## THE EXPONENT OF UNIL

F. T. FARRELLT

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#### INTRODUCTION

CAPPELL[3] HAS introduced obstruction groups for his splitting theorem— $UNil_k^h(R; M_1, M_2)$  and  $UNil_{2k}^s(R; M_1, M_2)$ . (Here R is a ring,  $M_1$  and  $M_2$  are R-bimodules, and k is an integer.) He showed they are 2-primary in the geometrically interesting cases. In these cases, we prove the exponent of  $UNil_{2k}^h(;,)$  divides 4. (See Theorem 1.3.) Our techniques probably give the same result for  $UNil_{2k+1}^h(;,)$  and  $UNil_{2k}^s(;,)$ ; we don't attempt this to avoid obscuring our argument with technical details. It occured to the author, after completing this paper, that a sufficiently general localization theorem in L-theory would probably yield reasoning as in [9] and [5], a direct proof that 8 annihilates  $UNil_{2k}^h(;,)$  (for the same cases as above). Ranicki[10] has recently constructed such a localization theorem.

We obtain some additional information about  $L_3(Z_2 * Z_2)$ . (See Theorem 4.1.)

#### §1. MAIN RESULT

Let R be a ring with 1 and involution  $r \to \overline{r}$ , and M a R-bimodule with involution also denoted by  $x \to \overline{x}$  (see e.g. [3]). Let  $\mathscr{F} = (P, \lambda, \mu)$  be a  $(-1)^k$  Hermitian form over M and  $f \colon V \times V \to \mathbb{Z}$  a symmetric (integral valued) bilinear form on a finitely generated, free, abelian group V. Define  $f\mathscr{F} = (V \otimes P, \lambda', \mu')$  to be a new  $(-1)^k$  Hermitian form over M. We explain the terms occurring in  $f\mathscr{F}$ . First,  $V \otimes P$  is tensor product with respect to  $Z \colon V \otimes P$  inherits a right R-module structure from  $P \colon$  clearly,  $V \otimes P$  is a free, finitely generated R-module. Next, the bilinear pairing  $\lambda'$  is determined by the equation

(1) 
$$\lambda'(v \otimes x, w \otimes y) = f(v, w)\lambda(x, y)$$

for  $v, w \in V$  and  $x, y \in P$ . Finally, the quadratic map  $\mu'$  is determined by

(2) 
$$\mu'(v \otimes x) = f(v, v)\mu(x)$$

for  $v \in V$  and  $x \in P$ .

We collect together some notation. Let  $P^* = \operatorname{Hom}_R(P, R)$  and  $\lambda^* \colon P \to P^* \otimes_R M$  be the adjoint of  $\lambda$ ; i.e. the composite of the map  $P \to \operatorname{Hom}_R(P, M)$  defined by  $x \to \lambda(x, )$  with the inverse of the canonical isomorphism

$$P^* \otimes_R M \to \operatorname{Hom}_R(P, M)$$
.

Similarly, let  $V^* = \text{Hom}(V, \mathbb{Z})$  and define  $f^*: V \to V^*$  by  $f^*(m) = f(m, )$ . The following diagram commutes

$$(3) V \otimes P \xrightarrow{(\lambda')^*} (V \otimes P)^* \otimes_R M$$

$$V^* \otimes (P^* \otimes_R M)$$

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where the vertical map is the canonical isomorphism. Recall f is non-singular if  $f^*$  is an isomorphism. When f is non-singular, define

$$f^{-1}: V^* \times V^* \rightarrow \mathbb{Z}$$

by requiring  $(f^{-1})^* = (f^*)^{-1}$ .

Let  $D_{2n}$  denote the dihedral group of order 2n. Fix generators  $\alpha$  and  $\gamma$  for  $D_{2n}$  with  $\alpha^2 = 1 = \gamma^n$  and  $\alpha \gamma \alpha^{-1} = \gamma^{-1}$ ; define  $\beta = \gamma \alpha$ . (Note  $\beta^2 = 1$ .) Let  $\mathcal{L} = (V, f)$  be a  $\mathbf{Z}D_{2n}$ -lattice; i.e. V is a finitely generated,  $\mathbf{Z}$ -free,  $D_{2n}$ -module and  $f: V \times V \to \mathbf{Z}$  is a symmetric,  $D_{2n}$ -invariant, non-singular form. Define associated, symmetric, non-singular forms  $f_1, f_2: V \times V \to \mathbf{Z}$  by

(4) 
$$f_1(v, w) = f(\alpha v, w), \quad f_2(v, w) = f(\beta v, w)$$

for  $v, w \in V$ . Notice that  $f_1^*$  is the composite of  $f^*$  and multiplication by  $\alpha$ ;  $f_2^*$  the composite of  $f^*$  with multiplication by  $\beta$ . Set  $\mathcal{L}^{-1} = (V^*, f^{-1})$ , then  $\mathcal{L}^{-1}$  is also a  $\mathbb{Z}D_{2n}$ -lattice.

Let  $M_1$  and  $M_2$  be R-bimodules with involution which are free as left R-modules,  $\mathscr{C} = (\mathscr{F}_1; \mathscr{F}_2)$  a  $(-1)^k$  UNil form over  $(M_1, M_2)$ , where  $\mathscr{F}_i = (P_i, \lambda_i, \mu_i)$  are  $(-1)^k$  Hermitian forms over  $M_i$  (i = 1, 2) with  $P_2 = P_1^*$  (see e.g. [3]). Define a new  $(-1)^k$  UNil form  $\mathscr{LC} = (\mathscr{F}_1', \mathscr{F}_2')$  by  $\mathscr{F}_1' = f_1\mathscr{F}_1$  and  $\mathscr{F}_2' = (f^{-1})_2\mathscr{F}_2$ . (To be precise,  $\mathscr{F}_2'$  is the pullback of  $(f^{-1})_2\mathscr{F}_2$  to  $(V \otimes P_1)^*$  via the canonical isomorphism  $(V \otimes P_1)^* \to V^* \otimes P_1^*$ .) Using (3), we see  $\mathscr{LC}$  satisfies the nilpotent condition in the definition of a  $(-1)^k$  UNil form. (See [3].)

Recall  $\mathscr C$  is a kernel if there exist free summands  $S_i$  of  $P_i$  (i=1,2) with  $S_2 \subset P_2 = P_1^*$  the annihilator of  $S_1 \subset P_1$ , and with  $\lambda_i | S_i \times S_i$  and  $\mu_i | S_i$  zero; we call the pair  $(S_1, S_2)$  a subkernel for  $\mathscr C$ .

LEMMA 1.1. If either  $\mathscr{C}$  is a kernel or  $\mathscr{L}$  is a split lattice, then  $\mathscr{L}\mathscr{C}$  is a kernel.

*Proof.* First, assume  $\mathscr{C} = (P_1, \lambda_1, \mu_1; P_2, \lambda_2, \mu_2)$  is a kernel with subkernel  $(S_1, S_2)$  and  $\mathscr{L} = (V, f)$ , then  $(V \otimes S_1, V^* \otimes S_2)$  is a subkernel for  $\mathscr{L}\mathscr{C}$ .

Next, assume  $\mathcal{L}$  is split (see [6], p. 294) and let W be a Lagrangian in V; i.e. W is a  $D_{2n}$ -submodule such that  $W = W^{\perp}$  where

(5) 
$$W^{\perp} = \{ v \in V | f(v, w) = 0 \text{ for all } w \in W \},$$

then  $(W \otimes P_1, f^*W \otimes P_2)$  is a subkernel for  $\mathcal{L}\mathscr{C}$ .

COROLLARY 1.2. The pairing  $(\mathcal{L}, \mathcal{C}) \mapsto \mathcal{L}\mathcal{C}$  induces a unital  $GW(D_{2n}, \mathbb{Z})$ -module structure on  $UNil_{2k}^h(R; M_1, M_2)$ .

(See [6] for the definition of GW(,).)

In certain cases, Cappell constructs a map from UNil to the Wall surgery group. Namely, let  $R \subset \Lambda_i$  (i = 1, 2) be inclusions of rings with identity and involution. Assume that  $\Lambda_i$  has an R-bimodule with involution decomposition  $\Lambda_i = R \oplus \hat{\Lambda}_i$ ,  $\hat{\Lambda}_i$  a free left R-module. Let  $\Lambda$  denote the amalgamation ring  $\Lambda_1 *_R \Lambda_2$ , then there is a map

(6) 
$$\rho: \operatorname{UNil}_{2k}^{h}(R; \hat{\Lambda}_{1}, \hat{\Lambda}_{2}) \to L_{2k}^{h}(\Lambda).$$

(See [3].) We now describe the situation of particular interest to us. Let H,  $G_1$ ,  $G_2$  be finitely presented groups with  $H \subset G_i$  (i = 1, 2) and  $\omega_i : G_i \to \{\pm 1\}$  homomorphisms

with  $\omega_1|H=\omega_2|H$ ; these determine involutions on  $\mathbb{Z}[H]$ ,  $\mathbb{Z}[G_1]$ ,  $\mathbb{Z}[G_2]$ ,  $\mathbb{Z}[G]$  where  $G=G_1*_HG_2$ . Let  $\mathbb{Z}[\hat{G}_i]$  denote the  $\mathbb{Z}[H]$  subbimodule with involution of  $\mathbb{Z}[G_i]$  additively generated by  $g\in G_i-H$ . This fits into the above terminology with  $R=\mathbb{Z}[H]$ ,  $\Lambda_i=\mathbb{Z}[G_i]$ ,  $\hat{\Lambda}_i=\mathbb{Z}[\hat{G}_i]$ , and  $\Lambda=\mathbb{Z}[G]$ . But, in this specific situation, Cappell [3] shows the map  $\rho$  of (6) is a monomorphism. We use this fact in proving our main result.

THEOREM 1.3. The exponent of  $UNil_{2k}^h(\mathbf{Z}[H]; \mathbf{Z}[\hat{G}_1], \mathbf{Z}[\hat{G}_2])$  divides 4 (for all k).

To prove this, we first show that  $\rho$  factors through  $UNil_{2k}^h(\Lambda; \Lambda, \Lambda)$  which we abbreviate to  $UNil_{2k}(\Lambda)$ . Let the  $(-1)^k$  UNil form  $\mathscr{C} = (P_1, \lambda_1, \mu_1; P_2, \lambda_2, \mu_2)$  represent an element in  $UNil_{2k}^h(R; \hat{\Lambda}_1, \hat{\Lambda}_2)$ ; associate to it the  $(-1)^k$  UNil form over  $(\Lambda, \Lambda)$ 

(7) 
$$\hat{\mathscr{C}} = (P_1 \otimes_R \Lambda, \hat{\lambda}_1, \hat{\mu}_1; P_2 \otimes_R \Lambda, \hat{\lambda}_2, \hat{\mu}_2)$$

where  $\hat{\lambda_i}$  and  $\hat{\mu_i}$  (i = 1, 2) are determined by

(8) 
$$\hat{\lambda_i}(x \otimes s, y \otimes t) = \bar{s}\lambda_i(x, y)t, \text{ and}$$

$$\hat{\mu_i}(x \otimes s) = \bar{s}\mu_i(x)s$$

for  $x, y \in P_i$  and  $s, t \in \Lambda$ . The correspondence  $\mathscr{C} \mapsto \hat{\mathscr{C}}$  induces a homomorphism

(9) 
$$\hat{\rho} : UNil_{2k}^{h}(R; \hat{\Lambda}_{1}, \hat{\Lambda}_{2}) \rightarrow UNil_{2k}(\Lambda).$$

Cappell's procedure for defining  $\rho$  also gives a map

$$\rho'$$
: UNil<sub>2k</sub>( $\Lambda$ )  $\rightarrow L_{2k}^h(\Lambda)$ .

Namely,  $\rho'$  is determined by associating to a  $(-1)^k$  UNil form  $(P_1, \lambda_1, \mu_1; P_2, \lambda_2, \mu_2)$  over  $(\Lambda, \Lambda)$  a  $(-1)^k$  Hermitian form  $(P, \lambda, \mu)$  over  $\Lambda$  with  $P = P_1 \oplus P_2$  and

(10) 
$$\lambda(x, y) = \langle x, y \rangle \quad \text{for } x \in P_2 = P_1^*, \quad y \in P_1;$$
$$\lambda(x, y) = \lambda_i(x, y) \quad \text{for } x, y \in P_i;$$
$$\mu(x) = \mu_i(x) \quad \text{for } x \in P_i.$$

Thus, we obtain the factorization.

LEMMA 1.4. The map  $\rho$  factors as the composite of  $\hat{\rho}$  with  $\rho'$ .

Therefore, it suffices to show the exponent of image  $\rho'$  divides 4; for this, we need some more lemmas. Denote the identity of  $D_{2n}$  by e and the cyclic subgroups generated by  $\alpha$ ,  $\beta$ ,  $\gamma$ , and e, respectively, by  $(\alpha)$ ,  $(\beta)$ ,  $(\gamma)$ , and (e); their inclusion maps into  $D_{2n}$  by i, j, k, and l, respectively.

LEMMA 1.5. For each  $r \in GW((\gamma), \mathbb{Z})$  and  $x \in UNil_{2k}(\Lambda)$ ,  $k_*(r)x = 0$ .

**Proof.** Let  $\mathcal{L} = (V, f)$  represent r and  $\mathcal{L} = (P_1, \lambda_1, \mu_1; P_2, \lambda_2, \mu_2)$  represent x, then  $k_*r$  is represented by the  $\mathbb{Z}D_{2n}$ -lattice (W, g) where  $W = V \oplus V$ ,

$$g = \begin{pmatrix} f & 0 \\ 0 & f \end{pmatrix}$$

and  $\alpha$ ,  $\beta$  act (relative to this decomposition) via the matrices

(12) 
$$\begin{pmatrix} 0 & e \\ e & 0 \end{pmatrix}$$
 and  $\begin{pmatrix} 0 & \gamma \\ \gamma^{-1} & 0 \end{pmatrix}$ ,

respectively. Then,  $V_1 \otimes P_1$  is a subkernel for  $\mathcal{LC}$  where  $V_1$  is the first component of W.

PROPOSITION 1.6. For each  $x \in \text{UNil}_{2k}(\Lambda)$ , there exists an integer  $N_x$  such that for all  $n > N_x$  and every  $r \in GW((\alpha), \mathbb{Z})$  and  $s \in GW((\beta), \mathbb{Z})$ ,

$$\rho'(i_*(r)x) = 0 = \rho'(j_*(s)x).$$

Proposition 1.7. When n is a power of 2,

$$i_*(2) + j_*(2) + k_*(2) - l_*(2) = 4$$

is an equation in  $GW(D_{2n}, \mathbb{Z})$ .

We postpone the prooofs of these propositions to §2 and §3 and complete the proof of Theorem 1.3. As already observed, it suffices to show  $4\rho'(x) = \rho'(4x) = 0$  for all  $x \in \text{UNil}_{2k}(\Lambda)$ . Let n be a power of 2;  $n > N_x$ . By Proposition 1.7,

(13) 
$$i_*(2)x + j_*(2)x + k_*(2)x - l_*(2)x = 4x,$$

but Lemma 1.5 shows  $k_*(2)x = 0 = l_*(2)x$ . (Note that l factors through k.) Applying  $\rho'$  to (13), we obtain

$$\rho'(i_*(2)x) + \rho'(j_*(2)x) = \rho'(4x).$$

The result now follows from Proposition 1.6.

Remark 1.8. Proposition 1.6 was geometrically motivated by Browder's paper[1] and Lemma 1.5 by the Browder-Levine paper[2].

#### **§2. PROOF OF PROPOSITION 1.6.**

The proof of Proposition 1.6 divides into a few slightly different cases; we prove only one of these (Proposition 1.6') and leave the others to the reader.

PROPOSITION 1.6'. For each  $x \in \text{UNil}_{2k}(\Lambda)$ , there exists an integer  $N_x$  such that for all even integers  $n > N_x$  and every  $r \in GW((\alpha), \mathbb{Z})$ ,  $\rho'(i_*(r)x) = 0$ .

**Proof.** Let  $\mathcal{L} = (V, f)$  represent r and  $\mathcal{L} = (P, \lambda_1, \mu_1; P^*, \lambda_2, \mu_2)$  represent x. For any even integer n = 2m,  $\rho'(i_*(r)x)$  is represented by a  $(-1)^k$  Hermitian form  $(Q, \lambda, \mu)$  with

$$(14) Q = P_1 \oplus P_2 \oplus \cdots \oplus P_n \oplus P_1^* \oplus \cdots \oplus P_n^*$$

where  $P_i = V \otimes P$ . The forms  $\mu$  and  $\lambda$  have certain nice properties; first,  $\mu | P^*_i = 0$  for all i and  $\mu | P_i = 0$  for  $i \neq m$  and n. Next, we discuss the properties of  $\lambda$ ; define

forms

(15) 
$$\varphi \colon V \otimes P \times V \otimes P \to \Lambda, \text{ and}$$
$$\psi \colon V^* \otimes P^* \times V^* \otimes P^* \to \Lambda$$

by the equations

(16) 
$$\varphi(v \otimes x, w \otimes y) = f(\alpha v, w)\lambda_1(x, y)$$

for  $v, w \in V$  and  $x, y \in P$ , and

(17) 
$$\psi(v \otimes x, w \otimes y) = f^{-1}(\alpha v, w)\lambda_2(x, y)$$

for  $v, w \in V^*$  and  $x, y \in P^*$ . Then,  $\lambda$  is described by the equations (where  $x_i \in P^*$  and  $y_i \in P_i$ )

(18) 
$$\lambda(x_i, y_j) = \begin{cases} 0 & \text{if } i \neq j \\ \langle x_i, y_i \rangle & \text{if } i = j \end{cases}$$

$$\lambda(y_i, y_j) = \begin{cases} 0 & \text{if } i + j \neq n \\ \varphi(y_i, y_j) & \text{if } i + j = n, \text{ and} \end{cases}$$

$$\lambda(x_i, x_j) = \begin{cases} 0 & \text{if } i + j \neq n + 1 \\ \psi(x_i, x_j) & \text{if } i + j = n + 1. \end{cases}$$

In matrix terminology,  $\lambda$  has the form

$$\begin{pmatrix} A & \pm I \\ I & B \end{pmatrix}$$

where I is the identity matrix; B a " $n \times n$ -matrix" with  $\psi$  along the skew diagonal and zero elsewhere; and A a " $n \times n$ -matrix" with  $\varphi$  along the diagonal above the skew diagonal, also in the bottom, right corner and zero elsewhere.

Since  $\mathscr{C}$  is a UNil form,  $\lambda^*\lambda^*$ :  $P^* \to P^*$  is nilpotent; i.e. there is an integer N' such that  $(\lambda^*_1\lambda^*_2)^p = 0$  for all  $p \ge N'$ , hence  $h^p = 0$  for  $p \ge N'$  where  $h = \varphi^*\psi^*$ . Now, if  $m-1 \ge N'$ , we can construct a subkernel S for  $(Q, \lambda, \mu)$ ; namely,

$$(20) S = P_1 \oplus \cdots \oplus P_{m-1} \oplus W \oplus P_{m+1}^* \oplus \cdots \oplus P_n^*$$

where it remains to describe W. To each  $x \in (V \otimes P)^*$ , associate  $x' \in Q$  where the i-th component  $x'_i$  of x' is given by the formula

(21) 
$$x'_{i} = \begin{cases} 0 & \text{if either } i \leq m \text{ or } i > 3m \\ -\psi * h^{j}(x) & \text{if } m < i \leq n, \text{ where } j = i - (m+1) \\ h^{3m-i}(x) & \text{if } n < i \leq 3m; \text{ i.e.,} \end{cases}$$
$$x' = \{0, \ldots, -\psi * (x), \ldots, -\psi * h^{m-1}(x), h^{m-1}(x), \ldots, x, 0, \ldots\};$$

let W be the submodule consisting of all x'. A straightforward calculation verifies that S is a subkernel.

# §3. PROOF OF PROPOSITION 1.7.

Let Q denote the rational numbers,  $E_r$  the equation posited in Proposition 1.7 for  $n = 2^r$ , and  $D^r = D_{2n}$ . Since Dress ([6], Theorem 5) has shown that the map

 $GW(D', \mathbf{Z}) \to GW(D', \mathbf{Q})$  is a monomorphism, it suffices to verify  $E_r$  in  $GW(D', \mathbf{Q})$ . We proceed by induction on r; the case  $D^1$  (the Klein 4-group) can be checked directly and is left to the reader. When  $r \ge 1$ , Wall (see e.g. [12], p. 68) has observed that

(22) 
$$\mathbf{Q}D^{r+1} \simeq \mathbf{Q}D^r \oplus M_2(\mathbf{Q}(\cos\theta))$$

where  $\theta = \pi/n$  and  $M_2(\mathbf{Q}(\cos \theta))$  denotes the  $2 \times 2$ -matrix ring over the field  $\mathbf{Q}(\cos \theta)$ . In this decomposition, the map  $\mathbf{Q}D^{r+1} \to \mathbf{Q}D^r$  is induced by the group homomorphism  $D^{r+1} \to D^r$  which sends  $\gamma$ ,  $\alpha$  in  $D^{r+1}$  to  $\gamma$ ,  $\alpha$ , respectively, in  $D^r$ ; the map  $\mathbf{Q}D^{r+1} \to M_2(\mathbf{Q}(\cos \theta))$  is determined by sending

(23) 
$$\alpha \to \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix}, \text{ and}$$
$$\gamma \to \begin{pmatrix} \cos \theta & \sin \theta \\ -\sin \theta & \cos \theta \end{pmatrix}.$$

Fröhlich and McEvert[8] have defined for a ring R with involution a group  $\mathcal{M}(R)$  which reduces to the Wittring when R is a field with trivial involution, and for a finite group G,  $\mathcal{M}(\mathbf{Q}G) = GW(G, \mathbf{Q})$ . Applying  $\mathcal{M}()$  to (22), we obtain

(24) 
$$GW(D^{r+1}, \mathbf{Q}) \simeq GW(D^r, \mathbf{Q}) \oplus \mathcal{M}(M_2(\mathbf{Q}(\cos \theta)));$$

therefore, to verify  $E_{r+1}$ , it suffices that it projects to a valid equation on each factor of (24). One shows, without much difficulty, that  $E_{r+1}$  projects to  $E_r$  on the first factor of (24).

Next, observe that both 4 and  $k_*(2)$  project to 0 in the second factor of (24). Now,  $M_2(\mathbf{Q}(\cos\theta))$  is Morita equivalent (in the standard way) to  $\mathbf{Q}(\cos\theta)$ ; via which, we identify  $\mathcal{M}(M_2(\mathbf{Q}(\cos\theta)))$  to  $\mathcal{M}(\mathbf{Q}(\cos\theta))$ —the ordinary Wittring of the field  $\mathbf{Q}(\cos\theta)$ . After this identification,  $l_*(2)$  clearly projects to  $4 \in \mathcal{M}(\mathbf{Q}(\cos\theta))$ ; also,  $i_*(2)$  goes to 2, while  $j_*(2)$  projects to the element represented by the form  $(1+\sin\theta) \perp (1+\sin\theta)$ . Since 2 is the sum of two squares  $(2=1^2+1^2)$ ,  $(1+\sin\theta) \perp (1+\sin\theta)$  and  $(2+2\sin\theta) \perp (2+2\sin\theta)$  represent the same element. But,  $2+2\cos\theta$  is also the sum of two squares in  $\mathbf{Q}(\cos\theta)$ ; namely,

(25) 
$$2 + 2\sin\theta = (\cos\theta)^2 + (1 + \sin\theta)^2.$$

(Note that  $\sin \theta \in \mathbb{Q}(\cos \theta)$  since  $\theta = \pi/2^r$ .) Hence,  $(2+2\sin \theta) \perp (2+2\sin \theta)$  and  $1 \perp 1$  represent the same element in  $\mathcal{M}(\mathbb{Q}(\cos \theta))$ ; namely, 2.

### §4. EXAMPLE

Let D be the infinite dihedral group generated by  $\alpha$ ,  $\gamma$  subject to relations  $\alpha^2 = 1$  and  $\alpha \gamma \alpha^{-1} = \gamma^{-1}$ , D(n) the subgroup of index n generated by  $\alpha$  and  $\gamma^n$ , and  $T_n$  the normal subgroup generated by  $\gamma^n$ . Note D(n) is isomorphic to D and  $T_n$  is infinite cyclic;  $T_n \subset D(n) \subset D$ ; denote these inclusions by i and  $j_n$ , respectively. Equip D with the trivial homomorphism  $\omega: D \to \{\pm 1\}$  and let  $\mathbb{Z}_2$  denote the cyclic group of order 2. Let  $\beta_n = \gamma^n \alpha$  and  $(\alpha)$ ,  $(\beta_n)$  denote the subgroups of D(n) generated by these elements. (These subgroups are cyclic of order 2.) Wall ([11], p. 162) shows  $L_3(\mathbb{Z}(\alpha)) = \mathbb{Z}_2 = L_3(\mathbb{Z}(\beta_n))$ ; identify the sum of their images in  $L_3(\mathbb{Z}D(n))$  with  $\mathbb{Z}_2 \oplus \mathbb{Z}_2$ .

THEOREM 4.1. Either  $L_3(\mathbf{Z}D)$  is  $\mathbf{Z}_2 \oplus \mathbf{Z}_2$  or it is not finitely generated

We deduce this from two lemmas whose proofs are postponed to the end of this section. When  $j: G \to H$  is an inclusion where G is a subgroup with finite index in H, recall there is a transfer map  $j^*: L_*(\mathbb{Z}H) \to L_*(\mathbb{Z}G)$ .

LEMMA 4.2. To each  $x \in L_3(\mathbb{Z}D)$  corresponds an integer  $N_x$  such that

$$j_p^*(x) \in \mathbb{Z}_2 \oplus \mathbb{Z}_2$$

for all primes  $p \ge N_x$ .

LEMMA 4.3. When p is an odd prime,

$$j_p^* j_{p*}(x) = x + \frac{p-1}{2} i_* i^*(x)$$

for all  $x \in L_3(\mathbf{Z}D(p))$ .

Proof of Theorem 4.1. By [3],

(26) 
$$L_3(\mathbf{Z}D(n)) = \mathbf{Z}_2 \oplus \mathbf{Z}_2 \oplus \mathbf{UNil}_3(\mathbf{Z})$$

where **Z** has the trivial involution. Our proof is by contradiction, hence assume UNil<sub>3</sub>(**Z**) is non-zero but finitely generated. Since UNil<sub>3</sub>(**Z**) is a quotient group (by definition) of UNil<sub>4</sub>(**Z**[H]; **Z**[ $\hat{G}_1$ ], **Z**[ $\hat{G}_2$ ]) for appropriate choices of H,  $G_1$ , and  $G_2$ , its exponent divides 4 (Theorem 1.3); in particular,  $L_3(\mathbf{Z}D(n))$  is a finite group annihilated by 4. It is well known there are arbitrarily large primes of the form 8m + 1, hence there is a prime p such that

(27) 
$$j_p^*: L_3(\mathbf{Z}D) \to \mathbf{Z}_2 \oplus \mathbf{Z}_2 \subset L_3(\mathbf{Z}D(p)), \text{ and}$$

$$(j_p)^*(j_p)_* = \text{identity: } L_3(\mathbf{Z}D(p)) \to L_3(\mathbf{Z}D(p)).$$

(Use Lemmas 4.2 and 4.3.) But, (27) is self-contradictory.

It remains to discuss Lemmas 4.2 and 4.3. The first can be proven geometrically. Let N be a 10-dimensional, connected, orientable manifold containing a simply connected (connected), codimension-1 sub-manifold M which separates N into two components A and B with cyclic fundamental groups of order 2 and universal covers diffeomorphic to  $M \times [0, 1]$ . (Such spaces are easily constructed.) Note that  $\pi_1 N = D$  and its universal cover is diffeomorphic to  $M \times \mathbb{R}$ . By Wall ([11], p. 66), each  $x \in L_3(\mathbb{Z}D)$  determines a surgery problem

(28) 
$$f: W \to N \times [0, 1]$$
, with  $f_{-}: \partial_{-}W \to N \times 0$  the identity map

and having obstruction x. Associated to  $D(p) \subset D$ , we have p-sheeted covers  $\hat{N}$ ,  $\hat{W}$  and an induced surgery problem

$$\hat{f} \colon \hat{W} \to \hat{N} \times [0, 1]$$

with obstruction  $j_p^*(x)$ . Now, M lifts to  $\hat{N}$  and

$$\hat{f}_{+} : \partial_{+} \hat{W} \to \hat{N} \times 1$$

splits along M for all p sufficiently large by Browder's result[1]. Making  $\hat{f}$  transverse to the rest of  $M \times [0, 1]$  and completing surgery on this membrane, we see that  $j_p^*(x)$  is the sum of elements coming from  $L_3(\mathbf{Z}(\alpha))$  and  $L_3(\mathbf{Z}(\beta_p))$ .

Finally, Lemma 4.3 would be an immediate consequence of the Mackey subgroup property. Dress ([6], p. 302) shows that L-theory satisfies such a property for finite groups and subgroups. It's probably true for arbitrary groups and subgroups of finite index. In any event, a simple direct argument, similar to that used to prove ([7], Lemma 2.7), can be given for Lemma 4.3; the details are left to the reader.

Remark 4.4. Our proof of Theorem 4.1 was motivated by Cappell's paper [4] where he showed that  $L_2(\mathbb{Z}D)$  is not finitely generated.

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The University of Michigan