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Communication Sciences Program

Technical Note

THE THEORY OF ALGEBRAIC AUTOMATA I:  
MORPHISMS AND REGULAR SYSTEMS

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ORA Projects 03105 and 05662

under contract with:

DEPARTMENT OF THE NAVY  
OFFICE OF NAVAL RESEARCH  
CONTRACT NO. Nonr-1224(21)  
WASHINGTON, D.C.

and

U. S. ARMY RESEARCH OFFICE (DURHAM)  
CONTRACT NO. DA-31-124-ARO(D)-G433  
DURHAM, NORTH CAROLINA

administered through:

OFFICE OF RESEARCH ADMINISTRATION

ANN ARBOR

January 1964

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UMR  
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## INTRODUCTION

This is a preliminary report on a study of an algebraic generalization of the concept of regular events. Among all the possible ways of generalization we chose the one suggested by the characterization of regular events by means of homomorphisms with finite ranges (cf. [RS] and [YG] 1963).

Following this suggestion, our attention is directed to the study of homomorphisms of monoids and their effect on subsets of monoids. Furthermore, we are able now to suggest a general algebraic framework in which several and various domains in the area of automata theory (like finite-state transductions, commutative machines, and context-free languages) can be studied and generalized uniformly.

In this report, we present the study of the basic and immediate properties of regular systems in monoids and the effect of homomorphisms on such systems.

I wish to thank J. W. Thatcher (now at the IBM Research Center in Yorktown Heights) and S. T. Hedetniemi of the Logic of Computers Group for their continuous interest and invaluable help.

## 1.1 ALGEBRAIC PRELIMINARIES AND NOTATIONS

Let  $W$  be any monoid (i.e., a semigroup with identity). A binary relation  $\pi$  defined in  $W$  is said to be algebraic in  $W$  iff it is compatible with the operation in  $W$ ; i.e., iff

$$(w_1, w_2) \in \pi \text{ implies } (ww_1, ww_2), (w_1w, w_2w) \in \pi$$

for any  $w, w_1, w_2 \in W$ .

$\pi$  is said to be a congruence (relation) in  $W$  iff  $\pi$  is an algebraic equivalence relation defined in  $W$ .

If  $\pi$  is a congruence in  $W$ , then an operation can be well defined in  $W/\pi$  by

$$\pi(w_1) \cdot \pi(w_2) =_{df} \pi(w_1 \cdot w_2) \quad ,$$

(where  $\pi(w)$  denotes the equivalence class in  $W/\pi$  which contains  $w$ ) and a mapping

$$\hat{\pi} : W \rightarrow W/\pi$$

by

$$\hat{\pi}(w) =_{df} \pi(w)$$

As one can easily prove,  $\hat{\pi}$  is a homomorphism and thus it is said to be the homomorphism (of  $W$ ) induced by  $\pi$ .

On the other hand, for any homomorphism  $\phi$  of  $W$  we denote by  $\hat{\phi}$  the binary relation defined in  $W$  by

$$(w_1, w_2) \in \hat{\phi} \text{ iff }_{df} \phi(w_1) = \phi(w_2)$$

As one can easily prove,  $\hat{\phi}$  is a congruence in  $W$  (it is said to be the congruence induced by  $\phi$  in  $W$ ) and from  $\phi = \hat{\pi}$  follows  $\hat{\phi} = \pi$ .

Studies of the relationship between the homomorphisms of  $W$  and the congruences in  $W$  can be found in the literature on monoids and semigroups ([CP], [JM]).

Since the relations  $\pi \leftrightarrow \hat{\pi}$  and  $\phi \leftrightarrow \hat{\phi}$  induce a certain duality within the context of this paper, and since the traditional distinction between the homomorphisms of  $W$  and the congruences induced by them in  $W$  can be easily proved to be unnecessary, we shall often identify the homomorphism  $\phi$  of  $W$  with the congruence  $\hat{\phi}$  induced by it in  $W$  and use the term morphism  $\phi$  to denote simultaneously  $\phi$  and  $\hat{\phi}$ . Moreover, we shall choose to use either the mapping notation or the relation notation for the morphisms according to the convenience of each notation in the specific context.

Let  $\phi$  be a morphism of  $W$  and let  $E$  be a subset of  $W$ . The subset of  $W$ ,  $c_\phi(E)$ , defined by

$$c_\phi(E) =_{df} \bigcup \{ \phi(w) : w \in E \}$$

is said to be the  $\phi$ -closure of  $E$  and  $E$  is said to be  $\phi$ -closed iff  $c_\phi(E) = E$ .

Clearly, the operation  $c_\phi$  on the subsets of  $W$  is a closure operation, i.e.:

- (i)  $E \subseteq c_\phi(E)$ ,
- (ii)  $E_1 \subseteq E_2$  implies  $c_\phi(E_1) \subseteq c_\phi(E_2)$ ,
- (iii)  $c_\phi(c_\phi(E)) = c_\phi(E)$ .

We denote by  $C_\phi[W]$  the class of all subsets of  $W$  which are  $\phi$ -closed. For example, if  $I$  is the identity on  $W$  then  $C_I[W]$  is  $\mathcal{P}(W)$ , the class of all subsets of  $W$ .

As an immediate property of  $C_\phi[W]$  we have the following lemma:

**LEMMA 1:**  $C_\phi[W]$  is a Boolean algebra of sets which is isomorphic to  $\mathcal{P}(W/\phi)$  under  $\phi^\varepsilon$  (which is  $\phi$  as a mapping operating on the subsets of  $W$ ).

REMARK: We shall denote both  $\phi$  and  $\phi^\varepsilon$  and even  $\phi^{\varepsilon\varepsilon}$  by " $\phi$ ".

We shall be interested in the following three operations on morphisms:

The direct product of the morphisms  $\phi_1$  and  $\phi_2$  of  $W$  is denoted by  $\phi_1 \boxtimes \phi_2$  and defined by

$$\phi_1 \boxtimes \phi_2 =_{df} \phi_1 \cap \phi_2 .$$

The direct sum of  $\phi_1$  and  $\phi_2$  is denoted by  $\phi_1 \oplus \phi_2$  and is defined by

$$\phi_1 \oplus \phi_2 =_{df} (\phi_1 \cup \phi_2)^*$$

where  $\pi^*$  denotes the transitive-closure of  $\pi$ .

Obviously, these operations can be extended by induction to  $n$ -ary operations for any  $n$ . The following theorem summarizes the main properties of these operations.

THEOREM 2: Let  $\phi_1, \dots, \phi_n$  be  $n$  morphisms of  $W$ , then:

(i)  $\boxtimes_{i=1}^n \phi_i$  and  $\oplus_{i=1}^n \phi_i$  are also morphisms of  $W$ ;

(ii)  $\boxtimes_{i=1}^n \phi_i$  is the maximal morphism of  $W$  which is included in

all the  $\phi_i$ , since  $\boxtimes_{i=1}^n \phi_i = \bigcap_{i=1}^n \phi_i$ ;

(iii)  $\oplus_{i=1}^n \phi_i$  is the minimal morphism of  $W$  which includes all the

$\phi_i$ , and in fact,  $\oplus_{i=1}^n \phi_i = (\bigcup_{i=1}^n \phi_i)^*$ ;

(iv)  $C_{\boxtimes_{i=1}^n \phi_i} [W] = \bigcap_{i=1}^n \varepsilon C_{\phi_i} [W]$  where  $\bigcap_i \varepsilon C_i$  denotes the class of

the intersections  $\bigcap_i E_i$  of all  $E_i \in C_i$ ;

$$(v) \quad C_{\bigotimes_{i=1}^n \phi_i}^n [W] = \bigcap_{i=1}^n C_{\phi_i} [W];$$

(vi)  $\bigotimes$  and  $\bigodot$  are commutative and associative operations;

(vii) if  $W/\phi_i$  is finite for all  $1 \leq i \leq n$  then so are

$$W / \bigotimes_{i=1}^n \phi_i \quad \text{and} \quad W / \bigodot_{i=1}^n \phi_i .$$

The cartesian product of the morphisms  $\phi_1$  and  $\phi_2$  of  $W_1$  and  $W_2$  respectively, is denoted by  $\phi_1 \times \phi_2$  and defined to be a morphism of  $W_1 \bigotimes W_2$  by

$$(\phi_1 \times \phi_2)(w_1, w_2) = \text{df } \phi_1(w_1) \times \phi_2(w_2)$$

(where "x" denotes the operation of the cartesian product of sets.)

Again this operation can be extended by induction.

THEOREM 3: Let  $\phi_1, \dots, \phi_n$ ; be morphisms of  $W_1, \dots, W_n$  respectively, then:

$$(i) \quad \bigotimes_{i=1}^n \phi_i \text{ is a morphism of } \bigotimes_{i=1}^n W_i ;$$

$$(ii) \quad C_{\bigotimes_{i=1}^n \phi_i}^n [\bigotimes_{i=1}^n W_i] = \bigcap_{i=1}^n C_{\phi_i} [W_i] \text{ where } x \in C_i \text{ denotes the class}$$

of the cartesian products  $x E_i$  of all  $E_i \in C_i$ ;

(iii) the cartesian product of morphisms is an associative and essentially commutative operation;

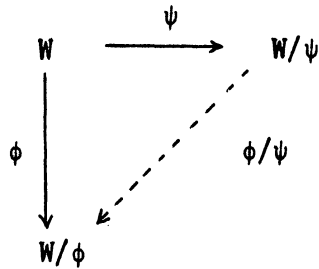
(iv) if  $W_i/\phi_i$  is finite for any  $1 \leq i \leq n$ , then so is

$$\bigotimes_{i=1}^n W_i / \bigotimes_{i=1}^n \phi_i .$$

Finally, let  $\phi$  be a morphism of  $W$ . A morphism  $\psi$  of  $W$  is said to be a morphism of  $\phi$  iff  $\psi$  is included in  $\phi$ .

The significance of this relation is given in the following theorem:

**THEOREM 4:** If  $\psi$  is a morphism of the morphism  $\phi$  of  $W$  then the following diagram



can be completed by  $\phi/\psi:W/\psi \rightarrow W/\phi$  to be a commutative diagram, i.e.,

$$(\phi/\psi) \circ \psi = \phi,$$

in a unique way.

Furthermore, we have

$$C_{\phi/\psi}[W/\psi] = \psi C_{\phi}[W]$$

and in particular, for any  $F \subseteq W/\phi$

$$(\phi/\psi)^{-1}(F) = \psi(\phi^{-1}(F)) .$$



## 1.2 REGULAR EVENTS IN MONOIDS

We wish to characterize a family of subsets of <sup>a</sup>monoid  $W$  in a fashion similar to the way the regular events are characterized as certain subsets of a finitely generated free monoid ([RS], [YG] 1963).

DEFINITION 1: A  $W$ -regular system is a system  $\mathcal{A} = \langle \rho, F \rangle$  where:

- (i)  $\rho$  is a morphism of  $W$  with a finite range,
- (ii)  $F$  is a subset of the range of  $\rho$ .

The morphism  $\rho$  is said to be the structure of  $\mathcal{A}$  and  $T(\mathcal{A}) =_{df} \rho^{-1}(F) = \bigcup F$  is the event generated by  $\mathcal{A}$ . A subset  $E$  of  $W$  is said to be regular in  $W$  iff  $E$  is the event generated by some  $W$ -regular system.

We denote by  $R_W$  the class of all subsets of  $W$  which are regular in  $W$ , that is,

$$R_W =_{df} \bigcup \{C_\rho[W] : W/\rho \text{ is finite}\} .$$

As an immediate result of Df.1 we get:

LEMMA 5:  $R_W$  is closed under set complementation.

From the properties of the direct product of morphisms we derive the following construction of Boolean combinations of  $W$ -regular systems:

For any Boolean set function  $\beta_S$  we denote by  $\beta_P$  the corresponding Boolean proposition function which is isomorphic to  $\beta_S$  and thus the following relationship holds:

let  $\beta_S$  be a Boolean set function of  $n$  variables and let  $S_1, \dots, S_n$  be any  $n$  subsets of  $S$ , then

$$\beta_S(S_1, \dots, S_n) = \{s \in S : \beta_P(s \in S_1, \dots, s \in S_n)\} .$$

Given  $n$   $W$ -regular systems  $\mathcal{A}_i = \langle \rho_i, F_i \rangle$  and a Boolean set function  $\beta_S$  of  $n$  variables, we define:

$$\beta(\mathcal{A}_1, \dots, \mathcal{A}_n) =_{df} \langle \bigotimes_{i=1}^n \rho_i, \beta^*(F_1, \dots, F_n) \rangle$$

where

$$\beta^*(F_1, \dots, F_n) =_{df} \{ (\bigotimes_{i=1}^n \rho_i)(w) : \beta_P(\rho_1(w) \in F_1, \dots, \rho_n(w) \in F_n) \} .$$

Obviously,

$$\begin{aligned} T(\beta(\mathcal{A}_1, \dots, \mathcal{A}_n)) &= \\ &= \beta^*(F_1, \dots, F_n) \quad , \\ &= \{w : \beta_P(w \in \bigcup F_1, \dots, w \in \bigcup F_n)\} \quad , \\ &= \beta_S(\bigcup F_1, \dots, \bigcup F_n) \quad , \\ &= \beta_S(T(\mathcal{A}_1), \dots, T(\mathcal{A}_n)) \quad . \end{aligned}$$

Thus we have:

THEOREM 6:  $R_W$  is a Boolean algebra of sets.

In the rest of this section we shall explore, with the expected results, the relationships between the following concepts:

- (i)  $W$ -regular systems and  $R_W$ ;
- (ii) right invariant relations in  $W$ ;
- (iii) finite-state automata with  $W$  as their input;
- (iv) non-deterministic finite-state automata with  $W$  as their input.

The methods used to establish the expected relationships are taken from the study of ordinary finite automata (cf. [RS], [RB], and [YG] 1960).

Let  $\hat{f}$  be an equivalence defined in  $W$  which is right-invariant (i.e.,  $(w_1, w_2) \in \hat{f}$  implies  $(w_1 w, w_2 w) \in \hat{f}$  for any  $w, w_1, w_2 \in W$ ). We associate with  $\hat{f}$  the following system  $\mathcal{A}_f = \langle S, s_0, \tau_f \rangle$  where:

$$(i) \quad S = W/\hat{f},$$

$$(ii) \quad s_0 = \hat{f}(\lambda), \text{ where } \lambda \text{ is the identity element of } W,$$

$$(iii) \quad \tau_f : S \times W \rightarrow S \text{ is defined by } \tau_f(\hat{f}(w_1), w) =_{df} \hat{f}(w_1 w).$$

[Note that  $\tau_f$  is well defined just because  $\hat{f}$  is right-invariant.]

Now, for any  $S_F \subseteq S$  we have:

$$\begin{aligned} T(\mathcal{A}_f, S_F) &=_{df} \{w \in W : \tau_f(s_0, w) \in S_F\} \quad , \\ &= \{w \in W : \hat{f}(w) \in S_F\} \quad , \\ &= \bigcup S_F \quad . \end{aligned}$$

Hence, if  $E \subseteq W$  is a union of certain equivalence classes of a right-invariant equivalence  $\hat{f}$  defined in  $W$ , then  $E$  is defined by an automaton (which is finite state iff  $W/\hat{f}$  is finite), with  $W$  as its input (cf. [SG]) in a manner similar to that by which ordinary regular events are defined by Rabin-Scott finite automata.

On the other hand, let  $\mathcal{A} = \langle S, s_0, \tau \rangle$  be a system with:

$$(i) \quad S \text{ is a set and } s_0 \in S \quad ,$$

(ii)  $\tau : S \times W \rightarrow S$  is a mapping satisfying:

$$\tau(s, \lambda) = s \text{ for all } s \in S \quad ,$$

$$\tau(s, w_1 w_2) = \tau(\tau(s, w_1), w_2) \text{ for all } s \in S, w_1, w_2 \in W;$$

[(iii)-optional - for each  $s \in S$  there is  $w \in W$  such that

$$\tau(s_0, w) = s].$$

We define the binary relation  $\hat{f}_\tau$  in  $W$  by:

$$(w_1, w_2) \in \hat{f}_\tau \text{ iff}_{df} \tau(s_0, w_1) = \tau(s_0, w_2) \quad .$$

Clearly,  $\hat{f}_\tau$  is an equivalence and the cardinality of  $W/\hat{f}_\tau$  is less than or equal to that of  $S$ . If  $S$  is finite, then equality holds iff requirement (iii) is satisfied. Furthermore,  $\hat{f}_\tau$  is right-invariant.

Now let  $S_F \subseteq S$ . The system  $\langle \mathcal{A}, S_F \rangle$  is said to be a W-automaton (which is a finite-state W-automaton iff  $S$  is finite) with the structure  $\mathcal{A}$ .

The set:

$$T(\mathcal{A}, S_F) =_{df} \{w \in W : \tau(s_0, w) \in S_F\}$$

is said to be the event defined by  $\langle \mathcal{A}, S_F \rangle$ .

Clearly, we have:

$$\begin{aligned} T(\mathcal{A}, S_F) &= \bigcup \{ \{ \tau(s_0, w_1) : \tau(s_0, w_1) = \tau(s_0, w) \} : \tau(s_0, w) \in S_F \} \\ &= \bigcup \{ \hat{f}_\tau(w) : \tau(s_0, w) \in S_F \} \\ &= \bigcup \hat{S}_F \quad \text{where } \hat{S}_F =_{df} \{ \hat{f}_\tau(w) : \tau(s_0, w) \in S_F \} \quad . \end{aligned}$$

In conclusion we have:

LEMMA 7: A subset  $E$  of  $W$  is a union of certain equivalence classes of a right-invariant equivalence (with a finite index) in  $W$ , iff  $E$  is defined by some (finite-state)  $W$ -automaton.

The connection with  $W$ -regular systems is established by the following lemma:

LEMMA 8: Let  $E$  be a subset of  $W$ , then  $E$  is regular in  $W$  iff  $E$  is defined by a finite-state  $W$ -automaton.

PROOF: Let  $\langle \mathcal{A}, S_F \rangle$  be a finite-state  $W$ -automaton with  $\mathcal{A} = \langle S, s_0, \tau \rangle$ . Define in  $W$  the binary relation  $\rho_\tau$  by :

$$(w_1, w_2) \in \rho_\tau \text{ iff}_{df} \text{ for all } s \in S : \tau(s, w_1) = \tau(s, w_2) \quad .$$

Clearly,  $\rho_\tau$  is a morphism of  $W$  with a finite range (which is less than or equal to the cardinality of  $S \times S$ ), and  $\rho_\tau$  is included in  $\hat{f}_\tau$ , i.e.,

$$\rho_\tau(w_1) = \rho_\tau(w_2) \text{ implies } \tau(s_0, w_1) = \tau(s_0, w_2) \text{ for all } w_1, w_2 \in W.$$

Hence, if we define

$$F =_{df} \{ \rho_\tau(w) : \tau(s_0, w) \in S_F \}$$

we get a  $W$ -regular system  $\langle \rho_\tau, F \rangle$  which generates  $T(\mathcal{A}, S_F)$ .

The converse follows directly by Lemma 7.

Before we turn to establish the identity of the  $W$ -regular events and the events defined by non-deterministic  $W$ -automata, we wish to give an alternative proof of Lemma 8 by the means of the construction of the transition monoid  $M(\mathcal{A})$  associated with the structure  $\mathcal{A}$  of  $W$ -automata. (For the case of the free monoid cf. [RB], [YG] 1960 and 1962.)

Let  $\mathcal{A} = \langle S, s_0, \tau \rangle$  be a structure of  $W$ -automata. We define  $M(\mathcal{A})$  to be the set of all functions

$$\tau_w : S \rightarrow S$$

defined for any  $w \in W$  (using the suffix notation for functions) by:

$$s\tau_w =_{df} \tau(s, w) \quad .$$

$M(\mathcal{A})$  is a monoid of functions since  $\tau_\lambda$  (where  $\lambda$  is the identity element of  $W$ ) is the identity on  $S$  and for any  $w_1, w_2 \in W$  we have:

$$s\tau_{w_1 w_2} = s\tau_{w_1} \cdot \tau_{w_2} \quad .$$

This implies the existence of a morphism  $\rho_\tau$  of  $W$  with  $W/\rho_\tau = M(\mathcal{A})$  which is defined by:

$$\rho_\tau(w) =_{df} \tau_w \quad .$$

Clearly, if  $S$  is finite then  $M(\mathcal{A})$  is finite and for any  $S_F \subseteq S$  if we define

$$S_F^\tau =_{df} \{\tau_w : s_0 \tau_w \in S_F\}$$

we get, for a finite  $S$ , a  $W$ -regular system  $\langle \rho_\tau, S_F^\tau \rangle$  which generates  $T(\mathcal{A}, S_F)$ .

Let us define a system  $\mathcal{N} = \langle S, \pi \rangle$  to be a structure of (finite-state) non-deterministic  $W$ -automata iff:

- (i)  $S$  is a (finite) set,
- (ii)  $\pi : S \times W \rightarrow \mathcal{P}(S)$  is a mapping from  $S \times W$  into the class of all subsets of  $S$ ,  $\mathcal{P}(S)$ , satisfying

$$\pi(s, w_1 w_2) = \bigcup \{\pi(s', w) : s' \in \pi(s, w_1)\} \text{ for all } s \in S$$

and  $w_1, w_2 \in W$ .

We associate with  $\mathcal{N}$  the monoid  $M(\mathcal{N})$  of the binary relations  $\pi_w$  defined in  $S$  for any  $w \in W$  by:

$$(s_1, s_2) \in \pi_w \text{ iff }_{df} s_2 \in \pi(s_1, w) \quad .$$

From requirement (ii) follows that for any  $w_1, w_2 \in W$  we have:

$$\pi_{w_1 w_2} = \pi_{w_1} \cdot \pi_{w_2} \quad ,$$

and therefore  $M(\mathcal{N})$  is closed under the complex-product of binary relations and  $\pi_\lambda$  is its identity element. Thus  $M(\mathcal{N})$  is indeed a monoid of binary relations and it is the morphic image of  $W$  under  $\rho_\pi$  which is defined by:

$$\rho_\pi(w) =_{df} \pi_w \quad .$$

For any  $S_0, S_F \subseteq S$  we say that the non-deterministic  $W$ -automaton  $\langle S_0, \mathcal{N}, S_F \rangle$  defines the event

$$T(S_0, \mathcal{N}, S_F) =_{df} \{w \in W : \pi(w) \in S_F \text{ for some } s \in S_0\} \quad .$$

Obviously, we get:

$$\begin{aligned} T(S_0, \mathcal{N}, S_F) &= \{w \in W : \pi_w \cap (S_0 \times S_F) \neq \emptyset\} \\ &= \{w \in W : \rho_\pi(w) \in [S_0, \pi, S_F]\} \end{aligned}$$

where  $[S_0, \pi, S_F] =_{df} \{\pi(w) \in M(\mathcal{N}) : \pi(w) \cap (S_0 \times S_F) \neq \emptyset\} \quad .$

Hence, if  $S$  is finite then  $T(S_0, \mathcal{N}, S_F)$  is generated by the  $W$ -regular system  $\langle \rho_\pi, [S_0, \pi, S_F] \rangle$ . Thus, similar to the "free" automata, we can regard the non-deterministic (finite-state)  $W$ -automata (as defined here) as a special case of  $W$ -regular systems where the range of their structure is a monoid of binary relations defined in a certain (finite) set.

### 1.3 THE EFFECT OF HOMOMORPHISMS ON W-REGULAR SYSTEMS

Theorem 4 leads us to the following definition:

DEFINITION 2: A morphism  $\psi$  of  $W$  is said to be a morphism of the  $W$ -regular system  $\mathcal{A} = \langle \rho, F \rangle$  iff  $\psi$  is a morphism of  $\rho$ . In this case, the  $W$ -regular system  $\langle \rho/\psi, F \rangle$  is said to be the morphic image of  $\mathcal{A}$  (under  $\psi$ ), or alternatively, the  $\psi$ -image of  $\mathcal{A}$  and will be denoted by  $\mathcal{A}/\psi$ .

And it implies directly:

LEMMA 9: Let  $\psi$  be a morphism of the  $W$ -regular system  $\mathcal{A} = \langle \rho, F \rangle$  and let  $E \subseteq W$ ; then  $E$  is generated by  $\mathcal{A}$  iff  $\psi(E)$  is generated by  $\mathcal{A}/\psi$ .

In this section we study the effects of the morphisms of  $W$  on  $R_W$ . Later we shall study the properties of the morphisms of  $W$ -regular systems.

Our main result is summarized by the following theorem:

THEOREM 10: Let  $\phi$  be a morphism of  $W$  and let  $E \subseteq W$ . Then  $\phi(E)$  is regular in  $W/\phi$  iff  $c_\phi(E)$  is regular in  $W$ .

In particular we have:

(i) if  $E_2$  is generated by the  $W/\phi$ -regular system  $\mathcal{A}' = \langle \rho, F \rangle$  then  $\phi^{-1}(E_2) = c_\phi(\phi^{-1}(E_2))$  is generated by the  $W$ -regular system  $\mathcal{A} = \langle \rho \circ \phi, F \rangle$ ;

(ii) if  $E$  is any subset of  $W$  such that  $c_\phi(E)$  is generated by the  $W$ -regular system  $\mathcal{A} = \langle \rho, F \rangle$  then  $\phi(E) = \phi(c_\phi(E))$  is generated by the  $W/\phi$ -regular system  $\mathcal{A}' = \langle \phi/(\phi \otimes \rho), (\rho/(\phi \otimes \rho))(F) \rangle$ .

PROOF: Immediate; for the proof of (ii) apply Theorem 4, Lemma 9 and Theorem 2 ((iii) & (v)).



As an immediate but important corollary we get:

COROLLARY 10.1: If  $W$  is a finitely generated monoid, say by  $V$ , and  $E$  is a subset of  $W$  then:  $E$  is regular in  $W$  iff  $\phi^{-1}(E)$  is a ("free") regular event over  $V$  as an alphabet, where  $\phi$  is the natural homomorphism of the free monoid generated by  $V$  onto  $W$ .

These results motivate us to consider the two classes of events introduced in the following two definitions.

DEFINITION 3: Let  $\phi$  be a morphism of  $W$ ; we denote by  $\phi R_W$  the class of the  $\phi$ -images of the regular events in  $W$ . That is:

$$\phi R_W =_{df} \{ \phi(E) : E \in R_W \} \quad .$$

Clearly, Theorem 10 implies:

COROLLARY 10.2: If  $\phi$  is a morphism of  $W$  then

$$R_{W/\phi} \cong \phi R_W \quad .$$

In Lemma 1 we noticed that  $\phi^\varepsilon$ , which is  $\phi$  operating on the subsets of  $W$ , is a one-to-one correspondence between  $C_\phi[W]$  and  $\mathcal{Q}(W/\phi)$ . Now, from Theorem 10 we infer:

COROLLARY 10.3: If  $\phi$  is a morphism of  $W$  then  $\phi^\varepsilon$  (restricted appropriately) is a one-to-one correspondence between  $R_W \cap C_\phi[W]$  and  $R_{W/\phi}$ .

DEFINITION 4: Let  $\phi$  be a morphism of  $W$ ; we denote by  $C_\phi(R_W)$  the class of all the  $\phi$ -closures of the regular events in  $W$ . That is:

$$C_\phi(R_W) =_{df} \{ c_\phi(E) : E \in R_W \} \quad .$$

Clearly, we have:

LEMMA 11: If  $\phi$  is a morphism of  $W$  then  $\phi^\varepsilon$  (restricted appropriately) is a one-to-one correspondence between  $C_\phi(R_W)$  and  $\phi R_W$ .

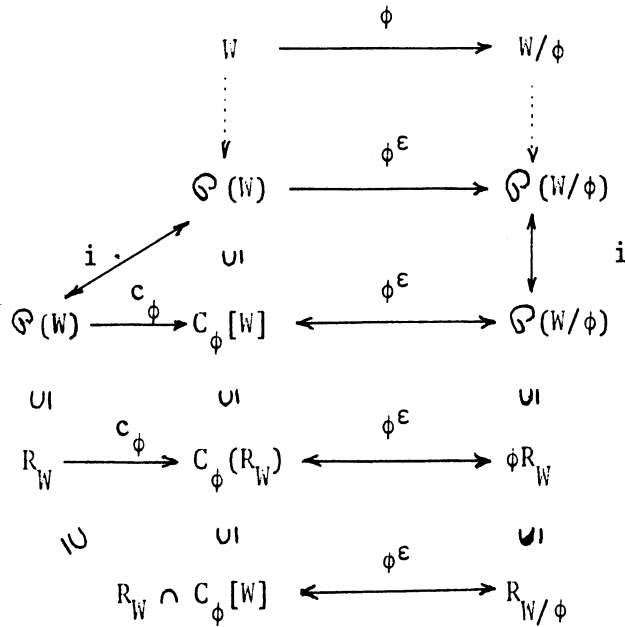
Thus, we get the following conclusion of Theorem 10:

THEOREM 12: Let  $\phi$  be a morphism of  $W$  then

$$\phi R_W = R_{W/\phi} \quad \text{iff} \quad C_\phi(R_W) = R_W \quad ;$$

that is, iff  $c_\phi$  is regularity-preserving in  $W$ .

We can summarize these results by the following diagram:



where:

- (i)  $\phi^\varepsilon$  is  $\phi$  operating on the subsets of  $W$ , i.e.,  

$$\phi^\varepsilon(S) =_{df} \{\phi(s) : s \in S\} \quad ;$$
- (ii)  $i$  is the identity on its contexts;
- (iii)  $c_\phi$  is the operation of  $\phi$ -closure;
- (iv)  $\longleftrightarrow$  shows one-to-one correspondence.

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