

Inhibition of Neutral Amino Acid Transport Across the Human Blood–Brain Barrier by Phenylalanine

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Abstract: The delivery of large neutral amino acids (LNAAs) to brain across the blood–brain barrier (BBB) is mediated by the L-type neutral amino acid transporter present in the membranes of the brain capillary endothelial cell. In experimental animals, the L-system transporter is saturated under normal conditions, and therefore an elevation in the plasma concentration of one LNAAs will reduce brain uptake of others. In this study, we used positron emission tomography (PET) to determine the effect of elevated plasma phenylalanine concentrations on the uptake of an artificial neutral amino acid, [¹¹C]-aminocyclohexanecarboxylate ([¹¹C]ACHC), in human brain. PET scans were performed on six normal male subjects after an overnight fast and again 60 min after oral administration of 100 mg/kg of phenylalanine. The plasma phenylalanine concentration increased by an average of 11-fold between the first and second scans. This increase produced a reduction in [¹¹C]ACHC uptake in all brain regions but not in scalp. The mean \pm SD influx rate constant for whole brain decreased after phenylalanine ingestion from 0.036 ± 0.002 to 0.019 ± 0.004 ml/g/min. Kinetic analysis of the effect of plasma phenylalanine concentration on the rate of [¹¹C]ACHC uptake is compatible with a model of competitive inhibition so that large increases in the concentration of one LNAAs in plasma will reduce the brain uptake of other LNAAs across the human BBB. **Key Words:** Aminocyclohexanecarboxylate—Positron emission tomography—L-system transporter—Essential amino acids.

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Because the blood–brain barrier (BBB) isolates the brain from the blood, the delivery of many essential metabolic substrates to brain is dependent on carrier-mediated transport systems that are present in the membranes of the brain capillary endothelial cell (Pardridge, 1983; Goldstein and Betz, 1986). Large neutral amino acids (LNAAs) belong to this group of essential substrates because they cannot be produced by brain, but they are required for the synthesis of proteins and certain neurotransmitters. Indeed, it has been suggested that the availability of amino acid precursors from the blood may limit the synthesis of serotonin, histamine,

phenylethylamine, tyramine, norepinephrine, kynurenic acid, and quinolinic acid (reviewed elsewhere by Pardridge, 1986; Smith, 1991).

LNAAs enter brain via an L-type neutral amino acid transporter that is present in the BBB (Pardridge, 1977, 1983; Smith et al., 1987; Smith, 1991). This transporter has affinity for many naturally occurring amino acids, which compete with each other for entry into brain. Based on the results of kinetic analyses of LNAAs transport in animals, it has been proposed that the L-system transporter of the BBB is nearly saturated under normal conditions, and therefore an elevation in the plasma concentration of one LNAAs will reduce brain uptake of others (Pardridge, 1986; Smith et al., 1987; Smith, 1991). Because phenylalanine has the highest affinity for the transporter (Smith et al., 1987; Hargreaves and Pardridge, 1988), changes in its concentration have the greatest impact on the uptake of other LNAAs. If this relationship is also true in humans, it may help to explain the brain dysfunction that occurs in phenylketonuria (Kaufman, 1977; Pardridge, 1986) and the inconsistent response seen in some patients with Parkinson's disease who are treated with L-DOPA (which is also transported by the L-system) at various times after meals (Daniel et al., 1976; Carter et al., 1989). It is also compatible with the proposal that the ingestion of large amounts of the low-calorie sweetener aspartame (L-aspartyl-L-phenylalanine methyl ester) might increase plasma phenylalanine concentrations enough to affect brain amino acid metabolism (Pardridge, 1986). However, in a previous study of LNAAs transport into the human brain, we found that a 2.5-fold increase in plasma phenylalanine concentration resulting from the ingestion of an ex-

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Abbreviations used: ACHC, aminocyclohexanecarboxylate; BBB, blood–brain barrier; DV, distribution volume; LNAAs, large neutral amino acid; PET, positron emission tomography.

cessive amount of aspartame reduced the uptake of a synthetic LNAAs, [^{14}C]aminocyclohexanecarboxylate ([^{14}C]ACHC), by only 11.5% (Koeppel et al., 1991). Therefore, in the present study we determined the effect of a much larger change in plasma phenylalanine concentration on LNAAs transport into human brain by administering phenylalanine itself rather than aspartame.

MATERIALS AND METHODS

The preparation and use of [^{14}C]ACHC for positron emission tomography (PET) studies have been described previously (Koeppel et al., 1990). In brief, the radiotracer was synthesized via a Bucherer–Strecker reaction of cyclohexanone with [^{14}C]HCN in the presence of ammonium carbonate and ammonium chloride according to the method of Hayes et al. (1978). The yield after purification by ion exchange chromatography was ~ 100 mCi of [^{14}C]ACHC. This was diluted with normal saline and sterilized by filtration. The injection volume of 10 ml contained 30 mCi of [^{14}C]ACHC with an ACHC mass of < 5 mg. Radiochemical purity as judged by radio-HPLC was $> 98\%$. The absence of unreacted cyanide was confirmed by colorimetric assay before administration.

The research protocol was approved by institutional review boards (Committee for the Use of Human Subjects in Research and the Radioactive Drug Research Committee), and informed consent was obtained from each subject before their participation in the study. Six healthy male volunteers 21–43 years old were recruited from the local community by advertisement. Each underwent a brief history and physical and neurological examination and was judged to be in good health and without neurologic abnormalities.

After an overnight fast, subjects reported to the PET laboratory, and a catheter was placed in the radial artery. The subjects' heads were aligned parallel to the canthomeatal line and restrained by a band across the forehead attached to a headholder. Each subject then received 30 mCi of [^{14}C]ACHC i.v. over 30 s. PET scanning was performed using a Siemens model CTI 931/08-12 whole-body scanner. This device generates 15 cross-sectional images (eight direct and seven cross planes) and has an intrinsic resolution using the nonwobbled mode of 5.8 mm full width at half-maximum and a slice thickness of 6.5–8.0 mm. Baseline scans were obtained as a dynamic sequence of 12 frames over a 60-min period, i.e., 5×1 min, 2×2.5 min, 2×5 min, 2×10 min, and 1×20 min, after which the subjects were removed from the scanner and given 100 mg/kg of L-phenylalanine (General Nutrition Corp., Pittsburgh, PA, U.S.A.) orally. The dose of phenylalanine was divided so that 50 mg/kg was given in capsules and 50 mg/kg was given as a slurry in ~ 300 ml of tap water. This combination was found to give an optimal plasma phenylalanine curve. A pilot study of two subjects indicated that the rise in plasma phenylalanine level was too protracted if only phenylalanine capsules were used. At 60 min following administration of phenylalanine, the subjects received another 30 mCi dose of [^{14}C]ACHC, and a second PET scan was acquired with the same protocol.

During the PET scans, arterial blood was sampled for determination of radioactivity and plasma amino acid concentrations. Samples for radioactivity were obtained as rapidly as possible during the first 2 min following [^{14}C]ACHC

injection and then at progressively longer intervals, increasing to 10 min by the end of the scan. Plasma was separated by centrifugation and counted in a NaI scintillation well counter. Blood samples for amino acid analysis were withdrawn at -60 (immediately before the first scan), 0 (time of phenylalanine ingestion), 10, 20, 30, 45, 60 (time of second scan), 90, and 120 min, added to a small volume of EDTA, and placed on ice. Blood cells were removed by centrifugation, the plasma was deproteinized by centrifugation through an ultrafiltration membrane, and the filtrate was removed and stored at -70°C . The plasma concentrations of phenylalanine, tyrosine, methionine, leucine, isoleucine, and valine were subsequently determined by HPLC (Harihara et al., 1993).

A two-compartment, two-rate parameter tracer kinetic model was applied to the dynamic PET data (Koeppel et al., 1990). This model provides estimates of the uptake rate constant, K_1 (ml/g/min), describing the transport from plasma across the BBB, and the clearance rate constant, k_2 (1/min), describing the rate of transport from brain back to blood. The ratio of K_1/k_2 is a measure of the tracer distribution volume, DV (ml/g). Functional images of the uptake and clearance rate constants and DV were obtained from a rapid pixel-by-pixel estimation procedure using weighted integrals (Alpert et al., 1984). The first 3 min of data was excluded from the analysis to minimize the effects of blood-borne radioactivity (Koeppel et al., 1990). Mean K_1 and k_2 values were determined from seven predetermined brain regions as well as from whole brain and scalp.

The rate of transport (ν) of an amino acid in the presence of multiple competing amino acids can be described by the following equation, where C is the plasma concentration of the amino acid, i is the plasma concentration of each competing amino acid, V_{\max} is the maximal rate of transport, K_m is a measure of the affinity of the amino acid, K_d is its rate of simple diffusion from blood to brain, and K_i is the affinity of each of the competing amino acids (Smith et al., 1985):

$$\nu = \frac{V_{\max} C}{C + K_m \left(1 + \sum \frac{i}{K_i} \right)} + K_d C \quad (1)$$

When K_1 is independent of the rate of blood flow (Fenstermacher et al., 1981), $\nu = K_1 \cdot C$ and therefore

$$K_1 = \frac{V_{\max}}{C + K_m \left(1 + \sum \frac{i}{K_i} \right)} + K_d \quad (2)$$

In this study, ACHC is used at a tracer concentration. Therefore $C = 0$, and this equation can be rewritten as

$$K_1^{\text{ACHC}} = \frac{V_{\max}^{\text{ACHC}}}{K_m^{\text{ACHC}} \left(1 + \sum \frac{i}{K_i} \right)} + K_d^{\text{ACHC}} \quad (3)$$

The effect of varying the concentration of a single amino acid such as phenylalanine (Phe) is given by

$$K_1^{\text{ACHC}} = \frac{V_{\max}^{\text{ACHC}}}{K_m^{\text{ACHC}}} \cdot \frac{K_i^{\text{Phe}}}{\text{Phe} + K_i^{\text{Phe}} \left(1 + \sum \frac{i}{K_i} \right)} + K_d^{\text{ACHC}} \quad (4)$$

K_1^{ACHC} and the concentrations of phenylalanine and competing amino acids (i) are determined in our studies. Thus, if

the K_i values for the competing amino acids are known and K_i^{ACHC} is measured over a range of phenylalanine concentrations, then we can estimate K_i^{Phe} , K_d^{ACHC} , and $(V_{\text{max}}/K_m)^{\text{ACHC}}$ from Eq. 4 using standard nonlinear least-squares fitting procedures.

To increase the number of data points and to include subjects with an intermediate rise in plasma phenylalanine, we combined our previously reported results from subjects receiving aspartame (Koeppel et al., 1991) with those of the present study. Because the previous study was performed with a different PET scanner, the K_i values obtained in that study were normalized to the values obtained with the scanner used in the present study by using the ratio of mean baseline K_i values for the new and old scanners (0.036/0.031).

Solution of Eq. 4 requires knowledge of the concentration (i) and inhibition constants (K_i) for the competing amino acids. In most cases of competitive inhibition, the K_i of an inhibitor is the same as its affinity for the transporter, i.e., its K_m , as has been shown for the BBB LNAA transporter (Pardridge, 1977). As noted above, the concentrations of tyrosine, methionine, leucine, isoleucine, and valine were available, but neither K_i nor K_m values at the human BBB *in vivo* are known. We therefore performed the analysis using the values for K_m reported in the rat (Smith et al., 1987) and those reported for isolated human brain capillaries (Hargreaves and Pardridge, 1988).

Results for K_i , k_2 , DV, and levels of plasma amino acids are reported as mean \pm SD values. Statistical comparisons of values obtained before and after phenylalanine ingestion were made using a two-tailed Student's t test for paired samples.

RESULTS

Fasting phenylalanine levels were $46 \pm 8 \mu\text{M}$, and levels rose to $538 \pm 240 \mu\text{M}$ at 30 min following ingestion of 100 mg/kg of phenylalanine (Fig. 1A). The mean values were stable through 90 min and then declined. The concentration of tyrosine also rose after phenylalanine administration (Fig. 1B), reflecting the peripheral conversion of phenylalanine to tyrosine. At the time of the second PET scan, tyrosine content was increased approximately twofold ($p < 0.01$). The concentrations of the other LNAAs quantified were not significantly different at the time of the second scan.

Functional images of K_i and DV for a typical subject before and after phenylalanine administration are shown in Fig. 2, and mean \pm SD values for selected brain regions, whole brain, and scalp are shown in Table 1. Whole-brain values (mean \pm SD) for K_i decreased from 0.036 ± 0.002 to 0.019 ± 0.004 ml/g/min ($p < 0.0001$) after phenylalanine ingestion. All brain regions examined showed a similar reduction; however, K_i in the scalp was not significantly changed. In contrast, changes in k_2 , the rate constant for [^{14}C]-ACHC efflux from brain, were smaller. This might indicate that, over the interval of this study, the concentration of phenylalanine in the brain's interstitial fluid did not increase as substantially as it did in the blood.

The relationship between whole-brain K_i and plasma phenylalanine concentrations for the six subjects of

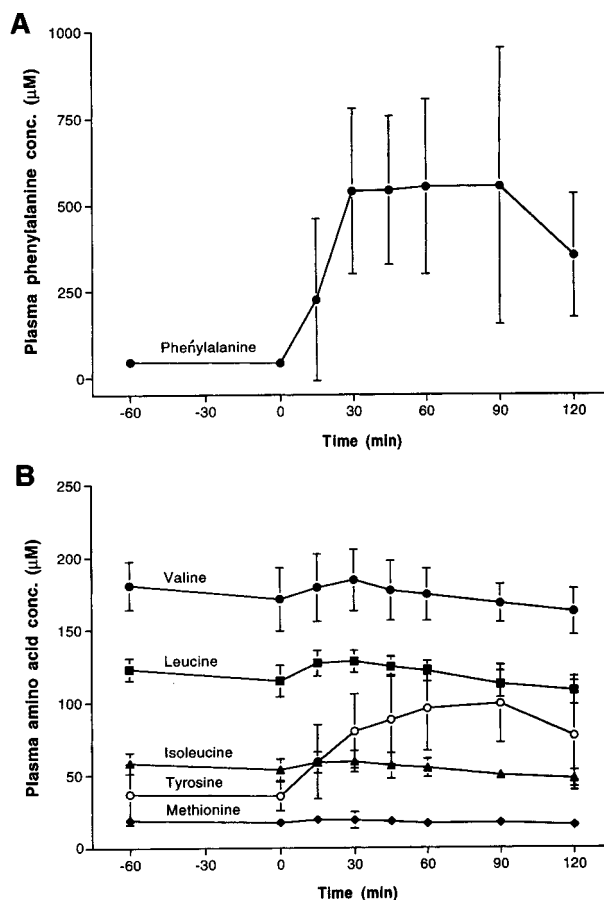
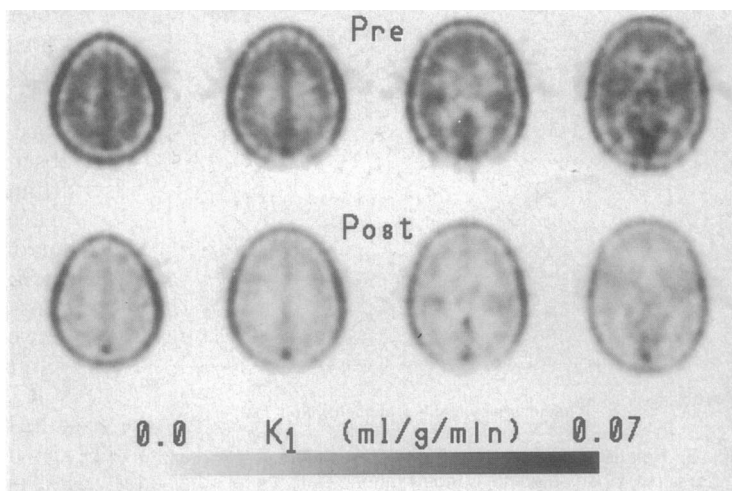


FIG. 1. Change in plasma LNAAs concentrations after oral administration of 100 mg/kg of phenylalanine. Blood samples were obtained 1 h before (-60 min) and at various times after ingestion of phenylalanine at zero-time. Data for phenylalanine (**A**) and other LNAAs (**B**) are mean \pm SD (bars) values of determinations from six subjects.

this study and another eight subjects that were given aspartame in a previous study is shown in Fig. 3. The concentration-dependent reduction in K_i is consistent with competitive inhibition of [^{14}C]-ACHC uptake by phenylalanine. To determine the kinetic constants for transport, we fitted these data to Eq. 4 using the K_m values for amino acids other than phenylalanine that have been reported in rats (Smith et al., 1987) and in microvessels isolated from human brain (Hargreaves and Pardridge, 1988). In general, the K_m values are eight- to 40-fold lower in the latter compared with the former study. As shown in Table 2, both analyses give similar values for the rate of ACHC diffusion into brain, values that are slightly greater than the 0.0060–0.0096 ml/g/min reported for ACHC diffusion into rat brain (Aoyagi et al., 1988). In addition, the K_i values calculated for phenylalanine are similar to the experimentally determined values of $11 \mu\text{M}$ in the rat (Momma et al., 1987; Smith et al., 1987) and $0.3 \mu\text{M}$ in isolated human brain microvessels (Hargreaves and Pardridge, 1988).

FIG. 2. Representative [¹¹C]ACHC PET scans for one subject before and 60 min after oral administration of phenylalanine. Images at four different levels show the marked reduction in the influx rate constant (K_1) for ACHC in most brain regions but not in the scalp.



DISCUSSION

The kinetics of LNAA amino acid transport across the BBB of various animals have been extensively studied. Smith et al. (1987) used an in situ rat brain perfusion technique to measure the unidirectional fluxes from the blood to the brain of labeled amino acids while eliminating or controlling the concentration of all other competing amino acids in the perfusate. The affinity of various LNAAs for the transporter varied from 11 μM for phenylalanine to >200 μM for valine. Using this same technique, the K_m of ACHC for the rat BBB transporter was determined to be 54 μM (Aoyagi et al., 1988), near that of methionine, isoleucine, and valine (Smith et al., 1987). For most LNAAs, the measured affinities are similar to or less than their usual plasma concentrations, and therefore one can predict that the transporter operates near its maximal rate under normal conditions. As a result, when the plasma concentration of one amino acid increases, the brain uptake of other amino acids decrease. This effect is greatest with increased plasma concentra-

tions for those amino acids that have the highest affinity for the transporter, such as phenylalanine.

It is not surprising that there are few prior studies of LNAA transport across the BBB of humans and that there are no kinetic studies of competitive inhibition in vivo. An early study by Oldendorf et al. (1971) indicated that the brain uptake of [⁷⁵Se]selenomethionine is reduced in phenylketonurics. Leenders et al. (1986) demonstrated reduced brain uptake of 6-[¹⁸F]fluoro-L-DOPA measured by PET in a patient who received an intravenous infusion of eight LNAAs. Bergström et al. (1987) used PET to demonstrate that the accumulation rate of L-[¹¹C]methionine was decreased by ~35% in normal brain after intravenous infusion of an amino acid mixture containing leucine, isoleucine, and valine. Using both a dual-probe positron detection system and PET, O'Tuama et al. (1988) measured the influx rate constant for L-[¹¹C]-methionine in normal subjects before and after the oral consumption of 100 mg/kg of phenylalanine. Influx decreased by an average of 33% following oral phenyl-

TABLE 1. Regional BBB transport rate constants and tissue DV values for ACHC pre- and postadministration of 100 mg/kg of phenylalanine

Region	K_1 (ml/g/min)		k_2 (l/min)		DV (ml/g)	
	Baseline	Post-Phe	Baseline	Post-Phe	Baseline	Post-Phe
Scalp	0.032 ± 0.004	0.028 ± 0.006	0.054 ± 0.004	0.053 ± 0.011	0.60 ± 0.06	0.55 ± 0.06 ^a
Whole brain	0.036 ± 0.002	0.019 ± 0.004 ^b	0.041 ± 0.004	0.034 ± 0.004 ^a	0.88 ± 0.06	0.55 ± 0.09 ^a
Frontal cortex	0.040 ± 0.003	0.019 ± 0.004 ^b	0.043 ± 0.004	0.035 ± 0.006 ^a	0.92 ± 0.07	0.56 ± 0.12 ^a
Parietal cortex	0.035 ± 0.002	0.016 ± 0.004 ^b	0.044 ± 0.003	0.032 ± 0.007 ^a	0.81 ± 0.05	0.49 ± 0.07 ^a
Temporal cortex	0.038 ± 0.003	0.019 ± 0.004 ^b	0.042 ± 0.003	0.036 ± 0.008	0.91 ± 0.07	0.54 ± 0.12 ^a
Thalamus	0.045 ± 0.003	0.022 ± 0.005 ^b	0.048 ± 0.003	0.039 ± 0.009 ^a	0.94 ± 0.10	0.56 ± 0.11 ^a
Caudate nucleus	0.036 ± 0.005	0.017 ± 0.002 ^b	0.036 ± 0.003	0.029 ± 0.005 ^a	1.00 ± 0.10	0.61 ± 0.12 ^a
Putamen	0.043 ± 0.003	0.019 ± 0.004 ^b	0.042 ± 0.005	0.032 ± 0.007 ^a	1.02 ± 0.05	0.62 ± 0.13 ^a
Cerebellar cortex	0.047 ± 0.003	0.021 ± 0.004 ^b	0.052 ± 0.005	0.037 ± 0.008 ^a	0.91 ± 0.12	0.58 ± 0.12 ^a

Data are mean ± SD values obtained from six subjects.

^a $p < 0.05$, ^b $p < 0.0001$, ^c $p < 0.005$ compared with the corresponding baseline value.

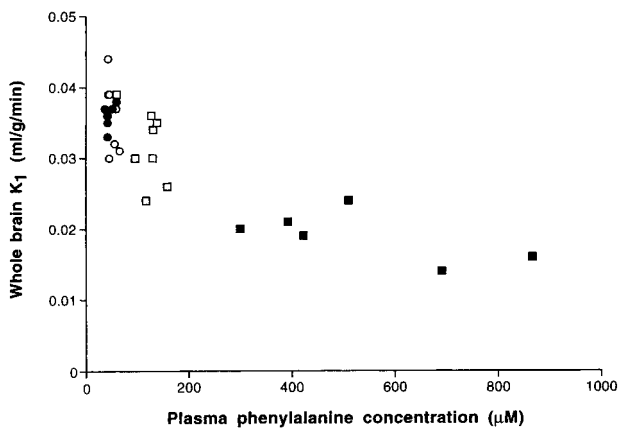


FIG. 3. Relationship between [^{14}C]ACHC influx rate constant and plasma phenylalanine concentration. Data were obtained from the six subjects reported in the present study before (●) and after (■) oral ingestion of phenylalanine and from a previously reported study (Koeppel et al., 1991) of eight subjects before (○) and after (□) oral administration of aspartame. Plasma phenylalanine concentrations were determined at the end of the baseline scan just before ingestion of phenylalanine or aspartame (zero-time) and 60 min after ingestion of phenylalanine or aspartame at the time the second scan was started.

alanine in four subjects analyzed by the dual-probe system, but it decreased in only two of three patients evaluated by PET. The brain uptake rate calculated from the data obtained in normal subjects using the dual-probe system (1.4 nmol/g/min) is similar to the rate of methionine transport across the BBB of the rat measured in the presence of normal plasma concentrations of other amino acids (1.7 nmol/g/min) (Smith et al., 1987).

Knudsen et al. (1990) used a double indicator technique to measure the uptake and efflux of radiolabeled phenylalanine, tryptophan, leucine, tyrosine, and arginine under basal conditions. They concluded that there was marked asymmetry of transport, with amino acid transport from brain to blood occurring at a rate that was eight to 12 times greater than from blood to brain. Subsequently, they showed that the efflux rate constant but not the influx rate constant for phenylalanine was reduced in patients with hepatic encephalopathy (Knudsen et al., 1993). In contrast, we did not observe very large differences in the influx and efflux rate constants for ACHC transport under baseline conditions (Table 1). This apparent discrepancy may be explained by the proposed asymmetry of BBB amino acid transporters. For example, one model has the A-system amino acid carrier on only the abluminal membrane and the L-system carrier on both the luminal and abluminal membranes (Betz and Goldstein, 1978, 1986). The amino acids studied by Knudsen et al. (1990) have affinity for both carriers, whereas ACHC has affinity for only the L-system (Matthews and Zand, 1977).

The kinetics of phenylalanine transport into mi-

crovessels isolated from human brain were studied by Choi and Pardridge (1986). The results of competitive inhibition studies using this *in vitro* preparation suggested the presence of two phenylalanine transport systems: one with a K_m of 22 μM , a value similar to that observed in the rat *in vivo*, and a second, very-high-affinity transport system ($K_m = 0.26 \mu\text{M}$). However, in a later study, Hargreaves and Pardridge (1988) measured the transport of eight LNAAs into isolated brain microvessels and concluded that the high-affinity transport system was more important. K_m values varied between 0.30 μM for phenylalanine and 8.8 μM for valine.

It is probably not possible to relate directly the results of *in vitro* studies using isolated cerebral capillaries with those of *in vivo* studies. In isolated capillaries, it is uptake across the abluminal rather than the luminal membrane that predominates, whereas transport across the luminal membrane is measured *in vivo*. Only the lower-affinity transporters are seen in the *in vivo* experiments (Smith et al., 1987). Differences in the kinetic constants measured with *in vitro* as compared with *in vivo* techniques may be related to the previously mentioned asymmetry of BBB amino acid transporters (Betz and Goldstein, 1978; Goldstein and Betz, 1986; Smith, 1991). Thus, it is unlikely that the differences between the *in vivo* rat experiments and the *in vitro* experiments with human microvessels are due to a species difference.

The results obtained *in vivo* are consistent with the presence of the lower-affinity transporter of the two that have been described in isolated brain capillaries, but the affinity of this transporter is believed to be quite high in comparison with the LNAAs transporter in other tissues (Pardridge, 1986; Smith, 1991). Our studies support this idea, because the scalp did not exhibit the same competitive inhibition of ACHC uptake as did the brain.

In summary, the value for K_i^{Phe} that was calculated in the present study supports the proposal that there is a substantial degree of saturation of the LNAAs transporter at the human BBB. Thus, in the presence of

TABLE 2. Kinetic parameters for inhibition of ACHC transport by phenylalanine

	Using inhibition constants for	
	Rat brain <i>in vivo</i> ^a	Human brain microvessels <i>in vitro</i> ^b
K_i^{Phe} (μM)	13.2	1.00
V_{max}/K_m for ACHC (ml/g/min)	0.302	3.73
K_d^{ACHC} (ml/g/min)	0.0119	0.0129

Variability of all values is <10% as judged by analysis of the covariance matrices.

^a Smith et al. (1987).

^b Hargreaves and Pardridge (1988).

rather large and persistent increases in plasma amino acid concentrations, as occurs in certain human metabolic diseases, LNAA uptake by brain might indeed be reduced sufficiently to compromise the synthesis of proteins and neurotransmitters.

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