A New SiC-Whisker-Reinforced Lithium Aluminosilicate Composite

Liang A. Xue* and I-Wei Chen*

Department of Materials Science and Engineering, University of Michigan, Ann Arbor, Michigan 48109--2136

The glass-ceramic matrix of the well-known lithium aluminosilicate (LAS)/SiC composite is usually formulated near the spodumene composition. We report here a new composition which is rich in alumina (78 wt%) and lean in silica (21 wt%) and liithia (1 wt%). This formulation offers a new option of converting the glass-ceramic matrix to a mullite/alumina matrix upon annealing above 1400°C, and hence better creep resistance and other high-temperature mechanical properties. Using a transient-phase processing method that we developed previously for the superplastic forming of mullite, we are able to hot-press a composite containing 30 vol% SiC whiskers at ~1350°C to achieve full density. Flexural strength measurements up to 1400°C have confirmed the improved high-temperature strength and creep resistance over conventional LAS. The fracture toughness is also higher than that of LAS. The results suggest that the new composition may be chosen as a better candidate matrix for SiC-fiber-reinforced composites.

I. Introduction

Silicon carbide fibers, monofilaments, and whiskers have been commonly used in the reinforcement of glasses and glass-ceramics.12 This produces strong, tough, and refractory ceramic composites. Lithium aluminosilicate (LAS) based glass-ceramics are widely used as the matrix material. These LAS matrices, generally formulated near the spodumene composition, have good processability and a low thermal expansion coefficient which is compatible with SiC fibers. Although current generation of this family of composites suffers loss of strength due to fiber degradation above 1000°C, an inherent limitation of these LAS composites is also placed by the softening temperature of the matrix which is also around 1000°C.

The search for an alternative improved matrix material among more refractory oxides and glass-ceramics encounters inherent difficulty. On one hand, since silicon carbide fibers will probably suffer from chemical and phase stability problems when heated above 1450°C,6 none of the crystalline refractory oxides can be used in view of their poor processability below this temperature. On the other hand, if glass-ceramics remain as the choice of the matrix materials, they will always have insufficient strength at higher temperatures.

Recently, we developed a transient-phase processing method to realize large strain shape forming of mullite and mullite/alumina composites.1,9 In the original process, a superplastic (i.e., one with very good processability) pre-mullite composition is first formed at 1320°C by sintering alumina and silica with the addition of <1 wt% liithia. The densified pre-mullite is then shape-formed at 1350°C and subsequently annealed at >1400°C to achieve crystallization to mullite. The same process has been extended to cover alumina-rich compositions.6 The mullite/alumina composite thus obtained has a strength (~200 MPa) comparable to that of mullite and much higher than that of LAS at temperatures up to 1300°C.9

In the present study, we have examined the feasibility of using this transient-phase processed mullite/alumina as the matrix for SiC-fiber- or whisker-reinforced composite. A composition of 78 wt% alumina, 21 wt% silica, and 1 wt% liithia (corresponding to 80 vol% mullite and 20 vol% alumina) was used. Direct comparison of flexural strength and toughness was made between this composition and a state-of-the-art LAS (LAS-III), with and without 30 vol% SiC fiber and those results show that the SiC-whisker-reinforced composite the new composition has a processability comparable to that of LAS-III. Significantly improved toughness and high-temperature strength over that of LAS-III are demonstrated.

II. Experimental Procedure

The starting materials for the new composition (hereafter referred to as MA) were a high-purity alumina powder with an average particle size of ~0.2 μm (AKP-50, Sumitomo Chemical America, Inc., New York) and a high-purity amorphous silica with an average particle size of ~0.04 μm (AerosilOX50, Degussa Corp., Ridgefield Park, NJ). The SiC whiskers used (Tateho Chemical Industries, Japan) were primarily cubic β-SiC with an average diameter of 0.4 μm and an aspect ratio of 30--40.

Silica powder was first dispersed with the aid of a surfactant (Darvan 821A, R. T. Vanderbilt Co. Inc., Norwalk, CT) in ammonia-contained distilled water under ultrasonic agitation. To the dispersed suspension, an aqueous solution of lithium nitrate was added. The amount of additive introduced was equivalent to 1 wt% of liithia in a base of 80 vol% mullite with 20 vol% alumina (78 wt% alumina with 21 wt% silica). The slurry was dried and calcined at 1050°C for 30 min. The doped silica powder was dispersed again and mixed with alumina powder (and SiC whisker for composites) by attrition-milling, this time, in 2-propanol. The slurry of the alumina/silica mixture was then cast, under an argon pressure of 1 MPa, into cakes of 47-mm diameter, which were dried slowly in air and finally in an oven at temperatures up to 150°C. (The casting time was less than 5 min for a cake of 5-mm thickness.) After isostatic pressing, the cakes were hot pressed in argon using a graphite die. Those with 30 vol% SiC whisker addition were processed at 1375°C and at 40 MPa for 1.5 h, those without at 1325°C and at 30 MPa for 0.5 h. The specimens thus obtained reached a relative density of >99% as determined from porosity measurements using standard metallographic lineal analysis. Some samples were further subjected to an annealing treatment at 1450°C for 0.5 h in argon to effect crystallization to mullite. The whisker-reinforced composites are referred to as MA30W and MA30WA, which correspond to the as-hot-pressed and annealed samples, respectively.

The preparation of LAS-III matrix composites was more straightforward. The as-received LAS-III powder (Corning Glass Works, Corning, NY) had an average particle size of ~10 μm and a proprietary lithium aluminosilicate composition. The particle size was reduced to <2 μm after attrition-milling (and with SiC whisker in the case of composites) in 2-propanol. The slurry was then pressure cast and dried as described above. Full
densification of the samples was achieved by hot-pressing (1260°C, 30 MPa, 1 h for 30-vol%-whisker-reinforced LAS, and 1200°C, 10 MPa, 0.25 h for unreinforced LAS). Some specimens were further annealed at 1150°C for 8 h in argon to achieve crystallization. LAS/SiC composites are referred to as LAS30W and LAS30WA, which correspond to the as-hot-pressed and annealed samples, respectively.

X-ray diffraction (XRD) using Ni-filtered CuKα radiation was employed to monitor the phase evolution. The microstructures of the samples were characterized by scanning electron microscopy (SEM). For the composites, thermal expansion coefficient (20–1082°C) measurements were conducted in argon before and after annealing using a dilatometer in a direction perpendicular to the hot-pressing axis. The Vickers indentation technique was used to measure hardness and fracture toughness, by applying a load of 9.8–29.6 N for the unreinforced matrix materials, and 29.6–98 N for the composites. Flexural strength measurements up to 1400°C were performed in air in four-point bending with an 8-mm inner span and a 16-mm outer span. The specimens, with dimensions of 1.7 mm × 2.8 mm × 20 mm, have their tensile face perpendicular to the hot-pressing axis.

III. Results

(1) Phase Evolution

The as-received LAS-III powder was in an amorphous state as analyzed by XRD. During hot-pressing, substantial crystallization occurred. This is illustrated in Fig. 1 for whisker-reinforced LAS-III, using XRD patterns of as-hot-pressed and annealed samples. The extent of crystallization of the mainly β-spodumene phase and a small amount of other lithium aluminosilicate phases, such as Li2Al5Si2O12, was nearly complete in the as-hot-pressed sample. Further annealing at 1150°C for 8 h produced little change in the XRD pattern.

The phase evolution of the MA matrix follows an entirely different course. In the green state before hot-pressing, it contained alumina (corundum), silica (a mixture of cristobalite and quartz), and a small amount of lithium silicate (Li2Si2O5). After hot-pressing, the crystalline phases detected by XRD were alumina and cristobalite. Subsequently, when subject to annealing at > 1400°C, cristobalite and alumina reacted to form mullite. This change is shown in Fig. 2, where XRD patterns of the whisker-reinforced MA, before and after annealing, are compared. We note that the final phase assemblage of the annealed MA composition was determined to be 72.7 wt% mullite and 27.3 wt% alumina, by referring to standards prepared from alumina–mullite mixtures. Also note that in all of the XRD patterns presented, the SiC reflections (β-SiC) remain unchanged, indicating SiC whiskers are stable under the processing conditions. Thus, a highly refractory crystalline ceramic matrix of mullite/alumina can be obtained from the more easily processed alumina/silica glass-ceramics without affecting SiC whiskers.

The average thermal expansion coefficient values of the composites before and after annealing as measured by a dilatometer in the temperature range 20–1082°C are listed in Table I. For comparison, the values for alumina, mullite, and SiC are also included.

Table I. Thermal Expansion Coefficients

<table>
<thead>
<tr>
<th>Material</th>
<th>Thermal expansion coefficient (× 10⁻⁶/°C)</th>
</tr>
</thead>
<tbody>
<tr>
<td>LAS30W</td>
<td>4.1</td>
</tr>
<tr>
<td>LAS30WA</td>
<td>4.0</td>
</tr>
<tr>
<td>MA30W</td>
<td>7.6</td>
</tr>
<tr>
<td>MA30WA</td>
<td>6.2</td>
</tr>
<tr>
<td>Alumina</td>
<td>8.8</td>
</tr>
<tr>
<td>Mullite</td>
<td>5.6</td>
</tr>
<tr>
<td>β-SiC</td>
<td>4.5</td>
</tr>
</tbody>
</table>

(2) Mechanical Properties

The fracture toughness and hardness values for LAS-III and MA, and their SiC-whisker-reinforced composites, are listed in Table II. The hardness of MA is much higher than that of LAS-III so that only a relatively small percentage increase in the hardness of the MA composites is realized with the SiC reinforcement. Interestingly, a noticeable decrease in the hardness of both MA and its SiC composite was noted after annealing. On the other hand, the increases in fracture toughness caused by the SiC addition are significant for all materials, with a typical improvement around 2 MPa-m¹/₂. The toughness of the LAS matrix composite is comparable to that (2.1–4.5 MPa-m¹/₂) found in SiC-whisker-reinforced glass and glass-ceramic composites. The toughness of the MA composite is lower than that reported (5.6–9.5 MPa-m¹/₂) for SiC-whisker-reinforced alumina but is similar to that (2.8–4.6 MPa-m¹/₂) reported for SiC-whisker-reinforced mullite composites. This seems reasonable because the matrix of the MA composite is alumina/silica before annealing (MA30W) and mullite/alumina (MA30WA) after annealing.

Table II. Hardness and Toughness Values of LAS-III, MA, and Their Composites

<table>
<thead>
<tr>
<th>Material</th>
<th>Hardness (GPa)</th>
<th>Toughness (MPa-m¹/₂)</th>
</tr>
</thead>
<tbody>
<tr>
<td>LAS-III as-hot-pressed</td>
<td>7.1</td>
<td>1.1</td>
</tr>
<tr>
<td>LAS-III annealed</td>
<td>7.1</td>
<td>1.2</td>
</tr>
<tr>
<td>LAS30W</td>
<td>9.5</td>
<td>3.0</td>
</tr>
<tr>
<td>LAS30WA</td>
<td>9.6</td>
<td>2.9</td>
</tr>
<tr>
<td>MA as-hot-pressed</td>
<td>12.4</td>
<td>2.5</td>
</tr>
<tr>
<td>MA annealed</td>
<td>11.7</td>
<td>1.9</td>
</tr>
<tr>
<td>MA30W</td>
<td>14.6</td>
<td>3.7</td>
</tr>
<tr>
<td>MA30WA</td>
<td>13.2</td>
<td>3.8</td>
</tr>
</tbody>
</table>
The flexural strengths of LAS-III and its composite are plotted as a function of temperature in Fig. 3. The strength of the unreinforced LAS-III is low, only slightly above 100 MPa. It remains at this level until 1000°C, where it begins to fall rapidly at higher temperatures. Semibrittle behavior during fracture was observed for the unreinforced LAS at temperatures above 1000°C, at which point the glass softens, as evidenced by the slight deviation from linearity of the load–bending deflection curve. The introduction of 30 vol% SiC whisker greatly enhances the mechanical strength of the LAS. The room-temperature flexural strength has doubled. Furthermore, the strength becomes higher at intermediate temperatures, reaching ~400 MPa at 1000°C, above which it decreases rapidly. Such a peak in strength at an intermediate temperature has also been observed in SiC-fiber-reinforced glass and glass-ceramic composites. \(^1\)–\(^3\) Semibrittle behavior was also observed above 1100°C. No significant difference in the strength behavior was observed between the as-hot-pressed and annealed samples, with or without SiC. This is not surprising, in view of the similar phase assemblage, as shown by XRD in the two sets of materials.

The flexural strength for MA and its composite are plotted as a function of temperature in Fig. 4. The room-temperature strengths of both as-hot-pressed and annealed MA samples are similar and about 3 times that of the LAS. An increase in strength with temperatures, reaching 500 MPa at 1200°C, is evident in the as-hot-pressed MA; above that the strength falls rapidly. In contrast, the strength of the annealed MA is nearly temperature independent up to 1000°C. It then decreases gradually but still maintains a strength of 200 MPa at 1300°C, which is comparable to the strength of LAS30W at room temperature. The SiC whisker reinforcement further enhances the strength of MA. At room temperature, the as-hot-pressed MA30W has a strength of about 800 MPa. This strength decreases monotonically with temperature, reaching 550 MPa at 1200°C and 100 MPa at 1400°C. For the annealed material (MA30WA), the room-temperature strength of ~600 MPa is twice as high as that of LAS30W/LAS30WA but lower than that of the as-hot-pressed material. This strength is maintained at least until 1000°C and, more significantly, a rather high strength around 300 MPa is still obtained at 1400°C. Fracture of the as-hot-pressed MA and MA30W manifests some semibrittle features at temperatures above 1200°C, but is essentially brittle in the annealed MA and MA30WA until 1400°C.

(3) Microstructure and Fracture Paths

Typical microstructures of the composites are revealed in Fig. 5, which consists of fractographs of four composites, SiC appears as elongated whiskers in these micrographs. In the as-hot-pressed state, the grain size of the LAS-III matrix (1–2 μm as in Fig. 5(A)) is much coarser than that in the MA matrix (0.3–0.6 μm as in Fig. 5(B)). This difference in grain size may be attributed to the difference in the particle sizes of the starting powders. After annealing, the grain size of the LAS matrix remains almost unchanged (Fig. 5(C)) while that of the MA matrix coarsens to some extent (~1 μm as in Fig. 5(D)). For the LAS-III composites, the fracture modes in both as-hot-pressed and annealed samples are similar, as expected from their similar phase assemblages, being a mixture of intragranular and intergranular ones. For the MA composite, intergranular fracture clearly dominates in the as-hot-pressed samples where the matrix contains alumina, cristobalite, and glass. In the annealed composite, this changes into an intragranular mode which is characteristic of fracture commonly observed in alumina and mullite. Whisker debonding or pull-out, while not extensive, can be found in all cases.

Representative SEM micrographs of polished surfaces of both the LAS and MA composites are shown in Fig. 6. The polished surfaces are perpendicular to the hot-pressing axis. Whisker agglomeration is evident in both materials as expected at the 30 vol% loading. The strong contrast between the matrix and whisker in the LAS composite is due to the presence of a heavy metal ion niobium (~5%) in the matrix. Whisker debonding, crack bridging, and crack deflection can be observed in all materials.

IV. Discussion

(1) Transient-Phase Processing

The concept of using transient-phase processing to obtain a processable and creep-resistant matrix material for the SiC whisker composite is found feasible. The resultant composite is stiffer, harder, and tougher than the conventional LAS/SiC composite at all temperatures, and the advantage is most evident and important above 1000°C. Although the scope of the present work is limited to SiC whisker reinforcement, we believe the same concept can be successfully extended to continuous fiber reinforcement using coated fibers of mainly SiC phase assemblage. \(^1\)–\(^3\) (The relative thermal expansion coefficient and chemical compatibility are important, hence, the choice of SiC.) At room temperature, further improvement would be expected in the tensile deformation behavior in the fiber direction where fiber reinforcement will result in a more "graceful" fracture behavior. At elevated temperatures, the advantage of the more refractory mullite/alumina matrix should still be realized.

Although the concept of transient-phase processing rests primarily on the slow crystallization kinetics of mullite from SiO\(_2\) and Al\(_2\)O\(_3\), the addition of lithia proves important in practice. As we described in more detail elsewhere, \(^4\) the unmodified SiO\(_2\) has a very high flow stress, even at 1350°C, and thus is not suitable for processing composites. Lithia can modify the SiO\(_2\) network to lower its viscosity, which, at the same time, also
facilitates crystallization of mullite. A processing window nevertheless has been found within which densification is sufficiently fast and mullitization is essentially absent. The present work was performed with the above knowledge on lithium composition and processing conditions in mind.

It is noted that the mullite/alumina matrix itself is not particularly tough. This is evident from the data presented in Table II. This limitation is an inherent one because mullite is not one of the tougher ceramics. For this reason, there has not been much motivation for using either mullite or mullite/alumina as the matrix for whisker-reinforced composites. Instead, alumina/SiC whisker composites are known to deliver much better toughness. Such composites, however, typically require a very high hot-pressing temperature, in excess of 1600°C, compared to 1375°C in the present case using the transient-phase processing method. Since continuous SiC-type fibers usually have poorer stability than single-crystalline SiC whiskers at higher temperatures, it is probably not feasible to use an alumina matrix in the case of continuous fiber composites.

Lastly, the very nature of slow kinetics of mullitization implies that a certain amount of residual glassy phase will still remain even after annealing. This phase is the ultimate factor limiting the high-temperature strength of the mullite-type matrix. It may also affect the load transfer between reinforcement and the matrix, and the magnitude of thermal stresses in the composite. Presumably, further means for optimization of the composite properties can be suggested through a better characterization and understanding of these aspects.

(2) Role of Thermal Stresses in MA Composites

One factor that affects thermal–mechanical behavior of the LAS/SiC composites and the MA/SiC composites is the coefficient of thermal expansion (CTE). In the order of decreasing coefficient, the various phases and composites can be ranked as

\[
\text{alumina} > \text{MA} > \text{MA(annealed)} > \text{mullite} > \text{SiC} > \text{LAS} > \text{LAS(annealed)}
\]

The above sequence should be obvious by inspection of the data in Table I. This, along with the different softening temperature of the two matrices, is used in the following to rationalize some observations on the mechanical properties.
First, we note that the MA matrices have higher CTE than SiC. Hence, they are expected to be in tension when cooled to room temperature. The magnitude of the residual stress, however, is dependent on the difference between the softening temperature and room temperature. Since annealing is expected to remove most of the glass phase in the MA matrix, leaving essentially mullite and alumina, the softening temperature and hence tensile residual stress in the MA30WA composite are probably higher than those in the MA30W composite. If so, then the difference in the flexural strength of MA30W and MA30WA at room temperature might be partly attributed to the difference in the matrix residual tensile stress in these materials. Along the same line of reasoning, we may expect some microcrack formation in the annealed MA and MA30WA, which have a lower hardness than their unannealed counterparts. Similar strength degradation after annealing has also been reported for glass-containing silicon nitride/SiC whisker composites in which crystallization has occurred. In this case, mismatch due to volume change during crystallization was suggested to cause microcracking, which is also likely to take place during crystallization of the present sets of MA composites.

V. Conclusions

(1) Through the use of a transient-phase processing method, a SiC-whisker-reinforced composite with a matrix (MA) composed of alumina, cristobalite, and a pre-mullite glass has been obtained. The composite can be heat-treated at 1450°C to convert the matrix to a mullite/alumina mixture.

(2) The MA composite has superior mechanical properties over the LAS composite. It is stiffer, harder, and tougher at all temperatures. It maintains a relatively high flexural strength of ~300 MPa at 1400°C which cannot be obtained in the comparable LAS composite above 1100°C. The coefficient of thermal expansion, however, is higher in the MA composite than in the LAS composite.

(3) The MA composition, when aided with the transient-phase processing method, may be chosen as a candidate matrix for composites. Its combination with the Nicalon fibers is especially recommended.

(4) Based on the considerations of thermal expansion coefficients and softening points in the MA- and LAS-based composites, significant effects of residual thermal stress, microcracking, and residual glassy phase on strength behavior are suggested.

Acknowledgment: We are grateful to Dr. Kenneth Chyung of Corning Glass Works for helpful discussions on the composition and processing conditions of LAS-Ill and for supplying the LAS-Ill powder.

References