

Improving Forecasting Ability of GITM using Data-driven Model Refinement

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Abstract

At altitudes below about 600 km, satellite drag is one of the most important and variable forces acting on a satellite. Neutral mass density predictions in the upper atmosphere are therefore critical for (1) designing satellites; (2) performing adjustments to stay in an intended orbit; and (3) collision avoidance maneuver planning. Density predictions have a great deal of uncertainty, including model biases and model misrepresentation of the atmospheric response to energy input. These may stem from inaccurate approximations of terms in the Navier-Stokes equations, unmodeled physics, incorrect boundary conditions, or incorrect parameterizations. Two commonly parameterized source terms are the thermal conduction and eddy diffusion. Both are critical components in the transfer of the heat in the thermosphere. Determining how well the major constituents (N_2 , O_2 , O) are as heat conductors will have effects on the temperature and mass density changes from a heat source. This work shows the effectiveness of using the retrospective cost model refinement (RCMR) technique at removing model bias caused by different sources within the Global Ionosphere Thermosphere Model (GITM). Numerical experiments, Challenging Minisatellite Payload (CHAMP) and Gravity Recovery and Climate Experiment (GRACE) data during real events are used to show that RCMR can compensate for model bias caused by both inaccurate parameterizations and drivers. RCMR is used to show that eliminating model bias before a storm allows for more accurate predictions throughout the storm.

Key Points:

- Inaccurate approximations to physics terms and incorrect drivers within GITM can be corrected for using data-driven model refinement.
- Dynamic adjustments to the parameterized thermal conductivity coefficients can compensate for errors in model predicted mass densities.
- Comparative statistics were computed when GITM was configured in a biased version, an out-of-the-box version and the refined version.

Plain Language Summary

Physics-based models have a difficult time accurately estimating the upper atmosphere density. These densities are needed to compute satellite orbit trajectories to monitor for potential collisions. Inaccurate density estimation can be due to variety of factors and so methods of correcting the model-predicted density are needed. We are presenting a method to correct the densities using available satellite measurements from the CHAMP and GRACE satellites and the commonly used empirical model NRLMSISE-00. Upon reducing the model error, we show the improved ability of a physics-based model to capture a geomagnetic storm.

1 Introduction

Orbit estimation of drag along a satellite path for collision avoidance is growing in importance due to the increased risk of collisions as more objects are being launched into low Earth orbit. Satellites are expensive to build, launch and maintain [Saleh *et al.*, 2004] and there is an increasing collision risk posed by over twenty thousand pieces of space debris larger than 10 cm^3 [Garcia, 2021]. In response to the threat of collisions, the Joint Space Operations Center (JSpOC) continuously monitors orbiting objects' positions and velocities. From its database, it computes a probability of collision between two bodies and will issue a Conjunction Data Message (CDM) to the mission operator for further action [Hejduk and Frigm, 2015], [Bussy-Virat *et al.*, 2018]. Then a collision avoidance maneuver could be performed, costing time of inactivity and fuel.

There are underlying assumptions to the advanced computing technique of predicting a collision. One assumption is the drag force estimation used to solve the kinematic equations. The acceleration (\underline{a}) experienced due to satellite drag is proportional to the ratio of surface area (A) to mass (m) of the spacecraft, coefficient of drag (c_D), the atmospheric density (ρ) and the velocity, relative to a rotating atmosphere, squared (v):

$$\underline{a} = -\frac{1}{2} \frac{A}{m} c_D \rho v^2 \hat{v} \quad (1)$$

where density is the largest uncertainty in this equation.

Attitude control is a related topic that requires properly estimating the drag-induced torques on a satellite to control its orientation. This could be important

60 for instrumentation to function properly. Part of the attitude control problem is
61 bounding torques to ensure systems do not get overwhelmed. Alternatively, over-
62 engineering a powerful attitude control system costs extra money. The accuracy of
63 torque prediction is reliant on low-error density estimation too. *Moorthy et al.* [2021]
64 describes the importance of attitude control and the potential impact to expand our
65 ability to explore extremely low Earth orbits (150-250 km). This region of Earth's
66 atmosphere is under-explored due to the large drag force causing short expected
67 lifetimes.

68 Accurately predicting the density in the thermosphere is a difficult task and
69 atmospheric models are often called upon to make these density-driven drag estima-
70 tions, but can be inaccurate by 20% (*[Kuang et al., 2014]*, *[Marcos, 1990]*, *[Bruinsma*
71 *et al., 2004]*). The errors in the prediction are amplified during a geomagnetic storm,
72 largely due to poor density estimation *[Pachura and Hejduk, 2016]*. Drag inaccura-
73 cies can create positioning errors on the order of 10 km after just one day. In a short
74 period of time, the satellites' trajectory can change enough such that JSpOC may
75 need to reacquire them.

76 One of the models available to estimate density is NRLMSISE-00 (referred to
77 as MSIS). MSIS is an empirical model (*[Hedin, 1983]*, *[Hedin, 1987]*, *[Hedin, 1991]*,
78 *[Picone et al., 2002]*) that uses a spherical harmonic fitting of ground-based and
79 satellite measurements to estimate neutral densities and temperatures of the thermo-
80 sphere for given solar conditions (F10.7) and geomagnetic activity (A_p). Empirical
81 models incorporate data from remote observations so they are able to capture back-
82 ground neutral densities well, but do not have the same success during a solar storm
83 due to limited time periods of enhanced activity. *Wang et al.* [2022] analyzed 265
84 storms, showed that MSIS under-predicted the density during storms, and fit coeffi-
85 cients to improve MSIS's peak density prediction during weak, moderate and intense
86 storms.

87 The Jacchia-Bowman 2008 Empirical Thermospheric Density Model (JB2008)
88 *[Bowman et al., 2008]* is an empirical model that estimates total mass density.
89 JB2008 is a series of improvements upon the Jacchia 70 model *[Jacchia, 1970]*
90 changing the input for the geomagnetic indices (from A_p to D_{st}) and adding to
91 the input for the solar indices using orbit-based sensor measurements of solar data

92 in the EUV and far EUV (FUV) wavelengths. As part of the change from Jacchia
93 70, *Bowman* [2004] concluded that a Fourier time series and an altitude dependent,
94 quadratic function could accurately replace the existing Jacchia 70 density functions
95 used to compute the semidiurnal density variation. *Bowman et al.* [2006] introduced
96 EUV and FUV solar indices into their temperature equation, replacing the standard
97 Jacchia temperature equation. The accumulation of these changes led to lower stan-
98 dard deviation in errors, particularly during solar minimum conditions and during
99 major geomagnetic storms.

100 There are two common issues with models: (1) bias during background condi-
101 tions where mean densities from the model differ from mean measurements over a
102 period of several days or longer and (2) enhanced errors over periods of a couple of
103 days, driven by space weather events like storms. There are many ways people have
104 tried to address these issues of poor density estimation.

105 The High Accuracy Satellite Drag Model (HASDM) [*Storz et al.*, 2005] is
106 an extension of JB2008 used by the US Space Force Combined Space Operations
107 Center which uses observed drag effects from approximately 75 Earth-orbiting
108 spheres to compute diurnal and semidiurnal variations to the thermosphere den-
109 sity. *Doornbos et al.* [2008] has done work with two-line element (TLE) data to
110 directly create altitude-dependent multiplication factors to scale the densities of
111 empirical models. *Brandt et al.* [2020] created the Multifaceted Optimization Algo-
112 rithm (MOA) which similarly uses TLE data to incrementally adjust the drivers
113 for MSIS within the orbital propagator (SpOCK) [*Bussy-Virat et al.*, 2018]. MOA
114 adjusts the drivers of MSIS when MSIS has a large bias or misrepresents a storm
115 to bring SpOCK-predicted orbits in line with TLEs from several small satellites.
116 Lastly, [*Kalafatoglu Eyiguler et al.*, 2019] showed that debiasing a model's back-
117 ground density prior to a storm may lead to improved performance for some models
118 and recommends a few calculations for assessing storm-time performance.

119 Physics-based models estimate the thermosphere state variables using approx-
120 imations of the Navier-Stokes equations. The idea is that correctly implemented
121 physics could more accurately reproduce typical and highly-variable thermosphere
122 conditions as observed during storms. Coupled Thermosphere Ionosphere Model
123 (CTIM) [*Fuller-Rowell and Rees*, 1980], Thermosphere Ionosphere Electrodynamics

124 General Circulation Model (TIEGCM) [Richmond *et al.*, 1992] and Global Iono-
125 sphere Thermosphere Model (GITM) [Ridley *et al.*, 2006] are examples of Earth-
126 based, physics models. The different numerical approximations, source terms in-
127 cluded (or not included), and drivers in each model generates different temperatures,
128 wind structures and densities. TIEGCM and CTIM use the hydrostatic assump-
129 tion, whereas GITM does not make the same hydrostatic equilibrium assumption
130 and solves a more complete vertical momentum and energy equation, but takes sig-
131 nificantly longer to run. GITM makes use of the Flare Irradiance Spectral Model
132 (FISM) [Chamberlin *et al.*, 2008] fluxes to better represent the solar EUV entering
133 the atmosphere.

134 *Matsuo et al.* [2013] used an ensemble Kalman filter to assimilate CHAMP
135 measurements in the TIEGCM and in turn back out solar forcing terms such as
136 the F10.7 index. Their work also demonstrated that electron density profiles from
137 COSMIC can infer neutral states better than a single satellite's measurements of
138 in-situ neutral densities. Progressing on this work, indirect and direct measurements
139 of electron densities were used to determine the effectiveness of orbit propagation
140 and quantify the improvements to ionosphere-thermosphere states ([*Matsuo and*
141 *Hsu*, 2021][*Dietrich et al.*, 2022]). *Matsuo and Hsu* [2021] also pointed out that after
142 removing the bias, forecasting neutral densities remained reliable for the next three
143 days in geomagnetically quiet conditions. It is important that a balance be struck
144 when assimilating data without addressing the model drivers/parameterizations
145 because during active time periods, the fundamental physics is needed to capture
146 the fast changing states. *Sutton* [2018] points this out and developed the Iterative
147 Reinitialization, Driver Estimation, and Assimilation (IRIDEA) technique which has
148 demonstrated that assimilating satellite measurements in TIEGCM to modify solar
149 and geomagnetic indices (drivers for models) improve active time period errors.

150 This study presents work on debiasing the background density in GITM us-
151 ing observational data. It also shows the impact of debiasing a model prior to a
152 geomagnetic storm using satellite measurements and the MSIS model.

1.1 The Global Ionosphere Thermosphere Model (GITM)

Understanding the parameters that affect the thermosphere's neutral density are critical for improving physics-based models like GITM. GITM is a 3D spherical model that is used for Earth [Ridley *et al.*, 2006], Mars [Bougher *et al.*, 2015] and Saturn's moon Titan [Bell *et al.*, 2010]. In this study, the resolution of GITM was 2° latitude and 4° in longitude.

Ridley *et al.* [2006] explains the capabilities of the model, including the chemistry and numerical schemes. The vertical energy equation in GITM, including source terms, is [Ridley *et al.*, 2006]:

$$\frac{\partial \mathcal{T}}{\partial t} + u_r \frac{\partial \mathcal{T}}{\partial r} + (\gamma - 1) \mathcal{T} \left(\frac{2u_r}{r} + \frac{\partial u_r}{\partial r} \right) = \frac{k}{c_v \rho \bar{m}_n} Q \quad (2)$$

where the first term is the time rate of change for the normalized, neutral temperature, $\mathcal{T} = kT/\bar{m}_n$. The second term is the advection of temperature gradients, while the third term is the adiabatic heating, which is a result of the divergence of the velocity. This is only the vertical component which depends on the vertical velocity, u_r , radius of the Earth, r , and the temperature gradient. γ is the adiabatic index that is attached to the change in energy from the expansion of the gas. On the right-hand side, c_v is the specific heat, k is Boltzmann's constant, ρ is the mass density, and \bar{m}_n is the mean mass of the neutrals. The various source terms are given by:

$$Q = Q_{EUV} + Q_{NO} + Q_O + \frac{\partial}{\partial r} ((\kappa_c + \kappa_{eddy}) \frac{\partial T}{\partial r}) + \sum_i n_i m_i \sum_n \frac{\nu_{in} [3k(T_n - T_i) + m_n(v - u)^2]}{m_i + m_n} \quad (3)$$

where: Q_{EUV} is the contribution from the solar extreme ultraviolet irradiance; the Q_{NO} and Q_O terms are the cooling to space from the 5.3 μm and 63 μm bands respectively. The last term is the collisional frictional heating and heat transfer between ions and neutrals. This is a function of the ion density (n_i), mass of the ion (m_i), mass of the neutrals (m_n), the ion-neutral collision frequency (ν_{in}), the ion velocity (v_i), neutral velocity (u_n), ion temperature (T_i) and the neutral temperature (T_n). Finally, the fourth term is the thermal conductivity, where κ_{eddy} and κ_c are the conductivity coefficients due to eddy diffusion and molecular heat conductivity respectively, and is the focus of this study.

1.2 Thermal Conductivity in the Upper Atmosphere

Thermal conductivity uncertainty is a serious issue in physics-based models ([Banks and Kockarts, 1973], [Pawlowski and Ridley, 2009], [Schunk and Nagy, 2004]). Most of the literature describes thermal conductivity in a laboratory setting where it is expressed as a function of temperature alone for specific species [Vargaftik *et al.*, 1993]. The theoretical expression for the thermal conductivity coefficient (κ_c) are complex and so it has been useful to simplify the coefficient to be a parameterization ([Banks and Kockarts, 1973], [Schunk and Nagy, 2004]) as:

$$\kappa_c = \sum_{i=O,O_2,N_2} \left[\frac{N_i}{N_{total}} \right] A_i T^s \quad (4)$$

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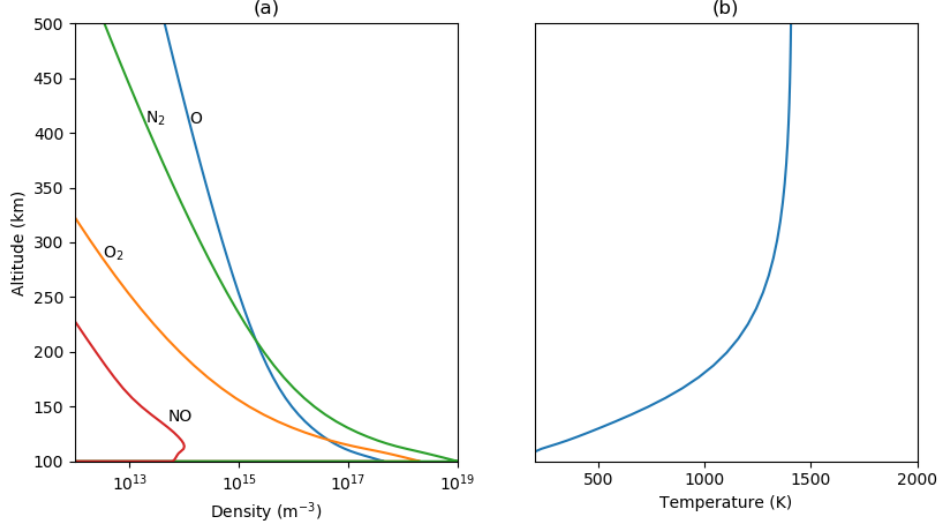
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where N_i/N_{total} is a weighting factor by number density of each neutral species, T is the thermosphere temperature, A_i and s are species specific thermal conductivity coefficients to fit the total conductivity as needed. The summation includes the three species with the largest concentrations in the thermosphere. From Figure 1, above about 200 km, O is a dominant neutral species whereas in the lower thermosphere O_2 and N_2 densities are more prevalent and must be considered in the contribution to the heat exchange process. The temperature profile shows that above about 250 km, the atmosphere is roughly isothermal, so the conduction term can be quite small. This is the region where O is dominant. This implies that the N_2 term in the thermal conductivity is probably a more important term since N_2 is dominant below ~ 250 km where the vertical temperature gradient is largest.



169 **Figure 1.** (a) Globally averaged atmosphere constituents and (b) globally averaged temper-
 170 ature in the thermosphere from GITM on September 26th, 2002. This time period is represen-
 171 tative of solar max conditions ($F_{10.7} \approx 180$) and is used in some of the tests performed in later
 172 sections.

Pavlov [2017] gives approximations from tabulated values in *Vargaftik et al.* [1993] for thermal conduction (denoted as λ in *Pavlov* [2017]) experiencing pressures much less than 0.1 MPa in temperature ranges of 160 - 2500 K for N_2 and 160 - 1500 K for O_2 . The full expressions are

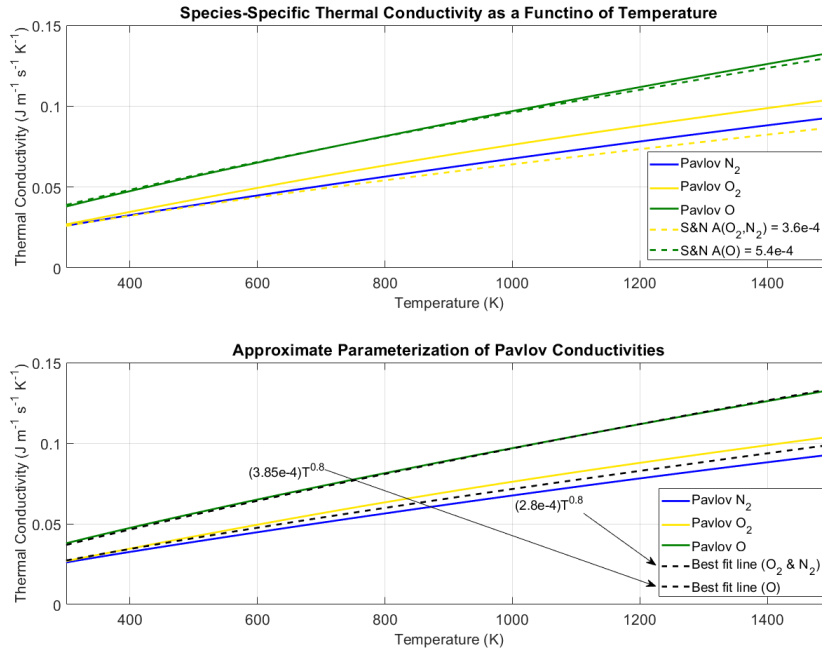
$$\kappa_{N_2} = -3520 + 720.5T^{0.5} - 41.93T + 1.613T^{1.5} - 0.02685T^2 + 1.665 \times 10^{-4}T^{2.5} \quad (5)$$

$$\kappa_{O_2} = -3169 + 735.7T^{0.5} - 53.83T + 2.583T^{1.5} - 0.05325T^2 + 4.083 \times 10^{-4}T^{2.5} \quad (6)$$

$$\kappa_O = 46.7(1 + 2.228 \times 10^{-5}T - 5.545 \times 10^{-9}T^2)T^{0.77} \quad (7)$$

188 Figure 2 shows the *Pavlov* [2017] values of κ_{N_2} , κ_{O_2} , κ_O , as well as the correspond-
 189 ing *Schunk and Nagy* [2004] conductivities (assuming $s = 0.75$). In the bottom
 190 subplot, "best fit" lines are shown using the same parameterization scheme in (4).
 191 The estimation of the coefficients and exponent in the parameterization are derived
 192 from data and theoretical expressions of the thermal conductivity of individual gases
 193 from [*Hilsenrath*, 1960], [*Reid et al.*, 1977], [*Lide*, 1997], [*Barlier et al.*, 1969] and

194 [Banks and Kockarts, 1973]. While Vargaftik *et al.* [1993] describes more complex
 195 expressions that best fit to an exponent close to 0.8. Although the parameteriza-
 196 tions of the atomic oxygen, O , and nitrogen, N_2 , seem to match fairly well, there is a
 197 great deal of discrepancy for the estimation of the O_2 .



184 **Figure 2.** Different species-specific thermal conductivities plotted as a function of tempera-
 185 ture with differing definitions of the suggested parameterization. Top: Pavlov and Schunk and
 186 Nagy parameterized species-specific conductivities. Bottom: Best fit lines for the Pavlov species-
 187 specific curves with the form $A_i T^s$.

198 As described in Pawlowski and Ridley [2009], model bias can originate from
 199 incorrectly defined parameters like the thermal conductivity, eddy diffusion, or pho-
 200 toelectron heating efficiencies. Certain quantities such as the eddy diffusion, and
 201 lower boundary density and temperature affect model bias such that the best mod-
 202 eled physics equations can still result in inaccurate mass density calculations. It is
 203 therefore quite difficult to identify the cause of data-model comparison discrepancies.

204 For example, Masutti *et al.* [2016] explored a time period in which F10.7 in-
 205 creased over the course of several days and showed that GITM's mass density at

206 approximately 400 km altitude overresponded to this change. Overall, there was
207 an underestimate of a mass density when F10.7 was low and an overestimate when
208 F10.7 was high. Since GITM's performance was a function of the solar irradiance,
209 improved performance could possibly be captured through thermal conductivity
210 adjustments based on solar activity, but may be possibly masking other incorrectly
211 modeled physics.

212 The thermal conductivity is the focus of this study because its parameteriza-
213 tion is a possible deficiency in GITM and it significantly changes the density results
214 needed for orbit prediction. This is an opportunity to settle the discrepancy of pa-
215 rameterizations and compensate for neutral density model bias that may be caused
216 by other incorrectly modeled physics, boundary conditions or drivers. For instance,
217 inaccurate modeling of a term like the eddy diffusion coefficient could also influence
218 neutral density results [*Qian et al.*, 2009]. Handling the eddy diffusion has been a
219 topic of previous research in GITM ([*Goel et al.*, 2018], [*Malhotra et al.*, 2017]), but
220 the eddy diffusion is a term that also controls the composition and ionospheric den-
221 sity due to the changed turbulent mixing and its inclusion in the continuity, vertical
222 momentum and energy equations.

223 1.3 Manually Debiasing the Thermal Conductivity

224 This section outlines the need for debiasing models by describing an attempt
225 to choose a single constant, thermal conductivity coefficient that allows GITM's
226 mass density to better match CHAMP observations. Nine runs with varying thermal
227 conductivity coefficients (Table 1) were performed. Six different contour maps are
228 shown for the six different time periods simulated (Figure 3). For each run, the eddy
229 diffusion coefficient was set to 500, and s was set to 0.69. The percent difference in
230 mass density from CHAMP measurements and GITM calculations were examined.
231 GITM was ran for ten days, but only the last five days of each run were used to al-
232 low GITM to reach a quasi-diurnally reproducible state before comparison. CHAMP
233 and GITM densities were averaged over the orbital period (~ 90 minutes).

236 Contours of percent error for each time period are shown in Figure 3. The
237 September 2002 and September 2004 time periods were selected to tune GITM,

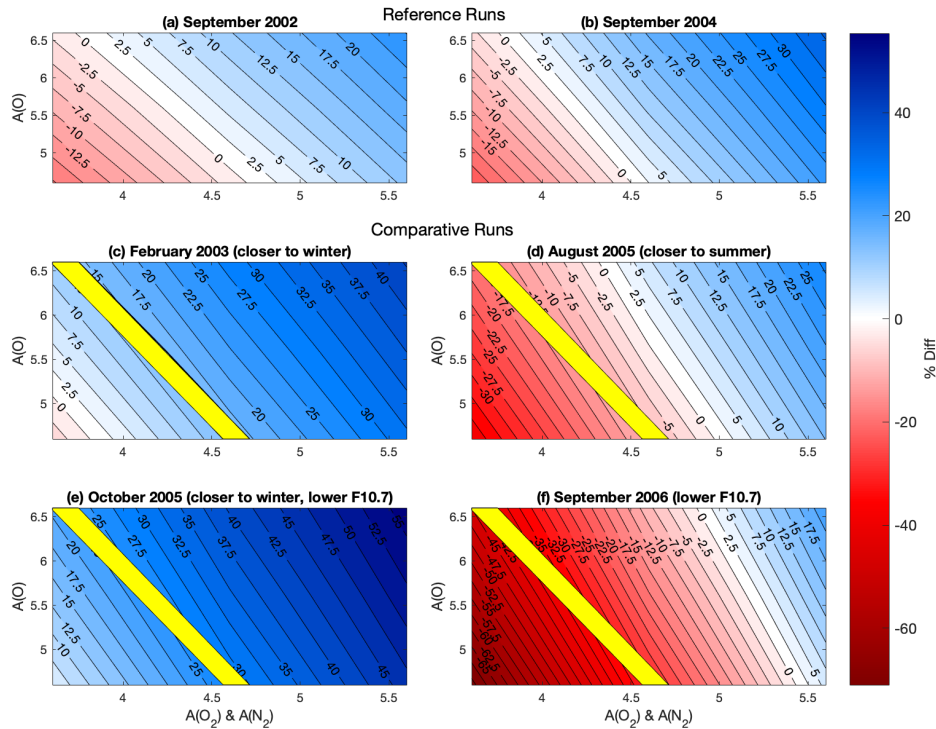
Run	A(O ₂ , N ₂)	A(O)
1	3.6	4.6
2	4.6	4.6
3	5.6	4.6
4	3.6	5.6
5	4.6	5.6
6	5.6	5.6
7	3.6	6.6
8	4.6	6.6
9	5.6	6.6

234 **Table 1.** The variety of inputs to thermal conductivity coefficients. Multiply $A(i)$ by 10^{-4} to
 235 yield $Jm^{-1}s^{-1}K^{-1}$.

238 keeping the season and geomagnetic conditions similar, but allowing the solar activ-
 239 ity to vary (see Table 2).

Time period	F10.7
September 2002	146-196
February 2003	107-133
September 2004	89-110
August 2005	85-110
October 2005	74-80
September 2006	70-81

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Table 2. Range of F10.7 (solar flux units) values during the different time periods.

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Figure 3. Contours of model errors as a function of thermal conductivity (molecular on x-axis, atomic on y-axis) for different time periods. The blue and red regions indicate GITM having mass densities lower and higher than CHAMP observed, respectively. Areas of white yield results similar mean densities to CHAMP. (a) and (b) are baseline runs to find suitable thermal conductivity coefficients. The yellow region in (c)-(f) are thermal conduction values that yield good results for both the reference runs to within 5%.

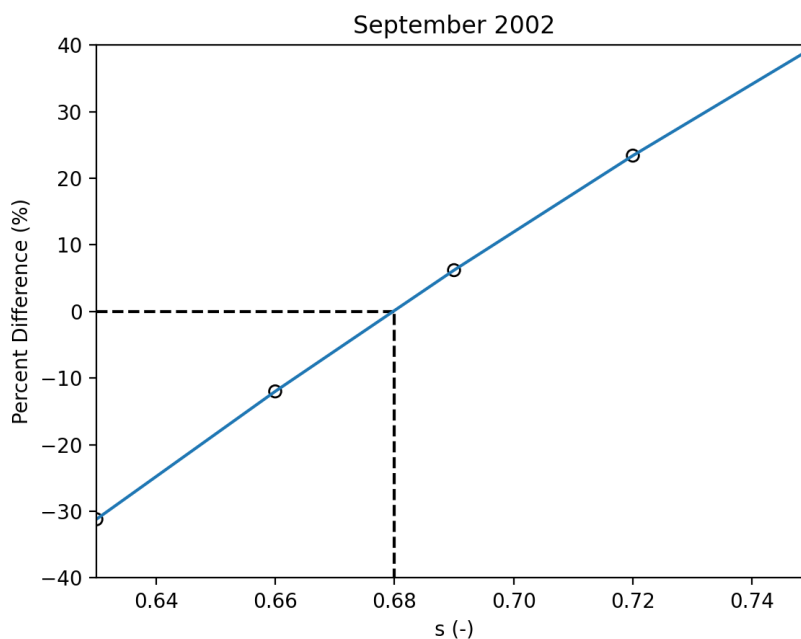
247 As the thermal conductivity is increased, the gradient in temperature in the
248 lower thermosphere decreases. Since the lower boundary condition fixes the tem-
249 perature, the temperature in the upper thermosphere must decrease. Pressure and
250 density profiles are strongly controlled by the temperature, so as the temperature
251 decreases, the density at a fixed altitude in the upper thermosphere also decreases.
252 This means that the neutral density in GITM decreases as the thermal conductivity
253 increases. Figure 3 shows that the molecular coefficient has a stronger effect than
254 the atomic oxygen coefficient. This is because the thermal conductivity multiplies
255 ∇T , which is largest in the lower thermosphere (~ 100 - 200 km), where the major
256 species O_2 and N_2 are dominant (Figure 1). Hence, the thermal conductivity in the
257 lower thermosphere dictates the middle and upper thermosphere temperature and
258 density.

259 The top two plots of Figure 3 indicate that, for these two intervals, there
260 is a span of atomic and molecular coefficients that reduce the model bias to ex-
261 tremely low levels, even with different solar irradiance. However, when the study
262 was expanded to include other seasons and other conditions, it became clear that
263 no combination reduced the bias universally. Times outside of September 2002 and
264 2004 needed to be considered to see that this overlapping parameterization space
265 does not provide unbiased results at different parts of the solar cycle. The yellow
266 zone overlaid on each subplot is the parameter space from the September 2002 and
267 2004 runs where the error was within $\pm 5\%$ for both times. These yellow zones show
268 that a debiased set of thermal conductivity parameters for one set of times do not
269 necessarily reduce the error to zero for other time periods.

270 Figure 4 shows the sensitivity of the thermal conductivity exponent, s , for
271 September 16-26th, 2002. The molecular and diatomic coefficients were held con-
272 stant at $A(O) = A(O_2, N_2) = 4.6 \times 10^{-4} \text{ Jm}^{-1}\text{s}^{-1}\text{K}^{-1}$ while ' s ' varied from 0.63
273 - 0.75 in increments of 0.03. For the GITM conditions during this time period, an
274 exponent of 0.68 minimized the absolute error between GITM and CHAMP. As in-
275 dicated in the sensitivity study of the molecular and diatomic coefficients, this is not
276 expected to be a universal value due to the uncertainty in other terms within GITM.
277 These runs show that GITM is more sensitive to the exponent than the molecular
278 and diatomic coefficients (i.e. a small percentage change in s drives a large change in

279 GITM densities). For this reason, the work in the next sections focus on estimating
 280 the thermal conductivity exponent, s .

283 The causes of model bias varying from event to event in Figure 3 could stem
 284 from incorrect drivers (EUV, lower boundary condition, aurora, etc...) or incorrect
 285 physics (ion variability, small-scale structures, turbulent heating, etc...). This is the
 286 reason an automated debiasing mechanism is needed. The difference in performance
 287 to estimate other state variables (aside from the neutral density) between the three
 288 parameters within the thermal conductivity coefficient was not studied in this work.



281 **Figure 4.** A five-run sensitivity study for the thermal conductivity exponent, s , which varied
 282 from 0.63 - 0.75. Percent differences between GITM and CHAMP are shown for each run.

289 2 Retrospective Cost Model Refinement (RCMR)

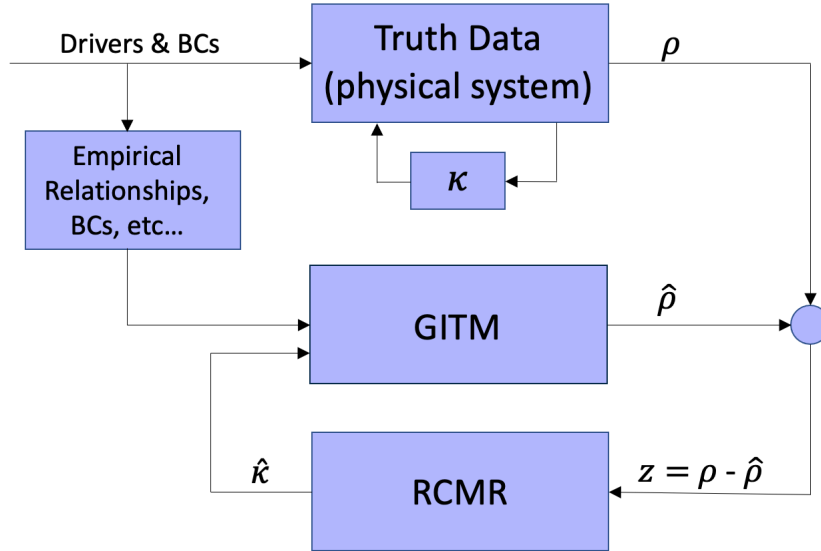
290 Retrospective Cost Model Refinement (RCMR) is a technique developed for
 291 parameter estimation in nonlinear systems [Morozov *et al.*, 2011]. The technique
 292 is a variation of retrospective cost adaptive control (RCAC) that was primarily
 293 developed for adaptive control applications in aerospace engineering [Santillo and
 294 Bernstein, 2010]. In this work, RCMR is used to estimate thermal conductivity coef-
 295 ficients in a system modeled by Navier-Stokes partial differential equations. RCMR

296 minimizes a cumulative cost function that is based on the difference between the
297 density computed self-consistently by GITM and the density specified externally,
298 such as that measured by a real satellite or estimated by a different model. This
299 technique has been applied for estimation of (1) the eddy diffusion coefficient us-
300 ing total electron content (TEC) as the comparison variable [Goel *et al.*, 2018], (2)
301 NOx cooling using simulated space-based measurements [DAmato *et al.*, 2013], (3)
302 the photoelectron heating coefficient based on real satellite measurements [Burrell
303 *et al.*, 2015] and, (4) the thermal conductivity coefficients using simulated density
304 measurements [Goel *et al.*, 2020]. Each of these studies successfully estimated the
305 corresponding unknown parameter using RCMR. This method is different from the
306 other data assimilation methods talked about in the introduction because it does
307 not use an ensemble [Matsuo *et al.*, 2013] or run restarts [Sutton, 2018] which saves
308 considerably on computational time. RCMR has been applied to parameters within
309 GITM rather than directly updating the model states or modifying the drivers. For
310 a more complete description of RCMR, refer to Goel *et al.* [2020].

311 Figure 5 shows the block diagram used to estimate the unknown parameter
312 within RCMR. As shown by the top block in Figure 5, the external drivers, in-
313 cluding the solar EUV, frictional heating and auroral precipitation, force the real
314 thermosphere’s density, ρ . Thermal conductivity serves to move the energy verti-
315 cally. When trying to reproduce nature’s physics with a model (GITM), there are
316 assumptions that try to emulate the true relationships. The empirical formulations,
317 boundary conditions and other model necessities result in error accumulation. This
318 is seen when comparing the model estimated density, $\hat{\rho}$ with in-situ measurements,
319 as shown in Figure 3.

320 Reducing the error (z) is ideally done by correctly implementing equations that
321 accurately and completely capture all dynamics, boundary conditions and drivers
322 within the model. Low error could also be obtained by incorrect physics within the
323 models that cancel each other out, inadvertently matching the measurements. This
324 can occur when multiple incomplete physics terms compensate for each other. For
325 example, having too low solar EUV heating along with too high frictional heating
326 at high-latitudes could result in an orbit-averaged mass density that is more or less
327 correct. In the case of RCMR, intentionally adjusting thermal conductivity coef-

328 ficient(s) changes the error by altering the thermal balance between sources and
 329 sinks.



330 **Figure 5.** Modified block diagram from *Goel et al. [2020]* to illustrate the RCMR process.

331 In Figure 5, the top block represents the true physical system with real drivers
 332 and boundary conditions. In the real system, κ is driven by the states and dy-
 333 namics, making a complex, nonlinear system. GITM approximates the drivers and
 334 boundary conditions as well as approximating the dependence of κ on the system
 335 state as described above (i.e. $\kappa = \sum A_i T^s$). RCMR takes the difference between the
 336 "actual" ρ and the GITM-estimated $\hat{\rho}$, and alters the κ (through the values of A_i
 337 and/or s) to minimize the difference.

338 In order to validate the integration of RCMR within GITM, RCMR was used
 339 to estimate κ ($A(O_2, N_2)$) using simulated truth density data obtained from a GITM
 340 simulation with a known value of κ . The density data was recorded and serves as
 341 the satellite measurements. Next, GITM was re-run with an intentionally incorrect
 342 $A(O_2, N_2)$ and RCMR updated the estimate $A(O_2, N_2)$ using the simulated truth
 343 density data. If RCMR was implemented correctly, RCMR's estimated $A(O_2, N_2)$
 344 would converge to the true value of $A(O_2, N_2)$ used to generate the simulated truth
 345 data, validating the technique. When this is true, it is a good indication that when

actual truth data (i.e. CHAMP, GRACE, MSIS) is used, the convergence will provide the real thermosphere thermal conductivity coefficients.

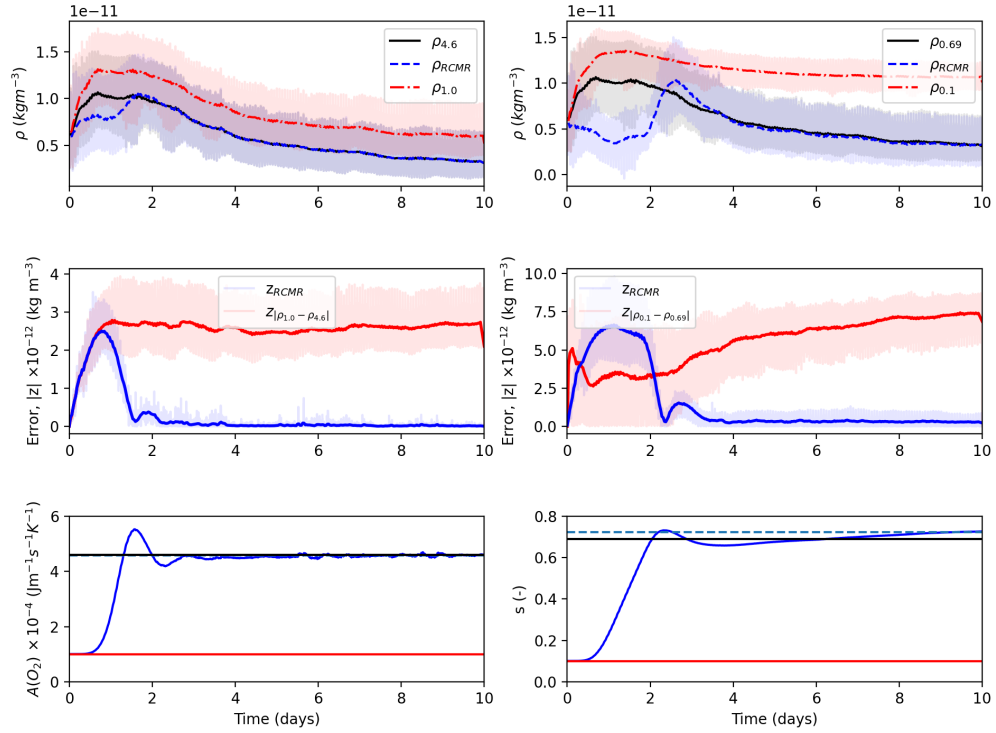
3 Results

3.1 Automating the Model Debiasing Process via RCMR

RCMR estimates the thermal conductivity coefficients every 60 seconds using density measurements from the CHAMP and GRACE satellites as well as Naval Research Laboratory's (NRL) Mass Spectrometer and Incoherent Scatter Radar (MSIS) empirical model [Picone *et al.*, 2002]. In order to implement this, GITM was ran independent of RCMR to obtain global density values from September 16-26, 2002 forming a truth data set. The thermal conductivity coefficients of $A(O) = 4.6 \times 10^{-4} \text{ Jm}^{-1}\text{s}^{-1}\text{K}^{-1}$, $A(O_2, N_2) = 4.6 \times 10^{-4} \text{ Jm}^{-1}\text{s}^{-1}\text{K}^{-1}$ and the exponent $s = 0.69$ were used. In comparison to CHAMP satellite data, this provided a low-biased mass density result (Figure 3a).

The orbit of the CHAMP satellite was used to extract densities from the GITM run ($\rho_{4.6}$) at a one minute cadence to match the update frequency of RCMR. Using GITM densities at the satellite-position as inputs for RCMR (see Figure 6), a GITM simulation was run again during the same time, but used RCMR to change the molecular coefficient. This work was different from Goel *et al.* [2020] which used the simulated global maximum, minimum and mean densities instead of the densities directly at the satellite position. The thermal conductivity coefficient $A(O_2, N_2)$ was initialized to $1.0 \times 10^{-4} \text{ Jm}^{-1}\text{s}^{-1}\text{K}^{-1}$, while the $A(O)$ and exponent S were held constant at their previously set values above. The densities modeled by GITM with RCMR is denoted as ρ_{RCMR} . RCMR used the $\rho_{4.6}$ data and ρ_{RCMR} data to compute an error (z) to update the thermal conductivity estimation while the simulation progressed. Figure 6 shows two RCMR simulations that demonstrate that the independent dynamic adjustments of $A(O_2, N_2)$ and s in RCMR debias GITM. In the left column, the error z decreased to zero, while $A(O_2, N_2)$ converged to $4.6 \times 10^{-4} \text{ Jm}^{-1}\text{s}^{-1}\text{K}^{-1}$ after around three days. The right column shows the same simulation where s was the free parameter for RCMR to estimate. It is expected that s would converge to 0.69 to match the thermal conductivity exponent used to generate the truth data. Instead, RCMR estimated a value closer to 0.72 which is due to differ-

384 ent versions of GITM being used for the truth data run and that of the most recent
 385 RCMR run. From here forward, RCMR only updates s due to GITM's sensitivity to
 386 this parameter.

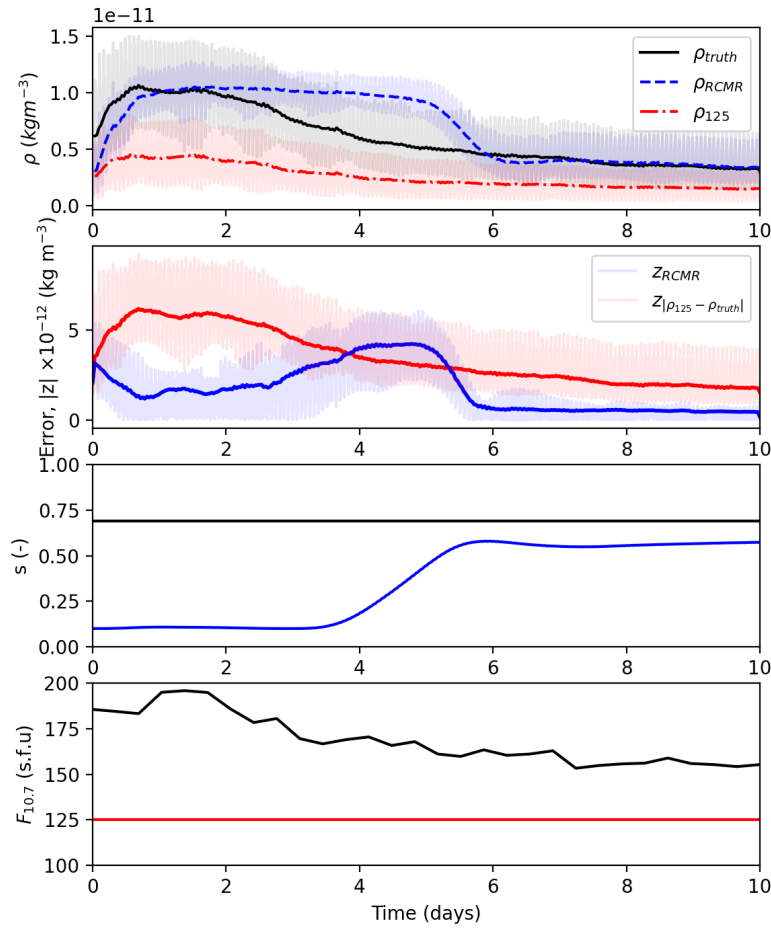


359 **Figure 6.** Top row: Densities along the CHAMP orbit are shown with three different values
 360 of A_{O_2} or s . Raw values are shown as transparent lines, while orbit averaged values are shown
 361 as bold. The error (middle row) and thermal conductivity coefficient (bottom row) from using
 362 simulation data at CHAMP locations at a one minute cadence is shown in blue for the RCMR
 363 assisted run, red for a constant, purposefully-biased, constant parameterization, and black for a
 364 constant parameterization matching the truth data parameters. The orbit averaged errors are
 365 shown with a thicker line of their corresponding color.

387 In addition to the truth data and RCMR-adjusted mass densities, the density
 388 and error is shown when the incorrect parameterization was used and not corrected.
 389 This provides a quantification of the level of improvement that can be gained using
 390 RCMR.

391 This example shows that RCMR can correct for an incorrectly set thermal co-
392 efficient, but model bias can be caused by a variety of issues, as described above. For
393 a second example of idealized RCMR runs, illustrated in Figure 7, GITM was run
394 with consistent thermal conductivity parameters but incorrect drivers.

403 F10.7, the daily solar flux at wavelength 10.7 cm, is a proxy for solar spectra
404 [*Richards et al.*, 1994]. An alternative to the F10.7 proxy is using FISM to describe
405 the spectrum [*Chamberlin et al.*, 2008]. Near real time and for predictions, F10.7
406 is approximate and one of the only ways to describe the solar spectrum. If F10.7
407 is not right or does not describe the spectrum correctly, model bias could result. This
408 second test explores whether RCMR can compensate for an incorrect specification
409 of the F10.7. The RCMR estimated parameter for this run and future runs was the
410 exponent s , with an initial value of s as 0.1.



395 **Figure 7.** Densities and errors are shown with three different run conditions: (1) the truth
 396 data used as input for RCMR in black, (2) the RCMR run dynamically debiasing GITM with
 397 incorrect solar drivers in blue, and (3) the case where GITM has incorrect solar drivers and is
 398 not implementing RCMR in red. The orbit averaged errors are shown with a thicker line of their
 399 corresponding color. The third subplot shows the thermal conductivity exponent over time. The
 400 bottom subplot shows the corresponding F10.7 used in each run. The blue line corresponding
 401 to the F10.7 for the RCMR run is overlapping with the red line corresponding to the biased run
 402 since they were both run with the same incorrect F10.7.

411 Similarly to the previous run, the truth data being used was an extraction of
 412 GITM results where the F10.7 was updated based on the actual F10.7, which varied

Satellite	Sept. 2002 Altitude (km)	Sept. 2004 Altitude (km)	Inclination (°)
CHAMP	390-450	370-410	87.3
GRACE	485-515	460-505	89.0

Table 3. Information on the altitude and orbit inclination during the two test periods.

from 190 to 150 solar flux units. The RCMR run was intentionally run with an incorrect constant F10.7 of 125 solar flux units. Over time, the RCMR-debiased run converged to the truth data and the error decreased dramatically. The time it took to converge was longer than the first test by roughly two days. This was due to the densities being similar between the two runs for the first two days despite the very different run settings. In this case, a low F10.7 incorrect driver caused a low density, having a negative bias. At the same time, a low initial value of s caused a high density since the thermal conduction would be reduced leading to a high temperature. In this case, a positive bias would result. In combination, the biases mostly cancelled and RCMR was relatively ineffective for the first two days. After this, RCMR was able to track the error and produced an 's' that adequately compensated for the incorrect specification of F10.7.

3.2 RCMR with CHAMP and GRACE Satellite Densities

In the previous section, the simulated densities generated from a GITM run represented the "true" thermosphere. In this section, tests of RCMR with real satellite data are described. Initial tests were done using data from September of the years 2002 and 2004 as sample months for high and moderate F10.7 fluxes, respectively, since these were used for manual debiasing earlier in the study. Both time periods had relatively low levels of activity, with $|D_{st}|$ being less than 50 nT during each time period.

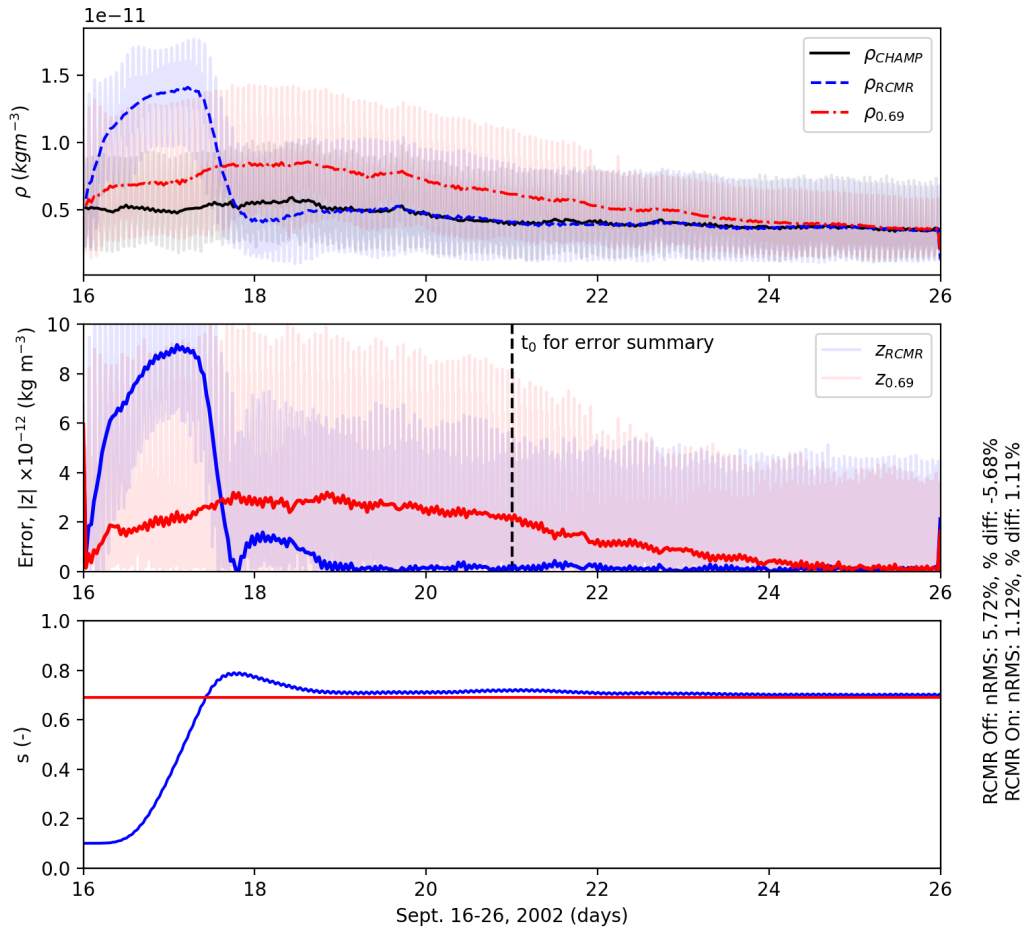
The estimation of the thermal conductivity exponent s was explored using CHAMP and GRACE individually. Figures 8 and 9 show the September 16-26, 2002

436

period comparing the results of GITM with a constant thermal conductivity to the

437

RCMR adjusted values against the satellite observations.



438

Figure 8. The top subplot shows the raw and orbit averaged densities are shown for GITM,

439

CHAMP and RCMR. In the middle subplot, the errors are plotted over one another to observe

440

how RCMR compares to a constant thermal conductivity typically used in GITM. The bottom

441

subplot shows the consequent thermal conductivity exponent estimated in blue. In red is the

442

constant value used when RCMR was not applied. The local time of ascending node for CHAMP

443

was 13.4 LT.

444

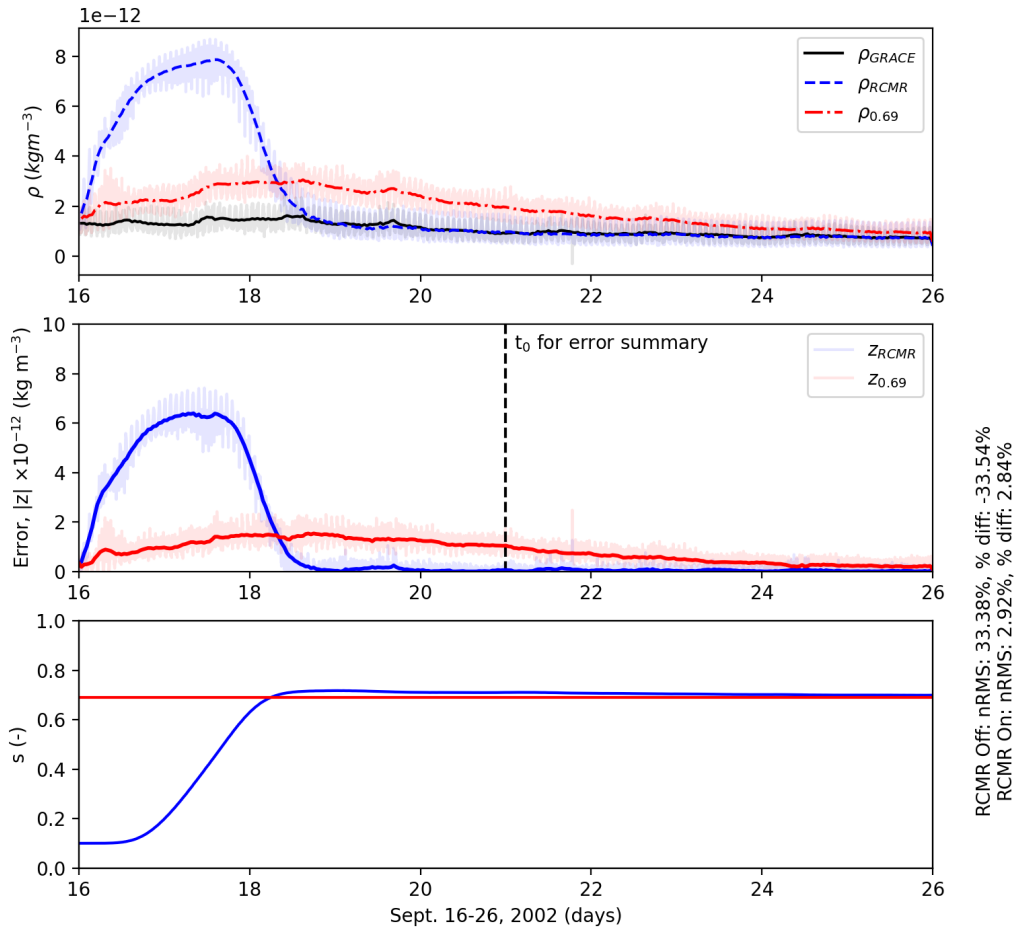
The RCMR and non-RCMR runs both converge to the CHAMP and GRACE

445

measurements. With RCMR, the convergence is much faster with large improve-

446 ments in mass density after around two to three days. As observed in Figures 8
447 and 9, the free parameter s converged to 0.70 which is similar to the constant value
448 of 0.69 used in a typical GITM run. This set of thermal conductivity coefficients
449 (4.6e-4, 4.6e-4, 0.69) matched the results found in the manual debiasing process.

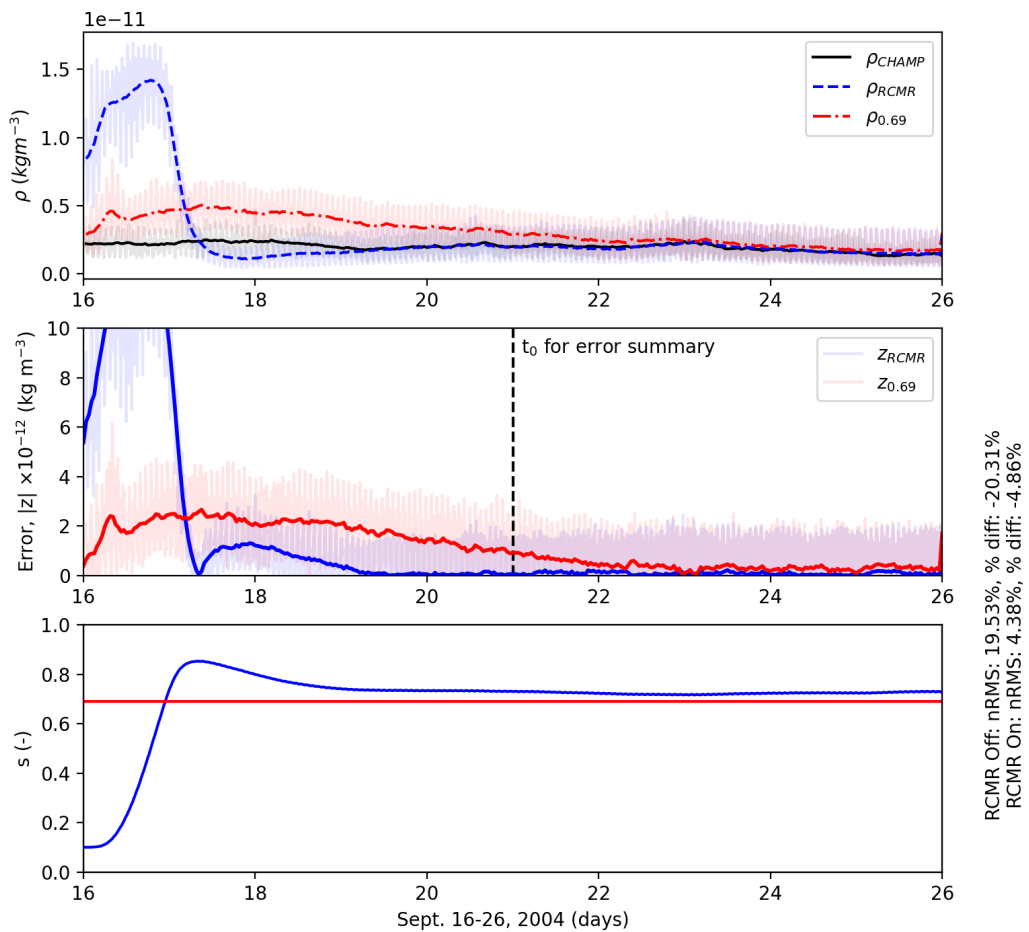
450 Normalized root mean square (nRMS) and percent error are shown on the
451 bottom right of Figures 8 and 9 to quantify the improvement with RCMR. These
452 values were computed based on orbit-averaged densities for the final five days of the
453 run (marked as t_0 on the figure). This gave sufficient time for RCMR to debias the
454 model and allow GITM to reach a roughly diurnally reproducible state. In Figure
455 9, the nRMS and percent difference show improvement of $\pm 33\%$ percent error and
456 nRMS to less than 3%.



457 **Figure 9.** Same as Figure 8, except using GRACE instead of CHAMP. The local time of
458 ascending node for GRACE was 21.7 LT.

459 Switching to the time period in 2004, a similar simulation was performed
460 using CHAMP data to check the robustness of RCMR under different solar condi-
461 tions. The F10.7 was considerably lower for this run mostly being between 90-110
462 $\text{Wm}^{-2}\text{Hz}^{-1}$, while the seasonality and geomagnetic activity was similar. Recall that
463 debiasing between September 2002 and 2004 was possible with similar thermal con-
464 ductivity coefficients, and so running this time period gave RCMR the opportunity
465 to demonstrate this. As shown in Figure 10, the RCMR and non-RCMR mass densi-
466 ties converged to CHAMP measurements with RCMR reducing the time to converge

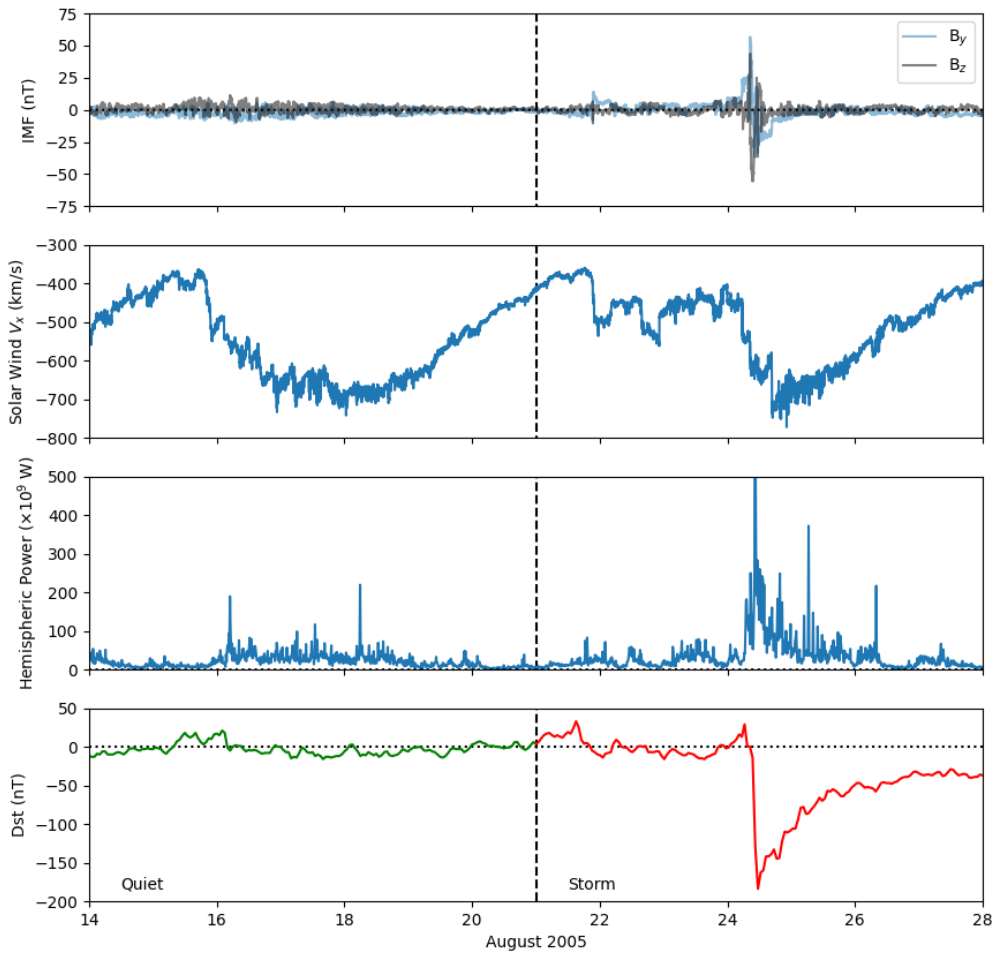
467 by nearly seven days. In the bottom subplot, the estimated thermal conductivity
 468 exponent converges to right around 0.70 which is consistent with the RCMR test
 469 performed in 2002 and the manual debiased simulations. nRMS and percent error
 470 were used to quantify the improvement with RCMR. They showed a much larger
 471 improvement from a roughly -20% percent error and nRMS to less than 5%.



472 **Figure 10.** Same as Figure 8, except for September 2004. The local time of ascending node
 473 for CHAMP was 19.4 LT.

3.3 Storm-time Debiasing and Forecasting

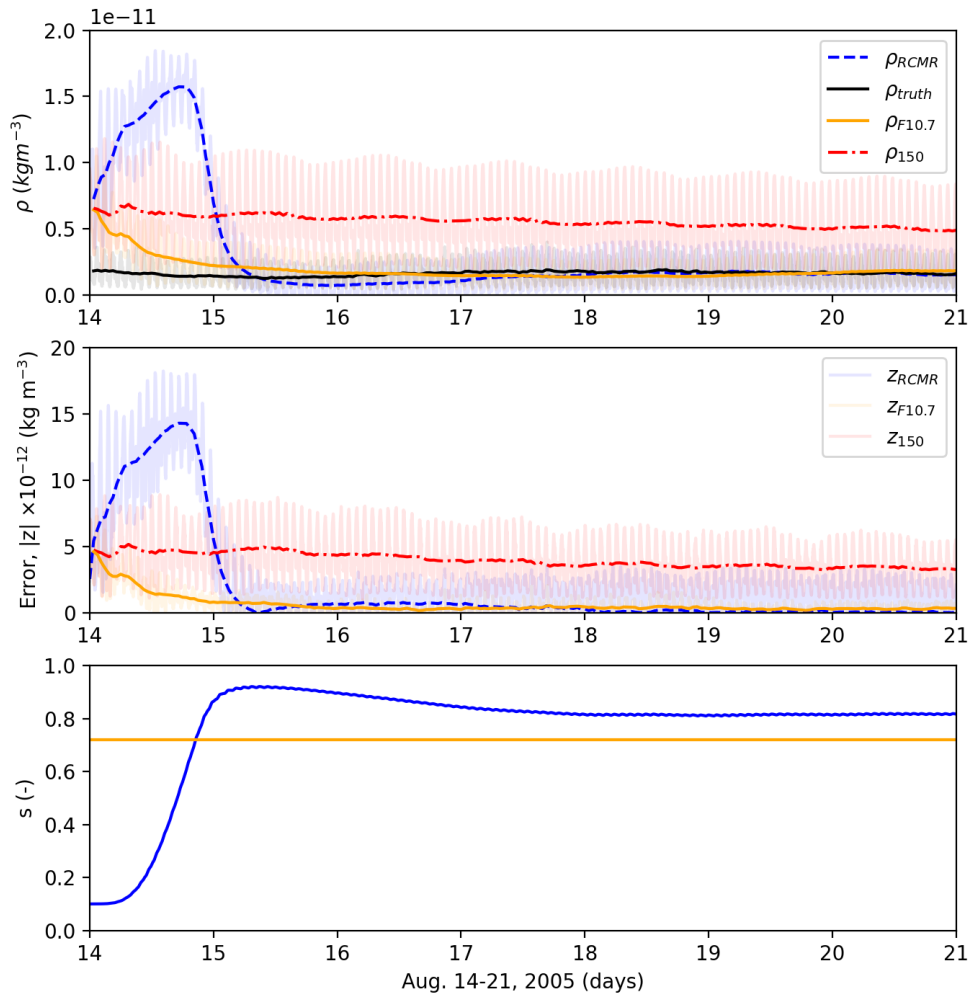
In this section, GITM was debiased by RCMR before the storm in August 2005. The F10.7 for this time period was lower than the previous runs shown. It varied between 70-100 $\text{Wm}^{-2}\text{Hz}^{-1}$. Comparisons between the typical GITM run, a purposefully biased GITM run, an RCMR-assisted GITM run with purposefully biased F10.7, and CHAMP data were made in an effort to improve forecasting of density enhancements during and after the storm. Figure 11 shows the interplanetary magnetic field (IMF), solar wind velocity, hemispheric power, and Dst prior to and through the storm on August 24-25th, 2005. During the quiet time, the Dst never went below ~ 25 nT, while the hemispheric power was quite low most of the time. On August 24th, the IMF B_z turned negative as well as the solar wind speed increasing dramatically. This drove a large increase in the aurora and a significant development of the ring current as indicated by the nearly -200 nT Dst.



487 **Figure 11.** Interplanetary magnetic field (IMF), solar wind velocity, hemispheric power and
 488 Dst measurements from August 14th-28th, 2005. In the Dst panel, the green portion indicates
 489 the quiet time period before the storm, while the red indicates the active storm period.

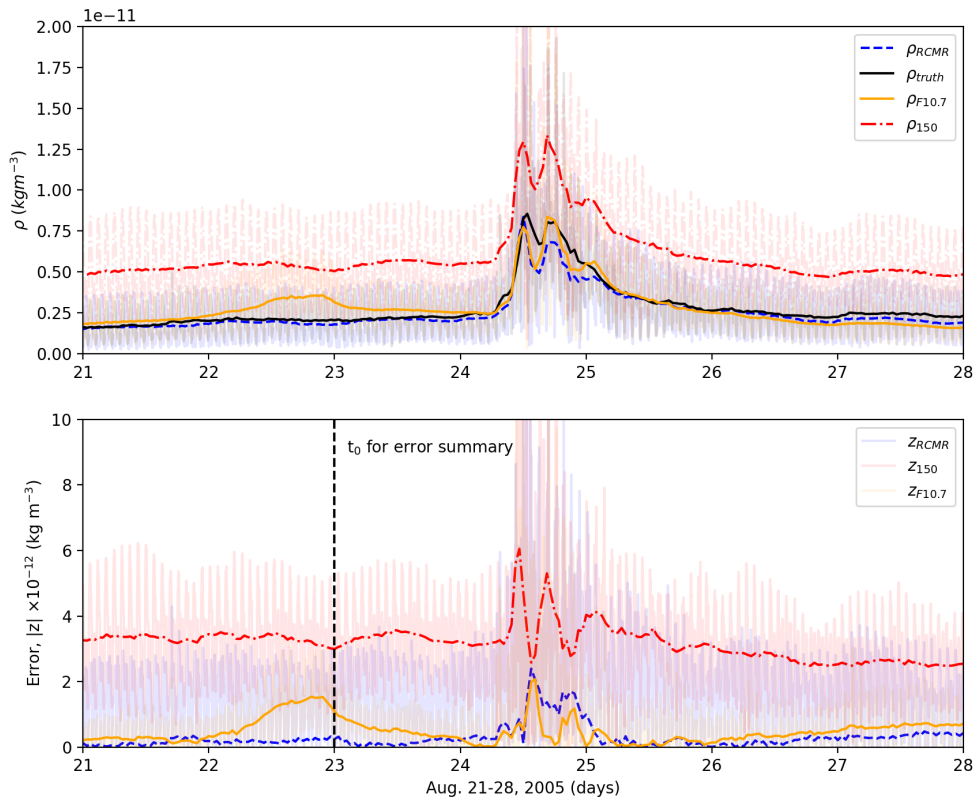
490 The storm took place between August 24-26, 2005. In the RCMR run, the de-
 491 biasing took place from August 14-21. The run continued through the storm from
 492 August 21-28 without the assistance of RCMR. During the storm, the exponent 's'
 493 was held constant at its last value specified by RCMR on August 21. In Figure 12,
 494 the debiasing was done prior to the storm using CHAMP measurements. As was

495 done before, the densities, errors and dynamic thermal conductivity exponent are
 496 shown in comparison to the static runs.



497 **Figure 12.** The densities and errors compared to CHAMP during August 2005 with RCMR
 498 on (blue) and RCMR off in two conditions. One run is with the daily averaged F10.7 values
 499 included (orange) and the other is with a constant, incorrect F10.7 of 150 (red). Both of the
 500 non-RCMR runs have the same constant thermal conductivity exponent, but only one of them is
 501 shown. The RCMR run is done with the incorrect F10.7. The bottom subplot shows the conse-
 502 quent thermal conductivity coefficient estimated.

503 As expected, the biased run with a constant F10.7 of $150 \text{ Wm}^{-2}\text{Hz}^{-1}$ was very
 504 different than the CHAMP measurements and a GITM run using real F10.7 mea-
 505 surements. It is important to point out that the parameter estimation from RCMR
 506 showed that the best exponent s was around 0.8 which was considerably larger than
 507 the other runs. The F10.7 of $150 \text{ Wm}^{-2}\text{Hz}^{-1}$ is higher than the true conditions
 508 artificially increasing mass densities. To counteract this, an increased thermal con-
 509 ductivity was needed to dissipate this excess energy, reducing the mass density.



510 **Figure 13.** Similar to the previous figure, but for the August 21st-28th, 2005. RCMR is
 511 turned off so no thermal conductivities are being shown.

512 Figure 13 shows the runs proceeding through the storm and storm recovery.
 513 For the three days after RCMR was turned off, the densities stayed unbiased. The
 514 storm was better represented because of this, although GITM with RCMR un-
 515 der predicted the storm response during the peaks. This is most likely due to the

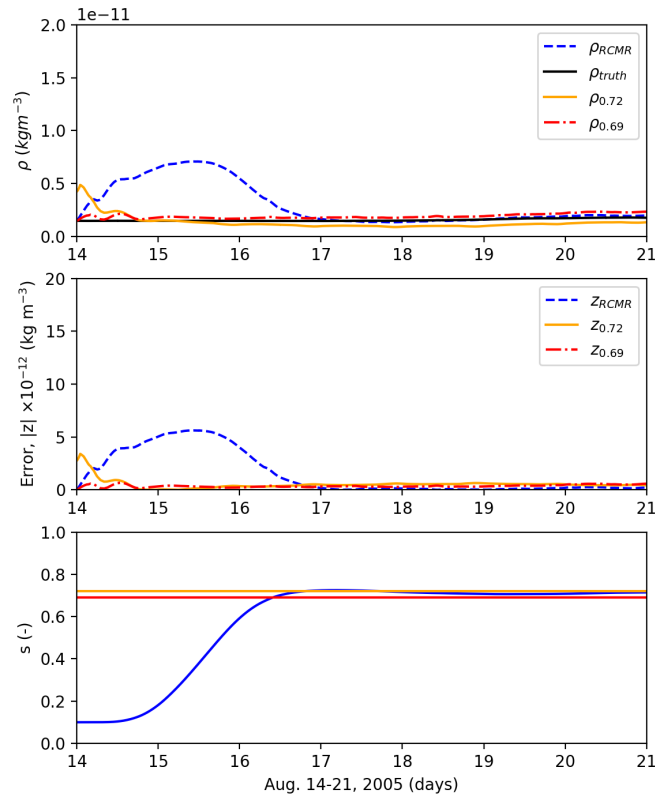
516 increased thermal conductivity, which pulled energy out of the thermosphere too
517 quickly during the storms. This is relatively minor compared to the biased model
518 results though. The RCMR run matched the recovery density after the storm quite
519 well. Additional performance assessment metrics are shown in Table 4. The formula-
520 tions for each metric is shown in *Kalafatoglu Eyiguler et al.* [2019]. When comparing
521 the RCMR run to the biased run, the RCMR run performed better in every metric.
522 Each of these statistics help quantify the improvements that can be had to the mean
523 and variability of the mass densities.

524 On the other hand, the calibrated model of GITM also performed better than
525 the biased run. Comparing the RCMR run and the calibrated model of GITM, the
526 Ratio_{avg} of the default GITM simulation performed better than the RCMR run.
527 RCMR was capable of improving the time delay (TD) of the storm peak, the mean
528 average error (MAE) and normalized root mean square error (NRMSE).

529 3.4 Debiasing using an Empirical Model

530 Satellite measurements of the thermosphere are not always available, especially
531 during real-time operations. For this reason, an empirical model such as MSIS may
532 be useful as a source of "truth data". Whereas empirical models are not always
533 skilled at correctly predicting highly perturbed events, like solar storms, they are
534 useful for obtaining information on the background state. Further, satellite orbits
535 may not be ideally placed to represent the global conditions, while an empirical
536 model can be sampled anywhere (or everywhere). While satellite data is the ideal
537 choice for debiasing, using an empirical model may help in some situations. For
538 these reasons, a final test was run to attempt to debias GITM under conditions
539 where satellite data was (in theory) not available.

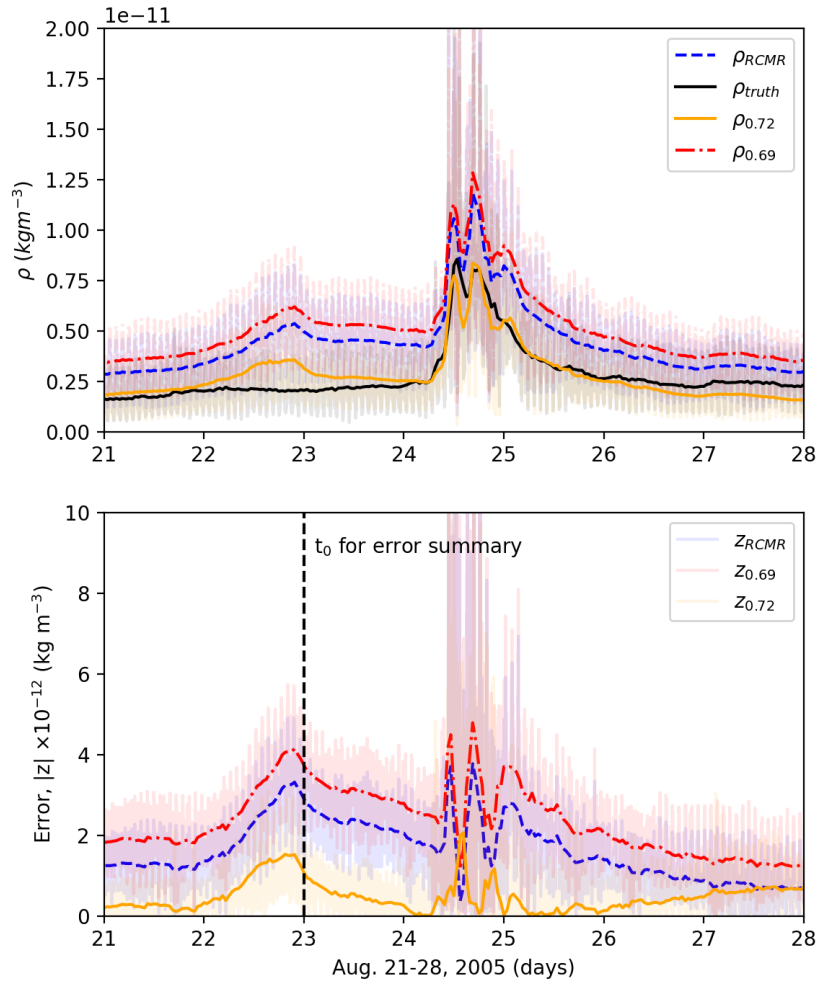
545 In this run, MSIS mass densities at the subsolar point at 400 km altitude
546 were used as the source of "truth data". The same time period in August 2005 was
547 used for this. RCMR was allowed to debias GITM for seven days and then proceed
548 through the storm. During the storm, RCMR was turned off and the storm-time
549 performance evaluation of GITM was checked against CHAMP data, as in the previ-
550 ous case.



540 **Figure 14.** The densities and errors compared to MSIS at the 400 km altitude sub-solar
 541 point during August 2005 with RCMR on (blue) and RCMR off in two conditions. One run is
 542 with manually calibrated thermal conductivity values included (orange) and the other is with
 543 a constant, biased thermal conductivity exponent of 0.69 (red). The bottom subplot shows the
 544 consequent thermal conductivity coefficient estimated.

553 In Figure 14 shows the mass density for different runs at the subsolar point
 554 at 400 km altitude, which is where the MSIS data was extracted. The biased run
 555 (labeled $\rho_{0.69}$) and RCMR run no longer had error induced by the F10.7. The only
 556 source of error in the RCMR run (ρ_{RCMR}) was the initial value of 0.1 given to the
 557 thermal conductivity exponent s . The thermal conductivity exponent s in the wrong
 558 tuning run was 0.69, whereas the best tuning had an exponent of 0.72 ($\rho_{0.72}$). At
 559 the 400 km, subsolar point each run converged to MSIS results within a few days of

560 the run. As shown in the bottom subplot, RCMR estimated the 's' to be 0.71, using
 561 the MSIS results.



551 **Figure 15.** Similar to Figure 13, but for the MSIS debiased mass densities at CHAMP loca-
 552 tions.

562 Figure 15 shows the same runs proceeding through the storm and storm re-
 563 covery, but now at the CHAMP positions. These densities are quite different than
 564 the subsolar density, since CHAMP is a high inclination satellite sampling the high
 565 latitudes, where the energy balance can be quite different. In this case, the biased
 566 run performed worst of the three runs. In Table 4, the same performance tools from

Performance Assessment Tool	$\rho_{F10.7}$	ρ_{150}	ρ_{RCMR}	$\rho_{0.72}$	$\rho_{0.69}$	ρ_{RCMR}
Ratio _{max} (-)	0.98	1.56	0.98	0.98	1.5	1.38
Ratio _{avg} (-)	0.96	2.00	0.9	0.96	1.75	1.52
TD (hours)	3.8	3.8	-0.8	3.8	3.8	3.8
MAE (kg/m ³)	4.44e-13	3.13e-12	3.47e-13	4.44e-13	2.35e-12	1.64e-12
NRMSE (%)	8.32	48.68	8.29	8.32	38.15	27.41
PE (-)	0.65	-1.06	0.65	0.65	-0.62	-0.16

576 **Table 4.** Statistical analysis on orbit-averaged data from t_0 for each run in Figure 13. The first
577 two are dimensionless quantities. TD is the time difference between storm peak as seen from data
578 and from the model computed in hours. The mean average error (MAE) has units of kg/m³. The
579 normalized root mean square error (NRMSE) is shown as a percentage. The prediction efficiency
580 (PE) is also a non-dimensional statistic. The columns are separated by run-type the first three
581 columns being associated with debiasing with CHAMP data and the final three columns are
582 associated with debiasing with MSIS.

567 *Kalafatoglu Eyiguler et al.* [2019] are shown. The RCMR run performed similarly or
568 better than the biased run, but considerably worse than the calibrated GITM run.
569 This is due to the difference between MSIS and CHAMP during the proceeding time
570 period. Since RCMR was debiasing towards MSIS, the debiasing improvement is
571 subject to the accuracy of MSIS. It is possible that debiasing with MSIS at locations
572 other than at 400 km altitude at the subsolar point could improve this, but it was
573 not explored in this work. This simulation does show that debiasing with an em-
574 pirical model improves the performance of the biased model, but then is subject to
575 other limitations.

583 4 Summary and Conclusion

584 In this work, GITM used RCMR with CHAMP and GRACE satellite measure-
585 ments to correct for uncertain parameters and incorrect drivers. During these runs,
586 it was shown that after sufficient error accumulation, RCMR was able to reduce the

587 bulk of the error and nRMS to below 5% within 2-3 days. This work also showed
588 the effectiveness of debiasing GITM prior to a storm in August 2005 with CHAMP
589 measurements and MSIS. When debiasing was applied before a storm, the results
590 during the storm were shown to improve in all metrics except the time delay be-
591 tween a measured storm peak and the model-predicted peak (where they performed
592 identically with and without RCMR). It was demonstrated that RCMR could use
593 empirical models within GITM to debias the model, but this was reliant on MSIS
594 results having low error during the pre-storm time period and the choice of where
595 to sample the empirical model. Future work will show more runs and have a statis-
596 tical approach to address how beneficial using MSIS for parameter estimation can
597 be. This work also implied that reducing the model bias improved the forecasting
598 performance along a specified path. Lower model bias in neutral densities help make
599 GITM a more feasible model to assist in satellite drag calculations. Getting the ac-
600 celeration due to drag correct is important for accurate estimation of future satellite
601 positions and potential collision detection and prevention.

602 5 Open Research

603 GITM is freely available through GitHub [*Ridley et al.*, 2023]. Dst ob-
604 tained from the World Data Center in Kyoto, Japan ([https://wdc.kugi.kyoto-](https://wdc.kugi.kyoto-u.ac.jp/dstdir/)
605 [u.ac.jp/dstdir/](https://wdc.kugi.kyoto-u.ac.jp/dstdir/)). CHAMP and GRACE satellite data is available through
606 Technical University, Delft (<http://thermosphere.tudelft.nl/>). The MSIS
607 model is available on the Community Coordinated Modeling Center
608 (<https://ccmc.gsfc.nasa.gov/modelweb/models/nrlmsise00.php>). Hemispheric
609 power is provided by the National Oceanic and Atmospheric Administration
610 (<http://ftp.swpc.noaa.gov/>). Plotting routines and data within this work are pub-
611 lished on DeepBlue (<https://doi.org/10.7302/9r1a-c979>).

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