The impact of these initiatives as well as the comprehensive
127 characteristics of patients enrolled in cancer clinical trials has not been analyzed in
128 nearly two decades [3]. The participation of minorities, women, and the elderly in
129 cancer clinical trials is essential to determining not only the efficacy of treatments but
130 also to improve the outcomes of these at-risk populations [4]. If there is not appropriate
131 inclusion of these populations than health disparities will likely widen [5]. It should be
132 noted that the participation of elderly patients in clinical trials compared to minorities and
133 women might be fundamentally different as older patients are less likely to eligible for
134 clinical trials due to existing comorbidities [6].

135 Initially, published in 2004, Murthy et. al evaluated the characteristics of all
136 patients enrolled in therapeutic nonsurgical NCI Clinical Trial Cooperative Group trials
137 on a year to year basis[3]. The authors' specific focus was within breast, colorectal,
138 lung, and prostate cancer clinical trials from 1996-2002. The authors compared trials in
139 1996-1999 to 2000-2002 and identified that that in later years racial/ethnic minorities,
140 women, and elderly, were less likely to enroll in trials when compared to whites, males,
141 and patients who are younger in earlier years. Since, 2004 there have been two
142 additional studies on the characteristics of patients enrolling in clinical trials. However,
143 both studies relied on the published results of completed trials and because of their
144 methodology were limited in their ability to identify trends in participation over time [7][8].
145 Trials can accrue for several years and it remains unclear if participation disparities still
146 exist today.

147 The aim of this study was to evaluate the representation of patients by age, sex,
148 and race/ethnic clinical trial participation for all NCI Clinical Trial Cooperative Group
149 trials. We specifically focused on adequate representation in 2015-2019 and compared
150 this to an earlier time period 2000-2004. We hypothesized that patient participation
151 disparities may have improved when patients are stratified by age, sex, race/ethnicity,
152 and participation year.

153 **Methods**

154 *Data collection*

155 This study followed the STROBE reporting guidelines for cohort studies. The
156 data for this study was requested by the investigators through the Freedom of
157 Information Act in coordination with the NCI [9]. Participation data for NCI-sponsored
158 trials from 2000 – 2019 were obtained from the NCI Clinical Data Update System, a
159 database that contains participation information about participants in NCI-sponsored
160 Cooperative Group clinical trials. [10] Cancer Incidence Data (2000-2017) were
161 obtained from the United States Cancer Statistics, which is managed by the Centers for
162 Disease Control and Prevention (CDC). The United States Cancer Statistics [11]
163 includes cancer statistics from the NCI's Surveillance, Epidemiology, and End Results
164 (SEER) Program [12] combined with the CDC's National Program of Cancer Registries
165 (NPCR) [13]. These statistics provide information on proportion of incident cancers and
166 cover 100% of United States population [9] [12]. No institutional review board approval
167 was required from our home institution (UC San Diego) and was therefore waived.
168 Informed consent was waived trial-level data was publicly available and deidentified.

169 *Study Participants*

170 All patients who participated in a clinical trial with the lead disease being breast,
171 colorectal, lung, or prostate cancer between the years January 1, 2000 and December
172 31, 2019 were included. We selected these four diseases based on the prior
173 publication and because they remain amongst the four most common diseases for men
174 and women [15]. We recoded patients as female (<40 patients) in prostate cancer
175 clinical trials as it was unclear if this was an error in recording or transgender. We
176 included all patients over the age of 18 who participated in a clinical trial. Pediatric trials
177 were excluded from the analysis. We included trials that completed participation and
178 that are currently accruing patients. All phases of trials were included, Phase I, Phase
179 II, and Phase III. As some trials were categorized as Phase I/II and II/III we did not
180 differentiate between Phases in our analysis. Therapeutic modality such as
181 chemotherapy, radiation, or surgery is not recorded in the database, and therefore we
182 were unable to perform a subanalysis.

183 Designation of race and ethnicity was coded within the database provided by the
184 NCI. For data from 2000-2001 the Cancer Therapy Evaluation Program (CTEP)

185 assigned trial participants as White, Black, Asian/Pacific Islander, American
186 Indian/Alaskan Native, or Hispanic. In 2002, CTEP changed their coding to include both
187 race and ethnicity separately. Therefore, we created 5 mutually exclusive groups, Non-
188 Hispanic White, Black, Asian/Pacific Islander, American Indian/Alaska Native,
189 Multiracial/Other, and Hispanic (any race) [3]. For age, we categorized patients as older
190 than 65 and younger than 65 as described in Duma et al. [8]. Lastly, for sex patients
191 were listed as male or female in the database.

192 *Statistical Analysis*

193 We defined enrollment fraction as described by Murthy et al. as the number of
194 trial enrollees divided by the proportion of U.S. incident cancer cases in each subgroup
195 in order to define whether or not subgroups were underrepresented. We thus aimed to
196 assess the relationship between enrollment fraction among various racial/ethnic, age,
197 and sex groups in the year 2015-2019 and performed Pearson's χ^2 of independence.
198 To assess differences, we calculated crude odds ratios and 95% confidence interval for
199 each subgroup. The Non-Hispanic White group was treated as the reference population.

200 We performed multivariable logistic regression analysis for each cancer type in
201 order to determine the odds of participating in a clinical trial in 2015-2019 compared to
202 2000-2004. We adjusted for age, sex, and race/ethnicity. We performed a sensitivity
203 analysis involving only Phase III clinical trials with greater than 100 participants, which
204 confirmed the findings of this study.

205 The statistical analysis was performed using IBM®SPSS Version 27 and R
206 version 3.6.1 using the "epitools" package.

207 **Results**

208 When all cancer types were included, the final cohort for baseline characteristics
209 of patients 242,720 participants, including 197,320 (81.3%) Non-Hispanic White
210 patients, 21,190 (8.7%) Black patients, 11,587 (4.8%) Hispanic patients, 6,880 (2.8%)
211 Asian/Pacific Islander patients, 839 (0.30%) American Indian/Alaska Native patients,
212 and 3,094 (2.0%) Other. Most patients were < 65 years old, 160,789 (66.2%) compared
213 to patients ≤ 65 , 81,931 (33.8%) likely secondary to the large number of breast cancer
214 patients. The median age and interquartile range for each organ system were the
215 following, breast (median age 56, IQR: 48-6), colorectal (median age 60, IQR: 52-68),

216 lung (median age 65, IQR 58-71), and prostate (median age 68: IQR: 62-74). A
217 majority of patients were female, 173,110 (71.7%) vs. male 68,610 (28.3%) (Table 1).
218 Minority group participation in clinical trials is compared to their respective cancer
219 incidence in 5-year intervals in Figure 1.

220 When comparing clinical trial participation from 2015-2019 to proportion of
221 cancer incidence 2015-2017 of non-Hispanic White patients to minorities for breast
222 cancer, Black and Hispanic patients were more likely to participate in a clinical trials
223 (OR 1.75, 95% CI 1.67-1.83, $p<0.001$), and (OR 1.19, 95%CI 1.12-1.25, $p<0.001$)
224 (Table 2). For colorectal cancer trials, Black and Hispanic patients were
225 underrepresented, (OR 0.58, 95% CI 0.50-0.67, $p<0.001$) and (OR 0.74, 95% CI 0.64-
226 0.87, $p<0.001$). For lung cancer trials, Black and Hispanic patients were
227 underrepresented (OR 0.83, 95% CI 0.76-0.91, $p<0.001$) and (OR 0.66, 95% CI 0.57-
228 0.77, $p<0.001$) respectively. Lastly, for prostate cancer trials, Blacks and Hispanic
229 participants were underrepresented (OR 0.58, 95% CI 0.51-0.66, $p<0.001$) and (OR
230 0.85, 95% CI 0.79-0.92, $p<0.001$).

231 When comparing clinical trial participation from 2015-2019 of elderly and non-
232 elderly patients to proportion of cancer incidence 2015-2017 for breast cancer, patients
233 older than 65 were underrepresented (OR 0.27, 95% CI 0.27-0.28, $p<0.001$) (Table 3).
234 For colorectal cancer trial, patients older than 65 were underrepresented (OR 0.36, 95%
235 CI 0.33-0.39, $p<0.001$). For lung cancer trials, patients older than 65 were less likely to
236 participate in a trial (OR 0.59, 95% CI 0.56-0.62, $p<0.001$).

237 When comparing clinical trial participation from 2015-2019 of female and male
238 patients to proportion of cancer incidence 2015-2017 for colorectal cancer, women were
239 underrepresented (OR 0.73, 95% CI 0.67-0.79, $p<0.001$) (Table 4). For lung cancer
240 clinical trials, women were underrepresented compared to men (OR 0.89, 95%CI 0.83-
241 0.93, $p<0.001$).

242 We performed multivariable logistic regression analysis comparing the years
243 2000-2004 to 2015-2019 and adjusting for sex, age, and race/ethnicity (Table 5). For
244 breast cancer, there was an increase in participation of Black patients (OR 2.19, 95%CI
245 2.07-2.32, $p<0.001$), Hispanic patients (OR 3.32, 95% CI 3.09-3.56, $p<0.001$),
246 Asian/Pacific Islander patients (OR 1.94, 95%CI 1.76-2.13, $p<0.001$). For colorectal

247 cancer, there was no change in participation of Black patients (OR 1.15, 95% CI 0.97-
248 1.36, $p=0.096$) while Hispanic participation increased (OR 2.46, 95% CI 2.04-2.96,
249 $p<0.001$) and there was also an increase in Asian/Pacific Islander patient participation
250 (OR 2.48, 95% CI 2.00-3.08, $p<0.001$). Patients older than 65 were less likely to
251 participate in a colorectal cancer clinical trial in recent years (OR 0.71, 95% CI 0.64-
252 0.77, $p<0.001$) as well as women (OR 0.89, 95% CI 0.81-0.97, $p=0.012$). For lung
253 cancer, there was an increase in participation of Black patients (OR 1.54, 95% CI 1.38-
254 1.73, $p<0.001$), Hispanic patients (OR 2.21, 1.80-2.71, $p<0.001$), Asian/Pacific Islander
255 patients (OR 3.88, 95% 3.2-4.69, $p<0.001$). Elderly participation increased in lung
256 cancer trials (OR 1.38, 95% CI 1.29-1.47, $p<0.001$) as well as female participation (OR
257 1.17, 95% CI 1.10-1.24, $p<0.001$). Lastly for prostate cancer, there was an increase in
258 participation of Black patients (OR 1.14, 95% CI 1.04-1.26, $p<0.001$) and Hispanic
259 patients (OR 1.70, 95% CI 1.42-2.04, $p=0.005$), and Asian/Pacific Islander patients (OR
260 1.64, 95% CI 1.27-2.11, $p<0.001$). Participation of elderly patients increased in recent
261 years (OR 1.15, 95% CI 1.07-1.24, $p<0.001$).

262 **Discussion**

263 In this study, we present an analysis of 20 years of clinical trial participation data,
264 which includes nearly a 1/4 million patients participating in 766 clinical trials. We found
265 that Black and Hispanic participants were underrepresented in colorectal, lung, and
266 prostate cancer trials. Elderly patients were underrepresented in breast, colorectal, and
267 lung cancer trials and women were underrepresented in colorectal and lung cancer
268 trials. We found that compared to earlier years, Hispanic and Black patients were more
269 likely to participate in breast, lung, and prostate cancer trials in recent years.
270 Additionally, women were less likely to participate in a colorectal cancer trial and more
271 likely to participate in a lung cancer trial. Lastly, we identified that the change in elderly
272 participation varied by cancer type.

273 While some studies have indicated a lack of participation of minorities, women,
274 and the elderly in clinical trials, this study is the first to indicate that some participation
275 disparities are improving [8][16]. However, disparities still exist and it remains essential
276 that all investigators involved with clinical trials seek to diversify their participation as
277 such efforts will further benefit patients and enhance the credibility of these studies.

278 The NIH Revitalization Act initially passed in 1993 mandated that minorities and
279 women be appropriately included in all NIH-funded research. Since that time, studies
280 have shown the persistently low participation of minorities in clinical trials [3], [8], [16].
281 Initially reported in 2004, Murthy et. al evaluated 75,215 patients from 1996-2002 who
282 participated in NCI-sponsored cooperative group trials, the authors noted that Black
283 patients were less likely to enroll in any clinical trial and that Hispanic and Black patients
284 had lower enrollment fractions. Later reported in 2017, Duma et al. evaluated 55,689
285 patients from 2003-2016, the authors noted that Black and Hispanic patients were less
286 likely to be enrolled in clinical trials. The major limitation of this study was that the
287 authors based their findings off of published results for trials that accrued for several
288 years. In nearly two decades, no study has had access to or evaluated clinical trial
289 participation data similar to that of Murthy et al. In this study of patients from 2000-
290 2019, we evaluated 242,720 patients and found that Black and Hispanic participants
291 were not well represented, but their participation has increased over time.

292 The participation of Asian/Pacific Islander patients increased for each cancer
293 specific diagnosis compared to earlier years and were well represented for all cancer
294 diagnoses. Due to the overall small number of patients who were American
295 Indian/Alaska Native or Other/Multiracial limited conclusions can be drawn from these
296 data. These findings indicate the importance of cancer specific statistics for clinical trial
297 participation for reaching a broad community of patients and researchers [8].

298 The recruitment of minorities into clinical trials has shown to be particularly
299 successful for Black women with breast cancer using the Heiney-Adams Recruitment
300 Framework [17]. This framework focuses on social media marketing and relationship
301 building. Other studies have suggested patient navigation as one approach to enhance
302 the diversity of accrual to cancer clinical trials [18][19]. Innovative strategies include
303 partnership with community and patients prior to protocol development, hiring research
304 staff from the community, and involvement of primary care practices. Moreover,
305 recruitment of bilingual staff and culturally sensitive material have also shown to be
306 effective in improving clinical trial participation[5][20]. Additional efforts are needed to
307 identify successful strategies for minority recruitment.

308 The participation of women in clinical trials has been studied in previous reports
309 and women are consistently underrepresented in clinical trials [8], [21]. Our study is
310 amongst the first to show that female participation in clinical trials has improved since
311 the early 2000s. Duma et al. showed that when reviewing clinical trials from 2003-2016,
312 there were 11,723 patients with lung cancer over the study period and 39.0% (n= 4,571)
313 were female. Of note the authors did not compare years of participation or breakdown
314 participation on an annual basis. In our study of 34,740, (48.4%) of patients were
315 female we demonstrated that the participation of women in lung cancer clinical trials
316 increased when comparing years 2000-2004 to 2015-2019 (OR 1.38, 95% CI 1.29-1.47,
317 $p<0.001$). However, women overall were still underrepresented despite improvements
318 (0.89, 95% CI 0.84-0.83, $p<0.001$). We identified similar underrepresentation in
319 colorectal cancer trials. Strategies for recruiting women into trials have varied, and
320 some studies have pointed towards web-based registration of patients as well as patient
321 education and community outreach directed towards women to increase participation
322 [22].

323 Finally, the participation of patients over the age of 65 according to most studies
324 has declined over time. Ludmir et al. reviewed completed clinical trials for breast,
325 colorectal, lung and prostate cancer from 1994-2015, which cumulatively accounted for
326 262,354. The authors identified significant differences between the median of the trial
327 participants the population median age of the disease site [7]. Duma et. al found similar
328 results, with elderly patients being underrepresented across all four cancers. Similar to
329 both studies we did identify disparities for age of participation. Notably, older patients
330 were unrepresented for breast, colorectal, and lung cancer. The participation of elderly
331 patients in clinical trials is complex as many may not be eligible due to associated
332 toxicities [6]. Thus, it remains critical to develop therapies with minimal toxicity as
333 therapeutics may not benefit the majority age group of these diseases.

334 **Study Limitations:**

335 Our study is not without limitations. One of the notable limitations of this study is
336 we did not include industry sponsored clinical trial data and only characterized NCI-
337 sponsored cooperative group clinical trials. Industry clinical trials continue to make up
338 an increasing percentage of clinical trials with estimates of 36% from 2000-2019 [23].

339 However, there is a lack of uniform reporting measures and this data is not recorded by
340 the NCI. Not all industry trials publish their results if they fail to accrue and do not
341 publish year to year data. Currently, there is no accurate way to study trends in patient
342 participation for industry trials over time. Previous studies have either cumulatively
343 counted patients over decades or assigned patients who accrued for several years in
344 their final year of participation [5] [6]. Further, regulatory measures are needed to
345 address the reporting of industry related clinical trials [3]. Another limitation of our study
346 is that we could not account for errors in the coding of race/ethnicity/age/sex. Lastly, we
347 could not evaluate modality of treatment such as chemotherapy and surgery due to
348 limitations of the dataset. Surgical clinical trials have not been studied in depth in the
349 literature and further study is required.

350 **Conclusion**

351 In conclusion, in this analysis of 20 years of clinical trials, Black and Hispanic
352 patients remain underrepresented but when compared to earlier trials, their participation
353 has increased. We also found that women and the elderly remain underrepresented in
354 clinical trials. Our findings indicate a need for further study into successful recruitment
355 strategies of these underrepresented populations.

356

357

358

359

360

361

362

363

- 364 1. NOT-OD-18-014: Revision: NIH Policy and Guidelines on the Inclusion of Women
365 and Minorities as Subjects in Clinical Research. Accessed February 5, 2021.
366 <https://grants.nih.gov/grants/guide/notice-files/NOT-OD-18-014.html>
- 367 2. NCI Community Oncology Research Program (NCORP). Accessed February 5,
368 2021. <https://ncorp.cancer.gov/>

- 369 3. Murthy VH, Krumholz HM, Gross CP. Participation in cancer clinical trials: race-,
370 sex-, and age-based disparities. *JAMA*. 2004;291(22):2720-2726.
371 doi:10.1001/jama.291.22.2720
- 372 4. Kwiatkowski K, Coe K, Bailar JC, Swanson GM. Inclusion of minorities and women
373 in cancer clinical trials, a decade later: Have we improved? *Cancer*.
374 2013;119(16):2956-2963. doi:https://doi.org/10.1002/cncr.28168
- 375 5. Heller C, Balls-Berry JE, Nery JD, et al. Strategies addressing barriers to clinical trial
376 enrollment of underrepresented populations: A systematic review. *Contemp Clin*
377 *Trials*. 2014;39(2):169-182. doi:10.1016/j.cct.2014.08.004
- 378 6. Lewis JH, Kilgore ML, Goldman DP, et al. Participation of patients 65 years of age or
379 older in cancer clinical trials. *J Clin Oncol Off J Am Soc Clin Oncol*.
380 2003;21(7):1383-1389. doi:10.1200/JCO.2003.08.010
- 381 7. Ludmir EB, Mainwaring W, Lin TA, et al. Factors Associated With Age Disparities
382 Among Cancer Clinical Trial Participants. *JAMA Oncol*. 2019;5(12):1769.
383 doi:10.1001/jamaoncol.2019.2055
- 384 8. Duma N, Vera Aguilera J, Paludo J, et al. Representation of Minorities and Women
385 in Oncology Clinical Trials: Review of the Past 14 Years. *J Oncol Pract*.
386 2017;14(1):e1-e10. doi:10.1200/JOP.2017.025288
- 387 9. Act (FOIA) F of I. FOIA.gov (Freedom of Information Act) Home Page. Accessed
388 March 30, 2021. <https://www.foia.gov/>
- 389 10. NCI's National Clinical Trials Network (NCTN) - National Cancer Institute.
390 Published May 29, 2014. Accessed March 30, 2021.
391 <https://www.cancer.gov/research/infrastructure/clinical-trials/nctn>
- 392 11. United States Cancer Statistics | Cancer | CDC. Published March 10, 2021.
393 Accessed April 8, 2021. <https://www.cdc.gov/cancer/uscs/index.htm>
- 394 12. SEER Incidence Data, 1975 - 2017. Accessed March 30, 2021.
395 <https://seer.cancer.gov/data/>
- 396 13. National Program of Cancer Registries (NPCR) | CDC. Published March 31,
397 2021. Accessed April 8, 2021. <https://www.cdc.gov/cancer/npcr/index.htm>

- 398 14. Henley SJ, Singh SD, King J, Wilson RJ, O'Neil ME, Ryerson AB. Invasive
399 Cancer Incidence and Survival - United States, 2013. *MMWR Morb Mortal Wkly*
400 *Rep.* 2017;66(3):69-75. doi:10.15585/mmwr.mm6603a1
- 401 15. Common Cancer Types - National Cancer Institute. Published April 21, 2015.
402 Accessed March 30, 2021. <https://www.cancer.gov/types/common-cancers>
- 403 16. Chen MS, Lara PN, Dang JHT, Paterniti DA, Kelly K. Twenty years post-NIH
404 Revitalization Act: enhancing minority participation in clinical trials (EMPaCT): laying
405 the groundwork for improving minority clinical trial accrual: renewing the case for
406 enhancing minority participation in cancer clinical trials. *Cancer.* 2014;120 Suppl
407 7:1091-1096. doi:10.1002/cncr.28575
- 408 17. Heiney SP, Adams SA, Wells LM, Johnson H. Evaluation of Conceptual
409 Framework for Recruitment of African American Patients With Breast Cancer. *Oncol*
410 *Nurs Forum.* 2010;37(3):E160-E167. doi:10.1188/10.ONF.E160-E167
- 411 18. Ghebre RG, Jones LA, Wenzel J, Martin MY, Durant R, Ford JG. State-of-the-
412 Science of Patient Navigation as a Strategy for Enhancing Minority Clinical Trial
413 Accrual. *Cancer.* 2014;120(0 7):1122-1130. doi:10.1002/cncr.28570
- 414 19. McCaskill-Stevens W, Pinto H, Marcus AC, et al. Recruiting minority cancer
415 patients into cancer clinical trials: a pilot project involving the Eastern Cooperative
416 Oncology Group and the National Medical Association. *J Clin Oncol Off J Am Soc*
417 *Clin Oncol.* 1999;17(3):1029-1039. doi:10.1200/JCO.1999.17.3.1029
- 418 20. Javier-DesLoges JF, Segal D, Khan A, et al. Urology Residency Training in
419 Medically Underserved Areas through the Integration of a Federally Qualified Health
420 Center Rotation. *Urology.* Published online January 6, 2021.
421 doi:10.1016/j.urology.2020.11.057
- 422 21. Ramasubbu K, Gurm H, Litaker D. Gender bias in clinical trials: do double
423 standards still apply? *J Womens Health Gend Based Med.* 2001;10(8):757-764.
424 doi:10.1089/15246090152636514
- 425 22. Rivers DA, Pal T, Vadaparampil ST, Adams LA, Dash-Pitts L, Quinn GP. A
426 community-academic partnership to explore informational needs of African
427 American women as a primer for cancer clinical trial recruitment. *Ethn Health.*
428 2019;24(6):679-693. doi:10.1080/13557858.2017.1367762

429 23. Gresham G, Meinert JL, Gresham AG, Meinert CL. Assessment of Trends in the
430 Design, Accrual, and Completion of Trials Registered in ClinicalTrials.gov by
431 Sponsor Type, 2000-2019. *JAMA Netw Open*. 2020;3(8):e2014682.
432 doi:10.1001/jamanetworkopen.2020.14682

433

434

435

436

437

438

439

440

441

442

443

444

Characteristic	All Cancers N=242,720	Percent Incident Cancer in U.S.	Breast Cancer N=145,366	Percent Incident Cancer in U.S.	Colorectal Cancer N=30,383	Percent Incident Cancer in U.S.	Lung Cancer N=34,740	Percent Incident Cancer in U.S.	Prostate Cancer N=32,231	Percent Incident Cancer in U.S.
Race/ethnicity	No (%)	%	No (%)	%	No (%)	%	No (%)	%	No (%)	%
Non-Hispanic White	197,320 (81.3%)	78.5%	118,080 (81.2%)	77.9%	24,844 (81.8%)	77.4%	29,657 (85.4%)	83.1%	24,740 (76.7%)	75.3%
Black	21,190 (8.7%)	11.6%	11,828 (8.1%)	10.7%	2,445 (8.1%)	11.4%	2,678 (7.7%)	10.2%	4,239 (13.1%)	14.3%
Hispanic	11,587 (4.8%)	5.9%	8,043 (5.5%)	7.0%	1,554 (5.1%)	6.9%	824 (2.4%)	3.8%	1,166 (3.6%)	6.1%
Asian/Pacific Islander	6,880 (2.8%)	2.6%	4,381 (3.0%)	3.3%	1,045 (3.4%)	3.1%	921 (2.7%)	2.2%	533 (1.7%)	1.9%
Native American	839 (0.3%)	0.5%	497 (0.3%)	0.5%	123 (0.4%)	6.9%	130 (0.4%)	0.5%	89 (0.3%)	0.4%
Other	4,904 (2.0%)	0.9%	2,537 (1.7%)	0.6%	358 (1.2%)	0.6%	530 (1.5%)	0.2%	1,479 (4.6%)	2.0%
Age, years										
<65	160,789 (66.2%)	55.8%	113,519 (78.1%)	55.8%	19,589 (64.5%)	38.3%	16,786 (48.3%)	32.0%	10,895 (33.8%)	37.5%
>65	81,931 (33.8%)	44.1%	31,847 (21.9%)	44.1%	10,780 (35.5%)	61.6%	17,954 (51.7%)	67.9%	21,351 (66.2%)	60.2%
Sex										
Female	174,110 (71.7%)	49.2%	145,366	100.0%	13,161	48.4%	15,551	46.2%	0 (0.0%)	N/A

This article is protected by copyright. All rights reserved

			(100.0%)		(43.3%)		(44.8%)			
Male	68,610 (28.3%)	50.7%	0 (0.0%)	0.0%	17,208 (56.7%)	51.6%	19,189 (55.2%)	53.7%	32,246 (100.0%)	100.00%

445 **Table 1: Participants in National Cancer Institute Cooperative Group Trials and Proportion of Incidence Cancer**

446 **Patients in the United States according to Race/ethnicity, Age, and Sex, 2000-2019**

447

448 **Table 2: Trial Enrollment for Minorities vs. Non-Hispanic White for Breast, Colorectal, Lung, and Prostate Cancer**

449 **Trials, 2015-2019**

Race/Ethnicity	No. of Trial Participants	Enrollment Fraction ¹	Odds Ratio (95% CI)	P value
Breast Cancer				
Non-Hispanic White	12,159	2.18%	Referent	
Black	2,183	2.53%	1.75 (1.67-1.83)	<0.001
Hispanic	1,646	2.58%	1.19 (1.12-1.25)	<0.001
Asian/Pacific Islander	691	2.16%	0.99 (0.91-1.07)	0.846
American Indian/Alaska Native	87	2.14%	0.96 (0.77-1.19)	0.739
Colorectal Cancer				
Non-Hispanic White	1,969	0.63%	Referent	
Black	190	0.36%	0.58 (0.50-0.67)	<0.001
Hispanic	184	0.47%	0.74 (0.64-0.87)	<0.001
Asian/Pacific Islander	136	0.81%	1.28 (1.07-1.52)	<0.001
American Indian/Alaska Native	25	0.80%	1.27 (0.86-1.89)	<0.001

	Lung Cancer			
Non-Hispanic White	5,175	0.95%	Referent	
Black	559	0.80%	0.83 (0.76-0.91)	<0.001
Hispanic	190	0.64%	0.66 (0.57-0.77)	<0.001
Asian/Pacific Islander	307	1.63%	1.72 (1.53-1.93)	<0.001
American Indian/Alaska Native	34	0.86%	0.90 (0.64-1.27)	0.565
	Prostate Cancer			
Non-Hispanic White	4,160	0.98%	Referent	
Black	792	0.84%	0.85 (0.79-0.92)	<0.001
Hispanic	240	0.57%	0.58 (0.51-0.66)	<0.001
Asian/Pacific Islander	119	0.86%	0.87 (0.72-1.04)	0.148
American Indian/Alaska Native	15	0.60%	0.61 (0.36-1.01)	0.057

450

451 1. Enrollment Fraction – Defined as Patients Enrolled in Trials / Total Cancer Incidence for Corresponding Years

452

453

454

455

456 **Table 3: Trial Enrollment Fraction for Elderly vs. Nonelderly Cancer for Breast, Colorectal, Lung, and Prostate**
457 **Cancer Trials, 2015-2019**

458

Age	No. of Trial Participants	Enrollment	Odds Ratio (95%	P value
-----	---------------------------	------------	-----------------	---------

		Fraction ¹	CI)	
Breast Cancer				
<65	13,772	3.42%	Referent	
>65	3,352	0.95%	0.27 (0.26-0.28)	<0.001
Colorectal Cancer				
<65	1,761	0.95%	Referent	
>65	826	0.34%	0.36 (0.33-0.39)	<0.001
Lung Cancer				
<65	2,703	1.33%	Referent	
>65	3,727	0.80%	0.59 (0.56-0.62)	<0.001
Prostate Cancer				
<65	1,551	0.65%	Referent	
>65	3,888	1.07%	1.64 (1.55-1.74)	<0.001

459 1. Enrollment Fraction – Defined as Patients Enrolled in Trials / Total Cancer Incidence for Corresponding Years

460

461

462

463

464

465

466

467

468

469

470 **Table 4: Trial Enrollment Fraction According for Sex for Colorectal and Lung Cancer Trials, 2015-2019**

471

472

Sex	No. of Trial Participants	Enrollment Fraction ¹	Odds Ratio (95% CI)	P value
Colorectal Cancer				
Male	1,556	0.69%	Referent	
Female	1,031	0.50%	0.73 (0.67-0.79)	<0.001
Lung Cancer				
Male	3,507	1.08%	Referent	
Female	2,923	0.84%	0.89 (0.84-0.93)	<0.001

473 1. Enrollment Fraction – Defined as Patients Enrolled in Trials / Total Cancer Incidence for Corresponding Years

474

475

476

477

478

479

480

481

482
483
484
485
486
487
488
489
490
491
492
493
494
495
496
497

Table 5: Multivariable Logistic Regression¹ for Trial Enrollment Comparing 2000-2004 vs. 2015-2019

Characteristic	Breast	P value	Colorectal	P value	Lung	P value	Prostate	P value
Race/Ethnicity	OR (95% CI)		OR (95% CI)		OR (95% CI)		OR (95% CI)	
Non-Hispanic White	Referent		Referent		Referent		Referent	
Black	2.19 (2.07-	<0.001	1.15 (0.97-1.36)	0.096	1.54 (1.38-	<0.001	1.14 (1.04-	<0.001

	2.32)				1.73)		1.26)	
Hispanic	3.32 (3.09-3.56)	<0.001	2.46 (2.04-2.96)	<0.001	2.21 (1.80-2.71)	<0.001	1.70 (1.42-2.04)	0.005
Asian/Pacific Islander	1.94 (1.76-2.13)	<0.001	2.48 (2.00-3.08)	<0.001	3.88 (3.20-4.69)	<0.001	1.64 (1.27-2.11)	<0.001
American Indian/Alaska Native	2.28 (1.73-2.99)	<0.001	3.92 (2.29-6.72)	<0.001	2.03 (1.27-3.25)	0.003	1.00 (0.53-1.88)	<0.001
Other	1.59 (1.42-1.77)	<0.001	4.26 (3.15-5.77)	<0.001	2.12 (1.71-2.64)	<0.001	0.24 (0.20-0.30)	<0.001
Age								
<65	Referent		Referent		Referent		Referent	
>65	0.98 (0.94-1.03)	0.548	0.71 (0.64-0.77)	<0.001	1.38 (1.29-1.47)	<0.001	1.15 (1.07-1.24)	<0.001
Sex								
Female	N/A		0.89 (0.81-0.97)	0.012	1.17 (1.10-1.24)	<0.001	N/A	
Male			Referent		Referent			

498 1. Multivariable Model adjusts for Age, Sex, and Race/Ethnicity

499

500

501

502

503

504 Figure Legends

505 Figure 1A: Comparison of Proportion of Clinical Trial Enrollment vs. Proportion of Cancer Incidence by Race/Ethnicity for
506 Breast Cancer Trials

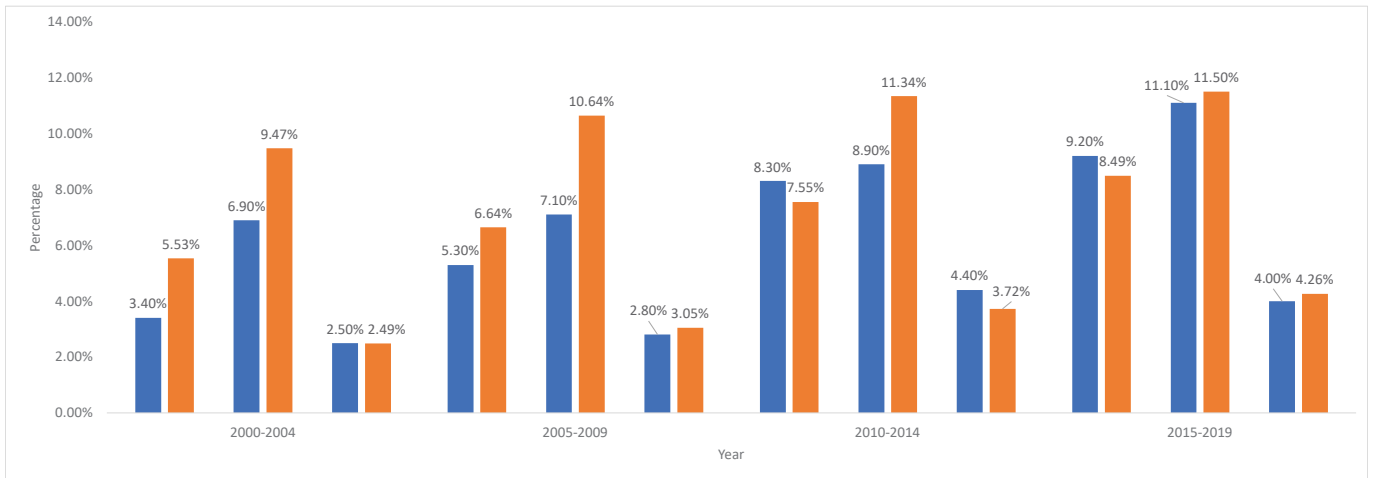
507 Figure 1B: Comparison of Proportion of Clinical Trial Enrollment vs. Proportion of Cancer Incidence by Race/Ethnicity for
508 Colorectal Cancer Trials

509 Figure 1C: Comparison of Proportion of Clinical Trial Enrollment vs. Proportion of Cancer Incidence by Race/Ethnicity for
510 Lung Cancer Trials

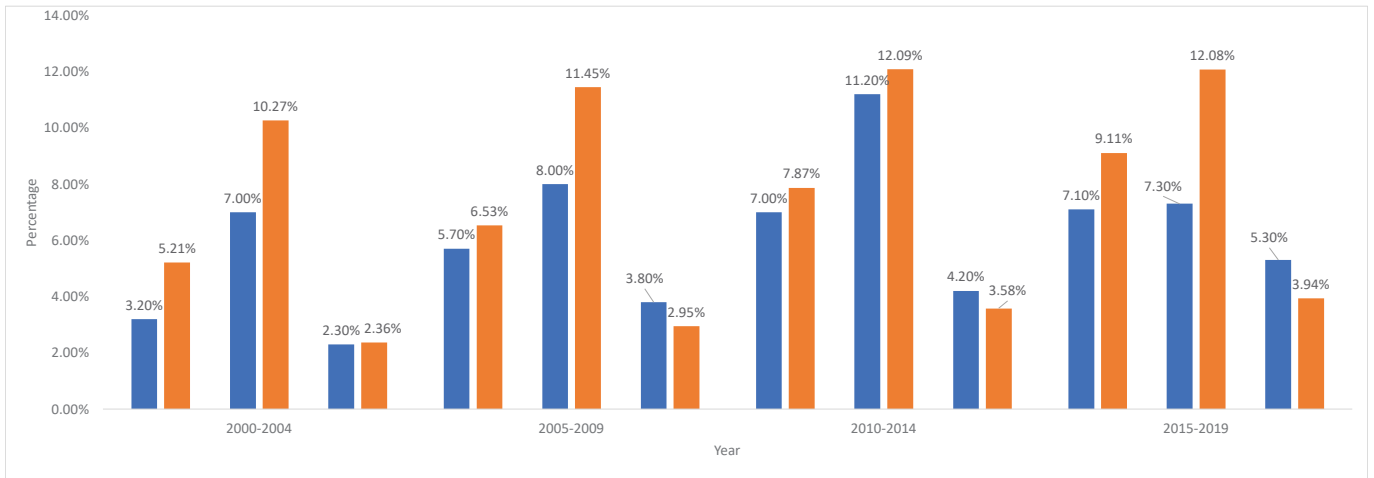
511 Figure 1D: Comparison of Proportion of Clinical Trial Enrollment vs. Proportion of Cancer Incidence by Race/Ethnicity for
512 Prostate Cancer Trials

513 Orange = Proportion of Patients with Incident Cancer

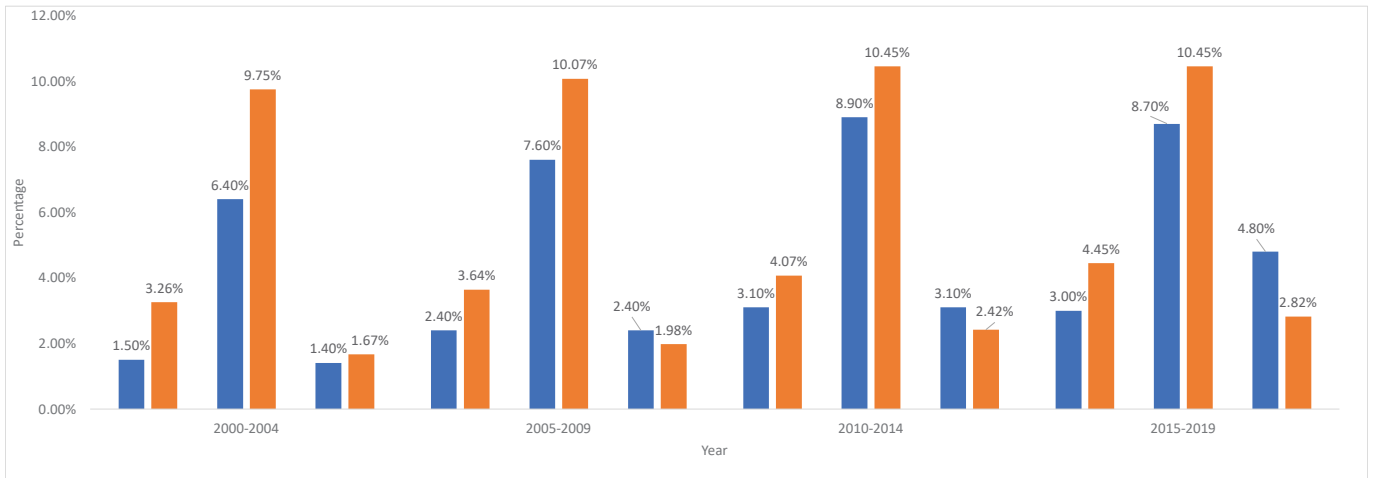
514 Blue = Proportion of Patients Enrolled



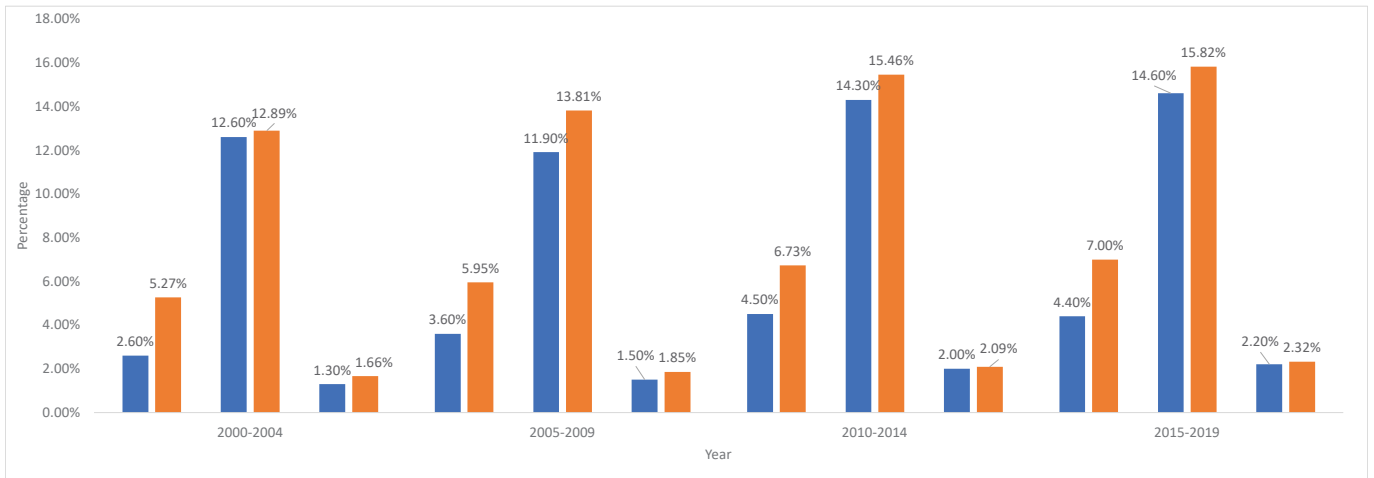
cncr_33991_f1a.eps



cncr_33991_f1b.eps



cncr_33991_f1c.eps



cncr_33991_f1d.eps