

# Photosynthesis, carboxylation and leaf nitrogen responses of 16 species to elevated $p\text{CO}_2$ across four free-air $\text{CO}_2$ enrichment experiments in forest, grassland and desert

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## Abstract

The magnitude of changes in carboxylation capacity in dominant plant species under long-term elevated  $\text{CO}_2$  exposure (elevated  $pC_a$ ) directly impacts ecosystem  $\text{CO}_2$  assimilation from the atmosphere. We analyzed field  $\text{CO}_2$  response curves of 16  $\text{C}_3$  species of different plant growth forms in favorable growth conditions in four free-air  $\text{CO}_2$  enrichment (FACE) experiments in a pine and deciduous forest, a grassland and a desert. Among species and across herb, tree and shrub growth forms there were significant enhancements in  $\text{CO}_2$  assimilation ( $A$ ) by  $+40 \pm 5\%$  in elevated  $pC_a$  (49.5–57.1 Pa), although there were also significant reductions in photosynthetic capacity in elevated  $pC_a$  in some species. Photosynthesis at a common  $pC_a$  ( $A_a$ ) was significantly reduced in five species growing under elevated  $pC_a$ , while leaf carboxylation capacity ( $V_{\text{cmax}}$ ) was significantly reduced by elevated  $pC_a$  in seven species (change of  $-19 \pm 3\%$  among these species) across different growth forms and FACE sites. Adjustments in  $V_{\text{cmax}}$  with elevated  $pC_a$  were associated with changes in leaf N among species, and occurred in species with the highest leaf N. Elevated  $pC_a$  treatment did not affect the mass-based relationships between  $A$  or  $V_{\text{cmax}}$  and N, which differed among herbs, trees and shrubs. Thus, effects of elevated  $pC_a$  on leaf C assimilation and carboxylation capacity occurred largely through changes in leaf N, rather than through elevated  $pC_a$  effects on the relationships themselves. Maintenance of leaf carboxylation capacity among species in elevated  $pC_a$  at these sites depends on maintenance of canopy N stocks, with leaf N depletion associated with photosynthetic capacity adjustments. Since  $\text{CO}_2$  responses can only be measured experimentally on a small number of species, understanding elevated  $\text{CO}_2$  effects on canopy  $N_m$  and  $N_a$  will greatly contribute to an ability to model responses of leaf photosynthesis to atmospheric  $\text{CO}_2$  in different species and plant growth forms.

## Nomenclature

- $A$  = light-saturated net  $\text{CO}_2$  assimilation at chamber  $pC_a$   
 $A_a$  = light-saturated net  $\text{CO}_2$  assimilation per unit leaf area at current  $pC_a$  of 36 Pa  
 $A_m$  = light-saturated net  $\text{CO}_2$  assimilation per unit leaf mass at current  $pC_a$  (36 Pa)  
 $A_{a-56}$  = light-saturated net  $\text{CO}_2$  assimilation per unit area at elevated  $pC_a$  of 56 Pa  
 $A_{m-56}$  = light-saturated net  $\text{CO}_2$  assimilation per leaf mass at elevated  $pC_a$  of 56 Pa  
FACE = free-air  $\text{CO}_2$  enrichment  
 $f_{\text{N-Rub}}$  = the apparent fraction of N allocated to active Rubisco enzyme *in situ*

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- $J_{\max}$  = *in situ* maximum electron transport capacity per unit leaf area  
 $M_a$  = leaf mass per unit area  
 $N_a$  = leaf N content per unit area  
 $N_m$  = leaf N content per unit mass  
 $pC_a$  = partial pressure of CO<sub>2</sub> in air  
 $pC_i$  = partial pressure of CO<sub>2</sub> in intercellular air spaces  
 $V_{\text{cmax}}$  = *in situ* leaf maximum CO<sub>2</sub> carboxylation capacity per unit leaf area  
 $V_{\text{cm-m}}$  = leaf maximum CO<sub>2</sub> carboxylation capacity per unit leaf mass

**Keywords:** downregulation, elevated CO<sub>2</sub>, free-air CO<sub>2</sub> enrichment, leaf carboxylation capacity, leaf nitrogen, nitrogen allocation to RuBP carboxylase enzyme, photosynthesis–nitrogen relationships, photosynthetic nitrogen-use efficiency, plant functional groups

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## Introduction

The carboxylation capacity of leaves drives the assimilation of CO<sub>2</sub> from the atmosphere (Baldocchi & Meyers, 1998; Canadell *et al.*, 2000). Questions regarding the capacity for CO<sub>2</sub> fixation by plants and ecosystems under future, higher partial pressures of atmospheric CO<sub>2</sub> ( $pC_a$ ) arise from uncertainties regarding whether leaf carboxylation capacity of dominant species will remain as large as in the present at  $pC_a$  of  $\approx 37$  Pa (Sage *et al.*, 1989; Moore *et al.*, 1999). The stimulation of photosynthesis in elevated  $pC_a$  over exposure periods of minutes to months is extremely well documented, but varies considerably among species and growth conditions (Sage, 1994; Drake *et al.*, 1997). The magnitude of this stimulation strongly depends on the long-term maintenance of both the carboxylation capacity of leaves as well as the diffusional CO<sub>2</sub> supply to leaf internal surfaces in elevated  $pC_a$ . Early reports of losses in the initial stimulation of photosynthesis from greenhouse and controlled chamber studies over months to years (Sage *et al.*, 1989) have in part been attributed to negative feedbacks on photosynthesis associated with artificial restrictions to the plant rooting zone (Curtis & Wang, 1998). However, reductions in carboxylation capacity have also been reported in field experiments in native ecosystems (Huxman *et al.*, 1998; Lee *et al.*, 2001; Rogers & Ellsworth, 2002) with natural rooting conditions. Mechanistically, these reductions have primarily been attributed to either carbohydrate accumulation and subsequent biochemical signal mechanisms in leaves (Moore *et al.*, 1999), or from dilution of leaf N by carbohydrates and leaf structural material and/or increases in plant internal demands for N (Luo *et al.*, 1994; Yin, 2002). However, there is still debate regarding how frequently reductions in carboxylation capacity are realized in field experiments (Poorter, 1998; Ainsworth *et al.*, 2003).

Scores of elevated CO<sub>2</sub> studies have focused on the effects of elevated  $pC_a$  on a single species or small set of species on a given site (e.g., see reviews by Drake *et al.*, 1997; Curtis & Wang, 1998; Saxe *et al.*, 1998; Medlyn *et al.*, 1999). Apart from individual case studies documenting the magnitude of elevated  $pC_a$  responses, there is a strong need to understand the prevalence and magnitude of reductions in carboxylation capacity across a range of species and functional groups or growth forms. In order to compare fundamental relationships across multiple plant species, statistical techniques for compiling individual plot-level results are required for analyzing data sets collected with different measurement conditions, instruments and protocols. For instance, metaanalyses of such data are increasingly common (Curtis, 1996; Curtis & Wang, 1998; Medlyn *et al.*, 1999). However, this *post hoc* comparison approach only analyzes relative effect sizes and hence provides limited understanding of fundamental functional relationships across both ambient and elevated CO<sub>2</sub>-grown plants.

We provide an alternative to indirect comparative statistical approaches for analyzing effects of elevated  $pC_a$  on CO<sub>2</sub> assimilation in multiple species at contrasting field sites, involving direct measurements following a common design protocol in free-air CO<sub>2</sub> enrichment (FACE) experiments. Such an approach presumably minimizes possible variation in photosynthetic parameters compared with independently conducted studies done with different procedures. This may permit a broader comparative understanding of elevated  $pC_a$  responses of plant functional groups or growth forms (Poorter, 1993) and identify trends in possible changes in photosynthetic capacity among species as well as functional relationships that could be useful for incorporation into plant and ecosystem models.

A principal functional relationship frequently utilized for predicting photosynthesis at the leaf and canopy scale in many current models of C<sub>3</sub> plant and

ecosystem functioning (Aber *et al.*, 1996; Sellers *et al.*, 1996) is the strong relationship between light-saturated net CO<sub>2</sub> assimilation at current  $pC_a$  per unit area ( $A_a$ ) or mass ( $A_m$ ) and leaf N. While the  $A_m$ - $N_m$  relationships analyzed in these previous studies showed striking convergence toward a general, overall relationship (Reich *et al.*, 1999), they provide little predictive power for estimating photosynthesis at  $pC_a$  levels other than at current ambient, or at other partial pressures of CO<sub>2</sub> within the leaf ( $pC_i$ ) (Peterson *et al.*, 1999). Moreover, fundamental  $A_a$ -N relationships may not extend to different environmental conditions forced by global change variables such as elevated atmospheric  $pC_a$  (Field *et al.*, 1992). Possible reasons for changes in the  $A_a$ -N relationship in elevated  $pC_a$  include stomatal closure-induced changes in CO<sub>2</sub> supply to leaves, and alterations in the partitioning of N among photosynthetic enzymes as a result of downregulation of specific proteins (Stitt, 1991; Hikosaka & Hirose, 1998).

The goal of this study was to compare elevated  $pC_a$  responses measured on mature leaves under conditions favorable to photosynthesis among sites and plant growth forms. In these conditions, photosynthetic adjustments in elevated  $pC_a$  would serve as a benchmark for understanding the incidence of downregulation in the field. We measured CO<sub>2</sub> and water vapor exchange using common techniques and instrumentation at four FACE sites in order to minimize potential confounding effects because of methodological and instrument biases. More detailed analyses of the photosynthetic dynamics with time, environmental conditions, and seasonality are available for subsets of specific dominant species at each of these sites (Huxman *et al.*, 1998; Lee *et al.*, 2001; Noormets *et al.*, 2001b; Rogers & Ellsworth, 2002). Thus, the intent of this study was to compare elevated CO<sub>2</sub> responses of recently matured leaves of diverse species measured on under proximal environmental conditions favorable to photosynthesis, as an indication of responses expected to contribute significantly to plant carbon balance. The measurements here were designed for evaluating photosynthetic properties and effects of elevated  $pC_a$  on these properties among multiple species in field FACE experiments. We hypothesized that (1) changes in CO<sub>2</sub> assimilation capacity after long-term growth in elevated  $pC_a$  are broadly related to CO<sub>2</sub>-induced changes in leaf N, when they occur, and (2) plant growth forms vary in their partitioning of N to the photosynthetic apparatus, which affects their fundamental photosynthesis-N relationships (Reich *et al.*, 1995), but also affects their  $pC_a$  responses. We also examined whether elevated  $pC_a$  exposure itself alters N partitioning to photosynthesis as previously hypothesized (Drake *et al.*, 1997).

## Material and methods

### Study sites and elevated CO<sub>2</sub> treatments

The research sites utilize FACE for long-term CO<sub>2</sub> exposure of intact plant stands growing in native soils. The FACE systems at the sites were set up and operated according to the design of Lewin *et al.* (1994) and Hendrey *et al.* (1999), with modifications for the experimental design at each site (Jordan *et al.*, 1999; Dickson *et al.*, 2000). We denote the sites here by state location in the US (Table 1). The sites are located in the Anoka sand plain in central Minnesota (MN), the piedmont region in central North Carolina (NC), a bajada of Frenchman Flat in southern Nevada (NV) and in a glacial outwash plain in northern Wisconsin (WI). The four experimental sites described here represent FACE studies conducted in existing, unmanaged ecosystems (NC and NV) or planted ecosystems (MN and WI). A brief description of each site and the operational characteristics of the FACE systems are provided in Table 1.

The vegetation at the sites represents dominant species in typical vegetation types occurring in each region. In NC, the site consists of plots within an even-aged loblolly pine (*Pinus taeda*) forest, at age 18 years in 2001. At the NV site the vegetation is undisturbed desert shrubland dominated by evergreen *Larrea tridentata* and deciduous shrubs (*Lycium*, *Krameria* and *Ambrosia* spp.). The experimental plantings at the MN site consisted of native and naturalized tallgrass prairie species in different species mixtures including monocultures (Reich *et al.*, 2001), while at the WI site the plantings were mixtures of *Populus tremuloides* with *Betula papyrifera* or *Acer saccharum*. Soils at the sites varied in texture (Table 1), pH (range 4–8) and fertility, although N is considered the principal limiting nutrient at all sites. Sites have moderate-to-low net N mineralization rates (Zak *et al.*, 2003) characteristic of moderate-to-poor native soils in their respective regions. Measurements were made on plants growing in unamended soil in cases where soil manipulations were part of the FACE site design.

The treatment CO<sub>2</sub> regime varied somewhat among sites although target  $pC_a$  levels in FACE were approximately 56 Pa (Table 2). Specific details on the operation of the FACE systems at the sites are presented in Hendrey *et al.* (1999), Jordan *et al.* (1999) and Dickson *et al.* (2000). The NC and NV sites have evergreen vegetation and hence the FACE system operates year-round except when below freezing, whereas seasonal elevated  $pC_a$  exposures are conducted at the MN and WI sites during April–October. All sites have instrumented, ambient-only control plots with vertical vent

**Table 1** A description of the location, climate and soil characteristics of each of four experimental study sites participating in the study

Site (location)	Elevation (m)	Mean annual precipitation (mm yr <sup>-1</sup> )	Daily mean <i>T</i> (°C) in January and July	Soil order and texture
MN (45°27'N, 93°11'W)	280	660	-13.3, 20.2	Entisol; loamy sand
NC (35°58'N, 79°05'W)	170	1150	3.9, 25.7	Alfisol; clay loam
NV (36°46'N, 115°58'W)	970	140	2.2, 26.9	Aridisol; gravely sand loam
WI (45°30'N, 89°38'W)	490	730	-11.3, 19.7	Entisol; sandy loam

Sites are referred to here and in the text according to the state in which they are located.

**Table 2** Background ambient  $pC_a$  during the growing season, and operational characteristics of the free-air CO<sub>2</sub> enrichment (FACE) system at each study site

Site	Effective plot size (m <sup>2</sup> )	Start of experiment	Period described	Ambient $pC_a$ (Pa)	Treatment $pC_a$ (Pa)
NC	707	August 1996	Growing season, 1997–2001	36.7	57.1 ± 0.7
NV	491	April 1997	Frost-free season, 1997–2000	32.5	49.5 ± 3.0
MN	530*	April 1998	Growing season, 1998–2000	36.2	55.5 ± 1.2
WI	707	April 1998	Growing season, 1998–2000	34.7	56.0 ± 3.5

Ambient  $pC_a$  and treatment  $pC_a$  are for daylight hours only. Treatment  $pC_a$  was measured at the center of each of the three treatment plots at each site, at the top of the plant canopy. Additional measures of the control of CO<sub>2</sub> concentration in FACE experiments are given in Hendrey *et al.* (1999) and Jordan *et al.* (1999).

\*Two meter squared subplots are nested within whole plots.

pipes and air-circulation systems in parallel to those used for elevated  $pC_a$  exposure. Measurements were conducted in the first and third growing seasons of operation of the FACE experiments at MN and WI, and in the second, third and fourth seasons of operation at NC and NV. Data for the longest cumulative  $pC_a$  treatment for each species were used, and the majority of CO<sub>2</sub> responses measured are for at least three growing seasons of CO<sub>2</sub> exposure (Table 2). Exceptions were made for *Lupinus* and *Populus*, both measured in the second growing season of CO<sub>2</sub> exposure, because of pathogen outbreaks. Measurements on the spring desert annual *Oenothera* were only made in the first year of study at the NV site during the El Niño rains of 1998 (Smith *et al.*, 2000), as the species was not present during subsequent years.

#### Field and laboratory measurements

Responses of light-saturated leaf net CO<sub>2</sub> assimilation (*A*) to  $pC_i$ , the so-called 'A- $pC_i$  curves', were constructed for intact leaves of each species using the Li-Cor model 6400 portable photosynthesis system (Li-Cor, Lincoln, NE, USA). In all cases, leaves at the top of the plant canopy, developed in full sun were measured, typically in the morning before the onset of stomatal closure. Measurements were typically conducted on

sunny days during nondrought periods, and were made during the growing season at times designed to coincide with peak photosynthetic activity, approximately mid-summer for all sites except NV. Times of high photosynthetic activity were judged from diurnal photosynthesis data for major species from each site from independent studies (Ellsworth, 2000; Noormets *et al.*, 2001a; Naumburg *et al.*, 2003) and from related studies at each site. The measurement protocol was chosen to indicate photosynthetic responses that would be expected to have significant impacts on plant carbon balance, rather than to select leaves most likely to show photosynthetic downregulation. The NV site is arid and receives one of the lowest mean annual precipitation levels recorded in North America (Table 1). Measurements at that site were made during periods following spring rains when soil moisture and physiological activity were relatively high (Naumburg *et al.*, 2003 and unpublished site data).

Recently fully expanded leaves were measured for all the deciduous plants, and overwintering leaves were measured in spring at the time of bud-break in the evergreen species *Pinus* and *Larrea* as these leaves contribute significantly to the annual carbon balance of evergreens (Ellsworth, 2000). At all sites, sunlit, upper canopy dominant leaves were sealed inside the chamber while ensuring that chamber conditions

maintained growth  $pC_a$ , light saturation and reasonable temperatures. All measurements were made at a saturating photosynthetic photon flux density of  $1500 \mu\text{mol m}^{-2} \text{s}^{-1}$  or greater. Relative humidity inside the chambers was maintained at 60–70% at humid sites and 15–20% at the arid site. This resulted in leaf–air vapor pressure differences  $<1.5 \text{ Pa kPa}^{-1}$  at NC, MN and WI and  $<2.5 \text{ Pa kPa}^{-1}$  at NV, commensurate with growing season conditions. Leaf temperatures were controlled to mean daily maximum temperatures appropriate to the site and season (Table 3).

After equilibration under constant chamber conditions and growth  $pC_a$  levels, the measurements of  $A$ ,  $C_i$ , stomatal conductance to water vapor, and associated parameters were recorded. Chamber  $pC_a$  was then changed and stepped through eight to 10 different levels starting close to the  $\text{CO}_2$  compensation point and ending at  $pC_a$  above saturation. Measurements at each successive  $pC_a$  were made only after the  $\text{CO}_2$  signal was stable, with coefficient of variation  $<1\%$ . We made frequent leak tests to minimize bias in the low  $pC_a$  measurements and used teflon tape or inert clay to seal

the chamber for measurements on thick leaves. To ensure direct comparability across sites, the infrared gas analyzer systems were factory calibrated, and checked against local site  $\text{CO}_2$  standards. The procedure for fitting the Farquhar *et al.* (1980) photosynthesis model to the data for determination of the biochemical parameters  $V_{\text{cmax}}$  and  $J_{\text{max}}$  followed the approach of Wullschlegel (1993) with modifications described in Appendix A.

After the field  $A$  measurements were completed, leaf tissue was harvested, sealed in plastic bags, and kept on ice or in a refrigerator until analyzed. Projected area inside the leaf chamber was measured by a scanning optical planimeter of digital images made directly from the leaf tissue (WinRhizo, Regent Instruments, Laval, Canada) or from diazo imprints of leaves. For *Pinus* species, one-sided projected area is used here for consistency with other species. After area determinations, samples were oven-dried at  $70^\circ\text{C}$  for  $>48\text{h}$ , weighed, and finely ground for chemical analysis. Total leaf N content was analyzed on all samples at the Duke University Phytotron or the University of Michigan

**Table 3** Description of the 16 study species from four different free-air  $\text{CO}_2$  enrichment experiments ('site') and four additional cooccurring species, with their mean photosynthetic characteristics (light-saturated  $A_a$  and  $V_{\text{cmax}}$ ) expressed on a projected area basis for fully expanded leaves

Site	Species	Description	Sampling date	$N$ plots	$T_{\text{leaf}}$	$A_a$ ( $\mu\text{mol m}^{-2} \text{s}^{-1}$ )	$V_{\text{cmax}}$ ( $\mu\text{mol m}^{-2} \text{s}^{-1}$ )
MN	<i>Achillea millefolium</i>	Herbaceous perennial	August 2000	3	28.2	$12.2 \pm 1.2$	$61.9 \pm 6.9$
MN	<i>Agropyron repens</i>	Graminaceous perennial	July 2000	3	28.0	$12.3 \pm 1.4$	$61.9 \pm 7.1$
MN	<i>Anemone cylindrica</i>	Herbaceous perennial	May 2000	2	25.8	$9.5 \pm 0.8$	$51.8 \pm 3.8$
MN	<i>Bromus inermis</i>	Graminaceous perennial	May 2000	3	25.2	$15.2 \pm 0.9$	$77.6 \pm 5.0$
MN	<i>Lupinus perennis</i>	Leguminous perennial	May 1999	3	25.2	$16.5 \pm 1.5$	$74.1 \pm 6.5$
MN	<i>Poa pratensis</i>	Graminaceous perennial	July 2000	2	25.0	$12.2 \pm 1.4$	$65.2 \pm 5.3$
MN	<i>Quercus macrocarpa</i>	Deciduous tree	July 2000*	3	28.0	$19.0 \pm 1.4$	$97.0 \pm 8.0$
MN	<i>Solidago rigida</i>	Herbaceous perennial	July 2000	3	28.0	$17.7 \pm 1.2$	$82.3 \pm 4.9$
NC	<i>Liquidambar styraciflua</i>	Deciduous tree	September 1999	2	28.0	$12.6 \pm 1.1$	$65.8 \pm 3.1$
NC	<i>Pinus taeda</i>	Evergreen conifer tree	May 2001	3	28.0	$11.2 \pm 0.4$	$63.1 \pm 2.7$
NC	<i>P. virginiana</i>	Evergreen conifer tree	May 2001*	3	28.0	$8.9 \pm 0.8$	$48.1 \pm 2.5$
NC	<i>Taxodium distichum</i>	Deciduous conifer tree	June 2001*	3	29.0	$5.9 \pm 0.3$	$40.5 \pm 2.0$
NC	<i>Festuca arundinacea</i>	Graminaceous perennial	June 2001*	4	30.4	$17.5 \pm 0.8$	$74.3 \pm 3.9$
NV	<i>Ambrosia dumosa</i>	Deciduous shrub	April 2000	3	24.2	$18.6 \pm 1.1$	$127.2 \pm 9.5$
NV	<i>Krameria erecta</i>	Deciduous shrub	April 2001	3	29.2	$9.8 \pm 1.2$	$109.7 \pm 13.3$
NV	<i>Larrea tridentata</i>	Evergreen shrub	April 2000	3	23.9	$13.9 \pm 1.3$	$95.2 \pm 7.5$
NV	<i>Oenothera primitiveris</i>	Herbaceous annual	April 1998	3	23.9	$22.8 \pm 1.5$	$117.5 \pm 10.2$
WI	<i>Acer saccharum</i>	Deciduous tree	August 2000	2	26.8	$6.4 \pm 0.8$	$40.6 \pm 3.5$
WI	<i>Betula papyrifera</i>	Deciduous tree	August 2000	3	26.7	$19.8 \pm 1.2$	$91.2 \pm 4.7$
WI	<i>Populus tremuloides</i>	Deciduous tree	August 1999	3	26.2	$20.9 \pm 1.4$	$103.4 \pm 5.7$

Sampling date, sample size of individual study plots for a given treatment ( $N$ , with one or two plant individuals per plot), and leaf temperature ( $T_{\text{leaf}}$ ) from the temperature-controlled chamber measurements are indicated. All means were calculated across  $pC_a$  treatments.

\*Indicated species were not located within the actual elevated  $\text{CO}_2$  experiment, or are species for which measurements in elevated  $\text{CO}_2$  were not taken.

Plant-Soil Analysis Laboratory after combustion in a CHN analyzer (Model NA1500, Carlo-Erba Instrumentazione, Milan, Italy).

### Statistical analyses

Data were analyzed in analysis of variance (ANOVA) to test for main effects of site,  $pC_a$  treatment and species as well as  $pC_a \times$  site interaction. The data were collected within a split-plot design with species nested within site. The significance of the  $pC_a$  treatment was tested using a nested ANOVA model with block (treatment) as error term, while the species within-site effect was tested using the residual error term. All measured variables were tested for normality and transformed appropriately (e.g., square root in most cases), when necessary. Since species were unique to their respective sites,  $CO_2$  effects on leaf characteristics of individual species were tested when site effects were not significant ( $P > 0.10$ ) in ANOVA, using paired  $t$ -tests (generally one-tailed if testing for downregulation). These tests employed the paired plot system appropriate for each FACE site according to the *a priori* experiment design. We expressed the relative effect of elevated  $pC_a$  ( $E$ ) on photosynthetic variables as an enhancement ratio as a percent;  $E = (P'/P) \times 100$  where  $P'$  denotes a parameter value at elevated  $pC_a$  and  $P$  denotes the control parameter value at current ambient  $pC_a$ , or as a simple response ratio (e.g.,  $R = (P'/P) \times 100$ ). Given the limited statistical power of a small number of plot replicates at each site, effects were considered marginally statistically significant for  $P \leq 0.10$  and statistically significant for  $P \leq 0.05$ . Statistical analyses were conducted with JMP and SAS software (JMP v. 5.01 and SAS v. 9.1; SAS Institute, Cary, NC, USA).

To estimate functional relationships between pairs of variables, simple linear regression analysis was used to relate photosynthetic variables to leaf N. We used type I linear regression techniques rather than standardized major axis slope-fitting techniques (see (Peterson *et al.*, 1999) because measurement error for leaf N is relatively small. Moreover, this type of regression is appropriate since the goal was to describe a specific functional relationship between variables (Sokal & Rohlf, 1995), and it allows for comparison with previous analyses of photosynthesis–N relationships.

### Results

There was nearly a fourfold range in maximum  $A$  at current ambient  $pC_a$  ( $A_a$ ) among the 16 species at different FACE sites in North America (Table 3). Sites differed in the dominant growth form of species within each ecosystem (e.g., herbs vs. trees), and also varied in

mean maximum  $A_a$  and other leaf-level parameters of the major dominant species (Table 3). Because of differences in leaf mass to area ratio ( $M_a$ ) among species,  $A_m$  at current ambient  $pC_a$  showed a larger range than  $A_a$  (sixfold), from nearly 60 nmol C g leaf<sup>-1</sup> s<sup>-1</sup> in the desert species *Larrea* and *Krameria* to 350 nmol g<sup>-1</sup> s<sup>-1</sup> in the herb *Lupinus*.

With a  $\approx 55\%$  increase in  $pC_a$  in FACE ( $pC_a$  of +20 Pa) and assuming approximately linear  $A$  over the relevant  $pC_a$  range, one may expect a similar relative enhancement of  $A$ . The observed mean instantaneous enhancement in  $A$  for the 16 species in this study deviated slightly from linearity, as  $A$  was enhanced by  $51 \pm 5.5\%$  with a short-term switch in  $pC_a$  supply to the leaf chamber to 56 Pa. For plants exposed to elevated  $pC_a$  in FACE for multiple seasons compared with control plants in a paired design, there was a significant overall enhancement of  $A$  of  $40 \pm 7\%$  across species with elevated  $pC_a$  of 56 Pa ( $P < 0.009$ , Table 4; Fig. 1).

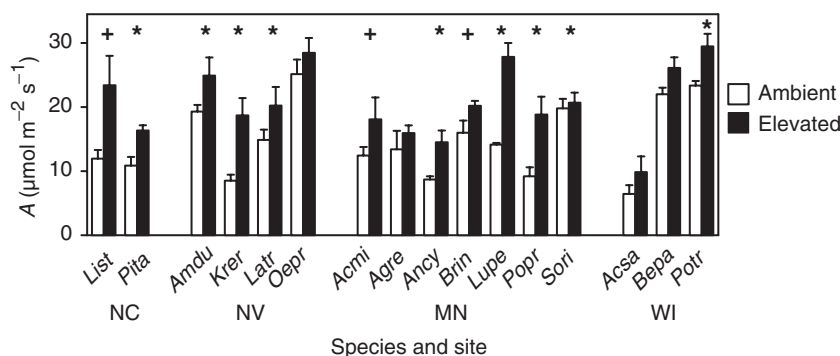
Species varied in the magnitude of the enhancement of  $A$  after multiple years of elevated  $pC_a$  ( $P < 0.0001$ , Table 4), which ranged from 20% to 80% (Fig. 1). However, the multiyear  $A$  enhancement was not significant in four of the 16 species studied, across the MN, NV and WI sites (Fig. 1, one-tailed paired  $t$ -test,  $P > 0.10$ ). For most species, the multiyear enhancement of  $A$  was not statistically different from the instantaneous enhancement of  $A$  with a step change in  $pC_a$  from 36 to 56 Pa. For two species (the tree *Liquidambar* and the herbaceous nitrogen fixer *Lupinus*), the multiyear  $A$  enhancement by elevated  $pC_a$  tended to exceed the instantaneous  $A$  enhancement ( $0.10 > P > 0.05$ ). The multiyear  $A$  enhancement was significantly less than the expected instantaneous  $A$  enhancement for five of the 16 species (one-tailed  $t$ -test,  $P < 0.05$ ). The lack of an increase in  $A$  at growth  $pC_a$  after multiple years at elevated  $CO_2$  was indicative of downward adjustment in photosynthetic characteristics in major species at multiple FACE sites.

We tested whether this lack of enhancement could be attributed to treatment differences associated with a stomatal closure response under elevated  $pC_a$  by determining if growth  $pC_a$  treatment affected the relative operating  $pC_i$  of leaves. There was no significant treatment effect on the ratio of  $CO_2$  in the leaf internal air spaces to  $CO_2$  outside of the leaf (e.g.,  $pC_i/pC_a$ ), with  $pC_i/pC_a = 0.70 \pm 0.02$  ( $\pm$  SE) for ambient-grown plants compared with  $pC_i/pC_a = 0.73 \pm 0.02$  for elevated  $pC_a$  plants at  $pC_a = 56$  Pa (two-tailed  $t$ -test,  $P > 0.10$ ). At a common  $pC_a$  of 36 Pa,  $pC_i$  was remarkably similar among  $pC_a$  treatments across species, with  $pC_i = 25.9 \pm 0.5$  Pa for ambient plants compared with  $pC_i = 26.2 \pm 0.7$  Pa for elevated  $pC_a$ -grown plants. Desert shrub species had a considerably lower mean

**Table 4** ANOVA results for randomized split-plot experiments on effects of elevated  $pC_a$  on leaf physiological characteristics of 16  $C_3$  plant species (Table 3) from four FACE sites

Parameter	MS error	Effects				Mean $pC_a$ effect (%)
		Site	Species	$pC_a$ treatment		
Degrees of freedom	74	3	12	1	-	
$A_a$ (growth $pC_a$ )	0.046	ns	13.61****	56.21****	+ 39.9	
$A_a$	8.15	ns	15.02****	3.56*****	-7.5	
$A_m$ (growth $pC_a$ )	1380	ns	20.52****	5.97*	+ 33.6	
$V_{cmax}$	0.685	6.68**	7.00****	4.85*	-8.3	
$J_{max}$	2.22	ns	13.44****	ns	ns	
$V_{cm-m}$	8.59	2.75*****	17.09****	10.17**	-13.6	
$N_m$	$2.7 \times 10^{-4}$	4.17*	22.13****	20.84***	-12.0	
$N_a$	$3.0 \times 10^{-3}$	7.33**	15.62****	3.32*****	-7.2	
$M_a$	$6.0 \times 10^{-5}$	6.70*	23.52****	4.26*	+ 7.2	

All ANOVA models were highly significant ( $P < 0.0001$ ). Values are degrees of freedom (first row), model mean-square (MS) error using type III sums of squares,  $F$ -statistics for the appropriate ANOVA term where significant ( $P < 0.10$ ), and the relative effect size of elevated  $pC_a$  on a given parameter, respectively. Effects that are not significant (ns;  $P > 0.10$ ) are indicated as such. The site  $\times$  treatment  $pC_a$  term was not significant ( $P > 0.10$ ) for any of the parameters analyzed and was omitted from the model. When effects of the elevated  $pC_a$  treatment are at least marginally significant ( $P < 0.10$ ), the relative  $pC_a$  effect as a percentage is shown, calculated with the least-squares means. Parameters are:  $V_{cmax}$ , maximum  $CO_2$  carboxylation capacity as prescribed by Farquhar *et al.* (1980);  $V_{cm-m}$ , maximum carboxylation capacity per unit leaf mass;  $J_{max}$ , maximum electron transport capacity; and other parameters are defined in the text. The transformations used were:  $\ln(A_a \text{ at growth } pC_a)$ ,  $\sqrt{V_{cmax}}$ ,  $\sqrt{J_{max}}$ ,  $\sqrt{V_{cm-m}}$ ,  $\sqrt{(N_m^{-1})}$ ,  $\sqrt{(M_a^{-1})}$ ,  $\sqrt{\log_{10}(N_a \times 2)}$ , with other variables normally distributed without transformation. Significance values at +  $P < 0.10$ , \*  $P < 0.05$ , \*\*  $P < 0.01$ , \*\*\*  $P < 0.001$ , \*\*\*\*  $P < 0.0005$ .



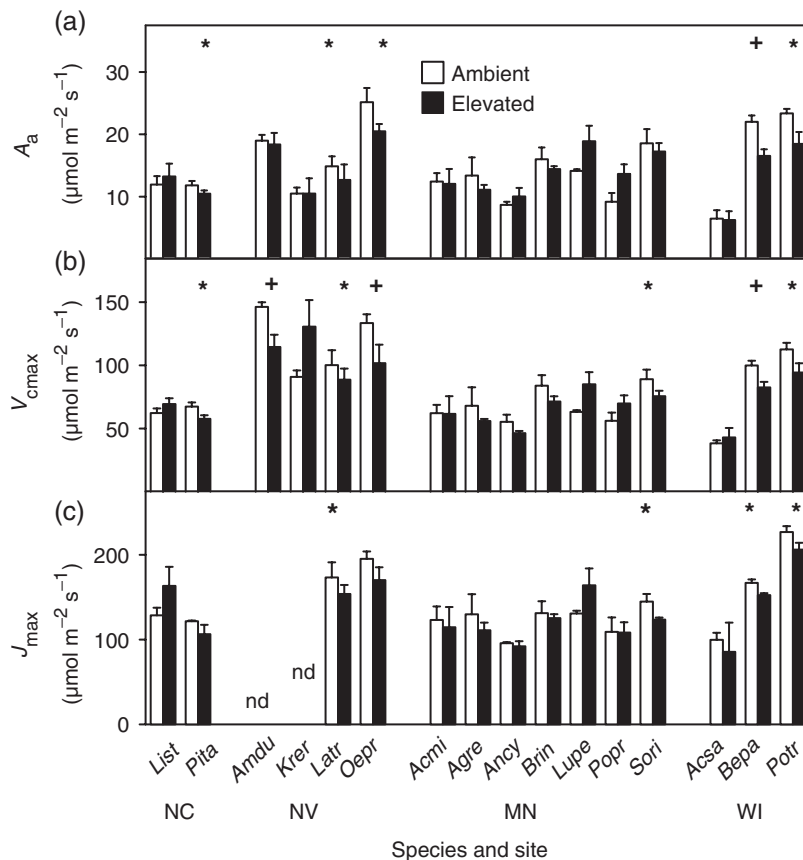
**Fig 1** Maximum photosynthesis,  $A$  at growth  $pC_a$ , for 16 species in free-air  $CO_2$  enrichment experiments at four different sites in the USA. The sites are denoted by state abbreviation, and species names from Table 3 are abbreviated according to the first two letters of each part of the scientific binomial. Mean values for plants grown and measured at ambient  $pC_a$  in control rings at each site are denoted by open bars, and means for plants grown and measured at elevated  $pC_a$  are denoted by dark bars. Elevated  $pC_a$  exposure was two or more growing seasons for all species except *Oenothera* (see text). Values shown are the mean parameter values across individual rings of a given treatment (typically  $N = 3$ ), with standard errors for among-plot variability shown. Within a species, differences in a parameter between  $pC_a$  treatments at  $P < 0.05$  (one-tailed paired  $t$ -test) are denoted by \* and those for  $P < 0.10$  are denoted by +.

$pC_i/pC_a$  ( $pC_i/pC_a = 0.55 \pm 0.03$  across  $pC_a$  treatments) than other species, reflecting their greater water-use efficiency, and for this reason were excluded from the overall comparison of  $pC_i$ . In the absence of  $pC_a$  treatment differences in  $CO_2$  supply to the intercellular air spaces, a lack of  $A$  enhancement in elevated  $pC_a$  must instead be related to the biochemistry of photosynthesis. In the following section, we analyzed

leaf carboxylation dynamics for evidence of down-regulation.

#### *Changes in photosynthesis and biochemical capacity in species in elevated $pC_a$*

Assimilation at a common  $pC_a$  can be compared between  $pC_a$  treatments to test for changes in photosynthetic



**Fig 2** (a) Maximum net photosynthesis at a common  $pC_a$  of 36 Pa ( $A_a$ ), (b) maximum *in situ* carboxylation velocity ( $V_{cmax}$ ), and (c) maximum *in situ* electron transport capacity ( $J_{max}$ ) for 16 species in free-air  $CO_2$  enrichment experiments at four different sites. Labels are as in Fig. 1. Bars are means with standard errors for among-plot variability. Within a species, differences in a parameter between  $pC_a$  treatments at  $P < 0.05$  (one-tailed paired *t*-test) are denoted by \* and those for  $P < 0.10$  are denoted by +.

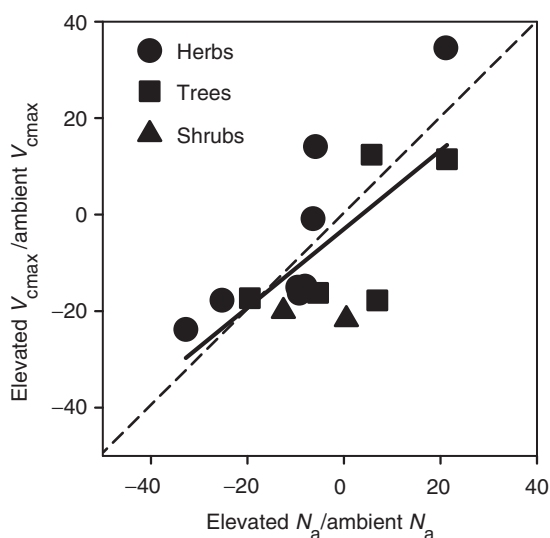
capacity in response to growth in different  $pC_a$  treatments (Fig. 2a). As expected, species differed significantly in mean  $A_a$  ( $P < 0.0001$ , Tables 2 and 4). Although sites were characterized by different dominant plant growth forms (herbs including grasses, trees or shrubs), sites did not differ significantly in  $A_a$  (Tables 2 and 4). There was a marginally significant main effect of  $pC_a$  treatment on  $A_a$  ( $P = 0.065$ , Table 4) and no significant site  $\times pC_a$  treatment interaction ( $P > 0.10$ ; Table 4). In fact, three sites (NC, NV and WI) had at least one species for which there was a significant reduction in  $A_a$  with  $pC_a$  treatment (one-tailed paired *t*-test,  $P < 0.05$ ; Fig. 2a). For the most part, parallel results were obtained for  $V_{cmax}$  in response to elevated  $pC_a$  treatment (Fig. 2b).

The biochemical parameter  $V_{cmax}$  showed significant site differences ( $P = 0.0065$ ), with highest  $V_{cmax}$  observed for the five desert species at the NV site following winter rains. There was a significant main effect of elevated  $pC_a$  on  $V_{cmax}$  ( $P = 0.031$ ) and highly significant species effects ( $P < 0.0001$ ) but no significant

site  $\times pC_a$  interaction ( $P > 0.10$ ; Table 4). Five species showing reductions in  $A$  in elevated  $pC_a$  also showed marginally significant ( $P < 0.10$ ) or significant reductions in  $V_{cmax}$  (one-tailed paired *t*-test,  $P < 0.05$ ; Fig. 2a,b), and two additional species (*Ambrosia* and *Solidago*) also showed significant  $V_{cmax}$  reductions relative to ambient  $pC_a$ -grown plants. Thus seven species across all four sites showed statistical evidence of downregulation of  $V_{cmax}$  at elevated  $pC_a$ , with a mean effect of  $-19 \pm 3\%$  across these species. Six of the seven species showing downregulation had the highest  $V_{cmax}$  and leaf N per unit area in the entire set of 16 species studied. Overall there was no significant treatment  $pC_a$  effect on  $J_{max}$ , although four species that had significant reductions in  $V_{cmax}$  in elevated  $pC_a$  also showed reductions in  $J_{max}$  (one-tailed *t*-test,  $P < 0.10$ , Fig. 2c), suggesting concurrent treatment effects in these cases.

Changes in green leaf N are implicated in the  $pC_a$  response of  $A$  in many species. Leaf  $N_m$  showed significant reductions in elevated  $pC_a$  (mass basis;





**Fig 3** Relationship between the percent  $pC_a$  response of leaf N per unit area ( $N_a$ ), expressed as the response ratio of  $N_a$  in elevated  $pC_a$ -grown plants to  $N_a$  in ambient  $pC_a$  ( $R_{N_a}$ ), and the relative response of carboxylation capacity ( $R_{V_{cmax}}$ ). Each data point represents a mean ratio for a species with some data obscured by other points. The correlation between these two ratios is shown (slope = 0.815,  $r = 0.71$ ), as well as the 1:1 line.

$P = 0.031$ ), and a marginally significant  $pC_a$  effect on leaf N per unit area ( $N_a$ ;  $P = 0.072$ , Table 4). There was no significant effect of  $pC_a$  on leaf carbon concentration among species (not shown). We tested for similarities in the  $pC_a$  response of  $V_{cmax}$  and leaf N per unit area ( $N_a$ ) using  $R$  calculated for both of these variables, given that treatment responses were detected in both variables in ANOVA. There was a significant correlation ( $P = 0.0024$ ;  $r^2 = 0.71$ ) between the magnitude of relative  $N_a$  response to  $pC_a$  and the relative  $V_{cmax}$  response (Fig. 3), implicating changes in leaf  $N_a$  that were broadly associated with changes in  $V_{cmax}$  across species. The upper end of this relationship was influenced by apparent 'upregulation' of carboxylation capacity ( $V_{cmax}$ ) for the N-fixing species *Lupinus*, with about a 20% increase in  $N_a$  and a 35% increase in  $V_{cmax}$ . Among all species, the correspondence between these variables was not 1:1 (slope = 0.82). However, among species exhibiting significant or marginally significant  $V_{cmax}$  differences with  $pC_a$  treatment (mean  $V_{cmax}$  response of -19%, see Fig. 2), the leaf  $N_a$  response ranged from a nonsignificant value of +7% to a large and significant decrease (-33%), suggesting possible variation among species in elevated  $pC_a$  response mechanisms.

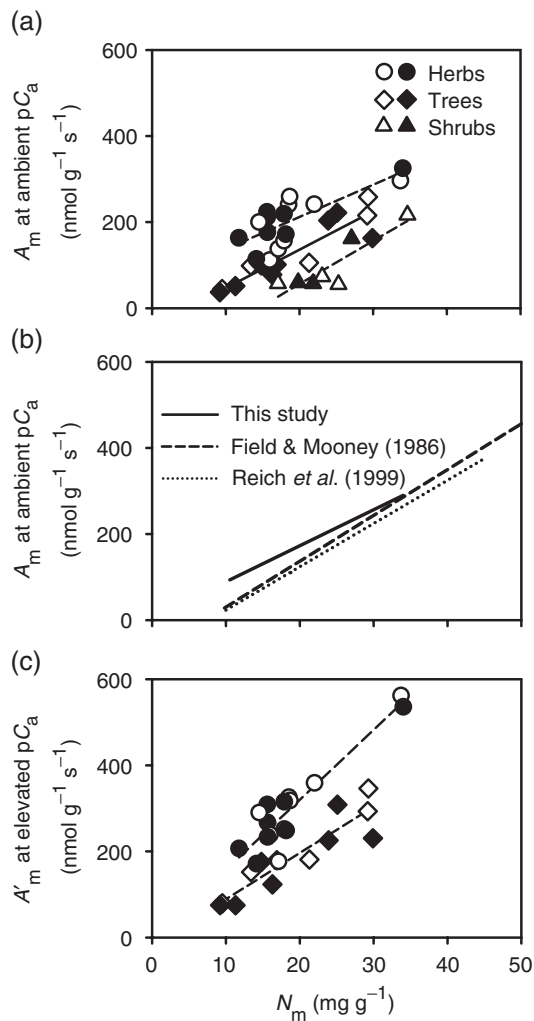
#### Photosynthetic–nitrogen relations among species

We explored the relationship between  $N_a$  and both  $A_n$  and  $V_{cmax}$  to understand whether long-term elevated

$pC_a$  altered fundamental plant photosynthetic–nitrogen relations. Relationships between  $A_a$  and N (on an area and mass basis) at ambient and elevated  $pC_a$  have been used as evidence of downregulation of  $A_a$  via concurrent reductions in both variables, or evidence of fundamental changes in photosynthetic nitrogen-use efficiency through changes in the slope of the  $A_a$ –N relationship (Peterson *et al.*, 1999). There was no significant area-based relationship between  $A_a$  and  $N_a$  among species ( $P > 0.10$ ; not shown). There was a significant relationship between  $A_m$  and  $N_m$  among species ( $r^2 = 0.31$ ,  $P < 0.0005$ ; Table 5 and Fig. 4a). The relationship between  $A_m$  at a common  $pC_a$  and  $N_m$  among ambient and elevated  $pC_a$ -grown plants was not significantly different ( $P > 0.10$ ) in slope or intercept from relationships in two broad surveys of more than 100  $C_3$  plant species in the literature (Field & Mooney, 1986; Reich *et al.*, 1999) (Fig. 4b).

We found statistically distinct relationships between  $A_m$  and  $N_m$  among different growth forms: the relationship for herbaceous species (including grasses) differed from that for trees, and for desert shrubs (Fig. 4a). These three groups did not differ significantly in the slope of the  $A_m$ – $N_m$  relationship ( $P > 0.10$ ) but showed significantly different, and progressively lower Y-intercepts ( $P < 0.0001$ , Table 5) from herbs to trees to desert shrubs. The reductions in Y-intercept represent plant growth form differences rather than site differences because the overall relationship comprised herbaceous species or tree species from three of the four sites, with no apparent deviation for species from different sites within their respective groups.

Elevated  $pC_a$  treatment had no significant effect ( $P > 0.10$ ) on the overall  $A_m$ – $N_m$  relationship among all species, nor on the  $A_m$ – $N_m$  relationship within plant growth forms (herbaceous species, trees, and desert shrubs;  $P > 0.10$  for each group, Table 5). Still, there was a highly significant effect of elevated  $pC_a$  on leaf  $N_m$  ( $P < 0.005$ ) and on  $A_m$  ( $P < 0.005$ ) with a weaker effect of elevated  $pC_a$  on  $N_a$  ( $P = 0.065$ ; Table 4). The differences in elevated  $pC_a$  effects on mass vs. area-based measures of A and N in Tables 4 and 5 suggest reductions in mass-based quantities ( $A_m$  and  $N_m$  by species) as would occur with dilution by leaf carbohydrates with growth in elevated  $pC_a$ , but no alterations in the fundamental relationship between  $A_a$  and  $N_a$ . While there was no effect of elevated  $pC_a$  treatment on the  $A_m$ – $N_m$  relationship when compared at a common measurement  $pC_a$ , measurement  $pC_a$  had a significant effect on this relationship ( $P < 0.005$ , Fig. 4c) as expected given the overall enhancement of A by  $pC_a$  (Fig. 2). Slopes of the  $A_{m-56}$ – $N_m$  relationship were higher than those for  $A_m$ – $N_m$  by 115% and 20% for herbs and trees, respectively (Table 5).



**Fig 4** Relationships between  $A_m$  measured at different common  $pC_a$  levels and  $N_m$  for different growth forms for plants growing at ambient  $pC_a$  (open symbols, a, c) and two to three growing seasons of elevated  $pC_a$  (dark symbols, a, c). Data for plants in ambient  $pC_a$  include four species in Table 3 not located in free-air  $CO_2$  enrichment (FACE) plots. (a)  $A_m$  data are compared at  $pC_a = 36$  Pa; (b) Comparison of the overall relationships for herbaceous species and tree species combined from (a) with similar published relationships in the literature (Field & Mooney, 1986; Reich *et al.*, 1999). (c)  $A_m$  measurements are compared at  $pC_a = 56$  Pa (e.g.,  $A_{m-56}$ ). Slopes of the relationships in (b) are:  $A_m = 10.2 + 8.45N_m$  for trees and herbs combined from (a);  $A_m = -5.4 + 10.64N_{mv}$  Field & Mooney (1986); and  $A_m = -5.5 + 10.05N_m$ , Reich *et al.* (1999). There were no statistical differences in these relationships. (a, c) Herbaceous plants (circles) and trees (diamonds) are distributed among at least two of the four sites, while shrubs (triangles) were only located at the NV site (Table 3). There was no significant difference in the relationship in (a) between herbaceous and tree species.

A statistically lower Y-intercept of the  $A_m$ - $N_m$  relationship at current ambient  $pC_a$  was evident for the desert shrubs ( $-186 \text{ nmol g}^{-1} \text{ s}^{-1}$ ) compared with the other species. This difference was likely attributable to a lower operating  $pC_i$  for  $A_a$  in the shrubs vs. herbs and trees. Mean  $pC_i/pC_a$  for desert shrubs was  $0.55 \pm 0.03$  ( $\pm$  SE) compared with a  $pC_i/pC_a$  ratio of  $0.72 \pm 0.02$  for all other species, indicating greater relative stomatal limitations to gas exchange in desert shrub species. Herbaceous species and trees were similar to one another in  $pC_i/pC_a$  but also differed significantly in the Y-intercept of the  $A_m$ - $N_m$  relationship ( $P < 0.0001$ ).

#### Carboxylation capacity of different plant growth forms

The biochemical parameters  $V_{\text{cmax}}$  and  $J_{\text{max}}$  underlie photosynthetic capacity of leaves with respect to leaf N. There was a significant correlation between  $V_{\text{cmax}}$  and  $N_a$  across all species excluding shrubs ( $P < 0.0025$ ; Table 5), although with low goodness of fit ( $r^2 = 0.29$ ). Herb species and tree species formed distinct groups with respect to the  $V_{\text{cmax}}$ - $N_a$  relationship (Fig. 5a) with a distinct, larger Y-intercept for herbs compared with trees (Table 5). This was also the case with respect to the relationship between  $J_{\text{max}}$  and  $N_a$  (Fig. 5c, Table 5). In both cases, there was no relationship between biochemical parameters and  $N_a$  for the shrub species, nor were there effects of treatment  $pC_a$  on the overall relationships or within growth form. Mass-based relationships (e.g.,  $V_{\text{cm-m}}-N_{mv}$  and  $J_{\text{m-m}}-N_m$ ) were stronger than the corresponding area-based relationships. There was a highly significant growth form effect ( $P < 0.0001$ ) on the form of the  $V_{\text{cm-m}}-N_m$  relationship (Fig. 5b), with goodness of fit ( $r^2$ ) within each growth form group ranging from 0.50–0.90 (Table 5). In the case of herb species and trees, the  $V_{\text{cm-m}}-N_m$  and  $J_{\text{m-m}}-N_m$  relationships were specific to growth form rather than sites, since at least one species of each growth form was located on a different site from the remaining species (Table 2), and conformed to the overall relationship.

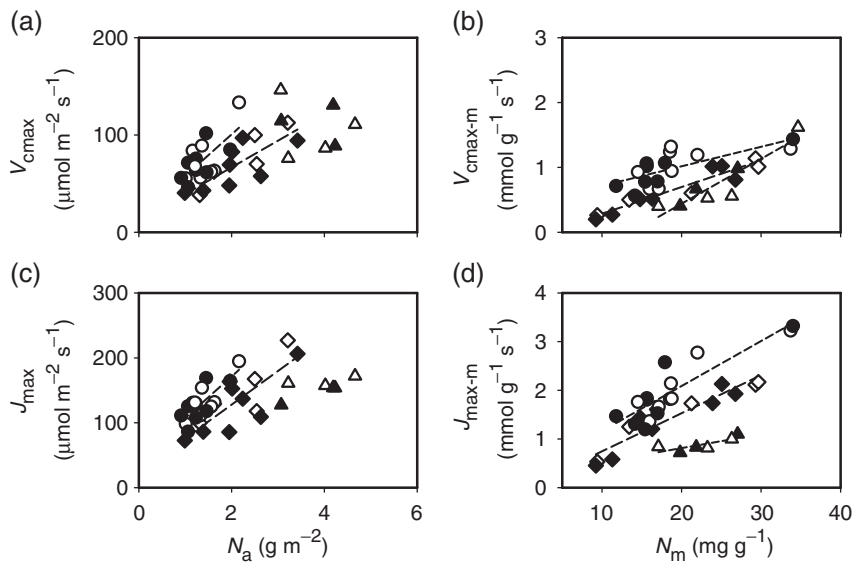
Differences in the area-based vs. mass-based forms of the relationships between  $V_{\text{cmax}}$  and N and  $J_{\text{max}}$  and N relate to differences in  $N_m$  and N allocation to the Rubisco enzyme among different growth forms. Such differences were particularly apparent when comparing among these groups at a common  $M_a$  (Fig. 6). On average, predictions from the regressions in Fig. 6a show that there was approximately a onefold greater  $N_m$  for trees ( $20.9 \pm 4.4 \text{ mg g}^{-1}$ ; mean  $\pm$  95% confidence interval, CI) compared with herbs ( $13.9 \pm 3.3 \text{ mg g}^{-1}$ ), and for shrubs ( $31.3 \pm 5.1 \text{ mg g}^{-1}$ ; mean and CI) compared with trees, all compared at a common  $M_a$  of  $100 \text{ g m}^{-2}$ . However, at this common  $M_a$  herb species

**Table 5** Summary of relationships between photosynthetic parameters (dependent variables) and leaf characteristics such as for leaf N (leaf N per area,  $N_a$ ; and per mass,  $N_m$ ; independent variables) across species, and their regression statistics

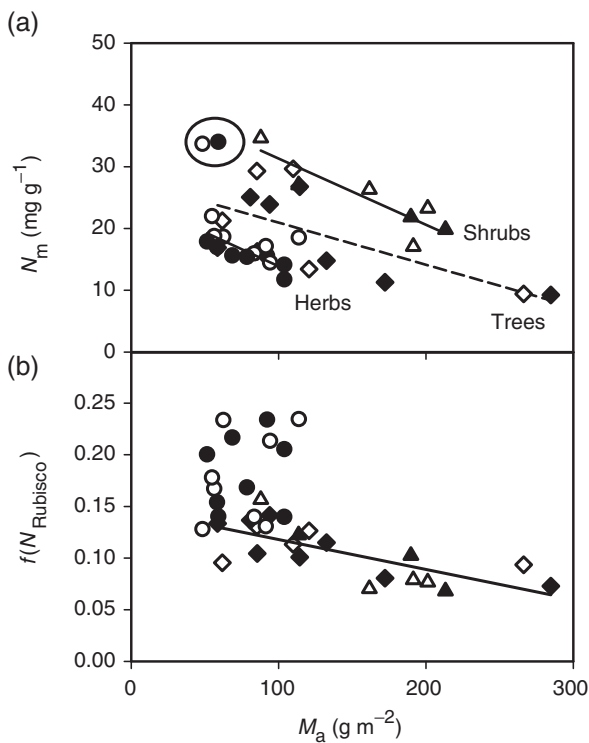
Group	Dependent variable	Independent variable	Intercept	Slope	$r^2$	P-value	Intercept by form	Slope by form	Intercept P-value	Slope P-value	Group intercept	Group slope	Group $r^2$	Group P-value
All	$A_a$	$N_a$	-	-	0.043	ns	-	-	-	-	-	-	-	-
All	$A_m$	$N_m$	33.42	6.26	0.308	0.0006	<0.0001	ns	<0.0001	ns	61.9	7.51	0.596	0.0005
											-51.6	9.49	0.877	<0.0001
											-186.4	11.44	0.789	0.0180
All	$A_{m-56}$	$N_m$	48.8	9.10	0.309	0.0006	<0.0001	ns	<0.0001	ns	-5.21	16.25	0.857	<0.0001
											-29.24	11.42	0.875	<0.0001
											-51.78	7.186	0.629	0.0599
Herbs + trees	$V_{cmax}$	$N_a$	39.14	19.46	0.292	0.0025	0.0009	ns	0.0009	ns	27.59	41.04	0.463	0.0037
Herbs + trees	$J_{max}$	$N_a$	68.05	38.19	0.428	<0.0001	0.0017	ns	0.0017	ns	7.82	29.62	0.642	0.0010
											15.74	42.58	0.455	0.0041
All	$V_{cm-m}$	$N_m$	168.8	32.74	0.408	<0.0001	<0.0001	0.0040	<0.0001	0.0040	7.82	29.62	0.642	0.0010
											430.8	29.53	0.506	0.0020
											-131.5	41.36	0.901	<0.0001
All	$J_{m-m}$	$N_m$	350.4	64.82	0.359	0.0002	<0.0001	0.071	<0.0001	0.071	-1251.6	79.0	0.766	0.0223
											271.7	91.30	0.800	<0.0001
											-47.7	78.70	0.881	<0.0001
All	$N_m$	$M_a$	24.28	-0.0387	0.112	0.0498	<0.0001	ns	<0.0001	ns	-273.6	49.17	0.910	0.0119
											22.29	-0.071	0.350	0.0258
											27.75	-0.0681	0.445	0.0127
											44.06	-0.0974	0.879	0.0057

Relationships are shown for subsets of the plant growth forms only in cases where there were significant differences in regression parameters among growth forms, as indicated by significant effects of plant growth form on the intercept (intercept by form) or the slope term (slope by form) in regression with dummy variables. Separate relationships for the herbaceous and tree growth forms are not site specific since they are based on data from species across three different sites (see Table 1).

\*N-fixing species *Lupinus* was removed from this analysis, since its N relations are obviously altered relative to other nonfixing species. figure legends.



**Fig 5** Relationships between  $V_{c\text{-}m}$  and  $N_m$  for different growth forms of plants growing at ambient  $pC_a$  and two to three growing seasons of elevated  $pC_a$ . Symbols as in Fig. 4 for species listed in Table 3. Regression statistics for the relationships both among and within each plant growth form shown are given in Table 5.



**Fig 6** (a) Relationship between leaf N and leaf mass per unit area ( $M_a$ ) for different plant growth forms across four free-air  $CO_2$  enrichment sites: herbaceous species, trees and shrubs (see Table 3). Data for the N-fixing species *Lupinus* (circled) are not included in the overall relationship for herb species. (b) Differences in the apparent fraction of N invested in Rubisco  $f_{N\text{-}Rub}$  for the three plant growth forms are shown in (a). Regression statistics for the relationships in (a, b) are given in Table 5. There was no statistical relationship between  $f_{N\text{-}Rub}$  and  $M_a$  for herbaceous species.

showed greater photosynthetic efficiency than woody species, with one-third higher apparent fraction of N allocated to Rubisco ( $f_{N\text{-}Rub} = 18 \pm 4\%$ ; mean  $\pm$  95% CI) than either trees or shrubs ( $12 \pm 2\%$  across both groups; Fig. 6b). These apparent differences in  $f_{N\text{-}Rub}$  (Appendix A) arise from differences in the slopes of the  $V_{c\text{max}}-N$  relationships (Fig. 5). There was neither a significant  $pC_a$  treatment effect on  $M_a$  nor on the apparent fraction of N allocated to Rubisco (data not shown).

**Discussion**

The issue of sustainability of leaf photosynthesis enhancement responses and the importance of adjustments in the photosynthetic apparatus to elevated  $pC_a$  in the field have been debated for two decades (Percy & Björkman, 1983; Gunderson & Wullschleger, 1994; Ainsworth *et al.*, 2003). There appears to be a strong consensus that photosynthetic enhancements of plants in elevated  $pC_a$  can be sustained over a number of years in the field (Medlyn *et al.*, 1999; Ainsworth *et al.*, 2003), which is supported by this cross-site study of native plants in elevated  $pC_a$  in FACE (Fig. 1). While the photosynthetic enhancements we observed were strong ( $+40 \pm 5\%$ ), there was considerable variability in this response among species. The lack of strong statistical photosynthetic enhancement in four of 16 species in our study (Fig. 1) and the significant reductions in  $A_a$  and  $V_{c\text{max}}$  (Fig. 2) together indicate downregulation of photosynthetic enzymes for certain species in elevated  $pC_a$  in FACE that appear to be broadly related to leaf N.

We observed significant evidence of reductions in photosynthetic capacity with multiple years of elevated  $pC_a$  consistent with photosynthetic downregulation of dominant species in major regional ecosystem types, including the dominant trees *P. taeda* and *P. tremuloides*, and the desert shrub *L. tridentata* at the NC, WI and NV sites, respectively (Fig. 2). Downregulation has been reported previously for *Larrea*, *Pinus* and grassland species (Huxman *et al.*, 1998; Lee *et al.*, 2001; Rogers & Ellsworth, 2002), but here we augment these earlier studies with a larger set of dominant and codominant species in FACE experiments. While the photosynthetic downregulation response was elicited in 2–3 years of elevated  $pC_a$  exposure in these species, it is an open question as to whether this downregulation will be maintained over longer time periods. Interannual variation in growth conditions and N availability may generate variation in the magnitude of downregulation (Ainsworth *et al.*, 2003; Naumburg *et al.*, 2003).

Our results demonstrating field downregulation of photosynthesis contrast with those of Curtis & Wang (1998) and earlier studies where no overall evidence of photosynthetic downregulation was found in chamber-based elevated  $pC_a$  studies with minimal or no root constraints. However, a similar summary of European studies found statistical evidence of photosynthetic downregulation after multiple years of elevated  $pC_a$  (Medlyn *et al.*, 1999). It is currently popular to invoke a sink limitation hypothesis related to feedback effects of carbohydrate accumulation (Stitt, 1991) to describe the occurrence of downregulation, but our data represent measurements during favorable growing periods for these species corresponding to times of year when growth and carbohydrate sinks are expected to be large. Therefore, our data represent a conservative estimate of the magnitude of photosynthetic downregulation during the physiologically active period of the year for these species. Overwintering leaves were measured during growth periods for the two evergreen species *Larrea* and *Pinus*, and photosynthetic downregulation is relatively common in aging evergreen leaves (Griffin *et al.*, 2000; Crous & Ellsworth, 2004), although physiological explanation for the age-related downregulation phenomenon is still lacking. Also, the results here for upper-canopy sunlit leaves of all species at times of year of high photosynthetic activity do not necessarily imply that downregulation of photosynthetic capacity does or does not occur in other leaves in the plant canopies or at other times of the year, although our sampling was designed to focus on leaves and times of year expected to be significant for canopy  $CO_2$  assimilation.

Causes for the observed photosynthetic responses to elevated  $pC_a$  may include selective downregulation of

particular photosynthetic enzymes (Moore *et al.*, 1999; Rogers & Ellsworth, 2002), general reductions in leaf soluble protein and leaf N content, or both (Luo *et al.*, 1994). Dilution of leaf N is common in field experiments (Körner, 2000; Yin, 2002) and is consistent with our evidence (Fig. 3; Table 4). We observed significant  $pC_a$  treatment effects on  $V_{cm-m}$ ,  $N_m$  and  $M_a$  among species, with similar amounts of reduction in  $V_{cm-m}$  and  $N_m$  (e.g., about 12%), but somewhat less enhancement in  $M_a$  (Table 4). We interpret this evidence as supporting the leaf N dilution hypothesis, whereby leaf chemical composition is affected through the accumulation of soluble carbohydrates as a product of photosynthetic enhancement and/or relative tissue source–sink carbon demands (Körner *et al.*, 1995). However, in addition to these mass-based quantities, the area-based measures  $V_{cmax}$  and  $N_a$  also showed significant  $pC_a$  treatment effects of similar magnitude to each other (Fig. 2, Table 4) and such effects are not strictly consistent with N dilution as a sole mechanism of photosynthetic downregulation, although clearly this is an important contributing factor (Luo *et al.*, 1994).

Only a subset of seven of the 16 species, those with highest photosynthetic capacity, showed significant evidence of photosynthetic downregulation in elevated  $pC_a$  and it is unlikely that the same mechanism is responsible for this in all of these species. For instance, downregulation in *P. taeda* has been observed in overwintering leaves with 3–5 years of elevated  $pC_a$  despite a lack of changes in total leaf  $N_m$  (Rogers & Ellsworth, 2002; Crous & Ellsworth, 2004). Still, the close association between changes in  $V_{cmax}$  and change in leaf N across many disparate species (Fig. 3) supports the interpretation that changes in leaf N with elevated  $pC_a$  exposure, regardless of the mechanism of this change, likely represent a dominant mechanism of photosynthetic adjustments. Increased  $V_{cmax}$  in the N-fixing species in elevated  $pC_a$  likely results from stimulation of N fixation in elevated  $CO_2$ , a commonly observed response for this group (Lee *et al.*, 2003). Differential species sensitivity to N limitations and the resulting expression in leaf N, together with differences in carbohydrate processing capabilities among species, may result in variation in elevated  $pC_a$ -induced photosynthetic downregulation among species at a site. However, a small set of leaf traits appear to describe these photosynthetic responses to elevated  $pC_a$  well across species.

The overall relationship between the photosynthetic parameter  $A_a$  and leaf N, as well those between biochemically based parameters  $V_{cm-m}$  and  $J_{m-m}$  (mass-based) and leaf N, was largely unaffected by elevated  $pC_a$  (Fig. 5, Table 5). The nature of the observed downregulation thus appears to have been primarily

tied to changes in leaf N in most species rather than changes in the fundamental relationships ( $V_{\text{cm-m}}$  and  $J_{\text{m-m}}$  as a function of leaf  $N_{\text{m}}$ ) as would be predicted by the protein-specific downregulation hypothesis (Rogers & Ellsworth, 2002). Given the lack of  $pC_a$  effects on  $f_{\text{N-Rub}}$  (Fig. 6), a protein-specific downregulation of the Rubisco enzyme is unlikely to be common, at least as a broad descriptor of the apparent downregulation we observed across herbs, trees and shrubs in elevated  $pC_a$ .

Although there was no evidence of elevated  $pC_a$  effects on  $f_{\text{N-Rub}}$ , we cannot rule out the hypothesis that elevated  $pC_a$ -induced downregulation may promote N redistribution (see (Drake *et al.*, 1997) such that downregulation of specific photosynthetic proteins in elevated  $pC_a$  provides N that can be reallocated toward other protein-requiring systems. Sage (1994) and Medlyn *et al.* (1999) showed that this reallocation must be very efficient since the two major photosynthetic complexes, carboxylation and ribulose 1,5-bisphosphate (RuBP) regeneration in electron transport, are typically matched to one another. This appears to be the case for the species in elevated  $pC_a$  in FACE experiments as well, since there was a strong overall relationship between  $V_{\text{cmax}}$  and  $J_{\text{max}}$  that was unaffected by elevated  $pC_a$  (mean  $J_{\text{max}}/V_{\text{cmax}}$  ratio =  $1.81 \pm 0.06$ ,  $r^2 = 0.79$ ,  $P = 0.0001$ ; data not shown), despite the lack of an overall significant elevated  $pC_a$  effect on  $J_{\text{max}}$  in ANOVA (Table 4). While elevated  $pC_a$  increases photosynthetic N-use efficiency in many species (Fig. 4), broad evidence of N redistribution from photosynthetic components to other leaf or plant functions and identification of the N-containing components that increase in elevated  $pC_a$  is still lacking (Stitt & Krapp, 1999). Even so, if internal N redistribution to other functions occurs it still does not compensate overall plant growth N demands in elevated  $pC_a$ -grown plants, since invariably leaf  $N_a$  is often not maintained (BassiriRad *et al.*, 2001).

Decreases in leaf  $N_a$  and associated changes in  $V_{\text{cmax}}$  in elevated  $pC_a$  among the set of species in this study (Fig. 3, Table 4) suggest that elevated  $pC_a$ -driven photosynthetic adjustments in these species are not simply the result of a dilution phenomenon because of mass accumulation in the leaf. Changes in leaf  $N_a$  in elevated  $pC_a$  can occur in plants because of reductions in soil N availability or N uptake relative to canopy N demand (Zak *et al.*, 2000; BassiriRad *et al.*, 2003). While elevated  $pC_a$  effects on soil N availability have been widely hypothesized and sometimes observed in smaller-scale elevated  $pC_a$  experiments (Diaz *et al.*, 1993; Hu *et al.*, 2001), Zak *et al.* (2003) found no evidence of changes in soil N mineralization and immobilization at the NC and WI FACE sites used in our study after 3 years of elevated  $pC_a$  using a soil  $^{15}\text{N}$  dilution

technique. However, a general trend toward depletion of leaf  $\delta^{15}\text{N}$  in elevated  $pC_a$  in these FACE experiments (BassiriRad *et al.*, 2003) may suggest increased plant internal N demand and/or a corresponding reduction in plant-assimilated inorganic N, although the immediate cause of these changes is unclear. Models predict that such responses should intensify with long-term elevated  $pC_a$  because of different response time constants associated with different components of ecosystem N cycles (McMurtrie *et al.*, 2001).

Relationships between the biochemical parameters of leaf photosynthesis ( $V_{\text{cmax}}$  and  $J_{\text{max}}$ ) and leaf N may provide important basic insights into the functional significance of variation in leaf form and leaf N within and among different growth forms when grown in long-term elevated  $pC_a$  (Roderick *et al.*, 1999). The systematic differences we observed among growth forms in photosynthetic N use (e.g.,  $V_{\text{cm-m}}$  and  $J_{\text{m-m}}$  vs.  $N_{\text{m}}$ ; Fig. 5) can be partly explained by differences in the apparent N investment to the Rubisco enzyme between herbaceous species with high  $f_{\text{N-Rub}}$  and trees and shrubs (generally lower  $f_{\text{N-Rub}}$ ; Fig. 6). Photosynthesis and carboxylation capacity per unit N tend to decrease as  $M_a$  increases because Rubisco represents a smaller fraction of leaf N in thicker, denser leaves (Poorter & Evans, 1998). For trees and shrubs, the strong correlation between the apparent fraction of leaf N allocated to Rubisco with  $M_a$  (Fig. 6) may represent increasing mesophyll limitations in leaves with higher  $M_a$  (Reich *et al.*, 1998; Evans & Poorter, 2001), which may lead to growth form differences in the intercepts of the  $V_{\text{cm-m}}$  vs.  $N_{\text{m}}$  relationships we observed. We suggest that these differences are related to differences in the amount of structural, nonphotosynthetic N among these different growth forms, particularly at high  $M_a$  where relatively high overall  $N_{\text{m}}$  (for a given  $M_a$ ) combined with a low fraction of N in Rubisco leads to low photosynthetic N-use efficiency (Fig. 6).

High fractional N investments in Rubisco are favored in particularly fast-growing plant types such as herbaceous species that lack perennial aboveground structures, since such plants maximize relative growth rate through high net assimilation rate as achieved through high leaf-level A (Poorter, 1998). Lower fractional N investments in Rubisco in trees and shrubs, particularly for thick leaves with high  $M_a$  imply possibly diffusional limitations in such leaves, or inactivation of Rubisco or both (Roderick *et al.*, 1999; Evans & Poorter, 2001). These differences among different growth forms have important implications for nitrogen-use efficiency and species responses to elevated  $pC_a$  on nutrient-poor sites.

Invariant functional relationships between photosynthetic parameters and leaf N have great utility in

modeling photosynthesis in different vegetation types (Wohlfahrt *et al.*, 1999), but differences among different plant growth forms suggest that general functional relationships between photosynthesis and leaf N (Reich *et al.*, 1999; Fig. 2) may have different biochemical determinants in different vegetation types. The results strongly suggest that fundamental relationships between N and physiology under ambient  $pC_a$  may broadly apply in models to photosynthetic responses of plants under elevated  $pC_a$  when constrained by tissue N concentration and  $M_a$ .

## Conclusions

Standardized measurements of 16 forest, grassland and desert species at four FACE sites showed that there was strong evidence of photosynthetic enhancement ( $+40 \pm 5\%$  for  $A_a$ ) in elevated  $pC_a$  in FACE after about 3 years. However, concurrent with photosynthetic enhancement in most species there was statistically significant photosynthetic downregulation in five of the 16 species growing in elevated  $pC_a$  for 2–3 years across FACE sites. Downregulation of photosynthesis was apparent in terms of a marginally significant effect of treatment  $pC_a$  on  $A$  at a common  $pC_a$  ( $-8\%$  among all species,  $P = 0.06$ ) as well as a significant effect of treatment  $pC_a$  on carboxylation capacity ( $V_{cmax}$ ,  $P = 0.03$ ,  $-8\%$  effect among all species). Similar magnitudes of elevated  $pC_a$  responses of  $A_a$ ,  $V_{cmax}$ ,  $N_a$  and  $M_a$  implicate leaf N dilution driven by carbohydrate accumulation as responsible for this downregulation, particularly in species with high carboxylation capacity and leaf  $N_a$ . Decreases in  $V_{cmax}$  and  $A_a$  were significantly related to the effects of elevated  $pC_a$  on  $N_a$ , suggesting an inability of plants to maintain internal leaf N in elevated  $pC_a$  in the long term. Mass-based parameters  $V_{cm-m}$  and  $N_m$  also decreased significantly in elevated  $pC_a$ , consistent with a dilution mechanism driven by carbohydrate accumulation. Thus the broad mechanisms of photosynthetic downregulation among species at difference FACE sites are closely tied to an inability to maintain leaf N on both a mass and area basis in canopy leaves in long-term elevated  $pC_a$ .

Fundamental differences in photosynthetic and carboxylation capacity–N relationships were apparent for different plant growth forms, but not for plants growing under different  $pC_a$  conditions. The differences among growth forms resulted from apparent differences in N allocated to carboxylation, which varied from low total leaf N but high  $f_{N-Rub}$  in herbs to progressively more total leaf N and also a greater proportion of nonphotosynthetic N in trees and desert shrubs. These differences in photosynthetic N use have important implications for modeling photosynthesis in

different vegetation types, and also affects the relative responsiveness of photosynthetic downregulation under elevated  $pC_a$  to changes in soil or plant internal N. These and other plant-elevated  $pC_a$  responses are central to debates about future C sequestration by the terrestrial biosphere. Understanding ecological controls on leaf and canopy N in response to long-term elevated  $pC_a$ , both within and among different plant growth forms, will greatly contribute to an ability to predict the magnitude of long-term leaf and canopy photosynthetic responses to rising atmospheric  $pCO_2$ .

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### Appendix A: modeling analysis of field A–pC<sub>i</sub> curves

We fitted the Farquhar *et al.* (1980) photosynthesis model to the data separately for each measured leaf, and compared  $V_{\text{cmax}}$  among sites and species. Data from field A–pC<sub>i</sub> curves were used to parameterize the biochemical model of C<sub>3</sub> photosynthesis described by Farquhar *et al.* (1980) with recent modifications (Medlyn *et al.*, 1999; Bernacchi *et al.*, 2001). According to the model, light-saturated leaf CO<sub>2</sub> assimilation rate ( $A$ ) is limited either by regeneration of RuBP in the photosynthetic carbon reduction cycle or by the catalytic activity of RuBP carboxylase (Rubisco) when the chloroplast RuBP concentration is saturating. Thus the initial slope of the relationship between  $A$  and  $pC_i$  (here for  $pC_i < 23$  Pa) is considered to be the region of limitation by Rubisco activity, from which the maximum carboxylation activity of Rubisco with saturating RuBP ( $V_{\text{cmax}}$ ) is calculated by least-squares regression. Given that the Rubisco enzyme is characterized by relatively conservative kinetic properties among different lineages of C<sub>3</sub> plant species, the temperature dependencies of the kinetic parameters  $k_c$ ,  $k_o$  and the compensation point between photosynthesis and respiration in the absence of photorespiration ( $\Gamma^*$ ) were calculated with modifications proposed by Bernacchi *et al.* (2001). From  $V_{\text{cmax}}$  and the corresponding leaf N, the apparent fraction of N allocated to active Rubisco enzyme ( $f_{\text{N-Rub}}$ ) is calculated, assuming a composition of 16.67% N, eight active sites and a  $k_{\text{cat}}$  value for the enzyme of 3.3 (Evans, 1989).

After solving for  $V_{\text{cmax}}$ , the maximum rate of electron transport at near saturating  $pC_i$  ( $J_{\text{max}}$ ) was calculated as the best fit for the entire A–pC<sub>i</sub> curve solved by iteration (Wullschlegel, 1993). The current analysis assumes that

the partial pressure of CO<sub>2</sub> at the chloroplast surface ( $pC_{chl}$ ) is near that in the intercellular air space ( $pC_i$ ), recognizing that there is a finite leaf internal liquid- and gas-phase conductance not considered here (Evans & Von Caemmerer, 1996). Thus,  $V_{cmax}$  represents apparent carboxylation rate for Rubisco in leaves at its native activation state. There was no evidence of strong

statistical biases among curves in the saturation  $pC_i$  point for *A* (not shown), as might be suggested if there were large differences in internal conductances among the species here. Apparent  $f_{N-Rub}$  was compared among different plant growth forms at a common  $M_a$  to minimize bias because of internal conductance limitations in thick leaves.