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# The evolution of non-maternal caretaking among anthropoid primates: do helpers help?

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**Abstract** Non-maternal infant care among nonhuman primates has frequently been investigated from the perspective of the caretaker. Here we examine whether allocaretaking behavior provides direct reproductive benefits to mothers. Comparative analyses that control for the effects of allometry and phylogeny reveal that allocaretaking behavior correlates with relatively fast infant growth and reproduction, but is not associated with the production of large infants. These results are consistent with those from studies of other taxa; primate helpers appear to increase the reproductive success of female breeders. In addition, our findings contrast with those derived from traditional allometric analyses and underscore the importance of controlling for the potentially confounding effects of phylogeny in comparative analyses.

**Key words** Alloparental behavior · Primates · Comparative methods

### Introduction

Non-maternal caretaking of young or "allocaretaking" is common in some mammalian taxa (Hrdy 1976; Gittleman 1985; Packer et al. 1992; Jennions and MacDonald 1994). Among nonhuman primates, allocaretaking behavior includes transporting infants (Chivers 1974; Wright 1984; Goldizen 1987a), food provisioning (Feistner and Price 1991), baby-sitting (Stanford 1992), and communal nursing (Williams et al. 1994; Perry 1995). In addition to providing care, non-

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maternal conspecifics sometimes mistreat infants (Hrdy 1976, 1977; Altmann 1980; Silk 1980; Maestripieri 1994). Allocaretaking in primates thus poses two related evolutionary questions: why should allocaretakers devote time and energy to care for infants of other individuals, and why should mothers tolerate non-mothers handling their infants given the risk of abuse?

The evolution of non-maternal caretaking has typically been addressed from the perspective of the caretaker (reviews in Hrdy 1976; McKenna 1979; Riedman 1982; Emlen 1991). In monogamous primates such as owl monkeys (Wright 1984) or facultatively polyandrous callitrichids (Goldizen 1987a,b), caretaking by males represents actual or potential paternal care. Several studies support the hypothesis that nulliparous females improve their maternal skills through allocaretaking and thereby increase the probability that their subsequent offspring will survive (e.g., saddle-backed tamarins: Goldizen 1987a; vervet monkeys: Lancaster 1971; Fairbanks 1990). Some evidence is also consistent with a second, non-mutually exclusive hypothesis: allocaretakers may increase their inclusive fitness by tending related infants. One compelling example is helping by marmosets and tamarins; when high dispersal costs and saturated habitats create poor breeding prospects, older offspring often remain in their natal groups and care for their younger siblings (Goldizen 1987a,b).

While previous research on allocaretaking among primates has focused primarily on the question of why helpers help, whether mothers benefit from the help provided by non-maternal caretakers has rarely been addressed in this taxon. Although the risk of infant abuse undoubtedly represents an important cost to mothers in many cases (Silk 1980; Maestripieri 1994), the benefits obtained via allocaretaking may outweigh these costs in certain situations. By providing relief from parental duties, non-maternal care may permit mothers to adjust their efforts to produce large and rapidly growing young or frequent litters. For example, the prevalence of non-maternal care among callitrichid pri-

mates has been functionally related to the production of relatively large litters (Leutenegger 1980; Goldizen 1987b; Wright 1990). In addition, field observations indicate that mothers feed longer or at faster rates when their infants are tended by others compared with when they care for their infants themselves (vervets: Whitten 1984; langurs: Vogel 1984; Stanford 1992; tamarins: Goldizen 1987a; squirrel monkeys: L. Morton, personal communication). Augmented foraging success that results from allocaretaking could in turn translate directly into reproductive benefits by increasing postnatal growth rates (Ross 1991; Ross and MacLarnon 1995) or decreasing interbirth intervals (Fairbanks 1990).

In this paper we provide comparative tests of the hypothesis that mothers accrue direct reproductive benefits through non-maternal caretaking. Specifically, we examine whether species in which allocaretaking occurs produce relatively large infants and show high postnatal growth rates and short birth intervals compared with species in which mothers serve as primary caretakers.

## **Methods**

#### Variables

We used the assessments of researchers who studied individual species in the wild to assign each to dichotomous allocaretaking and maternal categories. Our categories were defined on the basis of whether nonmaternal conspecifics frequently carried, provisioned, guarded, or nursed infants, and agree closely with independent classifications made by other researchers (e.g. Ross and MacLarnon 1995). In practice, carrying has been the principal behavioral category used to define allocaretaking among primates for theoretical and empirical reasons; carrying is the most energetically costly and frequently observed form of caretaking in which non-mothers engage (Lancaster 1971; Hrdy 1997; Goldizen 1987a; Small 1990; Wright 1990; Stanford 1992; Digby 1995). In the following, we restricted analysis to anthropoids because of the potentially confounding effects of "infant parking" on maternal energetics in some prosimians (Pereira et al. 1987).

We considered all non-maternal conspecifics potential allocaretakers. Thus, our allocaretaking category included species in which other females (e.g., nulliparous females: Hrdy 1977), known or assumed fathers (e.g., monogamous males: Wright 1990), and prereproductive helpers (e.g., tamarins: Goldizen 1987a) care for infants other than their own. We do not attempt to distinguish paternal care from other non-maternal caretaking for two reasons. First, caretaking by adult male primates does not always represent paternal care (Baker et al. 1993; Paul et al. 1996). Second, whether male care constitutes paternal investment is not directly relevant to the reproductive energetics of mothers.

For our analyses of litter weights and postnatal growth, we employed litter weights, growth rates, and female body weights for anthropoid primates compiled by Lee and colleagues (Lee et al. 1991), supplemented with data for callitrichids assembled by Ross (1989, 1991). Postnatal growth rates were computed by subtracting litter weights at birth from litter weights at weaning and dividing by the age at weaning. Similar litter weights can result from different combinations of litter size and individual weights. For example, females may give birth to a single, large offspring or bear two smaller young half the size. Maternal expenditures would be markedly different in the two situations since metabolic requirements increase in a decreasing fashion with size (Kleiber 1975). As a result, the cost of two small young would exceed that of a sin-

gleton of equivalent size. Given these considerations, we employed the metabolic weights of litters at birth and weaning in our analyses of growth. The majority of primates give birth to single infants at a time, and for these species we computed the metabolic weight of litters by raising infant weights to the 0.75 power (Kleiber 1975). For callitrichid species that typically give birth to multiple offspring, we calculated the metabolic weights of singletons and multiplied the growth of these individuals by the average number of infants per litter.

The data employed in our analyses of litter weights and postnatal growth are based on individuals living in the wild as well as in captivity (Lee et al. 1991; Ross 1989, 1991), and for this reason, may be less than ideal. We attempted to employ a uniform and biologically relevant data set to analyze the effects of allocaretaking on female reproduction by using observations of birth intervals and female body weights of free-ranging females. To control for the potentially confounding effect of infant mortality, we employed the mean birth intervals of females whose previous infants had survived whenever possible.

#### Analyses

We began by performing a set of traditional analyses using individual species as data points. We employed the residuals of linear regressions between female body weight and (1) litter weight, (2) postnatal growth rate, and (3) birth interval as allometrically corrected measures of these three variables and used Student's *t*-tests to compare residual litter weights, residual growth rates, and residual birth intervals between allocaretaking and maternal species. We performed model I regressions since the residuals generated through this technique are uncorrelated with the dependent variable (Harvey and Pagel 1991). We conducted all analyses using logarithmically transformed variables and standardized residual litter weights, growth rates, and birth intervals before conducting statistical tests.

The preceding analyses do not control for the potentially confounding effects of phylogeny. We therefore employed the method of independent contrasts (Burt 1989) to examine the relationship between the presence or absence of allocaretaking and (1) litter weights, (2) infant growth rates, and (3) birth intervals. This technique employs an inferred phylogeny to obtain a set of independent comparisons or contrasts between pairs of extant *taxa* that differ in the trait of interest, in this case allocaretaking. Independent contrasts were performed using the "brunch" algorithm implemented on the Comparative Analysis by Independent Contrasts (CAIC) program for the MacIntosh (Purvis and Rambaut 1995).

We used a *t*-test on the mean of the contrasts to test the null hypothesis that the evolution of litter weight, infant growth, or birth interval is unrelated to the evolution of allocaretaking. Under the null hypothesis, we expect the mean value of the contrasts to be zero; mean values significantly greater than zero suggest that high litter weights and growth rates or long birth intervals are correlated with the evolution of allocaretaking. We conducted our tests using a consensus tree based on neontological and paleontological evidence (Purvis 1995). Additional tests employing alternative phylogenies (e.g., Sarich and Cronin 1976) produced results that were consistent with those derived from the consensus tree.

The allometrically corrected values of litter weight, postnatal growth, and birth interval that we employed in the independent contrasts were not the same as those used in the traditional allometric analysis described above. To obtain values for these tests, we computed the regression of the contrasts of litter weight, growth, and birth interval against the contrasts of female weight. We assume the regressions of these contrasts to represent the best estimates of the evolutionary relationships between the three variables and female weight (Purvis and Rambaut 1994). After calculating these model I regressions, we fit lines of identical slope to the original bivariate plots of (1) litter weight and female weight, (2) postnatal growth rate and female weight, (3) birth interval and female weight. We employed the residuals from these lines as our allometrically and phylogenetically corrected measures of litter weight, infant growth, and birth interval (Purvis and Rambaut 1994).

Independent contrasts require specification of phylogenetic branch lengths, and we used estimates of these times based on the fossil record and molecular clocks as reported by Purvis (1995). Results of our analyses appear robust with respect to estimates of these divergence times. Alternative tests in which we assumed branch lengths to be equal produced results similar to those in which we provided estimates of branch lengths. To facilitate presentation, we present only results of the latter analyses. All contrasts were calculated on logarithmically transformed variables.

### **Results**

Table 1 lists the data for female weights, litter weights, postnatal growth, and our classification of allocaretaking. Thirty-one species, including New World monkeys, Old World monkeys, and apes, were represented in the sample. Body weights and birth intervals of wild females were available for 28 of these 31 species (Table 2).

We conducted model I regressions using individual species as data points to derive allometrically-corrected values of litter weight, postnatal growth, and birth intervals. Figure 1a shows the standardized residual litter weights for the 31 species in our data set. A t-test revealed no difference in litter weights between species who have helpers and those who do not (t = 0.21, 29 df,

P > 0.80). An examination of residual growth rates indicated that infant growth did not differ between the two sets of species (Fig. 1b; t = 0.78, 29 df, P > 0.40). Similarly, helpers do not appear to increase the rate at which females produce young; mothers who are assisted by helpers do not give birth more frequently than females who serve as the primary caretaker of their infants (Fig. 1c; t = 1.67,  $26 \, df$ , P > 0.10).

The allocaretaking species in our sample represent a few taxa, notably the Callitrichidae (Fig. 2), and phylogenetic effects are likely to bias the preceding analyses. To control for the potentially confounding effects of phylogeny, we performed independent contrasts using the consensus tree (Fig. 2). Five contrasts in litter weight, postnatal growth, and birth interval were available between taxa who vary in infant caretaking styles (Fig. 2). Independent contrasts revealed that litter weights did not differ significantly between taxa with different infant care systems (Fig. 3; t = 0.30, 4 df, P > 0.75). Infants of allocaretaking species, however, grow significantly faster than the young of maternal species (Fig. 3; t = 3.25, 4 df, P < 0.05). Assistance by helpers also has a direct effect on female reproduction; females of allocaretaking species give birth more often than mothers who care for their offspring alone (Fig. 3; t = 4.64, 4 df, P < 0.01).

**Table 1** Female body weights, litter weights, postnatal growth rates, and the presence or absence of non-maternal care in anthropoid primates. Weights and growth rates were compiled by Lee et. al. (1991) and Ross (1989, 1991). See text for methods used to calculate growth rates. References indicate sources employed to classify infant care systems

Species	Female body weight (kg)	Litter weight (kg)	Postnatal growth rate (g/day)	Non-maternal care	Reference
Alouatta palliata	6.00	0.318	0.23	No	M. Clarke, pers. comm.
Aotus trivirgatus	1.00	0.098	0.44	Yes	Wright 1984
Ateles geoffroyi	8.40	0.426	0.52	No	C. Chapman, pers. comm.
Callimico goeldii	0.62	0.051	0.53	Yes	Pook 1984
Callithrix jacchus	0.35	0.027	0.61	Yes	L. Digby 1995
Cebuella pygmaea	0.16	0.015	0.39	Yes	P. Soini 1987
Cebus albifrons	2.60	0.232	0.45	Yes	Robinson and Janson 1987
Cebus apella	2.90	0.239	0.32	Yes	Robinson and Janson 1987
Cercocebus albigena	7.50	0.500	1.03	No	W. Olupot, pers. comm.
Cercopithecus aethiops	3.00	0.430	0.53	Yes	Lancaster 1971
Cercopithecus neglectus	4.00	0.260	0.46	No	J. Wahome, pers. comm.
Colobus guereza	9.30	0.445	0.47	Yes	Oates 1977
Erythrocebus patas	5.60	0.625	0.85	Yes	Zucker and Kaplan 1981
Gorilla gorilla	93.00	2.110	1.51	No	Watts, pers. observation
Hylobates lar	5.30	0.400	0.13	No	Palombit, pers. comm.
Leontopithecus rosalia	0.66	0.050	0.22	Yes	Baker et al. 1993
Macaca arctoides	8.00	0.489	0.58	No	Estrada & Estrada 1984
Macaca fascicularis	4.30	0.375	0.79	No	C. van Schaik, pers. comm.
Macaca fuscata	9.20	0.503	0.44	No	Hiraiwa 1981
Macaca mulatta	8.50	0.473	0.61	No	Lindburg 1971
Macaca sylvanus	13.30	0.450	1.18	Yes	Small 1990
Pan paniscus	32.00	1.400	0.61	No	Kano 1992
Pan troglodytes	31.00	1.750	0.37	No	Nishida 1983
Papio anubis	13.90	0.950	0.52	No	Nicolson 1982
Papio cynocephalus	11.00	0.710	0.60	No	Altmann 1980
Papio hamadryas	12.00	1.000	0.42	No	Kummer 1968
Pongo pygmaeus	40.00	1.728	1.12	No	J. Mitani pers. observation
Presbytis vetulus	7.80	0.360	0.40	Yes	R. Rudran, pers. comm.
Saguinus oedipus	0.53	0.044	0.81	Yes	Savage 1990
Saimiri sciureus	0.60	0.146	0.25	Yes	L. Morton, pers. comm.
Theropithecus gelada	13.60	0.465	0.73	No	Dunbar and Dunbar 1975

Table 2 Body weights and birth intervals of free-ranging female primates

Species	Female body weight (kg)	Interbirth interval (y)	Reference
Alouatta palliata	4.02	1.88	Glander 1980; Glander et al. 1991
Aotus trivirgatus	0.93	1.00	Ayres 1986; Wright 1990
Ateles geoffroyi	6.62	2.73	Glander et al. 1991; Fedigan and Rose 1995
Callithrix jacchus	0.33	0.55	L. Digby, pers. comm.
Cebuella pygmaea	0.14	0.50	Soini 1982; Ayres 1986
Cebus albifrons	2.23	1.50	Defler 1979; Robinson and Janson 1987
Cebus apella	2.45	1.83	Ayres 1986; Robinson and Janson 1987
Cercopithecus aethiops	2.57	1.43	Cheney et al. 1988; Turner et al. 1994
Cercopithecus neglectus	3.96	2.00	Gautier-Hion 1975; Wahome et al. 1993
Colobus guereza	7.90	1.10	Struhsaker and Leland 1987; Oates et al. 1994
Erythrocebus patas	6.20	1.00	Chism, pers. comm.; Gonzalez-Martinez, pers. comm.
Gorilla gorilla	97.70	3.92	Jungers and Susman 1984; Watts 1991
Hylobates lar	5.30	2.90	Schultz 1941; Palombit, pers. comm.
Leontopithecus rosalia	0.60	0.85	Dietz et al. 1994
Macaca fascicularis	3.50	1.90	van Schaik, pers. comm.
Macaca fuscata	8.73	2.27	Iwamoto 1971; Maruhashi 1982
Macaca mulatta	5.40	2.17	Napier 1981; Melnick and Pearl 1987
Macaca sylvanus	9.92	1.28	Menard and Vallet 1993; Vallet and Menard, pers. comm.
Pan paniscus	33.20	4.50	Jungers and Susman 1984; Takahata et al. in press
Pan troglodytes	35.20	6.04	Uehara and Nishida 1987; Nishida et al. 1990
Papio anubis	12.71	2.08	Smuts and Nicolson 1989
Papio cynocephalus	11.90	1.75	Altmann et al. 1977; Altmann et al. 1993
Papio hamadryas	11.00	1.83	Phillips-Conroy and Jolly 1981; Sigg et al. 1982
Pongo pygmaeus	37.80	7.65	Rodman 1984; Galdikas and Woods 1990
Presbytis vetulus	7.10	1.60	Rudran 1973; Davies 1994
Saguinus oedipus	0.50	1.18	Dawson and Dukelow 1976; Savage et al. 1996
Saimiri sciureus	0.75	2.00	Mitchell 1990; Mitchell et al. 1991
Theropithecus gelada	13.95	2.14	Kawai 1979; Dunbar 1984

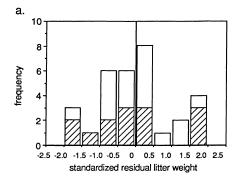
## **Discussion**

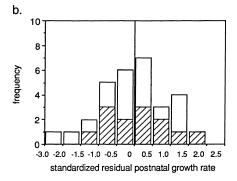
Previous research reveals that helpers increase the reproductive performance of breeders in communal birds and mammals (review in Emlen 1991). Among nonhuman primates, correlations exist between allocaretaking and rapid infant growth and reproduction in Callitrichid primates (Goldizen 1987b; Ross 1991; cf. Fairbanks 1990). The results of the independent contrasts tests indicate the general applicability of these observations; clear associations hold between allocaretaking and fast postnatal growth and short birth intervals across the anthropoid primates (cf. Ross and MacLarnon 1995). These findings differ from those of traditional, comparative, allometric analyses (e.g., Tardif 1994), and stress the need to control for the potentially confounding effects of phylogeny. In addition, our results do not support the suggestion that relatively high litter weights are causally related to allocaretaking across the primate order (Leutenegger 1980; Goldizen 1987a,b; Wright 1990).

Although allocaretaking appears to be associated with rapid infant growth and reproduction in callitrichid primates (Goldizen 1987b; Ross 1991), data on the relationships between the quantity of allocaretaking and growth rates and reproduction are generally unavailable for particular primate species. The only reported data indicate that captive vervet mothers who receive considerable help raising their offspring show shorter birth intervals than females who receive less help (Fairbanks

1990). While the primate data bearing on this issue are limited, additional comparative observations of other cooperatively breeding mammals and birds show the expected relationships. For example, prairie vole pups grow faster with helpers than without them, and after the production of large litters, females with helpers experience shorter birth intervals than those who raise their offspring alone (Solomon 1991). Although the number of helpers has no effect on pup growth rates, female pine voles with several helpers have shorter birth intervals than those with few or none (Powell and Fried 1992). Similarly, the amount of help that breeding Florida scrub jays receive correlates positively with infant growth rates, and weight at fledging shows a strong positive correlation with fledging survival (Mumme 1992).

The analyses presented above are correlational and posit functional relationships between allocaretaking and infant growth and reproduction. We assume that accelerated growth and concomitant shortened birth intervals are, in turn, selectively advantageous for several reasons. First, infants and juveniles are more susceptible than adults to predation (Janson and van Schaik 1993; Stanford et al. 1994), and early attainment of large body size could reduce this risk. In this context we note that allocaretaking characterizes the Callitrichidae and the small-bodied Cebidae among New World monkeys and that it is found in vervet and patas monkeys, two terrestrial, savanna-dwelling Old World monkeys (Table 1). Predation pressure is demonstrably high for some of these species (tamarins: Goldizen





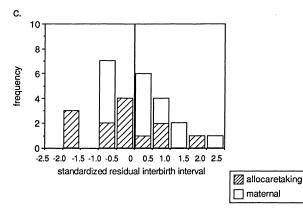


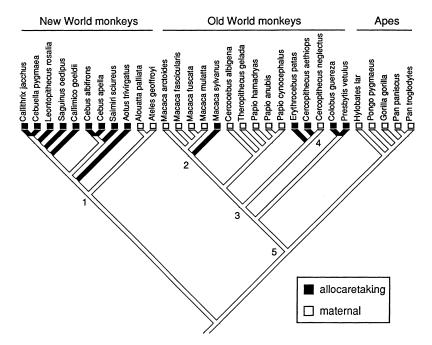
Fig. 1 Frequency distributions of standardized residual a litter weight; b postnatal growth rate and c interbirth interval. Residual values were computed from regressions of litter weight, growth, and birth interval versus female body weight

1987b; squirrel monkeys: Boinski 1987; vervets: Isbell 1990). Second, males commit infanticide in many primate species (Hiraiwa-Hasegawa 1988). Unlike the risk of predation, the threat of infanticide stops at weaning. Non-maternal caretaking could reduce this threat through the early weaning of infants. Third, rapid growth can improve the competitive abilities of juvenile males when size and weight influence the outcome of contests; accelerated growth could have the same effect on adult male competitive ability if it leads to large adult size (Clutton-Brock et al. 1982; Lee et al. 1991; Pereira 1993, 1995). Similar effects may apply to females when they disperse and must establish territories (e.g., callitrichids; Goldizen 1987a,b) or when they fail to form nepotistic dominance hierarchies (e.g., colobine monkeys: van Schaik 1989). Finally, relatively large size at

weaning could decrease juvenile starvation risk because of the lower metabolic requirements of large individuals (Lee et al. 1991) and because accumulated nutritional reserves can carry juveniles through seasons of food shortage (Pereira 1993).

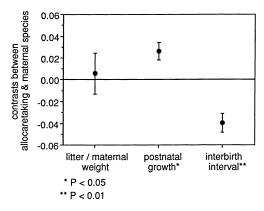
Where the benefits to mothers are high, the evolution of allocaretaking may still be constrained by the behavior of potential helpers or by even higher costs associated with non-maternal caretaking. Allocaretaking may occur only rarely in species where the potential for abuse by non-mothers is high (Maestripieri 1994). The potential for abuse represents one of several maternal costs of allocaretaking, and may be reduced in ecological situations where local resource competition among females is low and contest competition for food has little effect on female fitness (Maestripieri 1994). These circumstances may characterize most colobines (van Schaik 1989) and Costa Rican squirrel monkeys (Saimiri oerstedi, Mitchell et al. 1991). In these species females do not form strong dominance hierarchies and have little to gain from harassing unrelated infants (cf. Dunbar 1984). The risk of infant abuse may also be reduced if egalitarian dominance relationships among females facilitate maternal retrieval of infants (McKenna 1979). Maternal costs should also be low when the provision of high quality infant care raises the inclusive fitness of allocaretakers (see Introduction). Finally, allocaretaking behavior may diminish its own costs through interaction with other factors. In situations where allocaretaking leads to high costs of lactation, the two may begin to coevolve and reinforce each other in an increasing fashion to a point at which the costs cannot be met without the aid of allocaretakers. Among some species of social carnivores subordinate females appear to forego reproduction if their energetic costs of reproduction are especially high (Creel and Creel 1991). In these circumstances, mothers may relinquish their offspring to conspecifics who remain within their natal group to accrue the inclusive fitness benefits derived through allocaretaking.

Although the results of the preceding analyses clarify some of the selective factors leading to the evolution of non-maternal caretaking, several questions remain unresolved. For example, why should parous adult female langurs care for infants of unrelated females (Vogel 1984; Stanford 1992)? If uniform benefits in terms of infant growth and female reproduction are derived through allocaretaking, why does non-maternal care occur infrequently in those species in which (a) maternal costs are low due to egalitarian female dominance relationships and reduced feeding competition and (b) interest in infants and attempts to handle them are high, e.g., in gorillas (Watts 1994)? What factors account for the relative rarity of allocaretaking in cercopithecine monkeys, e.g., patas monkeys and barbary macaques, and its absence among the apes? While the taxonomically disjunct appearance of non-maternal caretaking across the primate order (see Fig. 2 and reviews in Hrdy 1976; Nicholson 1987) has made the search for ecolog-



**Fig. 2** A primate phylogeny. The phylogeny represents a consensus tree based on molecular, karotypic, paleontological, morphological, and behavioral data (Purvis 1995). Species who engage in allocaretaking behavior are denoted. Independent contrasts were performed

at the five numbered nodes and correspond to the following: *1 Alouatta, Ateles* vs. other New World monkeys; *2 Macaca sylvanus* vs. other macaques; *3 Erythrocebus* vs. *Cercocebus* -baboon clade; *4 C. aethiops* vs. *C. neglectus*; *5* colobines vs. apes



**Fig. 3** Contrasts between allocaretaking and maternal species in litter weights, postnatal growth rates, and interbirth intervals. Mean  $\pm$  SE differences between allocaretaking and maternal species are plotted. \* P < 0.05. \*\* P < 0.01.

ical and behavioral correlates difficult, comparative analyses will continue to provide a principal means to address these questions. In addition, intraspecific comparisons involving populations that show variations in the form and frequency of non-maternal caretaking promise to facilitate identifying the costs and benefits associated with the evolution of allocaretaking behavior.

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