# AIAA-83-2093 Optimization and Closed-Loop Guidance of Drag-Modulated Aeroassisted Orbital Transfer J.A. Kechichian, M.I. Cruz and E.A. Rinderle, Jet Propulsion Lab., Pasadena, CA; and N.X.

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# <u>Abstract</u>

An analysis of optimal and near optimal atmospheric flight trajectories for drag modulated aeroassisted orbital transfer is presented. An explicit and adaptive closed loop guidance approach for this mode of orbit transfer is also presented with performance near the optimal nominal trajectories. The orbital transfer of interest is for return from high Earth orbit to low Earth orbit. Most of what is discussed in this paper concerns the aeroassisted or atmospheric segment which lowers the apogee of the high Earth orbit to the apogee of the low Earth orbit. Minimization of the total impulsive AV at this low Earth orbit apogee is the optimization criterion. Control about this impulse due to a number of potential error sources in atmospheric braking is the requirement imposed on closed loop guidance.

#### Introduction

Two concurrent studies were performed to research drag modulated entry of Aeroassisted Orbital Transfer Vehicles (AOTV)1.2. These consisted of analyses which dealt with formulation of the optimal control problem,<sup>3</sup> and formulation of closed loop guidance strategies and mechanizations which minimize the effect of external variables and arrive at near optimal orbital transfer.<sup>4</sup> It is desired that the closed loop guidance be absolutely explicit and adaptive. This paper discusses the analytical development and engineering analyses of these studies.

In the first part of this paper, the analysis of the optimal flight path control of a purely drag modulated orbit transfer vehicle is presented. The strategy consists of eliminating the circularizing  $\Delta V$  of the Hohmann transfer by applying a slightly higher deorbit  $\Delta V$  such that the conic perigee of the elliptic transfer orbit is located inside Earth's atmosphere where the required velocity depletion is obtained through aerobraking. Flight path control must then be carried out during the atmospheric portion of the flight in order to exit from the atmosphere with the appropriate velocity  $V_f$  and flight path angle

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Copyright @ American Institute of Aeronautics and Astronautics, Inc., 1983. All rights reserved.  $\gamma_f$  that transfers the vehicle to the desired low circular orbit on an elliptic transfer orbit tangent to it at its apoapse.

A small circularizing  $\Delta V_2$  is finally needed at the tangency point to enter the final orbit. It is shown that there exists an optimal pair  $(V_{f})$ .  $\gamma_f$ ) that results in minimum  $\Delta V_2$  among the infinitely many such pairs. The optimal control problem is cast into its most general form and the overall minimum  $\Delta V$  transfer analyzed by considering initial and final side constraints. The appropriate transversality conditions at entry and exit corresponding to initial and final times, are then developed and a backward numerical integration scheme devised that integrates both state and adjoint equations using the optimal scalar control variable  $C_{D}$  that maximizes the variational Hamiltonian. It is shown that the control is of the bang-bang type, switching  $C_{D}$ between its  $C_{Dmin}$  and  $C_{Dmax}$  upper and lower bounds with no intermediate level control possible,  $C_D$ appearing linearly in the Hamiltonian.

The closed loop guidance strategies and mechanization presented suggest truly adaptive and explicit performance. They provide near optimal trajectory performance with significant trajectory accuracy control capability.

The mode of drag modulation is of no interest to this study. That is, this analysis assumes that a capability will exist to provide ballistic coefficient ( $\beta = m/C_DA$ ) control within a required range of  $C_{Dmax}$ -to- $C_{Dmin}$ . This capability can be either from a forward firing engine, a diffuser or any other type of variable geometry device. The requirement as to the  $C_{Dmax}$ -to- $C_{Dmin}$  ratio can be determined from this analysis. This is in the range of 10:1 to 25:1 depending on navigation capability and time allowed to effect the orbital transfer. An additional requirement that needs to be imposed is that at no instant should the entry vehicle develop lift.

#### Discussion

# Optimal Orbital Transfer

The optimal transfer between coplanar circular orbits is a Hohmann transfer using two  $\Delta V$ 's applied 180° apart if the High Earth Orbit (HEO) radius, r<sub>1</sub>, is less than 11.938765 times the Low Earth Orbit (LEO) radius, r<sub>2</sub>, or r<sub>1</sub>  $\langle$ 11.938765 r<sub>2</sub>. A biparabolic transfer is optimal for r<sub>2</sub>  $\rangle$  11.938765 r<sub>1</sub>. In order to minimize  $\Delta V$ further, aeroassisted transfer modes can be considered to eliminate the second chemical burn by targeting the orbit transfer vehicle to a perigee located inside the atmosphere in order to achieve the required velocity depletion through aerobraking. A small circularizing  $\Delta V_2$  is needed later to transfer the vehicle to LEO. This is reflected in Figure 1.



Figure 1

A comparison can be made to determine whether Hohmann, biparabolic or aeroassisted transfers are optimal. This assumes that the optimal aeroassisted transfer can be bounded by a grazing trajectory of the atmosphere. Let

$$\alpha_1 = \frac{r_1}{R_a}$$
,  $\alpha_2 = \frac{r_2}{R_a}$ ,  $\Delta v_1 = \frac{\Delta v_1}{\sqrt{\mu/R_a}}$  (1)

The elliptic grazing trajectory requires an impulse of

$$\Delta v_{1} = \sqrt{\frac{1}{\alpha_{1}}} - \sqrt{\frac{2}{\alpha_{1}(\alpha_{1}+1)}}$$
(2)

while the parabolic grazing trajectory requires theoretically two impulses

$$\Delta v_1 + 0 = \sqrt{\frac{2}{\alpha_1}} - \sqrt{\frac{1}{\alpha_1}}$$
 (3)

In this mode, the first impulse is used to send the vehicle into a parabolic orbit from HEO and the second infinitesimal impulse at infinity (in practice at a large distance from Earth) to graze the atmosphere.

In order to obtain the total characteristic velocity for the aeroassisted mode, the circularizing  $\Delta V_2$  at  $r_2$  must be added to the above  $\Delta V's$ . This is found to be

$$\Delta v_2 = \sqrt{\frac{1}{\alpha_2}} - \sqrt{\frac{2}{\alpha_2(\alpha_2 + 1)}}$$
(4)

These sums must be compared with the all propulsive Hohmann and biparabolic transfers which are found to be, respectively, as follows

$$\Delta v_{\rm H} = \sqrt{\frac{1}{\alpha_1}} - \sqrt{\frac{2\alpha_2}{\alpha_1(\alpha_1 + \alpha_2)}} + \sqrt{\frac{2\alpha_1}{\alpha_2(\alpha_1 + \alpha_2)}} - \sqrt{\frac{1}{\alpha_2}}$$
(5)

$$\Delta v_{\rm p} = \frac{(\sqrt{2}-1)}{\sqrt{\alpha_1}} + \frac{(\sqrt{2}-1)}{\sqrt{\alpha_2}}$$
 (6)

to determine the absolute optimal transfers.

In summary, we have the following transfer modes:

- A<sub>1</sub>: aeroassisted transfer with grazing elliptic orbit
- A<sub>2</sub>: aeroassisted transfer with grazing parabolic orbit
- B<sub>1</sub>: Hohmann transfer
- $B_2$ : biparabolic transfer

For given values of  $a_1$  and  $a_2$ , the orbital transfer mode can be determined. For elliptic and parabolic transfers, the following explicit criteria can be made

Mode  $A_1$  is better than mode  $A_2$  if  $a_1 < 4.828427$ Mode  $B_1$  is better than mode  $B_2$  if  $a_1 < 11.938765a_2$ (7)

The comparison between mode A and B is by direct verification. In particular, mode  $A_2$  is better than mode  $B_2$  if  $a_2 < 4.828427$ . The comparison between mode  $A_1$  and  $B_1$  is shown in Figure 2. Of special interest should be the return from Geosynchronous Earth Orbit (GEO) to LEO where  $a_1 =$ 6.50062 and  $a_2 = 1.0354$ . The aeroassisted orbital transfer is absolutely superior to the all propulsive orbital transfer.



An additional element that must be considered in this analysis is plane change when comparing elliptic and biparabolic drag modulated aeroassisted orbital tansfer. The biparabolic can have additional savings, since the  $\Delta V$  associated with plane change at infinity is very small.

#### Aeroassisted Orbital Transfer Optimization Approach

It has already been determined in Reference 1 that a split-AV strategy be adopted for the AV<sub>1</sub> burn. This is due to the large magnitude of this

burn (greater than 2 km/sec), since direct-entry after this burn could potentially result in entry flight path angle errors on the order of  $\pm 5^{\circ}$ . Therefore, the first part of  $\Delta V_1$  will place the entry vehicle barely outside the atmosphere and return to its HEO apogee. A small  $\Delta V$  is then applied at apogee to lower perigee inside the atmosphere with acceptable accuracy.

A preliminary analysis indicates that almost all of the savings in  $\Delta V$  take place at the circularizing burn and that the atmospheric flight path is shaped in order to exit with a state vector that corresponds to the highest energy possible elliptic transfer orbit to LEO. Figure 3 shows a plot of the exit conditions which will result in a 200 km LEO. It also shows the  $\Delta V_2$ associated with each exit condition. It demonstrates that minimizing  $\gamma_f$  will result in a minimum  $\Delta V_2$  solution. In fact, if the absolute minimum  $\gamma_f$  of zero could be obtained, the  $\Delta V_2$ would be as small as 18 m/sec.



Here, the atmospheric flight model assumed a spherical and non-rotating Earth which is adequate for a first order solution to the coplanar orbital transfer problem. The iteration scheme shown in Appendices 1 and 2 require an adequate guess of the exit flight path angle in order to converge rapidly on the optimal solution. In order to gain more insight into this drag modulated problem and provide the optimization scheme with the appropriate initial starting guess for a given orbit transfer from a BEO to a LEO, a series of near optimal trajectories are generated by considering only one switch from  $C_{Dmax}$  to  $C_{Dmin}$  at varying switch times  $\tau_s$  for different conic perigee targets or entry states. The system equations (1-9) - (1-11) of Appendix 1 are integrated forward from entry using  $C_{\mbox{Dmax}}$  until an arbitrarily selected time  $\tau_s$  where the control is allowed to switch to  $C_{Dmin}$  instantaneously and maintain that value until exit. The exit state

namely velocity and flight path angle must be such that the transfer orbit at exit will reach LEO at apoapse, or satisfy (2-3) of Appendix 2 for a given  $\alpha_2$ . This can be achieved by varying the switch time  $\tau_s$  until (2-3) is satisfied. Since the entry state or equivalently the target conic perigee of the deorbit  $\Delta V_1$  was held fixed, the procedure just described is repeated with a new entry state and the  $\tau_s$  that satisfies (2-3) found again. As has been mentioned earlier the trajectory that results in the minimumAV<sub>2</sub> is then chosen to represent a near optimal transfer whose exit state can be used as the initial guess in the backward integration scheme in order to iterate on the overall optimal solution.

#### <u>Results</u>

The results of this study are presented in three parts. In the initial part, a series of ballistic or constant  $C_D$  fly-throughs are generated in order to establish the undershoot and overshoot boundaries and also to provide with a reference with which the optimal transfer can be compared and fuel savings determined.

In the second part, a series of one switch  $C_{Dmax} - C_{Dmin}$  near optimal trajectories are presented from which the appropriate initial guesses required for the optimization scheme are directly obtained and finally an optimal transfer example is shown and compared with both the ballistic and single switch solutions.

The results that are presented in this study assumed a nominal atmosphere which is tabulated in Table 1 and obtained from Reference 7. The ballistic coefficient ( $\beta = m/C_DA$ ) was assumed to have a minimum value of 25 kg/m<sup>2</sup>. As such, a  $C_{Dmax}$  value of 3.0 was assumed.  $C_{Dmin's}$  of 0.12, 0.30 and 1.0 were analyzed in this study. This range of  $C_D$  is consistent with conceptual designs utilizing drag modulation (Reference 1). One specific aeroassisted return from *HEO* was analyzed. This was geosynchronous return which gave us an entry speed of 10.31 km/sec at entry altitude of 120 km. This same altitude established the exit interface, as well.

# Generation of Ballistic Flight Path

Tables 2, 3, 4, and 5 show the resultant orbits from ballistic flight in the atmosphere as a function of the de-orbit target perigee for ballistic coefficients of 625, 250, 75 and 25  $Kg/m^2$  (i.e.,  $C_D = 0.12$ , 0.30, 1.0 and 3.0), respectively. This demonstrates the sensitivity of ballistic flight where approximately a 0.1 km error means the difference between aerobraking into a LEO (~ 200 km) or crashing. It shows that the target perigee in the de-orbit maneuver to ballistically aerobake into a 200 km LEO is approximately 6439.0, 6445.7, 6453.4 and 6459.7, respectively, for the four values of drag coefficient. Due to the sensitivity of the problem, these perigee altitudes can be considered the undershoot boundaries. The specific undershoot boundaries depend on the drag capability (i.e.,  $C_{Dmax}/C_{Dmin}$  ratio). The  $\Delta V_2$  range to trim to a 200 km LEO is 82 to 72 m/sec, The  $\Delta V_2$ respectively, which indicates that as the ballistic coefficcient decreases or CD increases the  $\Delta V_2$  decreases.

# Table 1 - Nominal Atmosphere Model

			Speed of			
Altitude km	Density cm/cm <sup>3</sup>	Pressure mbar	Sound m/sec	Temperature <sup>O</sup> k	Viscosity <sub>2</sub> nτ-sec/m	
50	1.032E-6	8.006E-1	329.5	270.15	1.701E-5	
55	5.610E-7	4.222E-1	324.5	262.15	1.661E-5	
60	3.018E-7	2.172E-1	317.4	250,65	1.502E-5	
65	1.601E-7	1.071E-1	306.1	233,15	1.511E-5	
70	8.082E-5	5.003E-2	294.4	215.65	1.416E-5	
75	3.850E~8	2.190E-2	282.2	198,15	1.318E-5	
80	1.713E-8	8.881E-3	269.4	180.68	1,216E-5	
85	6.672E-9	3.393E-3	266.8	177.07	1.196E-5	
90	2.518E-9	1.295E-3	268.3	178.67	1.207E-5	
95	3.715E-10	2.124E-4	282.9	192.98	1.324E-5	
100	5.312E-11	4.904E-5	329.8	254.47	1.704E-5	
116	2.368-11	2.602E-5	392.3	354.95	2.215E-5	

Table 2

C <sub>D</sub> = .12						c <sub>0</sub> - 3							
r_(km)	£(sec)	v <sub>f</sub> (km/s)	y <sub>f</sub> (deg)	r a (km;)	: v <sub>2</sub> (n/s)	r (km)	t <sub>f</sub> (see)	V <sub>f</sub> (km/s)	Y (deg)	r (km.)	.:v <sub>2</sub> (m/s)		
6438.9	crashed					6459.6	crashed						
6439.	601.06	7.776950	.895250	6542.632	82.407	6459.7	449.77	7.795463	.894869	6555.034	71.279		
6439.1	532.97	7.842947	1.308978	6665,963	89.486	6459.8	399.59	7.889165	1.270910	6769.577	102.600		
6439.2	495.75	7.903303	1.591965	6838,870	127.959	6459.85	384.24	7,931569	1.406846	6904.609	135.821		
6439.3	470.45	7.959373	1.811976	7023,864	173.444	6459.9	371.97	7.971771	1,523306	7041.979	170.166		
6440,	388.99	8,279476	2.695264	8294,136	453.063	6460	353.14	8.046833	1.716403	7314,680	236,756		
6442,	318.10	8.880892	3.645161	11755,570	923.945	6460	251.39	8.957269	3.007160	12318.829	970.005		
6444.	288.26	9.275672	4.020852	15311,336	1175.436	6463	234.75	9.228507	3.205316	14786.182	1142.822		
6446.	269.87	9.555858	4.197319	18967.810	1319.300								

### Generation of Near Optimal Flight Path

Table 5

Table 3

c <sub>p</sub> = 0.3									
r <sub>p</sub> (km) t <sub>f</sub> (sec)		v <sub>f</sub> (km/s)	) ( <sup>(deg)</sup>	r (km) f	$w_2^{(n/s)}$				
6445.5	crashed								
6445.6	619.89	7.746398	.587651	6512.588	94,706				
6445.7	517.35	7.822124	1.128311	6610.157	77.481				
6445.3	473.60	7,889804	1.446190	6788,404	112.887				
6445.9	446.00	7.951965	1.681997	6990.131	162.454				
6446.	426.06	8.009885	1.871531	7194,240	312.645				
6447.	341,48	8.458194	2.864588	9145.360	599.658				
6446.	308.18	8,780921	3.317631	11044.902	850,042				
6449.	287.83	9.037416	3.588734	12992.239	1027.796				
6450.	273.55	9.245276	3.762002	14976,149	1156,356				

Τa	Ъ1	e	-4
1.11	DT	<b>C</b>	

с <sub>р</sub> - 1					
r p <sup>(kom)</sup>	ι <sub>f</sub> (sec)	$V_{f}(km/s)$	Y[(deg)	τ <sub>α</sub> (km)	.3V_(m/s)
0453.3	crashed				
6453.5	473.17	7.824095	1.063686	6605.749	72.863
6453.55	448.68	7.863745	1.239999	6702,345	89.546
6453.60	430.48	7.901335	1.385393	6813.85.	115.568
6453.65	416.07	7.937229	1.510017	6930.457	144.458
(453,7	404.19	7.971690	1.619518	2048.619	173.964
6454.2	340,75	8.263866	2.314687	8208,965	432.152
6454.2	340.75	8.263866	2.314687	8208.965	432.152
6454.7	311.31	8.493445	2.696911	9321.206	624.937
6455.2	292.61	8.684921	2.950958	10417.311	775.532
6455.7	279.11	8.849420	3,133805	11512.757	896.748
6456.2	268.65	8,993291	3.271220	12615.064	996.260
6456.7	260.15	9.120678	3.377213	13728,322	1078.833
6457.2	253.01	9.234540	3.460310	14855.264	1147.978
6457.7	246.86	9.337178	3.526154	15998,845	1206.273
6458.2	241.44	9,430706	3,578889	17166,174	1255,837

Assuming the fixed  $C_{Dmax}\mbox{-}to\mbox{-}C_{Dmin}$  strategy, a number of trajectories were flown to various LEO's with altitudes in the range of 122 to 622 km using a fixed switching logic as discussed before. These are reflected in Figures 4, 5 and 6 for  $C_{\text{Dmin}}-C_{\text{Dmax}}$  pairs of (1, 3), (.3,3) and (.12, 3). The number adjacent to each parenthesis is the perigee radius plus 6400 km. Each curve corresponding to a given  $C_{\mbox{Dmin}}-C_{\mbox{Dmax}}$  pair is for a given target perigee  $r_p$  with each point of the curve corresponding to a different switching time  $\tau_s$ . However the curves related to the purely ballistic cases or constant  $C_D$  are obtained by varying the target perigee  $r_p$ , using the data displayed in tables 2,3,4 and 5. These figures show that for a given apogee radius ra corresponding to a LEO orbit, several combinations of  $r_p$  and switch times are possible but that only one such set will lead to the minimum  $\Delta V_2$  value. Furthermore, as the  $C_D$  range gets larger, the  $\Delta V_2$ savings with respect to the purely ballistic case become larger for given low LEO's. Included in each Figure are the corresponding ballistic trajectory results. Essentially reflected in these figures are the  $\Delta V_2$  minimum for each  $C_{\rm Dmax}$ to  $C_{Dmin}$  trajectory to a given LEO as a function of the perigee altitude. At apogee altitudes of 500 km or greater, the differences due to a ballistic trajectory, and perigee altitude become insignificant. LEO's at altitudes of about 200 km reach a minimum  $\Delta V_2$  for high periges altitude or shallow entry which corresponds to operating in the overshoot boundary. At the more reasonable LEO of about 350 km, as expected, the effect of perigee altitude is somewhat desensitized with the modulated drag trajectory giving the  $\Delta V_2$  minimum solution.



Figure 7 shows the variation of the critical switching time  $t_s$  (latest possible switching time) with target altitude for different  $C_D$  intervals. For a given  $C_{Dmin}-C_{Dmax}$  interval,  $t_s$  increases

with h and for a given target h,  $t_s$  increases with the  $C_D$  interval. Each point on these curves has a corresponding achieved LEO associated with it. It demonstrates that shallower entry allows for greater flexibility. A 1.0 km variation corresponds to approximately 0.1° variation in entry flight path angle.



A specific LEO of 246.5 km was examined corresponding to a target altitude h = 80 Km with a switch from  $C_{Dmax} = 3$  to  $C_{Dmin} = .12$  at time  $t_s =$ 144 sec after entry. The general response is shown in Figures 8 which shows altitude, velocity, flight path angle and time histories of the trajectory. This trajectory flew extensively at  $C_{Dmax}$  with a switch near the last 0.400 km/sec aerobrake. This of course was due to the shallow entry which operated near the overshoot boundary for this LEO. The control capability was also very great ( $C_{Dmax}$ -to- $C_{Dmin}$  ratio of 25:1). The  $\Delta V_2$  was approximately 53 m/s.

Figure 8 shows that at entry, a slight increase in velocity takes place due to the presence of a small gravity component along the velocity vector. Severe deceleration of the order of 3 g's takes place at the 85 Km mark with the vehicle at  $C_{\rm Dmax}$ . The instantaneous switch to  $c_{\rm Dmin}$  pulls the spacecraft out of the atmosphere with little velocity depletion taking place after the switch, failure to switch resulting in a crash.

Finally, as opposed to the constant  $C_{D}$  fly throughs in which the altitude versus time curve is almost symmetrical, the minimum of h is now much closer to entry time.

# Generation of Optimal Flight Path

The technique of backward integration of Appendices 1 and 2 is applied to the  $C_{Dmax}-C_{Dmin}$ trajectory of the previous section, in order to generate the optimal flight path. The  $C_{Dmax}-C_{Dmin}$ trajectories are either optimal or near optimal since it is not expected to encounter more than one or at most two switches in the control variable  $C_D$  between its max and min values. Furthermore the exit state, namely  $v_f$  and  $s_f$  obtained from these one-switch trajectories provide an excellent initial guess ( $s_f$  in the backward integration case) to search for the optimal solution. For the case where  $only\Delta V_2$  is minimized (see Appendix 2 for the minimum  $\Delta V_1$  +  $\Delta V_2$  case) the iteration is carried on  $s_f$  and  $\lambda_h$  until the given entry state ( $v_e, s_e$ ) is recovered.





The switches are carried out according to the changes in sign of  $\lambda_{\rm v}$  (Figure 9) and the optimal path generated consists of a  $C_{\rm min}^{-}C_{\rm Dmax}^{-}C_{\rm Dmin}$  strategy with the first switch taking place at  $t_{\rm s}$  = 35.879 sec, the second switch at  $t_{\rm s}$  = 145.879 sec and the total atmospheric flight time  $t_{\rm f}$  = 723.600 sec. This optimal (minimum  $\Delta V_2$ ) trajectory is some 11.121 sec longer than the near optimal one-switch example of the previous section and the  $\Delta V_2$  needed to circularize is some 0.5 m/s less. This trajectory is reflected in Figure 10 which includes altitude, velocity, dynamic pressure and convective heating rate (1 meter sphere) time histories. The modification to the trajectory was slight since the  $C_{\rm Dmin}$  initial flight was very brief and the velocity depletion is still taking place during the  $C_{\rm Dmax}$  portion of the path.







# Discussion of Closed Guidance

The optimal orbital transfer study suggested that the nature of the atmospheric flight trajectory control would be bang-bang between a  ${}^C{}_{\mbox{Dmax}}$  and  ${}^C{}_{\mbox{Dmin}}$  . Of the two trajectories solved, one resulted in an optimal orbit transfer. The first suggested that only one switch would occur from initial flight at  $C_{Dmax}$  to a final switch to  $C_{Dmin}$  to effect a skip trajectory. Unfortunately, this is essentially a ballistic trajectory which does not provide the accuracy control required to make drag modulation a feasible concept. The latter strategy resulted in two switches from  $C_{Dmin}$ -to- $C_{Dmax}$ -to- $C_{Dmin}$ . This solution is a slight modification of the  $C_{Dmax}$ -to- $C_{Dmin}$  is trajectory, since the initial flight at  $C_{Dmin}$  is relatively short. These solutions were a result of the mathematically derived optimality condition which constrained the atmospheric trajectory to exit at C<sub>Dmin</sub>.

The guidance mechanization that follows suggests an additional solution with a  $C_{Dmax}$ -to- $C_{Dmin}$ -to- $C_{Dmax}$  strategy. It turns out that the difference in orbit trim  $\Delta V$  between this strategy and the two discussed above is on the order of meters per second.

This strategy, though, has the added advantage of providing significant accuracy control capability, since it can readily modify the ballistic entry trajectory. This will be demonstrated in the discussion of the results.

Before discussing the guidance approaches and results, it is important that the definition of  $C_{Dmax}$  and  $C_{Dmin}$  strategies be made as it relates to guidance. In the optimal orbital transfer analysis, the trajectory was shaped by bang-bang control as dictated by the Maximum Principle. In the guidance analysis, the trajectory is not absolutely controlled in a bang-bang mode, but rather commands are issued at intermediate values of  $C_{Dmax}$ -to- $C_{Dmin}$ . This allows the trajectory to be trimmed and damped through various phases as will be discussed. The trajectory, though, does reside at some  $C_{Dmax}$  and  $C_{Dmin}$  values through a large portion of the trajectory. As such, the reference to  $C_{Dmax}$ - $C_{Dmin}$  and  $C_{Dmax}$ - $C_{Dmin}$ - $C_{Dmax}$ guidance strategies is made.

# C<sub>Dmax</sub>-C<sub>Dmin</sub> Guidance

The strategy here is for the entry vehicle to fly initially at its  $C_{Dmax}$  or  $\beta_{min}$  configuration until some reference drag acceleration  $(D_{Ref})$ , has been exceeded. The entry vehicle is then commanded to change its configuration to a commanded  $\beta_c$  to fly this reference drag acceleration. The reference  $\beta$ , which usually tends toward a  $C_{Dmin}$  or  $\beta_{max}$  is based on a value which will insure skip-out at a desired erit speed and flight path angle. The entry vehicle continually modulates its configuration to this  $D_{Ref}$  until it meets a skip or exit state criteria. Once this criterion has been met, it flies at its current configuration until exit. This is usually at the  $\beta_{max}$  command in the trajectory.

The criterion used to initiate exit is given as follows if

 $\mathbf{k} = \frac{H_{s D}}{\beta_c \gamma_m v^2}$ 

path angle

This is derived in Appendix 3 and also assumes that for this strategy (HE-H)>>Hs. If the

criterion  $V_e^1 \leq V_e$  is not met, a new command is issued based on a skip criterion damped by the

D<sub>Ref</sub> controller. This is mechanized as follows

 $\beta_{\text{Ref}} = \frac{q H_s}{k_{n-s} \gamma V^2}$ 

 $\beta_{c} = \beta_{Ref} + C_{1}(D-D_{Ref}) - C_{2}(\dot{H} - \dot{H}_{Ref})$ 

 $\begin{array}{ll} H_{s} & = \text{ density scale height} \\ \beta_{c} & = \text{ the current commanded } \beta \end{array}$ 

issue  $\beta$  commands without damping. These commands are executed as follows

 $\beta_{c} = \frac{q H_{s}}{k \dot{H} V}$ 

$$V_e^1 \leq V_e$$
 then the criterion has been met.

 $V_{e}$  = the desired exit speed

where

$$V_e^1 = V_{exp}(-k)$$

D

$$k_{c} = \frac{\ln(V/VE)}{1 - \exp(\frac{(H - HE)}{H_{s}})}$$
(15)

(14)

HE = exit altitude H = current computed altitude  $q = D\beta_c$ , again dynamic pressure inferred from previous & command

The general response of this modification is to command  $\beta$  to  $\beta_{max}$  or  $C_{Dmin}$  shortly after the  $C_{Dmax}$ - $C_{Dmin}$  mode has been exited and later command  $\beta$  to  $\beta_{min}$  or  $C_{Dmax}$ . This is due to the lack of damping in the guidance mechanizations. Correction to this response is a future task. As the entry vehicle climbs, the density diminishes and as such the exit is towards  $C_{Dmax}\ or\ \beta_{min}$  for final correction. These commands are issued until the entry vehicle drag drops below 0.1 g's.

#### <u>Results</u>

The above guidance strategies and mechanizations were implemented into a flight dynamics, and Guidance, Navigation and Control simulation which decouples the various functions (Reference 6). A number of parametric guidance and error analyses were then performed to determine performance.

The entry vehicle was assumed to have a  $\beta_{min}$  = 25 kg/m<sup>2</sup> and potential to modulate its  $\beta$  to  $C_{Dmax}$ -to- $C_{Dmin}$  ratios of 25:1, 10:1 and 3:1. This is consistent with some proposed concepts (i.e. Reference 2).

For these analyses, the orbit transfer considered was a return from geosynchronous orbit to a 350 km LEO. This corresponds to an entry speed of about 10.31 km/sec (inertial) and 9.84 (air relative). The nominal atmospheric model is that given in Table 1 and Reference 7.

# Entry Flight Path Angle Sensitivity Analysis

Sensitivity analyses of the exit state as a function entry flight path angle were performed using the two guidance strategies. The intent here was to determine the entry corridor for a geosynchronous to LEO return mission and determine how wide it was for each guidance strategy. This is indiated in Figure 11 for an entry configuration with  $C_{Dmax}$  to  $C_{Dmin}$  ratio capability of 25:1. As will be noted, an inflection in the exit speed and entry flight path angle curve is observed for the  $C_{Dmax}-C_{Dmin}$  guidance strategy which suggests that an improvement over the ballistic trajectory can be made (Reference 5) by this approach. The  $C_{Dmax}-C_{Dmin}-C_{Dmax}$  guidance strategy, though, gives the superior performance. The exit state sensitivity over  $\pm 0.2^{\circ}$  in entry flight path angle is essentially zero. The  $\Delta V$ required to trim errors about a  $-4.8^{\circ}$  nominal (AV = 106 m/sec) is on the order of  $\pm$  10 m/sec. The entry at -5.0° results in exit states very close

=  $D\beta_c$ , inferred dynamic pressure

= previous command βc

Ĥ = computed rate of climb

$$\dot{\mathbf{H}}_{\mathbf{Ref}} = -\frac{2\mathrm{Hs} \ \mathrm{D}_{\mathbf{Ref}}}{\mathrm{V}} \tag{12}$$

 $c_1$ ,  $c_2$  = Guidance gains

 $k_{\text{Ref}} = \ln (V/_{V_{P}})$ (13)

A derivation of the guidance gains is given in Appendix 4 and the reference quantities are derived in Appendix 3.

In general, the criterion on Ve is slightly biased from the exact exit air speed which is due to the differences between the linearized maneuver and actual flight dynamics.

# CDmax-CDmin-CDmax Guidance

This strategy is a modification of the  $C_{\rm Dmax}-C_{\rm Dmin}$  strategy which adds one more degree of control. Once  $C_{Dmax}$  -  $C_{Dmin}$  approach has met its criteria, it will exit the  $\beta$  controller at its last  $\beta$  command. In essence, it has no more control over the trajectory. The intent of the modification is to continue to modify or correct the exit maneuver. It does this by continuing to

q

(8)

(9)

(10)

(11)

V = current air speed

= measured drag acceleration

 $\gamma_m$  = mean value of exit flight

to the optimal trajectory and trim  $\Delta V$  differences on the order of 2 m/sec.

# Atmospheric Density Dispersion Sensitivity Analysis

Sensitivity analyses of the exit state to variation in atmospheric density were performed

the nominal entry condition derived from the prior entry corridor analysis. Nominal entry was  $-4.5^{\circ}$ and  $-4.8^{\circ}$  for the  $C_{Dmax}-C_{Dmin}$  strategy,  $C_{Dmax}-C_{Dmin}-C_{Dmin}$  strategy. Again, the  $C_{Dmax}-C_{Dmin}-C_{Dmax}$  strategy provided the superior per formance with essentially zero sensitivity and was extremely adaptive. In view of the prior



nsing the two guidance strategies. This is reflected in Figure 12. The nominal atmospheric model was perturbed in increments of 15% by a multiplier which essentially assumes that the entire atmosphere density profile is off nominal by a fraction. Trajectories were simulated using

sensitivity analysis, this should not be surprising, since a 50% dispersion in density is approximately equivalent to a 0.1° entry flight

path angle dispersion (Reference 4). Also, this assumes a  $C_{Dmgx}$ - $C_{Dmin}$  ratio of 25:1. Figure 13 shows time histories of the trajectories for these dispersions.



Figure 13

# Sensitivity to Lift and Drag Dispersions

The response of the CDmax<sup>-C</sup>Dmin<sup>-C</sup>Dmax guidance mechanization to lift was investigated to determine its capability to control unforeseen aerodynamic forces. Simulations were executed for an L/D range of -0.1 to 0.1 at nominal entry of -4.8°. The flight dynamic simulation, which feeds back acceleration and velocity information to the guidance and navigation function, simulated the presence of lift. The guidance and navigation functions based on trajectory response attempted to correct the bias. Figure 14 shows the guidance sensitivity. It can be insensitive to small lift biases, but falls off the edge and crashes for an L/D nose down of more than 0.03. This is 10% of that experienced by Apollo type entry vehicles. This could potentially be a problem. The entry vehicle will probably require very active pitch damping or roll control.



In addition, dispersions of  $\pm$  20% in drag were simulated to again test the adaptiveness of the mechanization. The response or sensitivity to error in drag over nominal was essentially zero. Of course, this was for the  $C_{Dmax}/C_{Dmin}$  ratio of 25:1 which is a great deal of control capability.

# Entry Flight Path Angle and Control Sensitivity Analysis

The sensitivity analysis shown in Figure 11 was repeated for various values of  $C_{Dmax}$ -to- $C_{Dmin}$ control ratios using a  $C_{Dmax}$ - $C_{Dmin}$ - $C_{Dmax}$  guidance strategy. This is shown in Figure 15. As can be noted,  $C_{Dmax}$ -to- $C_{Dmin}$  ratios of less than 10:1 significantly reduces the exit state control capability. Based on atmospheric dispersions and entry state navigation, an entry corridor width of  $\pm$  0.1° should be maintained. This suggests control authority requirements of 10:1 or better.

#### Accuracy Assessment

An accuracy assessment of the  $C_{\rm Dmax}-C_{\rm Dmin}-C_{\rm Dmax}$  guidance strategy and mechanization was performed for a  $C_{\rm Dmax}-to-C_{\rm min}$  control ratio of

Input Error Source					30 Output Error					
Paramoter	Description	Value, 3 o	Units	Exit Speci, m/sec	Exit Flight Path Angle, deg	Apoopsis Altitude, km	Orbit Period, sec	Great Circle Arc, deg	Corrective ΔV over nominal for LEO, m/sec	Error Type <sup>*</sup>
Ļ/D	Lift-to-Drag Ratio Uncertainty	0.03	-	3	0,27	32.	8.	7.9	10	Pert~Nom
c <sub>o</sub>	Drag Coefficient Uncertainty	20	%	0.	-0.03	-3,	ο.	0,4	Ð	Pert-Nom
γα	Entry Flight Path Control	0.20	deg	24,	0.10	72.	49,	-3,5	18.	Pert-Nom
ρ	Density Uncertainty	45	%	-1.	-0,07	-9.	-4.	1,3	4.	Pert~Nom
υ	Knowledge of Entry Position — Downtrack	1000	m	4	0.07	7	4.	1,8	4.	Nav-Perb
v	- Crosstrack	1000	m	-3	0.07	7	0.	1.5	3,	Nov-Perb
w	- Vertical	1000	m	0	0,07	9	4.	1,3	4.	Nav-Perb
ů	Knowledge of Entry Velocity - Downtrack	ı	m/sec	-2	0,08	9	0.	1,3	4.	Nav-Perb
Ŷ	- Crosstrack	1	m/sec	-3	0,07	6	0.	1,5	3	Nov-Perb
ŵ	- Vertical	1	m/sec	-4	0.08	7	4.	1.5	3.	Nov-Perb
EV	Initial Gyro Misalignment	0.053	deg	-3	0.07	6	0.	1.5	3.	Nav-Perb
GCDR	Gyro Acceleration Insensitive Drift Rate	0.04	deg/hr	-2	0.07	6	0.	1,5	3.	Nav-Perb
GIA	Gyro Acceleration Sensitive Drift Rate - Input Axis	0.04	deg/hr/g	-3	0,07	6	٥.	1.5	3	Nov-Perb
GSA	Gyro Acculeration Sensitive Drift Rate — Spin Axis	0.04	deg/hr/g	-3	0,07	6	0.	1.5	3,	Nov-Perb
HISA	Gyro Anisoelasticity	0.03	deg/hr/g <sup>2</sup>	-3	0,07	6	٥.	1.5	3,	Nav-Perb
B	Accelerometer Bias	5	μ9	-3	0,07	6	0.	1.6	3.	Nav-Perb
SF	Acculorometer Scale Factor	0.1	%	-2	0.07	-1	-4	2.4	1.	Nov-Perb
Q	Accelerometer Non-Linearity	0.01	%/g	-3	0.07	6	0	1.5	3.	Nav-Perb
AMLT	Accelerometer Misalignment	0.053	deg	-2	0,05	4	4	1.2	2.	Nov~Perb
30 BS				27	0.40	83	51	10.5	24.	855

Table 6 - Aeroassisted Orbital Transfer Accuracy for a  $C_{DMAX}^{--}C_{DMIN}^{--}C_{MAX}^{--}C_{DMIN}^{--}C_{MAX}^{--}C_{DMIN}^{--}C_$ 

\*Nom – Nominal Case State Pert – Perturbed Case State Nav – Novigated State Perb – Nominal Case State perturbed by navigator error

Input Error Source					30 Output Error					
Parameter	Description	Value, 3 o	Units	Exit Speed, m/sec	Exit Flight Path Angle, deg	Apoopsis Altituda, km	Period, sec	Great Circle Arc, deg	Corrective AV over nominal for LEO, m/sec	Error Type*
U	Knowledge of Entry Position — Downtrack	1000	km	0.	-0.03	-5,	-4.	-0.2	2	Perb-Nom
v	– Crosstrack	1000	km	٥.	-0.02	-4.	-4.	0.0	1	Perb-Nom
w	- Vertical	1000	km	0.	-0,02	-4,	-4.	0.0	1	Perb-Nom
ů	Knowledge of Entry Velocity Downtrack	1	m∕sc¢	-1.	-0,03	-7.	-4,	0,4	2	Perb-Nom
÷	- Crosstrack	1	m/sec	0.	-0.02	-4.	-4	0.0	l	Perb-Norm
ŵ	Vertical	1	m/sec	0.	-0.03	-7.	-4.	-0.1	1	Perb-Nom
EV	Initial Gyra Misalignment	0.053	deg	0.	-0.02	-4.	-4.	0.0	1	Perb-Nom
GCDR	Gyro Acceleration Insensitive Drift Rate	0.04	deg/hr	-1.	-0.02	_4.	-4.	0.0	1	Perb-Nom
GIA	Gyra Acceleration Sensitive Drift Rate — Input Axis	0,04	dey/hr/9	0.	-0,02	-4.	-4.	0.3	1	Perb~Nom
GSA	Gyro Acceleration Sensitive Drift Rate - Spin Axis	0.04	deg/hr/g	0.	-0.02	-4.	-4.	0.0	١	Perb-Nom
HISA	Gyro Anlsoelasticity	0,03	deg/hr/g <sup>2</sup>	0.	-0,02	-4.	-4.	0.0	1	Perb-Nom
B	Accelerometer Bias	5	μg	0.	-0,02	-4.	-4.	0.0	1	Perb-Nom
SF	Accularometer Scale Factor	0_1	%	2.	-0.01	4.	-4.	-1.0	2	Perb-Nom
Q	Accolurometer Non-linearity	0.01	%/g	0.	-0.02	-4.	-4.	0.0	1	Perb-Nom
AMLT	Accelerometer Misalignment	0,053	deg	0.	-0.02	-3.	-4.	-0,1	1	Perb-Nom

Tabla	7		Auroaceieted	Orbital	Tranefer	Trajectory	Rige	to	Nevigator	Errore
labre	1	_	Aeroassisted	OLDICHT	Lauster	Trajectory	pras	cυ	navigacor	111012

\*Nom - Nominel Case State \*Perb - Nominal Case State perturbed by navigator error



25:1. This is reflected in Table 6. It includes a number of navigation and control error sources which impact guidance performance. It points out that the driving error sources are in control of L/D and flight path angle. The corrective  $\Delta V$ required is not excessive  $(3\sigma\Delta V = 24 \text{ m/sec} \text{ over}$ the nominal  $\Delta V$  of approximately 106 m/sec for a 350 km LEO). Additional  $\Delta V$ , though, may be required to correct rendezvous phasing with 10.5° great circle arc errors. These errors may be greater for entry vehicles with  $C_{Dmax}$ -to- $C_{Dmin}$ control capability of 10:1 or less. Table 7 shows the bias error between the actual state perturbed by the navigation and the actual nominal state.

### Summary and Conclusions

An approach which efficiently searches out optimal aeroassisted orbital transfer has been developed. An explicit and adaptive closed loop guidance approach has also been developed with performance near the optimal and significant guidance accuracy. System design requirements have evolved from these studies which suggest that the entry system have  $C_{Dmax}$ -to- $C_{Dmin}$  control greater than 10:1 and never develop lift during the entire entry.

# Acknowledgement

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# APPENDIX 1

# FORMULATION OF THE OPTIMAL CONTROL PROBLEM

The exact equations of motion for a planar nonlifting entry into Earth's atmosphere are given by

$$\dot{v} = -\frac{1}{2} \rho \frac{s}{m} c_D v^2 - g s_{\Gamma}$$
 (1-1)

$$\dot{\Gamma} = -\frac{g}{V} c_{\Gamma} + \frac{V}{r} c_{\Gamma}$$
(1-2)

$$\dot{H} = \dot{r} = V s_{\Gamma} \qquad (1-3)$$

where V,  $\Gamma$  and r are the velocity, flight path angle and radial distance respectively and where  $\rho$ , S, C<sub>B</sub> and m are the air density, vehicle cross sectional area, coefficient of drag and vehicle mass. Finally  $g(r) = \mu/r^2$  is the acceleration of gravity with  $\mu$  the gravitational constant of Earth. In addition, r = H+R with R representing the radius of Earth and H altitude.

The atmosphere being assumed to have a radius  $R_a$ , a set of non-dimensional variables may be used to carry out the analysis of this problem.

$$\Gamma$$
,  $\mathbf{v} = \frac{V}{\sqrt{\mu/R_a}}$ ,  $\mathbf{h} = \frac{H}{H_e}$ ,  $\tau = \frac{t}{H_e}\sqrt{\frac{\mu}{R_a}}$   
(1-3<sup>1</sup>)

Using data from a tabulated standard atmosphere, let  $p(\mathbf{R})$  represent the density at altitude  $\mathbf{R}$  such that with  $\rho_0$  corresponding to sea level,

$$\delta(H) = \frac{\rho}{\rho_0}$$
(1-4)

$$\frac{d\delta}{dh} = \frac{d\delta}{dH} \frac{dH}{dh} = \frac{H}{\rho_0} \frac{d\rho}{dH}$$
(1-5)

where  $\frac{d_0}{dH}$  is the density gradient read from the table. The exact equations of motion (1-1) are then reduced to the dimensionless form below

$$\frac{\mathrm{d}v}{\mathrm{d}\tau} = -B\delta C_{\mathrm{D}} v^2 - \frac{k}{(k-1+h)^2} s_{\mathrm{T}} \qquad (1-6)$$

$$\frac{dY}{d\tau} = \frac{c_{\Gamma}}{(k-1+h)} \left[ v - \frac{k}{(k-1+h)v} \right]$$
(1-7)

$$\frac{dh}{d\tau} = v s \qquad (1-8)$$

where 
$$\mathbf{B} = \frac{\rho_0^{SH}e}{2m} C_{Dmax}$$
 and  $k = \frac{R_a}{H_a}$  (1-8<sup>1</sup>)

are factors depending on the physical characteristics of the vehicle and the atmosphere.

Replacing sin  $\Gamma$  by s, and introducing  $\eta \cong C_D/C_{Dmax}$  with  $\eta_{min} \leq \eta \leq 1$ , the system equations reduce to

$$\frac{\mathrm{d}v}{\mathrm{d}\tau} = -B\delta\eta v^2 - \frac{ks}{(k-1+h)^2}$$
(1-9)

$$\frac{\mathrm{d}s}{\mathrm{d}\tau} = \frac{(1-s^2)}{(k-1+h)} \left[ v - \frac{k}{(k-1+h)v} \right] \qquad (1-10)$$

$$\frac{dh}{d\tau} = vs \qquad (1-11)$$

The Hamiltonian is then given by

$$H = \lambda_{v} \left[ -B\delta \eta v^{2} - \frac{ks}{(k-1+h)^{2}} \right]$$
$$+ \lambda_{s} \left[ \frac{(1-s^{2})}{(k-1+h)} \left\{ v - \frac{k}{(k-1+h)v} \right\} \right] + \lambda_{h} vs$$
$$\equiv 0 \qquad (1-12)$$

The system being autonomous and the time of flight free, H=0 identically. Instead of minimizing  $\Gamma_f$ , it is possible to maximize  $v_f$  for given  $s_f$  and for  $h_e=h_f=1$  with  $v_e$  and  $s_e$  also given.

H is then maximized by choosing  $C_D$  the control such that

$$\lambda_{v < o}$$
  $C_D = C_{Dmax}$ 

$$\lambda_{v > o}$$
  $C_D = C_{Dmin}$ 

$$\lambda_{v=0}$$
  $C_D$  = intermediate

The Euler-Lagrange equations are given by

$$\frac{d\lambda_{\mathbf{v}}}{d\tau} = 2\lambda_{\mathbf{v}} B\delta\eta\mathbf{v} - \frac{\lambda_{\mathbf{s}}(1-\mathbf{s}^2)}{(\mathbf{k}-1+\mathbf{h})} \left[1 + \frac{\mathbf{k}}{(\mathbf{k}-1+\mathbf{h})\mathbf{v}^2}\right] - \lambda_{\mathbf{h}}\mathbf{s}$$
(1-13)

$$\frac{d\lambda_{S}}{d\tau} \approx \lambda_{v} \frac{k}{(k-1+h)^{2}} + \frac{2s\lambda_{s}}{(k-1+h)} \left[ v - \frac{k}{(k-1+h)v} \right] - \lambda_{h} v$$

$$\frac{d\lambda}{dt} = \lambda_{v} B\eta v^{2} \frac{d\delta}{dh} + \frac{\lambda_{s}(1-s^{2})}{(k-1+h)^{2}} \left[ v - \frac{2k}{(k-1+h)v} \right] - \frac{2k\lambda_{v}s}{(k-1+h)^{3}}$$
(1-15)

The intermediate control case can be ruled out by observing that for  $\lambda_{\rm v}=0$ ,  $\frac{{\rm d}\lambda v}{{\rm d}^+}=0$ , the Hamiltonian in (1-12) and the equation  $f^+(-13)$  are satisfied only if  $\lambda_{\rm s}=\lambda_{\rm h}=0$  too.

# APPENDIX 2

# A DISCUSSION OF THE TRANSVERSALITY CONDITIONS

Let  $r_1$  represent the radius of the high orbit and  $r_2$  the radius of LEO.

With 
$$\alpha_1 = \frac{r_1}{R_a}$$
 and  $\alpha_2 = \frac{r_2}{R_a}$  and  $\Delta v = \frac{\Delta V}{\sqrt{\mu/R_a}}$ , the

conservation of the angular momentum gives

$$v_1 = \frac{v_e c_{\Gamma_c}}{\alpha_1}$$
;  $v_2 = \frac{v_f c_{\Gamma f}}{\alpha_2}$  (2-1)

where  $v_1$  represents the nondimensional velocity at HEO just after the application of the deorbit  $\Delta v_1$  and  $\Delta v_2$  represents the velocity just before the application of the circularizing  $\Delta V_2$  at LEO.  $v_e$ ,  $s_e$  and  $a_1$  are related by

$$(2-v_e^2) \alpha_1^2 - 2\alpha_1 + v_e^2 (1-s_e^2) = o$$
 (2-2)

while  $v_f$ ,  $s_f$  and  $a_2$  satisfy

$$(2-v_{f}^{2}) a_{2}^{2} - 2a_{2} + v_{f}^{2} (1-s_{f}^{2}) \approx 0$$
 (2-3)

The overall optimization problem requires the minimization of the algebraic sum of both  $\Delta v's$  namely  $\Delta v_1 + \Delta v_2$ 

$$\Delta v_1 + \Delta v_2 = \sqrt{\frac{1}{\alpha_1}} - v_1 + \sqrt{\frac{1}{\alpha_2}} - v_2$$
 (2-4)

And with the use of (2-1), the performance index or payoff to maximize is

$$J = \frac{v_{e} \sqrt{1-s_{e}^{2}}}{\alpha_{1}} + \frac{v_{f} \sqrt{1-s_{f}^{2}}}{\alpha_{2}}$$
(2-5)

with the side constraints (2-2) and (2-3) written in compact form

$$\omega_{e}(v_{e}, s_{e}) = 0$$
;  $\omega_{f}(v_{f}, s_{f}) = 0$  (2-6)

The transversality conditions at initial and final times are then

$$\lambda_{\mathbf{v}_{\mathbf{e}}} = -\frac{\partial \mathbf{J}}{\partial \mathbf{v}_{\mathbf{e}}} - \nu_{\mathbf{e}} \frac{\partial \omega_{\mathbf{e}}}{\partial \mathbf{v}_{\mathbf{e}}}$$

$$\lambda_{\mathbf{s}_{\mathbf{e}}} = -\frac{\partial \mathbf{J}}{\partial \mathbf{s}_{\mathbf{e}}} - \nu_{\mathbf{e}} \frac{\partial \omega_{\mathbf{e}}}{\partial \mathbf{s}_{\mathbf{e}}}$$

$$\lambda_{\mathbf{v}_{\mathbf{f}}} = \frac{\partial \mathbf{J}}{\partial \mathbf{v}_{\mathbf{f}}} + \nu_{\mathbf{f}} \frac{\partial \omega_{\mathbf{f}}}{\partial \mathbf{v}_{\mathbf{f}}}$$

$$\lambda_{\mathbf{s}_{\mathbf{f}}} = \frac{\partial \mathbf{J}}{\partial \mathbf{s}_{\mathbf{f}}} + \nu_{\mathbf{f}} \frac{\partial \omega_{\mathbf{f}}}{\partial \mathbf{s}_{\mathbf{f}}}$$
(2-7)

where  $v_e$  and  $v_f$  are constant multipliers adjoint to the side constraints (2-6). The elimination of  $v_e$  and  $v_f$  in (2-7) leads to

$$\lambda_{v_{e}} = \frac{(\alpha_{1}^{2} + s_{e}^{2} - 1)}{v_{e}^{s} e} \quad \lambda_{s_{e}} - \frac{\alpha_{1}}{\sqrt{1 - s_{e}^{2}}} \quad (2-8)$$
$$\lambda_{v_{f}} = \frac{(\alpha_{2}^{2} + s_{f}^{2} - 1)}{v_{f}^{s} f} \quad \lambda_{s_{f}} + \frac{\alpha_{2}}{\sqrt{1 - s_{f}^{2}}} \quad (2-9)$$

If  $\Delta v_2$  alone must be minimized, then only the side constraint  $\omega_f(v_f, s_f)$  need to be taken into account since  $v_e, \gamma_e$  are then given and the corresponding transversality condition (2-9) considered only.  $\lambda_h$  is guessed and the corresponding values of  $\lambda_v$  and  $\lambda_s$  are obtained from (2-9) and (1-12) with  $H_f^{=0}$ .

The system and Lagrange equations (1-9)-(1-11) and (1-13)-(1-15) are integrated backwards until  $h_e^{=1}$  at entry after a suitable guess of the exit  $s_f$ .  $\lambda_{h_f}$  is then adjusted until the entry  $v_e$  is matched. This procedure is repeated with a different guess of  $s_f$  until both entry conditions are matched namely  $v_e$  and  $s_e$ . The trajectory thus obtained represents the minimum AV<sub>2</sub> solution for given arbitrary entry state  $(v_e, s_e)$  and given target LEO. This particular two-point boundary value problem consists of a 2x2 search on  $(\lambda_{h_f}, s_f)$  in order to match the entry state  $(v_e, s_e)$ .

For the more general case where  $\Delta V_1 + \Delta V_2$  is minimized with prescribed  $a_1$  and  $a_2$ , the two point boundary value problem is essentially identical to the one just described.  $\lambda_h$  and  $s_f$  are guessed and the backward integration carried out until (2-2) and (2-8) are satisfied. These iterations can also be carried out by forward integration; for example in the case of the minimization of  $\Delta v_1$  +  $\Delta v_2$ ,  $\lambda_h e$  and  $s_e$  are guessed,  $v_e$  computed from (2-2),  $\lambda_v$  and  $\lambda_s$  computed from (2-8) and  $H_e^{=0}$  and the forward integration of both the system and multiplier sets carried out until  $h_f^{=1}$  and such that (2-3) and (2-9) are simultaneously satisfied. However it has been found that the backward integration is more stable because of the behavior of  $\lambda_v$  which exhibits a large gradient  $d\lambda v/d\tau$ near exit making it very sensitive to the initial  $\lambda_{v_e}$  guess.

#### APPENDIX 3

The equations of motion assuming  $\gamma$  to be small are

$$\dot{\mathbf{v}} = -\frac{\mathbf{D}}{\mathbf{M}} = \frac{\frac{1}{2}\mathbf{v}\mathbf{v}^2}{\beta} \qquad (3-1)$$

$$\dot{H} = V\gamma$$
 (3-2)

Assuming an exponential atmosphere, equations (1) and (2) can be rewritten, as follows:

$$\frac{\mathrm{d}V}{V} = -\left(\frac{1}{2} \quad \frac{\rho_{o}H_{s}}{\beta\gamma}\right) \quad \exp\left(-\frac{\mathrm{H}-\mathrm{H}_{o}}{\mathrm{H}_{s}}\right) \quad \frac{\mathrm{d}\mathrm{H}}{\mathrm{H}_{s}} \quad (3-3)$$

Let us assume that over the exit interval that  $\gamma$  can be assumed to be an average constant, therefore

$$\ln\left(\frac{V}{V}\right) = k \left[\exp\left(\frac{H-H_{E}}{H_{S}}\right) - 1\right]$$
 (3-4)

An estimate of the exit speed can be made assuming a constant or reference  $\beta$  ref, as follows:

$$V_e^{\prime} = V_{exp} \left\{ k \left[ exp \left( \frac{H-H_E}{H_s} \right) - 1 \right] \right\}$$
 (3-5)

where 
$$k = \frac{\frac{1}{2} \rho_0 v^2 H_s}{\beta_0 v^2} = H_s \frac{\dot{v}}{v_H}$$
 (3-6)

V = Current computed airspeed

- H = Current computed altitude
- H = Current computed rate of climb
- V = Current measured aerodynamic acceleration
- $\beta_{C}$  = Current commanded  $\beta$

Conversely if the computed  $V_e$  is greater than  $V_e$  desired, the new required or reference  $\beta$  can be computed as follows:

$$k_{\text{Ref}} = \frac{\binom{V_{e/V}}{e/V}}{\left[\exp\left(\frac{H-H_E}{H_S}\right) - 1\right]}$$
(3-7)

and

$$\beta_{\text{Ref}} = \frac{q H_s}{k_{\text{Ref}} VH}$$
(3-8)

and q is inferred from current  $\tilde{V}$  and the previous command  $\beta$  or

$$q = V\beta_{\rm C} \tag{3-9}$$

#### APPENDIX 4

Assuming a small  $\gamma$ , the equations of motion can be written as follows:

$$H = g\left(\frac{v^2}{v_s^2} - 1\right) ,$$
 (4-1)

# Vertical acceleration

$$\dot{V} = -D = -\frac{1}{2} \rho \frac{v^2}{\beta}$$
 (4-2)

# Horizontal acceleration

where the  $\rho$  can be approximated by an exponential atmospheric model as follows:

$$\rho = \rho_{o} \exp \left[ - (H-H_{o})/H_{s} \right]$$

$$\rho_{o} = \text{Reference } \rho$$
(4-3)

 $H_{\rho}$  = Altitude at reference  $\rho$ 

Taking the time derivative of drag, we get

$$\dot{D} = -\frac{D\dot{H}}{H_s} - \frac{2D^2}{V}$$
(4-4)

and the second derivative is

$$\ddot{D} = -\frac{\dot{D}\dot{H}}{H_{s}} - \frac{D\dot{H}}{H_{s}} - \frac{4D\dot{D}}{V} - 2\frac{D^{3}}{V^{2}}$$
 (4-5)

If we further assume that (D/V) is approximately zero for powers greater than one, we get

$$\ddot{D} = -\frac{\dot{D}\dot{H}}{H_{s}} - \frac{\dot{D}H}{H_{s}} - \frac{4D\dot{D}}{V}$$
(4-6)

The difference equations in  $\dot{\delta}\dot{D},~V,~\delta\dot{D},~\delta D,~\dot{\delta}\dot{H},$  and  $\delta V$  are then

$$\ddot{\delta \mathbf{D}} = -\frac{\mathbf{D}\delta \dot{\mathbf{H}}}{\mathbf{H}_{S}} - \frac{\dot{\mathbf{H}}}{\mathbf{H}_{S}} \delta \dot{\mathbf{D}} - \frac{\mathbf{H}\delta \mathbf{D}}{\mathbf{H}_{S}} - \frac{\mathbf{D}}{\mathbf{H}_{S}} \delta \mathbf{H}$$
$$- 4\frac{\mathbf{D}}{\mathbf{V}} \delta \dot{\mathbf{D}} - 4\frac{\mathbf{D}\dot{\mathbf{D}}}{\mathbf{V}^{2}} \delta \mathbf{V} \qquad (4-7)$$

From (4-6)

$$\delta \dot{D} = -\frac{\dot{H}}{H_{S}} \delta D - \frac{D \delta \dot{H}}{H_{S}}$$
 (4-8)

From (4-4)

$$\delta H = \frac{2gV}{V_{c}^{2}} \delta V \qquad (4-9)$$

From (4-1)

$$\delta V = \frac{V}{2D} \quad \delta D + \frac{V}{2\beta} \quad \delta \beta \qquad (4-10)$$

From (4-2)

Assuming drag to be constant over a  $\delta V$  intervale, we get

$$\ddot{\delta D} + \left(\frac{\dot{H}}{H_{s}} + \frac{4D}{V}\right)\delta \dot{D} + \frac{\ddot{H}}{H_{s}}\delta D \approx \frac{D}{H_{s}}\delta H \qquad (4-11)$$

The controller for  $\boldsymbol{\beta}$  is a second order system as follows:

$$\delta\beta \approx C_1 \delta D - C_2 \delta \dot{H}$$
(4-13)

Substituting equations (8), (9), (10), (12) and (13) into equations (11), we get

$$\vec{\delta D} + \left[\frac{2D}{V} + \frac{C_2}{\beta} \frac{V^2}{V_s^2} g\right] \vec{\delta D}$$

$$\left[\frac{g}{H_s} \left(2\frac{V^2}{V_s^2} - 1\right) + \frac{C_1gD}{H_s\beta} \frac{V^2}{V_s^2} + \frac{2C_2g}{\beta} \frac{DV^2}{V_s^2}\right] \vec{\delta D} = 0.$$
(4-14)

Using the standard form for a damped harmonic system, namely

$$x^{"} + 2\xi\omega x^{"} + \omega^{2}x = 0$$
(4-15)

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$$C_{2} = \frac{2(F_{\omega} - D_{\text{Ref}}/V)}{g(V/_{v_{s}})^{2}}\beta_{\text{Ref}}$$
(4-16)

$$C_{1} = \frac{H_{s} \left[ \omega^{2} - \frac{g}{H_{s}} \left( \frac{2v^{2}}{\overline{v}_{s}^{2}} - 1 \right) \right]}{D_{Ref} g \left( \frac{v}{\overline{v}_{s}} \right)^{2}} \beta_{Ref} - \frac{2H_{s}}{\overline{v}} C_{2}$$

(4-17)

where  $\beta_{Ref}$  is derived from the exit speed controller or estimator (Appendix 3).

The above gains can be computed every interval for commanding the  $\beta$  as follows:

$$\beta_{\rm C} \approx \beta_{\rm Ref} + C_1 (D - D_{\rm Ref} - C_2 (\dot{\rm H} - \dot{\rm H}_{\rm Ref}) \qquad (4-18)$$

where 
$$\dot{H}_{Ref} = -\frac{2H}{V} D_{Ref}$$
 (4-19)

 $\dot{H} = -\frac{2H}{V} D \qquad (4-12)$