Inpatient Hospitalization in Addiction Treatment for Patients with a History of Suicide Attempt: A Case of Support for Treatment Performance Measures†

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Abstract—This study attempts to validate substance use disorder (SUD) treatment performance measures (PM) in a naturalistic treatment setting. Despite its significance in healthcare systems and in SUD populations, suicidality is one patient characteristic that remains unexplored in the context of SUD PMs. The current study focused on the extent to which the care processes encouraged by SUD PMs were associated with improved outcomes in patients with a prior suicide attempt as compared to those without. We abstracted Addiction Severity Index and health services data from the VA medical record for 81 veterans who initiated outpatient SUD treatment and completed baseline intake measures at a Midwestern VA hospital. Cox proportional hazard regressions examined how baseline characteristics, prior suicide attempts, and PM status predicted the time until hospitalization for psychiatric or substance use problems. Prior suicide attempts significantly interacted with treatment engagement, and hospitalization risk was significantly higher among individuals with a prior suicide attempt who did not meet PMs. This study provides initial observational evidence that post suicide attempts may be a factor that should be considered when defining performance standards that influence the processes of SUD treatment. Future research on PMs should take into account the differences on indicators of high risk and poor treatment outcomes.

Keywords—continuity of care, substance use disorders, suicide, treatment engagement, treatment performance measures

In the field of substance use disorder (SUD) treatment, policy makers and researchers have emphasized the importance of increased involvement in early treatment (Garnick, Horgan & Chalk 2006), as well as continued treatment engagement (Staff psychologist, Department of Veterans Affairs Ann Arbor Healthcare System, Ann Arbor, MI. Postdoctoral Fellow, Department of Psychiatry, University of Michigan, Ann Arbor, MI. Research Scientist, VA National Serious Mental Illness Treatment and Research Evaluation Center; Assistant Professor, School of Social Work, University of Michigan, Ann Arbor, MI. Chief, Substance Use Disorder Clinic, Department of Veterans Affairs Ann Arbor Healthcare System; Associate Professor, Department of Psychiatry, University of Michigan, Ann Arbor, MI. Please address correspondence and reprint requests to Joseph E. Glass, 1 Brookings Drive, Campus Box 1196, St. Louis, Missouri 63130 Ph: 734-678-3709 Fax: 314-934-8511 email: jglass@gwumc.wustl.edu

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throughout longer periods of time (McKay 2005). These recommendations arise from evidence suggesting that increased treatment contact throughout the continuum of care produces better long-term treatment outcomes for patients in addiction treatment. Such beneficial outcomes have included reductions in alcohol/drug use (Moos & Moos 2003; Finney & Moos 2002; McKay et al. 2002), decreased criminal involvement (Garnick et al. 2007; Simpson, Joe & Rowan-Szal 1997), fewer treatment readmissions (Luchansky et al. 2000), and fewer inpatient hospitalizations (Foote & Erfurt 1991; Kristenson et al. 1983).

CURRENT USE OF SUD PERFORMANCE MEASURES

Building on these findings, large health care systems often employ treatment performance measures that promote an increased number of SUD treatment contacts during the early stages of care (Garnick, Horgan & Chalk 2006). Two of the most widely used SUD treatment performance measures are Washington Circle’s treatment engagement (Garnick et al. 2002) and Department of Veterans Affairs’ (VA) continuity of care monitor (VA Office of Quality and Performance 2005). Washington Circle, a group established by the Substance Abuse and Mental Health Services Administration (SAMHSA) to develop and disseminate SUD treatment performance measures, defines treatment engagement as attending a minimum of three SUD sessions in the first month of care (Garnick et al. 2002). Continuity of care is defined by the VA as engaging in the first month of care by attending at least three sessions, then attending at least two visits per month in the following two months of care (VA Office of Quality and Performance 2005). Health care systems have incentive to perform well on these measures. For example, the Health Plan Employer Data and Information Set (HEDIS) includes treatment engagement as a measure to compare the performance of third-party payers (National Committee for Quality Assurance 2006), allowing large companies to use HEDIS data to evaluate SUD treatment providers. Similarly, the VA incentivizes its performance measures by providing monetary rewards to front-line physicians and regional VA leadership for meeting or exceeding these predetermined standards of care (Kerr & Fleming 2007).

CLINICAL OUTCOMES ASSOCIATED WITH SUD PERFORMANCE MEASURES: MIXED FINDINGS

Despite the widespread use of SUD performance measures, there has been conflicting evidence on their association with post-treatment outcomes (McCarty 2007). Two recent validation studies have provided support for the treatment engagement measure, finding an association with lower rates of post-treatment arrests and incarcerations (Garnick et al. 2007) and clinically modest improvements in addiction-related problems (Harris et al. 2010). However, other studies attempting to validate the treatment engagement and continuity of care measures using aggregate treatment facility scores on continuity and clinical outcomes have failed to provide support for their use (Harris et al. 2009; Harris, Humphreys & Finney 2007). These mixed findings suggest the need for further work to evaluate how the features that are encouraged by SUD performance measures (i.e. the number of treatment contacts in the early phases of care) relate to post-treatment outcomes.

SUBGROUP DIFFERENCES MAY EXPLAIN MIXED FINDINGS

One possible reason for the mixed findings regarding the association between SUD performance measures and post-treatment outcomes is that treatment samples are extremely heterogeneous. Patients tend to vary greatly in their level of substance use severity and psychopathology (Tiet et al. 2006a; Harrison & Asche 2001). There is also significant between-patient variability in the amount of benefit that is received from interventions provided in the early stages of SUD care (Morgenstern & McKay 2007). For example, a review of controlled studies of psychosocial interventions for SUD patients with co-occurring psychiatric illness found that early treatment engagement was particularly beneficial for this population, and was associated with improved outcomes such as decreased substance use and hospitalizations (Drake et al. 2004). Thus, increasing the number of sessions in the early phase of treatment may provide more benefit to some individuals than for others. With respect to SUD performance measures, recent studies that have used interaction and subgroup analyses seem to support this conceptual argument, where stronger associations between performance measures and post-treatment outcomes are found for particular groups of patients such as those of older age (Garnick et al. 2007) and those who were not abstinent at intake (Harris et al. 2009). As has been noted more generally about research on SUD treatment, it is essential to identify the aspects of care that are especially important to subgroups of patients (Finney & Moos 2002, 1986). With the exception of the findings in two studies discussed above, limited data exist about subgroups of SUD patients for whom early treatment engagement and continuous care might be particularly important. Further research is needed to identify patient characteristics that are associated with differing levels of benefit with regard to SUD performance measures (Harris et al. 2009).

SUD PATIENTS WITH SUICIDALITY AS A DISTINCT SUBGROUP

Despite its significance in healthcare systems and in SUD populations, suicidality is one patient characteristic that remains unexplored in research on SUD performance...
measures. Individuals with substance use disorders are clearly at increased risk for suicide (Wilcox, Conner & Caine 2004). In SUD treatment settings, rates of prior suicidality are high with over 40% of patients reporting either suicidal ideation or a suicide attempt at some point prior to seeking SUD treatment (Ilgen et al. 2010; Johansson & Fridell 1997). Prior research has identified that individuals who present to SUD treatment with a prior suicide attempt are a unique subgroup of patients who might require more intensive services (Tiet et al. 2006b). At treatment entry, those with a recent suicide attempt report substantially more psychopathology and more problematic use of substances than those not reporting a recent attempt (Ilgen, Tiet & Moos 2004). Additionally, observational evidence suggests that individuals with a recent suicide attempt respond better to inpatient treatment than outpatient SUD treatment (Tiet et al. 2005). This likely reflects the overall higher severity of SUD patients with a recent suicide attempt and their need for more intensive monitoring. Similarly, the VA healthcare system has taken action by commissioning suicide prevention coordinators to promote increased treatment contact for all veterans at risk for suicide (Department of Veterans Affairs 2008), and recently instituted a performance measure promoting increased mental health treatment contact for patients after discharge from SUD or psychiatric hospitalization (VA Office of Quality and Performance 2009). However, with respect to SUD treatment, the extent to which patients with a prior suicide attempt might be uniquely responsive to treatment engagement and continuity of care remains unknown.

In this context, the present study examines whether SUD treatment performance measures are associated with an improved treatment response, particularly for patients with a previous suicide attempt. In a time-to-event analysis, inpatient hospitalizations related to substance use and/or psychiatric problems represent the primary outcome variable. Understanding if SUD performance measures are uniquely associated with hospitalization hazard for this subgroup will help inform whether or not increased treatment contact in early treatment phases may help keep these patients in routine (i.e. rather than acute) care for longer periods. In addition to informing the literature on SUD performance measures, understanding this care process is related conceptually to the nature of adaptive treatment algorithms, which individually adjust (i.e. increase or decrease) the intensity of SUD treatment for patients in a given treatment phase, based on individual characteristics and prior treatment response (McKay 2009). Thus, this research could help inform the development of these algorithms.

**HYPOTHESES**

Prior suicide attempts are common in adults seeking treatment for substance use disorders (Johnson & Fridell 1997; Anderson et al. 1995) and those with prior suicide attempts have poorer post-treatment mental health functioning than those without (Ilgen, Tiet, & Moos 2004). Importantly, preliminary evidence indicates that those with recent suicide attempts report better treatment outcomes following the receipt of residential SUD treatment (Ilgen et al. 2005). Given that SUD patients with suicidality may have better treatment outcomes when subjected to an increased intensity of care, we suspected that the care processes encouraged by SUD performance measures would be particularly beneficial for patients with past suicide attempts. Meeting the treatment engagement measure was operationalized as attending a minimum of three sessions in the first month of care (versus attending fewer than three), and meeting a measure of continuous care was operationalized as attending a minimum of three sessions in the first month of care and additionally two sessions in the subsequent two months of care (versus attending a fewer number of sessions in any of the first three months of care). We specifically expected that meeting either measure would be associated with a decreased risk of hospitalization, and the decrease in risk would be even greater for those past suicide attempts.

**METHODS**

**Data Source**

This study involves the use of routinely collected patient care data from a Midwestern VA Medical Center. Researchers identified and prepared data from the electronic patient record system using methodology that is equivalent to that published by the VA (VA Information Resource Center 2007).

**Study Population**

Participants were 369 men and 12 women initiating SUD treatment in an outpatient specialty SUD clinic at a Midwestern VA hospital in fiscal years 2003 to 2006 who completed baseline intake measures within two weeks of initiating treatment. The average age of participants was 49.49 (SD = 10.88), 298 (78.2%) were Caucasian, 79 (20.7%) were African American, and four (1.0%) were of other racial/ethnic minority groups (e.g. Asian or Pacific Islanders, Alaskan Natives). Additionally, 73 (19.2%) participants reported that they were currently married or remarried. The average completed years of education were 13.06 (SD = 2.12).

**Measures**

**Baseline characteristics.** The Addiction Severity Index (ASI; McLellan et al. 1992) was used in the baseline interview to assess patient characteristics upon treatment entry. Clinicians administering the ASI received group and individual training to ensure proper use of the instrument. The ASI is a semistructured interview that assesses alcohol and drug use, psychiatric symptoms, medical problems, legal problems, employment problems, and interpersonal conflict over an individual’s lifetime and within the 30 days prior.
to assessment. The ASI is commonly used in VA and non-VA facilities and has been validated as an assessment and research tool for substance use disorders (McLellan et al. 2006). Although the ASI can be used to generate composite scores related to domains of interest (e.g., alcohol-related problems), the primary aims of the present study (i.e., to examine the impact of prior suicide attempts on hospitalization hazard while adjusting for a variety of specific psychiatric symptoms, substance use variables and psychosocial characteristics) necessitated the use of individual items instead of these composite measures.

Dependent variable. The primary outcome in this study was days until hospitalization for psychiatric and/or substance use related inpatient admissions that occurred within one year of initiating SUD treatment. Hospital admissions were obtained from the medical record. Survival analyses (see section titled “Survival Analysis” below) modeled days until inpatient admission with a time-to-event analysis.

SUD performance measures. Treatment records were examined to determine performance measure status. Washington Circle defines treatment engagement as participating in three SUD treatment visits during the first month of care (Garnick et al. 2002). For our measure of treatment engagement, patients who received three or more visits met the measure, and patients who received fewer than three visits failed the measure. Our definition of meeting continuity of care, hereafter referred to as “continuous care,” was based on the VA definition (VA Office of Quality and Performance 2005). The VA monitors SUD outpatient treatment contacts over the first three months of care. To be monitored, patients must engage in the first month of care by attending three visits. Patients pass the measure if they attend at least two visits in months two and three. It should be noted that because this study was interested in outcomes for all SUD patients, our continuous care measure was calculated for all patients, irrespective of their engagement in the first month.

Substance use, psychiatric symptoms, and suicide attempt. The ASI assessed days of use in the past month of alcohol (to intoxication), cocaine, and cannabis. We dichotomized these measures into a variable indicating past-month use, or no past-month use (i.e., abstinence). Other substances measured by the ASI (heroin, barbiturates, inhalants, hallucinogens, etc.) had low rates of past-month use (0% to 4.5%), and thus were excluded from analyses. Recent psychiatric symptoms, also identified by the ASI, included the presence of past-month depression, anxiety, and suicidal ideation. The ASI also assessed the presence of lifetime suicide attempts (any versus none).

Demographics. The ASI assessed demographics and included age, homelessness, race, marital status, and court prompted treatment. Marital status categories were collapsed into a dichotomous variable representing “single” versus “presently married.” Given the low rate of non-African-American racial/ethnic minorities in this sample, race/ethnicity was dichotomized into two groups indicating whether the participant was Caucasian or of a racial/ethnic minority group.

Analysis Strategy

Association between predictors and performance measure status. Analyses began by examining the bivariate relationships between demographic variables, recent substance use patterns, recent psychiatric symptoms, suicide attempt history, and the two SUD performance measures using chi-square ($\chi^2$) tests for categorical variables and t-tests for continuous variables.

Survival analysis. Using survival analysis, we were able to determine characteristics that were associated with the length of time until hospitalization for psychiatric or substance use problems. This length of time is considered a survival period, and is measured by counting the number of days, starting from an index date, for which the event (hospitalization) did not occur. In survival analysis, censoring allows for information from all individuals to be included in the analysis, regardless of whether or not the event of interest occurs. In the current study, censoring occurred at one year (360 days) for individuals who were not hospitalized within the one-year study period. Censoring also occurred for those who died, at their date of death.

Specific details regarding the use of survival analysis to accomplish the aims of the current study can be described as follows. Cox proportional hazard regressions modeled the relative impact of performance measure status, baseline characteristics, and prior suicide attempts on the risk of hospitalizations for psychiatric or substance abuse problems that occurred within one year after initiating treatment. Since two performance measures were of interest in this study, we ran separate regressions for each performance measure. An interaction term (Baron & Kenny 1986) examined whether suicide attempt history moderated the association between performance measure status and risk of hospitalization. Models controlled for basic demographics (age, race, gender, marital status, homelessness, court prompted treatment), past-month psychiatric symptoms (suicidal ideation, depression, and anxiety), and past-month substance use patterns (alcohol use to intoxication, cocaine, and cannabis). The outcome variable for both models was days until hospitalization for substance use or psychiatric problems. For analyses of treatment engagement, the index time started one month (30 days) after treatment initiation. For continuous care, the index time started at three months (90 days). If participants were hospitalized for any reason before the index time started (before full observation of the performance measure period), censoring occurred upon the date of hospital admission. Assumptions of proportional hazards were checked for these models. Finally, plots were generated from the hazard ratios of the interaction terms in order to observe the nature of the moderation.

In addition to the above models testing for interaction effects, further analyses examined the differences between
### TABLE 1
Bivariate Associations between Baseline Presenting Characteristics and SUD Performance Measures

<table>
<thead>
<tr>
<th>Independent Variable</th>
<th>Treatment Engagement Odds Ratio (95% CI)</th>
<th>Continuous Care Odds Ratio (95% CI)</th>
</tr>
</thead>
<tbody>
<tr>
<td>Racial/Ethnic Minority</td>
<td>0.91 (0.52-1.62)</td>
<td>0.88 (0.50-1.55)</td>
</tr>
<tr>
<td>Female</td>
<td>0.58 (0.17-1.98)</td>
<td>0.25 (0.32-1.97)</td>
</tr>
<tr>
<td>Unmarried</td>
<td>0.69 (0.36-1.32)</td>
<td>0.77 (0.44-1.36)</td>
</tr>
<tr>
<td>Homeless</td>
<td>0.84 (0.39-1.81)</td>
<td>1.49 (0.73-3.03)</td>
</tr>
<tr>
<td>Court Prompted Treatment</td>
<td>2.09 (1.19-3.72)*</td>
<td>1.97 (1.23-3.17)*</td>
</tr>
<tr>
<td>Recent Alcohol Use</td>
<td>0.69 (0.43-1.11)</td>
<td>0.54 (0.35-0.92)*</td>
</tr>
<tr>
<td>Recent Cannabis Use</td>
<td>0.45 (0.26-0.79)*</td>
<td>0.53 (0.27-1.04)</td>
</tr>
<tr>
<td>Recent Cocaine Use</td>
<td>1.57 (0.65-3.84)</td>
<td>0.72 (0.35-1.51)</td>
</tr>
<tr>
<td>Recent Suicidal Ideation</td>
<td>0.87 (0.44-1.72)</td>
<td>0.56 (0.26-1.19)</td>
</tr>
<tr>
<td>Recent Anxiety</td>
<td>0.92 (0.57-1.48)</td>
<td>0.73 (0.46-1.61)</td>
</tr>
<tr>
<td>Recent Depression</td>
<td>0.61 (0.37-1.00)</td>
<td>0.64 (0.40-1.01)</td>
</tr>
<tr>
<td>Prior Suicide Attempt</td>
<td>0.97 (0.53-1.87)</td>
<td>1.00 (0.56-1.80)</td>
</tr>
</tbody>
</table>

For each performance measure (PM), unadjusted odds ratios (with 95% confidence intervals, CI) are reported. When odds ratios are significant and above 1.0, they indicate the independent variable is associated with an increased likelihood of meeting the performance measure. Independent variables were dummy coded.

*p < .05 for 2×2 chi-square tests. (Test statistics are reported in the text.)

### RESULTS

**Prevalence of Primary Predictors, Hospitalization, and Censored Cases**

Prior suicide attempts were present for 71 (18.6%) participants. The treatment engagement measure was met by 284 (74.5%) participants and 72 (18.9%) met the continuous care measure. Forty-one (10.8%) participants were hospitalized for psychiatric or substance use problems within one year of treatment initiation. Censoring occurred for a total of four cases (1.0%) of death and 16 cases (4.2%) for which hospitalization occurred during the performance measure observation periods.

### Characteristics of Participants Who Meet Performance Measures

For each performance measure, odds ratios are reported in Table 1 to show the bivariate associations between demographics, recent substance use patterns, recent psychiatric symptoms, suicide attempt history, and performance measure status. Significant associations included the following. Being prompted by court systems to receive treatment was positively associated with treatment engagement status, χ² (1, N=381) = 6.65, p < .05 and continuous care status, χ² (1, N=381) = 8.00, p < .01. Recent alcohol use to intoxication was associated with a lower likelihood of meeting the continuous care performance measure, χ² (1, N=381) = 5.41, p < .05, and recent cannabis use was associated with lower likelihood of meeting the engagement monitor, χ² (1, N = 381) = 8.07, p < .01. Age was not significantly associated with either performance measure.

### Models Examining the Interaction Between Suicide Attempt History and Performance Measure Status

**Treatment engagement.** Adjusted estimates from the Cox proportional hazards regression interaction model for treatment engagement are presented in Table 2. The interaction between suicide attempt history and treatment engagement was significant. In addition, suicide attempt history was significantly associated with greater hazard of hospitalization. Figure 1a shows a plot of hazard ratios for this interaction (Allison 1995). This plot reveals that failing the treatment engagement measure was associated with a higher hospitalization hazard, but only for those with a lifetime history of suicide attempt. In contrast, for those without a suicide attempt, meeting the treatment engagement measure did not appear to relate to a change in hospitalization hazard.
Continuous care. Adjusted estimates from the continuous care interaction model are presented in the third column of Table 2. These results reveal that for continuous care, there were no significant associations between predictor variables and hospitalization hazard. The interaction between suicide attempt history and continuity of care was not significant. For consistency in presentation of the results, a plot of interaction variable is shown in Figure 1b. This plot shows a similar (but not a significant) trend to what was found for treatment engagement (Figure 1a).

Two-By-Two Risk Group Models for the Combined Effect of Suicide Attempt History and Performance Measure Status

Treatment engagement. The results of the Cox proportional hazard regression 2×2 risk group model for treatment engagement are described as follows.

In regards to risk groups, the conceptually highest-risk group (individuals with a prior suicide attempt who failed to engage) had a significantly higher risk of hospitalization than lowest-risk group (individuals without a suicide attempt history, who did engage) (HR = 8.59, CI = 3.56-20.73, p < .01). None of the other variables in the model were significantly associated with hospitalization. Figure 2a provides a graphical representation of the unadjusted Kaplan-Meier survival probabilities for treatment engagement, stratified by risk-groups. The graph shows, for each risk group, the estimated probability of survival (i.e., not being hospitalized) throughout each day over the remainder of the 12-month period. A likelihood ratio test found significant differences in the survival curves, suggesting that risk-group membership was associated with survival time (p < .001).

Continuous care. The results of the continuous care risk group model provided similar results to those found for treatment engagement. The conceptually highest-risk group (individuals with a prior suicide attempt who did not receive continuous care) had a significantly higher risk of hospitalization than conceptually lowest-risk group (individuals without a suicide attempt history, who did receive continuous care: HR = 6.18, CI = 1.61-23.65, p < .05). Other variables in the model were not significant. The unadjusted Kaplan-Meier survival probability curves (described in “Survival Analysis” above) for continuous care, stratified by risk groups, are reported in Figure 2b.

Supplemental Analyses

We confirmed the results of time-to-event analyses (for all models described) using logistic regression. The outcome variable (time until hospitalization) was changed to a dichotomous variable that indicated the presence of hospitalization (hospitalized or not) within one year of initiating treatment. Rather than censoring cases (see “Survival Analysis” above), these cases were excluded. Logistic regression analyses yielded significant findings that were consistent with our primary analyses.

DISCUSSION

Overall, the association between meeting performance measures and risk of hospitalization varied depending on whether or not individuals had a history of prior suicide attempts. For patients with prior suicide attempts, failing to meet either the treatment engagement or continuous care...
A performance measure was associated with an elevated risk of hospitalization. For those without suicide attempts, risk of hospitalization did not differ with respect to performance measure status. To the best of our knowledge, this is the first study to illustrate that SUD patients with a history of suicide attempt may have an increased likelihood of favorable treatment response (i.e., a reduced hazard of inpatient hospitalization) when they meet performance indicators targeting increased engagement in early and continuous care. Additionally, this study supports the argument that SUD performance measures may offer greater benefit to patients with higher problem severity at baseline, consistent with previous research (Harris et al. 2009) that found positive associations between treatment engagement and post-treatment outcome for patients who were using substances at intake (but for not those who were abstinent at intake).

Past research suggests that those with prior suicide attempts are a unique subgroup of patients who might require more intensive services (Tiet et al., 2006b). The current findings further suggest that increasing treatment contact in early phases of care may help address these needs. Given the high prevalence of past suicide attempts in SUD treatment samples (Johnsson & Fridell 1997; Anderson et al. 1995), and the knowledge that patients with a past suicide attempt present to treatment with higher problem severity (Tiet et al., 2006b; Harrison & Asche 2001), it is important to understand the treatment needs of this subgroup. To the best of our knowledge, only one prior study has examined the extent to which more intensive treatment is particularly important in those with elevated suicidality (Ilgen et al. 2005). This prior study found that those with a recent suicide attempt reported better outcomes if they were treated in residential, as compared to outpatient, settings even after accounting for other co-occurring substance use and psychiatric symptoms. The current study focused on the extent to which increased contact with outpatient care could be associated with improved outcomes in those with a prior suicide attempt. These analyses accounted for recent psychiatric and substance use problems, and indicate that over and above baseline psychiatric symptoms, suicide attempt history may be a unique characteristic that represents treatment need. For example, previous research identifies impulsivity and aggression as factors that distinguish persons with past suicide attempts from those without attempts (Mann et al. 1999); these factors also may be particularly important to address in early SUD treatment. Although our measures may not have fully captured the extent of recent psychopathology, these findings suggest that those with a prior suicide attempt represent a unique subgroup of individuals for whom the benefits of treatment engagement and continuous care (i.e., increased contact in early treatment) may be particularly indicated.
In addition to indicators of problem severity at baseline (suicide attempt history in the current study, and substance use in a previous study; Harris et al. 2009), the impact of meeting performance measures also likely depends on the specific outcome of interest. Previous studies have used abstinence (e.g., Schaefier et al. 2008), criminal involvement (Garnick, Horgan & Chalk, 2006), and ASI composite scores (Harris et al. 2010, 2009) as indicators of treatment outcome, whereas we focused on psychiatric and substance use related inpatient hospitalizations. It is important to consider that the treatment outcome of interest may vary depending on stakeholder audiences. Since third-party payers and administrators are likely to be the audience most intimately familiar with performance measures and healthcare costs, it can be assumed that hospitalizations are highly salient outcomes for healthcare systems.

To summarize, the empirical support for the impact of SUD performance measures on subsequent treatment outcomes has been mixed (McCurti 2007). As described previously, the heterogeneity of SUD patients may explain these differences. Characteristics such as older age (Garnick et al. 2007), baseline substance use (Harris et al. 2009), and in the current study, suicide attempt history have been associated with a better treatment response with regard to meeting performance measures. If certain patient subgroups are consistently found to have better outcomes associated with meeting certain performance measures, treatment systems may be able to refine or adapt their performance goals and/or clinical programming based on the characteristics of their patient population. As well, such findings indicating differential treatment response for patient subgroups could help inform the design of adaptive treatment algorithms (McKay 2009) to be used in the early phases of SUD care.

Implications for Clinical Practice

The present findings suggest that SUD treatment providers should take additional steps to provide frequent treatment contact in the early phases of care for patients who present to treatment with prior suicide attempts. Previous research has provided evidence that certain interventions enhance engagement and retention/continuity in SUD treatment, such as motivational interviewing (Miller & Rollnick 2002; Dunn, Deroo & Rivara 2001), contingency management (Prendergast et al. 2006), and continuing care/monitoring approaches (McKay 2009). Additionally, approaches that address psychosocial needs have been found to increase treatment engagement, including problem-service matching (McLellan et al. 1997) and individualized case management (McLellan et al. 1999). Although the authors are unaware of studies that test these interventions in subgroups of patients with prior suicide attempts, cross-sectional research suggests that SUD patients with suicidality are more likely to present
to treatment with a variety of interrelated psychosocial needs (Perron et al. 2008).

Limitations

Due to the observational nature of the study design, causal relationships between patient/psychosocial factors, treatment, and subsequent hospitalizations remain unknown. However, the present analysis strategy has a number of strengths, including a naturalistic design to study the relationships among performance measures, suicide attempt history, and inpatient hospitalization, which are all of significant importance to patients and healthcare systems in their natural clinical environment. More specifically, we collected data from a treatment setting rather than part of a clinical trial or an outcomes monitoring project, and we had complete data on inpatient hospitalizations. Nevertheless, the use of psychiatric and SUD related hospitalizations as an outcome variable, as opposed to using a psychometric measure, should be interpreted with caution. For mental health treatment populations, SAMHSA defines inpatient psychiatric hospitalization as a valid indicator of poor mental health treatment outcome (SAMHSA 2006). In SUD treatment, previous literature has discussed the use of inpatient hospitalizations as an indicator of treatment outcome (McLellan et al. 2005; O’Brien & McLellan 1996), partially because inpatient hospitalizations result in high costs to the individual and the treatment system (Nordt et al. 2007; Ettinger et al. 2006). However, others have argued that hospitalization could also be an indicator of better patient self awareness and may reflect a treatment system that is more responsive to the patients’ needs (Humphreys & Weingardt 2000).

It is also important to note that this sample was comprised mostly of male veterans treated in a single VA SUD treatment facility. Clearly, more work is needed to determine the extent to which these findings apply to other patient populations or clinical settings. We hope that the present study illustrates the feasibility of examining such issues under naturalistic clinical conditions that could be applied on a greater scale or in other healthcare settings/organizations. In addition, the assessment of prior suicide attempt was based on a single item of the ASI. Although the composite measures in the ASI have sound psychometric validity (McLellan et al. 2006), the reliability and validity of the suicide attempt item is not known. However, many other studies have used the ASI to assess past suicide attempts (Ilgen et al. 2007; Safier et al. 2007; Tiet, Finney & Moos 2006; Tiet et al. 2006b; Ilgen et al. 2005). Still, a more comprehensive measure of suicide attempt history may have yielded different results.

The present findings illustrate some of the challenges associated with studying statistical moderation effects when using relatively low base rate patient factors (suicide attempt history) and outcomes (inpatient hospitalization). Full tests of interaction effects require a considerable amount of power to detect a significant effect (Jaeger & Dodge 2004). Thus, the present study relied on risk group models to complement interaction analyses. A side benefit of using a risk group approach is that clinicians may conceptualize risk factors in a similar way when formulating clinical interventions, such as in problem-service matching.

Finally, since collection of data for this study, the VA has instituted new treatment initiatives such as suicide prevention interventions (e.g. increased identification, tracking, and follow-up) for high-risk veterans (Department of Veterans Affairs 2008), and performance measures that aim to increase mental health treatment contact after inpatient hospitalizations (VA Office of Quality and Performance 2009). Future research should determine whether these new VA treatment initiatives have had a positive impact on increasing SUD continuity of care and reducing hospitalizations for high-risk SUD patients.

CONCLUSIONS

The findings of this study provide a clinical example to support the use of SUD performance measures that encourage regular session attendance during the early periods of treatment. In order to inform the development and refinement of performance measures and adaptive treatment algorithms, future research is needed to delineate the patient characteristics that are associated with improved treatment response. This study provides initial observational evidence that past suicide attempts may be among the factors that should be considered when defining performance standards that influence processes of care.

In order to improve treatment services for SUD patients with a history of suicide attempt, future research is needed to delineate the characteristics of this subgroup that may respond well to treatment, particularly in the early stages of care. Furthermore, until engagement-enhancing interventions are validated for this subgroup, current interventions such as motivational interviewing, case management, contingency management, and problem-service matching, all of which have been shown to increase treatment engagement for SUD patients, should be considered as a way to potentially improve early treatment engagement and post-treatment outcomes.

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