

On DICC Rings

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INTRODUCTION

In this paper we define and try to understand the DICC rings, i.e., rings with no doubly infinite chain of ideals (see Definition 1). After investigating a few properties which hold in such rings we are able to establish in Theorem 3 that a DICC ring is either Noetherian or a direct product of an Artinian ring, and a ring S with not prime both minimal and maximal, which is not Noetherian, and with the following four properties: (1) S_{red} is Noetherian; (2) \mathfrak{n} (the nilradical of S) is nilpotent; (3) \mathfrak{n} has DCC; (4) for all $x \in S - \mathfrak{n}$, $\mathfrak{n}/Sx \cap \mathfrak{n}$ has finite length.

All rings are commutative with unit. The symbol \subset means strict inclusion, and the symbol \subseteq allows equality. All the notation is otherwise standard.

DEFINITION 1. A module M is said to satisfy the *doubly infinite chain condition* if any infinite chain of submodules of M

$$\cdots \subseteq N_{-2} \subseteq N_{-1} \subseteq N_0 \subseteq N_1 \subseteq N_2 \subseteq \cdots$$

stabilizes either to the right or to the left (or to both sides).

Henceforth “DICC” will stand for doubly infinite chain condition and “dic” for doubly infinite chain.

A ring which satisfies the DICC condition as a module is called a DICC ring.

Of course, Noetherian rings satisfy the above definition; however, a DICC ring need not be Noetherian. Let us look at the following example.

EXAMPLE. Let (V, \mathfrak{m}) be a DVR and let E be the injective hull of the residue class field $K = V/\mathfrak{m}$. E is a V -module. Consider the ring $R = V \oplus E$, where addition is defined componentwise and multiplication as follows

$$(v, e)(v', e') = (vv', ve' + v'e) \quad \text{for } (v, e), (v', e') \in V \oplus E.$$

The nilradical n of R is the ideal $0 \oplus E$ which is not finitely generated. Hence R is not Noetherian. Let us prove that R is a DICC ring. First note that the ideals of R are of the form

$$(I) \quad 0 \oplus E' \text{ where } E' \text{ is any submodule of } E;$$

or

$$(II) \quad a \oplus E \text{ where } a \text{ is any ideal of } V.$$

Proof. Type (I) is straightforward. For type (II), notice that an ideal b of R not of type (I) must be of type (II), because $b \ni (a, e)$, $a \neq 0$, implies $b \ni (a, e)(a, -e) = (a^2, 0)$, which in turn implies $b \supseteq E$ since $a^2E = E$. Here E is identified with its image in R .

Now, assume R is not DICC. Let

$$\cdots \subset b_{-2} \subset b_{-1} \subset b_0 \subset b_1 \subset b_2 \subset \cdots$$

be a dic of ideals of R . If some b_k is of type (I), then the chain cannot be strictly decreasing since E has DCC; and if some b_h is of type (II), then the chain cannot be strictly increasing because

$$R/b_h = \frac{V \oplus E}{a \oplus E} \cong \frac{V}{a} \oplus \frac{E}{E} = \frac{V}{a} \oplus 0 \cong \frac{V}{a}$$

which has ACC. This is a contradiction.

Henceforth our aim is a structure theorem for DICC rings. We shall reach this goal in Theorem 3 and up to that point we shall investigate some properties holding in such rings. Let us begin with

Remark 1. If R is a DICC ring, then so is any homomorphic image an any localization of it. (The proof is straightforward.)

LEMMA 1. A DICC domain is Noetherian.

Proof. Assume not and let

$$(0) \neq a_0 \subset a_1 \subset a_2 \subset \cdots$$

be a strictly increasing chain of ideals of the given DICC domain R . Pick a nonzero element x in a_0 and then form the following dic:

$$\cdots \subset (x^2) \subset (x) \subseteq a_0 \subset a_1 \subset \cdots.$$

This is a contradiction.

We next give a result which holds in a quite general context and will be used later on.

PROPOSITION 1. *If an ideal $\mathfrak{a} \subseteq R$ contains an infinite direct sum of non-zero ideals, say $\bigoplus_{\lambda} \mathfrak{b}_{\lambda} \subseteq \mathfrak{a}$, then \mathfrak{a} contains a dic of ideals.*

Proof. By passing to a countable infinite subset we may assume the index set is the nonnegative integers. Then

$$\begin{aligned} \mathfrak{a}_{-h} &= \bigoplus_{i=h}^{\infty} \mathfrak{b}_{2i+1}, & h &= 0, 1, 2, \dots \\ \mathfrak{a}_h &= \bigoplus_{i=1}^h \mathfrak{b}_{2i} \oplus \mathfrak{a}_0, & h &= 1, 2, 3, \dots \end{aligned}$$

provide the dic of ideals inside \mathfrak{a} .

COROLLARY 1. *A DICC ring R cannot contain ideals isomorphic to R/\mathfrak{P} for infinitely many distinct prime ideals \mathfrak{P} .*

Proof. For distinct \mathfrak{P}_{λ} , if $\mathfrak{b}_{\lambda} \cong R/\mathfrak{P}$, the sum $\sum_{\lambda} \mathfrak{b}_{\lambda}$ is automatically direct.

COROLLARY 2. *If \mathfrak{m} is a maximal ideal of a DICC ring R , $\text{Ann}_R \mathfrak{m}$ is a finite dimensional vector space over $K = R/\mathfrak{m}$.*

Proof. If not, it is an infinite direct sum of copies of K .

LEMMA 2. *A DICC ring with a unique prime ideal is Noetherian.*

Proof. Let (R, \mathfrak{m}) be such a ring. By Cohen's theorem it is enough to show that the unique prime ideal \mathfrak{m} is finitely generated. Assume not, and set $K = R/\mathfrak{m}$. Corollary 2 applied to $(R/\mathfrak{m}^2, \mathfrak{m}/\mathfrak{m}^2)$ implies that $\mathfrak{m}/\mathfrak{m}^2$ is a finite-dimensional vector space over K . Now, choose a finite basis for $\mathfrak{m}/\mathfrak{m}^2$ and pick elements $x_1, x_2, \dots, x_r \in \mathfrak{m}$ such that $\{x_i + \mathfrak{m}^2\}_{i=1, \dots, r}$ is the chosen basis for $\mathfrak{m}/\mathfrak{m}^2$. Kill the ideal $\mathfrak{a} = (x_1, \dots, x_r)$. The ring $\bar{R} = R/\mathfrak{a}$ has DICC and has $\mu = \mathfrak{m}/\mathfrak{a}$ as a unique prime ideal. The hypothesis on \mathfrak{a} implies $\mu/\mu^2 = 0$. Therefore we can assume without loss of generality that $\mathfrak{m} = \mathfrak{m}^2$. We have then reduced to proving the following

SUBLEMMA. *If a ring R has DICC and has a unique prime ideal \mathfrak{m} with $\mathfrak{m} = \mathfrak{m}^2$, then it is a field.*

Proof. Assume not and let $x \in \mathfrak{m} - \{0\}$. If $R/(x)$ has ACC, we are done, since $\mathfrak{m}/(x)$ is finitely generated and so is \mathfrak{m} . Thus $\mathfrak{m} = 0$ by Nakayama's lemma and this is a contradiction. Therefore we can assume that $R/(x)$ is not Noetherian; i.e., there is an infinite strictly increasing chain of ideals ascending from (x) in R . Hence $(x) \cong R/\text{Ann}_R x$ has DCC as an R -module; i.e., $R/\text{Ann}_R x$ is an Artinian ring with a unique prime ideal $\mu = \mathfrak{m}/\text{Ann}_R x$.

This implies $\mu^n = 0$ for some n or that $m^n \subseteq \text{Ann}_R x$ for some n . Since $m = m^2 = m^3 = \dots$, $m^n x = 0$ implies $m x = 0$. As x was an arbitrary nonzero element in m , we have $m = m^2 = 0$, which is a contradiction.

The next result we are told was also obtained by Professor Sylvia Wiegand, but we never saw her proof.

LEMMA 3. *A DICC ring has only finitely many minimal primes.*

Proof. Assume not. As the set $\text{Min Spec } R$ when equipped with the Zariski topology is a Hausdorff space, there exists an infinite strictly decreasing sequence of closed subsets of X_0 , where $X_0 = \text{Min Spec } R$, say

$$X_0 \supset X_1 \supset X_2 \supset \dots$$

Choose a sequence of minimal prime ideals of R such that $\mathfrak{P}_i \in X_i - X_{i+1}$, all $i \geq 1$, and then consider the ideals of R defined by the rule

$$b_k = \bigcap_{h=k}^{\infty} \mathfrak{P}_{2h+1}, \quad k \geq 0,$$

and

$$b_{-k} = b_0 \cap \left(\bigcap_{i=1}^k \mathfrak{P}_{2i} \right), \quad k \geq 1.$$

Clearly we get a dic of ideals

$$\dots \subseteq b_{-2} \subseteq b_{-1} \subseteq b_0 \subseteq b_1 \subseteq b_2 \subseteq \dots$$

We will show that the inclusion are indeed strict, and therefore we get a contradiction. Let us prove, for example, that

$$(1) \ b_0 \subset b_1; \quad (2) \ b_{-1} \subset b_0; \quad (3) \ b_{-2} \subset b_{-1}$$

since the proof of the remaining inclusions is similar.

Proof. (1) Assume not. Then

$$b_0 = \mathfrak{P}_1 \cap b_1 = b_1 \Leftrightarrow \mathfrak{P}_1 \supseteq b_1 \Leftrightarrow \mathfrak{P}_1 \in V(b_1) \subseteq X_3,$$

which is a contradiction as $\mathfrak{P}_1 \in X_1 - X_2$ and X_3 is closed. (Recall that $\mathfrak{P} \in X$ closed $\Leftrightarrow \mathfrak{P} \supseteq \bigcap_{q \in X} q$.)

(2) $b_{-1} = \mathfrak{P}_{-2} \cap b_0 \subset b_0$. For if not, then

$$\begin{aligned} b_0 = b_{-1} &\Leftrightarrow \mathfrak{P}_2 \supseteq b_0 = \mathfrak{P}_1 \cap \mathfrak{P}_3 \cap \mathfrak{P}_5 \cap \dots \cap \mathfrak{P}_{2k+1} \cap \dots \\ &\Leftrightarrow \mathfrak{P}_2 \supseteq \mathfrak{P}_1 \cap (\mathfrak{P}_3 \cap \mathfrak{P}_5 \cap \dots) = \mathfrak{P}_1 \cap b_1 \\ &\Rightarrow \mathfrak{P}_2 \supseteq b_1 \quad \text{as } \mathfrak{P}_2 \not\supseteq \mathfrak{P}_1. \end{aligned}$$

Therefore $\mathfrak{P}_2 \in X_3$, which is a contradiction.

(3) Assume that $\mathfrak{b}_{-2} = \mathfrak{P}_4 \cap \mathfrak{b}_{-1} = \mathfrak{P}_4 \cap (\mathfrak{P}_2 \cap \mathfrak{b}_0) = \mathfrak{b}_{-1}$. Then

$$\begin{aligned} \mathfrak{P}_4 \supseteq \mathfrak{P}_2 \cap \mathfrak{b}_0 &\Rightarrow \mathfrak{P}_4 \supseteq \mathfrak{b}_0 = \mathfrak{P}_{-1} \cap \mathfrak{P}_3 \cap \mathfrak{P}_5 \cap \dots \\ &\Rightarrow \mathfrak{P}_4 \supseteq \mathfrak{P}_5 \cap \mathfrak{P}_7 \cap \dots \\ &\Rightarrow \mathfrak{P}_4 \in X_5, \end{aligned}$$

a contradiction because $\mathfrak{P}_4 \in X_4 - X_5$.

We give one more lemma and then the first step towards our goal.

LEMMA 4. *If $\mathfrak{b}_1, \dots, \mathfrak{b}_n$ are ideals of a ring R such that R/\mathfrak{b}_j is Noetherian for $j = 1, \dots, n$ and $\bigcap_{j=1}^n \mathfrak{b}_j = (0)$, then R is Noetherian.*

Proof. It suffices to show the case $n = 2$ since the general case follows by an inductive argument. First of all, note that \mathfrak{b}_1 and \mathfrak{b}_2 with this property must be finitely generated. Let us prove this for \mathfrak{b}_1 . R/\mathfrak{b}_2 Noetherian implies that $\mathfrak{b}_1/\mathfrak{b}_2$ is finitely generated, say by $\bar{x}_1, \dots, \bar{x}_t$. Under the assumption that $\mathfrak{b}_1 \cap \mathfrak{b}_2 = (0)$, the lifting x_i of \bar{x}_i in R , $1 \leq i \leq t$, generate \mathfrak{b}_1 . If not, set $\mathfrak{b}' = (x_1, \dots, x_t)$ and pick $y \in \mathfrak{b}_1 - \mathfrak{b}'$. Then $y = \sum_{i=1}^t r_i x_i + b_2$, where $r_i \in R$ and $b_2 \in \mathfrak{b}_2$, implies that $b_2 = y - \sum_{i=1}^t r_i x_i \in \mathfrak{b}_1$, which means $b_2 = 0$. Hence $y = \sum_{i=1}^t r_i x_i \in \mathfrak{b}'$, a contradiction. Now, let $\mathfrak{P} \subset R$ be a prime ideal. $\mathfrak{P} \supseteq (0) = \mathfrak{b}_1 \cap \mathfrak{b}_2$ implies that \mathfrak{P} contains either \mathfrak{b}_1 or \mathfrak{b}_2 . If $\mathfrak{P} \supseteq \mathfrak{b}_1$, then $\mathfrak{P}/\mathfrak{b}_1$ is finitely generated and hence \mathfrak{P} as \mathfrak{b}_1 is finitely generated. Similarly if $\mathfrak{P} \supseteq \mathfrak{b}_2$.

As a corollary of all the previous lemmas we have

THEOREM 1. *A reduced DICC ring is Noetherian.*

Proof. By Lemma 3 $\text{Min Spec } R$ is finite, and by Remark 1 and Lemma 1 the domain R/\mathfrak{P} is Noetherian for all $\mathfrak{P} \in \text{Min Spec } R$. The conclusion now follows by applying Lemma 4 to the set of minimal prime ideals as R is reduced.

This result suggests that the nilradical \mathfrak{n} of a DICC ring must have some special property. Let us investigate it.

PROPOSITION 2. *Let R be a non-Noetherian DICC ring. Then the following statements hold for the nilradical \mathfrak{n} of R :*

- (a) *There are only finitely many associated prime ideals of \mathfrak{n} and these are all maximal.*
- (b) *\mathfrak{n} is nilpotent.*
- (c) *\mathfrak{n} has DCC.*

Proof. (a) First, observe that \mathfrak{n} is not finitely generated because R is non-Noetherian (by Cohen's theorem R contains a prime ideal \mathfrak{P} which is not finitely generated, but $\mathfrak{P}/\mathfrak{n}$ is finitely generated by Theorem 1). Second, the cyclic module Rx has finite length (i.e., is Artinian) for all $x \in \mathfrak{n}$. For if not, by completing to the right the infinite strictly decreasing chain starting from Rx with the generators x_i of \mathfrak{n} we obtain a dic

$$\cdots \mathfrak{M}_{-2} \subset \mathfrak{M}_{-1} \subset Rx \subseteq Rx + Rx_1 \subseteq \cdots \subseteq \cdots \subseteq \mathfrak{n},$$

where infinitely many of the weak inclusions on the right are strict, since \mathfrak{n} is not finitely generated, which is a contradiction. This implies that $\text{Ass}(\mathfrak{n}) \subseteq \text{Max Spec } R$ because $R/\mathfrak{P} \subseteq Rx$, $\mathfrak{P} \in \text{Ass}(\mathfrak{n})$, is a domain with DCC and therefore a field. Moreover, $\text{Ass}(\mathfrak{n})$ is finite by Corollary 1. Notice that this implies that

$$\mathfrak{n} = \bigoplus_{i=1}^r \left(\bigcup_{t \geq 1} \text{Ann}_{\mathfrak{n}} \mathfrak{m}_i^t \right) = \bigoplus_{i=1}^r \mathfrak{n}_i,$$

where $\{\mathfrak{m}_1, \dots, \mathfrak{m}_r\} = \text{Ass}(\mathfrak{n})$ and $\mathfrak{n}_i = \bigcup_t \text{Ann}_{\mathfrak{n}} \mathfrak{m}_i^t \subset R_{\mathfrak{m}_i}$. If $R_{\mathfrak{m}_i}$ is Noetherian, then $\bigcup_t \text{Ann}_{\mathfrak{n}} \mathfrak{m}_i^t$ has finite length and satisfies (b) and (c) clearly. Hence, we may assume R is a local, non-Noetherian, DICC ring for the proof of (b) and (c).

Proof of (b). Assume that the powers of \mathfrak{n} form an infinite strictly decreasing chain. Then each $\mathfrak{n}^i/\mathfrak{n}^{i+1}$ has ACC as an R/\mathfrak{n}^{i+1} -module, for otherwise by putting together the lifting of such an infinite chain and the strictly decreasing chain

$$\mathfrak{n}^{i+1} \supset \mathfrak{n}^{i+2} \supset \mathfrak{n}^{i+3} \supset \cdots$$

we produce a dic in R , which is impossible. Look at $\mathfrak{n}/\mathfrak{n}^2$ and pick $\bar{x}_1, \dots, \bar{x}_k$ generators of it. Kill the ideal \mathfrak{b} generated by the x_i 's in R . In the ring $\bar{R} = R/\mathfrak{b}$, which is still local, not Noetherian, and has DICC, $\mathfrak{v} = \mathfrak{n}/\mathfrak{b} = \mathfrak{v}^2$ is still not finitely generated. Therefore we can assume that $\mathfrak{n} = \mathfrak{n}^2$, which is a contradiction. Hence, the \mathfrak{n}^i 's do not strictly decrease and we can assume that $\mathfrak{n}^t = \mathfrak{n}^{t+1}$ for some t . Set $\mathfrak{a} = \mathfrak{n}^t$. We want to prove that $\mathfrak{a} = (0)$. Assume not and let x be a nonzero element in \mathfrak{a} . Then $\text{Ann } x \supset \mathfrak{m}^s \supset \mathfrak{n}^s = \mathfrak{a}$ for all sufficiently large s . Hence $\mathfrak{a}x = 0$, which implies $\mathfrak{a}^2 = \mathfrak{a} = (0)$ and this is a contradiction. Thus $\mathfrak{a} = (0)$, i.e., \mathfrak{n} is nilpotent.

(c) To show that \mathfrak{n} has DCC it then suffices to show that $\mathfrak{n}^i/\mathfrak{n}^{i+1}$ has DCC, $1 \leq i \leq t$, where $\mathfrak{n}^{t+1} = (0)$. $Q = \mathfrak{n}^i/\mathfrak{n}^{i+1}$ is a module over the Noetherian local ring R/\mathfrak{n} in which each element is killed by a power of the maximal ideal $\mu = \mathfrak{m}/\mathfrak{n}$. Such a module Q has DCC if and only if $\text{Ann}_Q \mu$ is finite dimensional. But $\text{Ann}_Q \mu$ is finite-dimensional because R/\mathfrak{n}^{i+1} has DCC and the second corollary to Proposition 1 (see [5, Theorem 2]).

In the next two theorems we will reach our goal.

DEFINITION 2. A *min/max ideal* is a prime ideal which is both minimal and maximal.

THEOREM 2. Let S be a non-Noetherian ring with no min/max ideals. Let \mathfrak{n} be the nilradical of S . Then S has DICC if and only if

- (1) S_{red} is Noetherian;
- (2) \mathfrak{n} is nilpotent;
- (3) \mathfrak{n} has DCC;
- (4) for all $x \in S - \mathfrak{n}$, $\mathfrak{n}/Sx \cap \mathfrak{n}$ has finite length.

Proof. For the “only if” part we need to prove only that (4) holds when S has DICC. Pick $x \in S - \mathfrak{n}$. If x is a unit, then $Sx = S$ implies $\mathfrak{n}/Sx \cap \mathfrak{n} = 0$, which has finite length. Hence we can assume that x is not a unit. This implies that $0 \neq \text{Ann } x \subseteq \mathfrak{m}$ for some maximal ideal \mathfrak{m} . Note that the image $x/1$ of x in $S_{\mathfrak{m}}$ is not zero as $\text{Ann } x \subseteq \mathfrak{m}$ and is not nilpotent. If $x/1$ is not a unit, then it is clear that the sequence $\{(x/1)^i\}_{i \geq 1}$ is strictly decreasing. This implies that the $\{(x)^i\}_{i \geq 1}$ is strictly decreasing [if not, $(x)^i = (x)^{i+1} \Rightarrow (x/1)^i = (x/1)^{i+1}$], and therefore $\mathfrak{n}/Sx \cap \mathfrak{n}$ has finite length because \mathfrak{n} having DCC implies that $\mathfrak{n}/Sx \cap \mathfrak{n}$ has DCC and S having DICC implies that $Sx + \mathfrak{n}/Sx$ has ACC and hence the assertion follows from the isomorphism $\mathfrak{n}/Sx \cap \mathfrak{n} \cong Sx + \mathfrak{n}/Sx$. If $x/1$ is a unit, pick any $y \in S - \mathfrak{n}$ such that $y \in \mathfrak{m}$ and $y/1 \neq 0$ in $S_{\mathfrak{m}}$. Then $\{(x/1)(y/1)^i\}_{i \geq 1}$ strictly decreasing in $S_{\mathfrak{m}}$ implies $\{(xy)^i\}_{i \geq 1}$ strictly decreasing in S which in turn implies that $\mathfrak{n}/S(xy) \cap \mathfrak{n}$ has finite length. Hence $\mathfrak{n}/Sx \cap \mathfrak{n}$ has finite length as homomorphic image of $\mathfrak{n}/S(xy) \cap \mathfrak{n}$.

Conversely, assume that S is not DICC. Let

$$\cdots \subset \mathfrak{b}_{-2} \subset \mathfrak{b}_{-1} \subset \mathfrak{b}_0 \subset \mathfrak{b}_1 \subset \cdots \tag{*}$$

be a dic of ideals of S . The hypothesis S_{red} Noetherian implies that $\mathfrak{b}_t + \mathfrak{n} = \mathfrak{b}_{t+1} + \mathfrak{n} = \cdots$ from some t . Since (*) is strictly increasing, we must have $\mathfrak{b}_t \cap \mathfrak{n} \subset \mathfrak{b}_{t+1} \cap \mathfrak{n} \subset \cdots \subset \mathfrak{n}$, for otherwise $\mathfrak{b}_t = \mathfrak{b}_{t+1} = \cdots$ and this is a contradiction. Hence $\mathfrak{n}/\mathfrak{b}_t \cap \mathfrak{n}$ does not have ACC. On the other hand, (*) strictly decreasing implies $\mathfrak{b}_k \not\subset \mathfrak{n}$ for all k . Pick $x \in \mathfrak{b}_t - \mathfrak{n}$. Then, by (4), $\mathfrak{n}/Sx \cap \mathfrak{n}$ has ACC, which implies that $\mathfrak{n}/\mathfrak{b}_t \cap \mathfrak{n}$ has ACC as a homomorphic image of it. Therefore we have a contradiction again.

THEOREM 3. A ring R has DICC if and only if R is Noetherian or $R = S \times A$, where S is a ring satisfying the four conditions of Theorem 2 and A is an Artinian ring.

Proof. Let us prove first the only if part. Assume R is not Noetherian

and does not have DICC either. Since $R \cong S \times A$, a strictly dic of ideals of R has the form

$$\cdots \subset \mathfrak{b}_{-1} \times \mathfrak{a}_{-1} \subset \mathfrak{b}_0 \times \mathfrak{a}_0 \subset \mathfrak{b}_1 \times \mathfrak{a}_1 \subset \cdots,$$

where $\{\mathfrak{b}_k\}_{k \in \mathbb{Z}} \subset S$ and $\{\mathfrak{a}_k\}_{k \in \mathbb{Z}} \subset A$. Now, A being an Artinian ring implies that the chain $\{\mathfrak{a}_k\}$ stabilizes above and below; hence the strictly increasing and decreasing part comes from $\{\mathfrak{b}_k\} \subset S$. But S has DICC, a contradiction. Conversely, assume R has DICC and is not Noetherian. As $\text{Min Spec } R = \{\mathfrak{P}_1, \dots, \mathfrak{P}_n\}$ is finite by Lemma 3, there are at most finitely many min/max ideals, say $\mathfrak{P}_1, \dots, \mathfrak{P}_k$. Notice that $k < n$, for otherwise $\text{Spec } R = \{\mathfrak{P}_1, \dots, \mathfrak{P}_n\}$, i.e., R would be zero-dimensional, hence Artinian, which is a contradiction since we are assuming R not Noetherian. Set $U = \{\mathfrak{P}_1\} \cup \cdots \cup \{\mathfrak{P}_k\}$. U is clopen and therefore $\text{Spec } R = X \cup U$, where $X = \text{Spec } R - U$, is clopen as well. This implies that $R \cong S \times A$, where S has DICC and no min/max ideals and where A is Artinian since it has DICC, and it is zero-dimensional with finite spectrum: A is a finite product of rings of the type of Lemma 2.

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