Double layer propagation in experiments with electron beam injection

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Abstract. Electron beam injection into a plasma is investigated using the analytical inverted Bernstein–Green–Kruskal method. Particle number and momentum conservation laws are applied to evaluate the propagation velocity and potential drop on the leading edge of the beam. Electric potential is supposed to be monotonic, thus the leading front has a double-layer-like structure. For the case of cold particles, analytical expressions for the double layer velocity and potential drop are obtained. It is pointed out that double layer velocity differs from the initial electron speed: even for weak beams a noticeable deceleration takes place. Strong beams are found incapable of penetrating into plasma—their propagation velocity is very small. Ambient electrons undergo a considerable acceleration forming a return current which neutralizes the injector. Possible instability of the distribution functions is discussed.

1. Introduction

Investigation of the plasma response to electron or ion beam injection is of interest with regard to active experiments in space. Such experiments, being held already for more than 20 years, are designed to study the fundamental laws of plasma physics as well as to help understand the natural processes occurring in the upper atmosphere and magnetosphere: auroral arcs, parallel electric fields and currents, electron beam acceleration, etc. Recently, beam injections were applied as a part of more complicated experiments, such as tethers in space, pulsing jets, plasma instability excitation or artificial magnetosphere creation projects.

Although there are various kinds of active experiments being held in space or desiring to be held there in the future, nearly all of them have much in common—they start suddenly, which leads to an eruption-like modification of the ambient plasma. The results of such an influence are various front formations: hydrodynamic fronts, collisionless shocks, turbulent shock waves, ion-acoustic waves, etc.

The double layer (DL) is one of the types of front which seems to appear in very different situations, both in laboratory and natural plasmas. The traditional definition supposes double layers to be a potential drop in a constant-density plasma with a monotonic potential distribution in it. DLs in space physics are a subject of interest because of their capability of accelerating electrons along the magnetic field lines. Block (1972) was the first to assume DLs to be the main reason for accelerated particles in a collisionless plasma.

Later experiments discovered that double layers are quite different. They may obey the traditional definition or may not. They may exist in stable and non-stable plasmas. They may separate two different plasmas. They may have a shock-wave-like structure with non-monotonic potential rise, they may be moving or resting. From the very first works, DLs were supposed to exist in a current carrying plasma. They were first discovered in electric discharges in tubes containing mercury vapour. Langmuir (1929) supposed that the steep vapour glow changes in the tubes were caused by a potential drop near the cathode which was nearly equal to the external power applied.

Since that time, DLs have been found in a large number of laboratory experiments. Lutsenko et al. (1976) pointed out that moving double-layer type structures formed in a current carrying plasma when the current density exceeded its threshold value. They observed also other phenomena accompanying double layer propagation: potential and current oscillation, acceleration of electrons, plasma turbulence excitation and recurring formation of double layers. Similar results were observed by Leung et al. (1980), Torven (1978), Iizuka et al. (1979) and Sueki et al. (1980). Electric field measurements in space plasma (Boehm and Mozer, 1981; Falthammer, 1978) found strong parallel electric fields existing in relatively small regions, which were interpreted as moving double layers.

But the DL problem is of interest also because of its connection with theories of collisionless shocks, anomalous resistivity, ion-acoustic turbulence etc. That is why...
DLs were studied extensively both by analytical and numerical methods. It was pointed out that DLs cannot only accelerate particles: they lead also to electron and ion reflection on both sides (Artsimovich and Sagdeev, 1979). The formation of a plasma density cavity with a space charge was studied in Singh's (1980, 1982) numerical investigation, as well as the effects of recurring appearance and propagation of DLs. Schamel and Bujharbarua (1983) pointed out that DLs may be classified as two types: weak (with size much more than both Debye length $l$ and gyroradius of the particles, so that hydrodynamic theory might be applied) and strong (with a potential drop width of about several $l$). The theory of weak DLs was developed by Schamel and Bujharbarua (1983), Goswami et al. (1986) and Sutradi and Bujharbarua (1988) who investigated ion and electron–hole formation for different situations and conditions.

Strong DLs are the subject of much more interest in this paper because they are likely to exist in all types of active experiments mentioned above. The greatest troubles in the problem discussed are the plasma processes leading to formation of a structure with a potential drop. Some authors involve Buneman instability, Pierce instability or rarefaction instability (Iizuka et al., 1979; Smith and Goertz, 1978; Carlqvist, 1972). On the other hand, other authors came to the conclusion that instabilities are not responsible for the formation of double layers. They claimed that double layers developed because of the appropriate particle distributions. It was noticed by Singh (1982) that our understanding of the physical processes during the formation period is not yet complete.

In the present paper, we will not be concerned with this question. The problem of stability of DLs will not be handled here, either. Our purpose is to obtain quantitative analytical expressions for particle distribution, electric potential structure and propagation velocity of double layers forming in active experiments. Despite the fact that DLs have been studied extensively over the last few years, the fundamental question about their parameters is not yet solved completely. A comprehensive study was provided only by numerical analysis (Singh, 1982; Singh and Hwang, 1988; Okuda et al., 1987). Some properties of double layers were examined by Gurvich et al. (1985) for the case of a stationary (resting) double layer in plasma where electrons and ions are injected from different sides. In this case, the charged particle concentration was found as a function of potential $\Psi$, but the potential distribution was not derived. It was pointed out that resting DLs may exist only for specially selected distribution functions of injected ions and electrons. In Section 2 we shall extend their research in order to obtain the potential and velocity of the moving DL for arbitrary distribution functions with arbitrary parameters.

DLs, solitary and ion-acoustic waves were studied by Schamel (1972) who had proposed the inverted Bernstein–Green–Kruskal method to solve this problem. According to this method, all distribution functions are prescribed so that the potential can be easily evaluated from the Poisson equation. In order to get a solitary wave-like profile, Schamel supposed all free distribution functions to be the same on both sides of the wave, which may only be shifted due to potential variation. Of course, this suggestion is not valid for beam injection, where plasmas separated by the shock front are quite different.

A very simple method for yielding the potential drop and front velocity was proposed by Lyatsky (1981). Assuming the double layer to be strong enough to reflect all the injected electrons, he derived DL parameters using only particle number and energy conservation laws. Although this technique can be applied only to strong beams, it seems to be very promising because conservation laws might be useful for arbitrary cases as well. They will be taken in Section 2 to derive unknown constants in the DL solution. In Section 3 we point out that the Lyatsky solution is an asymptotic form of our expressions in the cold particles limit.

In Section 4, the results of our investigation and possible conclusions are discussed.

## 2. Electron beam injection

Consider an electron beam propagating along the magnetic field lines in a collisionless ambient plasma. Electric charge insertion will lead to a potential drop appearance at the leading front of the beam. To recover quasineutrality, some of the ambient electrons should be accelerated by this drop in the direction opposite to beam velocity. Of course ambient ions are also affected by the moving beam front, but their velocity will increase by $\sqrt{m_e/m_i}$ times less than that of the electrons. We conclude that quasineutrality is recovered due to ambient electrons' acceleration rather than that of ions, but this does not mean that plasma ions may not be taken into account. During the front passage they acquire the same energy as the electrons and the electric field accelerates them in the beam movement direction. In order to obey the energy conservation law, it is necessary to calculate the beam energy decrease due to acceleration of both ions and electrons, but the ambient ion concentration decreases negligibly as long as the beam density is not too large. If the plasma is dense enough, it leads to insufficient ambient electron acceleration to compensate for the beam charge. For another case, the potential drop must be high, as well as the velocity of the accelerated electrons. This means a beam energy decrease and its deceleration as a consequence.

Discussing this problem in the reference frame associated with the moving front we can conclude the following. (1) There are two types of injected electrons moving from the left side (see Fig. 1a): (a) electrons with energy greater than the potential drop (free electrons capable of travelling above the electrostatic barrier); and (b) electrons which cannot jump over the barrier. These will be turned back by the front. We shall call them reflecting. (2) There are two types of ambient ions moving from the right side (see Fig. 1b): (a) decelerated free ions capable of jumping the potential barrier; and (b) reflecting ions which change their velocity to the opposite direction.
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Because all the particles with velocities from \( v' \) to \( v'+\Delta v' \) were initially distributed near \( \sqrt{r'^2-2e\Psi/m_e} \). Their energy is thus conserved.

For ambient, free and reflecting electrons, the set of prescribed distribution functions at an arbitrary point may be written as follows:

\[
f_s = \frac{k_n}{\sqrt{\pi r_f}} \exp\left[-\left(\sqrt{r'^2-\psi} - r_{0}\right)^2\right]; \quad -\infty < r < -\sqrt{\psi} \quad (1a)
\]

\[
f_i = \frac{k_i \sqrt{\psi}}{\sqrt{\pi r_f}} \exp\left[-\left(\sqrt{r'^2+\psi} - \psi - r_{1}\right)^2\right]; \quad \sqrt{\psi} < r < \infty \quad (1b)
\]

\[
f_i = \frac{k_i \sqrt{\psi}}{\sqrt{\pi r_f}} \exp\left[-\left(\sqrt{r'^2+\psi} - \psi - r_{1}\right)^2\right]; \quad -\sqrt{\psi} < r < \psi \quad (1c)
\]

In the above equations, \( r_f = (2T_{e0}/m_e)^{1/2} \) is the thermal velocity of ambient electrons, \( v \) is the velocity in units of \( r_f \), \( \psi \) is the electrostatic potential normalized by \( T_{e0}/r_f \), \( \psi_0 \) is the unknown maximum value of \( \psi \), \( r_0 \) is the drift velocity of the free electrons (it is negative, \( -r_0 \) is equal to the double layer velocity in the laboratory reference frame), \( r_1 \) is the drift velocity of the free electrons \( (-r_1) \) is the drift velocity of the reflecting particles, \( r_1 \) derives the beam drift velocity in the laboratory reference frame \( r_0 = r_1 - r_{00} \). Both \( r_0 \) and \( r_0 \) are normalized by \( r_f \), \( \alpha \) is the ratio of ambient electron temperature to beam temperature. Constants \( k_0, k_i, k_f \) will be determined from boundary conditions introducing the concentration of injected particles on the left side \( n_{0\text{amb}} \) and unperturbed electron density of the medium moving from the right side \( n_{\text{amb}} \). The last constant is the concentration of particles achieving the double layer; in the case when \( r_f < |r_{00}| \), nearly all the electrons will overcome the front.

Ambient electrons located at the point with potential \( \psi \) are already accelerated, so their velocities may vary in the range \( -\infty < v < -\sqrt{\psi} \). The velocity of the other species can be derived in the same way, as is pointed out in equations (1a-c).

The densities which are given by integration of the distribution function cannot be expressed by the ordinary functions. However all of them might be reduced to an error function or to a new function:

\[
\Phi(x, \gamma, \psi) = \frac{1}{\sqrt{\pi}} \int_{-\infty}^{\infty} \frac{t \exp\left[-(t+\gamma)^2\right]}{\sqrt{t+x}} \, dt
\]

This function can easily be obtained by solving the integral numerically or by approximation for the different values of the arguments.

Thus, all the electron densities are expressed as follows:

\[
n_s = k_n \Phi(\psi, r_{0}), \quad (2a)
\]

\[
n_i = k_i \Phi(\psi_{0}, -\sqrt{2x_{1}}, \sqrt{2\psi_{0}}) \quad (2b)
\]

\[
n_i = 2k_i \Phi(\psi_{0}, -\sqrt{2x_{1}}, \sqrt{2\psi_{0}}) - \Phi(\psi_{0}, -\sqrt{2x_{1}}, \sqrt{2\psi_{0}}). \quad (2c)
\]

**Fig. 1.** (a) Free, reflecting and ambient electron velocity. The DL is located at the \( x = 0 \) point. (b) Free and reflecting ion velocity.
The boundary conditions yield:

\[ k_0 \approx n_{\text{amb}}, \quad k_1 \approx n_{\text{ion}}. \]

We assumed here \( r_t \ll |v_0| \).

Distribution functions of the ambient ions (free and reflecting) reaching the double layer from the right side (see Fig. 1b) can be obtained in the same way:

\[
F_t = \frac{k_2}{\sqrt{2\pi}u_t} \exp \left[ -\beta(\sqrt{u_t^2 + \gamma^2} - v_0)^2 \right],
\]

\[ -\infty < u < -\sqrt{\gamma(\gamma^2 - \psi_0 - \psi)}, \quad (3a) \]

\[
F_r = \frac{k_2}{\sqrt{2\pi}u_t} \exp \left[ -\beta(\sqrt{u_t^2 + \gamma^2} + v_0)^2 \right],
\]

\[ -\sqrt{\gamma(\gamma^2 - \psi_0 - \psi)} < u < \sqrt{\gamma(\gamma^2 - \psi_0 - \psi)}, \quad (3b) \]

New parameters are defined by:

\[ u_t = \left(2T_i/m_i\right)^{1/2}, \quad \beta = v_0^2/u_t^2, \quad \gamma = m_e/m_i, \]

where \( u \) is the ion velocity normalized by \( r_t \) and \( T_i \) is the ion temperature.

Integrating \( F \) over \( u \) yields ion concentration as a function of \( \psi \):

\[ c_t = k_2 \Phi(-\gamma\beta\psi, \sqrt{\beta v_0}, \sqrt{\gamma\beta_0}), \quad (4a) \]

\[ c_r = 2k_2 \Phi(-\gamma\beta\psi, \sqrt{\beta v_0}, \sqrt{\gamma\beta_0}) \]

\[ -\Phi(-\gamma\beta\psi, \sqrt{\beta v_0}, \sqrt{\gamma\beta_0}). \quad (4b) \]

\( k_2 \) is defined by the boundary condition:

\[ k_2 = k_0 = n_{\text{amb}}. \]

In order to get general solutions \( n(x), c(x), \psi(x) \) we ought to integrate Poisson’s equation:

\[ \frac{\partial^2 \psi}{\partial x^2} = -4\pi e(c - n), \]

\[ c = c_t + c_r, \quad n = n_t + n_r, \]

with properties:

\[ \psi(-\infty) = \psi_0, \quad \psi(\infty) = 0. \]

\[ \Phi(0,v,z) = \text{erfc}(z+y). \]

Since we expect the concentration of reflecting ions and thus free electrons to be negligible, the neutrality condition on the right boundary cannot provide any information about DL parameters.

The momentum flux (or pressure) equality yields:

\[ k_1 \sqrt{2} \int_{-\infty}^\infty \exp \left[ -\beta(\sqrt{u^2 + \gamma^2} - v_0)^2 \right] u^2 \, du \]

\[ + k_0 \int_{-\infty}^\infty \exp \left[ -\beta(\sqrt{u^2 + \gamma^2} + v_0)^2 \right] u^2 \, du \]

\[ = k_0 \int_{-\infty}^{v_0} \exp \left[ -\beta(\sqrt{u^2 + \gamma^2} - v_0)^2 \right] u^2 \, du \]

\[ + k_1 \sqrt{2} \int_{-\infty}^\infty \exp \left[ -\beta(\sqrt{u^2 + \gamma^2} + v_0)^2 \right] u^2 \, du \]

\[ + k_2 \sqrt{2} \int_{-\infty}^\infty \exp \left[ -\beta(\sqrt{u^2 + \gamma^2} - \psi_0 - \psi)^2 \right] u^2 \, du. \quad (6) \]

Because of the choice of distribution functions, depending on the motion constants, the energy conservation law is also justified.

Relationships (5) and (6) represent the solution of our problem in that they derive both unknown constants \( c_0 \) and \( \psi_0 \). In the general case they should be solved numerically: the results of computer investigation of equations (5) and (6) will be discussed in Section 4. But, for a very important case of cold particles, these equations can be reduced yielding analytical expressions for \( v_0 \) and \( \psi_0 \).
3. Cold particles approximation

If all the drift velocities \((v_o, v_n, v_{o+n})\) are much greater than the thermal speed of electrons (or if the temperature of each species is negligible in comparison with its drift kinetic energy), the function \(\Phi\) and all the integrals in equations (5) and (6) can be reduced using the asymptotic Laplace method. For the case \(v_o + v_n < \sqrt{v_o}\), our equations can be written as follows:

\[
2k_1 \left( \frac{k_0 v_o}{\sqrt{v_o + \psi_o}} \right) - k_o = 0, 
\]

\[
2k_1 (v_o + v_n)^2 - k_o d_a (\sqrt{v_o + \psi_o + v_n}) \\
= \frac{k_0 a_0^2}{\gamma} \left( 1 - \frac{1 - \gamma v_o}{\eta v_n} \right). 
\]

The case when \(v_o + v_n > \sqrt{\psi_o}\) is outwith our interest, because it means a considerable density of free electrons and reflecting ions on the right boundary. The potential drop cannot be so large as to reflect a noticeable amount of heavy ions. Taking into account relation \(\gamma < 1\) and substituting \(\sqrt{v_o + \psi_o} = \eta\), we obtain an analytical solution of equations (7) and (8):

\[
r_o = -v_o \left( 1 - 2 \frac{k_1}{k_o} \right) \left( 1 - 2 \frac{k_1}{k_0} + \sqrt{\frac{k_1}{k_0}} \right)^{-1}, 
\]

\[
\psi_o = 4v_o \frac{k_1}{k_o} (k_o - k_1) \left( 1 - 2 \frac{k_1}{k_0} + \sqrt{\frac{k_1}{k_0}} \right)^{-2}. 
\]

These expressions coincide with the solution given by Lyatsky (1981). He supposed these relations to be valid in a strong double layer limit when the assumption \(k_1 \ll k_o\) is not justified. Now we see that they are still valid for weak double layers as well if only the drift velocity of each species is much larger than its thermal speed.

If \(k_1 > 0.5k_o\), then as follows from equation (9) \(r_o \to 0\). It means that the approximation for cold particles becomes invalid. In this case, the thermal speed should be taken into account to obtain reasonable non-zero values for DL propagation velocity.

4. Discussion

We have developed here an analytical model of beam injection from a spacecraft into an ambient plasma and have obtained an asymptotic solution in the cold particles approximation. We have pointed out that double layer velocity and potential drop depend on initial beam parameters in a rather complicated way, not only through beam current \(n_{beam}\). If beam density is fixed, the DL propagation velocity \(r_o\) is proportional to injection speed \(v_o\). This conclusion is confirmed either by relation (9) and by the results of numerical solution of nonlinear equations (5) and (6), which are shown in Fig. 2. (All the results plotted below correspond to \(x = 1\), ion parameters correspond to \(O^+\) ions.)

For the case when beam density \(n_{beam} < 0.4n_{amb}\), the linear approximation becomes invalid when injection veloc-
The potential drop $\psi_0$ evaluated in this paper is connected with the spacecraft potential with respect to ambient plasma. Vehicle potential determination is a rather complicated problem which includes charging of the surface at early times, collection of the ambient electrons by the whole surface and development of a self-consistent electric field. In this respect, the problem becomes three-dimensional and non-stationary. But, in steady injection (for $t \gg \omega_0^{-1}$, where $\omega_0$ is electron-plasma frequency), potential oscillations can be neglected. Assuming the neutralization process to be one-dimensional along the magnetic field lines, we can identify the potential drop in a double layer with an average spacecraft potential with respect to a plasma.

It is likely to be an overestimation because of two reasons. The first is the assumption with regard to one dimension. The second reason is concerned with possible beam-plasma instability which leads to electron diffusion in a phase space towards the low velocities. Reduction of average energy behind the double layer corresponds to potential decrease. Thus, the potential of the spacecraft in an active experiment must be smaller than can be evaluated from equation (10).

Another limitation of our analytical model is connected with the assumption of monotonic potential $f$. However, numerical simulations show that electric potential may be monotonic [in structures like electron shocks simulated by Singh and Schunk (1983, 1984), Singh and Hwang (1988)] and may have oscillating distributions [in double layers forming in response to the injection of moderate beams into a space plasma, Singh and Hwang (1988)]. Both structures have much in common since they form due to fast-propagating energetic electrons in unperturbed plasma, while ambient ions remain nearly immobile. Both cases differ from double layers with virtual cathode simulated by Singh and Schunk (1984), where ion motion is of importance.

For the case of beam injection where potential distribution was found to be non-monotonic, the amplitude of oscillations was significantly smaller than the potential step magnitude. Our model also corresponds to propagation of collisionless electron shocks, thus for the purposes of analytic simulation we can assume a steep monotonic variation of electric potential. More comprehensive study of the potential structure leads to a Poisson equation investigation inside the double layer, taking into account not only free and reflecting particles, but also trapped electrons in the potential well. These should be the subject of further analytical modelling of electron beam injections.

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References


