

Global Evaluation of the Fidelity of Clouds in the ECMWF Integrated Forecast System

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Key Points:

- We compare the clouds observed from space to the clouds in a medium range weather forecast system, where clouds are created from first principle physics alone.
- We propose a metric, which allows the numerical assessments of the cloud fidelity of the current forecast system to be compared with future upgrades
- On average, the agreement between the forecast clouds and AIRS observations is very good, but, particularly in areas prone to deep convection, there are large cloudy areas which are not seen in the AIRS data, and vice versa.

Abstract

Weather forecasting centers mainly assimilate infrared sounder data in clear-conditions or in channels with their main sensitivity to the atmosphere well above the cloud tops. Sometimes channels with stronger cloud sensitivity are used in overcast conditions, but currently no cloud information is used from infrared sounders, and all-sky assimilation approaches are still under development. However, cloudy radiances could already be used for validating the quality of clouds in forecasts. We illustrate this by comparing the brightness temperatures observed (obs) with AIRS (Atmospheric Infrared Sounder) to those calculated (cal) based on the clouds specified in the ECMWF (European Centre for Medium Range Weather Forecasting) Integrated Forecast System (IFS). Our analysis is based on a 12 hour ingest of AIRS data into the ECMWF assimilation system. We show that the standard deviation of (obs-cal) using the 1231 cm⁻¹ atmospheric window channel is a metric of the fidelity of the clouds in the IFS. The global standard deviation of 5 K after accounting for likely space/time interpolation errors, appears to be dominated by clouds in the IFS which are not seen in the AIRS data, and vice versa. Our metric capitalizes on the unique sensitivity of infrared sounders to clouds for the routine monitoring of the fidelity of clouds in weather forecasts.

This is the author manuscript accepted for publication and has undergone full peer review but has not been through the copyediting, typesetting, pagination and proofreading process, which may lead to differences between this version and the [Version of Record](#). Please cite this article as [doi: 10.1029/2022EA002652](https://doi.org/10.1029/2022EA002652).

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42 1 Introduction

43 The spectral radiances from hyperspectral infrared (IR) sounders contain information about the
44 vertical distribution of temperature $T(p)$ and water vapor $q(p)$ in clear air, where p is the pressure
45 altitude. The IR radiances also contain information about ice and liquid water from the top layer
46 of clouds down to where the clouds become opaque. The radiances from the hyperspectral
47 sounders in polar orbit are routinely ingested by the National Weather Centers (NWC) (e.g.
48 Collard and McNally, 2009), where they are combined with data from many other spaceborne
49 and ground-based sensors to define the state of the atmosphere, including clouds. NWCs make
50 use of cloudy infrared scenes for channels with weighting functions well above the cloud tops
51 (McNally 2009, Guidard et al. 2011, Lavanant et al. 2011). State of the art cloud detection at
52 NWCs diagnoses the cloud top altitude and uses collocated high-resolution imagers. This allows
53 around 15 % of observations to be used in channels with weighting functions peaking at 900 hPa,
54 increasing to 100 % for channels with only stratospheric sensitivity (Eresmaa, 2014). However,
55 in atmospheric window channels as much as 95% of the ingested infrared sounder data can be
56 deemed “too cloudy” to be used (e.g. McNally and Watts 2003).

57
58 The opacity of clouds in the field of view (with typically 60 μm particles) significantly decreases
59 the brightness temperature expected in the thermal infrared (10 μm) under clear conditions. In
60 contrast, the 1600 μm wavelength of the 183 GHz channels on the MHS (Microwave Humidity
61 Sounder), ATMS (Advanced Technology Microwave Sounder), and even longer wavelengths on
62 other microwave sensors, are insensitive to 60 μm particles. They are more sensitive to the larger
63 frozen particles (along with water cloud and rain) and successful all-sky assimilation has been
64 possible (e.g. Geer et al., 2017). Unlike the current assimilation of infrared data, this makes use
65 of the cloud information itself. Although there has been much recent progress on the
66 experimental applications of all-sky assimilation of infrared radiances (e.g. Okamoto et al. 2014,
67 Geer et al., 2019, Okamoto et al. 2019, Otkin and Potthast, 2019, Sawada et al., 2019, Li et al.
68 2021) this is not yet operationally done at any weather forecasting center.

69
70 The full use of cloudy infrared data, even if not assimilated, has historically been stymied by
71 concerns about the computational cost and accuracy of cloud-capable Radiative Transfer Models
72 (RTMs). A number of RTMs have now been developed to allow the calculation of infrared
73 sounder radiances, given the vertical distribution of T , q , and clouds, e.g. SARTA (Machado et al.
74 2017), CRTM (Ding et al. 2011), RTTOV (Vidot et al. 2015), and PCRTM (Liu et al. 2006,
75 2009, 2016, and Chen et al. 2013). Aumann et al. (2018) evaluated the degree to which the
76 calculated brightness temperature (cal) agreed with the AIRS observation (obs) based on
77 ECMWF IFS (Integrated Forecasting System) data from March 2009. Using the 1231 cm^{-1}
78 thermal infrared window channel, they found that the RTMs agreed with each other with little
79 bias and 6-10K Standard Deviation (SD), but the SD of (obs-cal) was as large as 22K. This
80 large disagreement was attributed to the fact that the AIRS data were interpolated to match the
81 ECMWF data on a 3 hour and 25 km grid. This grid was too coarse to allow a credible space and
82 time interpolation of clouds in the IFS to the AIRS observations. The present work makes use of
83 the much better space and time interpolation provided by the IFS. This more accurate
84 interpolation should make it possible to better attribute remaining discrepancies between the
85 calculated brightness temperatures and the observations.

86

87 Even in the absence of all-sky data assimilation, the statistics of (obs-cal) are very useful for
88 understanding the quality of model cloud fields. Early use of infrared and broadband radiances in
89 the validation of model cloud fields includes Chevallier and Kelly (2002) and Allan et al. (2007).
90 With more recent weather forecasting models and more advanced radiative transfer approaches,
91 comparisons to all-sky infrared radiances have shown deficiencies in ice cloud representations in
92 several forecast models (e.g. Otkin et al. 2019, Okamoto et al. 2021). Systematic errors in the
93 IFS cloud representation are significantly smaller but still present (Geer et al. 2019); these are
94 discussed in more detail later. Infrared radiances are also simulated from climate models and
95 compared to MODIS observations (MODerate resolution Imaging Spectroradiometer, e.g.
96 Masunaga et al., 2010, Bodas-Salcedo et al., 2011). However, this can only be done in a
97 climatological sense, whereas comparisons to weather forecasting models can be done at the
98 level of individual weather systems. The objective of our study is to further highlight the ability
99 of the daily and scene-level statistics of (obs-cal) from all-sky infrared radiances to quantify the
100 fidelity of the clouds in weather models, thus capitalizing on the unique sensitivity of infrared
101 data to clouds.

102

103 Along with much previous work, this is a case study, and the real benefits would come from
104 routinely monitoring the all-sky infrared observations in operational data assimilation systems. In
105 the following we illustrate this using the ECMWF IFS matched to AIRS data as an example, but
106 our analysis is relevant to any other weather forecasting model and all hyperspectral infrared
107 sounders.

108

109

110 **2 Data**

111

112 **2.1. Observations**

113

114 NASA's Atmospheric Infrared Sounder (AIRS, Aumann et al. 2003) became operational in
115 September 2002. Since 2005 NOAA has distributed AIRS data to the NWCs (National Weather
116 Centers) for assimilation in weather forecasting systems. AIRS data are distributed in 6 minute
117 granules. Each granule covers an area of about 1500 x 2000 km with 90 (cross-track) x 135
118 (along-track) observations. Each observation has a 1.1 degree field of view (15 km at nadir from
119 707 km altitude), and produces a 2378 channel spectrum of calibrated radiances between 3.7 and
120 15.5 μm . NOAA distributes spatially and spectrally subsampled AIRS data. The data are
121 spectrally subsampled by distributing only the 324 of 2378 channels selected by the NWCs. The
122 data are spatially subsampled by dividing the 90x135 spatial pixels into 30x45 "golf balls" of
123 3x3 pixels. From each golf ball NOAA selects the warmest pixel for distribution, with the
124 assumption that the NWCs are mainly interested in clear data and that the warmest pixel in a golf
125 ball would be the least cloudy pixel. Our comparisons are based on this subsampled data, since
126 this is what is available to the NWCs. This selection potentially creates a warm bias, which will
127 be discussed later.

128

129

130

131 **2.2. Model fields**

132

133 The state of the atmosphere was obtained from a 12-hour background forecast from an
134 experimental run of Cycle 46r1 of the IFS (ECMWF 2019). The IFS represents the atmosphere
135 and clouds as profiles of cloud cover (cc), cloud ice water content (cic), cloud liquid water
136 content (clc), temperature, water vapor and ozone at 137 pressure levels. Total cloud cover (tcc)
137 is derived from the cloud cover profiles. The 12-hour forecast is initialized from an analysis that
138 assimilates AIRS data and other hyperspectral infrared instruments only under clear sky
139 conditions, and well above clouds. The IFS also assimilates radiances from MHS, MWHS2
140 (MicroWave Humidity Sounder 2), AMSR2 (Advanced Microwave Scanning Radiometer 2),
141 GMI (GPM Microwave Imager) and SSMIS (Special Sensor Microwave Imaging Sounder) in
142 atmospheric window channels over the oceans at 19 GHz, 22/24 GHz, 37 GHz, 89/92 GHz,
143 150/166 GHz and channels around 183 GHz, under all-sky conditions (Geer et al., 2017). Clouds
144 in the analysis are also indirectly constrained by the assimilation of temperature and moisture
145 sensitive observations from other sensors. However, especially since a 12-hour forecast is being
146 used here, the strongest constraint on the cloud fields is the model physics, which includes a
147 large-scale prognostic cloud scheme and a diagnostic mass-flux convection scheme. The model
148 fields are represented in the horizontal using a combination of spectral and gridded fields in a
149 configuration referred to as Tco1279, which provides around 8 to 9 km sampling, depending on
150 latitude. The model timestep is 7.5 minutes but only every 4th time step was used in the matchup
151 process, so the maximum time offset between the IFS grid and AIRS observations was about 15
152 minutes. The atmospheric profiles from the IFS internal 12-hour forecast grid were interpolated
153 to the AIRS sample time and position using the operators for data assimilation in the IFS.
154

155 We used the ECMWF profiles for the 12-hour period between 2018/10/31 2100 UTC and
156 2018/11/01 0900 UTC. This time period was covered by 120 AIRS data granules. The AIRS data
157 were merged with the IFS interpolated atmospheric states at the AIRS space/time locations. The
158 model precipitation fields were not used in this work; this is a standard assumption when
159 simulating all-sky infrared radiances.
160

161 162 2.3. Radiative transfer models 163

164 The IFS data were converted to brightness temperatures using SARTA, CRTM v2.3, and PCRTM
165 3.4. The PCRTM calculations used the Chen et al. (2013) model with 2, 4 and 50 columns with
166 the Maximum Random Overlap (MRO) and Exponential Random overlap (ERO) cloud overlap
167 assumptions. In the following we refer to PCRTM MRO_50col as PCRTM unless stated
168 otherwise. CRTM was used in its default Advanced Doubling-Adding (ADA) MRO 2col mode.
169 SARTA was used in a TwoSlab mode (effectively 2col), with clouds placed at the median
170 pressure altitude of the cloud ice and cloud liquid water profiles, with the Random Overlap (RO)
171 assumption. Our choice of RTMs was intended to cover the range from the highest fidelity cloud
172 calculation (PCRTM 50col) to RTMs which traded some cloud fidelity for a computational less
173 stressing approach (SARTA and CRTM). For the surface emissivity we used Masuda et al. 1988
174 for ocean, Zhou et al (2011) for land.
175

176 177 178 **3 Results** 179

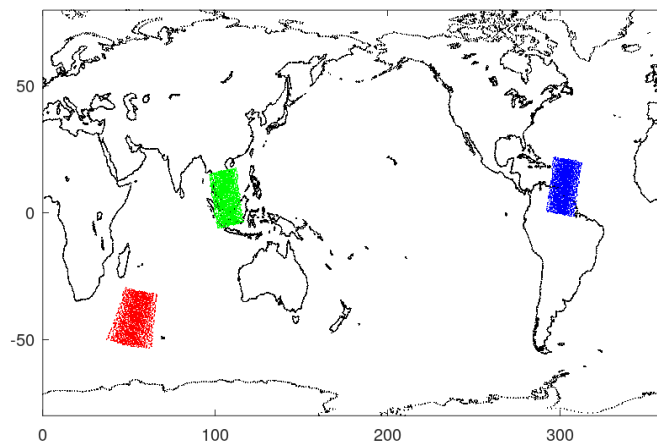
180 The computationally most economical way to evaluate the fidelity of the clouds in the IFS is to
181 simulate one atmospheric window channel. We selected the 1231.3 cm^{-1} channel, and calculated
182 its brightness temperature, bt_{1231} . We then evaluated the difference between the observed
183 bt_{1231} , obs , and the calculated bt_{1231} , cal . This window channel was chosen since (a) all the
184 current generation hyperspectral sounders have a similar channel, (b) it is not sensitive to the
185 distribution of CO_2 , Ozone or other minor gases, (c) it is only weakly influenced by the water
186 vapor continuum, about 2K under clear conditions, (d) Non-unity surface emissivity causes only
187 slight decreases in bt_{1231} . For example, the sea surface emissivity of 0.98 decreases bt_{1231} by
188 typically 1 K. Under ideal conditions all effects are accounted for in the RTM calculation.
189 Under clear ocean conditions the $mean(obs-cal)$ at 1231.3 cm^{-1} is less than 0.1K and the SD is
190 typically 0.4K (Aumann et al. 2021). The bias and the SD change drastically with the presence of
191 clouds, as will be discussed subsequently. We first discuss results for three granules. This allows
192 us to define various metrics, which are then used for the interpretation of global results.

193

194 3.1. Granule Analysis

195

196 We selected three of the 120 granules to define the parameters used for the analysis of the
197 differences between the AIRS observation and the RTM calculations. Figure 1 shows the
198 locations of the granules. Granule 215 (red) is from the night mid-latitude ocean, granule 55
199 (blue) represents the night tropical ocean, and granule 64 (green) is from the day tropical ocean
200 warm pool.



201

202 **Figure 1.** Locations of the three focus granules, numbers 215 (red), 64 (green) and 55 (blue).

203

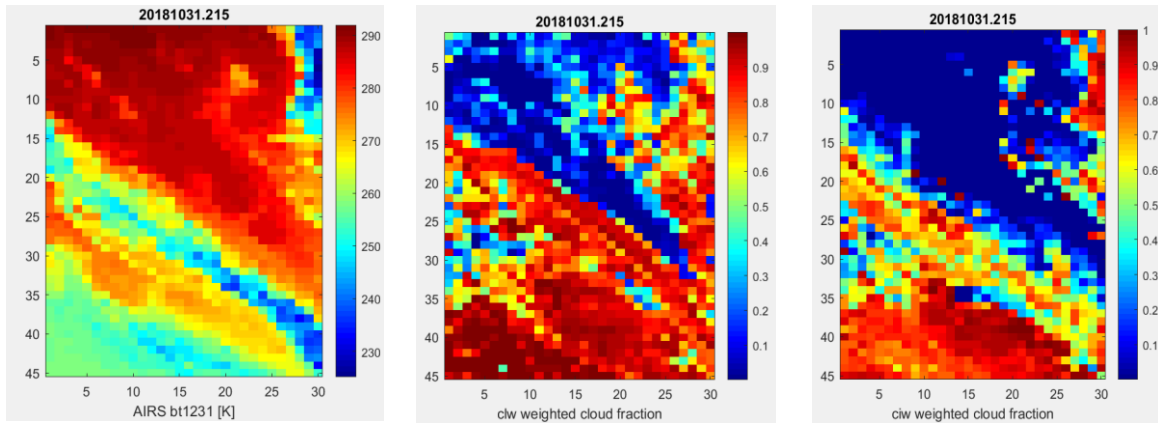
204 3.1.1 Granule 215

205

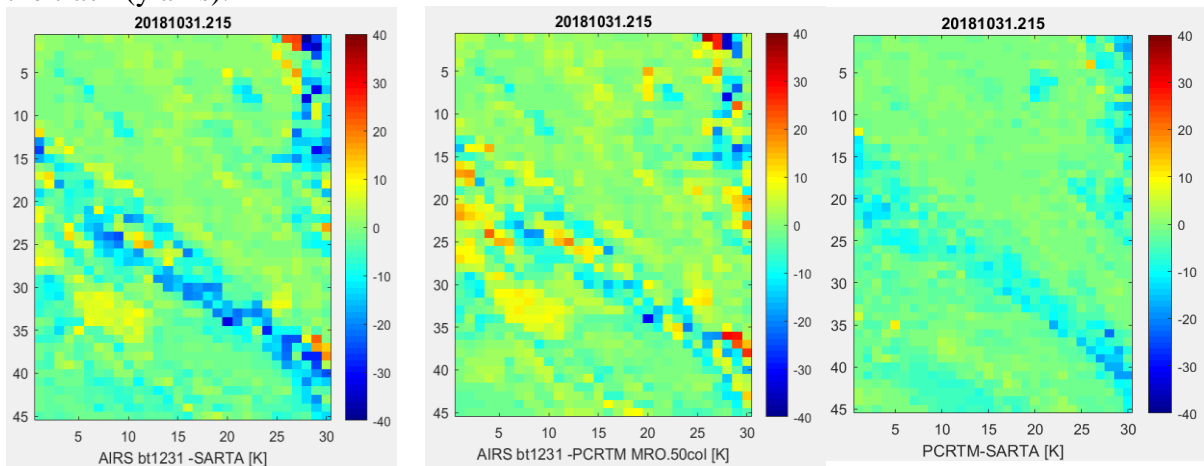
206 The left panel of Figure 2 shows bt_{1231} for the NOAA subsampled AIRS observations. The
207 surface temperatures in this granule range from 274K to 294K. The coldest cloud tops were at
208 225K. The warmest (darkest red) areas in term of the observed bt_{1231} are relatively clear,
209 although bt_{1231} is still 5K colder than the underlying sea surface. Since water vapor accounts for
210 about 2K, emissivity for about 1K, clouds in this relatively clear area account for 2K. The clouds
211 which create this 2K effect are not necessary uniformly distributed over the footprint. If the 15
212 km diameter footprint were totally free of clouds above a 295K surface, except for a 1x1 km
213 thunderstorm with cloud top temperature of 225K, bt_{1231} would drop by only 0.3K. The 2K
214 cloud effect could thus be the result of seven thunderstorms scattered in the footprint, or 50% of

215 the footprint covered with low stratus clouds 1 km above the surface. The colder (green and blue)
 216 areas are much more cloudy, with a band of high clouds stretching almost diagonally across the
 217 granule.

218
 219 The center panel of Figure 2 shows the water cloud fraction, $\Sigma_{clw} * cc / \Sigma_{clw}$, the right panel
 220 shows the ice cloud fraction, $\Sigma_{ciw} * cc / \Sigma_{ciw}$, based on the IFS profiles, with the sum being over
 221 the vertical model levels. The units of the x and y axis in this and all subsequent granule images
 222 are the cross-track and along-track positions, separated by approximately 45 km. The most
 223 striking feature in the obs is the band of cold clouds, which extends from the mid left to the
 224 lower right corner in the granule, corresponds to a band of ice clouds.
 225



226 **Figure 2.** Granule 215 as seen in terms of observed brightness temperature, bt1231 (left), the
 227 water cloud fraction (center) and ice cloud fraction (right) as described by the IFS (see text for
 228 definitions). Coordinates are the observation location across the satellite track (x axis) and along
 229 the track (y axis).



230 **Figure 3.** As Fig. 2 but showing the difference between observed and calculated brightness
 231 temperature, (obs-cal) for a) SARTA; b) PCRTM; along with c) the difference between the
 232 models themselves, PCRTM-SARTA. The two RTMs agree with each other better than the
 233 observations.
 234

235 In Figure 3 we show (obs-cal) from SARTA (left) and PCRTM MRO 50col (center). The results
 236 from two RTMs differ from obs in the area of mixed clouds by as much as $\pm 40K$. In spite of the
 237 fact that the differences between PCRTM and SARTA (right panel) include the inherent

238 randomness of the cloud overlap assumptions in areas of broken clouds, the two RTMs agree
239 with each other better than with the observations. The difference between the obs and cal may
240 have two additional components: 1) NOAA only distributes the warmest bt1231 of each 3x3
241 “golf balls” and 2) spatial inhomogeneity and forecast errors. The spatial inhomogeneity in a
242 golfball can be quantified by the cx1231 parameter, which is the difference between the warmest
243 and the coldest bt1231 in a golfball, calculated from the full spatial resolution AIRS data. The
244 following provides two numerical examples.

245
246 1. Assume that the center of the 3x3 is near the edge of a large cold cloud at 225K over a 295K
247 clear surface. Based on the IFS, cal should be close to 225K. Now assume that one of the 3x3
248 footprints extends half a footprint diameter (7 km) into the clear area at 295K. As a result, the
249 observed bt1231 will be 270K, and NOAA will select the 270K footprint for distribution. Now
250 obs will be 45K warmer than expected, and the footprint will be an extreme warm (obs-cal)
251 outlier. The NOAA clearest of the 3x3 selection will produce only warm outliers. The cx1231
252 parameter for this case will be about 50K.

253
254 2. A similar situation can be caused by a space/time interpolation error, or equivalently by
255 position errors in the clouds generated in the IFS forecast. Assume the same situation as above,
256 but the edge of the cloud has shifted 7 km, such that the footprint is now further away from the
257 edge, with bt1231=220K, or it has moved 7 km into the clear, and now bt1231=270K. This
258 scenario can also be reversed, a 295K expected obs based on the IFS, can turn into a 270K
259 observed. The interpolation error thus produces positive and negative outliers, statistically in
260 equal number. The cx1231 parameter for this case will be about 50K.

261
262 Areas of high spatial nonuniformity are sensitive to the interpolation or forecast error. The left
263 panel of Figure 4 shows cx1231, the right panel shows (obs-cal) for SARTA (same as Figure 3a).
264 There is an excellent visual correlation between areas of large positive and negative outliers and
265 large spatial inhomogeneity. This may be the dominant source of the observed (obs-cal) outliers.
266 However, outliers can also be created by other errors in the IFS or complicated multilayer clouds.
267 The discussion section gives further details.

268
269 We characterize the PDF of (obs-cal) using three methods.

270 1. The gaussian mean and SD of all footprints in a granule. As discussed above, the (obs-cal) will
271 include large outliers, which inflate the SD.

272 2. We can argue that (obs-cal) in areas of high spatial inhomogeneity are unreliable and exclude
273 observations where cx1231 exceeds a threshold from the calculation of the mean and SD of (obs-
274 cal).

275 3. Since the NOAA distribution does not include the cx1231 parameter, we could also use
276 quartile statistics. Quartile statistics effectively eliminate all positive and negative outliers,
277 regardless of their origin. Let Q1, Q2 and Q3 be the first, the median and the third quartile. The
278 mean is replaced by Q2, and (Q3-Q1) becomes the effective SD. We define the quartile skew as
279 $(Q3-2*Q2+Q1)/SD$.

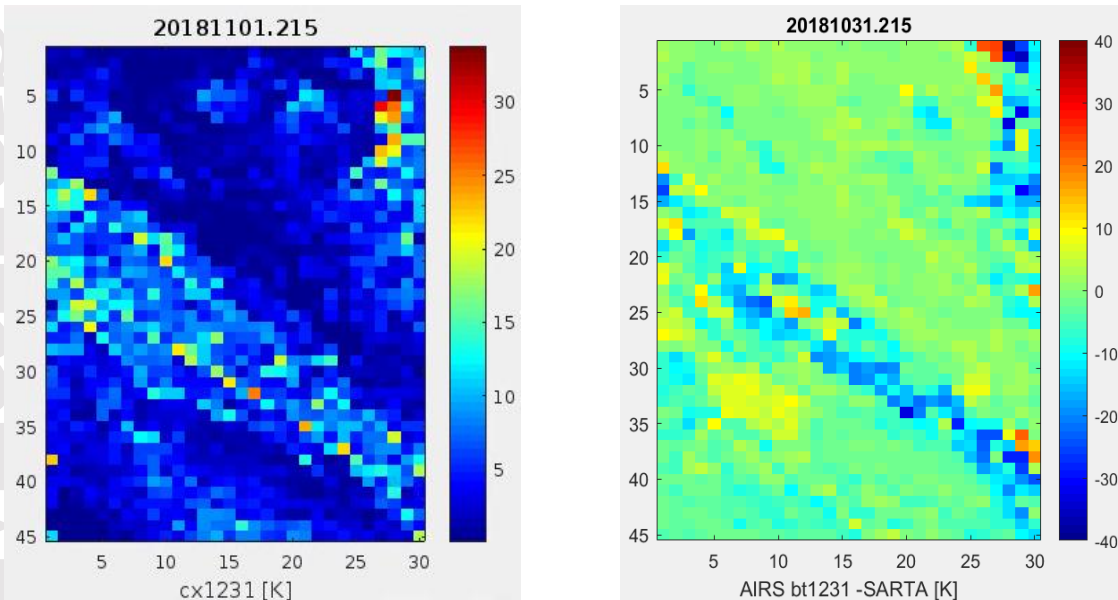
280
281 In Table 1 we summarize results (obs-cal) from SARTA and PCRTM MRO 50col in terms of
282 mean and SD. Column #2 shows the gaussian statistics, Columns #3 and #4 are the results with
283 cx1231<10K and cx1231<5K filtering, and column#5 shows the quartile statistics. The first
284 number is the mean, following the \pm is the SD, the 3rd number, relevant only for cx1231 filtering,

285 is the number of cases from the granule used for the statistics. In granule 215 88% of the data are
286 from relatively uniform, $cx1231 < 10K$, areas. For the quartile statistics only 625 of the possible
287 1350 points are used. As expected, the SD of (obs-cal) decreases, when outliers due to spatial
288 inhomogeneity are removed. The effect is stronger with quartile statistics. In all cases there is
289 little impact on the mean(obs-cal).

290

291 We limited Table 1 to results from SARTA and PCRTM MRO 50col, since they represent the
292 range from the computationally least and most demanding RTMs. The results are typical of other
293 RTMs. As an example, the gaussian statistics, mean \pm SD, from granule#215 for CRTM are $+1.1$
294 $\pm 8.2K$, for PCRTM MRO 2col they are $+0.6 \pm 6.8K$.

295



296 **Figure 4.** Granule 215: The left panel shows an image of the scene inhomogeneity parameter
297 $cx1231$. The right panel shows (obs-cal) for SARTA, identical to Fig. 3a). The diagonal band of
298 broken clouds seen in the $cx1231$ image is seen as a band of (obs-cal) outliers.

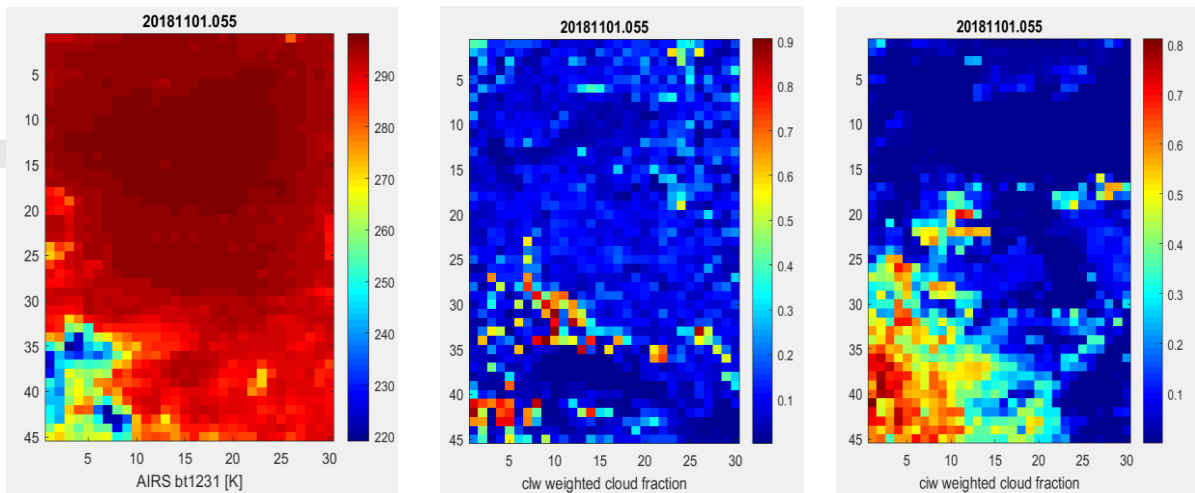
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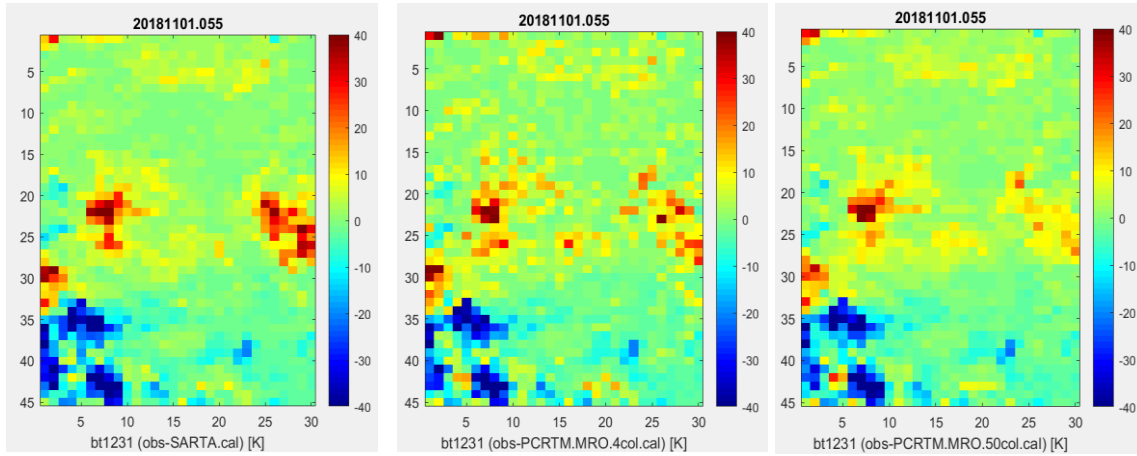
301 3.1.2. Granule 55

302

303 This granule is from a night overpass of the tropical atlantic ocean off the coast of Brazil. The surface
304 temperature of the ocean ranged from 300K to 322K. Figure 5 shows the NOAA distribution of $bt1231$
305 (left panel), the water cloud cover (center), and the ice cloud cover from the associated IFS data.



306 **Figure 5.** Granule 55. NOAA subsample of observed bt1231 (left), the water cloud cover
 307 (center), and the ice cloud cover (right) from the IFS.
 308

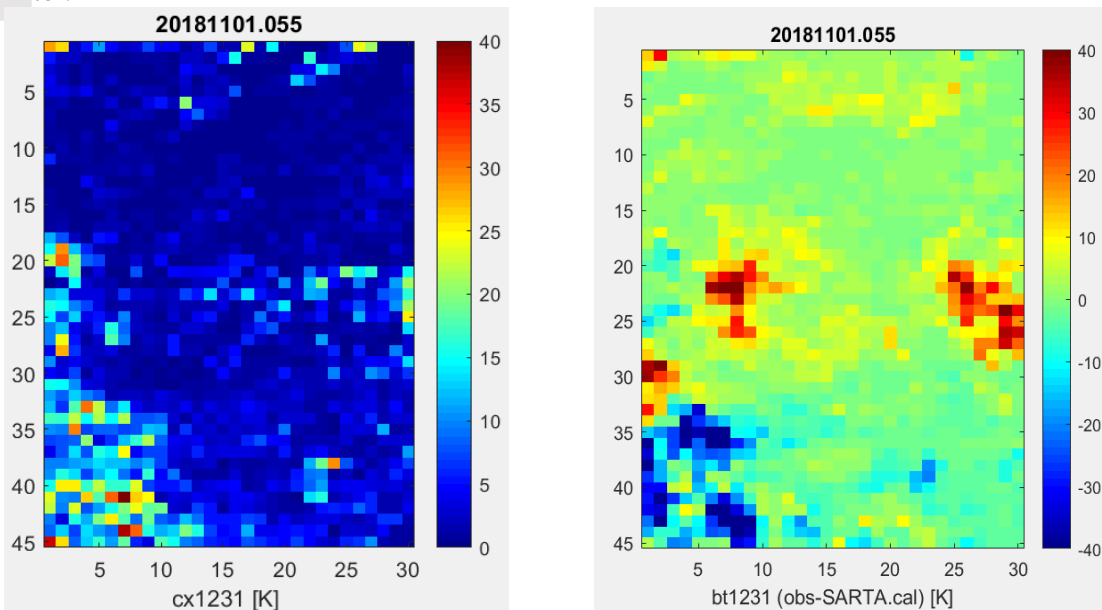


309 **Figure 6.** Granule 55: Comparison of (obs-cal) from SARTA, PCRTM.MRO.4col and
 310 PCRTM.MRO.50col. In the red pixels the IFS has ice clouds not seen by AIRS, in the blue pixels
 311 AIRS sees cold clouds which are not in the IFS. The red and blue pixels are seen as cluster of ten
 312 or more pixels. Each pixel subtends a 45x45 km area.
 313

314 In Figure 6 we compare (obs-cal.SARTA), (obs-cal.PCRTM.MRO.4col) and (obs-
 315 cal.PCRTM.MRO.50col) in granule 55. The two red areas at the center of the picture are ice
 316 clouds in the IFS not seen in the AIRS data. All three RTMs are up to 40K warmer than AIRS
 317 (blue) in the lower left corner of the granule for ice clouds above water clouds. The red and blue
 318 pixels are typically not isolated incidents, but are seen in cluster of ten or more pixels. Since each
 319 pixel subtends a 45x45 km area, the discrepancies between observed and IFS clouds extend for
 320 100 km or more. We come back to this later.
 321

322 The left panel of Figure 7 shows cx1231, the right panels repeat (obs-cal.SARTA) from Figure 6.
 323 A visual correlation between cx1231 and obs-cal is seen in the lower left corner of granule 55,
 324 where (obs-cal) is negative, i.e. the clouds in the IFS are optically too thin or too warm (low). In
 325 the center area there is no correlation between cx1231 and the cold bias in cal (positive obs-cal).
 326 This indicates that in this granule some outliers are not due high spatial contrast, but are an

327 indication of the limited accuracy in the IFS cloud field on a 100 km scale. The (obs-cal) mean
328 and SD, the $cx1231 < 10K$ and $cx1231 < 5K$ filtered mean and SD, and the quartile statistics are
329 summarized in Table 1. Only 12% of the data from granule 55 are rejected by the $cx1231 < 10K$
330 filter.



331 **Figure 7.** Granule 55: $cx1231$ (left) and SARTA (obs-cal) (right). A $cx1231 < 10K$ indicates a
332 relatively uniform cloud cover. In the center area of the granule there is no visual correlation
333 between $cx1231$ and the large positive (obs-cal). The extremely cold clouds in the IFS in this
334 area are not seen by AIRS.

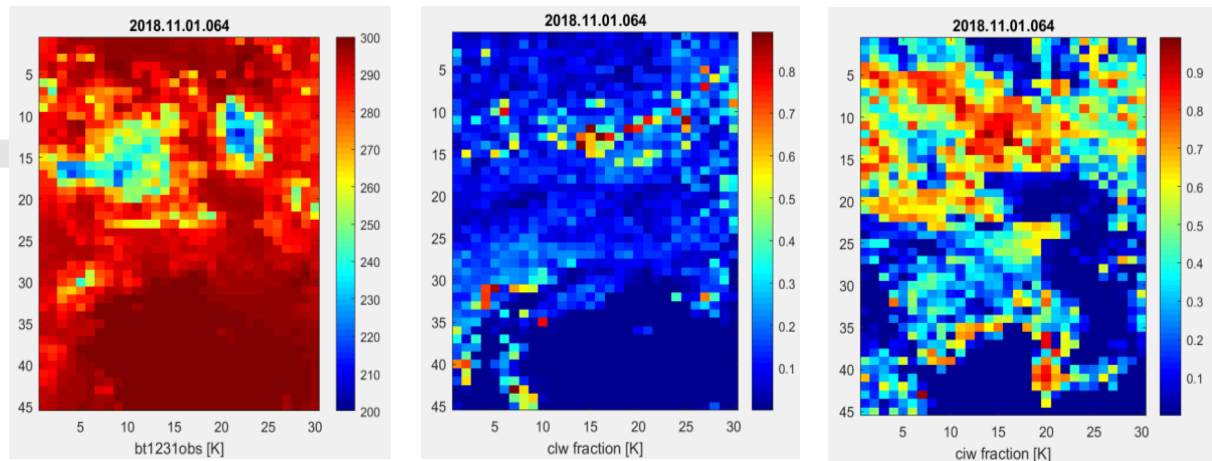
335

336 3.1.2. Granule 64

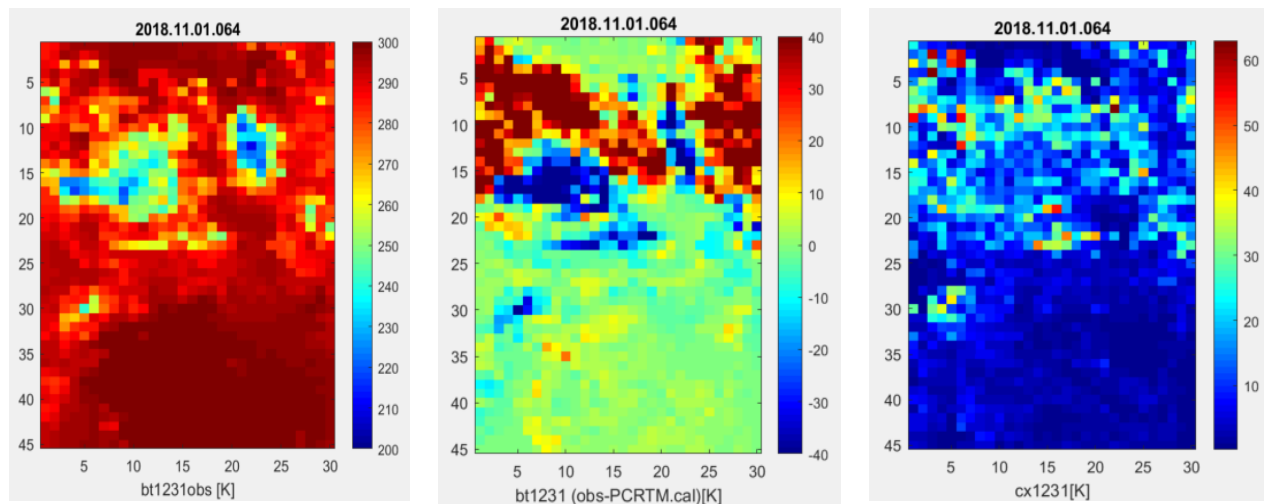
337

338 Granule 64 is from a daytime overpass of the tropical warm pool. The surface temperature of the ocean
339 ranged from 300K to 304K. Figure 8 shows the NOAA distribution of $bt1231$ (left panel), the Cloud
340 Liquid Water fraction (center), and the Cloud Ice Water fraction (right) from the associated IFS data.
341 Inspection of the AIRS $bt1231$ observations shows that the lower half of the granule is relatively clear
342 (dark red). The IFS in this region has close to zero water cloud cover and low ice cloud cover. In the
343 upper half of the granule AIRS obs show two areas of Deep Convective Clouds (DCC), blue area
344 where $bt1231 < 220K$, but only the one on the right agrees loosely with an area of ice clouds in the IFS.

345



346 **Figure 8.** Granule 64 for the NOAA subsample of observed bt1231 (left panel), the water cloud cover
 347 (center), and the ice cloud cover (right) specified in the IFS.
 348

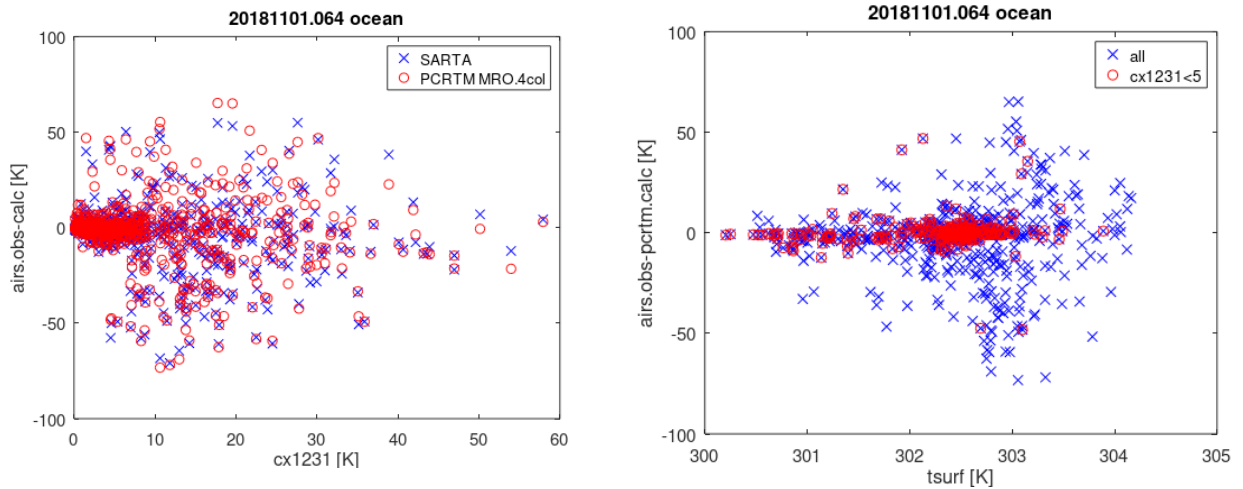


349 **Figure 9.** Granule 64. The lower half of this granule is relatively clear, as seen by the 300K obs,
 350 and spatial uniformity (low cx1231). The small (obs-cal) in this area indicates that AIRS and the
 351 IFS agree. The disagreement between the observed (bt1231, left panel) and (obs-cal.PCRTM,
 352 center panel) is most pronounced in areas of high spatial inhomogeneity (cx1231, right panel), i.e.
 353 broken clouds.
 354

355 The left panel of Figure 9 shows the observed bt1231 (same as left panel Figure 8), the center
 356 shows (obs-cal.PCRTM) and the right panel shows cx1231. Only PCRTM is shown, as all RTMs
 357 essentially agree. The lower half of granule 64 is relatively clear, as seen by the 300K obs, and
 358 spatial uniformity (low cx1231). The small (obs-cal) shows that AIRS and the IFS agree.
 359 However, in the area centered on [col 7, row 15] in Figures 8 and 9, the IFS sees a 40K warmer
 360 area than the cold clouds seen in obs. But the area centered on [7,7], which is very cold in the
 361 IFS, is fairly warm in the obs. Neither area is associated with a large cx1231. It appears that the
 362 cold clouds seen in the obs are seen in the IFS shifted about 8 pixels to the north. This is a
 363 displacement of $8 \times 45 = 350$ km. Spatial displacements of this magnitude largely cancel in the
 364 granule mean (obs-cal), but contribute to an enhanced SD. The granule statistics are summarized
 365 in Table 1.

366

367 An additional perspective into granule 64 is provide by two scatter diagrams. The left panel of
368 Figure 10 shows (obs-cal) as function of cx1231. Here, 35% of the data have $cx1231 > 10K$. The
369 outliers in (obs-cal) are relatively consistent from SARTA and PCRTM. Figure 10 (right panel)
370 shows (obs-cal) as function of the local sea surface temperature (Canada Meteorological Center.
371 2012). The big outliers are almost exclusively at surface temperature between 302.5 and 303.5K.
372 Sea surface temperature warmer than 302K are associated with the rapid onset of deep
373 convection and Deep Convective Clouds (DCCs) (Aumann et al. 2018). The observation that the
374 presence of the DCCs results in almost symmetric large positive and negative outliers in (obs-cal)
375 indicates that the IFS creates DCCs, but the space/time interpolation to the AIRS observations
376 results in random hits and misses, which results in a large SD, with little impact on the granule
377 mean.



378 **Figure 10.** (obs-cal) for granule 64. The left panel shows (obs-cal) as function of cx1231 for
379 SARTA and PCRTM. The results are very similar. The right panel shows (obs-cal) for PCRTM as
380 function of the local sea surface temperature for all data, and for data from relatively spatially
381 uniform scenes ($cx1231 < 5K$). The large positive and negative (obs-cal) outliers are correlated
382 with $cx1231 > 5K$. These large outliers are likely related to the onset of deep convection at
383 surface temperatures above 302.5K. The IFS creates DCCs, but the space/time interpolation to
384 the AIRS observations results in random hits and misses.

385

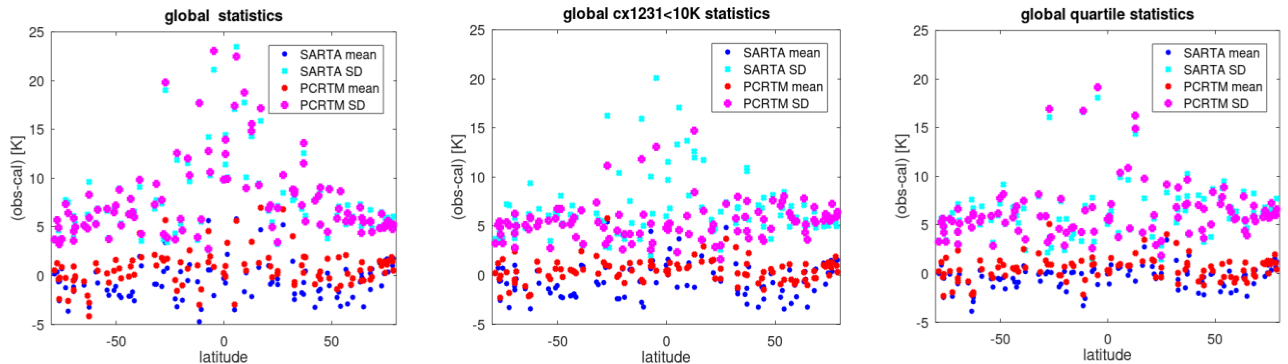
386

387 3.2. Global Results

388

389 In Figure 11 we plot SARTA and PCRTM statistics for all granules as function of latitude. In all cases
390 the mean is only weakly latitude dependent, and relatively close to zero considering the large cloud
391 displacement errors seen in the individual granules. The SD increases steeply in the tropical zone (left
392 panel). Averaged over all latitudes, the SD is 7.4K for SARTA, 7.5K for PCRTM. When the high scene
393 inhomogeneity cases are removed with a $cx1231 < 10K$ filter (center), this latitude dependence is almost
394 totally suppressed. With $cx1231 < 10K$ filtering, and averaged over all granules, the gaussian mean is -
395 0.61 K, $SD=6.4$ K for SARTA, compared to mean= +0.30 K, $SD= 5.2$ K for PCRTM. The PDF of (obs-
396 cal) is very symmetric. The quartile skew of the PDF is -0.0025 for SARTA, and +0.0046 for PCRTM.

397 The quartile statistics (right panel) effectively suppresses the latitude dependence and any skew. There is
 398 no significant change in the bias, and SARTA and PCRTM have SD=6.3K with quartile statistics.
 399



401 **Figure 11.** Latitude dependence of the SD of (obs-cal) from SARTA and PCRTM MRO 50col.
 402 Left: all data, Center: with a cx1231<10K filter. Right: quartile statistics. The latitude
 403 dependence of the SD is flattened out almost equally well by cx1231<10K spatial coherence
 404 filtering and quartile statistics.

405
 406
 407

408 4 Discussion

409

410 4.1. Granule statistics.

411

412 Figure 2 is an example of how good the IFS can be away from the tropics, but even there the
 413 SD(obs-calc) after cx1231 filtering is about 5K. Using the [x=cross-track, y=scanline] notation
 414 in Figure 2, the band of cold clouds seen by AIRS extending from [0,15] on the center left to
 415 [35,40] in the lower righthand corner, matches the band of ice clouds. The band of ice clouds
 416 overlays a broader region of significant water clouds. This creates a complicated cloud overlap
 417 situation. This is seen by the fact that this band matches a band in (obs-cal.SARTA), where
 418 cal.SARTA is typically 10K warmer than obs. These cases are better handled by .PCRTM MRO
 419 50col. Figure 4 (left panel) shows that this band is filled with many cx1231>10K cases, i.e.
 420 broken clouds. If these cases are eliminated from the granule statistics, the performance of
 421 SARTA and PCRTM are very close, but we also excluded spatially non-uniform cases were
 422 PCRTM 50 col would represent the clouds with higher fidelity.

423

424 Granule 55 provides more insights into differences between RTMs. Focus on the [27,23] area in
 425 Figure 6. The yellow/red (obs-cal) indicates that PCRTM MRO 50col is only slightly colder than
 426 AIRS, but the red PCRTM MRO 4col generates colder, while the dark red SARTA (2 col MO)
 427 generates much colder brightness temperatures, up to 40 K colder than AIRS. This area shows
 428 quite low ice cloud amounts, but the cloud tops are high (about 200 hPa according to SARTA). It
 429 seems that SARTA and PCRTM MRO 4col give too much weight to the high clouds. Since the
 430 50-col approaches agree best with AIRS, the IFS simulation appears to be not too far wrong for
 431 this cloud feature. The advantage of MRO 50col is in complicated high/low cloud overlap region.

432

433 The spatial scales of (obs-cal) discrepancies are virtually never limited to single 45 km golf balls.

434 This indicates that they are not simple mismatch issues. In Figure 6, lower left corner [1:10,

435 32:35] the simulations are typically warmer than AIRS in all RTMs, i.e. (obs-cal) is dark blue,
436 and mean RTM differences reach 10 to 20 K. Yet, the IFS has high ice cloud tops (250 hPa or
437 higher) and significant ice cloud amount (greater than 3 g/m^3 product of TCC and column cloud).
438 We can only speculate that the IFS may need to put the clouds even higher, or give higher ice
439 contents or cloud fractions. The bigger picture is that the IFS and reality (AIRS) are not too far
440 apart, at least in terms of the shape of this convective system. At [8, 22] the IFS has cloud tops
441 near 250 hPa and with high ice cloud amount. This seems to be a clear case of the IFS generating
442 deep convection where none exists in reality.

444 4.2. Global statistics.

445
446 The global (obs-cal) statistics shown in Figure 11 indicate little difference between the RTMs:
447 The mean is close to $\pm 1\text{K}$, with $\text{SD}=7.5\text{K}$, independent of the methodology. When spatial
448 inhomogeneity cases are eliminated directly by cx1231 filtering, or indirectly using the quartile
449 statistics, the global SD is still about 6K. One could argue that the main reason why the IFS and
450 AIRS do not match up better is that we used a 12-hour forecast of the clouds, not a post-facto
451 analysis of the IFS clouds. There is very little predictability of the smallest scales of cloud and
452 precipitation e.g. (sub 100km) beyond a few hours, so it is natural that cloud features in the 12-
453 hour forecast may be displaced or mis-represented compared to the observations. Figure 10
454 makes this point with the onset of deep convection in the tropical warm pool. However, even if
455 we were looking at the analysis instead of the forecast, the 4D-Var assimilation technique would
456 not get the clouds, particularly deep convection, in exactly the right place in the analysis, because
457 4D-Var is usually constrained to follow a 12-hour forecast trajectory (all-sky assimilation
458 systems with much shorter timescales, e.g. on the order of 10 minutes, do show promise at fitting
459 clouds more exactly, e.g. Sawada et al., 2019). Hence, one may conclude that the displacement
460 and misrepresentation of clouds on the smallest scales is just a natural feature of any forecast
461 cloud dataset. However, as illustrated in the granule images, the displacements between observed
462 and missing cold clouds can be much larger than 100 km.

463
464 Compared to the bias(obs-cal), which is typically less than 1 K, the standard deviation of (obs-
465 cal) and the outliers are revealing. The three main reasons for discrepancies between “obs” and
466 “cal” are 1) the IFS clouds in the 12 hour forecast are not necessarily correct. 2) Even when they
467 are correct, the RTMs may not be capable of accurately converting the IFS clouds into “obs”. 3)
468 In areas of high cloud inhomogeneity the AIRS “obs” may not represent a “truth” due to a spatial
469 and/or temporal mismatch, which gives rise to positive and negative outliers. For a large
470 ensemble the resulting bias averages to zero, but the outliers leave a tell-tale enlarged SD (e.g. of
471 the order of 5 K in Fig. 11).

472
473 Figure 11 shows that once the largest outliers are eliminated by removing spatially
474 inhomogeneous scenes (either using the cx1231 filtering, or quartile statistics) the bias and SD
475 are relatively independent of latitude and RTM, outside a few tropical locations. The concern that
476 the NOAA selection of the warmest footprint in a 3x3 golfball may cause the PDF of (obs-cal) to
477 lean toward the warm side, i.e. create a positive outlier skew, appears to be unfounded: Once the
478 gross outliers are eliminated by $\text{cx1231} < 10\text{K}$ filtering, the skew is very small.

479
480 Discrepancies between clouds in the IFS and in collocated observations have been reported
481 previously, for example comparing to AMSR-E 19 and 37GHz data (Geer and Bauer 2011) and

482 to IASI (Infrared Atmospheric Sounding Interferometer, Geer et al. 2019). The latter used data as
483 recent as February 2018, based on the immediate prior version of the IFS to the one used in our
484 evaluation. The results indicated a lack of clouds in the IFS over the marine stratocumulus
485 regions, which led to the calculated brightness temperatures being warmer than observed. In the
486 inter-tropical convergence zone over ocean, the IFS appeared to overestimate convection as
487 observed in the infrared, which lead to the calculated brightness temperature being colder than
488 observed, i.e. a warm bias in (obs-cal). In the present study we see a rough balance between
489 warm and cold bias, which results in a global and zonal mean bias close to zero, but with an
490 enlarged SD compared to what is seen in clear-sky comparisons. Bias maps would likely reveal
491 small spatial variations similar to those seen by Geer et al. (2019) but it would require many days
492 of averaging to compute them; this is not available from our case study.

493 **4.3. Routine IFS cloud fidelity evaluation**

494
495
496 In the current IFS the mean and SD of (obs-cal) of many channels from infrared sounders are
497 evaluated under clear-sky screened conditions, and their time series are routinely monitored to
498 assess the quality of both the observations and forecast. Monitoring the global mean and SD of
499 (obs-cal) using a cloud-enabled RTM could similarly provide information on the fidelity of the
500 model cloud field. Making the all-sky SD a routine diagnostic product would allow most of the
501 area covered by infrared sounders to be utilized, not just the limited clear areas. The routine
502 availability of the SD of (obs-cal) would help monitor improvements in the representation of
503 clouds in the IFS and other weather forecasting systems. Future consideration could also be
504 given to statistics with reduced sensitivity to outliers induced by cloud displacements, such as
505 filtering using the spatial inhomogeneity measure (cx1231) or quartile statistics illustrated here.
506 Other methods exist in the literature but can be substantially more complex to apply (e.g. Roberts
507 and Lean, 2008).

508
509 For the case study presented in this paper neither data volumes nor computational complexity
510 were a major issue. However, for the routine monitoring of the cloud fidelity in any model,
511 resource requirements impose severe limitations. Here, in order to generate sufficiently accurate
512 colocations, we have made use of the internal IFS-grid time/space interpolation, which has
513 access to the model fields every 30 minutes, something which is nearly impossible to archive or
514 distribute externally. Hence, the routine generation of these statistics would need to be done
515 online, within the weather forecasting model. Observation simulators for climate models (e.g.
516 Bodas-Salcedo et al., 2011) are run online for similar reasons. The need for the highest fidelity
517 cloud enabled RTM also needs to be considered. The runtime of any cloud enabled RTM is
518 proportional to the number of channels, the complexity of the cloud microphysics and associated
519 scattering code, and the number of columns. More columns should increase the fidelity of the
520 calculation, particularly for broken clouds, but they also will increase to computer resource
521 requirements. In Figure 11 SARTA and PCRTM MRO 50col represent two extremes in terms of
522 the computational resource requirements: SARTA uses a simple two-slab approach for simulating
523 the IFS clouds, while the PCRTM 50-column MRO (Maximum Random Overlap) is intended for
524 a more faithful simulation of the IFS clouds. However, we find that the decrease in bias and SD
525 of using the highest fidelity RTM is marginal compared to the increase in computational
526 complexity. This finding has a simple explanation: PCRTM MRO 50 col is much better at
527 handling broken clouds, but the cal under these conditions are also extremely sensitive to
528 space/time interpolation errors. Filtering out the most broken cloud cases to suppress

529 interpolation or cloud displacement related outliers also removes cases where a computationally
530 more demanding RTM could have had an advantage.

531

532 **Summary**

533

534 The objective of our study was to explore the use of simulated all-sky infrared radiances to
535 quantify the fidelity of the clouds in model simulations, based on observation minus simulation
536 (obs – cal). The all-sky approach capitalizes on the unique sensitivity of infrared observations to
537 clouds. For our evaluation we used AIRS observations and the ECMWF IFS. A main step
538 forward was to use the internal IFS interpolation to achieve colocations within 15 minutes and 5
539 km, much better than achieved in our previous comparison (Aumann et al., 2018) and difficult to
540 achieve outside of a forecast model (i.e. in an “offline” study). Detailed examination of the
541 differences on the granule scale showed that modelled and observed clouds are often displaced,
542 likely due to forecast error and remaining interpolation error. While some of the discrepancies
543 between AIRS and the IFS could be explained by spatial and temporal mismatch issues in
544 spatially inhomogeneous areas, there are cases in spatially relatively uniform areas where the IFS
545 claims deep convection where none exists in reality or the deep convection is displaced by
546 hundreds of kilometers. This leads to large standard deviations of (obs-cal) on the granule scale.
547 However, granule averages are almost unaffected by these displacements, given the small
548 granule mean of (obs-cal). The effect of these displacements can be reduced by eliminating
549 scenes using measures of spatial inhomogeneity or quartile statistics. This work has also
550 evaluated different RTMs, illustrating the benefits of using large numbers of columns to
551 represent cloud overlap in complex cloud profiles. However, when the most complex scenes are
552 removed using the spatial inhomogeneity filter or quartile statistics, little is gained from RTMs
553 with more than 2 columns.

554

555 A possible metric of the IFS cloud fidelity is the standard deviation of the all-sky (obs-cal) for a
556 chosen window channel. We illustrated this with AIRS data, but the proposed metric applies to
557 any hyperspectral infrared sounders. Even when the SD of all-sky (obs-cal) is filtered for the
558 most spatially inhomogeneous cases, it still utilizes an order of magnitude more of the infrared
559 sounder observations than in current clear-sky approaches. If calculated online within the
560 processing chains of a weather forecasting system such as the IFS, the time series of all-sky (obs-
561 cal) statistics like SD would provide routine feedback on improvement in the quality of the
562 clouds.

563

564 **Acknowledgments**

565

566 The research described in this paper was carried out at the Jet Propulsion Laboratory, California
567 Institute of Technology, under a contract with the National Aeronautics and Space Administra-
568 tion. Work at UMBC was supported by NASA. The effort at the University of Michigan was
569 supported by NASA grant 80NSSC18K1033 and 80NSSC19K1472. Work at NASA Langley
570 was supported by the NASA 2017 Research Opportunities in Space and Earth Sciences (ROSES)
571 solicitation NNH17ZDA001N-TASNPP: The Science of Terra, Aqua, and Suomi NPP and the
572 NASA 2020 ROSES solicitation NNH20ZDA001N: NASA Suomi National Polar-orbiting Part-
573 nership (NPP) and the Joint Polar Satellite System (JPSS) Satellites Standard Products for Earth
574 System Data Records. The AIRS matchup files and associated readme.txt are in
575 <https://thunder.jpl.nasa.gov/ftp/hha/ECMWF20181101/>. The elat, elon, esolzen and ebt1231 in

576 each of the 120 matchup file are the latitude, longitude, solar zenith angle and bt1231 of the
577 NOAA distribution. The index eptr points to the matching atmospheric state in
578 https://thunder.jpl.nasa.gov/ftp/hha/ECMWF_profiles_airs_ingest_2018110100.mat. The IFS
579 profiles are under ECMWF copyright, but are used under a creative commons CC BY 4.0 attrib-
580 ution license (see <https://apps.ecmwf.int/datasets/licences/general/>).

581

582

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718

719

	Gaussian statistics	cx1231<10K filtered	cx1231<5K filtered	Quartile Statistics
# 55 SARTA	+0.3 ± 10.1	+1.3 ± 8.1 1193	+2.0 ± 6.5 1034	0.36±4.6
# 55 PCRTM	+0.5 ± 9.0	+1.3 ± 7.2 1193	+2.0 ± 5.5 1034	0.84±4.7
#215 SARTA	-2.6 ± 6.6	-2.2 ± 5.9 1200	-1.2 ± 4.4 854	-0.88±5.2
# 215 PCRTM	+0.1 ± 6.0	+0.1 ± 5.3 1200	+0.3 ± 4.1 854	+0.21±4.4
#64 SARTA	5.8 ± 23.5	3.7 ± 17.1 888	2.5 ± 12.4 640	0.7 ± 9.9
#64 PCRTM	5.6 ± 22.5	3.7 ± 16.3 888	2.5 ± 11.7 640	1.0 ± 10.4

721 **Table 1.** (obs-cal) PDF characterization for granule #55, #64 and #215. The mean+/-SD are in
 722 degree K units. The 3rd number is the number of cases used for the statistics with cx1231 filtering.
 723 For gaussian statistics all 1350 points from a granule are used, the quartile statistics uses only
 724 half of the 1350 points.
 725
 726
 727

728 **Figure Captions.**

729

730 **Figure 1.** Locations of the three focus granules, numbers 215 (red), 64 (green) and 55 (blue).

731

732 **Figure 2.** Granule 215 as seen in terms of observed brightness temperature, bt1231 (left), the
733 water cloud fraction (center) and ice cloud fraction (right) as described by the IFS (see text for
734 definitions). Coordinates are the observation location across the satellite track (x axis) and along
735 the track (y axis).

736

737 **Figure 3.** As Fig. 2 but showing the difference between observed and calculated brightness
738 temperature, (obs-cal) for a) SARTA; b) PCRTM; along with c) the difference between the
739 models themselves, PCRTM-SARTA. The two RTMs agree with each other better than with the
740 observations.

741

742 **Figure 4.** Granule 215: The left panel shows an image of the scene inhomogeneity parameter
743 cx1231. The right panel shows (obs-cal) for SARTA, identical to Fig. 3a). The diagonal band of
744 broken clouds seen in the cx1231 image is seen as a band of (obs-cal) outliers.

745

746 **Figure 5.** Granule 55. NOAA subsample of observed bt1231 (left), the water cloud cover
747 (center), and the ice cloud cover (right) from the IFS.

748

749 **Figure 6.** Granule 55: Comparison of (obs-cal) from SARTA, PCRTM.MRO.4col and
750 PCRTM.MRO.50col. In the red pixels the IFS has ice clouds not seen by AIRS, in the blue pixels
751 AIRS sees cold clouds which are not in the IFS. The red and blue pixels are seen as cluster of ten
752 or more pixels. Each pixel subtends a 45x45 km area.

753

754 **Figure 7.** Granule 55: cx1231 (left) and SARTA (obs-cal) (right). A cx1231<10K indicates a
755 relatively uniform cloud cover. In the center area of the granule there is no visual correlation
756 between cx1231 and the large positive (obs-cal). The extremely cold clouds in the IFS in this
757 area are not seen by AIRS.

758

759 **Figure 8.** Granule 64 for the NOAA subsample of observed bt1231 (left panel), the water cloud cover
760 (center), and the ice cloud cover (right) specified in the IFS.

761

762 **Figure 9.** Granule 64. The disagreement between the observed (bt1231, left panel) and (obs-
763 cal.PCRTM, center panel) is most pronounced in areas of high spatial inhomogeneity (cx1231,
764 right panel),, i.e. broken clouds.

765

766 **Figure 10.** (obs-cal) for granule 64. The left panel shows (obs-cal) as function of cx1231 for
767 SARTA and PCRTM. The results are very similar. The right panel shows (obs-cal) for PCRTM as
768 function of the local sea surface temperature for all data, and for data from relatively spatially
769 uniform scenes (cx1231<5K). The large positive and negative (obs-cal) outliers are correlated
770 with cx1231>5K. These large outliers are likely related to the onset of deep convection at
771 surface temperatures above 302.5K. The IFS creates DCCs, but the space/time interpolation to
772 the AIRS observations results in random hits and misses.

773

774

775 **Figure 11.** Latitude dependence of the SD of (obs-cal) from SARTA and PCRTM MRO 50col.
776 Left: all data, Center: with a cx1231<10K filter. Right: quartile statistics. The latitude
777 dependence of the SD is flattened out almost equally well by cx1231<10K spatial coherence
778 filtering and quartile statistics.
779

