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COMPUTER PROGRAMS DEALING WITH FINITE-STATE MACHINES:  
PART I

by

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## Acknowledgements

... to the Ford Motor Company for making their time-sharing computer facilities available for the development of these programs.

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## I. Introduction

During the past several months I have been able to program a number of algorithms dealing with finite-state machines; the purposes of this effort being: (1) pedagogical application, (2) the stimulation of insight, and (3) the generation of new questions and approaches. Out of a set of thirty-eight more or less different problems dealing with finite state machines, I selected seven representatives; namely:

- 1) simulate a given machine,
- 2) determine if a given machine is strongly connected,
- 3) determine the state equivalence classes and minimal form for a given machine,
- 4) determine the automorphisms and their group for a given machine ,
- 5) determine a shortest simple adaptive diagnosing experiment for a given machine and admissible set,
- 6) determine an equivalent regular expression for a given machine,
- 7) exhibit the lattice of SP partitions for a given machine.

This report, the first of two, describes the programs treating problems one through four, a second report treating problems

five through seven will follow.

Concurrent with my own programming efforts, I attempted to document existing programs in this area; the results of my search are included in the bibliography.

The four programs contained in this report are written in the BASIC language for use in a time-sharing environment. A conscious effort has been exerted to make them straightforward and easy to use.

## II. Conventions

We will deal with  $N, P, Q$  Mealy\* machines where

$N$  = the number of states in state set  $S$ ,  $1 \leq N \leq 100$

$P$  = the number of symbols in input alphabet  $A_I$ ,

$1 \leq P \leq 5$ , except for the machine minimization program where  $1 \leq P \leq 3$ .

$Q$  = the number of symbols in output alphabet  $A_O$ .

We will only consider machines coded such that

$S = \{1, 2, \dots, N\}$

$A_I = \{1, 2, \dots, P\}$

$A_O =$  any set of  $Q$  distinct integers.

A machine is a system  $M = \langle S, A_I, A_O, FS, FZ \rangle$  where  $S, A_I, A_O$  are as above and where  $FS$ , the next state function, maps  $S \times A_I \rightarrow S$  and  $FZ$ , the output function, maps  $S \times A_I \rightarrow A_O$ .

It is assumed that the user is familiar with the necessary theoretical concepts of finite state machine theory [See 1 and 2] and with the BASIC language and its operation.

The programs are written to run under BASIC, Ford Motor Company Time Sharing System, Dearborn, Michigan, as it existed April 1967.

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\* Moore machines can be handled by the artifice of defining an equivalent Mealy machine in which  $FZ$  is independent of the input.

### III. Machine Simulation

- A. Program Name: SIM
- B. Purpose: To simulate the input/output behavior of any specified machine with initial state. An option is available to suppress or not suppress the typing of current state information (in order to demonstrate state identification experiments, for example, one may wish to suppress the current state information).
- C. Method: Given current state  $S_1$  and input  $I$ , the output is  $FZ(S_1, I)$  and the new current state is  $FS(S_1, I)$ .
- D. Operation: All user input is supplied at program request in the following sequence:

#### Program Types

1) "N, P?"

#### User Types

Two integers separated by a comma and followed by a RETURN and corresponding to  $N$  and  $P$  for the machine to be simulated;  
 $1 \leq N \leq 100$ ;  $1 \leq P \leq 5$ .  
If  $N$  or  $P$  is out of range, the program will ask for  $N$ ,  $P$  again.



2) After typing two lines of labels for the FS-table, the program will type N lines of the following form:  
"I?"

After each "I?" type five integers separated by commas and followed by RETURN. The first P integers correspond to FS(I, 1), FS(I, 2), ..., FS(I, P); the remaining integers are ignored but must be typed. They may be given any values and are needed only to satisfy the inflexible format requirements of BASIC.

3) After typing two labels for the FZ-table, the program will type N lines of the following form: "I?"

After each "I?" type five integers separated by commas and followed by a RETURN. The first P integers correspond to FZ(I, 1), FZ(I, 2), ..., FZ(I, P); the remaining integers are ignored (See 2 above).

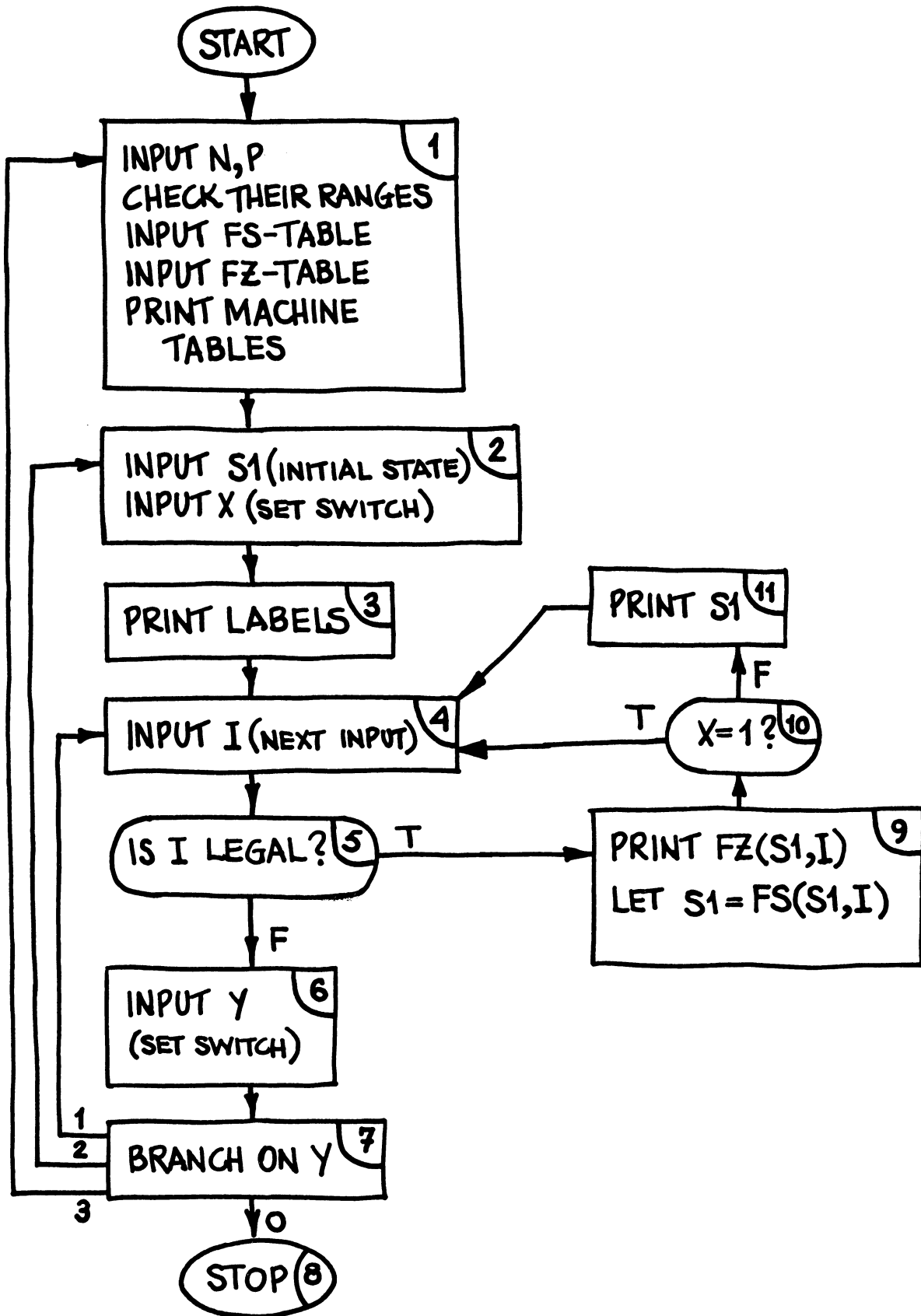
4) Program types full machine table in N lines of form:  
I, FS(I, 1), FZ(I, 1),  
FS(I, 2), ..., FZ(I, N)

5) "INITIAL STATE?"

One integer, in range 1 to N, followed by RETURN; this specifies the initial state of

- the machine.
- 6) "STATE SUPPRESS?" Zero or one followed by RETURN; indicates if current state should be typed by program or not.
- 7) After typing appropriate labels, the program is ready for a simulation run.
- The user has two options:
- a) type integer in range 1 to P followed by RETURN, in which event the integer is interpreted as a legitimate machine input—the program responds by typing the output and next state (if not suppressed); the user can then type the next input and so on.
- b) type integer out of range 1 to P followed by RETURN in which event the program notes the illegal input and requests further instruction via a second integer and RETURN. With this second integer the user can indicate that he quits, wants to input to the current machine, wants to reset the state of current machine, or start defining a new machine.

## E. Flow Chart



## F. Annotated Program Listing

```

SIM      22:13   TUES.--04/25/67

10 DIM F(100,5)
20 DIM G(100,5)
30 PRINT "N,P";
40 INPUT N,P
50 PRINT " "
60 IF N*(101-N)>0 THEN 90
70 PRINT "N ILLEGAL"
80 GO TO 30
90 IF P*(6-P)>0 THEN 120
100 PRINT "P ILLEGAL"
110 GO TO 30
120 PRINT "FS-TABLE"
130 PRINT "STATE          ENTRIES"
140 FOR I=1 TO N
150 PRINT I,
160 INPUT F(I,1),F(I,2),F(I,3),F(I,4),F(I,5)
170 NEXT I
180 PRINT " "
190 PRINT "FZ-TABLE"
200 PRINT "STATE          ENTRIES"
210 FOR I=1 TO N
220 PRINT I,
230 INPUT G(I,1),G(I,2),G(I,3),G(I,4),G(I,5)
240 NEXT I
250 PRINT " "
260 PRINT "MACHINE TABLES FS,FZ"
270 PRINT "          INPUTS"
280 PRINT "STATE          ";
290 FOR I=1 TO P
300 PRINT I"          ";
310 NEXT I
320 PRINT " "
330 PRINT " "
340 FOR I=1 TO N
350 PRINT I,
360 FOR J=1 TO P
370 PRINT F(I,J);G(I,J);
380 NEXT J
390 PRINT " "
400 NEXT I
410 PRINT " "
420 PRINT "INITIAL STATE";
430 INPUT S1
440 PRINT "STATE SUPPRESS(0=NO,1=YES)";
450 INPUT X
460 PRINT " "
470 PRINT "OUTPUT ";
480 IF X=1 THEN 510
490 PRINT "STATE ";
500 GO TO 520
510 PRINT "          ";
520 PRINT "INPUT"
530 IF X=1 THEN 560
540 PRINT "          "S1;
550 GO TO 570
560 PRINT " "
570 INPUT I,
580 IF I*(P+1-I)>0 THEN 650
590 PRINT "ILLEGAL INPUT(0=QUIT,1=NEW INPUT,2=NEW S1,3=NEW M)";
600 INPUT Y
610 IF Y=3 THEN 30
620 IF Y=2 THEN 420
630 IF Y=1 THEN 530
640 STOP
650 PRINT G(S1,I);
660 LET S1=F(S1,I)
670 IF X=1 THEN 700
680 PRINT S1;
690 GO TO 570
700 PRINT " "
710 GO TO 570
720 END

```

**F(I,J)= FS(I,J)**  
**G(I,J)= FZ(I,J)**

## G. Sample Run

SIM 22:07 TUES.--04/25/67

N,P?4,2

## FS-TABLE

STATE	ENTRIES
1	?3,4,0,0,0
2	?1,2,0,0,0
3	?4,4,0,0,0
4	?2,3,0,0,0

## FZ-TABLE

STATE	ENTRIES
1	?0,1,0,0,0
2	?1,1,0,0,0
3	?0,0,0,0,0
4	?1,0,0,0,0

MACHINE TABLES FS, FZ  
INPUTS

STATE	1	2
1	3 0	4 1
2	1 1	2 1
3	4 0	4 0
4	2 1	3 0

INITIAL STATE?1  
STATE SUPPRESS[0=NO,1=YES]?0

## OUTPUT STATE INPUT

	1	?1
0	3	?2
0	4	?1
1	2	?2
1	2	?1
1	1	?5

ILLEGAL INPUT[0=QUIT,1=NEW INPUT,2=NEW S1,3=NEW M1]?1  
1 ?2  
1 4 ?-2  
ILLEGAL INPUT[0=QUIT,1=NEW INPUT,2=NEW S1,3=NEW M]?2  
INITIAL STATE?1  
STATE SUPPRESS[0=NO,1=YES]?1

## OUTPUT INPUT

	?1
0	?2
0	?1
1	?2
1	?1
1	?2
1	?6

ILLEGAL INPUT[0=QUIT,1=NEW INPUT,2=NEW S1,3=NEW M]?3  
N,P?5,6

P ILLEGAL  
N,P?103,4

N ILLEGAL  
N,P?STOP

RAN 35 SEC.

#### IV. Checking a Machine for Strong Connectedness

- A. Program Name: STR
- B. Purpose: To check if a specified machine is strongly connected.
- C. Method: Find  $G(1)$  the set of all states reachable from state 1 and  $H(1)$  the set of all states reaching state 1.  $M$  is strongly connected iff  $G(1) = H(1) = S$ .
- D. Operation: All user input is supplied at program request in the following sequence:

##### Program Types

1) "N, P?"

2) After typing two labels for the FS-table, the program will type  $N$  lines of the following form: "I?"

##### User Types

Two integers separated by a comma and followed by a RETURN and corresponding to  $N$  and  $P$  for the machine to be checked;  $1 \leq N \leq 100$ ;  $1 \leq P \leq 5$ . If  $N$  or  $P$  is out of range the program will ask for  $N$ ,  $P$  again.

After each "I?" type five integers separated by commas and followed by a RETURN. The first  $P$  integers correspond to  $FS(I, 1)$ ,  $FS(I, 2)$ , . . . ,  $FS(I, P)$ ; the remaining integers are ignored but must be typed. They may be given any

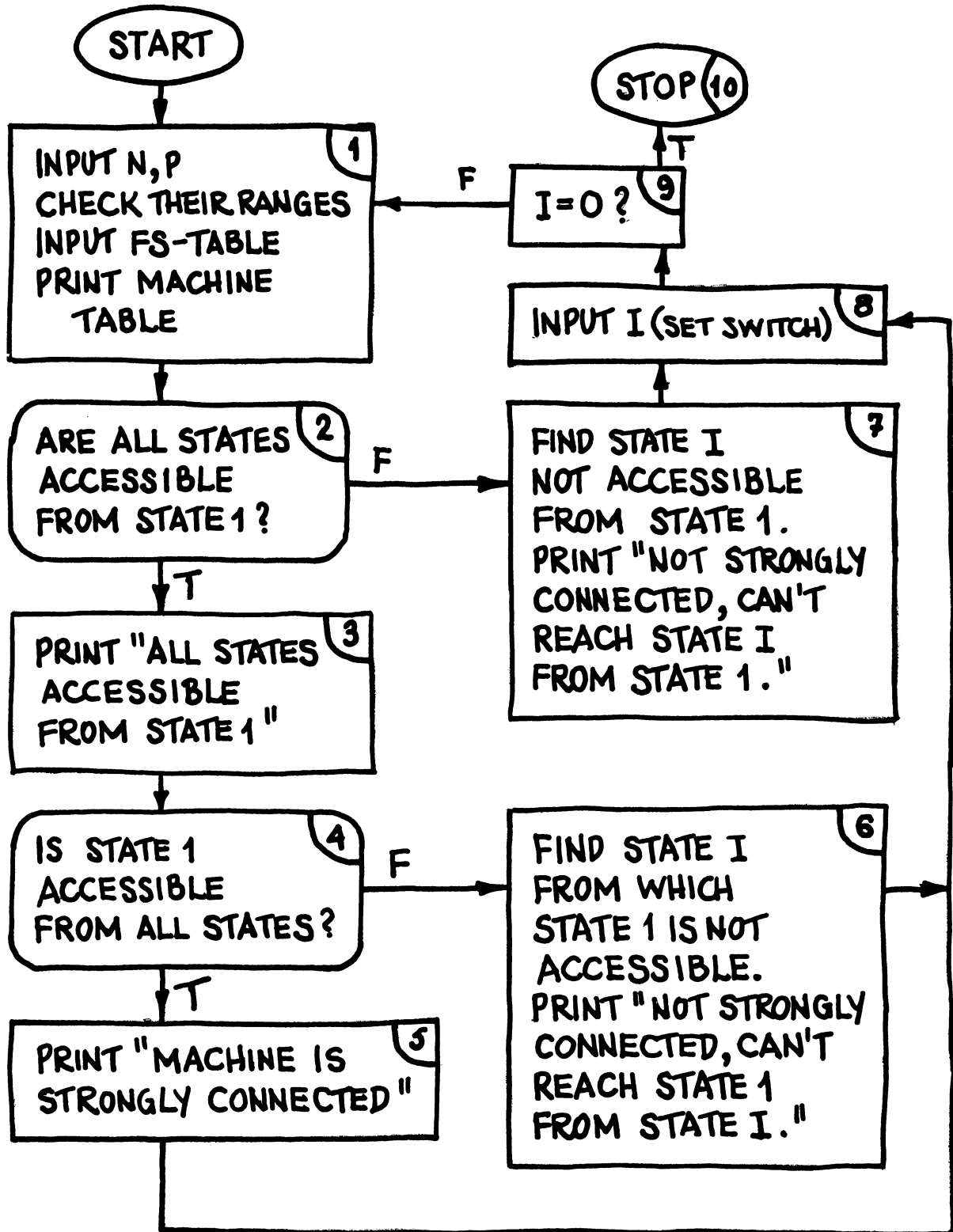
values and are needed only to satisfy the inflexible format requirements of BASIC.

- 3) The program will retype the machine table and the results of the analysis; if the machine is not strongly connected, an unconnected state pair will be displayed.

- 4) "NEW MACHINE(0=NO, 1=YES)?"

Zero or one as desired,  
RETURN.

## E. Flow Chart





## F. Annotated Program Listing

```

STR          21:15  MON.---04/24/67

10 DIM F(100,5)
20 DIM S(100)
30 DIM X(100)
40 PRINT"N,P";
50 INPUT N,P
60 PRINT" "
70 IF N*(101-N)>0 THEN 100
80 PRINT "N ILLEGAL"
90 GO TO 40
100 IF P*(6-P)>0 THEN 130
110 PRINT "P ILLEGAL"
120 GO TO 40
130 PRINT "FS-TABLE"
140 PRINT "STATE          ENTRIES"
150 FOR I=1 TO N
160 PRINT I,
170 INPUT F(I,1),F(I,2),F(I,3),F(I,4),F(I,5)
180 NEXT I
190 PRINT" "
200 PRINT" "
210 PRINT"MACHINE TABLE FS"
220 PRINT"          INPUTS"
230 PRINT"STATE          ";
240 FOR I=1 TO P
250 PRINT I"          ";
260 NEXT I
270 PRINT" "
280 PRINT" "
290 FOR I=1 TO N
300 PRINT I,
310 FOR J=1 TO P
320 PRINT F(I,J)"          ";
330 NEXT J
340 PRINT" "
350 NEXT I
360 PRINT" "
370 FOR I=1 TO N
380 LET S(I)=0
390 LET X(I)=0
400 NEXT I
410 LET J=1
420 LET X(1)=1
430 LET S(1)=1
440 FOR I=1 TO N
450 IF S(I)=0 THEN 820
460 FOR K=1 TO P
470 LET L=F(S(I),K)
480 IF X(L)=1 THEN 520
490 LET X(L)=1
500 LET J=J+1
510 LET S(J)=L
520 NEXT K
530 NEXT I
540 PRINT"ALL STATES ACCESSIBLE FROM STATE 1."

```

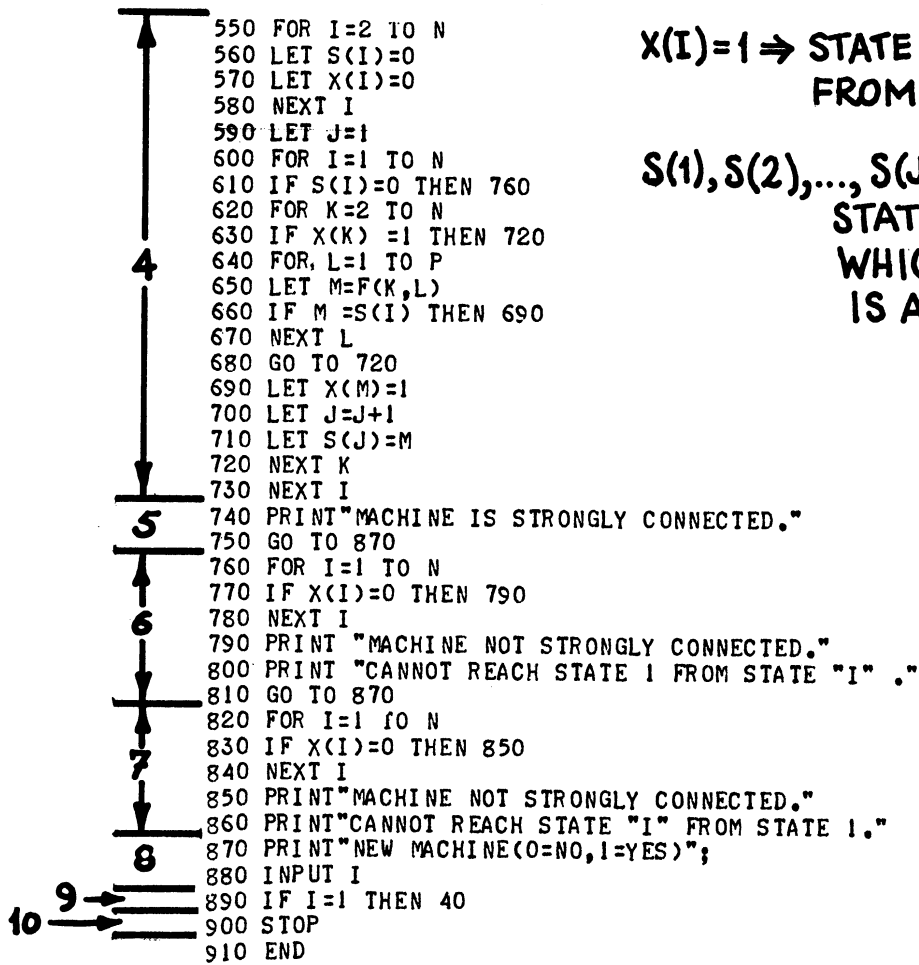
**$F(I,J) = FS(I,J)$**

**$X(I) = 1 \Rightarrow$  STATE I ACCESSIBLE FROM STATE 1**

**$S(1), S(2), \dots, S(J) =$  LIST OF STATES ACCESSIBLE FROM STATE 1**

2

3



$X(I)=1 \Rightarrow$  STATE 1 ACCESSIBLE  
FROM STATE I

$S(1), S(2), \dots, S(J)$  = LIST OF  
STATES FROM  
WHICH STATE 1  
IS ACCESSIBLE

## G. Sample Run

STR 21:05 MON,---04/24/67

N,P?4,2

## FS-TABLE

STATE	ENTRIES
1	73,4,0,0,0
2	71,2,0,0,0
3	74,4,0,0,0
4	72,3,0,0,0

## MACHINE TABLE FS

STATE	INPUTS	
	1	2
1	3	4
2	1	2
3	4	4
4	2	3

ALL STATES ACCESSIBLE FROM STATE 1.  
MACHINE IS STRONGLY CONNECTED.  
NEW MACHINE[0=NO,1=YES]?1  
N,P?7,2

## FS-TABLE

STATE	ENTRIES
1	73,2,0,0,0
2	73,2,0,0,0
3	71,1,0,0,0
4	76,7,0,0,0
5	74,5,0,0,0
6	77,7,0,0,0
7	75,6,0,0,0

## MACHINE TABLE FS

STATE	INPUTS	
	1	2
1	3	2
2	3	2
3	1	1
4	6	7
5	4	5
6	7	7
7	5	6

MACHINE NOT STRONGLY CONNECTED.  
CANNOT REACH STATE 4 FROM STATE 1.  
NEW MACHINE[0=NO,1=YES]?1

N,P?7,1

FS-TABLE STATE	ENTRIES
1	?2,0,0,0,0
2	?3,0,0,0,0
3	?4,0,0,0,0
4	?5,0,0,0,0
5	?6,0,0,0,0
6	?7,0,0,0,0
7	?7,0,0,0,0

MACHINE TABLE FS STATE	INPUTS
	1
1	2
2	3
3	4
4	5
5	6
6	7
7	7

ALL STATES ACCESSIBLE FROM STATE 1.  
 MACHINE NOT STRONGLY CONNECTED.  
 CANNOT REACH STATE 1 FROM STATE 2  
 NEW MACHINE[0=NO,1=YES]?1  
 N,P?7,9

P ILLEGAL  
 N,P?397,5

N ILLEGAL  
 N,P?STOP

RAN 29 SEC.

## V. Machine Minimization

A. Program Name: MIN

B. Purpose: To find and display the minimal form of a specified machine.

C. Method: The k-equivalence method is used wherein for any

$k \geq 1$ ,  $P_k$  is a partition on S such that for  $I, J \in S$

$I \equiv J(P_k)$  iff I is k-equivalent to J.

$P_1$  is constructed from the FZ table according to

$I \equiv J(P_1)$  iff  $(\forall X \in A_I)[FZ(I, X) = FZ(J, X)]$ .

$P_k$  is constructed from  $P_{k-1}$  according to

$I \equiv J(P_k)$  iff  $I \equiv J(P_{k-1})$  and

$(\forall X \in A_I)[FS(I, X) \equiv FS(J, X)(P_{k-1})]$ .

For some  $K \leq N$   $P_{K-1} = P_K$  and the minimal form of

M will have  $|P_K|$  states. If  $|P_K| = |S|$  M is mini-

mal; if  $|P_K| < |S|$  then the minimal form of M is ob-

tained by associating its states with the equivalence

classes of  $P_K$ .

D. Operation: All user input is supplied at program request in the

following sequence:

Program Types

Machine Types

1) "N, P?"

Two integers separated by a comma and followed by a RETURN and corresponding to

N and P for the machine to be minimized;  $1 \leq N \leq 100$ ;  $1 \leq P \leq 3$ . If N or P is out of range, the program will ask for N, P again.

- 2) After typing two labels for the FS-table, the program will type N of the following form:

"I?"

After each "I?" type three integers separated by commas and followed by RETURN. The first P integers correspond to FS(I, 1), FS(I, 2), . . . , FS(I, P); the remaining integers are ignored but must be typed. They may be given any values and are needed only to satisfy the inflexible format requirements of BASIC.

- 3) After typing two labels for the FZ table, the program will type N lines of the following form: "I?"

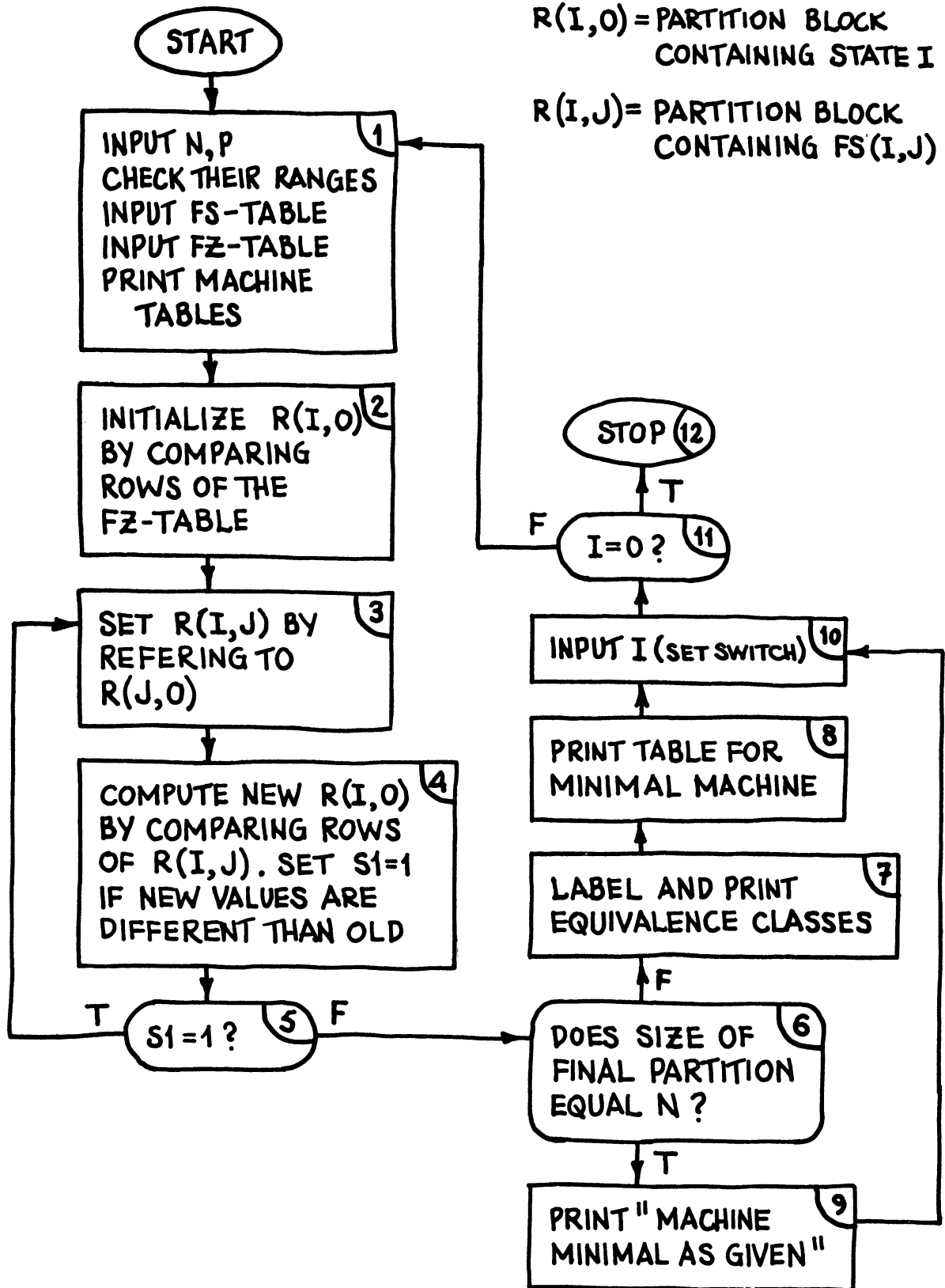
After each "I?" type three integers separated by commas and followed by a RETURN. The first P integers correspond to FZ(I, 1), FZ(I, 2), . . . , FZ(I, P); the remaining integers are ignored (see 2 above).

- 4) Program types full machine table in N lines of form:

I, FS(I, 1), FZ(I, 1),  
 FS(I, 2), . . . , FZ(I, N)

- 5) If the machine is minimal as given the program types "MACHINE MINIMAL AS GIVEN."; if not, the equivalence classes of M are displayed and labelled and the full table of the minimal machine is displayed using the equivalence class labels as state names.
- 6) "NEW MACHINE(0=NO, 1=YES)?"                      Zero or one as desired, RETURN.

E. Flow Chart





## F. Annotated Program Listing

MIN 22:33 MON.---04/24/67

```

10 DIM F(100,3)
20 DIM G(100,3)
30 DIM R(100,3)
40 PRINT "N,P";
50 INPUT N,P
60 PRINT " "
70 IF N*(101-N)>0 THEN 100
80 PRINT "N ILLEGAL"
90 GO TO 40
100 IF P*(4-P)>0 THEN 130
110 PRINT "P ILLEGAL"
120 GO TO 40
130 PRINT "FS-TABLE"
140 PRINT "STATE          ENTRIES"
150 FOR I=1 TO N
160 PRINT I,
170 INPUT F(I,1),F(I,2),F(I,3)
180 NEXT I
190 PRINT " "
200 PRINT "FZ-TABLE"
210 PRINT "STATE          ENTRIES"
220 FOR I=1 TO N
230 PRINT I,
240 INPUT G(I,1),G(I,2),G(I,3)
250 NEXT I
260 PRINT " "
270 PRINT "MACHINE TABLES FS,FZ"
280 PRINT "          INPUTS"
290 PRINT "STATE          ";
300 FOR I=1 TO P
310 PRINT I"          ";
320 NEXT I
330 PRINT " "
340 PRINT " "
350 FOR I=1 TO N
360 PRINT I,
370 FOR J=1 TO P
380 PRINT F(I,J);G(I,J);
390 NEXT J
400 PRINT " "
410 NEXT I
420 PRINT " "
430 FOR I=1 TO N
440 LET R(I,0)=0
450 NEXT I
460 LET C=0
470 FOR I=1 TO N
480 IF R(I,0)<>0 THEN 560
490 LET C=C+1
500 FOR J=1 TO N
510 FOR K=1 TO P
520 IF G(I,K)<>G(J,K) THEN 550
530 NEXT K
540 LET R(J,0)=C
550 NEXT J
560 NEXT I
570 FOR I=1 TO N
580 FOR J=1 TO P
590 LET R(I,J)=R(F(I,J),0)
600 NEXT J
610 NEXT I

```

**F(I,J) = FS(I,J)**  
**G(I,J) = FZ(I,J)**  
**C = NUMBER OF**  
**BLOCKS IN**  
**PARTITION**

2

3

```

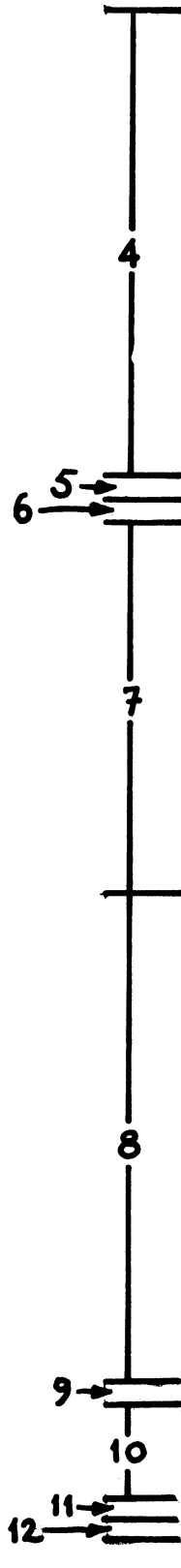
620 LET S1=0
630 FOR I=1 TO N
640 LET F(I,0)=0
650 NEXT I
660 FOR I=1 TO N
670 IF F(I,0)=1 THEN 810
680 LET S=0
690 FOR J=I TO N
700 IF R(I,0) <> R(J,0) THEN 790
710 FOR K=1 TO P
720 IF R(I,K) <> R(J,K) THEN 760
730 NEXT K
740 LET F(J,0)=1
750 GO TO 790
760 LET S=1
770 LET S1=1
780 LET R(J,0)=C+1
790 NEXT J
800 LET C=C+S
810 NEXT I
820 IF S1=1 THEN 570
830 IF C=N THEN 1210
840 PRINT "EQUIVALENCE CLASSES"
850 FOR I=1 TO N
860 LET F(I,0)=0
870 NEXT I
880 LET K=0
890 FOR I=1 TO N
900 IF F(I,0) <> 0 THEN 990
910 LET K=K+1
920 PRINT K "***";
930 FOR J=I TO N
940 IF R(J,0) <> R(I,0) THEN 970
950 LET F(J,0)=K
960 PRINT J;
970 NEXT J
980 PRINT " "
990 NEXT I
1000 PRINT " "
1010 PRINT "EQUIVALENT MACHINE TABLES FS,FZ"
1020 PRINT "          INPUTS"
1030 PRINT "STATE";
1040 FOR I=1 TO P
1050 PRINT I " ";
1060 NEXT I
1070 PRINT " "
1080 PRINT " "
1090 FOR I=1 TO C
1100 PRINT I,
1110 FOR J=1 TO N
1120 IF F(J,0) <> I THEN 1180
1130 FOR K=1 TO P
1140 PRINT F(F(J,K),0);G(J,K);
1150 NEXT K
1160 PRINT " "
1170 GO TO 1190
1180 NEXT J
1190 NEXT I
1200 GO TO 1220
1210 PRINT "MACHINE MINIMAL AS GIVEN."
1220 PRINT " "
1230 PRINT " "
1240 PRINT "NEW MACHINE (0=NO,1=YES)";
1250 INPUT I
1260 IF I <> 0 THEN 40
1270 STOP
1280 END

```

$F(I,0)=1 \Rightarrow$  ROW OF  $R(I,J)$ 'S SAME  
 AS SOME PRIOR ROW  
 $\therefore$  ITS EFFECT ON  
 PARTITION ALREADY  
 COMPUTED

$S(\text{SWITCH})=1 \Rightarrow$  NEW PARTITION  
 BLOCK ADDED DUE TO  
 I-TH ROW OF  $R(I,J)$ 'S

$F(I,0) =$  BLOCK LABEL ON FINAL  
 PARTITION CONTAINING  
 STATE I



## G. Sample Run

MIN 22:24 MON.---04/24/67

N,P79,3

## FS-TABLE

STATE	ENTRIES
1	72,2,5
2	71,4,4
3	72,2,5
4	73,2,2
5	76,4,3
6	78,9,6
7	76,2,8
8	74,4,7
9	77,9,7

## FZ-TABLE

STATE	ENTRIES
1	71,0,0
2	70,1,1
3	71,0,0
4	70,1,1
5	71,0,0
6	70,1,1
7	71,0,0
8	71,0,0
9	70,1,1

MACHINE TABLES FS, FZ  
INPUTS

STATE	1	2	3	4	5	6	7	8	9
1	2	1	2	0	5	0	0	0	0
2	1	0	4	1	4	1	1	1	1
3	2	1	2	0	5	0	0	0	0
4	3	0	2	1	2	1	1	1	1
5	6	1	4	0	3	0	0	0	0
6	8	0	9	1	6	1	1	1	1
7	6	1	2	0	8	0	0	0	0
8	4	1	4	0	7	0	0	0	0
9	7	0	9	1	7	1	1	1	1

## EQUIVALENCE CLASSES

1	*** 1	3	8
2	*** 2	4	
3	*** 5	7	
4	*** 6		
5	*** 9		

EQUIVALENT MACHINE TABLES FS, FZ  
INPUTS

STATE	1	2	3	4	5	6	7	8	9
1	2	1	2	0	3	0	0	0	0
2	1	0	2	1	2	1	1	1	1
3	4	1	2	0	1	0	0	0	0
4	1	0	5	1	4	1	1	1	1
5	3	0	5	1	3	1	1	1	1

NEW MACHINE[0=NO,1=YES]?1

N,P73,2

FS-TABLE

STATE	ENTRIES
1	?2,3,0
2	?1,3,0
3	?3,2,0

FZ-TABLE

STATE	ENTRIES
1	?1,5,0
2	?2,3,0
3	?2,10,0

MACHINE TABLES

STATE	FS, FZ		INPUTS	
	1	2	1	2
1	2	1	3	5
2	1	2	3	3
3	3	2	2	10

MACHINE MINIMAL AS GIVEN.

NEW MACHINE[0=NO,1=YES]?1

N,P74,4

P ILLEGAL

N,P71,5

P ILLEGAL

N,P7107,2

N ILLEGAL

N,P7STOP

RAN 41 SEC.

## VI. Displaying a Machine's Automorphism Group

A. Program Name: AUTO

B. Purpose: To compute and display the state automorphisms and their group for a specified machine. We (1) will limit consideration to machines in which the full state set  $S$  is reachable from State 1 and (2) due to format constraints will display the group table for the automorphisms only when  $N \leq 10$ . If  $N > 10$  the user can construct the group table himself by examining the automorphisms directly.

C. Method: If all states of  $M$  are reachable from state 1 then

- 1) there are at most  $N$  automorphisms of  $M$
- 2) each corresponds to a permutation on  $S$  and
- 3) each can be generated from the single point mapping  $h(1) \in S$  on state 1 and the recursion equation

$$(\forall I \in S, X \in A_I)[h(FS(I, X)) = FS(h(I), X)].$$

Conversely we can test if a mapping  $h(1) \in S$  on state 1 generates an automorphism on  $M$  by applying the above recursion equation. One of three possibilities results:

- 1) a complete permutation is induced on  $S$
- 2) a conflict occurs in using the recursion equation

thus indicating no automorphism exists for the assumed  $h(1)$ , or

- 3) a permutation is induced on a proper subset of  $S$ —indicating some states are not reachable from state 1.

We will generate the full automorphism group by testing iff  $h(1) = k$  generates an automorphism for each  $1 \leq k \leq N$  (i. e.  $k \in S$ ).

D. Operation: All user input is supplied at program request in the following sequence:

#### Program Types

- 1) "N, P?"

#### User Types

Two integers separated by a comma and followed by a RETURN and corresponding to  $N$  and  $P$  for the machine to be simulated;  $1 \leq N \leq 100$ ;  $1 \leq P \leq 5$ . If  $N$  or  $P$  is out of range the program will ask for  $N, P$  again.

- 2) After typing two lines of labels for the FS-table, the program will type  $N$  lines of the following form:  
"I?"

After each "I?" type five integers separated by commas and followed by RETURN. The first  $P$  integers correspond to  $FS(I, 1), FS(I, 2), \dots, FS(I, P)$ ; the remaining

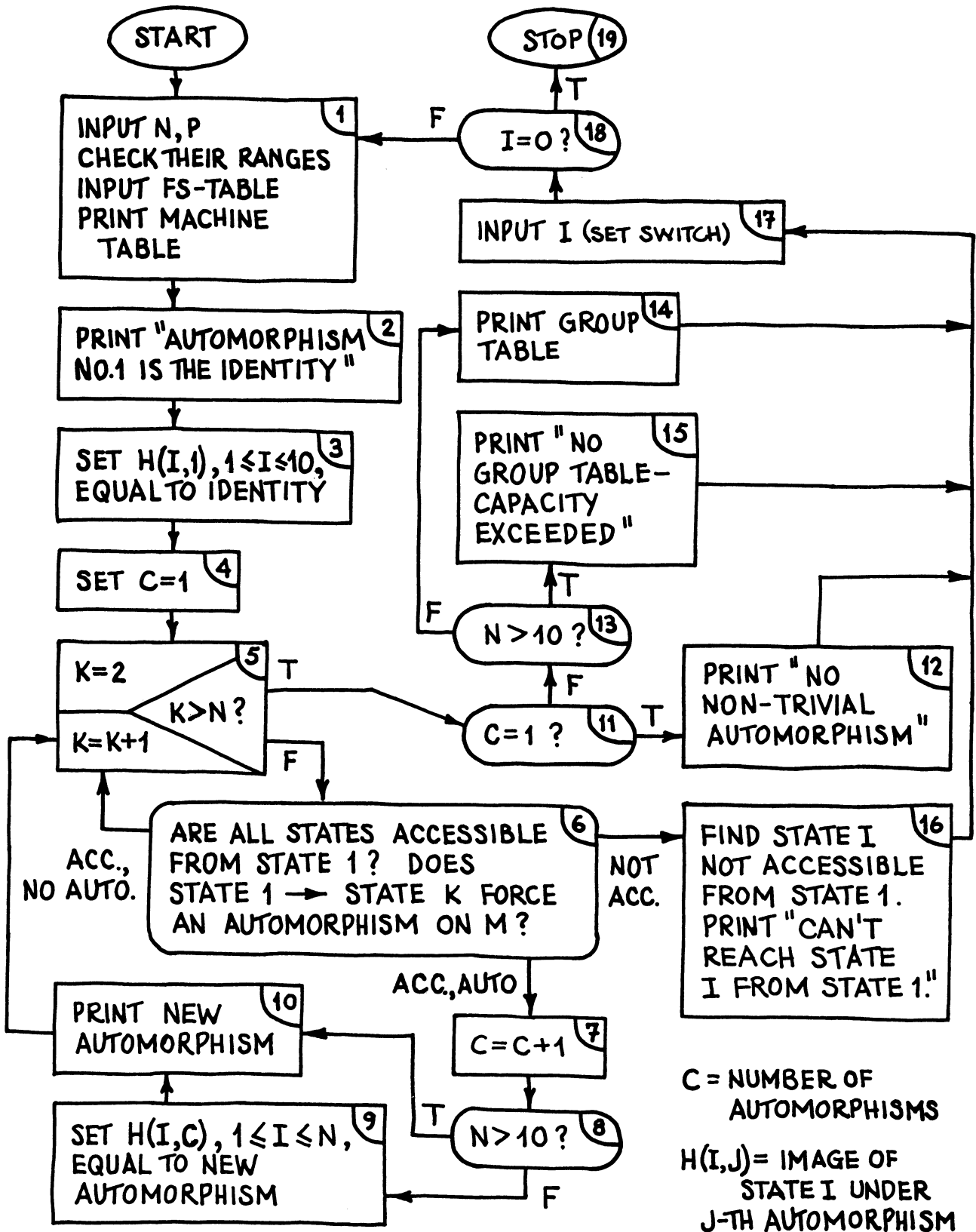
integers are ignored but must be typed. They may be given any values and are needed only to satisfy the inflexible format of BASIC.

3) The program will re-type the machine table and the results of the analysis. Every non-trivial automorphism will be displayed and if  $N \leq 10$ , the full group table will be typed.

4) "NEW MACHINE(0=NO, 1=YES)?"

Zero or one as desired,  
RETURN.

E. Flow Chart





## F. Annotated Program Listing

```

AUTO      22:38   TUES.--04/25/67

10 DIM F(100,5)
20 DIM G(100)
30 DIM H(10,10)
40 PRINT "N,P";
50 INPUT N,P
60 PRINT " "
70 IF N*(101-N)>0 THEN 100
80 PRINT "N ILLEGAL"
90 GO TO 40
100 IF P*(6-P)>0 THEN 130
110 PRINT "P ILLEGAL"
120 GO TO 40
130 PRINT "FS-TABLE"
140 PRINT "STATE      ENTRIES"
150 FOR I=1 TO N
160 PRINT I,
170 INPUT F(I,1),F(I,2),F(I,3),F(I,4),F(I,5)
180 NEXT I
190 PRINT " "
200 PRINT " "
210 PRINT "MACHINE TABLE FS"
220 PRINT "          INPUTS"
230 PRINT "STATE      ";
240 FOR I=1 TO P
250 PRINT I"      ";
260 NEXT I
270 PRINT " "
280 PRINT " "
290 FOR I=1 TO N
300 PRINT I,
310 FOR J=1 TO P
320 PRINT F(I,J)"      ";
330 NEXT J
340 PRINT " "
350 NEXT I
360 PRINT " "
370 PRINT "AUTOMORPHISM NO.1 IS THE IDENTITY."
380 FOR I=1 TO 10
390 LET H(I,1)=I
400 NEXT I
410 LET C=1
420 FOR K=2 TO N
430 FOR I=1 TO N
440 LET G(I)=0
450 LET F(I,0)=0
460 NEXT I
470 LET G(1)=K
480 LET S=1
490 FOR I=S TO N
500 IF G(I)=0 THEN 590
510 IF F(I,0)<>0 THEN 590
520 LET F(I,0)=1
530 FOR J=1 TO P
540 IF G(F(I,J))=0 THEN 570
550 IF G(F(I,J))=F(G(I),J) THEN 580
560 GO TO 840
570 LET G(F(I,J))=F(G(I),J)
580 NEXT J
590 NEXT I
600 LET S=1
610 FOR I=1 TO N
620 IF F(I,0)<>0 THEN 670
630 IF G(I)=0 THEN 660
640 LET S=I
650 GO TO 490
660 LET S=0
670 NEXT I
680 IF S=0 THEN 1110
690 FOR I=2 TO N
700 IF G(I)=1 THEN 730
710 NEXT I
720 GO TO 840

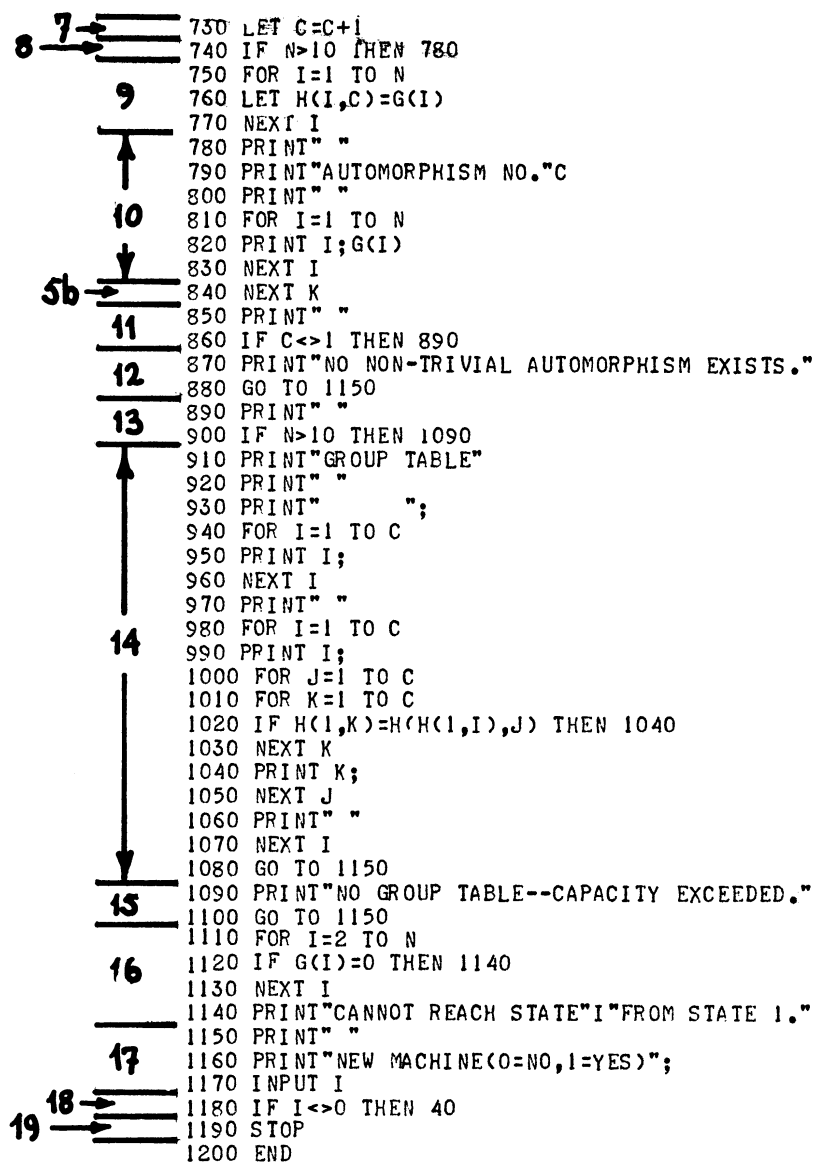
```

**$F(I,J) = FS(I,J)$**

**$G(I)$  = IMAGE OF STATE  $I$  UNDER  
AUTOMORPHISM CURRENTLY  
DEVELOPING**

**$F(I,0)$  [switch] = 1  $\Rightarrow$  EFFECT  
OF  $G(I)$ 'S SUCCESSORS ON  
CURRENTLY DEVELOPING  
AUTOMORPHISM HAS  
BEEN NOTED**

**$S$  [switch] = 0  $\Rightarrow$  SOME STATE NOT  
ACCESSIBLE FROM STATE 1,  
= 1  $\Rightarrow$  EFFECT OF  $G(I)$ 'S  
SUCCESSORS NOTED FOR  
ALL  $I$   
 $\geq 1 \Rightarrow G(S)$  IS KNOWN  
BUT  $F(S,0) = 0$**



## G. Sample Run

AUTO 22:23 TUES.--04/25/67

N,P?10,3

FS-TABLE

STATE	ENTRIES
1	?1,2,3,0,0
2	?2,3,4,0,0
3	?3,4,5,0,0
4	?4,5,6,0,0
5	?5,6,7,0,0
6	?6,7,8,0,0
7	?7,8,9,0,0
8	?8,9,10,0,0
9	?9,10,1,0,0
10	?10,1,2,0,0

MACHINE TABLE FS

STATE	INPUTS		
	1	2	3
1	1	2	3
2	2	3	4
3	3	4	5
4	4	5	6
5	5	6	7
6	6	7	8
7	7	8	9
8	8	9	10
9	9	10	1
10	10	1	2

AUTOMORPHISM NO.1 IS THE IDENTITY.

AUTOMORPHISM NO. 2

1	2
2	3
3	4
4	5
5	6
6	7
7	8
8	9
9	10
10	1

AUTOMORPHISM NO. 3

1	3
2	4
3	5
4	6
5	7
6	8
7	9
8	10
9	1
10	2

AUTOMORPHISM NO. 4

1	4
2	5
3	6
4	7
5	8
6	9
7	10
8	1
9	2
10	3

## AUTOMORPHISM NO. 5

1	5
2	6
3	7
4	8
5	9
6	10
7	1
8	2
9	3
10	4

## AUTOMORPHISM NO. 6

1	6
2	7
3	8
4	9
5	10
6	1
7	2
8	3
9	4
10	5

## AUTOMORPHISM NO. 7

1	7
2	8
3	9
4	10
5	1
6	2
7	3
8	4
9	5
10	6

## AUTOMORPHISM NO. 8

1	8
2	9
3	10
4	1
5	2
6	3
7	4
8	5
9	6
10	7

## AUTOMORPHISM NO. 9

1	9
2	10
3	1
4	2
5	3
6	4
7	5
8	6
9	7
10	8

## AUTOMORPHISM NO. 10

1	10
2	1
3	2
4	3
5	4
6	5
7	6
8	7
9	8
10	9

## GROUP TABLE

	1	2	3	4	5	6	7	8	9	10
1	1	2	3	4	5	6	7	8	9	10
2	2	3	4	5	6	7	8	9	10	1
3	3	4	5	6	7	8	9	10	1	2
4	4	5	6	7	8	9	10	1	2	3
5	5	6	7	8	9	10	1	2	3	4
6	6	7	8	9	10	1	2	3	4	5
7	7	8	9	10	1	2	3	4	5	6
8	8	9	10	1	2	3	4	5	6	7
9	9	10	1	2	3	4	5	6	7	8
10	10	1	2	3	4	5	6	7	8	9

NEW MACHINE{0=NO,1=YES}?1  
N,P?3,1

## FS-TABLE

STATE	ENTRIES
1	?2,0,0,0,0
2	?2,0,0,0,0
3	?3,0,0,0,0

## MACHINE TABLE FS

STATE	INPUTS
	1
1	2
2	2
3	3

AUTOMORPHISM NO.1 IS THE IDENTITY.  
CANNOT REACH STATE 3 FROM STATE 1.

NEW MACHINE{0=NO,1=YES}?1  
N,P?3,2

## FS-TABLE

STATE	ENTRIES
1	?3,2,0,0,0
2	?3,2,0,0,0
3	?2,3,0,0,0

## MACHINE TABLE FS

STATE	INPUTS
	1 2
1	3 2
2	3 2
3	2 3

AUTOMORPHISM NO.1 IS THE IDENTITY.  
NO NON-TRIVIAL AUTOMORPHISM EXISTS.

NEW MACHINE{0=NO,1=YES}?1  
N,P?12,6

P ILLEGAL  
N,P?123,2

N. ILLEGAL

N,P712,2

FS-TABLE

STATE	ENTRIES
1	78,2,0,0,0
2	73,3,0,0,0
3	74,4,0,0,0
4	75,5,0,0,0
5	76,6,0,0,0
6	71,12,0,0,0
7	72,8,0,0,0
8	79,9,0,0,0
9	710,10,0,0,0
10	711,11,0,0,0
11	712,12,0,0,0
12	77,6,0,0,0

MACHINE TABLE FS

STATE	INPUTS	
	1	2
1	8	2
2	3	3
3	4	4
4	5	5
5	6	6
6	1	12
7	2	8
8	9	9
9	10	10
10	11	11
11	12	12
12	7	6

AUTOMORPHISM NO.1 IS THE IDENTITY.

AUTOMORPHISM NO. 2

1	7
2	8
3	9
4	10
5	11
6	12
7	1
8	2
9	3
10	4
11	5
12	6

NO GROUP TABLE--CAPACITY EXCEEDED.

NEW MACHINE{0=NO,1=YES}?0

TIME: 53 SECS.

## VII. Bibliography

General reference on finite state machines and in particular on strong connectedness and machine minimization:

- [1] Gill, A. , Introduction to the Theory of Finite-State Machines, McGraw-Hill, New York (1962).

Automorphisms on automata:

- [2] Bayer, R. , Automorphism Groups and Quotients of Strongly Connected Automata and Monadic Algebras, IEEE Conference Record of 1966 Seventh Annual Symposium on Switching Theory, October 26-28, 1966.

References to computer programs dealing with finite state machines:

- [3] Farr, E. H. , Lattice Properties of Sequential Machines, Journal of the Association for Computing Machinery, July, 1963, vol. 10, no. 3, p. 365.

This paper mentions a program to produce all the partitions with S. P. for a given machine for which

$$N \leq 38, P \leq 40.$$

- [4] Griffiths, T. V. , M-460 Program Notes: Some LISP Routines for Manipulating Automata, AFCRL-66-84, February 1967, Physical and Mathematical Sciences Research Papers, No. 192, Data Sciences Laboratory Project 4641, Air Force Cambridge Research Laboratories, L. G. Hanscom Field, Bedford, Massachusetts.

This paper details a number of LISP programs to represent machines, cascade them, minimize them, complement them, compute their behavioral union, intersection, star, and reverse, etc.

- [5] Roberts, M. B. , A Generalized Recognizer for Finite State Languages, Moore School of Electrical Engineering, University of Pennsylvania Report No. 66-03, August 1965.

This report discusses an IPL-V program to determine if a given symbol string is denoted by a given regular expression; programs to convert a regular expression to a state table and minimize it are also mentioned.

- [6] Silverstein, M. , Computer Procedures for Analysis of Finite Automata, term project, University of California, Berkeley (1962).

This paper mentioned IPL-V routines to minimize a given machine, develop distinguishing sequences for state pairs, determine multiple preset diagnosing and regular preset homing experiments (neither of these necessarily minimal).

- [7] Sarma, Hota S. , Design of a Computer Program for Minimization and State Identification of Automata, Masters thesis, Electrical Engineering, Tuskegee Institute, May 1966.

This thesis describes a program written for online use of an IBM 1620 and which minimizes a given machine and determines minimal simple preset homing and diagnosing experiments for a given admissible set.



- [8] Sacco, W. J. , A Computer Technique Useful for Some Problems in the Partitioning Theory of Sequential Machines, Memorandum Report No. 1733, March 1966, Ballistic Research Laboratories, U. S. Army Material Command, Aberdeen Proving Ground, Maryland.

This paper gives no computer program but rather a technique for determining if (1) a given partition has SP, (2) two given partitions constitute a partition pair, and (3) two set systems constitute a system pair.

In addition, W. D. Maurer uses a computer to assist in minimally decomposing group machines; a report on his work is to appear in a new journal, The Journal of Computer and Systems Science.





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