

1 **Periodontal connection with intestinal inflammation:**
2 **Microbiological and immunological mechanisms**

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9 **Short title:** Oral-gut axis in GI diseases

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12

13 **Abstract**

14 Humans have coevolved with the trillions of resident microbes that populate every nook and cranny of
15 the body. At each site, the resident microbiota creates a unique ecosystem specialized to its
16 environment, benefiting the development and maintenance of human physiology through harmonious
17 symbiotic relationships with the host. However, when the resident microbiota is perturbed, significant
18 complications may arise with disastrous consequences that affect the local and distant ecosystems. In
19 this context, periodontal disease results in inflammation beyond the oral cavity, such as in the
20 gastrointestinal tract. Accumulating evidence indicates that potentially harmful oral resident bacteria
21 (referred to as pathobionts) and pathogenic immune cells in the oral mucosa can migrate to the lower

1 gastrointestinal tract and contribute to intestinal inflammation. We will review the most recent advances
2 concerning the periodontal connection with intestinal inflammation from microbiological and
3 immunological perspectives. Potential therapeutic approaches that target the connection between the
4 mouth and the gut to treat gastrointestinal diseases, such as inflammatory bowel disease, will be
5 examined. Deciphering the complex interplay between microbes and immunity along the mouth–gut
6 axis will provide a better understanding of the pathogenesis of both oral and gut pathologies and
7 present therapeutic opportunities.

8 9 **1. Introduction**

10 The surface of our body is covered by numerous commensal microorganisms, including bacteria, fungi,
11 and viruses. The oral cavity has the second largest commensal bacterial community, harboring over
12 770 species of bacteria that live in different habitats, including the lips, teeth, tongue, cheeks, and
13 palate (1). Oral bacteria are primarily members of the phyla Firmicutes, Fusobacteria, Proteobacteria,
14 and Actinobacteria, creating complex ecosystems by adapting to each unique environment (2).

15 Although the role of the commensal oral bacteria in oral health is yet to be fully understood, the
16 colonization of the bacteria in the oral cavity after birth appears to be essential for the development of
17 the oral mucosal immune system and terminal maturation of the stratified oral epithelium, which is
18 crucial to the establishment of oral mucosal homeostasis (3). Also, certain types of commensal oral
19 bacteria serve as the first-line of defense against the colonization of exogenous pathogens by inhibiting
20 the adhesion of pathogens and the production of bactericidal products (e.g., bacteriocins, hydrogen
21 peroxide) (4).

1

2 Like the oral compartment, unique environments in the human gut (e.g., nutrient and anaerobic
3 conditions) shape a complex gut microbiota, consisting of the collection of trillions of microbial cells with
4 thousands of bacterial species. It is the largest bacterial community in the human body and plays an
5 essential role in host physiological homeostasis, including the education of the host immune system,
6 nutrient digestion, and defense against colonization by pathogenic microorganisms (5-8). Because of its
7 fundamental role in controlling intestinal physiology, disturbance of the gut microbiota, often referred to
8 as gut dysbiosis, has been demonstrated to underlie multiple intestinal pathologies, including irritable
9 bowel syndrome (IBS), inflammatory bowel disease (IBD), and colorectal cancer (CRC). The advances
10 in sequencing technologies have revealed an abnormal enrichment of typical oral resident bacteria in
11 the luminal contents and the mucosal tissues of the gut in patients with gut pathologies (9). Given the
12 studies depicting the pathological impact of certain oral resident bacteria (e.g., *Porphyromonas*
13 *gingivalis* and *Fusobacterium nucleatum*) on gut homeostasis, it is conceivable that the oral cavity
14 serves as a reservoir of oral pathobionts whose ectopic gut colonization contributes to the intestinal
15 pathologies. Studies have clearly shown that patients with gut inflammation, such as IBD, exhibit a
16 significant enrichment of oral bacteria in the gut, including pathogens associated with the oral
17 inflammatory disease periodontitis (10, 11). This notion is supported by studies showing the distinct oral
18 microbiota (12) and increased prevalence of periodontitis in IBD patients when compared with healthy
19 individuals (13). These observations may be indicative of the link between periodontal and gut
20 inflammation established through microbial communications.

21

1 **2. Potential routes of gut translocation of oral bacteria**

2 The translocation of oral bacteria from the oral cavity to the gut mucosa is poorly defined. Two potential
3 routes have been proposed.

4 5 **2.1. Hematogenous dissemination**

6 Oral resident bacteria can disseminate systemically by the hematogenous route originating in the oral
7 cavity. In this regard, mechanical injuries in the oral cavity can lead to the spread of oral bacteria into
8 the systemic circulation (14, 15). Moreover, oral bacteria such as *P. gingivalis* are found in the blood
9 collected from patients with periodontal diseases, including periodontitis (16). Consistently, ligature-
10 induced murine periodontitis leads to oral bacterial dissemination to the liver and spleen, indicating that
11 the hematogenous spread of oral bacteria can be determined by oral disease status (17). Furthermore,
12 it has been shown that hematogenously inoculated *Fusobacteria* strains are more successful in tumor
13 colonization in the gut than gavaged strains, suggesting the importance of the circulatory system as a
14 route of oral bacteria dissemination (18). Oral bacteria are also known to invade and survive inside
15 dendritic cells and macrophages, implying the hijacking of host immune cells to serve as Trojan horses
16 for the dissemination of bacteria from the oral to the gut compartment (19).

17 18 **2.2 Enteral dissemination**

19 People swallow about 600 times a day and produce ~1.5 L of saliva containing 1.5×10^{12} oral bacteria
20 (20, 21). Although more than half of the oral resident bacterial species (e.g., *Streptococcus* spp.
21 *Veillonella* spp.) are detectable in the gut, implying oral–gut translocation of oral bacteria even in

1 healthy individuals (22), oral bacteria are generally poor colonizers in a healthy gut. This is due to the
2 segregation of mouth and gut bacterial communities through the multiple barriers conferred by the
3 gastrointestinal tract (9). The first barrier against the oral bacterial translocation to the gut is gastric
4 acidity (23, 24). It is estimated that over 99.9% of swallowed bacteria of oral origin cannot survive in the
5 stomach due to its acidic antimicrobial environment, which reduces bacterial numbers by 5–6 orders of
6 magnitude (21, 25). In line with this notion, a significant elevation of gut colonization by oral bacteria
7 (e.g., *Streptococcus* spp., *Veillonella* spp., *Haemophilus* spp.) occurs in patients who have gastric
8 achlorhydria caused by the long-term use of proton pump inhibitors (PPIs). Consistently, patients with
9 gastroesophageal reflux disease (GERD) treated with long-term PPI therapy also exhibit a higher oral
10 bacterial accumulation in the gut compared to healthy individuals (26). Further, individuals who have
11 gastritis after gastric surgery (e.g., gastric bypass or removal) exhibit an altered gut microbial
12 composition, accompanied by the accumulation of resident oral bacteria in the gut (e.g., *Streptococcus*
13 spp., *Veillonella* spp., and *Enterobacteriaceae*) (27, 28). Of note, the attenuated gastric acidity is
14 observed in patients with IBD, indicating the potential contribution of a “leaky stomach” in facilitating a
15 profound colonization of oral bacteria in the gut (29). Importantly, certain types of oral pathogens, such
16 as *P. gingivalis*, can tolerate the acidic environment in the stomach and pass through the stomach
17 barrier (30). Consequently, although possibly less effective for those bacteria that can tolerate the
18 acidic environment, the prevention of the enteral transmission of oral bacteria by gastric acids is
19 considered as the primary defense mechanism. Secondary, given the colonization resistance conferred
20 by the gut resident microbiota (31), preservation of the harmonious microbial structure in the gut is also
21 important for preventing ectopic colonization by ingested oral bacteria. This notion is supported by the

1 intestinal expansion of oral bacteria in patients who take certain types of antibiotics (e.g., vancomycin),
2 as the antibiotic treatment provokes gut dysbiosis, which generates the niche for ingested oral bacteria
3 (9). In addition to antibiotics, multiple factors that cause gut dysbiosis, such as gut inflammation, diets,
4 artificial sweeteners, may also contribute to the opportunistic gut colonization by oral bacteria (9).

6 **3. Microbial pathway (via direct gut colonization of oral pathobionts)**

7 Disordered gut microbial distribution and discordant immune responses underlie the development of gut
8 inflammation. Once oral pathobionts colonize the gut, they may be the causative agents, responsible
9 for inducing abnormal immune responses in the gut, thereby leading to intestinal inflammation (Figure
10 1). Multiple oral resident bacteria are reported to be potential oral pathobionts that are conducive to gut
11 inflammation.

13 **3.1 *Fusobacteria* spp.**

14 Certain members of the family *Fusobacteriaceae*, such as *F. varium* and *F. nucleatum*, are enriched in
15 the gut of patients suffering from IBD, and their abundance is significantly elevated when the disease is
16 active, rather than in remission (9, 32, 33). As genetically identical strains of *F. nucleatum* are
17 detectable in both the saliva and colonic tumors of patients with colorectal cancer (34), *Fusobacterium*
18 strains found in the gut of the IBD patient likely originate from the oral cavity. In addition, considering
19 the inflammatory capacity of *Fusobacteria* spp. in the oral cavity (35-37), the involvement of oral-
20 derived *Fusobacteria* spp. in the exacerbation of gut inflammation is plausible. *F. varium* can invade the
21 intestinal epithelium and evoke the production of proinflammatory cytokines, such as interleukin (IL)-8

1 and TNF- α , from the intestinal epithelial cells (38). Similarly, *F. nucleatum* is also highly invasive to
2 intestinal epithelial cells and induces TNF- α and IL-1 β expression (39). Moreover, *F. nucleatum*
3 facilitates dextran sulfate sodium (DSS)-induced colitis by disrupting the integrity of the epithelial
4 barrier; reducing tight junction proteins such as ZO-1 and occludin (32, 33, 40). Activation of the
5 caspase activation and recruitment domain 3 (CARD3)/IL-17F/nuclear factor-kappa B (NF- κ B) cascade
6 in the epithelial cells on the colonization of *F. nucleatum* also fuels intestinal inflammation through the
7 secretion of proinflammatory cytokines, such as IL-6, IL-17F, IL-1 β , and TNF- α (32, 41). Further, *F.*
8 *nucleatum* aggravates the progression of DSS-induced colitis by promoting M1 macrophage
9 polarization through the activation of the AKT2 pathway (40). *F. nucleatum* also promotes the secretion
10 of proinflammatory cytokines (TNF- α , IFN- γ , IL-1 β , IL-6, and IL-17) and activates the signal transducer
11 and activator of transcription 3 (STAT3) signaling pathway, thereby inducing the expansion of Th1 and
12 Th17 cells in the DSS-induced colitis model (33). However, the administration of *F. nucleatum* to colitis-
13 associated mouse models (e.g., BALB/c IL-10^{-/-} and BALB/c T-bet^{-/-} \times Rag2^{-/-}) neither accelerates gut
14 inflammation nor increases the number of colorectal adenomas (42). Although *F. nucleatum* is a well-
15 recognized oral resident bacterium abundant in colonic tumors, and a known contributor to
16 tumorigenesis (18, 43), its role and the mechanisms involved in the development of gut inflammation
17 remain open to debate.

18

19 **3.2 Porphyromonas gingivalis**

20 *P. gingivalis* is a major periodontopathic bacterium with a wide variety of proinflammatory capacities in
21 the pathogenesis of periodontal diseases, such as periodontitis (44, 45). Multiple studies have revealed

1 that the orogastric administration of *P. gingivalis* to mice may impair epithelial integrity in the gut. For
2 instance, continuous administration of *P. gingivalis* (i.e., twice a week, for 5 weeks) to C57BL/6N mice
3 causes endotoxemia, accompanied by the decrease of gene expression of tight junction protein ZO-1
4 and increase of proinflammatory cytokines IL-6, IL-12, and IFN- γ in the gut (46). Similarly,
5 administration of a single oral dose of *P. gingivalis* to C57BL/6N mice results in the reduced expression
6 of intestinal tight junction proteins ZO-1 and occludin in the gut, and the systemic dissemination of
7 enterobacteria to the liver, indicating the disruption of the intestinal barrier function (47). Interestingly,
8 the gut microbial composition of mice treated with *P. gingivalis* was clearly distinct from that of sham-
9 treated mice, with the expansion of unclassified *Muribaculaceae* and *Prevotella* spp., which are similar
10 to the IgA-coated colitogenic pathobionts in the gut (48). This indicates that *P. gingivalis* itself can be
11 colitogenic, yet gut dysbiosis driven by the colonization of *P. gingivalis* may also play a role in the
12 induction or exacerbation of colitis. In a clinical setting, patients with IBD are known to have an
13 increased prevalence of periodontitis compared to individuals who do not have IBD (13). Given that
14 large quantities of oral bacteria are constantly swallowed and reach the gut, it is plausible that
15 numerous *P. gingivalis*, ranging between 10^6 – 10^8 cells per mL in subgingival and salivary samples
16 (corresponding to 10^9 – 10^{11} copies daily), are swallowed by patients with chronic periodontitis (49).
17 Although the precise impact of gut colonization of *P. gingivalis* on intestinal inflammation remains
18 unexplored, its proinflammatory potential suggests that it may exacerbate the inflammation. On the
19 other hand, it is also reported that monocolonization of *P. gingivalis* in the gut promotes beneficial
20 changes in the gut immune system, including the elevation of genes related to tight junction proteins
21 and the antiinflammatory cytokine IL-10 (50). Further studies would clarify the impact of gut colonization

1 of *P. gingivalis* on the pathogenesis of intestinal inflammation.

3 **3.3 *Atopobium parvulum***

4 *A. parvulum* is frequently isolated from the human oral cavity and found to be associated with oral
5 malodor (halitosis). Research has revealed that patients with IBD, similar to patients with colon cancer,
6 exhibit an accumulation of *A. parvulum* in the gut (9). Certain oral bacteria (e.g., *Atopobium* spp.,
7 *Veillonella* spp., *Prevotella* spp., *Streptococcus* spp., and *Aggregatibacter* spp.) are known to liberate
8 hydrogen sulfide (H₂S), an inflammatory mediator, from sulfur-containing amino acids (9). Investigators
9 identified impaired mitochondrial H₂S detoxification and the bloom of H₂S-producing pathobionts along
10 with the depletion of butyrate-producing bacteria in the gut of patients with Crohn's disease (CD) by
11 using system biology approaches that combine metagenomic and proteomic data sets (51). About one-
12 quarter of the operational taxonomic units (OTUs) (e.g., *Atopobium*, *Fusobacterium*, *Veillonella*,
13 *Prevotella*, *Streptococcus*, and *Leptotrichia*) that correlate positively with the severity of intestinal
14 disease are known to metabolize sulfur-containing amino acids into H₂S. Importantly, *A. parvulum* is
15 defined as the key pathobiont, serving the central hub of the H₂S network. Furthermore, this study
16 demonstrated the colitogenic capacity of *A. parvulum* in an *Il10*^{-/-} colitis model, with the increased
17 expression of the chemokine (C-X-C motif) ligand 1 (*Cxcl1*) and *Il17* in the gut, compared with controls,
18 which was mitigated by the administration of the H₂S scavenger bismuth (51). In contrast, *A. parvulum*
19 monocolonized germ-free (GF) *Il10*^{-/-} mice did not develop significant colitis, suggesting that other
20 microbes, or their metabolites, are required for *A. parvulum*-driven colitis. Given the ability of H₂S to
21 induce proinflammatory molecules (e.g., cyclooxygenase (COX)-2, IL-8, and CCAAT enhancer binding

1 protein beta [CEBPB]) (52) in epithelial cells and to promote T cell activation (53), it is conceivable that
2 *A. parvulum* creates niches favorable for the growth of colitogenic pathobionts by inducing H₂S. At high
3 concentration, H₂S is a strong inhibitor of cytochrome c oxidase, and hence, mitochondrial oxygen (O₂)
4 consumption, with deleterious consequences for the epithelial integrity. Furthermore, given that
5 colonocytes obtain more than 70% of their energy from the oxidation of gut bacteria-derived butyrate
6 (54, 55), along with the ability of H₂S to inhibit butyrate oxidation, *A. parvulum* may play a role in the
7 epithelial energy deficiency associated with the prevalence of IBD (56, 57).

9 **3.4 *Campylobacter concisus***

10 *C. concisus* is an oral resident bacteria found in the gut of patients with IBD (58-61). Genomic
11 comparison of oral and enteric *C. concisus* strains implies that the enteric strains originate from the oral
12 *C. concisus* strains (62, 63). Although the mechanistic features of the flagellum of *C. concisus* are not
13 fully understood, *C. concisus* flagellum-mediated attachment to and invasion of the colonic epithelial
14 cell line Caco-2 have been documented (64). Research has also shown that dense bacterial biofilm
15 formation is common in IBD patients and contributes to the disease pathogenesis through the induction
16 of dysbiosis and resistance to treatment, such as antibiotics (65). In this regard, the flagellum of *C.*
17 *concisus* enables it to form biofilm and hence survive in the gut (66). In vitro intestinal epithelial cell
18 culture models (e.g., Caco-2, HT-29/B6 cells) also suggest that *C. concisus* can increase intestinal
19 permeability through the dislocation (or downregulation) of ZO-1, occludin, and claudin-5, together with
20 apoptotic leaks (64, 67). Moreover, *C. concisus* impairs sodium (Na⁺) absorption in HT-29/B6 cells
21 through the dysfunction of the epithelial Na⁺ channels (68). This is dependent on IL-32-regulated

1 extracellular signal-regulated protein kinase (ERK)1/2, as well as claudin-8–dependent barrier
2 dysfunction, both of which contribute to Na⁺ malabsorption and diarrhea (68). *C. concisus* also
3 increases the production of proinflammatory molecules such as IL-8 and COX-2, which is an enzyme
4 responsible for generating prostaglandins as well as other inflammatory mediators in the intestinal
5 epithelial cells (69). In parallel, infected HT-29 epithelial cells express elevated levels of pattern-
6 recognition receptors (e.g., Toll-like receptor (TLR) 4, but not TLR2 or TLR5), implicating the role of *C.*
7 *concisus* in modulating the intestinal epithelial responses to bacterial components such as
8 lipopolysaccharide (69). In response to *C. concisus* colonization of Caco-2 cells, autophagy-related
9 genes, such as *ATG9B*, are significantly reduced, implying the importance of escape from autophagy
10 as a bacterial survival strategy within the intracellular compartment (70). Interestingly, global gene
11 expression changes in Caco-2 caused by the exposure to the toxigenic *C. concisus* strain AToCC that
12 expresses zonula occludens toxin (ZOT) were distinct from the changes induced by the nontoxigenic
13 strain AICC. The AToCC strain, compared to AICC, induces a more robust expression of genes related
14 to inflammatory responses (e.g., IL-2, IL-5, IL-18, CCL2, and TNF signaling) and the pattern recognition
15 receptors involved in sensing intracellular nucleic acids (e.g., TLR3), as well as the assembly of the
16 IFI16 inflammasome (70).

17

18 Another *C. concisus* virulence factor – membrane-bound hemolytic phospholipase A2 (PLA2) – exhibits
19 cytolytic effects on Chinese hamster ovary cells in tissue culture, indicating the possible mechanism of
20 cell destruction by *C. concisus* during intestinal inflammation (71). After passing through the epithelial
21 barrier, *C. concisus* can activate immune cells including macrophages and neutrophils in the lamina

1 propria and elicit inflammatory responses. For instance, *C. concisus* enhances the production of IL-8
2 and TNF- α by THP-1 macrophages (64). Like the epithelial response against *C. concisus*, genes
3 associated with the host recognition of *C. concisus* (e.g., those encoding TLRs), as well as
4 inflammasome-related genes (e.g., IFI16, ASC), are significantly upregulated after *C. concisus* infection
5 of THP-1 macrophages (72). Also, global gene regulation in macrophages on infection with *C. concisus*
6 includes the activation of key inflammatory pathways involving CREB1, NF- κ B, STAT, and interferon
7 regulatory factor (IRF) signaling (72). Further, *C. concisus* activates the innate immune system by
8 stimulating CD11b expression in neutrophils, which promotes neutrophil adhesion to the vascular
9 endothelium and an oxidative burst response (73). To date, published animal studies with *C. concisus*
10 infection are few. The first study, which was conducted in BALB/c mice, showed that the infected mice
11 had marginal gut inflammation with poor colonization (74). Another study used antibiotic-treated IL-10^{-/-}
12 mice (on the C57BL/6J genetic background) and showed that oral administration of *C. concisus* neither
13 induces significant inflammation nor impairs epithelial barrier function in the colon, whereas *C. concisus*
14 colonization can cause dysfunction of the epithelial Na⁺ channel associated with watery diarrhea (68,
15 75). Despite ample evidence of the colitogenic capacity of *C. concisus*, comprehensive animal studies
16 are required to determine the precise impact of gut colonization of *C. concisus* on intestinal
17 inflammation.

19 **3.5 *Staphylococcus aureus***

20 *S. aureus* is a gram-positive, spherical member of the phylum Firmicutes, and a constituent of the
21 human oral microbiota (76, 77). Although this bacterium is well characterized by food poisoning through

1 staphylococcal enterotoxin (SE)–mediated mechanisms (77, 78), patients with Crohn’s disease (CD)
2 are also known to have higher levels of *S. aureus* in inflamed subgingival sites compared with healthy
3 individuals, even with similar clinical periodontal parameters (79). Notably, the increased colonization
4 by this bacterium is also reported in the gut of IBD patients compared with non-IBD controls (9, 26). *S.*
5 *aureus* is reported to adhere to intestinal epithelial cells (80). It has also been shown that oral
6 administration of *S. aureus* strain RN8098, which produces staphylococcal enterotoxin B (SEB), into
7 antibiotic-pretreated C57BL/6J mice causes epithelial damage in the small, but not the large intestine,
8 whereas no overt inflammation was observed in mice colonized by a SEB mutant strain (80).
9 Interestingly, despite the capability of SEs to dampen adherens junction protein expression (81),
10 disruption of the adherens junction proteins E-cadherin and β -catenin in the small intestine of mice with
11 *S. aureus* was detected in both wild-type and SEB mutant strains. This indicates the possible
12 involvement of virulence factors other than SEB in *S. aureus*–induced epithelial damage in the gut (82).
13 Furthermore, SEs are known to function as superantigens by binding to the outside of the antigenic
14 peptide binding groove of major histocompatibility complex (MHC)–II on antigen-presenting cells (e.g.,
15 macrophages and dendritic cells), as well as to T cell receptors expressing certain V β elements (78).
16 Thus, the massive proliferation of CD4⁺ T cells with the production of proinflammatory cytokines
17 induced by those interactions may also contribute to the pathogenesis of IBD.

18 19 **3.6. *Klebsiella* spp. and *Enterobacter* spp.**

20 *Enterobacteriaceae* is a large family of gram-negative bacteria, including *Klebsiella* spp. and
21 *Enterobacter* spp. Most *Enterobacteriaceae* are part of the gut commensal microbiota. However,

1 investigators have shown that colonization of oral-derived *Klebsiella* spp. (e.g., *K. pneumoniae*, *K.*
2 *aeromobilis*) isolated from the saliva of patients with CD results in potent Th1 cell differentiation in the
3 gut of gnotobiotic animals (26). Importantly, this study showed that oral *Klebsiella* spp. can facilitate the
4 development of Th1-skewed IBD-like colitis in IL-10^{-/-} mice, whereas no overt inflammation was
5 detected in immune-competent wild-type B6 mice despite Th1 induction in the gut. Mechanistically, TLR
6 and IL-18 signaling are required for the *Klebsiella*-mediated Th1 cell induction through the antigen-
7 presenting CD11b⁺CD103⁺ dendritic cells. Also, it was shown that upregulation of IFN-inducible (IFI)
8 genes, such as those encoding guanylate-binding proteins, CXCL9, MHC-related molecules, and dual
9 oxidase 2 (Duox2), may facilitate the gut colonization by *K. pneumoniae*, as well as the development
10 and recruitment of Th1 cells. Further, the investigators observed that mice lacking IFN receptor 1 failed
11 to respond to the *K. pneumoniae* colonization. These results imply that Th1 responses triggered by *K.*
12 *pneumoniae* are sustained via an IFI-mediated feed-forward loop (26). Of note, these oral-derived
13 *Klebsiella* isolates are resistant to multiple antibiotics, indicating the potential risk of antibiotic use in a
14 clinical setting, as such a regimen may allow the bacteria to colonize the gut and induce colitis in IBD-
15 susceptible hosts.

16
17 Ample evidence of the clinical association between periodontitis and IBD (13) prompted us to assess
18 the impact of periodontitis on intestinal inflammation. Our recent study revealed the deleterious
19 contribution of periodontitis, associated with the expansion of oral pathobionts belonging to the
20 *Enterobacteriaceae* family in the oral cavity, to the development of distant intestinal inflammation (83).
21 In this study, by combining ligature-induced murine periodontitis and DSS-induced colitis models, we

1 revealed that oral inflammation fosters blooms of *Enterobacteriaceae* including *Klebsiella* spp. and
2 *Enterobacter* spp. and enforces colonization of these oral pathobionts in the gut of genetically
3 susceptible IL10^{-/-} mice (but not wild-type B6 mice), resulting in exacerbation of intestinal inflammation.
4 Further, we showed that direct gut colonization of these oral pathobionts strongly induces colonic IL-1 β
5 production by activating the inflammasome pathway in intestinal macrophages in the inflamed gut,
6 thereby aggravating the intestinal pathology (83). Importantly, an overt increase of oral pathobionts did
7 not occur in the healthy gut, even in the mice with periodontitis, implying that at least two hits (i.e.,
8 prerequisites) to the microbiotas in the mouth and gut are essential for the development of oral
9 pathobiont-driven intestinal inflammation. The first prerequisite is oral dysbiosis, which drastically
10 increases the number of oral pathobionts in the oral cavity, and thus increases the chance of successful
11 transmission to the intestine. As discussed, the physiological barrier functions of the gastrointestinal
12 tract, particularly in the stomach, deter the successful transmission of ingested bacteria. Given the
13 bactericidal effect of gastric acids, the expansion of oral pathobionts in the dysbiotic oral microbiota
14 must be achieved to increase the chance of bacterial survival in the stomach, followed by the
15 successful translocation to the intestine. Attenuation of gastric acidity in patients with IBD or the
16 inhibition of acid secretion may explain why amassed oral bacteria are often found in the gut of IBD
17 patients (10, 11, 84, 85). The second prerequisite involves the disruption of gut colonization resistance
18 conferred by gut dysbiosis, which may be required to enable oral pathobionts (that successfully passed
19 through the gastric barrier) to colonize the gut. In our study, gut inflammation dampened colonization
20 resistance provided by the gut commensals and allowed ingested oral pathobionts (e.g., *Klebsiella*
21 spp.) to successfully colonize the gut (83). Given that the inflammatory milieu favors the growth of

1 members of the *Enterobacteriaceae* family (86-88), intestinal inflammation may also be a potent driving
2 factor that instigates the ectopic colonization of certain types of oral pathobionts such as *Klebsiella* spp.
3 that can gain growth benefits in the inflamed gut. Consistent with the previous report of IBD patient-
4 derived oral *Klebsiella* spp. (26), the *Klebsiella* strains that we isolated (e.g., *K. aerogenes*) from
5 periodontitis mice also have antibiotic resistance (data not shown), indicating the potential risk of
6 antibiotic use in the development of gut inflammation, which is mediated by ectopically colonized oral
7 pathobionts in the dysbiotic gut environment.

9 **3.7 Other oral bacteria**

10 Like *Atopobium* spp., certain oral bacteria (e.g., *Veillonella* spp.) enriched in the gut of IBD patients
11 have been identified as major producers of H₂S, implicating their proinflammatory potential in the gut
12 (10, 89). Also, other indigenous oral bacteria (e.g., *Streptococcus* spp. and *Neisseria* spp.) can produce
13 acetaldehyde by catabolizing ethanol and glucose (90). Given the proinflammatory capacity of
14 acetaldehyde through disruption of the epithelial barrier function (91-93), it is possible that ectopic
15 colonization of the gut by these oral bacteria could instigate gut inflammation. Furthermore, besides the
16 enteral colonization described in Figure 1, certain types of oral pathobionts, such as *Streptococcus*
17 *mutans*, may impact the intestinal pathology through hematogenous spread from the oral cavity. *S.*
18 *mutans* has virulence factors associated with the etiology and pathogenesis of dental caries (94, 95).
19 Also, a higher prevalence of dental caries and higher salivary counts of *S. mutans* are reported in CD
20 patients compared to the control group (96). Several *S. mutans* strains isolated from the oral cavity of
21 patients with ulcerative colitis (UC) caused aggravation of murine DSS-induced colitis, suggesting the

1 potential involvement of highly virulent *S. mutans* in the occurrence of UC (97). In this study, the
2 investigators found that intravenous administration of TW295, a serotype *k* strain of *S. mutans*
3 expressing collagen-binding protein, can specifically colonize the liver, rather than the intestine, and
4 induce IFN- γ production (presumably from the hepatocytes), thereby increasing the susceptibility to
5 DSS-colitis. As oral administration of TW295 did not produce colitis aggravation, it is conceivable that
6 certain oral pathobionts, such as *S. mutans*, coming from the circulating blood, but not from the mucosa
7 surrounding the lumen of the gastrointestinal tract, are involved in the aggravation of colitis.

8 Consistently, it is reported that *S. mutans* can disseminate to the systemic circulation in individuals who
9 have had dental procedures (e.g., orthodontics, tooth extraction) or oral disease (e.g., oral cancer) (9).

11 **4. Immunological pathway (via translocation of orally primed immune cells to the gut)**

12 Ample evidence demonstrates that immune cells can move from the gut to other organs (e.g., liver,
13 kidney, joints) and contribute to the disease pathogenesis at distant sites (98-100). The immune cell
14 trafficking between the gut and other organs seems to be bidirectional. It is reported that leukocytes in
15 the oral draining lymph nodes, particularly the cervical lymph nodes (cLNs), can travel to the gut even
16 under steady-state conditions (101), indicating the potential role of systemic immune cell circulation in
17 human health and disease. In this context, we unveiled the mechanistic link between the mouth and gut
18 during the development of gut inflammation from an immunological point of view ((83), Figure 2).

19
20 As mentioned above, ligature-induced murine periodontitis increases the susceptibility to acute DSS-
21 induced colitis through the direct gut colonization by oral pathobionts (83) (Figure 1). Interestingly, even

1 though the acute DSS-induced colitis model may lack sufficient time to develop T cell immunity in the
2 gut, we observed a prominent increase of Th17 and Th1 cells in the colonic mucosa of ligature–DSS
3 mice compared with DSS colitis only mice. Given the known cellular trafficking between the oral cavity
4 and the gastrointestinal tract (101) and the role of Th17 in periodontal inflammation (102), we
5 hypothesized that the pathogenic T cells that accumulate in the gut of ligature–DSS mice originate from
6 the oral cavity. To this end, we first characterized the immune responses provoked by periodontitis in
7 the oral cavity. Then, we showed that CD3⁺CD4⁺CD44^{hi}CD62L^{lo} effector memory T (T_{EM}) cells are
8 enriched in the cLNs of mice that developed periodontitis. In accordance with a previous report (103),
9 we observed that T_{EM} cells accumulated in periodontitis mice display the IL-17A–producing RORγt⁺
10 Th17 phenotype. By coculturing oral antigen-pulsed dendritic cells (DCs) and isolated orally primed
11 Th17 cells, we discovered that oral Th17 T_{EM} cells were reactive to oral pathobionts, including
12 *Klebsiella* spp. and *Enterobacter* spp., all of which expanded in the inflamed, but not the healthy, oral
13 mucosa. These results suggested that oral pathobiont-reactive Th17 cells are generated during
14 periodontitis, raising the question of whether oral Th17 cells can travel to the gut. Further analysis
15 showed the cell surface expression of gut-homing markers α4β7 integrin and CCR9 on these oral Th17
16 cells, indicating their gut tropism. To obtain direct evidence of the transmigration of oral Th17 cells to
17 the gut, we used in vivo photoconversion of cells in the cLNs of transgenic mice expressing the Kaede
18 protein (104) and monitored the ability of these cells to migrate to the gut. In this trafficking system, all
19 cells in Kaede mice constitutively express the photoconvertible Kaede green fluorescent protein. When
20 the photoconvertible protein is exposed to violet light, the cell color changes from Kaede green to
21 Kaede red (104). As previously reported (101), we detected Kaede red CD4⁺ T cells in cLNs in the

1 steady-state gut, providing concrete evidence of the transmigration of orally primed Th17 cells to the
2 gut mucosa. Interestingly, the influx of oral Th17 cells to the gut was significantly increased in mice with
3 DSS-induced colitis. Although the precise mechanisms of this transmigration remain unclear, the
4 upregulation of mucosal addressin cell adhesion molecule 1 (MadCAM-1), a ligand for $\alpha 4\beta 7$ integrin
5 expressed in vessels in the colonic lamina propria of patients with IBD and experimental animal models
6 including DSS-induced colitis models (105-107), suggest that an enhanced interaction between $\alpha 4\beta 7$
7 integrin and MadCAM-1 plays a role in accelerating the influx of oral Th17 cells into the inflamed gut
8 (Figure 2). To validate the colitogenic capacity of oral Th17 cells in the gut, we conducted multiple
9 immune cell experiments, including adoptive transfer colitis. We found that isolated oral Th17 cells (i.e.,
10 Kaede red cells isolated from the gut of ligatured mice) induced colitis when transferred intravenously
11 into *Rag1*^{-/-} mice colonized by the oral pathobiont *K. aerogenes* in the gut associated with an increase
12 of Th17 cells (ROR γ t⁺) and Th1/Th17 cells (ROR γ t⁺ T-bet⁺); in contrast, Kaede green cells isolated from
13 the gut of ligatured mice failed to cause colitis. Interestingly, administration of IL-1 receptor antagonist
14 (anakinra) ameliorated the severity of colitis in the Kaede red cell-transferred mice. Considering the
15 known role of IL-1 β in skewing Th17 cells toward Th1 phenotypes, intestinal IL-1 β induced by the gut
16 colonization of oral pathobionts (e.g., *K. aerogenes*, Figure 1) not only induces proinflammatory innate
17 lymphoid cells and Th17 cells (108), but also acts as a Th1 skewing factor for generating Th1/Th17
18 cells, which also accumulate in the gut of individuals with IBD (109-113) (Figure 2). In our study, in
19 accordance with the current understanding of a key role of Th17 cells in the commensal-driven oral
20 inflammation (103, 114-117), we observed the prominent increase of oral pathobiont-reactive Th17
21 cells in the oral cavity in response to the ligature-induced periodontitis (83). In this context, despite the

1 evidence that commensal-reactive Th17 cells generated in the gut are not pathogenic (118), IFN- γ -
2 secreting Th1-like exTh17 cells that arise from Th17 cells under certain circumstances in the gut can
3 induce severe intestinal inflammation (109, 119). Interestingly, while the oral commensal pathobiont-
4 reactive Th17 cells that arise during periodontitis exhibit a Th17 phenotype (ROR γ t⁺ T-bet⁻) associated
5 with IL-17A but not IFN- γ production, when these oral Th17 cells reach the gut mucosal compartment
6 they seem to acquire a Th1-like Th17 phenotype (ROR γ t⁺ T-bet⁺) associated with IFN- γ production
7 (Figure 2) (83). Considering the clinical importance of Th1/Th17 cells, this functional conversion of
8 orally generated Th17 cells into pathogenic Th1/Th17 cells in the gut microenvironment may be an
9 important area for future research.

11 5. Perspectives

12 Over the past decade, the research field of oral microorganisms and intestinal inflammation has been
13 dramatically expanded by studies that primarily focus on the impact of direct colonization of oral
14 pathobionts in the gut (Figure 1, microbial pathway). Furthermore, the use of murine models has
15 revealed the novel aspects of the complex intermucosal connection between the mouth and the gut.
16 Orally primed pathogenic T cells can transmigrate to the gut, where they are reactivated by ingested
17 oral pathobionts, and thus, exacerbate intestinal inflammation (Figure 2, immunological pathway). Yet,
18 despite advances, major knowledge gaps still exist. For example, the considerable microbial
19 dissimilarity between humans and mice (120) challenges the extent to which our findings in the realm of
20 murine studies are readily translatable to humans. In this regard, the colitogenic murine oral
21 pathobionts (e.g., *K. aerogenes*) that we identified are genetically very similar to *K. aeromobilis*, which

1 is a strong Th1-inducing colitogenic oral pathobiont isolated from the saliva of IBD patients (83, 121).
2 Although the detailed mechanisms remain unexplored, the genetic similarity of these species, and their
3 functional similarity, considering the induction of Th1-biased immunity during gut inflammation, suggest
4 that the immunological interaction mediated by oral pathobiont-reactive immune cells contributes to the
5 pathogenesis of intestinal inflammation in human IBD. At present, neither the class of drugs, nor
6 specific drugs, that target the oral–gut axis are available to treat patients with intestinal inflammation.
7 Future investigations of the oral cavity will lead to a better understanding of the essential steps in the
8 development of novel biomarkers and therapeutics for intestinal inflammation (Figure 3, Oral cavity).
9 For instance, early detection of certain oral pathobionts may help to identify individuals at high risk of
10 the development or relapse of IBD. Also, optimal oral hygiene to reduce the supply of oral pathobionts
11 may attenuate ongoing disease progression in the gut, as well as prevent the development of IBD. A
12 focus on the mode of transmission of oral pathobionts and oral immune cells to the gut could inspire the
13 development of another potential intervention (Figure 3, GI ducts and vessels). For the microbial
14 pathway, this could be achieved by reducing the chance of gut colonization by oral pathobionts through
15 the proper use of PPIs or antibiotics to preserve the physiological barrier functions in the stomach and
16 gut against the invasion of extraintestinal bacteria. In fact, PPI exposure has been associated with
17 adverse clinical consequences (e.g., IBD-related hospitalization or surgery) in patients with both UC
18 and CD (122, 123). Further, IBD patients treated with PPIs have been reported to be less likely to
19 achieve remission while taking infliximab (124). For the immunological pathway, intervention could be
20 achieved by blocking the transmission of orally primed immune cells (e.g., pathogenic oral Th17 cells)
21 by inhibitors or biologics specific to the molecules that guide the oral-derived immune cells to gut. In

1 this context, anti- $\alpha 4\beta 7$ integrin therapy has been shown to be effective in moderate-to-severe Crohn's
2 disease (125, 126). Given the expression of $\alpha 4\beta 7$ on orally primed T cells, the improvement of disease
3 outcomes may be due, in part, to the inhibition of the transmigration of pathogenic orally primed T cells
4 to the gut. Consequently, there remains an unmet need to reliably predict the efficacy of anti-integrin
5 therapy to maximize the cost-effectiveness by determining responders and nonresponders. Thus, a
6 better understanding of the immunological link between the mouth and gut of IBD patients may
7 influence clinical decision-making regarding treatment choices. Furthermore, it would be useful to
8 elucidate the precise mechanisms by which oral-derived pathobionts and immune cells exacerbate gut
9 inflammation. This may pave the way to develop novel clinical options for IBD (Figure 3, Intestinal
10 tract). Clearly, further research of the complex inflammatory machinery driven by oral pathobionts in the
11 gut (e.g., identification of virulence genes, regulatory mechanisms, and downstream immune
12 activations) will become a basis for the future development of novel therapy for IBD.

13
14 The microbial and immunological connection between the mouth and the gut in the development of
15 intestinal inflammation continues to be an area of intense study. From the clinical standpoint, larger
16 cohorts and longitudinal studies are required to evaluate the importance of the oral–gut axis during the
17 development of intestinal inflammation. In parallel, from the perspectives of basic and translational
18 science, further characterization of the microbial and immune profiles of both sites and the factors
19 affecting the gut colonization by oral pathobionts may present opportunities to develop unique and
20 effective therapies for IBD.

21

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8

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2
3
4 **Figure legends**

5
6 **Figure 1. Possible mechanisms of gut inflammation caused by direct colonization by oral**
7 **pathobionts (microbial pathway).**

8 Once oral pathobionts reach the intestine, they first cross the intestinal epithelium. Certain oral
9 pathobionts can adhere to and invade the epithelial cells. The host responses are variable, such as
10 cytoskeletal rearrangement, expression of pattern recognition receptors (PRRs) such as toll-like
11 receptors (TLRs), inflammasome assembly, cell death, and the release of proinflammatory cytokines.
12 Some oral pathobionts produce cytotoxic substances (e.g., hydrogen sulfide [H₂S], toxins), leading to
13 disruption of the intestinal integrity. A compromised intestinal epithelium allows oral pathobionts, as well
14 as other commensal microorganisms and their metabolites, to move from the lumen to the lamina
15 propria. Oral pathobionts interact with immune cells including macrophages (Mø), dendritic cells (DCs),
16 neutrophils, and T cells in the lamina propria, thereby instigating the development of gut inflammation
17 through the activation of multiple inflammatory cascades, including the induction of proinflammatory
18 cytokines and chemokines and the development of pathogenic T cells.

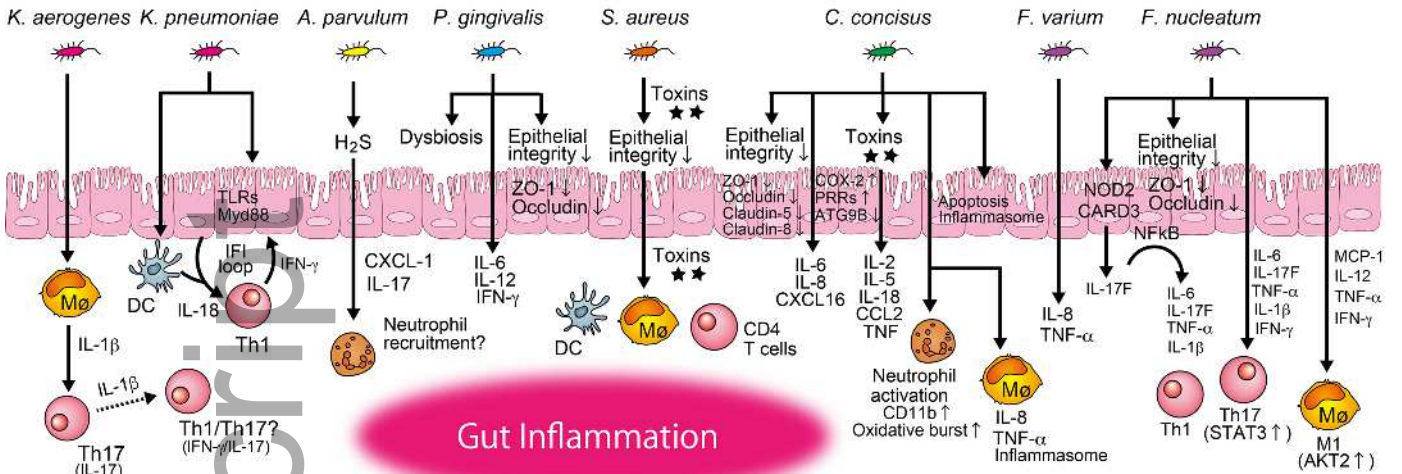
19
20 **Figure 2. Possible mechanisms of gut inflammation mediated by transmigration of orally primed**
21 **T cells to the gut (immunological pathway).**

1 In parallel with the direct translocation of oral pathobionts to the gut provoked by concurrent oral and
2 gut dysbiosis (Figure 1, microbial pathway), the transmigration of oral immune cells to the gut also
3 plays a key role in the mouth–gut axis during the pathogenesis of oral pathobiont-driven colitis (i.e., the
4 immunological pathway). Mechanistically, during periodontal inflammation, orally primed Th17 cells that
5 recognize oral pathobionts (e.g., *K. aerogenes*) are generated in the oral draining lymph nodes (LNs).
6 Oral pathobiont-reactive Th17 cells express gut-homing molecules such as CCR9 and $\alpha 4\beta 7$. When
7 Th17 cells of oral origin reach the gut, they can be activated by translocated oral pathobionts and
8 promote the development of colitis. Given the phenotypic changes of oral Th17 cells toward Th1, such
9 as Th17 cells in the gut of mice with periodontitis and the concurrent presence of Th1 skewing factor IL-
10 1β (produced by intestinal macrophages exposed to oral *K. aerogenes*, as evident in the microbial
11 pathway, Figure 1), it is likely that the microbial and immunological pathways synergistically aggravate
12 the intestinal pathology during the oral pathobiont-driven gut inflammation.

13
14 **Figure 3. Potential approaches to the development of IBD interventions by targeting the oral–gut**
15 **axis.**

16 The oral–gut axis can be divided into at least three targetable interfaces: 1) the oral cavity where oral
17 pathobionts and potentially pathogenic immune cells are generated, 2) the gastrointestinal (GI) ducts
18 and vessels that are used for the trafficking of oral–derived pathogenic agents to the gut, and 3) the
19 intestinal tract where oral-derived pathogenic agents can be virulent . Each interface holds potential for
20 the development of clinical interventions in the treatment of IBD.

Figure 1



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Figure 2

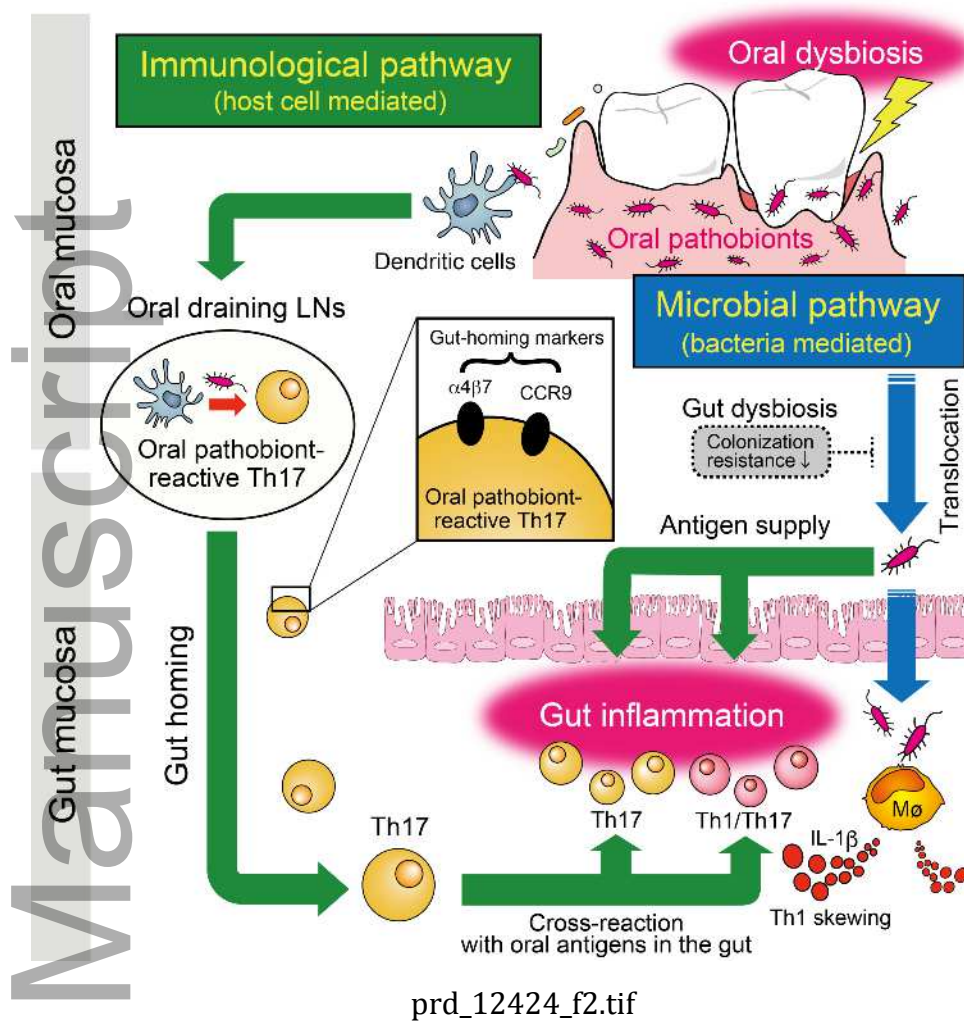
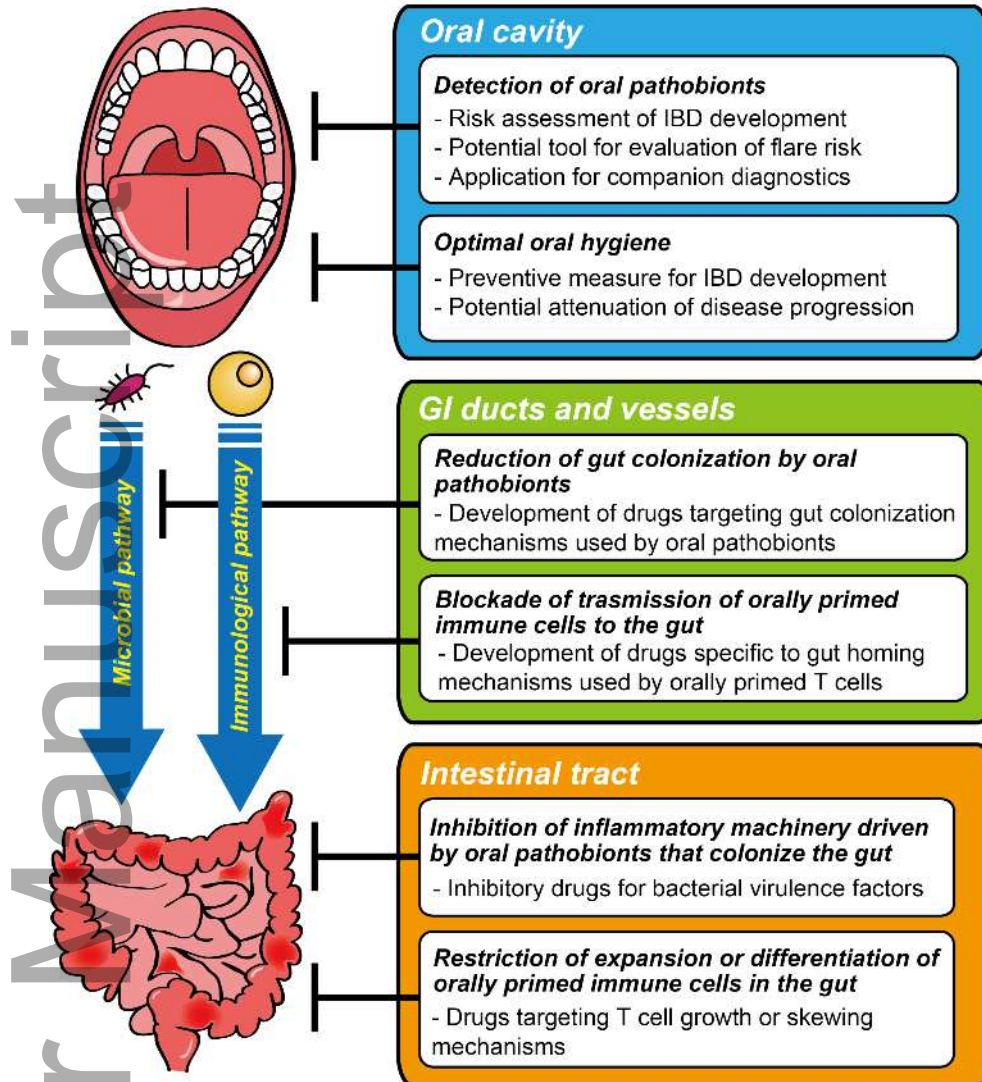


Figure 3



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