Coordination of converter and fuel cell controllers

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SUMMARY

Load following fuel cell systems depend on control of reactant flow and regulation of DC bus voltage during load (current) drawn from them. To this end, we model and analyse the dynamics of a fuel cell system equipped with a compressor and a DC–DC converter. We then employ model-based control techniques to tune two separate controllers for the compressor and the converter. We demonstrate that the lack of communication and co-ordination between the two controllers entails a severe tradeoff in achieving the stack and power output objectives. A co-ordinated controller is finally designed that manages the air and the electron flow control in an optimal way. We demonstrate our results during specific and critical load changes around a nominal operating point. Although our analysis does not cover wide operating region, it provides insight on the level of controller co-ordination necessary in non-hybridized fuel cell power supply. The shut-down and start-up procedures will be investigated in future work. Copyright © 2005 John Wiley & Sons, Ltd.

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1. INTRODUCTION

Portable, stationary and automotive propulsion power applications impose stringent requirements on the transient behaviour of proton exchange membrane (PEM) fuel cells (FC). Transient response is a key characteristic feature of backup power system, sometimes more critical than efficiency, due to the importance of accepting uncertain electric loads. Fast transient response is also essential for autonomy in startup and fast power response for automotive fuel cells. For these reasons, every fuel cell power system is expected to produce power on demand, also known as, a load following fuel cell. Fuel cells, however, are typically known to be slower than any other power sources due to the complex dynamics associated with mass and heat balances inside and outside the stack. To address these limitations, a PEM fuel
cell system is typically combined with a battery or capacitor into a hybrid power generation system.

A complete PEM fuel cell power system includes several components apart from the fuel cell stack and battery, such as an air delivery system which supplies oxygen using a compressor or a blower, a hydrogen delivery system using pressurized gas storage or reformer, a thermal and water management system that handles temperature and humidity, DC–DC converters to condition the output voltage and/or current of the stack and finally electric loads (Rajashekara, 2000; Yang et al., 1998). Figure 1 shows the configuration of a typical fuel cell power system which is constructed with fuel cell, DC–DC converter and battery.

The DC–DC converter transforms unregulated DC power of the FC to regulated DC bus power. Research on the DC–DC converters for fuel cells is focused on soft voltage sources which accounts for the cell voltage variation due to the electrochemical characteristic at different operation conditions (U.S. Department of Energy, 2004). Sometimes the converter is used to filter the current from the fuel cells to avoid imposing transients that can lead to FC failure or degradation. In both cases, the coupled dynamics of current and voltage in fuel cells and the converter affects the system performance. Specifically, limiting the current drawn from the fuel cell enhances fuel cell performance but degrades the voltage regulation performance in DC–DC converter. This direct conflict can be addressed easily with hybridization.

Hybridization in the fuel cell power system may also achieve higher fuel cell efficiency by levelling peak power demand to the battery, allowing the fuel cell to operate on its optimum range. Cunningham et al. (2003) showed that battery-hybrid fuel cell vehicle associated with regenerative braking improves efficiency up to 15%. The efficiency gain in a fuel cell hybrid vehicle depends on the degree of hybridization (Ishikawa et al., 2004). The hybrid system efficiency can be even worse than the stand-alone fuel cell in some driving cycles (Friedman, 1999; Ramaswamy et al., 2004). Also, efficiency of a hybridized auxiliary power unit (APU) or distributed power generation, which has no energy recovery apparatus like regenerative braking, is not yet addressed. These unexplored issues highlight the importance of defining the achievable performance and limitation of a fuel cell power system before hybridization.

The purpose of this paper is to define the dynamic limitation of a FC power system which is augmented with a DC–DC converter but without a battery. To investigate the coupled dynamics with currents and voltages in the fuel cell power system, it is necessary to establish an analytic model for the fuel cell with DC–DC converter and design the overall system.
We first develop a physics-based model for reactant supply dynamics of the fuel cell stack and the power electronics of the DC–DC converter. The fuel cell stack and reactant flow models are based on electrochemistry, mass balances for lumped volumes in the stack and peripheral volumes, and rotational dynamics of compressor and motor. We neglect hydrogen dynamics assuming pressurized hydrogen storage is available. We also neglect humidity and temperature dynamics because they are slower than the air flow dynamics (Amphlett et al., 1996; Wang and Wang, 2005). The significance of the air supply arises due to its considerable parasitic losses (Cunningham et al., 1999). In this paper, we introduce another important aspect of air flow control, namely, the dynamic coupling between the compressor and the fuel cell when the compressor motor is driven by the stack power.

The dynamic behaviour of voltages and currents between the input source and the output load of the DC–DC converter is explained by a simple transient model. The actual converter operates by switching pulse devices, but it is approximated by an average model that captures transient dynamics within the bandwidth of the switching frequency.

In the controller design stage, the fuel cell reactants’ supply and DC–DC converter are treated separately. In other words, the controller is first designed for the best performance of each plant in a decentralized fashion. Then, each controller is re-tuned sequentially in favour of the other because there is a direct conflict between performance objectives of the fuel cells and the converter. We then introduce co-ordination in a combined system controller with optimal gains. The co-ordinated control accounts for the interactions between the two systems and allows us to construct a controller for the best possible performance. The results of the dynamic model analysis and control study in this paper provides the insight on the fundamental system controllability and limitations in handling transient load in a fuel cell power system.

2. FUEL CELL SYSTEM WITH AIR FLOW CONTROL

We consider a fuel cell stack with active cell area of \( A_{fc} = 280 \, \text{cm}^2 \) and \( n = 381 \) number of cells with 75 kW gross power output that is applicable to the automotive and residential areas. The performance variables for the FC power system are (i) the stack voltage \( v_{st} \) that directly influences the stack power generated \( P_{fc} = v_{st}I_{st} \) when the load (current) \( I_{st} \) is drawn from the stack, and (ii) the oxygen excess ratio \( \lambda_{O_2} \) in the cathode that indirectly ensures adequate oxygen supply to the stack.

Stack voltage is calculated as the product of the number of cells and cell voltage \( v_{fc} = nv_{fc} \):

\[
v_{fc} = E - v_{act} - v_{ohm} - v_{conc}
\]

where \( E \) is the open circuit voltage, \( v_{act} \) is the activation loss, \( v_{ohm} \) is the ohmic loss, and \( v_{conc} \) is the concentration loss. The detailed formulation of the FC voltage, also known as, polarization characteristic can be found in Pukrushpan et al. (2004a).

In steady state, FC voltage is given as static function of current density \( i_{fc} = I_{st}/A_{fc} \) and several other variables such as oxygen and hydrogen partial pressures \( p_{O_2} \) and \( p_{H_2} \), cathode pressure \( p_{ca} \), temperature \( T_{st} \) and humidity \( \tilde{\lambda}_m \). Although we assume instantaneous electrochemical reaction and negligible electrode double layer capacity, the FC voltage has a rich dynamic behaviour due to its dependance on dynamically varying stack variables.
In this paper, we assume compressed hydrogen supply as shown in Figure 2, which simplifies the control of anode reactant flow. We also assume that the stack temperature and humidity is controlled accurately and with negligible lag. Perfect cooler and humidifier are assumed for this work.

In this paper, we concentrate on the dynamic behaviour of the variables associated with the air flow control, namely, oxygen pressure $p_{O_2}$, total cathode pressure $p_{ca}$, and oxygen excess ratio in the cathode $\lambda_{O_2}$, which is a lumped parameter that indicates the amount of oxygen supplied versus oxygen consumed. All variables associated with the air supply and the stack performances are defined in the following sections. The transient voltage changes in the stack are minimized using precise control of reactants. However, the flow dynamics of the oxygen and hydrogen reactants are governed by pressure dynamics through flow channels, manifolds, orifices. Also, fuel cells are required to have an excessive amount of oxygen and hydrogen flow into the stack to avoid stagnant vapour and nitrogen films covering the electrochemical area.

Depending on the load (current) drawn from the fuel cell and the air supply to the fuel cell, the stack voltage varies between 200 and 300 V. The air is supplied by a compressor that is driven by a motor with maximum power of 15 kW. At its maximum rotational speed of 100 kRPM the compressor provides 95 g s$^{-1}$ of air flow and generates a pressure increase of 3.5 atm. The maximum compressor air flow is twice the air flow necessary to replenish the oxygen consumed from the stack when the maximum current is drawn $I_{st,max} = 320$ A. The maximum FC current is defined as the current at which the maximum FC power is achieved. Drawing more current from the fuel cell results in rapid decrease of the stack voltage, and thus power due to concentration losses (Larminie and Dicks, 2003).

Although the compressor absorbs a significant amount of power and increases the fuel cell parasitic losses, it is preferred to a blower due to the resulting high power density (kW m$^{-2}$). A blower is typically not capable of pushing high flow rates through small channels. The blower requires large channel volumes, and thus larger stacks. Note here that there have been many studies analysing the tradeoff between FC power density and parasitic losses from the air supply device (Cunningham et al., 2001). Additional considerations associated with controlling the

![Figure 2. Fuel cell reactant supply system.](image-url)
system humidity and temperature depending on the operational pressure are still under debate (Fronk et al., 2000). Comparison of the dynamic flow capabilities of a FC system with a blower and a compressor can be found in Gelfi et al. (2003). It is shown that the two systems are dynamically similar in providing air flow in the cathode channels. The blower spends time spinning its rotor inertia, whereas the compressor spends time pushing the air and elevating the supply manifold pressure.

The tradeoff between satisfying net power requirements and maintaining optimum oxygen excess ratio in the stack during load step changes is first defined in Pukrushpan et al. (2004a). We show here that this tradeoff is more critical when the compressor motor draws its power directly from the fuel cell instead of an auxiliary power source. The limitations are analysed in Section 2.3 after developing a low order fuel cell model in Sections 2.1 and 2.2. A proportional integral (PI) controller is developed in Section 2.3. For the air flow controller we assume fast changes in the load (current) drawn from the fuel cell. In Section 3 we investigate how DC–DC converter can be used to filter fast load changes.

2.1. Dynamic states

Details of the model used in this study can be found in Pukrushpan et al. (2004a,c). Several simplifications and modifications have been employed to allow us to concentrate on the fast dynamics associated with the integration of a fuel cell with a converter. Specifically, the following assumptions are made: (i) All gases obey the ideal gas law; (ii) The temperature of the air inside the cathode is equal to the bulk stack temperature which is, in turn, equal to the temperature of the coolant exiting the stack; (iii) The properties of the flow exiting the cathode such as temperature and pressure are assumed to be the same as those inside the cathode and are the ones that dominate the reaction at the catalyst layers in the membrane; (iv) The gases in the anode and cathode are fully humidified and the water inside the cathode is only in vapour phase assuming any extra water turns to liquid and is removed from the channels; (v) We neglect flooding of the gas diffusion layer; (vi) Finally, the flow channel and the gas diffusion layer are lumped into one volume, i.e. the spatial variations are neglected. Note here that all these assumptions are made to isolate the potential problems associated with non-hybridized load-following fuel cell that supports its external and auxiliary loads through its bus. By assuming perfect humidity and temperature regulation, we do not wish to underestimate their importance nor the challenges associated with the specific control task. We present the model dynamic states first and then in Section 2.2, we describe the nonlinear relationships that connect the inputs with the states and the outputs (performance variables and measurements for control).

The mass continuity of the oxygen and nitrogen inside the cathode volume and ideal gas law yield

\[ \frac{d\rho_{O_2}}{dt} = \frac{RT_{st}}{M_{O_2} V_{ca}} (W_{O_2, in} - W_{O_2, out} - W_{O_2, ret}) \]  

(2)

\[ \frac{d\rho_{N_2}}{dt} = \frac{RT_{st}}{M_{N_2} V_{ca}} (W_{N_2, in} - W_{N_2, out}) \]  

(3)

where \( V_{ca} \) is the lumped volume of cathode, \( R \) is the universal gas constant, and \( M_{O_2} \) and \( M_{N_2} \) are the molar mass of oxygen and nitrogen, respectively.
The compressor motor state is associated with the rotational dynamics of the motor through thermodynamic equations. A lumped rotational inertia is used to describe the compressor with the compressor rotational speed \( \omega_{cp} \)

\[
\frac{d\omega_{cp}}{dt} = \frac{1}{J_{cp}} (\tau_{cm} - \tau_{cp})
\]  

where \( \tau_{cm} \) is the compressor motor torque and \( \tau_{cp} \) is the load torque of the compressor.

The rate of change of air pressure in the supply manifold that connects the compressor with the fuel cell (shown in Figure 2) depends on the compressor flow into the supply manifold \( W_{cp} \), the flow out of the supply manifold into the cathode \( W_{ca, in} \) and the compressor flow temperature \( T_{cp} \)

\[
\frac{dp_{sm}}{dt} = \frac{\hat{RT}_{cp}}{M_{a, atm} V_{sm}} (W_{cp} - W_{ca, in})
\]

where \( V_{sm} \) is the supply manifold volume and \( M_{a, atm} \) is the molar mass of atmospheric air.

### 2.2. Nonlinear static functions

The nonlinear relations that connect the dynamics states (pressure and rotational speed) through the right-hand side of Equations (2)–(5) are described in this section.

The inlet mass flow rate of oxygen \( W_{O2, in} \) and nitrogen \( W_{N2, in} \) can be calculated from the inlet cathode flow \( W_{ca, in} \) as follows:

\[
W_{O2, in} = \frac{x_{O2, atm}}{1 + w_{atm}} W_{ca, in}
\]

\[
W_{N2, in} = \frac{1 - x_{O2, atm}}{1 + w_{atm}} W_{ca, in}
\]

where \( x_{O2, atm} \) is the oxygen mass fraction of the inlet air

\[
x_{O2, atm} = \frac{y_{O2, atm} M_{O2}}{y_{O2, atm} M_{O2} + (1 - y_{O2, atm}) M_{N2}}
\]

with the oxygen molar ratio \( y_{O2, atm} = 0.21 \) and the humidity ratio of inlet air

\[
w_{atm} = \frac{M_{v}}{y_{O2, atm} M_{O2} + (1 - y_{O2, atm}) M_{N2}} \frac{\phi_{atm} p_{sat}}{p_{atm} - \phi_{atm} p_{sat}}
\]

where \( p_{sat} = p_{sat}(T_{sat}) \) is vapour saturation pressure and \( \phi_{atm} \) is the relative humidity at ambient conditions which is preset to the average value of 0.5.

The supply manifold model describes the mass flow rate from the compressor to the outlet mass flow. A linear flow-pressure condition is assumed for the flow calculation due to the small pressure difference between the supply manifold and the cathode

\[
W_{ca, in} = k_{ca, in} (p_{sm} - p_{ca})
\]

where \( k_{ca, in} \) is the supply manifold orifice flow constant and spatially invariant cathode pressure \( p_{ca} \) is the sum of oxygen, nitrogen and vapour partial pressures

\[
p_{ca} = p_{O2} + p_{N2} + p_{sat}
\]
The total flow rate at the cathode exit $W_{\text{ca, out}}$ is calculated by the nozzle flow equation (Thomas, 1999) because the pressure difference between the cathode and the ambient pressure is large in pressurized stacks.

The rate of oxygen consumption $W_{\text{O}_2,\text{rect}}$ in (2) from the stack current $I_{\text{st}}$ is given by

$$W_{\text{O}_2,\text{rect}} = M_{\text{O}_2} \frac{nI_{\text{st}}}{4F}$$  \hspace{1cm} (12)

where $n$ is the number of cells in the stack and $F$ is the Faraday number.

The oxygen excess ratio $\dot{\lambda}_{\text{O}_2}$ that indicates oxygen starvation is defined as

$$\dot{\lambda}_{\text{O}_2} = \frac{W_{\text{O}_2,\text{in}}}{W_{\text{O}_2,\text{rect}}}$$  \hspace{1cm} (13)

We assume vapour is saturated in the anode without flooding or nitrogen diffusion. We also assume that the anode pressure is regulated to follow the cathode pressure. Based on these assumptions, the hydrogen pressure that affects the FC voltage is calculated

$$p_{\text{an}} = p_{\text{ca}}$$  \hspace{1cm} (14)

$$p_{\text{H}_2} = p_{\text{an}} - p_{\text{sat}}$$  \hspace{1cm} (15)

The outlet mass flow rate of oxygen $W_{\text{O}_2,\text{out}}$ and nitrogen $W_{\text{N}_2,\text{out}}$ used in (2) and (3) are calculated from the mass fraction of oxygen and nitrogen in the stack after the reaction

$$W_{\text{O}_2,\text{out}} = \frac{M_{\text{O}_2}p_{\text{O}_2}}{M_{\text{O}_2}p_{\text{O}_2} + M_{\text{N}_2}p_{\text{N}_2} + M_v p_{\text{sat}}} W_{\text{ca, out}}$$  \hspace{1cm} (16)

$$W_{\text{N}_2,\text{out}} = \frac{M_{\text{N}_2}p_{\text{N}_2}}{M_{\text{O}_2}p_{\text{O}_2} + M_{\text{N}_2}p_{\text{N}_2} + M_v p_{\text{sat}}} W_{\text{ca, out}}$$  \hspace{1cm} (17)

The compressor motor torque $\tau_{\text{cm}}$ is calculated assuming a simplified DC motor model with a static electromechanical relation of applied motor input voltage $v_{\text{cm}}$ and back emf

$$\tau_{\text{cm}} = \eta_{\text{cm}} \frac{k_t}{R_{\text{cm}}} (v_{\text{cm}} - k_v \omega_{\text{cp}})$$  \hspace{1cm} (18)

where $k_t$, $k_v$, and $R_{\text{cm}}$ are motor constants and $\eta_{\text{cm}}$ is the motor mechanical efficiency. The assumption of a voltage-controlled DC motor instead of frequency/amplitude controlled AC motor implies instantaneous generation of motor torque ($v_{\text{cm}}$ to $\tau_{\text{cm}}$ relationship), neglecting all the high frequency dynamics associated with more realistic and modern switching drive. Our assumption can be justified because the switching frequency of the drive and the motor flux dynamics are faster than the dynamics of the combined motor-compressor inertia in Equation (4). Even the implementation of a filter that minimizes the switching ripples preserves the highly dynamic (almost instantaneous) relationship between the motor control command ($v_{\text{cm}}$ in our case) and the torque generation $\tau_{\text{cm}}$. One will need to convert the voltage control command $v_{\text{cm}}$ derived later in Equations (24) and (31) to current or frequency/amplitude control command when specific motor and drive design are specified. The torque consumed by the compressor is
calculated from the thermodynamic equation

\[
\tau_{cp} = \frac{C_p}{\omega_{cp}} \eta_{cp} \left[ \left( \frac{p_{sm}}{p_{atm}} \right)^{\gamma-1/\gamma} - 1 \right] W_{cp}
\]

(19)

where \(C_p\) and \(\gamma\) correspond to the constant-pressure and the ratio of the specific heat capacities of the air.

The compressor motor power \(P_{cm}\) provided by the compressor motor is calculated using the compressor motor voltage input \(v_{cm}\) and its rotational speed \(\omega_{cp}\)

\[
P_{cm} = \frac{v_{cm}}{R_{cm}} (v_{cm} - k_c \omega_{cp})
\]

(20)

This power can be supplied directly from the fuel cell or from an auxiliary power source.

The compressor flow \(W_{cp}\) is modelled by applying the Jensen and Kristensen nonlinear fitting method (Pukrushpan et al., 2004a) as functions of the pressure ratio \(p_{sm}/p_{atm}\), the upstream temperature \(T_{atm}\), and the compressor rotational speed \(\omega_{cp}\). The temperature of the air leaving the compressor is modelled based on (Pukrushpan et al., 2004a) with a map of the compressor efficiency \(\eta_{cp}\)

\[
T_{cp} = T_{atm} + \frac{T_{atm}}{\eta_{cp}} \left[ \left( \frac{p_{sm}}{p_{atm}} \right)^{\gamma-1/\gamma} - 1 \right]
\]

(21)

To demonstrate the FC model characteristics, a series of step changes in stack load (current) and compressor motor input voltage are applied to the stack and important FC variables are plotted in Figure 3. During the first three steps, the compressor voltage is controlled so that the oxygen excess ratio at 2 is maintained using a simple static feedforward controller. The remaining steps are then applied independently, resulting in different levels of oxygen excess ratios.

During a positive load step, the oxygen excess ratio drops due to the depletion of oxygen, that correlates well with the drop in the stack voltage. The step at \(t = 10\) s shows the response due to an increase in the compressor input while keeping the stack current constant. The opposite
scenario is shown at $t = 14$ s. The response between the 10th and 14th seconds shows that even though the stack voltage $v_{st}$ and power $P_{st}$ increase, the net power $P_{net} = P_{st} - P_{cm}$ actually decreases due to the increased parasitic loss ($P_{cm}$). The low-order model described here is compared through simulation with the fuel cell model that includes detailed anode model, manifold filling dynamics and membrane humidity (Pukrushpan et al., 2004a,c). The comparison shows that the Equations (2)–(21) capture the dynamics of voltage and starvation characteristics when humidity and temperature are well controlled.

### 2.3. Control of air supply

The FC compressor is controlled to supply the air flow to the cathode that is necessary for the reaction associated with the current drawn $I_{st}$ from the fuel cell as shown in Figure 4. For several reasons (Boehm et al., 2002; Pukrushpan et al., 2004c) air supplied to the cathode should exceed the air necessary for reaction. The oxygen excess ratio $\lambda_{O_2}$ in (13) is a convenient lumped variable, which if regulated to a desired value ($\lambda_{O_2}^{ref} = 2$) ensures adequate supply of oxygen in the cathode.

We consider here the case where the compressor is driven from the fuel cell. The total current drawn from the fuel cell stack, $I_{st}$ is defined by the input current $I_{in}$ which is the current from the FC to the DC–DC converter, and augmented by the current load drawn from all of the auxiliaries and particularly compressor, $I_{cm}$

$$I_{st} = I_{in} + I_{cm} \tag{22}$$

Here it is considered that the compressor motor contributes to the largest per cent of losses through the current drawn $I_{cm}$ directly from the stack bus. To calculate the current consumed by the compressor, we assume again that the compressor motor has an ideal power transformer and supplies the necessary power $P_{cm}$ by drawing a current $I_{cm}$ at the stack bus voltage $v_{st}$

$$I_{cm} = \frac{P_{cm}}{v_{st}} \tag{23}$$

where $v_{st}$ is given by the polarization curve in Pukrushpan et al. (2004a,c). Thus compressor current is implemented so that $P_{cm}$ is simply drawn from the stack through a fast filter that emulates the motor control unit.

The control objective of regulating performance variable $\lambda_{O_2}$ can be achieved by a combination of feedback and feedforward algorithms that automatically define the compressor motor voltage input $v_{cm}$. Since the oxygen excess ratio $\lambda_{O_2}$ is not directly measured, we control $\lambda_{O_2}$ indirectly by measuring the compressor flow $W_{cp}$ and the demanded load $I_{st}$. Figure 4 shows the feedback and feedforward controllers which are designed to regulate the oxygen excess ratio.

Specifically, feedforward control to air compressor voltage $v_{cm}^{ff}$ can be applied based on the stack current $I_{st}$, $v_{cm}^{ff} = f(I_{st})$. The function $f(I_{st})$ is determined by the balance of oxygen mass consumed for the stack current and the compressor map from $v_{cm}$ to $W_{cp}$, thus it can be programmed or stored in a lookup table in a computer. The feedforward control can accurately regulate $\lambda_{O_2}$ to its desired value at steady state if all the model parameters are known. Also adding a feedback controller may be helpful for this problem because the compressor voltage can be scheduled immediately after the current demand is issued, avoiding sensor delays associated with any feedback compensation. To reduce potential errors associated with modelling errors or device aging, a feedback controller $v_{cm}^{fb}$ can be combined with the feedforward controller based on the compressor flow measurement $W_{cp}$. The feedback
controller ensures that the compressor flow reaches fast a desired value \( W_{cp}^{ref} \) that is calculated based on the stack current (Pukrushpan et al., 2004b). Namely a proportional and integral (PI) controller can be applied to the difference of \( W_{cp} \) and \( W_{cp}^{ref} \). The voltage control command can be written as

\[
v_{cm}(t) = v_{cm}^{ff}(t) + v_{cm}^{fb}(t) = f(I_{st}) + K_p(W_{cp}^{ref}(I_{st}) - W_{cp}(t)) + K_i \int_0^t (W_{cp}^{ref}(\tau) - W_{cp}(\tau)) \, d\tau
\]  

Details of more complex controllers such as dynamic cancelation and observer-based feedback designs with various performances and robustness can be found in Pukrushpan et al. (2004b). Note that the configuration in Pukrushpan et al. (2004b) implied that an auxiliary power unit supplies the compressor motor. The controller in Equation (24) ensures there is adequate air flow supply to the stack, but allows the cathode pressure to drift as implied by Equations (2), (3) and (5). Results on control of the air flow and the cathode pressure using a compressor and a back throttle can be found in Rodatz et al. (2003).

Figure 5 shows the closed-loop performance for two different controller gains \( K_p \). During a step input of net current \( I_{in} \), the oxygen excess ratio initially drops because the additional air flow that can compensate the amount of increased current has not yet reached the cathode. The oxygen excess ratio \( \lambda_{O_2} \) recovers quickly due to the feedforward control and settles to the desired steady-state value with no error due to the PI controller. Higher controller gain shown in dashed line improves the \( W_{cp} \) tracking performance by employing larger control input signal \( v_{cm} \). Despite the improvement in \( W_{cp} \), the \( \lambda_{O_2} \) regulation degrades. The reason for this degradation is critical for the compressor controller tuning. First, the current drawn from the fuel cell by the compressor increased in the case of high gain PI controller. Second, the high gain controller decreases the \( W_{cp} \) overshoot which delays the delivery of the necessary air flow to the cathode (further downstream the compressor).

Thus, the difficulty and control limitations are more pronounced in the case where the compressor is powered directly by the fuel cell and not an auxiliary power unit. In fact, the limitation in controlling oxygen starvation arises from the compressor and fuel cell electric coupling and not from the manifold filing dynamics as frequently quoted in literature (Cunningham et al., 1999; Pukrushpan et al., 2004b; Rodatz et al., 2003; Sun and
Indeed, when the compressor power is drawn directly from the fuel cell, there is a direct conflict between regulating the compressor air mass flow and regulating the oxygen excess ratio. Fast air flow control requires large compressor power that increases the current drawn from the stack. This direct coupling between the actuator signal $v_{cm}$ and the performance variable $\lambda_{O_2}$ especially at high frequencies exacerbates the difficulties in controlling the air flow to the fuel cell during step increase in load.

3. DC–DC CONVERTER

3.1. DC–DC converter model

The DC–DC converter transforms the DC fuel cell stack power to output voltage–current requirements of the external power devices that connect to a FC system. Here, we consider a boost converter (shown in Figure 6) that can be used in PEM fuel cell applications. The input
voltage \( v_{\text{in}} \) and input current \( I_{\text{in}} \) of the converter are the FC output voltage and the net FC current. In steady-state, the converter functionality can be described by

\[
v_{\text{in}} I_{\text{in}} = v_{\text{out}} I_{\text{out}}
\]

\( I_{\text{in}} (1 - d_1) = I_{\text{out}} \) \hspace{1cm} (25)

The output voltage \( v_{\text{out}} \) and current \( I_{\text{out}} \) depend on the duty ratio \( d_1 \) of the solid state switch in the circuit. The inductance of input inductor \( L_{\text{in}} \), the capacitance of output capacitor \( C_{\text{out}} \) and the resistance of the load \( R_{\text{load}} \) are shown in Figure 6.

In this study, the boost converter is selected for 50 kW power and based on 400 V output voltage with nominal input voltage is 250 V and thus nominal input current is 200 A. Ideally the input power is processed in a converter with 100% efficiency. Actual efficiency is slightly less than 100% due to the losses in the inductor, capacitor, transformer, switch and controller circuit. A typical boost converter for PEM fuel cell application has about 95% efficiency when the voltage boost ratio is approximately two (U.S. Department of Energy, 2004).

Increasing \( L_{\text{in}} \) reduces the ripple of the input current. Although large \( L_{\text{in}} \) protects the stack from high frequency AC current, the associated increase in resistance might decrease the converter efficiency. The size of \( C_{\text{out}} \) is usually determined by the ripple specification of output voltage. Other considerations such as the voltage and current limit of the capacitor should also be accounted especially due to high voltage and current values associated with FC applications. For the subsequent dynamic analysis, the values of inductor and capacitor are selected to be as \( L_{\text{in}} = 1 \) mH and \( C_{\text{out}} = 1200 \) µF.

An average nonlinear dynamic model can be used to approximate the boost converter switching dynamics (Krein, 1998)

\[
L_{\text{in}} \frac{dI_{\text{in}}}{dt} = v_{\text{in}} - (1 - d_1)v_{\text{out}}
\]

\[
C_{\text{out}} \frac{dv_{\text{out}}}{dt} = (1 - d_1)I_{\text{in}} - \frac{v_{\text{out}}}{R_{\text{load}}}
\] \hspace{1cm} (26)

The inputs to the converter, based on realistic FC operation, are the duty ratio \( d_1 \), the input voltage \( v_{\text{in}} \), and the output current, \( I_{\text{out}} = v_{\text{out}}/R_{\text{load}} \). Linearization and Laplace transformation from these inputs to the output voltage \( v_{\text{out}} \) provide the following transfer functions (Erickson...
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and Maksimovic, 2001):

\[ v_{\text{out}}(s) = G_d(s) d_1(s) + G_v(s) v_{\text{in}}(s) - Z_{\text{out}}(s) I_{\text{out}} \]

\[ G_d(s) = \frac{v_{\text{out},n}/(1 - d_{1,n}) R_{\text{load,n}} C_{\text{out}}}{s^2 + (1/R_{\text{load,n}} C_{\text{out}}) s + (1 - d_{1,n})^2/L_{\text{in}} C_{\text{out}}} \]

\[ G_v(s) = \frac{1 - d_{1,n}/L_{\text{in}} C_{\text{out}}}{s^2 + (1/R_{\text{load,n}} C_{\text{out}}) s + (1 - d_{1,n})^2/L_{\text{in}} C_{\text{out}}} \]

\[ Z_{\text{out}}(s) = \frac{(1/C_{\text{out}}) s}{s^2 + (1/R_{\text{load,n}} C_{\text{out}}) s + (1 - d_{1,n})^2/L_{\text{in}} C_{\text{out}}} \]

where \( d_{1,n} \) is the nominal duty ratio and \( R_{\text{load,n}} \) is the nominal load resistance. The transfer function \( Z_{\text{out}} \) is called converter impedance and represents the effect of small load (current) changes to \( v_{\text{out}} \). Due to the zero at the origin of \( Z_{\text{out}} \) the steady-state output voltage is not affected by a step change in load. This capability to reject load disturbances (variation in \( I_{\text{out}} \)) and regulate the output voltage \( (v_{\text{out}}) \) is desirable. However, a zero at \( s = 0 \) corresponds to the derivative of the disturbance input causing large deviation in \( v_{\text{out}} \) during a step change in load. Thus, although the zero at the origin helps the steady-state performance, it deteriorates the transient performance. The impedance can also represent the dynamics of \( R_{\text{load}} \) to \( v_{\text{out}} \) when the electric load is purely resistive which is typical for automotive or backup power applications.

The output voltage dynamics depends on nominal power level and input voltage which are reflected in the open-loop transfer function through different \( d_{1,n} \) and \( R_{\text{load,n}} \) values. It can be shown that the characteristic equation given by the denominator of the transfer function of the transfer functions in (27) has under-damped behaviour for typical combinations of \( L_{\text{in}}, C_{\text{out}}, d_{1,n} \) and \( R_{\text{load,n}} \). The damping decreases when power increases or \( R_{\text{load,n}} \) decreases in \( \zeta = 1/2 R_{\text{load,n}} (1 - d_{1,n}) \sqrt{L_{\text{in}}/C_{\text{out}}} \). The gain and phase Bode plots of the transfer function \( G_d \) in (27) shown in Figure 7 describes the open-loop dynamics (from control input \( d_1 \) to performance variable \( v_{\text{out}} \)).

Low damping causes undesirable output oscillations that can be reduced with judicious control design as discussed below. As the Bode plots indicate, the open loop converter has fast dynamics with natural frequency \( \omega_n = (1 - d_{1,n}) \sqrt{L_{\text{in}}/C_{\text{out}}} \) approximately at 1000 rad s\(^{-1} \). The fast converter dynamics cause abrupt changes in \( I_{\text{in}} \) and act as a disturbance to the fuel cell. Therefore, the converter control design has to reduce this high frequency disturbance to the fuel cell by providing damping, or in other words, filtering the current \( I_{\text{in}} \) drawn from the FC.

3.2. DC–DC converter control

The converter control objective is to maintain constant bus voltage despite variations in the load and the input (fuel cell) voltage. In the fuel cell application, the converter operates in large range of power. We thus consider disturbances in \( 1/R_{\text{load}} \) that can capture the large load variation better than the output current \( I_{\text{out}} \) formulation in (27).

Nonlinear control techniques in Escobar et al. (1999) were employed to handle large variations in converter loads. We employ linear control techniques similar to Erickson and
Maksimovic (2001) and formulate the bus voltage regulation problem using the control structure in Gezgin *et al.* (1997). A two-degrees of freedom (2DOF) controller shown in Figure 8 and presented in Krein (1998) is formulated.

In this control scheme, the outer loop controller $C_v$ is composed of a PI controller for zero steady-state error. Then the output from $C_v$ can be the virtual reference of $I_{in}$ which becomes the current drawn from the fuel cell when the converter connects to the fuel cell. Nonlinear logics such as slew rate limiter, saturation or any kind of filter can be added to shape the current from the fuel cell stack (U.S. Department of Energy, 2004). Adding a proportional feedback $C_i$ around the $I_{in}$ measurement is equivalent to derivative controller which is needed to damp the typically undamped DC–DC converter dynamics as shown in Section 3.1. Although $C_i$ is designed as proportional controller, it acts as a derivative control for $v_{out}$ because $I_{in}$ is related to the derivative of $v_{out}$ as shown in (26).

The controller can be written as

$$d_1(s) = -K_D I_{in}(s) - K_P v_{out}(s) - \frac{K_I}{s} v_{out}(s)$$  \hspace{1cm} (28)

Figure 7. Open-loop dynamics of DC–DC converter transfer function $G_d$ for different loads.

Figure 8. Sequential loop control.
and formulated as state feedback when an integrator is added to the states. The optimal state feedback gains $K_{Dv}$, $K_{Pv}$, and $K_{Iv}$ can be selected from a linear quadratic regulator design (Gezgin et al., 1997). With known gains two equivalent controllers, $C_v$ and $C_i$ are separated

$$C_v(s) = \frac{K_{Pv}}{K_{Dv}} + \frac{K_{Iv}}{K_{Dv}s}$$

$$C_i(s) = K_{Dv}$$

to allow nonlinear current limiters to be inserted for the virtual reference command input to $I_{in}$.

Figure 9 shows simulations results of the boost converter with two degree of freedom controllers (solid line) and the open-loop performance (dashed line). First, a step decrease of input voltage from 250 to 225 V is applied to emulate fuel cell voltage which corresponds to 70 mV average cell voltage drop. During this change, shown in (a), the duty ratio $d_1$ increases and draws more current from the input source. The performance variable $v_{out}$ recovers within 0.1 s. The controller can be tuned to handle the input voltage change faster at the expense of

![Figure 9. Simulation results of the DC–DC converter: (a) input voltage change; and (b) load resistance change.](https://example.com/figure9.png)
faster transient in current drawn from the fuel cell $I_{in}$. The graphs in column (b) show the closed-loop response during a load change. The load change corresponds to increase in power from 50 to 55 kW. In this situation, steady-state voltage regulation is not a problem because the DC gain of the impedance transfer function $Z_{out}$ is zero as discussed in Section 3.1. Nevertheless, the controller we design reduces $d_1$ for a short time. This decrease helps filter the sharp and oscillatory current in $I_{in}$ that would have occurred otherwise (shown in dashed line). Here it can be observed that the closed-loop $I_{in}$ increases and settles to the next steady state level in both input voltage change and output power change. This behaviour clarifies the causality between the fuel cell and converter dynamics, where the fuel cell becomes a current source in the output voltage regulation problem.

4. CONNECTING THE CONVERTER WITH THE FUEL CELL

The fuel cell, with the controlled compressor, is connected with the controlled converter to form an autonomous power supply. In an industrial application, the fuel cell with its compressor and converter controller is viewed as one component and the converter with its controller as another as shown if Figure 10(a). Typically, these two components are provided by different manufacturers based on some initial specifications. The two controllers are calibrated separately and small corrections are performed after the two components are connected. This control architecture is called decentralized, and the calibration is called sequential, because one controller is tuned and then the other is re-tuned to minimize interactions between the two components. The process is sometimes tedious and can be suboptimal even after many iterations.

Another calibration that chooses the right calibration by taking into account the component interaction is called multivariable and results in a centralized controller as shown in Figure 10(b). The centralized controller, indeed, achieves better performance than the decentralized even after several iterations. Decentralized control is successful if there is minimal coupling between the two systems. In our case, the performance variables $\lambda_{O_2}$ and $v_{out}$ are conflicting with each other and result in a challenging calibration problem.

Figure 11 shows the simulation results of the fuel cell power system with two decentralized controllers in a series of step load resistance changes. As can be seen in dashed line, when the converter controller acts fast to regulate $v_{out}$, there is large excursion in $\lambda_{O_2}$. Specifically, the duty ratio $d_1$ increases instantaneously after the step load change in $R_{load}$ in order to regulate $v_{out}$. This increase in $d_1$ causes a sudden input current $I_{in}$, which causes unacceptable $\lambda_{O_2}$ excursion. The effect of load increase becomes severe due to the compressor current drawn from the FC, which can be estimated by observing the compressor input $v_{cm}$, the stack current $I_{st}$, and the net current $I_{in}$. Detuning of the converter controller is necessary to avoid this fast interaction with the fuel cell. The solid line shows the simulation results after the detuning. Now the duty ratio initially decreases even if the load increases filtering the FC current and avoiding the large $\lambda_{O_2}$ excursion. For these converter gains, the output voltage recovers slowly demonstrating the severe tradeoff associated with the decentralized architecture controller.

As we have seen in the previous section, the two performance outputs are conflicting. It is, thus, not clear if any control design can improve the performance of both outputs. A centralized, model-based controller is designed to define the optimal signals within the conflict. The approach is known as linear quadratic regulator (LQR). We employ linearization of the

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state-space representation in Sections 2 and 3 with states \( x = (p_{O_2}, p_{N_2}, \omega_{cp}, p_{sm}, I_{in}, v_{out}) \) and state equations of the integrators

\[
\frac{d}{dt} \begin{bmatrix} q_1 \\ q_2 \end{bmatrix} = \begin{bmatrix} W_{cp}^{ref} - W_{cp} \\ v_{out} \end{bmatrix}
\]

(30)

at 40 kW power level. The optimal control law uses a state feedback with integral control

\[
\begin{bmatrix} v_{cm} \\ d_1 \end{bmatrix} = K_{LQR} \begin{bmatrix} p_{O_2} \\ \vdots \\ v_{out} \end{bmatrix} + K_{I,LQR} \begin{bmatrix} q_1 \\ q_2 \end{bmatrix}
\]

(31)
The sixteen unknowns elements of the controller gain $K_{LQR}$ and $K_{I}$ are derived based on the minimization of a quadratic cost function

$$Q = \int_0^\infty \left( l_1 \dot{\lambda}_{O_2}(t) + l_2 v_{out}^2(t) + l_3 q_1^2(t) + l_4 q_2^2(t) + r_1 v_{cm}^2(t) + r_2 d_1^2(t) \right) dt$$

(32)

that explicitly depends on the performance variables $\dot{\lambda}_{O_2}$ and $v_{out}$ through the weights $l_1$–$l_4$. The actuator cost is added to the cost function through the weight $r_1$ and $r_2$ to prevent excessive

Figure 11. Simulation results of fuel cell power system: decentralized control.
actuator inputs, which is especially useful for the air compressor controller. Different coefficients $q$ and $r$ can be applied in $Q$ for tuning the optimal control law (31).

The linear simulations of the co-ordinated controllers with two different cost functions are shown in Figure 12. The detuned decentralized controller (DEC2) is also shown with dash-dot line for comparison. A step resistance change input is applied intending to increase output power from 40 to 50 kW. The centralized controller CEN1 in dashed line is designed to match the $v_{out}$ recovery of the detuned, decentralized controller DEC2, but performs considerably better than the decentralized controller in regulating $\lambda_{O_2}$. The relatively slow recovery of $\lambda_{O_2}$.
from all controllers is due to low $v_{cm}$ controller gain which is already discussed in the FC controller design. The solid line shows that the co-ordinated controller has the capability to improve both performance outputs at the same time using the optimal design. The output voltage $v_{out}$ recovers three times faster than the decentralized case without significant degradation of $\lambda_{O_2}$. Specifically, the voltage recovery of the centralized controller CEN1 ensures 10 kW power increase in 0.1 s whereas the best decentralized controller we could design allows the same 10 kW power increase in 0.3 s. The control strategy can be observed with the response in the solid line. The duty ratio initially drops to protect the FC while waiting for the air supply to increase. When the compressor ramps up then $d_1$ increases rapidly to recover the output voltage $v_{out}$. These benefits on both performances occur mostly from the communication and co-ordination in the system.

The drawback of the co-ordinated control is the increase of computation for measurement and state estimation. The estimation and computation requirements will be explored in future work. The centralized controller is tuned based the full model of the (combined) fuel cell, compressor, and converter. Obtaining a model similar to the one we presented might be an unrealistic expectation due to proprietary reasons in such highly-engineered devices. Thus, the centralized controller designed here serves as a way of defining the requirements for the minimal communication between the fuel cell controller and the converter controller.

5. CONCLUSION

Modelling and analysis of a load following FC combining a fuel cell system and a DC–DC converter is shown in this paper. A low-order FC system model has been developed using physical principles and stack polarization. The inertial dynamics of the compressor, manifold filling dynamics and partial pressures are captured. An average continuous in time modelling approach that approximates the converter switching dynamics is applied. The direct conflict between the air supply in FC and the voltage regulation in the converter is elucidated.

Then a model-based controller is designed to regulate both the FC oxygen excess ratio and the bus voltage using decentralized and co-ordinated control architectures. A severe limitation arises when no hybridization dictates that the air supply compressor is powered directly from the FC. We show that co-ordination between the compressor and the converter controllers can alleviate the tradeoff between the two performances.

Our comparison was performed at an operating range for medium to high loads. The performance and calibration requirements of the two controller architectures for wide operating range of power will be investigated in future work. So far we have verified that the linear decentralized controller achieves good performance for wide range of power (20–60 kW net power). We need to perform similar comparison after we design and integrate an observer for the estimation of all the states for the centralized controller.

We have not tested the controllers during shut-down or start-up conditions, primarily due to lack of a validated model at these operating points. A bench top experiment will be used for testing all these results. This study can also be extended to the design and optimization of FC hybrid power system without neglecting the dynamic interactions among power sources.
NOMENCLATURE

\( \dot{R} \) = universal gas constant \((= 8.3145 \text{ J/(mol K)})\)

\( A \) = active area \((\text{cm}^2)\)

\( C \) = capacitance \((\text{F})\)

\( C_p \) = specific heat capacity of the air \((= 1004 \text{ J/(mol K)})\)

\( d \) = duty ratio

\( F \) = Faraday number \((= 96485)\)

\( I \) = current \((\text{A})\)

\( i \) = current density \((\text{A/cm}^2)\)

\( J \) = inertia \((\text{kg m}^2)\)

\( K \) = controller gain

\( k \) = flow constant \((\text{kg/(s.Pa)})\), Motor constant \((\text{V/(rad/s)}, \text{N-m/A})\)

\( L \) = inductance \((\text{H})\)

\( M \) = molar mass \((\text{kg/mol})\)

\( n \) = number of cells

\( p \) = pressure \((\text{Pa})\)

\( Q \) = cost function

\( R \) = resistance \((\text{\Omega})\)

\( T \) = temperature \((\text{K})\)

\( V \) = volume \((\text{m}^3)\)

\( v \) = voltage \((\text{V})\)

\( W \) = mass flow rate

\( w \) = humidity ratio

\( x \) = mass fraction, state

\( y \) = molar ratio

Greek letters

\( \eta \) = efficiency

\( \gamma \) = ratio of the specific heat capacities of the air \((= 1.4)\)

\( l_m \) = membrane water activity

\( l_{O_2} \) = oxygen excess ratio

\( \omega \) = rotational speed \((\text{rad/s})\)

\( \phi \) = relative humidity

Subscripts

\( \text{an} \) = anode

\( \text{atm} \) = atmospheric

\( \text{ca} \) = cathode

\( \text{cm} \) = compressor motor

\( \text{cp} \) = compressor

\( \text{D} \) = derivative

\( \text{fc} \) = fuel cell

\( \text{H}_2 \) = hydrogen

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