Prevention of calcification of glutaraldehyde-crosslinked porcine aortic cusps by ethanol preincubation: Mechanistic studies of protein structure and water–biomaterial relationships

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Abstract: Clinical usage of bioprosthetic heart valves (BPHVs) fabricated from glutaraldehyde-pretreated porcine aortic valves is restricted due to calcification-related failure. We previously reported a highly efficacious ethanol pretreatment of BPHVs for the prevention of cuspal calcification. The aim of the present study is to extend our understanding of the material changes brought about by ethanol and the relationship of these material effects to the ethanol pretreatment anticalcification mechanism. Glutaraldehyde-crosslinked porcine aortic valve cusps (control and ethanol-pretreated) were studied for the effects of ethanol on tissue water content and for spin–lattice relaxation times (T1) using solid state proton NMR. Cusp samples were studied for protein conformational changes due to ethanol by ATR–FTIR spectroscopy. The changes in cuspal tissue–cholesterol (in vitro) interactions also were studied. Cusp material stability was assessed in terms of residual glutaraldehyde content and collagenase degradation. Water content of the cusp samples was decreased significantly due to ethanol pretreatment. The cuspal collagen conformational changes (per infrared spectroscopy) brought about by ethanol pretreatment were persistent even after rat subdermal implantation of cusp samples for 7 days. In vitro cholesterol uptake by cusps was greatly reduced as a result of ethanol pretreatment. Ethanol pretreatment of cusps also resulted in increased resistance to collagenase digestion. Cuspal glutaraldehyde content was not changed by ethanol pretreatment. We conclude that ethanol pretreatment of bioprosthetic heart valve cusps causes multi-component effects on the tissue/material and macromolecular characteristics, which partly may explain the ethanol-pretreatment anticalcification mechanism. © 1998 John Wiley & Sons, Inc. J Biomed Mater Res, 40, 577–585, 1998.

Key words: bioprostheses; type I collagen; infrared spectroscopy

INTRODUCTION

Calcification is one of the major causes of the failure of bioprosthetic heart valves derived from glutaraldehyde-pretreated bovine pericardium or porcine aortic valves.1–3 The mechanism of this type of pathologic calcification is incompletely understood. In animal models, it has been shown that initial nucleation sites are cell membranes, the nucleus, and intracellular organelles, such as the mitochondria of devitalized cells. With increasing duration of implantation, cell-associated calcific deposits increase in size and number. Direct collagen calcification in cusps and elastin calcification in the aortic wall subsequently occur. Various host factors, such as the young age of a recipient, and implant factors, such as glutaraldehyde fixation, also aggravate calcification events. Although various strategies are under investigation for preventing this disease process, no clinically proven method is yet available.

In a recent publication,4 we reported that ethanol preincubation of glutaraldehyde-crosslinked porcine aortic valve bioprostheses is a highly efficacious pretreatment for preventing calcification of porcine aortic

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valve cusps in both 60-day rat subdermal implants and sheep mitral valve replacements (150 days). Ethanol was chosen as an anticalcification agent due to its known interference in the cellular metabolism of calcium in bone-line cells as well as in fibroblasts. The presence of ethanol has been shown to break down cellular membranes and disorder acyl chains of phospholipids that affect many cellular activities. Furthermore, ethanol has been shown to significantly inhibit calcium phosphate nucleation and phase transformations due to its interactions with water. In our previous publication concerning ethanol inhibition of bioprosthetic heart valve cusp calcification, we showed that 80.0% ethanol pretreatment extracts almost all phospholipids and cholesterol from glutaraldehyde-crosslinked cusps and also causes a permanent alteration in collagen conformation as assessed by Attenuated Total Reflectance–Fourier Transform Infrared Spectroscopy (ATR–FTIR). Thus, part of the mechanism of prevention of calcification by ethanol pretreatment may be explained by these results. The purpose of the present study was to assess the additional biomaterial effects on porcine bioprosthetic cusps brought about by the ethanol pretreatment and the relationship of such effects to the mechanism of prevention of calcification resulting from ethanol preincubation.

The specific objectives were to: (1) investigate the effects of ethanol on cuspal material stability in terms of residual glutaraldehyde content and collagenase degradation; (2) assess the effect of ethanol pretreatment on water–cusp tissue relationships using proton NMR and measurement of cuspal water content; and (3) study the cusp protein conformational changes due to ethanol pretreatment and the resulting effects on lipid–protein interactions.

MATERIALS AND METHODS

Materials

Absolute (200 proof) ethanol was obtained from McCormick Distilling Company Inc., Weston, MO. N-2-hydroxyethylpiperazine-N’-2-2 ethanesulphonic acid (HEPES), tris(hydroxymethyl)amino methane (Tris), collagenase (from clostridium histolyticum) Type IA, and albumin bovine fraction V (BSA) and bovine serum with or without lipoprotein were obtained from Sigma (St. Louis, MO). Glutaraldehyde was chosen as an anticalcification agent due to its known interference in the cellular metabolism of calcium in bone-line cells as well as in fibroblasts. The presence of ethanol has been shown to break down cellular membranes and disorder acyl chains of phospholipids that affect many cellular activities. Furthermore, ethanol has been shown to significantly inhibit calcium phosphate nucleation and phase transformations due to its interactions with water. In our previous publication concerning ethanol inhibition of bioprosthetic heart valve cusp calcification, we showed that 80.0% ethanol pretreatment extracts almost all phospholipids and cholesterol from glutaraldehyde-crosslinked cusps and also causes a permanent alteration in collagen conformation as assessed by Attenuated Total Reflectance–Fourier Transform Infrared Spectroscopy (ATR–FTIR). Thus, part of the mechanism of prevention of calcification by ethanol pretreatment may be explained by these results. The purpose of the present study was to assess the additional biomaterial effects on porcine bioprosthetic cusps brought about by the ethanol pretreatment and the relationship of such effects to the mechanism of prevention of calcification resulting from ethanol preincubation.

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ter and then lyophilized. Weighed samples of the dry cusps (10–15 mg) were placed in 1.2 mL of collagenase solution (2 mg/mL, in tris buffer, 0.05 M, pH 7.4 containing 0.36 mM CaCl₂) in Eppendorf tubes. The solutions were placed at 37°C on a shaker (200 rpm) for 24 h. The collagenase solution was removed and the remaining cusp samples were washed with water three times and then lyophilized. From the difference between final cusp weight remaining in the tube and initial weight, the fraction of undergraded cusp was obtained for each sample.

Cusp tissue water content

Glutaraldehyde-treated cusps (control and ethanol pretreated) were weighed in the wet state after blotting on a filter paper to remove surface water and lyophilized. The dry weight of the cusp samples was obtained after lyophilization, and the percent of water content in the cusps was calculated by the following formula: percent water content = 100 × (wet weight–dry weight)/wet weight.

Solid state proton NMR

Four–five cusp samples from each group (control and ethanol pretreated) that had been equilibrated in distilled water were taken out and blotted on filter paper to remove any surface water. These cusps then were placed in an NMR tube (10 mm in diameter). The samples were analyzed with NMR at 40°C. Solid state nuclear magnetic resonance spectrometer [Bruker Minispec, PC100, Bruker Spectrospin (Canada) Ltd., Milton, Ontario] was used to obtain spin–lattice relaxation times (T1).9,10 T1 values were obtained from an inversion recovery pulse sequence (180–TD-90) in which the amplitude of the free induction decay (FID) was measured as a function of the interpulse distance TD. TD was varied from 1 ms to at least five times T1. The spectrometer was calibrated for each sample to assure accurate duration of 90° and 180° radiofrequency pulses.

Calcium diffusion studies

Diffusion cells (two chamber) were fabricated from Teflon® with each chamber volume of 1.5 mL. A moist cusp sample was placed as diffusion barrier between the two chambers and checked carefully for any leaks. Then one chamber was filled with 1 mL of calcium chloride solution in HEPES (50 mM, pH 7.4) buffer (0.2% CaCl₂, 18 mol/L Ca), while 1 mL of HEPES buffer was added to the other side. The diffusion of calcium ions from one side to the other side through the cusp samples was monitored at 37°C by periodic sampling followed by calcium analyses using atomic absorption spectroscopy (AA). The buffer side was replenished with fresh buffer solution at each time point. Their diffusivities were calculated based on steady–state equilibrium, as described by Johnston et al,11 using the equation

$$D = \frac{L^2}{6(t_{lag})}$$

where D is diffusivity, L is thickness of cusp, and t_{lag} is lag time.

Cholesterol uptake studies

Glutaraldehyde-crosslinked porcine aortic cusps were incubated in bovine serum with lipoprotein (BS + LP) containing 179 mg/dL of cholesterol and bovine serum without lipoprotein (BS–LP), each 100 mL sample spiked with 50 µL of radioactive cholesterol (4-14 C, 0.040 mCi/mL) for 24 h. All incubations were conducted at 37°C on a shaker at 100–120 rpm. After the incubations, cusp samples were rinsed with distilled water and lyophilized. The dry-weighed samples then were digested in tissue solubilizer (“Solvable”) and counted for radioactivity on a liquid scintillation counter.

Rat implant protocols for cuspal FTIR analysis at explant

Male weanling rats (50–60 g, CD, Sprague–Dawley, Charles River Laboratories, Burlington, MA) were anesthetized by an intramuscular injection of Ketamine and Rompun (4:3), 0.001 cc per gram body weight. Under general anesthesia, two subdermal pouches were created on the abdominal wall of each rat, as described previously,13 and two cuspal samples (either control or ethanol-pretreated cusps, washed with sterile saline to remove any traces of solvents and glutaraldehyde) were implanted in these pouches. The rats (5 per group) were sacrificed by CO₂ asphyxiation after 7 days. The cusp samples were explanted and rinsed with saline solution for ATR–FTIR analysis and calcium assay.

Infrared spectroscopy (ATR–FTIR)

Hydrated, glutaraldehyde-crosslinked porcine aortic valve cusps (either control or ethanol-pretreated) were used for FTIR studies. A Perkin–Elmer (Model 1740) FTIR spectrometer was equipped with a horizontal attenuated total reflectance ZnSe cell (ATR, SpectraTech Inc., Stamford, CT). A water spectrum was obtained as a reference and then subtracted from the sample by the instrument-controlled computer. The cusps were equilibrated in double-distilled water and blotted on a filter paper. The hydrated samples then were placed on an ATR cell and pressed with a sponge to obtain good surface contact between the cell and the sample. Fifty scans were obtained with a resolution of 4 cm⁻¹. The spectra then were deconvoluted in the region of 1800–1000 cm⁻¹ with the supplied software program (IR Data Manager, Perkin–Elmer) to resolve the different amide bands.
Statistical analysis

Data were calculated as means ± standard error of the mean. Differences between experimental groups were tested for significance using Student’s t test. Correlation coefficients and regression constants were calculated in appropriate studies. Results were termed significant when \( p < 0.05 \).

RESULTS

The present results demonstrate that ethanol pretreatment of the porcine cusp tissue do not affect material stability but do have significant effects on collagen conformation and cuspal–water and cuspal–lipid interactions, which may in part explain the mechanism of inhibition of calcification by ethanol pretreatment.

ATR-FTIR results

An overlay FTIR spectra of control unimplanted cusps and control cusps after 7 days of subdermal implantation in rats is shown in Figure 1(a). An array of emerging peaks in the control cusps were seen in the amide I region of the spectra (1700–1600 cm\(^{-1}\) region) due to implantation, suggesting conformational changes in collagen and/or adsorption of additional proteins. An overlay FTIR spectra of ethanol pretreated unimplanted cusp samples and ethanol pretreated cusp samples after 7 days of subdermal implantation in rats is shown in Figure 1(b). The explanted cusps pretreated with 80.0% ethanol for 24 h demonstrated a collagen conformation that was much more stabilized (no new peaks appearing in the amide I region after implantation as compared to unimplanted samples) [Fig. 1(b)]. Thus, the conformational changes brought about by ethanol pretreatment were persistent even after 7 days of rat subdermal implantation.

Proton NMR results

The T1 values of the cusps were related to the ethanol concentration used for pretreatment and water content of the cuspal tissue. A correlation was observed between the T1 values and percent of ethanol pretreatment [Fig. 2(a)] with a trend showing that the higher proportions of ethanol in the pretreatment solution resulted in lower T1 values. This also was associated with a decrease in the total water content in the cusp with ethanol pretreatments. When the percent of water content in the cusp was measured, a correlation \((r = 0.924)\) was observed between relaxation time (T1) and the percent of water content in the cusps [Fig. 2(b)].

Calcium diffusion studies

No statistical differences in calcium diffusion across the cusps were observed between the control cusps and 80.0% ethanol pretreated cusps (Fig. 3). The cumulative amounts of free calcium diffused across the samples after 60 min were 198.9 ± 11.3 \( \mu \)g and 187.81 ± 17.9 \( \mu \)g with average diffusivity of 1.62 ± 0.13 × 10\(^{-3}\) cm\(^2\)/h and 1.79 ± 0.38 × 10\(^{-3}\) cm\(^2\)/h (average ± SEM) for control and ethanol pretreated cusps, respectively.
Cholesterol uptake studies

When glutaraldehyde-crosslinked porcine aortic cusp samples were incubated with bovine serum with lipoprotein (BS + LP), significant amounts of cholesterol were adsorbed on the cuspal samples as compared to incubations in bovine serum without lipoprotein (BS − LP, Table I). Cusp samples treated with 40.0% ethanol incubated with bovine serum showed no change in cholesterol uptake as compared to control samples. However, when cusp samples were treated with 80.0% ethanol for 24 h, a significant reduction in cholesterol uptake was seen at the 24 h incubation period (Table I).

Glutaraldehyde content of cusps after ethanol pretreatment

The results demonstrated that the amount of radioactive glutaraldehyde remaining in the cusp samples after 40.0% and 80.0% ethanol pretreatment for 24 h was not substantially changed compared to control samples (Table II).

Collagenase assay

A collagenase assay was used as a means to assess material stability after the pretreatments. Results are depicted in Table III. As expected, uncrosslinked fresh porcine aortic cusps were almost completely digested by collagenase. However, when the cusps were crosslinked with glutaraldehyde, they significantly resisted digestion by collagenase enzyme. After various ethanol pretreatments for 24 h, higher resistance to the

<table>
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<tr>
<th>Pretreatment</th>
<th>Radioactive Cholesterol Uptake (µg/mg dry tissue) ± SE in</th>
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</tr>
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<tbody>
<tr>
<td>Control</td>
<td>0.11 ± 0.006</td>
<td>20.31 ± 1.20</td>
</tr>
<tr>
<td>40% Ethanol</td>
<td>0.13 ± 0.02</td>
<td>18.83 ± 0.75</td>
</tr>
<tr>
<td>80% Ethanol</td>
<td>0.029 ± 0.002*</td>
<td>5.15 ± 0.34*</td>
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*P < 0.005 vs. control.
collagenase digestion was seen as compared to glutaraldehyde crosslinked cusps ($p < 0.002$) for 40% or more ethanol-pretreated cusps.

**DISCUSSION**

The mechanism of how ethanol pretreatment inhibits bioprosthetic cusp calcification has been further elucidated with our results that demonstrated that the conformational change in collagen structure within cusps brought about by ethanol was persistent even after 7 days of implantation in rats and that ethanol preincubation significantly affects cuspal interactions with water and lipids and enhances cuspal resistance to collagenase.

**ATR–FTIR studies**

Infrared spectroscopy has been used in the past for collagen investigations to understand the conformational changes due to denaturation. In particular, the amide I region of the spectra (amide carbonyl stretching, 1690–1625 cm$^{-1}$) is very sensitive to subtle changes in the triple helical structure of the collagen. This region can be resolved into various components due to nonequivalent amide carbonyls present in the triple helix. The 1660 cm$^{-1}$ band was assigned to prolyl carbonyls directed inside the triple helix (capable of intramolecular hydrogen bonding) while a band at 1630 cm$^{-1}$ was assigned to amide carbonyls directed outwards in the triple helix and are capable of intermolecular hydrogen bonds with water molecules. A downward shift in the amide I region is indicative of denaturation or a “coil” opening of the collagen molecule.

In our previous publication, we showed that the 80% ethanol pretreatment of the porcine valve cusp tissue resulted in changes in the collagen conformation, as assessed by changes in the amide I region of the FTIR spectra. The resulting change was irreversible even after the cusp tissue was re-equilibrated in water for prolonged periods of time. In the present study, we extended these observations to determine if such a change in the collagen conformation was persistent after subdermal implantation in rats for 7 days (by this time calcification progresses significantly in this model). FTIR spectra of control cusp samples after 7 days of implantation showed significant changes in the amide I region, with a trend of downward shifting of all the peaks and the appearance of new peaks as compared to unimplanted control samples [Fig. 1(a)]. On the other hand, the cusp samples pretreated with 80% ethanol for 24 h did not show dramatic shifts in either position or peak height ratio in the amide I region of the FTIR spectra after the subdermal implantation in rats for 7 days [Fig. 1(b)]. These data strongly suggest that the changes brought about by ethanol pretreatment in collagen conformation are stable and may be important in explaining the anticalcification mechanism. Such a conformational change may be responsible for the observed reduced cuspal adsorption of lipids or proteins due to ethanol pretreatment. This study also warrants further research on protein–protein and protein–lipid interactions with respect to collagen and their roles in bioprosthetic heart valve calcification.

**Ethanol effects on cusp tissue interactions with water and calcium**

Proton NMR spin–lattice relaxation times (T1) have been used in the past for water structure and moisture content analyses and have been applied to biomaterials to assess tissue–water interactions. In our studies, the equilibrium water content for the cusps show small but consistent lowering with ethanol pretreatments [Fig. 2(a)]. The proton NMR relax-
Cholesterol also alters calcium transit across cell membranes, cystolic calcium levels, and membrane fluidity in arterial smooth muscle cells. The mechanism by which cholesterol content of the cell membrane correlates with intracellular calcification remains incompletely understood. The role of cholesterol in pathologic calcification of bioprosthetic heart valves (either inherent or absorbed during implantation) has not been studied to a significant extent. In the present study, we examined the cholesterol uptake of the cusp samples after the ethanol pretreatment (Table I). Control cusp samples when incubated in bovine serum with lipoprotein adsorbed significant amount of cholesterol. When cusp samples were treated with 40.0% ethanol (at this concentration the pretreatment is not effective for calcification prevention), no change was seen in the amount of cholesterol uptake. However, at 80.0% ethanol pretreatment (which was found to be highly efficacious in preventing calcification), a four fold reduction in cholesterol uptake was observed. The data indicate that ethanol pretreatment results in a significant material change unfavorable for the adsorption of lipids.

**Material stability considerations**

Ideal anticalcification treatments for a bioprosthetic heart valve should not impede normal valve function and durability. We demonstrated in an earlier communication that ethanol treatment did not change the thermal denaturation temperature ($T_D$) of the crosslinked cusps. $T_D$ has been used in the past as a measure of the extent of crosslinking. In this work, we have performed additional studies to understand the changes in the extent of glutaraldehyde crosslinking due to ethanol pretreatment. When cusp samples were crosslinked with radioactive glutaraldehyde, (14C-labeled) and then treated with 80.0% ethanol for 24 h, the amount of glutaraldehyde in the cusps was not altered after the ethanol pretreatment (Table II), suggesting that ethanol pretreatment did not facilitate reversing the Schiff’s base reaction of glutaraldehyde with cuspal tissue and that no loosely bound glutaraldehyde leached out during the ethanol pretreatment.

Clostridial collagenase degrades native Type I collagen under in vitro conditions. It has been shown previously that glutaraldehyde fixation confers significant resistance to degradation by collagenase. Thus, enzyme degradation studies have been used as an indicator for determining the extent of crosslinking. Fresh uncrosslinked porcine cusps when subjected to collagenase degradation underwent almost complete dissolution while crosslinking with glutaraldehyde significantly stabilized the collagen (Table III). However, after 40% or higher ethanol pretreatments, resistance to collagenase digestion was increased, suggesting that at higher concentrations of ethanol pretreatment, tissue structure is much more stabilized. This may be due to permanent structural changes in collagen, as observed by FTIR spectroscopy, and altered water interactions.

These observations are important in the sense that glutaraldehyde fixation is an essential part of bioprosthetic heart valve preparative procedures as it prevents material degradation and also makes tissue less immunogenic. Also, previously used anticalcification treatments, such as polyoxyethylene ether (Triton X-100) and N-lauryl sarcosine treatments, have been found to alter the material stability that resulted in cuspal perforations after 20 weeks of implantation in the mitral position in sheep. The data obtained in this study (radioactive glutaraldehyde studies and collagenase assay) and previous thermal denaturation temperature ($T_D$) studies strongly suggest that etha-
abol pretreatment does not diminish the stability of glutaraldehyde-crosslinked porcine cusp samples.

CONCLUSIONS

The present studies extend our understanding of the mechanism of ethanol inhibition of bioprosthetic heart valve calcification. In particular, we show that (1) the collagen conformational change (per FTIR results) brought about by ethanol pretreatment was stable even after subdermal implantation of the samples for 7 days; (2) ethanol pretreatment permanently decreased the amount of water in the cusps samples as evaluated by the water content and by solid state proton NMR (spin–lattice relaxation time, T1 of water molecules within the cusp samples); (3) ethanol pretreatment enhanced the material stability as assessed by increased resistance to collagenase digestion; (4) cuspal ethanol pretreatment did not affect cuspal glutaraldehyde content, thus the ethanol effects cannot be solely explained due to glutaraldehyde extraction; (5) the material changes brought about by ethanol pretreatment also significantly altered the interactions of cusps tissue with proteins and lipids. In particular, in vitro cholesterol uptake was greatly reduced due to 80% ethanol pretreatment. Therefore, the anticalcification effects of the ethanol pretreatment may be due to its multi-component effects on cusps tissue material.

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References


