DENTAL EDUCATION 2026: A SCENARIO EXPLORATION

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Abstract

The purpose of the following papers is to explore plausible alternative futures for dental education. The COVID 19 pandemic, challenges emerging from racism in the U.S., and social unrest were the precipitating factors leading to this consideration of academic dentistry in approximately five years. In 2020-2021, five teams of six individual followed a seven-step process to develop five different scenarios of dental education in 2026. Four of these scenarios are constructed by considering a range of uncertainties associated with economic sustainability and educational innovation. A fifth scenario describes the optimal case for dental education's role should another pandemic occur. Each scenario is presented as a narrative in three parts: scenario highlights (summary); life in the scenario (fictional case); and scenario details (a description of significant factors within the envisioned future of the scenario). As a strategic tool, these scenarios will assist leaders, institutions, and stakeholders to anticipate and prepare for different futures, identify key indicators that a particular future is emerging, and guide decision-making to create the most desirable future in a changing environment. Institutions are encouraged to incorporate these scenarios into their strategic and contingency planning efforts and to use them to generate dialogue during faculty development initiatives. This study also provides institutions with a process and model they can follow to create scenarios at the institutional level

Key Words, strategy, pandemic, future of dental education, information technology, managing change

INTRODUCTION

The COVID-19 virus caused widespread and immediate changes in higher education as institutions adapted to the worse pandemic in a century, putting the existence of many colleges and universities in question. By September 2020, the American Council on Education estimated that the financial impact of the pandemic on higher education had already exceeded \$120 billion. While a

March 2021 survey of college presidents found growing confidence in the financial stability of their institutions over the next decade, in part due to the economic aid provided by the Biden administration to higher education, these academic leaders were not as optimistic about the short term. According to *Inside Higher Education*, just over half of college presidents indicated they are extremely to moderately concerned about their institutions' financial stability.²

While considerable information exists on the impact of the events of 2020 on higher education, much less is known about their effect on the future of dental education. Like their parent universities, academic dental institutions engaged in a number of pivots to sustain their missions.

The changes reported by the American Dental Education Association in the spring of 2020 included the following:

- 1. Students sent home for a period of time with a plan to periodically evaluate schools' return strategy.
- Didactic courses moved online and conducted via synchronous or asynchronous delivery of content.
- Continuing preclinical simulation exercises (in some institutions) while adhering to social distancing.
- 4. Closing the clinic doors for elective dental care and limiting care to urgent and emergency needs.
- 5. Cancellation of external rotations and travel by students and faculty.
- 6. Cancellation and rescheduling of dental licensure exams.
- 7. Graduation ceremonies moved online, rescheduled, or cancelled.³

Elangovan and colleagues reported on early lessons learned from the pandemic and implications for dental education.⁴ Others have examined the psychological impact of the pandemic on students.^{5,6,7} Santos and colleagues conducted the first systematic review of publications on emergency remote teaching during COVID-19.⁸ Spielman and Sunavala-Dossabhoy provided a

synopsis of the effect of pandemics on education over the past eight centuries. ⁹ While there is much speculation about the long-term impact of the pandemic, no one has modeled the potential future of dental education based on changes accelerated by the COVID-19 crisis.

BACKGROUND AND PURPOSE OF SCENARIO PLANNING

Scenarios are descriptions of possible futures generated by the exploration of a variety of known factors and critical uncertainties or change drivers. Scenario planning is a strategic tool, but it differs in important ways from strategic planning. Most strategic plans proceed on the premise that institutions can forecast the future based on the past. The result of the effort is a vision of a desirable state of affairs with a set of goals necessary to realize it. Scenario planning, in contrast, creates a series of alternative futures in place of a single forecast. These alternative futures are written in parrative form looking backward from a specific time in the future.

Modern scenario planning is often credited to the work of Herman Kahn in the 1950s at RAND Corporation. As a military strategist and systems theorist, Kahn advocated for thinking the unthinkable about the effects of military actions, particularly nuclear war. ¹⁰ Because of Kahn's influence, scenario thinking emerged in politics and business, with Royal Dutch Shell becoming a celebrated case of scenario planning. Shell responded effectively and profitably when oil prices collapsed in the 1980s because the company had envisioned this possibility years earlier and prepared in advance for the event. ¹¹ Scenario planning has also been used in education and healthcare. ^{2,13,14} Soon after the COVID-19 pandemic began, strategists turned to scenarios to explore the future of higher education and the social sector. ^{15,16,17}

The COVID-19 pandemic is a paradigm case for scenario planning. The world, and higher education with it, was caught unprepared for the catastrophic changes precipitated by the novel coronavirus. Few could fathom in March 2020 that the virus would infect nearly 160 million people by May 2021 or that nearly 600,000 U.S. citizens would die of COVID-19 within 14 months. No one anticipated the nearly *en masse* movement of students to virtual classrooms—or how quickly

faculty, students, and institutions would adapt. In response to the novel coronavirus, the rapid development of multiple vaccines to counter a virus such as COVID-19 seemed almost impossible prior to the pandemic.

Looking forward, scenario planners ask hypotheticals without assuming the future will be like the past. For example, what if:

- The economy experiences record growth after the pandemic.
- The economy falters, and the U.S. or the world experiences an economic recession or depression.
- Through technology and new perspectives, virtual learning becomes the norm in the way students are educated.
- After the pandemic, institutions return to traditional ways of educating students.
- There is another pandemic. What role will dentistry play?

As a strategic tool, scenario planning helps leaders and institutions anticipate and prepare for alternative futures, identify key indicators that a potential future is becoming reality, and through informed decision making, create the most desirable future in a rapidly changing environment. As an instrument for anticipating contingencies, scenarios create space for greater flexibility and agility.

As a part of the ADEA Leadership Institute experience, members of each class contribute to team projects. The nature of these projects has varied over the years, but they include research that results in a manuscript. The uniqueness of the events of 2020, from the pandemic to racism and sharp political divisions, raised multiple "what if?" questions about the future of dental education. In an environment of many uncertainties, the Class of 2020-2021 focused its project on developing scenarios to describe alternative futures for academic dentistry. The thirty Institute Fellows worked in teams, also designated as peer groups, of six individuals to create five different scenarios. All work

was accomplished virtually using email, Zoom or other virtual conferencing platforms, and ADEA Connect. Each peer group followed a seven-step process.

Step 1. Environmental Scan

All five groups conducted a literature review of relevant publications and other information about the impact of the pandemic on higher education, dental education, and dental practice.

Because this initial research began in May 2020, most of these resources came from news articles and websites that monitored the pandemic's impact.

The environmental scan also included a series of interviews with key decision makers at the Fellows' home institutions, in organized dentistry, and in state legislatures. These interviews resulted in just over one hundred senior university leaders and approximately fifty legislative and organized dentistry leaders. Prior to the interviews, each group developed a protocol of five to seven questions focused on the roles and responsibilities of the interviewee, with two to three questions related directly to the leaders' opinions about the future of the institution, higher education, and academic dentistry. Interviewees received the questions prior to the interview. Each member of the peer group submitted interview notes, and the groups summarized their findings. Interviewee titles and positions were included in summary reports, but individual names were omitted. Each peer group had access to all five summary reports.

Step 2. SWOT Analysis Using Four Frames

Informed by the environmental scan, each peer group conducted a modified Strengths, Weaknesses, Opportunities, and Threats (SWOT) Analysis of dental education. The process incorporated Bolman and Deal's four organizational frames model: structural (goals, rules, policies, procedures); human resource (recruitment, development, retention); political (resource allocation, stakeholder relationships, advocacy); and symbolic (culture, rituals, image). Peer groups conducted a SWOT Analysis within each of the four frames to create a more comprehensive evaluation of dental education.

Step 3. Formation of a Steering Committee

Peer groups selected five individuals, one from each group, to serve on a scenario planning steering committee. The steering committee coordinated efforts, facilitated communication among the peer groups, and served as a liaison to the director of the ADEA Leadership Institute. Throughout the scenario planning process, the steering committee contributed to the overall development and writing of the scenarios through email exchanges and virtual meetings.

Step 4. Identification of Strategic Priority Areas

Each peer group utilized its SWOT Analysis to develop a set of strategic issues, long-term challenges, and opportunities facing dental education. Strategic issues require an institution or profession to make fundamental choices affecting mandates, mission, values, policies, stakeholders, and management. Strategic issues affect many people, involve significant financial commitment or risk, require the engagement of senior leaders, are politically sensitive, and have significant consequences if not addressed.

Strategic issues were initially developed as questions. Each of the five peer groups identified what it considered the five most important issues, provided a written rationale explaining its choice of each, and submitted its list and rationale to the steering committee and Institute director. Based on a qualitative analysis, the steering committee and Institute director grouped a total of twenty-six submitted issues into six strategic priorities:

- <u>Financial su</u>stainability
- Educational models
- Interprofessional education and collaborative practice
- Faculty recruitment, retention, and development
- Academic environment
- Advocacy and legislation

Step 5. Selection of Two Critical Uncertainties

Critical uncertainties are variables that are likely to have the biggest impact on dental education. In scenario planning, these key drivers can produce the most significant alternatives—those that have the greatest potential to change dental education (Figure 1).

Peer groups were polled through the steering committee to identify the two most critical uncertainties from among the six strategic priorities. By consensus, the steering committee designated the two most critical uncertainties or drivers of change as Educational Innovation and Financial Sustainability. These drivers represent a continuum of uncertain conditions as indicated by the poles of each driver. (Figure 2).

Step 6. Construction of the Scenarios

A common approach to building scenarios is to create a 2 x 2 matrix at the intersection of the axes of the key drivers. Figure 3 shows the model used by the Institute to create four scenarios. Because there were five peer groups, the steering committee identified a fifth, complementary scenario focusing specifically on dental education's role in the event of a future pandemic.

Peer groups were randomly assigned to one of the five scenarios in the model. Peer groups wrote the scenarios independently, with communication facilitated across the groups by the steering committee. Each peer group used as a template the Institute for Alternative Futures scenario report on public health in 2030.¹⁴ Each scenario narrative is structured as follows:

- Scenario Highlights: A synopsis of the scenario.
- Life in This Scenario: A brief case written from the viewpoint of stakeholders five years in the future.
- Scenario Details: A description of the significant factors within the envisioned future in that particular scenario.

Step 7. Incorporation of Feedback from 2021 ADEA Annual Session Symposium

As a final part of the process, Fellows engaged with attendees at a special virtual symposium during the 2021 ADEA Annual Session to discuss the five scenarios. A brief presentation by a steering committee member followed by twenty-to-twenty-five-minute breakout sessions resulted in a series of five rounds for discussion of each scenario. Approximately ninety people participated in the symposium, including the 2021 ADEA Leadership Institute Fellows. Discussions focused on two questions: (1) What are the threats and opportunities in this scenario?; (2) What are your recommendations to either actualize this scenario or avoid it? Peer group members compiled notes from the sessions and used this input to revise each of the scenarios.

OVERVIEW OF THE FIVE SCENARIOS

Figure 4 shows four scenarios, their titles, and their place along the continua of the critical uncertainties or key drivers. All scenarios are written with a perspective of five years into the future.

- Scenario I. Opportunity Lost
 - In this scenario, academic dentistry experiences a strengthened financial position over the next five years, but educational innovation loses momentum after the pandemic. Tradition wins over innovation and prevents growth and sustainability of initiatives precipitated by the pandemic.
- Scenario II. Stick with What We Know
 Scenario II is built on the assumptions that academic dental institutions will experience a
 weakened financial position by 2026 and that dental education will remain much as it was
 pre-pandemic. The safe position under these circumstances is to maintain the status quo.
- Scenario III. Moving the Needle for Sustainable, Positive Change
 Perhaps the most optimistic scenario, Scenario III finds dental education financially well-resourced and with a culture of change and innovation. In such an environment, academic dental institutions make great strides in interprofessional education and collaborative practice as well as diversity, equity, and inclusion.

- Educational innovation in Scenario IV comes not as an option but as a necessity. In this scenario, academic dental institutions suffer reduced financial resources but choose change and innovation in response. Strong relationships within the university and with other professions allow for pooling resources, result in improved access to care, and lead to collaborative research activities.
- Scenario V. Back to the Future: An Optimal Case Pandemic Scenario

 While outside of the quadrants created by the change drivers of Financial Sustainability and

 Educational Innovation, Scenario V asks how academic dental institutions and the dental

 profession would respond should another pandemic occur in five years. This scenario

 explores the optimal future based on lessons from the COVID-19 pandemic. The scenario

 envisions dentists on the front line in meeting the challenges of a new pandemic.

DISCUSSION

As a strategic tool, scenario planning is available to any institution that wishes to consider alternative futures based on critical uncertainties. The axes formed by any two uncertainties will drive change in different directions and produce new insights about possible futures. Among other uses, scenarios are helpful to institutions as they engage in strategic planning. Institutions should consider how their strategic plans would fare in each of the five scenarios.

For those involved in developing strategic plans, institutional vision should be informed by a range of alternative futures, with a focus on strategies to achieve the optimal future. Scenarios also inform contingency planning around a set of hypotheticals about the future; for example, if X occurs, then the institution will respond with Y. As in the Royal Dutch Shell example, anticipating contingencies through scenario planning is a means to ensure the institution is prepared to maintain its mission, change, and innovate in the best possible way in whatever environment emerges.

The continua represented by the axes shown above can be customized with specifics that are relative to each institution, thereby creating a set of mileposts. For example, along the financial sustainability continuum, institutions should consider their specific economic drivers such as tuition, state and federal funding, philanthropy, and related indicators of an evolving future. Educational innovation will also vary among institutions, raising questions about such matters as innovations that will remain or disappear post-pandemic; the parent university's investment in and plans for new uses of technology, and strategic partnerships to foster innovation. Institutions can quantify many of these mileposts and use them as indicators for the direction in which the institution is headed.

While the pandemic is the central theme running through all five scenarios, 2020 brought many other challenges to the world and nation. Racism and social injustice as well as bitter political division were other "pandemics" with far-reaching consequences. These and other considerations associated with the six priorities listed above are explored in the scenarios. Table 1 presents a synopsis of how these areas might change based on each of the five scenarios. The intent of Table 1 is not only to anticipate the future of these critical influences on dental education, but also to identify strategic areas that all academic institutions should consider in their planning efforts.

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Table 1. Impact of Each Scenario Across Other Strategic Priorities

Although the pandemic created new threats for academic dental institutions, it is not the case that dental education was without challenges prior to COVID-19. Scenarios I and II describe dental education as returning to a pre-pandemic status quo in five years. The pandemic also presents a rare occasion to change academic dentistry in important ways, as described in Scenarios III, IV, and V. Academic dental institutions can use the five scenarios to foster dialogue about these changes, as a means to assess what they have learned and how to prepare for the future.

Institutional leaders should consider how their school or program would adapt to each scenario and

how to optimize their own best-case scenario. Taking a lesson from the events of 2020, institutions should consider how and why these scenarios could develop, without prejudging or dismissing any given scenario based on pre-pandemic trends and experiences.

RECOMMENDATIONS

In its approach to scenario planning, the Institute for Alternative Futures described three different aspirational futures (Figure 5):¹⁴

- The Zone of Conventional Expectation builds on the past, current trends, and what stakeholders assume is most likely. The circumstances in Scenario IV reflect this zone.
 However, Scenario IV describes transformations precipitated by unfavorable circumstances.
 In so doing, Scenario IV straddles conventional expectations with high aspirations.
- The Zone of Growing Desperation is based on a set of reasonable challenges that an
 organization or a discipline such as dental education may face. This challenging future is
 described in both Scenarios I and II, with Scenario II underscoring a lost opportunity in spite
 of increased resources.
 - The Zone of High Aspiration provides best case scenarios in which stakeholders rally together to make lasting and impactful change. It is the zone of surprising success. Scenarios III and V describe best these high aspirations, with Scenario III doing so in the most favorable economic conditions.

The following recommendations are cut across all five scenarios with the intent of moving dental education toward a highly aspirational future.

1. Use the Scenarios to Foster Dialogue about the Future of Academic Dentistry

The five scenarios that follow are intended to create a structure for rich conversation about the future of academic dentistry. Each scenario reflects a variety of realistic variables that could have a significant impact on institutions and dental practice. The Scenario User's Guide that accompanies

the scenarios describes a workshop for using these five different visions of the future with faculty, administrators, and students.

Institutions can also use the scenarios as a test of their current strategies and plans. For example, leaders might explore how their current vision, mission, strategic goals, and operations would fare in each of the scenarios. The scenarios present an opportunity to discuss topics that might not arise from typical strategic planning and review processes.

2. Engage in scenario planning at your institution

The process described above can be used readily at the institution or unit levels to consider a range of contingencies and potential changes driven by the axes of two change drivers. Leaders can explore different variables relevant to their institutions along the continua of educational innovation and financial stability. They should also consider other critical uncertainties and how the intersection of these uncertainties would create completely different scenarios.

Preparedness is one of the main purposes of scenario planning. Institutions should use the process to consider and prepare for a variety of contingencies by asking "What if...?" and creating formal contingency plans to respond in an agile way to ensure the strategic and operational functions of the institution continue. Scenario planning also helps institutions track the signals that a particular future is emerging.

3. Take inventory of what lessons from the COVID-19 pandemic.

Scenarios I and II caution about the dangers of returning to pre-pandemic practices and norms. Institutions should evaluate practices based on value rather than tradition. They should actively seek to maintain the flexibility and adaptability developed during the COVID-19 crisis and look to establish new norms.

More specifically, as academic dental institutions continue to experience a changing educational landscape, they should work with students and faculty to understand the impacts of changing educational methodologies. Understanding the benefits of online learning, the specific challenges experienced therein, and opportunities for optimization will allow schools to capitalize on

the technological gains while keeping the wellbeing of students and faculty at the center of operations. The only way to fully understand the impacts of changing educational methodologies is to not only track changes in performance quantitatively, but to engage in real conversations with stakeholders to understand their perspectives and experiences.

4. Engage in critical conversations with students, faculty and staff about the status and progress of the institution toward diversity, equity and inclusion.

The pandemic showed disparate health outcomes based on economic and racial factors. Simultaneously, during 2020 the world was again reminded of social injustice and systemic racism. Unfortunately, many institutions that have experienced movement in the wake of racial violence and injustice will lose momentum, either because of a shift of focus to an overwhelming new challenge, changes in leadership or movement champions, or the perception that they have achieved their goals. As institutions look to the future, scenario planning can assist them with ongoing evaluation and conversations about the reality of the institutional environment and where improvements should be made.

5. Explore how the scenarios inform interprofessional education and collaborative practice.

Dental schools are models of patient-centered, collaborative care, offering the most advanced, efficient, evidence-based oral healthcare available. To develop future members of the healthcare team, academic dental institutions will need to implement interprofessional competencies that embrace other health professions and that are driven by both individual patient needs and communities of interest.

Three of the scenarios, III, IV, and V, describe new roles for academic dental institutions and oral health providers to work with colleagues from other professions. Dentistry played only a marginal role in addressing the COVID-19 pandemic, but more robust visions of interprofessional education and collaborative practice are described in these three scenarios. Institutions and leaders in the dental profession should consider the action steps necessary to realize the vision of dentistry described in these scenarios.

CONCLUSION

Scenario planning is an underutilized approach in academic dentistry for envisioning and planning to realize the best possible future. The process described above provides institutions with the tools to customize their own scenario plans. Likewise, the five scenarios that follow are opportunities for dialogue among stakeholders, both at the institutional level and national level, to anticipate and meet further challenges and opportunities successfully.

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Strategic	Scenario I	Scenario II	Scenario III	Scenario IV	Scenario V
Priority					
Interprofessio	Education	Collaboration	Dental and	Collaborative	Oral health
nal education	and	across other	medical	care teams	providers play
and	research	health	education	improve	significant
collaborative	opportuniti	professions	smoothly	personalized	roles on
		'	,	health care to	
practice	es increase	remains	integrate with		interprofessio
	in	minimal.	prevalence of	use resources	nal teams
	community-		interprofessio	more	addressing
	based		nal	effectively.	systemic
	clinics.		collaborative		health.
			practice		
			models.		
Diversity,	Initial	Little progress is	Proportional	Nationally	Academic
equity, and	enthusiasm	made in	racial	standardized	dental
inclusion	is followed	recruitment and	representatio	DEI curriculum	institutions
	by	retention of	n of faculty,	improves the	assume new
	resistance	diverse faculty	staff, and	support of	roles in
_	from some	and students.	students	historically	reducing
	who are		learning exists	underrepresen	healthcare
	happy with		within	ted minority	disparities
	how things		inclusive	groups.	through
	were.		dental school		community
			environments.		partnerships.
Research and	Only	Funding romains	The quality	Collaborative	More
	Only research-	Funding remains static and little	The quality		effective
scholarship			and quantity	research	
	intensive	protected time	of funded	initiatives	reach tools
	schools	to conduct	research and	expand	develop for
			clinical	significantly	non-invasive

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	sustain funding and	research.	innovation improves.	through NIH.	health monitoring,
	maintain		improves.		disease
+	their				assessment,
	research				and testing.
	enterprise.				
Policy and	Institutional	Individual and	National	Combining the	Policy
advocacy 📞	infighting	organizational	student debt	first didactic	changes result
	slows	advocacy efforts	loads are	year within the	in closer
	meaningful	decrease.	reduced; live	entire medical	relationships
	action.		patient	enterprise	among local,
			clinical exams	dramatically	state, and
0			are	reduces costs.	federal
	7		eliminated.		governments.
Faculty	Increased	Faculty burn-out	Tailored	Implementatio	Faculty
recruitment,	faculty	is high and few	contracts	n of virtual	recruitment
retention, and	compensati	applicants to fill	allow faculty	faculty	and retention
development	on	open positions.	members to	development	is enhanced
	improves		pursue	significantly	as faculty lead
	recruitment		research and clinical	enhances	broader
	and retention.		interests,	faculty satisfaction	initiatives in primary care.
	retention.		which	and retention.	primary care.
			improves	and retention.	
			retention.		
Relationship	Return on	Some dental	Improved	Institutions	The visibility
to the parent	investment	schools close	collaboration	capitalize on	of academic
institution	of dental	and others	and resource	shared faculty	dental
	programs is	struggle to	sharing	inter- and	institutions to
	reassessed	survive.	between units	intra-	parent
	and		results in	institutionally	universities is
	questioned.		transparency	to optimize	enhanced as
			and reduced	available	academic
	7		operating	resources.	dentistry
_			costs.		plays a broader role
					in healthcare.
					iii iicaitiitale.
Access to care	Slow	Access to care	Increased	Extramural	The practice
	acceptance	has not changed	rates of	rotations and	of
	of midlevel	over the past	dental	outreach	teledentistry
	providers	five years, but	services	programs are	has a
	ultimately	fewer patients	provide	enhanced and	significant

+	decreases community engagemen t.	are seeking care.	internal and external to dental schools, with improved student	help to lower educational costs (students educated in extramural clinics).	impact in reaching underserved patient populations.
			learning experiences.		
Accreditation	coda makes incremental changes and allows some curriculum flexibility.	Patient- based licensure exams continue and no nationalized system for credentialing exi sts.	Technology improvement s streamline data tracking and reporting and virtual visits reduce costs of accreditation.	CODA site visit teams include individuals from other health professions for a more diverse perspective.	Accreditation standards give more directive guidance for interprofessio nal education and collaborative care.

Figure 1. Focusing Scenario Planning on Critical Uncertainties

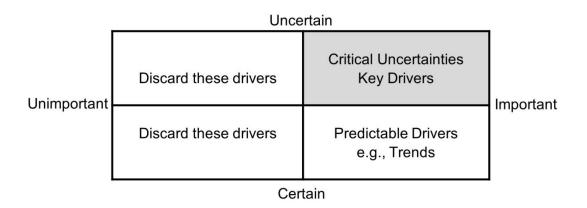




Figure 2. Critical Uncertainties as Drivers of Change

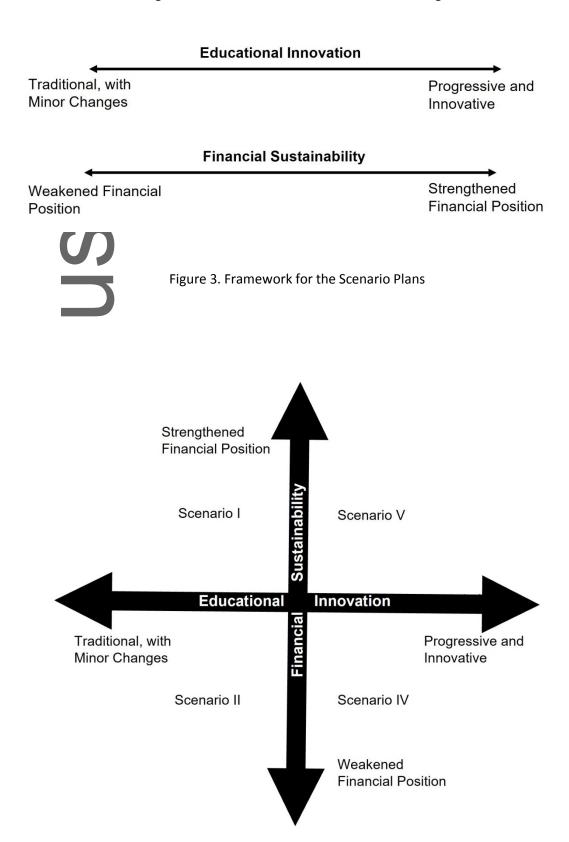


Figure 4. Dental Education 2026: Five Scenarios

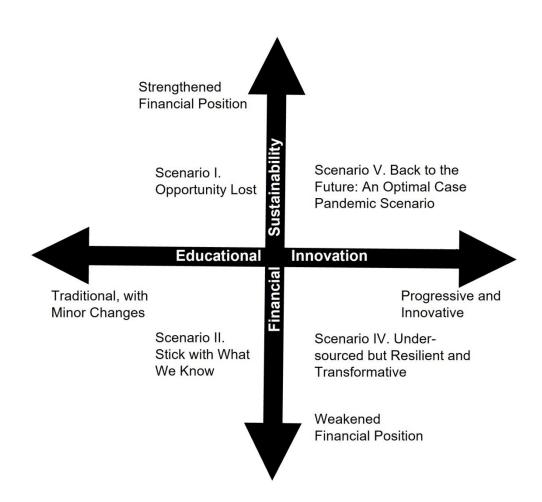




Figure 5. Aspirational Futures Approach¹⁴

