Constraints on Ω_0 and cluster evolution using the *ROSAT* log *N*-log *S* relation

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ABSTRACT

We examine the likelihoods of different cosmological models and cluster evolutionary histories by comparing semi-analytical predictions of X-ray cluster number counts with observational data from the *ROSAT* satellite. We model cluster abundance as a function of mass and redshift using a Press–Schechter distribution, and assume that the temperature T(M, z) and bolometric luminosity $L_X(M, z)$ scale as power laws in mass and epoch, in order to construct expected counts as a function of X-ray flux. The $L_X - M$ scaling is fixed using the local luminosity function, while the degree of evolution in the X-ray luminosity with redshift $L_X \propto (1 + z)^s$ is left open, with *s* an interesting free parameter which we investigate. We examine open and flat cosmologies with initial, scale-free fluctuation spectra having indices n = 0, -1 and -2. An independent constraint arising from the slope of the luminosity– temperature relation strongly favours the n = -2 spectrum.

The expected counts demonstrate a strong dependence on Ω_0 and *s*, with lesser dependence on λ_0 and *n*. Comparison with the observed counts reveals a 'ridge' of acceptable models in the $\Omega_0 - s$ plane, roughly following the relation $s \sim 6\Omega_0$ and spanning low-density models with a small degree of evolution to $\Omega = 1$ models with strong evolution. Models with moderate evolution are revealed to have a strong lower limit of $\Omega_0 \ge 0.3$, and low-evolution models imply that $\Omega_0 < 1$ at a very high confidence level. We suggest observational tests for breaking the degeneracy along this ridge, and discuss implications for evolutionary histories of the intracluster medium.

Key words: galaxies: clusters: general – cosmology: observations – cosmology: theory – dark matter – X-rays: general.

1 INTRODUCTION

Rich galaxy clusters are the youngest virialized objects extant, and as such they provide a unique source of information about our Universe. Observations of clusters in the X-ray band provide useful information about large-scale structure and galaxy formation. Detailed X-ray images provide information about the structure of individual clusters, and surveys such as the *ROSAT* All-Sky Survey (RASS) provide data on the general cluster population. In particular, counts of clusters as a function of their X-ray flux – the log N– log *S* relation – provide an avenue for exploring cosmic evolution of clusters. Recently, several groups have pushed the log N–log *S* relation to fluxes nearly an order of magnitude fainter than previous determinations (Rosati et al. 1995; Rosati & Della Ceca, in preparation; Jones et al., in preparation). In this paper, we compare these data with predictions of viable cosmological and

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evolutionary models for clusters, with the goal of defining the range of combined cosmology and X-ray evolution consistent with the current data. Because the data underconstrain the theory, a range of degenerate models emerge, but these can be distinguished by upcoming observational tests of the high-redshift cluster population.

In order to proceed, we assume that the distribution of clusters as a function of mass and redshift is accurately described by the Press– Schechter (1974) abundance function. This formalism is not without its problems: excursion set approaches have been shown to be sensitive to the choice of window function (Bond et al. 1991), which casts doubt on the appropriateness of using sharp *k*-space filtering. The assumption of purely Gaussian initial perturbations is clearly wrong because of the constraint $\delta\rho/\bar{\rho} > -1$, and may have other defects unknown to us as yet. At least one attempt to examine the effect of this semi-positivity on the mass function has been made (Porciani et al. 1996), but this area of study is still poorly understood. Although the original Press–Schechter function rests on unsteady theoretical foundations, it displays a remarkable convergence in the limit of rare, massive objects. It has certainly performed well on rich cluster mass scales, producing abundances in good agreement with a variety of *N*-body simulations (Bond et al. 1991; Eke, Cole & Frenk 1996). It has also been successfully used to fit the cluster X-ray luminosity function (XLF) out to $z \approx 0.3$ with modest assumptions about the relationship between mass and luminosity (Evrard & Henry 1991). Since both theoretical and numerical studies indicate that the Press–Schechter function can reproduce abundances in the mass regime probed by the log *N*–log *S* relation, we adopt it as a simple and reasonable analytic description.

In order to investigate the behaviour of a wide class of structure formation models, we employ scale-free initial Gaussian perturbations with spectral indices *n* equal to 0, -1 and -2 in the cluster mass regime. Huss, Jain & Steinmetz (1997) present a list of effective spectral indices for a number of popular cosmological models: a cold dark matter model with $\Omega_0 = 0.3$, $\Lambda = 0$ and $H_0 = 70$ km s⁻¹ Mpc⁻¹ has $n_{eff} = -1.74$, for example. We also allow Ω_0 to vary and investigate both open models without a cosmological constant [$\lambda_0 \equiv \Lambda/(3H_0^2) = 0$] and flat models where $\Omega_0 + \lambda_0 = 1$. We assume a value of $H_0 = 50$ km s⁻¹ Mpc⁻¹ throughout this work, in accordance with the traditional treatment of X-ray data, but most of our analysis is independent of this choice.

The Press–Schechter formalism predicts the number density of collapsed dark matter haloes as a function of their mass and redshift. Since what we observe is the band-limited X-ray luminosity $[L_X(E)]$, we need to find a way to relate these quantities to one another. Rather than adopt a specific 'microphysical' model to describe the relationship between mass and bolometric luminosity, we assume that it can be adequately described by a power law in the mass regime of interest, and then fit the free parameters of the power law using the local XLF. The emissivity of the gas is modelled as a thermal bremsstrahlung spectrum, which is integrated to determine the fraction of the bolometric luminosity falling in the appropriate energy band. We use the *ROSAT* Brightest Cluster Sample (BCS) compiled by Ebeling et al. (1997) to constrain the parameters of the fit.

Since X-ray luminosity is proportional to the squared density of ions in the intracluster medium (ICM), and since the early Universe was denser than it is today, it is reasonable to expect that distant clusters may be stronger X-ray emitters despite having lower overall masses. Arguments based on self-similarity predict evolution in the bolometric X-ray luminosity of the form $L_X \propto M^{4/3}(1 + z)^{7/2}$ (Kaiser 1986), implying strong positive evolution in the luminosity of objects at a fixed mass. However, selfsimilarity is not particularly well justified either observationally or theoretically; models that invoke a minimum central entropy in the cluster gas fare better in many respects (Evrard & Henry 1991; Kaiser 1991).

The issue of evolution in the XLF has been hotly pursued among observers; whether or not any evolution exists, however, still seems an open question. Early work on the Extended Medium Sensitivity Survey (EMSS) cluster sample by Henry et al. (1992) and Gioia et al. (1990a,b) found intriguing evidence for evolution in the cluster population. These results sparked interest but were preliminary analyses that suffered from occasional misclassifications and the vagaries of small-number statistics. These same clusters were recently reanalysed with new X-ray observations by Nichol et al. (1997), who found that the data were consistent with no evolution in the cluster population out to redshifts of about 0.3. The BCS set of 199 clusters mentioned above also shows no evidence of evolution in the XLF at low redshifts. More distant observations include those of Castander et al. (1995), Luppino & Gioia (1995), and Collins et al. (1997). Luppino & Gioia have been collecting high-redshift clusters from the EMSS sample and finding number densities higher than the standard $\Omega = 1$ cold dark matter (CDM) model predicts, which could indicate either a low-density universe or the existence of a strong degree of evolution. Castander et al. have found a dearth of high-redshift clusters relative to a simple no-evolution prediction based on integration of the local XLF. Collins et al., however, compiled a sample of high-reshift clusters from the SHARC (Serendipitous High-redshift Archival *ROSAT* Cluster) survey, and found significantly higher numbers at z > 0.3.

Given the lack of consensus in this debate, we allow for freedom in how luminosity scales with redshift at a fixed mass by writing $L_X \propto (1 + z)^s$. The cosmological models described above are then examined under varying degrees of evolution in the X-ray luminosity, yielding four interesting free parameters for the models considered in this paper: Ω_0 , λ_0 , *n* and *s*. Armed with the above assumptions, we extrapolate the local cluster abundances to high redshifts and predict the surface densities of clusters at low fluxes. Comparing predictions with recent observational determinations of the cluster log *N*-log *S* relation (Rosati & Della Ceca, in preparation; Jones et al. in preparation) allows us to make statements about the relative probabilities of the models.

In Section 2, we describe the mathematical model used and the process of fixing a subset of parameters with local observations. In Section 3, we describe our method of predicting the log N-log S relation and the status of current measurements of this quantity. We also discuss the likelihoods of individual models and the cosmological constraints which can be obtained from analysis of the log N-log S relation alone. In Section 4, we go on to explore further ways of discriminating among cosmologies, by making use of the luminosity–temperature relation and redshift distributions of flux-limited samples. Finally, in Section 5, we sum up our results and suggest future directions for theory and observation in this rich field.

2 FIXING THE MODEL

2.1 Theoretical framework

The method described here is similar to that put forward by Evrard & Henry (1991, hereafter referred to as EH91). The first step in predicting the number of observable X-ray clusters at a given flux limit is to model the distribution of these objects. Given that X-ray clusters correspond to virialized dark matter (DM) haloes, we assume that the population of these objects is well-described by a Press–Schechter distribution (e.g. Lacey & Cole 1993):

$$\frac{\mathrm{d}n(M,z)}{\mathrm{d}M} = -\sqrt{\frac{2}{\pi}} \frac{\bar{\rho}(z)}{M^2} \frac{\mathrm{d}\ln\sigma}{\mathrm{d}\ln M} \nu(M,z) \exp\left[\frac{-\nu^2(M,z)}{2}\right],\tag{1}$$

where dn(M, z) is the number density of collapsed haloes in the mass range [M, M + dM] and $\bar{\rho}(z)$ is the mean background density at redshift *z*. The normalized fluctuation amplitude $\nu(M, z)$ is defined as $\delta_{c0}(z)/\sigma(M)$, where $\sigma(M)$ is the variance of the fluctuation spectrum filtered on mass scale *M* and $\delta_{c0}(z)$ is the linearly evolved overdensity of a perturbation that has collapsed and virialized at a redshift *z* (see Appendix A). We assume a scale-free power spectrum $P(k) \propto k^n$, so the variance can be written

$$\sigma(M) = \sigma_{15} M^{-\alpha},\tag{2}$$

where the subscript indicates that the normalization is to a mass of $10^{15} h_{50}^{-1} M_{\odot}$ (with $h_{50} = H_0/50 \text{ km s}^{-1} \text{ Mpc}^{-1}$) and

 $\alpha = (n + 3)/6$. Connection to the conventional normalization σ_8 within $8h^{-1}$ Mpc spheres is straightforward:

$$\sigma_{15} = \left[\frac{4\pi}{3} (16 \, h_{50}^{-1})^3 \rho_{\rm c} \Omega_0 / 10^{15} h_{50}^{-1}\right]^{\alpha} \sigma_8 = (1.19\Omega_0)^{\alpha} \sigma_8,\tag{3}$$

where $\rho_c = 3H_0^2/8\pi G$ is the critical density. The power spectrum normalization deduced from cluster abundances follows the empirical fitting function

$$\sigma_8 = c_1 \Omega_0^{-c_2} \tag{4}$$

where there is good, but not exact, agreement in the literature on the values of c_1 and c_2 (White, Efstathiou & Frenk 1993; Viana & Liddle 1996; Eke et al. 1996). For our calculations, we use $c_1 = 0.60$,

$$c_2(\Omega_0) = 0.36 + 0.31\Omega_0 - 0.28\Omega_0^2 \tag{5}$$

for open models with $\lambda_0 = 0$, and

$$c_2(\Omega_0) = 0.59 - 0.16\Omega_0 + 0.06\Omega_0^2 \tag{6}$$

for models with $\Omega_0 + \lambda_0 = 1$. These fitting functions were taken from Viana & Liddle (1996). There is a random uncertainty on σ_8 of approximately \pm_{27}^{37} per cent, the main component of which results from the cosmic variance in the local cluster population. Since this is taken into account in a different manner later in the paper (Section 3.3), we treat the above value as exact.

We assume that the bolometric X-ray luminosity of clusters follows a power law in mass and redshift:

$$L_{\rm X} = L_{15} \ M^p \ (1+z)^s \tag{7}$$

over a range of 10^{13} to 10^{16} M_{\odot} in mass and $z \le 2$ in redshift. Here, and throughout the paper unless specified otherwise, the mass *M* is in units of $10^{15} h_{50}^{-1}$ M_{\odot}. Although assuming that this simple mass dependence holds over three orders of magnitude may seem unreasonable, the abundance of objects drops quite sharply outside a central range of about 1.5 decades for the flux limits that we are considering. High-mass objects are rare at any epoch, and low-mass objects quickly become invisible at larger redshifts; thus any deviations from a power law outside this range will have little effect on our predictions. The intrinsic luminosity at fixed mass increases with redshift for s > 0. For reasons which will be made apparent, we focus attention on such 'positive luminosity evolution' models, although it is straightforward to extrapolate our results to models with negative luminosity evolution.

What values of the parameters p and s are expected? On dimensional grounds, we can write a scaling relation for the bolometric luminosity as

$$L_{\rm X} \propto \int {\rm d}V \rho^2 T^{1/2} \propto M^{4/3} (1+z)^{7/2} I(M,z), \tag{8}$$

where the virial theorem $T \propto M^{2/3}(1+z)$ and the assumption of clusters as regions of fixed overdensity $\int dV\rho^2 \sim M\bar{\rho}(z)\sim$ $M(1+z)^3$ with constant gas fraction are used to produce the scalings on the right-hand side. Here I(M, z) is a form factor which retains the information on the mean internal density and temperature profiles of the clusters. Kaiser (1986) derived the above scaling under the assumption of self-similarity of the cluster population across both mass and epoch, so that I(M, z) = constant. Although self-similarity may apply to the cluster population, it requires rather restrictive conditions – gravitational shock heating should be the dominant heating mechanism, cooling should be unimportant, variations in gas fraction must be small, clusters must have similar internal structure, and so on. EH91 presented empirical evidence against self-similar scaling in mass for $\Omega = 1$ models from the shape of the luminosity function. (We return to this point below.) Kaiser (1991) and EH91 presented alternative models which invoked constant entropy either throughout the cluster gas or in the central core, respectively. The constant core entropy models of EH91 yield p = 11/6 and s = 11/4.

The approach taken here is to let the observations dictate appropriate values of p and s. The value of p then reflects a composite mean description summarizing the mass sensitivity of cluster internal structure, gas fraction, cooling flows, efficiency of star formation and other microphysics. Variance in this relation is discussed below. The evolution parameter s encompasses timedependent phenomena such as the changing overall density of the universe, the efficiency of radiative cooling, and heating of the ICM via gravitational collapse or supernova injection. Bower (1997) discusses the connection between cluster entropy and the evolution parameter. The value s = 11/4 indicates that these processes are balanced; higher values indicate that heating mechanisms are dominant, while lower values indicate that cooling mechanisms are dominant.

The above discussion pertains to the bolometric cluster luminosity. In practice, the X-ray luminosity within some range of photon energies, denoted by energy band *E*, is required to connect with the observational data. The observed luminosity $L_X(E)$ is a fraction of the bolometric luminosity

$$L_{\rm X}(E) = L_{\rm X} f_E[T(M, z), z],$$
 (9)

where the factor $f_E[T(M, z), z]$ is found by numerically integrating the bremsstrahlung emissivity over the proper energy range. As in EH91, we use an approximation to the Gaunt factor of $g(E, kT) = 0.9(E/kT)^{-0.3}$ in this calculation. The temperature of the cluster is related to the mass according to the equation kT(keV)= $3.96M^{2/3}(1 + z)$, a scaling law that is well supported by threedimensional hydrodynamic simulations (Evrard 1990a,b; Evrard, Metzler & Navarro 1996).¹ Since the cluster temperature and emitted photon energy both scale as (1 + z), the redshift dependence for a fixed received energy band drops out and the X-ray luminosity fraction can be easily tabulated as a function of *M* or *T* alone. We use an energy range of 0.1 - 2.4 keV when comparing our model with the local luminosity function, and 0.5 - 2.0 keV when predicting cluster number counts.

2.2 Constraints from the local luminosity

The free parameters of our mass–luminosity model are L_{15} , p and s. Since the extent of redshift evolution is still in question, we allow s to vary in the range $0.0 \le s \le 6.0$. L_{15} and p can then be determined by inverting equation (7) and expressing the Press–Schechter abundance as $n(L_X, z)dL_X$. The resulting function is then fitted to the BCS XLF of Ebeling et al. (1997) at a redshift of 0.1, the average of the entire sample. By treating the whole BCS as if it were at a single redshift, we accept the loss of a small amount of evolution information in trade for greatly improved precision on L_{15} and p. Fig. 1 shows the results of fitting a standard CDM-like model ($\Omega = 1$, n = -1, $\lambda_0 = 0$ and s = 3.5) to these data; the parameters that produce the smallest chi-squared are $p = 3.38 \pm 0.17$ and $L_{15} = 2.50 \pm 0.16 \times 10^{44} h_{50}^{-2}$ erg s⁻¹. The BCS sample was chosen for its high statistical significance; other samples such as

¹Although using this equation assumes that the cluster gas is fully virialized, the value of the band fraction is not strongly dependent on temperature and minor deviations from equilibrium will not significantly affect the results of this paper.

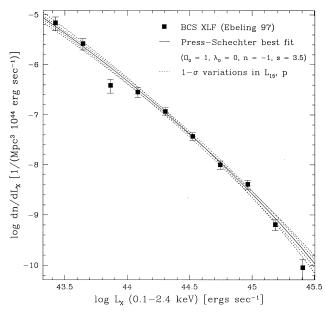


Figure 1. The BCS XLF of Ebeling et al. (1997). A change in the slope of the abundance function is evident around an X-ray luminosity of 3×10^{44} erg s⁻¹. The solid line is the least-squares fit to a Press–Schechter form as described in the text. The dotted lines are the curves that correspond to L_{15} and *p* values at the vertices of the 1σ error ellipse derived from the covariance matrix of the fit. The BCS XLF extends over a larger luminosity range than is shown here, but the additional data points do not help to constrain the parameters further.

the EMSS (Henry et al. 1992) and ESOKP redshift survey (de Grandi 1996) give consistent results but with larger error bars.

Values of L_{15} and p for any given cosmological model can be similarly determined to roughly 10 and 5 per cent accuracy, respectively. Fig. 2 displays the variation in L_{15} and p with Ω_0 and n at a constant value of s = 3.5. The level of accuracy displayed is representative of all models. We analyse each model individually and employ its best-fitting values for these parameters in subsequent calculations. All models provide good fits to the BCS XLF, with reduced chi-squared parameters of $\langle \chi^2 \rangle \approx 1.2$ over seven degrees of freedom.

The behaviour of L_{15} and p in Fig. 2 can be understood by examining the Press–Schechter abundance function in detail. The observed XLF has two clear features which can be easily related to these parameters: the overall normalization of the function, and the difference in slope between the low- and high-luminosity regions. The latter feature is a function of n and p only, so we will examine it first.

For a given set of cosmological parameters the form of the underlying mass function is completely fixed. For masses smaller than $M_{\star} \equiv (\sqrt{2}\sigma_{15}/\delta_{c0})^{1/\alpha}$, equation (1) behaves like a power law: $n(M) \sim M^{-2+\alpha}$. If $M > M_{\star}$, however, we have $n(M) \sim \exp[-(M/M_{\star})^{2\alpha}]$. As *n* increases, the exponential decay gets stronger and the power law gets shallower, which strengthens the bend in the mass function over the transition region. In making the transformation from n(M, z) to $n(L_X, z)$ we assume that $M \sim L_X^{1/p}$, which means that increasing *p* reduces the apparent slope in each regime by a common factor, resulting in a weaker bend at $L_X(M_{\star})$. In order for the predicted XLF to fit the BCS sample, we thus expect that larger values of *n* will require larger values of *p*. Similarly, *p* increases with Ω_0 to compensate for the general decrease of M_{\star} , which again tends to strengthen the exponential decay.

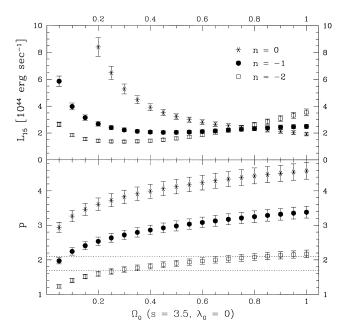


Figure 2. The best-fitting values of L_{15} and p for open cosmological models, assuming an evolution parameter s = 3.5. The error bars correspond to the formal 1σ uncertainty given by the diagonal elements of the covariance matrix. The overall shape of the curves and the level of uncertainty are similar in models that have different s or include a cosmological constant. The dotted lines are the limits on p that result if the observed luminosity–temperature relation is adopted as an additional constraint; this topic will be discussed in detail in Section 4.1. The numerical value of L_{15} , defined as the luminosity at mass $10^{15} h_{50}^{-1} M_{\odot}$, scales as h_{50}^{-2} .

The above argument is very general, and would hold whether the BCS XLF lay mostly above or below M_{\star} . The requirement that the observed normalization remain consistent with the BCS XLF has a much more complicated effect on the derived parameters. For n = -2, M_{\star} drops rapidly in the range $0 < \Omega_0 < 0.2$, and levels off somewhat but keeps dropping as Ω_0 increases. We thus expect that a fixed abundance will correspond to a smaller mass scale. The X-ray luminosity at that abundance is fixed by the BCS XLF, so we have $L_X(dn/dL_X) = L_{15}M(dn/dL_X)^p(1+z)^s = \text{constant}$. This makes the interplay between the parameters clearer: as Ω_0 increases, M drops and p rises. Although this way of thinking about the problem oversimplifies the interdependence of the variables, we should not be surprised to see a local minimum as these effects vary in strength. The case of n = 0 is simpler because M_{\star} varies very little with Ω_0 , so the steady fall of L_{15} and increase of p are driven almost entirely by this relationship.

There are dependences on *s* and λ_0 as well, but these are slight compared with those described above. Increasing *s* decreases L_{15} according to the factor $(1 + z)^s$ folded into the BCS fit, and does not affect *p* at all. Introducing a cosmological constant decreases L_{15} and *p* for low-density models, mainly because these universes require a larger δ_{c0} and therefore have a smaller M_{\star} .

The '1 σ variations' shown in Fig. 1 are the curves obtained by using the vertices of the covariance matrix error ellipse to modify L_{15} and p. The uncertainties depicted in Fig. 2 are the formal 1 σ error bars given by the diagonal elements of the covariance matrix. Since the functional form of the XLF is nonlinear, these limits should be taken as reasonable estimates of the variance rather than precise measurements of a confidence level.

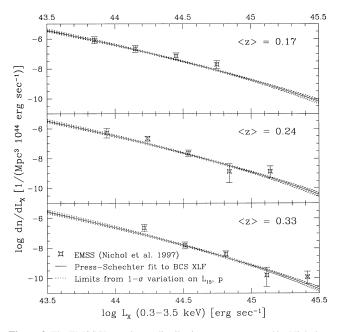


Figure 3. The EMSS X-ray cluster distribution as reconstructed by Nichol et al. (1997). The solid line represents the same best-fitting 'standard CDM' model as was shown in Fig. 1, and the dotted lines represent 1σ variations.

At this point we have six parameters that describe our models: $\Omega_0, \lambda_0, n, s, L_{15}$ and p. The first four are arbitrary, and the last two are determined from local observations. This is all the information we need to construct flux-limited statistics of the underlying Press-Schechter abundance for a given cosmology. We can make an additional test of the method by comparing our BCS-fitted distribution to the EMSS cluster sample (Henry et al. 1992), recently reanalysed by Nichol et al. (1997), which has the advantage of being binned in redshift. The results of this comparison are presented in Fig. 3, along with the same variations on the theoretical XLF that were displayed in Fig. 1. The theory with s = 3.5 and data are in good agreement, but the depth of sample is too small to provide significant leverage on s; models with s = 0.0 or s = 6.0 provide equally good fits. Putting good constraints on cluster evolution requires either a very large sample or a very deep sample, as we will see in the next section.

3 PREDICTIONS AND OBSERVATIONS

3.1 The log N-log S relation

The model as stated above is sufficient to describe the underlying abundances with clusters for a variety of universes. We wish to compare these abundances with recent observations of the log N-log S statistic. Calculating number counts as a function of observed flux is done by integrating the number density of clusters over mass and redshift, with a lower limit on the mass integral coming from the minimum mass required to meet the flux limit at each redshift.

The band-limited flux $S_X(E)$ is related to the observed, bandlimited X-ray luminosity by the usual relation

$$S_{\rm X}(E) = \frac{L_{\rm X}(E)}{4\pi r^2 (1+z)^2},\tag{10}$$

where r is the physical distance from the observer to the cluster determined by the cosmological parameters within the

Robertson–Walker metric. For a universe with $\lambda_0 = 0$, this gives

$$S_{\rm X}(E) = \frac{L_{15}H_0^2 q_0^4 (1+z)^s M^p f_E(M)}{4\pi c^2 [q_0 z + (q_0 - 1)(\sqrt{2q_0 z + 1} - 1)]^2}.$$
 (11)

This equation allows the minimum mass satisfying a given flux limit to be calculated at each redshift.

Depending on the form of the observations that we are trying to imitate, we have the option of adding in at this point a correction for the finite cluster size and the point response function of the telescope, or some other factor that represents the efficiency of the flux recovery. This factor can be either a pure number or, if you are willing to invoke a model for the surface brightness, a function of the cluster's angular diameter (e.g. EH91). As the observers have already made this correction in constructing the log N-log S relation, we calculate the total flux as given above.

The number of clusters per unit mass on the sky is calculated from the basic relation

$$dN = dn(M, z)dV = dn(M, z)\frac{r^2 dr}{\sqrt{1 - kr^2}}d\Omega$$
(12)

which can, with the appropriate function r(z) (Kolb & Turner 1990), be transformed into a function of redshift:

$$\frac{\mathrm{d}N}{\mathrm{d}z\mathrm{d}\Omega} = \frac{c^3\mathrm{d}n(M,z)}{H_0^3(1+z)^3q_0^4} \frac{[q_0z + (q_0-1)(\sqrt{2q_0z+1}-1)]^2}{\sqrt{1-2q_0+2q_0(1+z)}},$$
(13)

which again holds for $\lambda_0 = 0$. Integrating this function over redshift with the lower mass limit given by equation (11) gives the number of visible clusters in the sky per steradian as a function of limiting flux $S_X(E)$. Similarly, we can construct a differential log N-log Srelation or keep track of the total number in each redshift bin to predict redshift distributions.

The situation for $\Omega_0 + \lambda_0 = 1$ universes is slightly more complicated in that the function r(z) cannot be expressed in simple analytic form. It can, however, be tabulated and used to calculate the volume element and observed flux to arbitrary accuracy. Appendix B contains the details of this derivation.

3.2 ROSAT data and comparisons

We use log *N*-log *S* data from two independent, serendipitous samples of X-ray clusters derived from deep, pointed *ROSAT* observations. The work of Rosati & Della Ceca (in preparation) includes 125 clusters over a total sky area of about 35 square degrees, and goes down to a flux limit of 2×10^{-14} erg s⁻¹ cm⁻². The work of Jones et al. (in preparation) includes 34 clusters and goes from 4×10^{-14} to 2×10^{-13} erg s⁻¹ cm⁻². Both groups employ different methods and assumptions which we now discuss.

Rosati & Della Ceca (in preparation) use a wavelet decomposition algorithm to identify extended sources in *ROSAT* PSPC fields, and employ the wavelet coefficients to reconstruct the total flux of each source. This technique is subject to large random errors on clusters of low signal-to-noise ratio, but is no worse off in these terms than fitting the cluster profile to a standard (e.g. King) model. There is also a bias inherent in reconstructing the area under a non-Gaussian profile with a Gaussian wavelet, but this is small compared with the sources of random error in the problem. In his doctoral thesis, Rosati (1995) presents details of the reconstruction process. He also implements a sophisticated correction for the sky coverage, which takes into account distortion in PSPC images at large off-axis angles and varies with the angular diameter and flux of the source. Uncertainties in the integral counts include Poisson noise, uncertainties in the sky coverage fraction, and the random errors inherent in reconstructing the flux of an image with low signal-to-noise ratio. The full error treatment was not available for the differential counts, which have simple Poisson error bars.

The work of Jones et al. is derived from the WARPS (Wide-Angle *ROSAT* Pointed Survey) cluster survey of Scharf et al. (1997), which uses the VTP (Voronoi Tessellation and Percolation) analysis method (Ebeling & Wiedenmann 1993; Ebeling 1993) to detect sources. To account for any flux that might be hidden under the background level, they assume a standard β -model form with $\beta = 2/3$, derive a normalization and core radius from the source profile, and integrate in the region of low signal-to-noise ratio to find an appropriate correction factor. The model is not used to determine the overall flux, just to make a second-order correction to the VTP count rates. Details of their flux correction procedure can be found in Ebeling et al. (1996b). The error bars of this data set are strictly Poisson and are claimed to dominate all other sources of error. Numbers used in this analysis were kindly made available by L. Jones prior to publication.

Both groups attempt to construct the $\log N - \log S$ relation in a largely model-independent fashion. The advantage of this approach is that it avoids building in biases based on questionable assumptions. The disadvantage is that it makes it difficult to assess sample completeness in a systematic fashion; it is unclear how large a population may be missing from the detected counts because of low surface brightness and/or large angular extent. Of course, comparing results of the independent groups is a simple gauge of systematic effects. The data, shown in Fig. 4, indicate consistency within modest statistical errors. Given that it is easier to err in the direction of missing extended, low surface brightness sources, it is reasonable to interpret the current data as providing firm lower limits to the true cluster counts, and accurate estimates if no such population exists. Thus a model that underpredicts the number of clusters can be excluded with somewhat greater confidence than one that overpredicts the observed counts.

Fig. 4 gives an example of the integrated log *N*-log *S* relation for a universe with $\Omega_0 = 1$, $\lambda_0 = 0$, s = 3.5 and n = -2. Superimposed are the observational data from Rosati & Della Ceca (in preparation) and the WARPS sample. The data are shown in the traditional cumulative form here so that readers can easily compare them with familiar results. The points of each sample are not statistically independent, and the uncertainties shown on Rosati's points are larger than the Poisson uncertainties used in evaluating these models. A crude judgement of goodness of fit can be made by comparing the model with the faintest point of each sample. This particular model underpredicts the number of clusters, to a moderate but still significant degree. This is true even for the log *N*-log *S* curves that arise from modifying L_{15} and *p* through the vertices of their 1 σ error ellipse, shown as the dashed lines in the figure.

Figs 5 through 7 demonstrate the sensitivity of the counts to variations in Ω_0 and *s*. Fig. 5 displays the changes that occur as Ω_0 decreases from 1.0 to 0.2 while *s* is held constant at a value of 3.5. Clearly, low- Ω_0 universes produce higher counts, a reflection of the earlier formation of the cluster population in such models (Richstone, Loeb & Turner 1992) as well as the larger volume element per redshift interval. Fig. 7 displays the log *N*–log *S* relation for the same five universes but with a mild evolution parameter *s* = 1.0. Reducing *s* has the effect of reducing the differences between universes with different density parameters as well as lowering the overall number of clusters, since a lack of positive luminosity evolution quickly dims the high-redshift population expected in open models. This extinction has a proportionally larger effect on the low- Ω_0 models, for which a given flux limit represents a deeper probe.

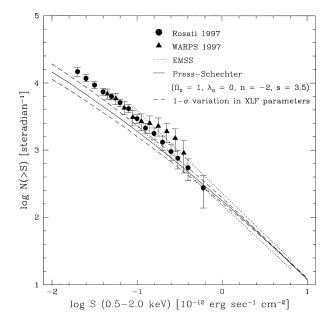


Figure 4. The integrated cluster surface density above an X-ray flux limit *S*. The solid line represents the best-fitting values of $L_{15} = 3.556$ and p = 2.174, while the dashed lines represent 1σ variations around these values as described in the text and displayed in Figs 1 and 3.

The effect of changing *s* while holding Ω_0 fixed is shown in Fig. 6. The variation in counts seen here is a combination of two factors: first, a model with less positive evolution in the cluster luminosity will contribute fewer objects to the log *N*-log *S* relation at a given flux limit; secondly, the overall normalization of the mass-luminosity relation will be larger if *s* is smaller. [The second effect arises from the approximation that the entire BCS sample lies at an average redshift of 0.1, which introduces a dependence of L_{15} and *p* on *s* – see equation (7).] The two effects change in strength and work in opposite directions, so the variation shown in Fig. 6 cannot be taken as universal. In particular, low-density models display a much stronger dependence on *s* than models in which $\Omega_0 = 1$; this can be understood as an increase in the importance of the high-redshift population relative to the local sample.

3.3 Examination of the Ω_0 -s plane

Changing the cosmological parameters over the proposed range can produce massive overabundances or moderate underabundances. To assess the likelihood of each model we compare the predicted differential log N-log S relation (the number of clusters in a specified flux bin) with the data points and calculate the reduced chi-squared factor for that model. The full set of data used to constrain our parameter space includes the faintest six data points from the WARPS sample and 10 points from Rosati's, for 16 degrees of freedom altogether. The use of differential data means that statistical errors are formally independent. We employ a chisquared statistic because it provides a measure of the absolute goodness of fit for the models, assuming the error bars are Gaussian. Our results would be similar were we to employ a maximum likelihood approach. The main disadvantage of this approach is the difficulty in including the uncertainty in L_{15} and p for a particular model. We adopt an approximate procedure to solve this problem, which will be described shortly.

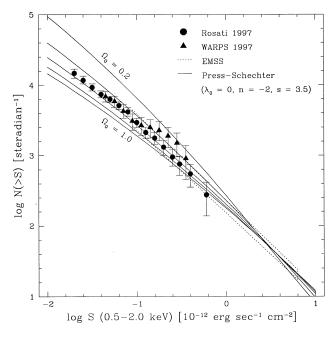


Figure 5. The integrated cluster surface densities for models with $\lambda_0 = 0$, n = -2 and s = 3.5, while Ω_0 takes on the values 0.2, 0.4, 0.6, 0.8 and 1.0.

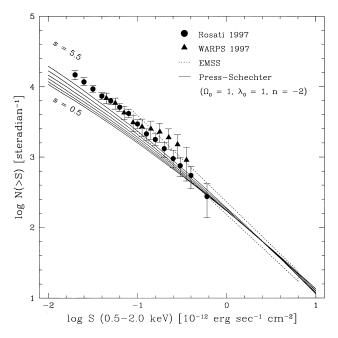


Figure 6. The integrated cluster surface densities for models with $\lambda_0 = 0$, $\Omega_0 = 1.0$ and n = -2, while *s* takes on the values 0.5, 1.5, 2.5, 3.5, 4.5 and 5.5.

Figs 8(a)–(c) present the 'likelihood' plots of all models having n = 0, -1 and -2, respectively. The value displayed in the upper row for each model is the square root of the reduced chi-squared parameter $\langle \chi^2 \rangle^{1/2}$, where the average is over all data points in the log N–log S relation. The dotted contours in the upper row mark models that deviate from the data at 1σ , 2σ and 3σ confidence levels. The main limitation in the ability of theory to predict abundances accurately at this level of analysis is the determination of L_{15} and

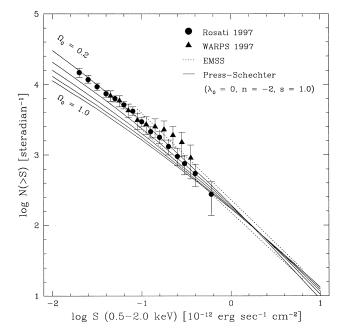


Figure 7. The integrated cluster surface densities for models with $\lambda_0 = 0$, n = -2 and s = 1.0, while Ω_0 takes on the values 1.0, 0.8, 0.6, 0.4 and 0.2. Note that a lower value of *s* greatly suppresses the differences between low-density and high-density models, because high-redshift clusters become harder to observe.

p. Although an accuracy of 5 to 10 per cent is quite good (and quite possibly as good as we are going to get, since this method is limited by the number of nearby clusters), varying these numbers within their confidence limits can still produce considerable changes in the predicted log N-log S relation (see Fig. 4).

To account for this, we calculated the likelihood parameter, $\langle \chi^2 \rangle^{1/2}$, for the four vertices of the standard error ellipse in $L_{15}-p$ space as well as for the best-fitting model. The uncertainty shown is the difference in $\langle \chi^2 \rangle^{1/2}$ between the best-fitting case and the perturbed case that gave the smallest value of the likelihood parameter. The results are presented in the lower rows of Figs 8(a)–(c) and can be interpreted as a '1 σ ' uncertainty in the contours of the top row. Keep in mind that this variance is not symmetric; we present only the difference in the direction of greater likelihood. Since our aim here is to provide a reasonable indication of the uncertainty in the modelling, this heuristic error estimate seems sufficient. The data were presented in this manner to make it possible for the reader to estimate constraints at other confidence levels.

It is clear from Figs 8(a)–(c) that the results are not strongly affected by the value of λ_0 . Introducing a cosmological constant increases the volume element per redshift bin throughout most of the integration range, which reduces the observed flux of each cluster, but it also increases the total surface density of clusters. As can be seen from a close examination of the figures, in most regions of the parameter space introducing a cosmological constant slightly raises the value of Ω_0 required for a good fit. Since raising Ω_0 lowers the number of clusters, we conclude that increased integration volume is the dominant effect for most models. In other words, setting $\lambda_0 \neq 0$ tends to increase the surface density of clusters, and a higher value of Ω_0 is needed to compensate.

Changing the spectral index n has a quite strong effect on the derived mass–luminosity relationship (Fig. 2), which as we will see

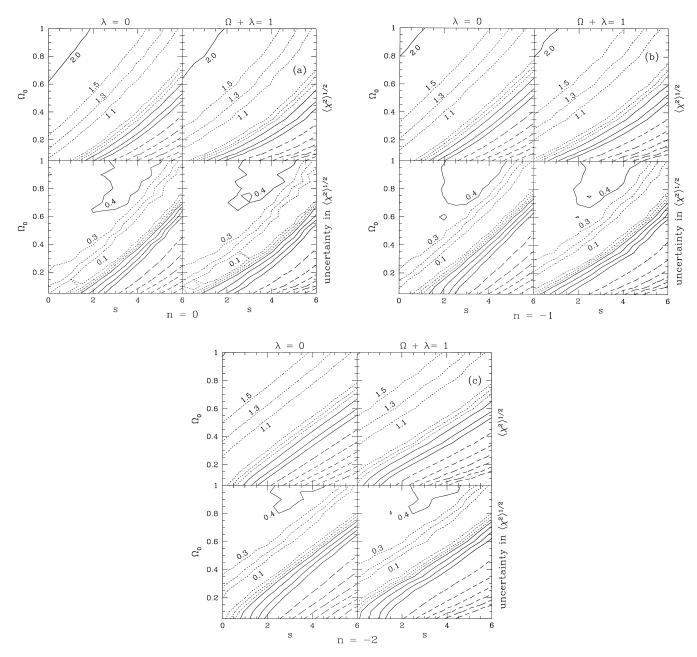


Figure 8. The square root of the reduced chi-squared parameter for all models is displayed, as well as the 'uncertainty' in this parameter, the derivation of which is described in the text. In the upper row, dotted line contours have been drawn corresponding to $\langle \chi^2 \rangle^{1/2} = 1.07$, 1.28 and 1.51, which represent formal probabilities of about 30, 5, and 0.3 per cent that the data come from the same underlying distribution as the model and differ only through Gaussian random variations. Solid line contours have been drawn at $\langle \chi^2 \rangle^{1/2} = 2.0, 3.0$ and 4.0, which correspond to very small probabilities but may be useful in combination with the uncertainties. The dashed lines are drawn every time the likelihood parameter doubles thereafter, i.e. at levels of 8.0, 16.0, etc. In the lower row, the dotted line contours are drawn at uncertainty levels of 0.1, 0.2 and 0.3; the solid lines at levels of 0.4, 0.6, 0.8 and 1.0, and the dashed lines at each doubling over 1.0. Note that, although the uncertainties get very high in the region of low Ω_0 and high *s*, the likelihood parameter is larger still by about a factor of 4. Panel (a) displays models with n = 0, panel (b) with n = -1 and panel (c) with n = -2.

can have important consequences. Because all of the models are constrained to agree with local abundances, however, *n* has limited leverage on the distant cluster population. The main effect on the log N-log S relation is that models with larger *n* have sharper exponential cut-offs in their mass distribution, resulting in a lower cluster surface density. The best-fitting models for n = 0 thus have lower Ω_0 and greater luminosity evolution to compensate.

The clearest relationship visible in Figs 8(a)-(c) is, of course,

that between the density and evolution parameters, Ω_0 and *s*. It seems from these results that if we wish to believe in the degree of evolution indicated by self-similar or constant entropy arguments (s = 3.5 and 11/4, respectively) then we obtain a useful lower bound of $\Omega_0 \ge 0.3$. The lower end of this range is consistent with limits from the mean intracluster gas fraction of clusters, which give $\Omega_0 h^{2/3} = 0.30 \pm 0.07$ (Evrard 1997) in the most straightforward interpretation.

Table 1. A summary of the constraints that can be placed on Ω_0 and *s* using the information in Figs 8. All of these constraints are at the 95 per cent confidence level, after accounting for the displayed uncertainty in the chi-squared parameter.

| | | $\lambda_0 = 0$ | | | $\Omega_0+\lambda_0=1$ | |
|------------------|---------------------|----------------------|----------------------|---------------------|------------------------|---------------------|
| Constraint | n = 0 | n = -1 | n = -2 | n = 0 | n = -1 | n = -2 |
| $\Omega_0 = 1.0$ | $s \ge 3.5$ | <i>s</i> > 3.0 | <i>s</i> > 2.5 | $s \ge 3.5$ | <i>s</i> > 3.0 | <i>s</i> > 2.5 |
| s = 3.5 | $\Omega_0 > 0.20$ | $\Omega_0 > 0.25$ | $\Omega_0 > 0.35$ | $\Omega_0 > 0.20$ | $\Omega_0 > 0.25$ | $\Omega_0 > 0.35$ |
| s = 1.0 | $\Omega_0 \le 0.45$ | $\Omega_0 < 0.60$ | $\Omega_0 \le 0.75$ | $\Omega_0 \le 0.45$ | $\Omega_0 < 0.65$ | $\Omega_0 < 0.80$ |
| s = 0.0 | $\Omega_0 < 0.30$ | $\Omega_0 \leq 0.40$ | $\Omega_0 \leq 0.60$ | $\Omega_0 < 0.35$ | $\Omega_0 \le 0.45$ | $\Omega_0 \le 0.65$ |

Conversely, if the degree of luminosity evolution is instead very small ($s \leq 1$) then the analysis strongly rules out a critical density universe. Recall that all the off-ridge models that have $\Omega_0 = 1$ underpredict the number of observed clusters (see Figs 5–7), and these should be treated more harshly given the possibility of survey incompleteness. It seems that if the universe does indeed have a critical density, we need at least a moderate degree of evolution in the cluster luminosity to fit the data well. The assumption of constant cluster entropy (s = 11/4) put forward in EH91 would be sufficient to allow such models. The preferred region, however, indicates an even larger degree of evolution. This could come about if radiative cooling occurs on a much longer time-scale than gravitational collapse (Bower 1997), or if a significant amount of energy is injected into the ICM by galaxies (e.g. Metzler & Evrard 1994).

The results of this analysis are summed up in Table 1. The limits put down are at the 95 per cent (about 2σ) confidence level (i.e. there is only a 5 per cent chance of the model randomly producing the observed data), *after* reducing the $\langle \chi^2 \rangle^{1/2}$ parameter by the uncertainty indicated in Figs 8(a)–(c). The models are examined in intervals of 0.05 in Ω_0 and 0.5 in *s*, so a \geq or \leq sign just means that the limiting model was at or very near the required confidence level of 95 per cent. In addition, all of the disallowed models indicated here underpredicted the number of clusters, except for those that assumed *s* = 3.5. The constraints thus allow for a 1 σ variation in L_{15} and *p* tailored to bring the model closer to the data, and can be treated as conservative conclusions.

4 ADDITIONAL CONSTRAINTS FROM THE CLUSTER POPULATION

4.1 The luminosity-temperature relation

Because of the degeneracy between intrinsic luminosity evolution and cosmological evolution, the $\log N - \log S$ relation alone limits models to the ridge seen in Fig. 8. It is worthwhile to see what additional constraints can be placed on the parameter space by including additional observational information. One interesting question is what each model predicts for the X-ray luminositytemperature correlation. So far, we have made use of the virial relationship $T \propto M^{2/3}$ only to tabulate the band fraction. Because the fraction $f_E(T)$ for the ROSAT bands is a weakly dependent function of temperature, our results up to this point would change very little if we were to adopt a different T(M) behaviour. If we are willing to promote virial equilibrium to a strong assumption, however, we can use it as an independent test of the parameter p. There is good theoretical support from numerical simulations for virial equilibrium within the non-linear portions of clusters (Evrard et al. 1996) and modest empirical support for this assumption from analysis of the mean intracluster gas fraction (Evrard 1997).

Comparison with the values derived from the BCS XLF fit then provides an added, non-trivial constraint on the models.

The virial scaling assumption $T \propto M^{2/3}(1+z)$ translates directly into a bolometric L_X -T relation of the form

$$L_{\rm X} \propto T^{3p/2} (1+z)^{s-3p/2}.$$
 (14)

The observed relationship is, roughly, $L_X \propto T^{2.8\pm0.3}$ (Edge & Stewart 1991; Arnaud 1994). The quoted error in the slope is more generous than those reported in individual works, in order to allow for possible systematic uncertainties between different data sets. Consistency with the observed slope requires $p = 1.9 \pm 0.2$. This range, drawn in Fig. 2 as dotted horizontal lines, incorporates the value 11/6 appropriate for the constant central entropy model of EH91 (see also Bower 1997). A quick glance at the figure reveals that including this result puts a strong constraint on Ω_0 and *n*. If n = -1, this range of values is found only in very low-density universes ($\Omega_0 < 0.1$). For models with n = -2, on the other hand, we find acceptable values of *p* in the range $0.25 \le \Omega_0 \le 1.0$. If a cosmological constant is included, the allowed range in Ω_0 shifts to slightly higher values.

The real perturbation spectrum is not likely to be a pure power law, but this analysis indicates that models with effective spectral index $n \leq -1.5$ are favoured in universes with reasonable values of the density parameter. Such 'red' spectral values are also favoured by the shape of the temperature abundance function (Henry & Arnaud 1991; Oukbir, Bartlett & Blanchard 1997). A list of effective spectral indices $[n_{\text{eff}} \equiv d \ln P(k)/d \ln k]$ for common cosmological models can be found in Huss et al. (1997).

This estimate of the allowed range in n and Ω_0 is not set in stone. Some room to manœuvre around this conclusion can be gained by invoking a degree of scatter in the relationship between bolometric X-ray luminosity and binding mass. This will tend to flatten the slope and increase abundance at the bright end of the predicted luminosity function, implying a bias toward overestimating p and L_{15} compared to the case with no scatter. The amount of scatter is not known a priori, but a likely lower bound can be obtained from gas dynamic simulations.

Two sets of 18 cluster simulations by Metzler (1995) revealed a scatter of magnitude $\langle \delta(\log L_X)^2 \rangle = 0.047$ for simulations involving dark matter and baryon fluids, and $\langle \delta(\log L_X)^2 \rangle = 0.026$ for simulations that also included a model for the ejection of gas from galaxies into the ICM. Both of these simulations used the P3MSPH code developed by Evrard. An earlier set of 22 simulations by Evrard (1990b) displays a similar level of scatter. We have checked that including scatter at the larger of the above values causes a fractional change in the best-fitting *p* of at most 10 per cent, comparable to the uncertainty from the BCS XLF fit. We conclude that these modest levels of scatter would not strongly affect our results. Larger amounts of scatter would lead to

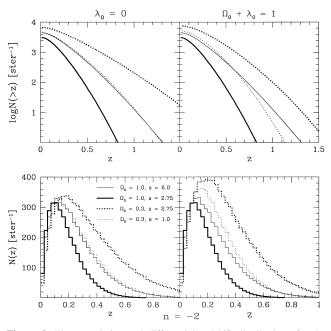


Figure 9. The cumulative and differential redshift distributions for the n = -2 models described in the text. The effect of changing Ω_0 and *s* can be clearly seen here: increasing Ω_0 sharply reduces the number of high-redshift clusters, while increasing *s* brings the lower-mass objects above the flux limit.

downward revisions in our best-fitting values of p in Fig. 2, which would somewhat relax constraints on the effective spectral index on cluster scales.

Another important prediction from equation (14) is that we expect redshift evolution in the bolometric luminosity at a fixed temperature proportional to $(1 + z)^{s-3p/2}$. Since fitting the local relation requires $3p/2 = 2.8 \pm 0.3$, the expectation is of an offset in the intercept of the $L_X - T$ relation of amplitude

$$\Delta[\log L_{\rm X}(z)]|_{T} = [s - (2.8 \pm 0.3)]\Delta[\log(1+z)]$$
(15)

independent of spectral index or cosmology. Both the original self-similar value s = 7/2 and the constant central entropy value s = 11/4 predict very little change in the intercept of the L_X-T relation back to $z \approx 0.5$. The full range that we investigate, $s \in [0, 6]$, translates into shifts of factors ranging from about one-third to three at z = 0.5.

Comparing a recent compilation of 15 distant clusters $(\langle z \rangle \approx 0.33)$ by Henry (1997) with a similar sample of the nearby population $(\langle z \rangle \approx 0.07)$ by David et al. (1993) provides us with an early estimate of this shift. Comparing the intercepts of the two samples, we find $\Delta(\log L_X)/\Delta[\log(1+z)] = 1.1 \pm 1.1$ at the 1σ level, which corresponds to a plausible range of $2.5 \le s \le 5.3$. The observations rule out very low values of the evolutionary parameter *s*, and therefore eliminate the low- Ω_0 end of the allowed ridge in Fig. 8.

4.2 Redshift distributions

Additional constraints can be found by examining the redshift distribution of the clusters, which can be expected to vary strongly with our choice of cosmology. Indeed, Oukbir & Blanchard (1997), employing methods similar to ours, found the redshift distribution in the EMSS sample (Gioia & Luppino 1994) to be well fitted by either a low-density model with mild evolution ($\Omega_0 = 0.2$, s = 0.5 in our terminology) or an Einstein–de Sitter model with more rapid evolution ($\Omega_0 = 1$, s = 3.8). These models lie along the ridge allowed by the *ROSAT* counts in our analysis.

As discussed in Section 3.1, the redshift distribution is straightforward to calculate for a given model and flux limit. Although obtaining accurate redshifts for clusters is a time-consuming process, the shape of this statistic is not strongly dependent on small differences in the efficiency of flux reconstruction or the choice of model for cluster surface brightness. A confident flux limit and a well-understood sky coverage function, are, however, necessary to predict the normalization of these curves.

Fig. 9 displays the differential and cumulative distributions at a flux limit of 5.5×10^{-14} erg s⁻¹ cm⁻² in the band 0.5–2.0 keV, the parameters of the WARPS survey. Each category of models is represented by four specific choices within the region of plausible likelihood, all of which assume n = -2:

(i) $\Omega_0 = 0.3, s = 1.0;$ (ii) $\Omega_0 = 0.3, s = 2.75;$ (iii) $\Omega_0 = 1.0, s = 2.75;$ (iv) $\Omega_0 = 1.0, s = 6.0.$

Models (i) and (iv) fit all of the log N-log S data quite well, lying near the centre of the ridge described by Fig. 8(c), but model (i) predicts a decrease in the zero-point of the L_X-T relation that is larger than observed. Models (ii) and (iii) are given as reference points, and to display the effects of moving perpendicular to the ridge in the Ω_0 -s plane. These models are ruled out at about the 95 per cent confidence level after subtracting the uncertainty in their likelihood parameters, but make the effect of changing the parameters clearer.

As one can see, the redshift distribution does not discriminate well between models that lie on the ridge in Fig. 8(c), but displays significant differences when the parameters are changed in other directions. Samples of size approaching 100 clusters will soon be arriving (Rosati, private communication) and these will provide stringent tests of the models by constraining the area under the high-redshift tail of the distribution. In particular, if $\Omega_0 \simeq 0.3$ as indicated by the mean intracluster gas fraction and *s* is close to the 11/4 fixed core entropy value (model ii), then we predict that 10 per cent of clusters above the WARPS survey flux limit should lie at $z \ge 0.75$.

5 CONCLUSIONS AND FUTURE DIRECTIONS

We assume that the underlying number density of clusters as a function of mass is well described by the Press–Schechter function, and model the scaling of cluster bolometric luminosity and temperature as power laws in binding mass and epoch. For a given cosmological model, we determine the free parameters of the current mass–luminosity relation by fitting the predicted X-ray luminosity function to the BCS XLF (Ebeling et al. 1997), and integrate the abundance function out to high redshifts to compute counts.

A direct comparison by means of chi-squared analysis made to differential log *N*-log *S* data from two *ROSAT* surveys produces a ridge of acceptable models following roughly $s \simeq 6\Omega_0$. If *s* is in fact about equal to 3 as expected from simple theoretical arguments (Kaiser 1986; EH91) then the universe seems to require at least a moderate density parameter $\Omega_0 \ge 0.3$ in order to bring the cluster number counts down to observed levels. Conversely, a universe with critical density is inconsistent with a low evolution parameter $s \le 2.5$; such models are strongly ruled out because they underpredict the number of clusters and are harder to save by invoking systematic errors in the surveys. Although changing the slope of the power spectrum and incorporating a cosmological constant also affect these results, these parameters contribute only slightly to the overall behaviour. An independent constraint from the local, observed L_X-T relation limits singles out the n = -2plane, further reducing the space of allowed models.

The log *N*-log *S* relation alone is a useful, but limited, discriminator of cosmological models. Because the local XLF is used to determine the mass-luminosity relationship, the predicted counts will always be limited in accuracy by the level of shot noise in the local cluster population. Assuming that the local XLF will not increase much in accuracy, this method has the potential to pin down a region in the Ω_0 -*s* plane with a width of about ± 0.1 in Ω_0 and ± 1.0 in *s*. This estimate was made under the assumption that the uncertainties arising from the XLF are the only significant ones; in practice this level of discriminating power could be achieved when the overall uncertainties on the log *N*-log *S* data have decreased to about one-third their current size.

One could try to improve the accuracy of this method by pushing observations down to a lower flux limit, but the returns are limited by the local XLF uncertainty. In order for a new data point at a fainter flux limit to be useful, it needs to have error bars significantly smaller than the uncertainty in the log N-log S relation from the local XLF. For example, the uncertainty on the integrated log N-log S relation at a flux limit of 10^{-15} erg s⁻¹ cm⁻² is about 30 per cent. We estimate that a data point with 10 per cent error bars would make a useful contribution to the discriminating power of this method. Assuming simple Poisson errors, this level of precision could be achieved with a sample of about 100 clusters, over 1–2 square degrees of sky. Toy models show that such a point would strengthen the lower limit on s for critical density universes, and greatly increase the lower limits on Ω_0 for models with moderate s.

Independent observations can be used to narrow the allowed range in parameter space. Assuming that clusters are in virial equilibrium, the shift in the zero-point of the L_X-T relation limits the evolutionary parameter *s*. Current observations at $\langle z \rangle \sim 0.3$ (Henry 1997; Mushotzky & Scharf 1997) indicate that *s* lies in the range 2.5 to 5. Similar data at higher redshifts, with a subsequently longer lever arm in equation (15), would be very useful in reducing the allowed range. Redshift distributions of X-ray fluxlimited samples provide additional independent constraints (Oukbir & Blanchard 1997; Bower 1997). The extent of the high-redshift tail of the distribution is a sensitive indicator of on which side of the Ω_0 *s* ridge the Universe lies. If $\Omega_0 \approx 0.3$ as indicated by the mean intracluster gas fraction (Evrard 1997) and $s \ge 11/4$, then we predict that at least 10 per cent of clusters above the WARPS survey flux limit should lie at redshifts $z \ge 0.75$.

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APPENDIX A: CALCULATING $\delta_{c}(z)$

The critical overdensity $\delta_c(z)$ is defined as the linearly extrapolated overdensity of a perturbation that has just collapsed at redshift *z*. For universes with $\Omega_0 = 1$, this number is the canonical $\delta_c = 1.686$; for open universes or flat universes with $\lambda_0 \neq 0$ this overdensity will be somewhat smaller. Since the CDM power spectrum used in our analysis is normalized to the *COBE* microwave background measurements and therefore the power spectrum at the present day, the critical overdensity of a perturbation collapsing at redshift *z* is further extrapolated to a redshift of zero using the linear growth factor appropriate to the cosmology. This quantity is labelled $\delta_{c0}(z)$. All the following relations are derived using the spherical collapse model.

For a flat universe with $\Omega_0 = 1$, we use the following relations:

$$\delta_{\rm c}(z) = \frac{3}{20} (12\pi)^{2/3},\tag{A1}$$

$$\delta_{\rm c0}(z) = (1+z)\delta_{\rm c}(z). \tag{A2}$$

For an open universe with $\Omega_0 < 1$ and $\lambda_0 = 0$ we use the relationships derived by Lacey & Cole (1993):

$$\delta_{\rm c}(z) = \frac{3}{2} D(z) \left[1 + \frac{2\pi}{\sinh(\eta) - \eta} \right]^{2/3},\tag{A3}$$

$$\delta_{\rm c0}(z) = \frac{D(0)}{D(z)} \delta_{\rm c}(z),\tag{A4}$$

$$D(0) = 1 + \frac{3}{x_0} + \frac{3\sqrt{1+x_0}}{x_0^{3/2}} \ln(\sqrt{1+x_0} - \sqrt{x_0}),$$
(A5)

where $x_0 \equiv \Omega_0^{-1} - 1$, $\eta \equiv \cosh^{-1}[2/\Omega(z) - 1]$, and *D* represents the linear growth factor.

Finally, for a flat universe with $\Omega_0 + \lambda_0 = 1$ we use an approximate parametrization to the Eke et al. (1996) results for $\delta_c(z)$:

$$\delta_{\rm c}(z) = 1.686\,60[1 + 0.012\,56\log\Omega(z)]. \tag{A6}$$

The form of the parametrization was inspired by Kitayama & Suto (1996). This reference also contains the functional form of the exact solution, which is a hypergeometric function of type (2,1). To get $\delta_{c0}(z)$ for this type of universe we use the solution for the linear growth factor found in Peebles (1980), Section 13:

$$D_1(x) = \frac{\sqrt{(x^3 + 2)}}{x^{3/2}} \int_0^x x_1^{3/2} (x_1^3 + 2)^{-3/2} dx_1,$$
(A7)

where $x = a/a_e$, and $a_e = [(1 - \lambda_0)/(2\lambda_0)]^{1/3}$, the inflection point in the scale factor. In our analysis this function is integrated numerically to find the growth factor at redshifts *z* and 0, and then $\delta_{c0}(z)$ again equals $\delta_c(z)D(0)/D(z)$.

APPENDIX B: THE VOLUME ELEMENT FOR $\lambda_0 \neq 0$

The number of clusters in a given volume element dV centred at redshift *z* and mass range dM is given by the Press–Schechter abundance times the differential volume:

$$dN(M) = n(M, z)dVdM = n(M, z)\frac{r^2 dr d\Omega}{\sqrt{1 - kr^2}}dM.$$
 (B1)

To integrate this function over a range in redshift rather than in physical distance, we need to find r(z) for a particular cosmology and make the transformation to the form

$$dN(M) = n(M, z)f(z)dz \, d\Omega \, dM.$$
(B2)

For a universe with $\Omega_0 + \lambda_0 = 1$, k = 0 and $f(z) = r^2 dr/dz$. The function r(z) can be tabulated by integrating along the null geodesic:

$$r = \int_0^r \mathrm{d}r' = \int_a^{a_0} \frac{\mathrm{d}a}{\dot{a}a}.$$
 (B3)

We can then express the Friedmann equation in terms of today's values of the cosmological parameters:

$$\dot{a} = aH_0\sqrt{\frac{\Omega_0}{a^3} + \lambda_0},\tag{B4}$$

and insert this into the geodesic integral to get r(z) in a straightforward manner. The integral obtained has an exact solution in terms of elliptic integrals of the first kind, but expressing it in this manner requires the integral to be performed from a = 0. In addition, for λ_0 greater than about 0.7, the elliptic integral must be evaluated outside its defined domain. It is computationally more reliable to evaluate the integral numerically. For those who are interested, the 'exact' solution is

$$\frac{r(z)}{r_0} = F\left(\cos^{-1}\left|\frac{1+(1-\sqrt{3})u_0}{1+(1+\sqrt{3})u_0}\right|, \kappa\right) - F\left(\cos^{-1}\left[\frac{1+(1-\sqrt{3})u_z}{1+(1+\sqrt{3})u_z}\right], \kappa\right),$$
where $u_0 = (\lambda_0/\Omega_0)^{1/3}$, $u_1 = u_0/(1+z)$, $\kappa = \sqrt{2+\sqrt{3}/2}$, and

where $u_0 = (\lambda_0/\Omega_0)^{1/3}$, $u_z = u_0/(1+z)$, $\kappa = \sqrt{2 + \sqrt{3}/2}$, and $r_0 = c/(H_0 \Omega_0^{1/3} \lambda_0^{1/6} \sqrt[4]{3})$.

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