α -Pinene Organic Nitrate Synthesis, Formation, and Simulation

by

Judy Shau-yuh Yu

A dissertation submitted in partial fulfillment of the requirements for the degree of Doctor of Philosophy (Space and Planetary Physics) in the University of Michigan 2010

Doctoral Committee:

Professor Mary Anne Carroll, Co-Chair Professor Jack Hunter Waite, Jr. Co-Chair Professor John R. Barker Professor Thomas H. Zurbuchen Professor Paul B. Shepson, Purdue University To my husband Bret

ACKNOWLEDGMENTS

This research would not have been possible without the help and encouragement from many people. I would like to thank my two advisors and committee co-chairs Dr. Mary Anne Carroll and Dr. Hunter Waite for their help in shepherding me through my graduate career at the University of Michigan. I would like to thank Dr. Paul Shepson for allowing me to work in his research group and treating me as one of his own students during my stay at Purdue University. I would like to thank my other committee members Dr. John Barker and Dr. Thomas Zurbuchen for their invaluable feedback and support of my research. I would like to thank the late Dr. Richard Sacks along with members of his former research group for their contribution to the instrumentation development effort. I would like to thank Dr. Stefan Scherer for introducing me to the joys of laboratory research. I would like to thank Dr. Charlie Hasslebrink and San Hyun Lee for their help with mechanical engineering issues. I would like to thank the chairman of my department Dr. Tomas Gombosi for supporting my research. I would like to thank SPRL staff members Bruce Block, Robb Gillespie, David Boprie, and John Eder for their assistance with many engineering issues and machining requests. I would like to thank the many graduate student colleagues who have helped me inside and outside of the laboratory. I would like to thank the important administrative and professional support staff within the Department of Atmospheric, Oceanic, and Space Sciences, including Rick Baker, Margaret Reid, and Deborah Eddy. Most of all I would like to thank my husband Bret for being there for me.

iii

TABLE OF CONTENTS

DEDICATIONii
ACKNOWLEDGEMENTS iii
LIST OF FIGURES viii
LIST OF TABLES xvi
LIST OF APPENDICES xviii
ABSTRACT xix
CHAPTER
I. Introduction
1.1 Biogenic Volatile Organic Compounds 1
1.2 Tropospheric ozone
1.3 Organic nitrates 18
1.4 GCxGC Comprehensive Two-dimensional Chromatography 22
1.5 Research objectives
II. α-Pinene Hydroxynitrates Synthesis and Identification
2.1 α-Pinene Hydroxynitrate Synthesis
2.1.1 Detailed synthesis process
2.2 α-Pinene Hydroxynitrate Identification
2.2.1 TLC silica gel separation analysis
2.2.2 HPLC analysis

2.2.3 Dipole moment calculations
2.2.4 GC-MS analysis of synthesis standard
2.2.5 GC-ECD analysis of synthesis standard
2.3 1-Octyl-nitrate Synthesis and Calibration
2.3.1 ¹ H NMR analysis of 1-bromooctane and 1-octyl-nitrate 54
2.3.2 GC-ECD analysis of 1-bromooctane and 1-octyl-nitrate 57
III. α -Pinene Nitrate Experiment, Yields, and Branching Ratios
3.1 Introduction
3.1.1 α -Pinene nitrate OH-initiated reaction pathway 66
3.1.2 Atmospheric chemistry impact
3.2 Description of Experimental Methods
3.2.1 Photochemical reaction chamber
3.2.2 Materials and storage notes
3.2.3 GC-FID instrument
3.2.4 GC-ECD instrument
3.2.5 GC-ECD/GC-FID Calibration
3.2.6 NO _x analyzer
3.2.7 O ₃ analyzer 84
3.3 Results
3.3.1 Wall-loss experiment
3.3.2 Relative response experiment
3.3.3 α -Pinene nitrate yields
3.3.4 Error analysis 100

3.3.5 RO_2 +NO branching ratios
3.4 Discussion
3.4.1 Nitrate yields and branching ratios
3.4.2 α-Pinene nitrate atmospheric lifetime
3.5 Conclusions
IV. Chemical Modeling of α-Pinene Nitrate Experiments
4.1 Introduction
4.1.1 Previous α -pinene OH-oxidation mechanisms 113
4.2 Chemical mechanism description 119
4.3 Results and Discussion 123
4.3.1 Recommendations for improvement 127
4.4 Conclusions
V. Evaluation of GCxGC and Solid-State Thermal Modulator
5.1 The Method of GCxGC Chromatography 128
5.2 Benchtop GCxGC Instrument 136
5.2.1 Sample inlet and cryofocuser
5.2.2 Two-stage solid-state thermal modulator
5.3 Thermal modulator Model 151
5.4 Model Results 157
5.5 Conclusions 159
VI. Conclusions and Future Work 161
6.1 Evaluation of Results
6.2 Laboratory Experience {"Lessons Learned"}

	6.2 Suggested Future Work 16	57
APPENDICE	S	70
	A. Structure-Activity Relationship Calculations 17	70
	B. α-Pinene OH-oxidation Reaction Mechanism Tables 1	72
	C. Matlab Code for Indium thermal modulator simulation 17	76
	D. Photochemical Reaction Chamber ("BOB") Procedures 1	79
	E. Photochemical Reaction Chamber Cleaning Procedures 18	81
	F. Detailed Data Presentation	32
BIBLIOGRA	РНҮ	08

LIST OF FIGURES

<u>Figure</u>

1.1	Molecular structures of common BVOCs emitted from vegetation 2
1.2	Biogenic and anthropogenic aerosols processing in the atmosphere 4
1.3	Schematic of BVOC processes in the atmosphere
1.4	BVOC and OH reaction mechanism with NO _x 9
1.5	Major reaction pathways of ozone in the lower atmosphere including major sources
	and sinks
1.6	Simulated tropospheric ozone concentration for year 1860 (top), year 2000 (center),
	and year 2100 (bottom) 14
1.7	Enhancement of surface ozone due to isoprene nitrate chemistry assuming a 5K
	increase in temperature and 40% NO_x recycling efficiency
1.8	The change in radiative forcing terms from year 1750 to year 2005 17
1.9	The relationship between NO_y and O_3 from six North American sites in the summer
	of 1988 20
2.1	α-Pinene epoxide molecular structure
2.2	Formation of the hydroxynitrate isomers APN-A and APN-B via reaction of α -pinene
	epoxide with fuming nitric acid through protonation at the 1-carbon (a) or 2-carbon
	site (b) and addition of NO_3^{-1}

2.3 Ring-breaking of the APN-A ROOH precursor forms an internal double bond and
leads to reaction with NO forming APN-C
2.4 TLC silica gel plate separation of the unreacted starting material (left) and the
synthesized APNs (right)
2.5 HPLC system analysis process
2.6 (a) Overlay of starting material (red trace) with reacted α -pinene nitrate (black trace)
after HPLC separation analysis. (b) α -pinene nitrate separation without starting
material overlay
2.7 Absorption spectra of selected peaks in the HPLC analysis. (INSET) Isobutyl nitrate
absorption spectra from a previous study
2.8(a) α -Pinene nitrate chromatogram with m/z 46 and m/z 149 fragments 46
2.8(b) Enlarged view of the three peaks, A, B, and C with fragments m/z 46 and m/z
149
2.8(c) Peak A mass scan
2.8(d) Peak B mass scan
2.8(e) Peak C mass scan
2.9(a) GC-ECD chromatogram of starting stock material
2.9(b) GC-ECD chromatogram of synthesized nitrate material 53
2.10 Reaction of 1-bromooctane and silver nitrate to form 1-octyl-nitrate 55
2.11 ¹ H NMR spectrum of 1-bromooctane
2.12 ¹ H NMR spectrum of 1-octyl-nitrate

2.13 Overlay of the unreacted 1-bromooctane and the 1-octyl-nitrate standard
chromatograms analyzed with the GC-ECD showed the 1-octyl-nitrate is right-
shifted from 1-bromooctane and eluted at 700s 58
2.14 Sample calibration curve of 1-octyl-nitrate standard
3.1 Schematic showing the results of initial OH attack on α -pinene. OH addition can
occur on either side of the C=C double bond while OH abstraction can happen at
any hydrogen site on the molecule
3.2 FTIR spectra of 2-hydroxypinane-3-nitrate (top) used to identify hydroxynitrate
APN-B
3.3 The photochemical reaction chamber
3.4 Schematic of the HP 5890 Series II GC-FID
3.4(b) Schematic of a FID detector assembly
3.5 Schematic of the GC-ECD instrument. The 6-port inlet valve connects to a 30m Rtx-1
column that separates analytes before carrier gas sweeps them into the ECD detector
cell whose signals are recorded by a computer logging program
3.6 (a) A 30m long coiled Rtx-1 wrapped analytical column module. (b) Top-down view
of column module
3.7 Schematic of an ECD detector cell [SRI Instruments]
3.8 Injection setup schematic for calibration or chamber injection
3.9 GC-ECD calibration curve using 1-octyl-nitrate diluted in cyclohexane 82
3.10 GC-FID calibration curve using α -pinene diluted in cyclohexane
3.11 Schematic of the Model 42C NO _x analyzer
3.12 Schematic of the Model 49C ozone analyzer

3.13 α -Pinene nitrate wall loss experiment results. The regression slopes represent the
first order wall loss rate constants of the nitrates
3.14 (1,2)-Isoprene Nitrate used in the relative response experiment
3.15 Relative response of the GC-ECD for IBN (green) and ISPN (red)
3.16 Chamber conditions during an experiment showing change in concentrations
of NO, NO _y , O ₃ , and α -pinene over time
3.17 Chamber experiment with all reactants inside prior to irradiation
3.18 Temperature ramp applied to analytical column during a GC-ECD
analysis
3.19 Chromatograph no.12 (12 hour) from a chamber experiment series overlaid
with the synthesis mixture to identify overlapping nitrate peaks
3.20 Growth of peaks over time in a full set of chromatograms from a single chamber
experiment and an APN standard for comparison
3.21 Individual and total α -pinene hydroxynitrate yields
3.22 Branching ratio α versus carbon number showing a linear increase with carbon
number [Zhang et al., 2004]
4.1 Results from Capouet et al. [2004] model of Noziere et al. [1999] experiments.
Experimental data (diamonds) is plotted vs. simulated (curves) concentrations as a
function of time
4.2 The reactions incorporated in the α -pinene OH-oxidation model 123
4.3 Model results versus experimental conditions. Solid lines are model results.
Discrete symbols are experimental results
4.4 Comparison of simulated ozone results with experimental ozone data 126

4.5 α -pinene nitrate experimental data plotted with model data
4.6 D(O ₃ -NO) for the model and the chamber data. $\dots \dots \dots$
5.1 Peaks from a 1D-GC (A) and a GCxGC (B)
5.2 Schematic of various thermal modulators used in a GCxGC instrument: A) A heating
tube encasing the capillary column and heated resistively. B) A thermal sweeper
rotates over the column to heat and sweep effluent to the next column C) An
oscillating cryotrap traps the solute and then release it as it moves back and forward
D) Two high speed jets one is cryogen the other is hot air E) A valve that fills a loop
before flushing it onto the second column [Ong et al., 2002] 132
5.3 The process of GCxGC peak generation and chromatogram visualization [Dallüge et
al., 2006]
5.4 1D-GC and GCxGC chromatograms compared side-by-side [Lee et al.
1999]
5.5 Schematic of benchtop GCxGC with solid-state thermal modulator 137
5.6(a) During sample loading the 6-port valve switches so that sample is trapped
in a column loop pulled by a pump. Meanwhile helium flows through the GC
system
5.6(b) During sample injection the valve switches so that the carrier gas sweeps the
sample inside the column loop onto the GC column
5.7 The cryofocuser attached to the sample inlet unit. Twin TEC chillers cool a 1 meter
length of DB-1701 column that is then heated with a heater rod to reach mobilization
temperature of 100°C 139
5.8(a) The 2-stage thermal modulator inside aluminum housing

5.8(b) The electrical nodes of the two-stage thermal modulator
5.8(c) Illustration of the thermal modulation process 143
5.9 View of the solid-state chilling assembly around the two-stage thermal modulator
aluminum housing
5.10 Thermal modulation profile with two cycles of modulation
5.11(a) Thermal pulsing profile of the TM. Trace "TM1" is the voltage drop across the
first stage of the modulator and Trace "SR" is the voltage drop across the shunt
resistor
5.11(b) Calculated temperature of the thermal modulator
5.12(a) GCxGC chromatogram of α -pinene and NO _x before irradiation 149
5.12(b) GCxGC chromatogram of α -pinene photo-oxidation reaction products 150
5.12(c) 3-dimensional representation of a GCxGC chromatogram
5.13 GC×GC extracted chromatogram contour plot of m/z 93, 121 and 136.
Bands or clusters formed by structurally related compounds are indicated 152
5.14 Schematic of the setup of the model with a moving boundary layer between
the solid and liquid phase. The temperature at each point is monitored to
find the location of the phase boundary layer
5.15 Original t=0s configuration of the 1-D model domain with first 10 nodes
of 100 shown
5.16(a) The moving phase change boundary with applied 497K heat source at origin.
This shows that the phase change stops at node 4
5.16(b) With a TM heat source (400K) less than the boiling point of indium, showing no
phase change in the nodes

A.1 Structure of α -pinene with labeled molecular sites where OH can react 171
A.2 The two carbon sites undergoing OH addition in α -pinene reactions 172
A.3(a) C _a reaction site
A.3(b) C _b reaction site
F.1 Total and speciated APN yields
F.1(a) Photochemical reaction chamber NO, NO ₂ , O3, and α -pinene conc 184
F.1(b) Total chromatograms for experiment overlaid with synthesis reference 184
F.1(c) α-Pinene calibration curve of the GC-FID
F.1(d) 1-octyl-nitrate calibration curve of the GC-ECD
F.1(e) APN-A yield plot
F.1(f) APN-B yield plot
F.1(g) APN-C yield plot 188
F.2(a) Photochemical reaction chamber NO, NO ₂ , O3, and α -pinene conc 189
F.2(b) Total chromatograms for experiment overlaid with synthesis reference 189
F.2(c) α-Pinene calibration curve of the GC-FID
F.2(d) 1-octyl-nitrate calibration curve of the GC-ECD
F.2(e) APN-A yield plot
F.2(f) APN-B yield plot
F.2(g) APN-C yield plot 193
F.3(a) Photochemical reaction chamber NO, NO ₂ , O3, and α -pinene conc 194
F.3(b) Total chromatograms for experiment overlaid with synthesis reference 194
F.3(c) α-Pinene calibration curve of the GC-FID
F.3(d) 1-octyl-nitrate calibration curve of the GC-ECD

F.3(e) APN-A yield plot
F.3(f) APN-B yield plot
F.3(g) APN-C yield plot 198
F.4(a) Photochemical reaction chamber NO, NO ₂ , O3, and α -pinene conc 199
F.4(b) Total chromatograms for experiment overlaid with synthesis reference 199
F.4(c) α-Pinene calibration curve of the GC-FID
F.4(d) 1-octyl-nitrate calibration curve of the GC-ECD
F.4(e) APN-A yield plot
F.4(f) APN-B yield plot
F.4(g) APN-C yield plot 203
F.5(a) Photochemical reaction chamber NO, NO ₂ , O3, and α -pinene conc 204
F.5(b) Total chromatograms for experiment overlaid with synthesis reference 204
F.5(c) α-Pinene calibration curve of the GC-FID
F.5(d) 1-octyl-nitrate calibration curve of the GC-ECD
F.5(e) APN-A yield plot
F.5(f) APN-B yield plot
F.5(g) APN-C yield plot

LIST OF TABLES

Table

2.1 The molecular structure and corresponding calculated dipole moment for the 11 APN conformers. The number beneath the structure is the dipole moment. The bond orientations are represented by a solid wedge (directed out of the page) or the hatched bond (directed into the page). The chirality at each chiral carbon position is indicated by "S" and "R" to mean "counterclockwise" or "clockwise" orientation respectively. Each nitrate is labeled by the location of the OH and ONO2 positions. Rows "A", "B", or "H" indicate APN-A, APN-B, and a general hydrogen-abstracted nitrate followed by a secondary label for conformer number. For example Nitrate A.1 is one of 4 3.3 Three α -pinene nitrate isomers produced from OH-initiated oxidation. APN-C has not

3.7 Individual Yields of APNs
3.8 Precursor structure and branching ratio of OH addition to double bond and the overall
RO ₂ +NO branching ratio
3.9 Calibration curves from the five experimental data sets
3.10 Calibration curves from the five experimental data sets
3.11 Listing the branching ratios of each APN. The column "OH addition across double
bond" refers to the fraction of time OH adds instead of abstracts hydrogen.
"Branching ratio for OH site addition" refers to the site-specific OH addition to either
side of the carbon double bond. "Yields" were taken from the results of Table 4.3 and
the last column is the branching ratio for the RO2 + NO reaction resulting in the
formation of the specific APN 107
3.12 OH reaction rate and calculated lifetime time of α -pinene nitrates
4.1 Comparison of model results of total nitrate yield from α -pinene oxidation in OH in
the presence of NO_x . Errors are 2 standard deviations around the mean 114
A.1 Site-specific OH abstraction and addition rate constants
B.1 List of reactions used in the explicit chemical mechanism
B.2 Molecular structure of organic species included in the chemical model 174
F.1 Individual and total yields for α-pinene hydroxynitrates
F.2 Calibrations for each experiment
F.3 APN-A concentration with and without F-factor correction
F.4 APN-B concentration with and without F-factor correction
F.5 APN-C concentration with and without F-factor correction

LIST OF APPENDICES

<u>Appendix</u>

A. Structure-Activity Relationship Calculations 170
B. α-Pinene OH-oxidation Reaction Mechanism Tables
C. Matlab Code for Indium thermal modulator simulation 176
D. Photochemical Reaction Chamber ("BOB") Procedures
E. Photochemical Reaction Chamber Cleaning Procedures 181
F. Detailed Data Presentation

ABSTRACT

α-Pinene Organic Nitrate Synthesis, Formation, and Simulation

by

Judy Shau-yuh Yu

Co-Chairs: Mary Anne Carroll and Jack Hunter Waite, Jr.

α-Pinene ($C_{10}H_{16}$), a hydrocarbon emitted by vegetation, is the dominant monoterpene in the Earth's atmosphere. With estimated annual global carbon emissions of ~50 Tg yr⁻¹, αpinene emissions are comparable to anthropogenic hydrocarbon emissions - making its atmospheric oxidation products and reaction pathways a significant component of tropospheric chemistry. The major oxidation pathway of α-pinene is reaction with the hydroxyl radical (OH) during the daytime. One important product of OH and α-pinene reactions is β-hydroxynitrates (HOC₁₀H₁₆ONO₂), which represent a terminating step in the α-pinene reaction pathway. The formation of these hydroxynitrates prevents the production of NO₂, a tropospheric ozone precursor, effectively suppressing ozone while sequestering NO_x. With organic nitrates from BVOCs estimated to account for 10-20% of tropospheric ozone generation, organic nitrate chemistry is an important source in the accounting of global ozone concentrations. Results from the first-known organic synthesis of α-pinene β-hydroxynitrates are presented in this work. The synthesis standard supported a series of photochemical reaction chamber studies that reacted pure α -pinene with OH in a high NO_x environment. The results from these experiments were analyzed to identify for the first time individual α -pinene hydroxynitrate isomers, calculate formation yields, and determine the relative branching ratios of the precursor peroxy radical RO₂ reacting with NO. A chemistry model was also created to simulate the reaction chamber experimental conditions to compare how well the currently accepted reaction mechanism for the production of these α -pinene hydroxynitrates matches experimental results. Lastly, a new portable comprehensive GCxGC (or "two-dimensional GC") was deployed to evaluate its usefulness in gas-phase atmospheric chemistry applications.