Adjuvant Therapy of Osteosarcoma—A Phase II Trial
Southwest Oncology Group Study 9139

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BACKGROUND. The objective of this study was to estimate the time to treatment failure and survival rate of the three-drug combination of doxorubicin, cisplatin, and ifosfamide as primary and postoperative, adjunctive treatment for teenagers and adults with osteosarcoma (OS).

METHODS. Sixty-three eligible patients with nonmetastatic OS of the extremities were registered from 24 institutions from February, 1992 through December, 1996. Chemotherapy was comprised of doxorubicin at a dose of 75 mg/m² and cisplatin at a dose of 120 mg/m², alternating with doxorubicin at a dose of 50 mg/m² and ifosfamide at a dose of 8 g/m². Four cycles were given prior to surgical resection, and four cycles were given after surgery. Outcome measures included the time to treatment failure, overall survival, toxicity, and centralized assessment of tumor necrosis.

RESULTS. Thirty-one of 63 eligible patients died, for a 5-year overall survival rate of 58% (95% confidence interval [95% CI], 46–71%). The median time to treatment failure was 19 months (95% CI, 12–41 months). A good pathologic response (≥ 90% necrosis) to neoadjuvant chemotherapy was observed in 48% of patients who underwent surgery. There was no correlation noted between response to neoadjuvant chemotherapy and patient outcome. Grade 4 hematologic toxicities were frequent (89%), although serious nonhematologic toxicities other than nausea and emesis were uncommon.

CONCLUSIONS. The regimen and schedule used in the current study did not improve outcomes compared with prior trials of doxorubicin and cisplatin alone. New, more effective drugs are needed for the treatment of patients with OS. The identification and utilization of molecular markers to predict outcome and response to therapy would facilitate clinical management, limiting exposure to toxic therapies for patients with favorable molecular profiles and identifying those patients who may fail with current approaches as candidates for clinical trials. Cancer 2004;100:818–25. © 2004 American Cancer Society.

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Historically, patients with osteosarcoma (OS) had a dismal prognosis, with retrospective 5-year survival rates of 15–20%1,2. In 1970, Marcove et al. reported on 145 consecutive patients, which has become the standard number for a historic control group.2 The 5-year disease-free survival rate in that series was 17.4%, with a time from clinical recognition of metastases to death of approximately 6 months. Since 1978, a number of studies using adjuvant chemotherapy have documented impressive evidence of an increased disease-free survival rate in patients with primary OS of the extremity, with many reports describing the disease as cured in 66–75% of patients afflicted.3–12 Agents that are used widely and are accepted as efficac-
cious in patients with OS include doxorubicin, cisplatin, and high-dose methotrexate. Neoadjuvant chemotherapy followed by surgery with additional adjuvant chemotherapy has become a common treatment approach.

Postchemotherapy tumor necrosis has been considered a significant prognostic indicator by many authors. The histologic assessment of response to preoperative chemotherapy requires considerable time, effort, and expertise. Most attempts to determine response to preoperative chemotherapy by estimating tumor necrosis have been done in single-institution settings. The feasibility and utility of evaluating histopathologic necrosis on a group-wide level at the time of study initiation, however, was uncertain.

Ifosfamide was evaluated in sarcomas in the late 1980s, with reported activity in patients with advanced OS. Based on single-agent activity in patients with soft tissue sarcoma and enhanced response rates in combination with doxorubicin, testing ifosfamide as part of an adjuvant program in OS was deemed appropriate. In 1991, the Southwest Oncology Group (SWOG) initiated a Phase II trial combining ifosfamide with doxorubicin and cisplatin. Based on the perceived primacy of doxorubicin and considering the overlapping renal toxicity of cisplatin and ifosfamide, the trial was designed to deliver doxorubicin for eight cycles in combination with alternating cisplatin and ifosfamide. The objectives of the current trial were to estimate the survival, time to treatment failure, and toxicity of this approach in teenage and adult populations. Secondary objectives included assessing pathologic necrosis centrally and examining the prognostic utility of percent necrosis after this neoadjuvant chemotherapy regimen.

**MATERIALS AND METHODS**

Patients were required to have a histologic diagnosis of OS of the appendicular skeleton, with registration to the protocol occurring within 28 days of biopsy. Allowable stages included high-grade, intracompartamental or extracompartamental disease without clinical evidence of metastasis. Prestudy computed tomography scans of the chest and bone scans were required. Patients had to be age ≥ 11 years, with no upper age limit specified. Adequate bone marrow reserve (leukocyte count > 3000/μL and platelet count > institutional lower limits), normal renal and hepatic function (serum creatinine and bilirubin levels < institutional upper limits of normal), and left ventricular function (> institutional lower limits of normal on a prestudy multiple-gated acquisition scan or an echocardiogram) were necessary. A SWOG performance

status of 0–2 was required. Patients were ineligible if they had multicentric primary disease, parosteal OS, periosteal OS, Paget disease, radiation-induced OS, or recurrent disease. No prior chemotherapy or radiation therapy for OS was permitted. Pregnant or nursing women were ineligible, and all participants of reproductive potential agreed to use effective contraception. All patients were aware of the investigational nature of the study and provided Institutional Review Board-approved written informed consent.

**Treatment Plan**

Needle biopsy or open biopsy to obtain a diagnosis was accepted. Attention to placement of the biopsy tract, meticulous hemostasis, the use of methyl methacrylate if the bone was entered, and resection of the biopsy tract at the time of definitive surgery were required.

Chemotherapy was comprised of eight cycles, including four preoperative cycles (neoadjuvant chemotherapy) and four cycles after surgery (adjuvant chemotherapy). Courses were to be given at 21-day intervals or on recovery from toxicity from the previous cycle. Chemotherapy was comprised of alternating cycles of doxorubicin/cisplatin and doxorubicin/ifosfamide. For Cycles 1, 3, 5, and 7, the intended doxorubicin dose was 75 mg/m² delivered by a 96-hour central venous infusion. Cisplatin was given on Day 1 at a dose of 120 mg/m² in 3% saline intravenously (i.v.) over 2 hours in odd-numbered cycles. Patients were hydrated prior to cisplatin and received mannitol before and after cisplatin infusion. For Cycles 2, 4, 6, and 8, the intended doxorubicin dose was 50 mg/m² delivered by 96-hour i.v. infusion. Ifosfamide was given on Days 1–4 of each even-numbered cycle at a dose of 2 g/m² over 1 hour. Sodium mercaptoethanesulfonate was given at a dose of 400 mg/m² by slow i.v. push at 0 hours, 4 hours, and 8 hours around each daily dose of ifosfamide. The proposed treatment plan resulted in a total doxorubicin dose of 500 mg/m², a total cisplatin dose of 480 mg/m², and a total ifosfamide dose of 32 g/m². Dose adjustments for severe toxicity were allowed and were made cycle-specific for the subsequent odd or even cycle. For patients who experienced a platelet count ≤ 40,000/μL, a leukocyte count < 500/μL, or admission to the hospital with neutropenia (neutrophils < 500/μL) and fever, doses were reduced one level. When doses were reduced for toxicity, they were not increased subsequently. Myeloid growth factors became available during the course of the study, and their use was allowed at the discretion of the treating physician.

Definitive surgery was planned after recovery
from the Cycle 4 of chemotherapy, approximately on Day 90 of protocol treatment. Margins for resection and location of transmedullary resection were at the discretion of the operating surgeon. Amputation or limb-salvage surgery was acceptable. For patients who underwent limb salvage, margins were required to be disease free in the pathology assessment; if not, then amputation was recommended. Barring complications, postoperative chemotherapy was to be resumed within 14 days of the operation.

Pathology Assessment
The protocol required submission of the surgical specimen to a central laboratory for scoring the percent necrosis after preoperative chemotherapy. After the removal of excessive soft tissue at the site of surgery, the specimen was cut longitudinally into 5-mm slabs by a band saw and was placed in 15% formalin. The specimen was shipped overnight to Wayne State University for processing. Slab sections were photocopied, and a grid was drawn on the photocopy to map the locations of the histologic sections. The entire slab was decalcified and processed for histology. Whenever possible, the viable areas and necrotic areas were circled with a fine-tip felt marker, and the areas of each were measured semiquantitatively by placing the slides over graph paper; then, the percent necrosis was calculated. If a surgical specimen was not sent to the central laboratory, then the reported institutional percent necrosis values were included in the results.

Preoperative Doxorubicin Dose Intensity
Preoperative doxorubicin dose intensity (preop dox DI) was recorded for all patients who completed four cycles of neoadjuvant chemotherapy and underwent protocol-directed surgery. The protocol specified 4 cycles of neoadjuvant doxorubicin (250 mg/m² total) delivered in 21-day cycles (84 days). To permit restaging and surgical planning, an additional 7 days were anticipated and were added to the preoperative interval. Preop dox DI for each patient was calculated using the formula preop dox DI = (doxorubicin received/m²/250 mg/m²) × (91 days/number of days from first treatment to surgery) and was expressed as a percent intended by the protocol.

Statistical Considerations
Toxicity was evaluated according to standard criteria of the SWOG. The study design called for 60 eligible patients, with an anticipated annual accrual rate of 20 patients. Survival was measured from the day of registration on study until death from any cause. The time to treatment failure was measured from the day of registration on study until disease progression, remission from treatment due to toxicity, refusal, or death from any cause. The distributions of survival and time to treatment failure were estimated by the Kaplan–Meier method. Histologic tumor necrosis to chemotherapy, which was scored centrally, was to be used to evaluate response to preoperative treatment. The results presented in this report were based on data that were available September 30, 2002.

RESULTS
Between February 1992 and December 1996, 65 patients were registered on the study from 24 different institutions. Two patients were ineligible; one patient had an axial primary with lung metastasis, and a second patient had pulmonary metastasis at the time of registration. The median follow-up was 79 months for 32 eligible patients who were last reported alive. Of 63 eligible patients, there were 44 males and 19 females. Ages ranged from 12 years to 70 years, the median age was 22 years, and 15 patients were age > 30 years. On-study performance status was recorded as 0 in 17 patients (27%), 1 in 40 patients (63%), and 2 in 6 patients (10%). The primary tumor site was the femur in 32 patients, the tibia in 16 patients, the humerus in 6 patients, and other sites in 9 patients. Tumor histology was characterized as OS not otherwise specified in 21 patients, osteoblastic in 19 patients, chondroblastic in 13 patients, fibroblastic in 7 patients, small cell in 2 patients, and telangiectatic in 1 patient. All but six patients had extracompartimental (Enneking Stage IIIB) disease. The duration of symptoms prior to diagnosis was recorded as < 1 month in 7 patients (11%), 1–6 months in 42 patients (67%), and > 6 months in 14 patients (22%). Patient and tumor characteristics are summarized in Table 1.

Fifty-seven eligible patients completed 4 cycles of preoperative chemotherapy. One patient was given an incorrect dose of doxorubicin and was taken off treatment because of toxicity. A second patient experienced chest pain after her first cisplatin infusion and refused further therapy. Four additional patients were removed from study during primary chemotherapy for reasons that were not specified in the protocol; two patients were removed for lack of radiologic responses in the primary tumor, one patient was removed because limb salvage was not possible, and one patient moved to a location where an SWOG institution was not available for study continuation.

Fifty-six eligible patients underwent protocol-directed surgery. One patient completed preoperative chemotherapy but refused a recommended amputation and further treatment. Surgery was performed at a median of 103 days (range, 83–148 days) after treatment initiation. Surgical specimens for scoring hist-
topathologic necrosis were received by the central laboratory for 44 patients (80%). The median time to resumption of adjuvant chemotherapy after surgery was 16 days (range, 10–41 days).

Postoperative adjuvant chemotherapy was completed by 41 patients. Fifteen patients received less than the planned four courses of adjuvant chemotherapy for the following reasons: toxicity prompted patient and physician decision to stop therapy in 5 patients, refusal to continue by 3 patients, physician decision to change or stop therapy in 4 patients, disease progression in 2 patients, and early death in 1 patient.

Survival
Of 63 eligible patients, 31 patients had died by the time of last follow-up, for a 5-year overall survival rate of 58% (95% confidence interval [95% CI], 46–71%) (Fig. 1). The median overall survival was 86 months (95% CI, 49–92 months). All reported deaths were associated with recurrent OS, including one death on treatment secondary to toxicity. The median time to treatment failure was 19 months (95% CI, 12–41 months) (Fig. 2).

Of 63 eligible patients, 32 patients developed recurrent disease. The most frequent site of recurrence was lung (80%), although failure occurred locally (5%), in bone (10%), and in soft tissue (3%). Three patients had distant disease progression during protocol therapy. Each patient who developed recurrent OS either had died or was still alive with disease at the time of last follow-up.

Isolated local failure occurred in 3 of 56 patients who underwent protocol-directed surgery. One local failure was in a radius lesion after a poor response to neoadjuvant chemotherapy (10% necrosis) and a 2-mm soft tissue margin. A second local failure occurred in a femur lesion nearly 6 years after the patient underwent resection (57% necrosis). The third local failure occurred despite 100% tumor necrosis in a patient with a fibular OS, small cell variant.

Toxicity
Of the 63 eligible patients who were evaluable for toxicities during neoadjuvant chemotherapy, 52 patients (83%) experienced Grade 4 hematologic toxicities. Seven of those patients (11%) also experienced Grade 4 nonhematologic toxicities, principally gastrointestinal (emesis). Toxicities were similar during postoperative adjuvant chemotherapy. Of 55 evaluable patients, 43 patients (78%) experienced Grade 4 hematologic toxicity and 5 patients (9%) also experienced Grade 4 nonhematologic toxicity. One patient died from infection with associated, severe myelosuppression. Autopsy revealed necrotizing colitis as a likely cause of death; in addition, severe bone marrow hypocellularity and two pulmonary metastatic nodules were found. The worst episodes of toxicity experienced for all eligible patients on study are summarized in Table 2.
Hematologic toxicities throughout the study were formidable. Principally, as a consequence of neutropenic fever, i.v. antibiotics were required (usually only once) by 47 patients (75%). Myeloid growth factor was used in 45 patients (71%) for a median of 5 cycles. Growth factor use was more common in patients who had received i.v. antibiotics (87% vs. 44%), reflecting common practice at that time. Blood transfusions were required in 34 patients, 15 during neoadjuvant treatment, 8 in the perioperative period, and 17 during postoperative adjuvant chemotherapy. Platelet transfusions were required in 12 patients, 6 during neoadjuvant chemotherapy and 6 during adjuvant treatment. Toxicities contributed to a decision to stop therapy prematurely in at least 11 patients, as described earlier.

Two patients had cisplatin discontinued after Cycles 1 and 3, secondary to tinnitus. There were two patients in whom Grade 3 increases in creatinine levels were reported, both in the setting of additional toxicities. Two elderly patients had asymptomatic decreases in the ejection fraction below institutional normal values, prompting cessation of doxorubicin for Cycles 7 and 8 of therapy; with repeat testing, values for both patients returned to normal. Other cardiac toxicities of > Grade 1 were not observed on study or in follow-up. One middle-aged woman was diagnosed subsequently with early uterine carcinoma and was treated effectively. No secondary myelodysplastic syndromes or leukemias were reported.

**Surgery and Pathology Assessment**

Forty-four patients underwent limb-salvage surgery, and 12 patients underwent amputation. Amputation was more common when the primary tumor was in the tibia (31%) compared with the femur (17%) or other sites (13%), most likely because of the reconstructive difficulties in the tibia. Pathologic fracture (2 of 4 patients who underwent amputation) and larger primary tumor size (median, 10 cm for amputation vs. 8 cm for limb salvage) appeared to influence the likelihood of amputation. Response to neoadjuvant chemotherapy did not appear to influence the surgical procedure performed. Twenty one of 44 patients who underwent limb-salvage surgery had ≥ 90% necrosis. In the group who underwent amputation, 6 of 11 patients had ≥ 90% necrosis.

Of 56 patients who underwent protocol-directed surgery, specimens were reviewed by the study pathologist in 44 patients. Amongst those 44 specimens, there was concordance between institution and study pathologists in 41 specimens (93%) in assigning a Huvos score (< 90% or ≥ 90% necrosis). In 2 specimens, the originating institution described 100% necrosis and 92% necrosis; those specimens were characterized subsequently by the study pathologist with 67% necrosis and 70% necrosis, respectively. The final specimen was graded with 91% necrosis by the institution and 100% necrosis by the study pathologist. When materials were not forwarded to the reference center (12 specimens), the institutional percent necrosis was used in this analysis.

There were 17 patients (30%) with < 60% necrosis, 29 patients (52%) with < 90% necrosis, and 27 patients (48%) in whom necrosis was estimated at ≥ 90%. Seven patients were assessed with 100% necrosis, with 5 patients surviving free of disease. When analyzed by the dichotomy of < 90% or ≥ 90%, there was no significant difference in survival (P = 0.95). There was a correlation between preop dox DI and percent necrosis, as described below.

**TABLE 2**

**Toxicity Summary: Worst Toxicity Experienced per Patient (n = 63 Patients)**

<table>
<thead>
<tr>
<th>Toxicity</th>
<th>Grade</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>0</td>
</tr>
<tr>
<td>Anemia</td>
<td>8</td>
</tr>
<tr>
<td>Granulocytopenia</td>
<td>5</td>
</tr>
<tr>
<td>Leukopenia</td>
<td>3</td>
</tr>
<tr>
<td>Thrombocytopenia</td>
<td>9</td>
</tr>
<tr>
<td>Cardiovascular</td>
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</tr>
<tr>
<td>Flu-like symptoms</td>
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</tr>
<tr>
<td>Gastrointestinal</td>
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</tr>
<tr>
<td>Infection</td>
<td>43</td>
</tr>
<tr>
<td>Metabolic</td>
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</tr>
<tr>
<td>Mucosal</td>
<td>17</td>
</tr>
<tr>
<td>Neuromotor</td>
<td>54</td>
</tr>
<tr>
<td>Neurosensory</td>
<td>36</td>
</tr>
<tr>
<td>Renal/bladder</td>
<td>43</td>
</tr>
</tbody>
</table>

**Treatment Received and Preop Dox DI**

The number of chemotherapy cycles and the median doses received for all 63 eligible patients are listed in Table 3. Patients age ≤ 21 years were more likely to receive 8 cycles of chemotherapy (23 of 29 patients; 79%) compared with patients age > 21 years (18 of 34 patients; 53%).

The median preop dox DI in 56 evaluable patients was 84% (range, 61–110%). Twenty-three of 56 evaluable patients (41%) received ≥ 90% of the preop dox DI intended by the protocol. Again, preop dox DI was related to age, with patients age ≤ 21 years receiving a median of 90% compared with 81% in older patients and with 54% of patients age ≤ 21 years receiving a DI ≥ 90% compared with 29% in older patients. A higher preop dox DI also appeared to increase the proportion...
of good pathologic responses, as depicted in Table 4 
(although the increase was not statistically signif-
ificant).

Evaluations of survival relative to patient charac-
teristics, tumor variables, and treatment delivered are 
presented in Tables 5 and 6. Primary site, histology, 
and preop dox DI appeared to have the most effect on 
outcome, although a study of this size provides insuf-
ficient power to detect differences in survival. Patients 
who were treated at institutions with more accrual (4 
institutions, 35 patients) had improved survival (60% 
vs. 50%) compared with centers that entered fewer 
patients (20 institutions, 28 patients).

DISCUSSION
The triad that includes the patient, the malignancy, 
and the therapy interacts and determines outcome in 
a study. This trial showed no apparent improvement 
except alternation of a doxorubicin and ifosfamide 
regimen with the standard doxorubicin and cisplatin. 
Although 95% confidence intervals overlapped with 
previously reported studies, including an earlier 
SWOG study, recurrence free survival and overall sur-
vival rates did not approach the best prior results of a 
cure rate of 70%.3–12,24

One aspect of the current study that may have 
contributed to outcome is the proportion of older 
patients. The median age of study participants was 22 
years, and 25% of those enrolled were age > 30 years, 
a known adverse prognostic factor.4,25 Although the 
current study did not include children age < 12 years, 
and the power to detect such an effect was limited by 
the small sample size, the 5-year survival estimate was 
higher in younger patients. Pediatric populations may 
have superior outcomes, in part because of increased 
tolerance of aggressive chemotherapy, as described 
above. Other factors that conceivably may influence 
outcomes in older patients include delay in diagnosis 
and noncompliance with treatment in an adolescent 
and young adult patient populations.

 Adults with OS likely have a different tumor biol-
ogy with greater heterogeneity compared with chil-
dren who have this disease. Prognostic factors that have been reported in OS include DNA ploidy; the presence of P-glycoprotein (multidrug resistance); and loss of heterozygosity of the RB locus and, more recently, her2. The biology of the tumors in this and other study populations, however, is largely unknown. Identification and recognition of the impact of these or other variables is one strategy to evaluate results, compare studies, and improve overall outcomes.

Response to preoperative chemotherapy has been considered a prognostic indicator in patients with OS, with a large majority of patients who have ≥ 90% necrosis at resection surviving recurrence free. This parameter appears to be most predictive in programs that have used high-dose methotrexate. In the current study, nearly 50% of patients achieved a good response, yet a correlation between necrosis and outcome was not clear, and patients who were good responders did not enjoy a similar reported high freedom from recurrence. The longer duration of preoperative treatment and the inclusion of ifosfamide in the preoperative regimen may have increased the proportion of good responders and lessened the utility of scoring necrosis as a prognostic factor, as been observed by others. In the current multiinstitutional study, the concordance of scoring necrosis between study and institutional pathologists was good (93%). However, processing specimens for shipping interfered with the study pathologist receiving all specimens. Considering the good concordance between pathologists and the lack of a clear correlation between necrosis and outcome, it may not be necessary to assess necrosis centrally in future multiinstitutional studies unless postoperative therapy is dependent on response. Instead, tissue resources and transfers may be utilized better for confirmation of diagnosis and banking for molecular analysis.

Based on the survival of patients without adjuvant chemotherapy, a large majority of patients with OS have micrometastatic disease at the time of diagnosis. Survival is related to the burden of micrometastatic disease, the presence of nonpulmonary metastasis, and the chemosensitivity of disease. The numbers of patients who progressed in the current study during primary chemotherapy or study treatment were few, and the fraction of good responders to primary chemotherapy did not differ obviously from other studies. A long median time to recurrence and the inability to salvage patients who developed recurrent disease suggest a larger tumor burden, an increase in extrapulmonary micrometastatic disease sites, and a slower growth fraction with less inherent sensitivity to chemotherapy.

Treatment variables include agents used as well as dose and schedule, duration of therapy, timing and adequacy of surgery, and DI received. Doxorubicin and cisplatin are cornerstones of most modern OS regimens. The protocol dose and schedule of these agents in the current study were comparable to those of other regimens. However, the dose rate of cisplatin was lower because of the alternating therapy. Ifosfamide has been used increasingly with reported efficacy. High-dose methotrexate was not included in the current study, and the impact of its exclusion is not known. However, in randomized trials of high-dose methotrexate, an advantage for this approach has not been established. There was no attempt at postoperative tailoring of chemotherapy in this trial, although the value of that approach has not been demonstrated clearly. The total duration of treatment was shorter than some programs, but toxicity and tolerance were issues in many patients, and completing the study treatment as outlined was difficult. Local failure was uncommon (5%); and, although delays in surgery to 90 days may have increased the percent of good responders to primary chemotherapy artificially, nothing suggests that the timing of surgery compromised overall outcomes. The DI received also was comparable to previous reports and had no apparent influence on outcome.

Although combination chemotherapy clearly appears to improve outcomes for patients with OS, the value of continuing efforts at increasing DI or adding nonspecific cytotoxic agents seems limited. Instead, identification and utilization of molecular markers that are prognostic and predictive of outcome and response to therapy are needed. Future clinical research efforts should emphasize limiting exposure to toxic and difficult therapies in patients with favorable molecular profiles and developing targeted, specific therapies for patients who are destined for failure with current approaches.

REFERENCES


